# notes on mirror symmetry

## 3 may (Alex)

We wish to understand

**Theorem** (Bogomolov-Tian-Todorov). Any Calabi-Yau manifold has unobstructed deformations.

**Definition.** An *almost compelx structure* is an endomorphism J...

Remark. It is a fact by Borel & Serre (1953) that the only spheres which admit an almost complex structure are  $S^2$  and  $S^6$ .

**Example.** All complex manifolds are almost complex manifolds.

**Theorem.** A necessary and sufficient condition for a 2u-smooth manifold M to admit an almost complex structure is that the group of tangent bundle of M could be reduced to U(n).

**Theorem** (Newlander-Nirenberg). Let (M, J) be an almost complex manifold. Then, the following are equivalent:

1. (six conditions...)

**proposition.** An almost complex structure on a real 2-dimensional manifold is a complex structure.

*Proof.* By the Newlander-Nirenberg theorem, given a point  $\mathfrak{p}\in U\subset M$  and a vector field  $\mathfrak{X}U$ , we have that (V,JV) is a frame, and

$$N(V, JV) = [V, JV] + J[V, JV] + J[V, J^2V] - [JV, J^2V] = 0$$

**Definition.** A *deformation* of complex analytic space M over a germ  $(S, s_0)$  of complex analytic space is a triple  $\pi_i X, i$ ) such that

$$\begin{matrix} X \xleftarrow{embedding} & M \\ \pi \downarrow & & \downarrow \\ (S, s_0) \xleftarrow{s_0} & pt \end{matrix}$$

where M is a compact manifold,  $M \simeq \pi^{-1}(s_0)$  and  $\pi$  is proper smooth.

**Theorem** (Ehresmann). Let  $\pi: X \to S$  be a proper family of differentiable manifold. If S is connected, then all fibres are diffeomorphic.

**Theorem** (Kodaira). Let  $X_0$  be a compact Kähler manifold. If  $X \to S$  is a deformation, then any fibre  $X_t$  is again Kähler.

#### Theorem (Kuranashi).

- 1. Any compact complex manifold admits a universal deformation.
- 2. If  $\Gamma(X_0, T_{x_0}) = 0$  then it admits a universal deformation.

**Lemma.** Let J be an almost complex structure sufficiently close to  $J_0$  so that it is represented by a form  $\lambda \in A^{0,1}T^{1,0}M$ . Then J is integrable if and only if

$$\bar{\eth}\lambda_{\mathfrak{i}}+\frac{1}{2}[\lambda_{\mathfrak{j}},\lambda_{\mathfrak{j}}]=0.$$

Theorem (Maurer-Cartan).

$$\bar{\partial} \phi + [\phi, \phi] = 0$$

where

$$\varphi = \varphi(t) = \sum_{i=1} \varphi_i t_i$$

#### Definition.

- The *Kodaira-Spencer class* of a one-parameter deformation  $J_t$  of a complex stucture J is induced by a homology class  $\varphi_1 \in H^1(X,Tx)$ .
- The Kodaira-Spancer map is

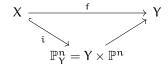
$$T_sS \rightarrow H^1(X_s, T_{X_s}) = T_{[X_s]} \operatorname{Def}(X_{s_0})$$

## 10 May

### 1 Sergey: preliminaries

We work in the category of schemes over  $\mathbb{C}$ .

**Definition.** A morphism  $f: X \to Y$  is *projective* if



where i is a closed embedding and in fact  $Y \times \mathbb{P}^n = \operatorname{Spec} \mathbb{C}$ .

**Definition.** A *Hilbert function* for a given  $Z \hookrightarrow \mathbb{P}^N$  is

$$h_Z(n) = \chi(Z, \mathfrak{O}_Z(n))$$

**Definition** (Found later in [?], p. 273). A morphism  $f:(X, \mathcal{O}_X) \to (Y, \mathcal{O}_Y)$  if *flat* if the stalk  $\mathcal{O}_{X,x}$  [...]

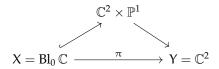
**Claim** (Criterium for flatness of projective morphisms). A projective morphism is flat if and only if  $h_{X_t}(n)$  is constant as a function of t?

 $Y\to \mathbb{Q}[n].$ 

**Example** (non-flat projective morphism (blowup), which is also a non-submersion). Let's find some  $f: X \to Y$  projective but not flat. Suppose X, Y are smooth and connected.

A closed embedding.

We tried



but (I think) its differential is not surjective due to the tangent space of the exceptional divisor.

**Definition** (Wiki). In algebraic geometry, a morphism  $f: X \to S$  between schemes is said to be *smooth* if

- 1. it is locally of finite presentation.
- 2. it is flat, and
- 3. for every geometric point  $\bar{s} \to S$  the fiber  $X_{\bar{s}} = X \times_X \bar{s}$ .

#### 2 Bruno: more on deformation

Definition (of smooth submersion). A map whose differential is surjective.

**Definition** ([?]). A *deformation* X consists of a smooth proper morphism  $\mathcal{X} \to S$ , where  $\mathcal{X}$  and S are connected complex spaces, and an isomorphism  $X \cong \mathcal{X}_0$ , where  $0 \in S$  is a distinguished point. We call  $\mathcal{X} \to S$  a *family of complex manifolds*.

In order to define the deformation space Def(X) suppose X is Kähler with  $H^0(X, \mathcal{T}_X) = 0$ . Then there exists a universal deformation:

**Definition** ([?]). A deformation  $X \to (S,0)$  of X is called *universal* if any other deformation  $X' \to (S',0')$  is isomorphic to the pullback under a uniquely determined morphism  $\varphi: S' \to S$  with  $\varphi(0') = 0$ .

$$\begin{array}{ccc} \mathcal{X}_S & \longrightarrow & \mathcal{X} \\ \pi_S & & \downarrow & \\ S & \xrightarrow{\exists !} & Def_S(X) \end{array}$$

**Definition.** The *Teichmüller space* of X is

$$Teich(X) = \frac{complex \ structures \ on \ M}{Diff_0}$$

and it is such that

$$\mathcal{I}_X \operatorname{Teich}(X) = H^1(X, \mathcal{I}_I X^{1,0})$$

Remark (The Misha Verbitsky way). Let X=(M,I) and  $\bar{\eth}:C^\infty(M)\to\Omega^1(M,\mathbb{C})$  and remember that

- $img \bar{\partial} = \Omega^{0,1}_{(I)}(M)$
- $\bar{\partial}^2 = 0$ .

Take a solution of the Maurer-Carten equation:

$$\bar{\partial}\gamma + [\gamma, \gamma] = 0$$

where  $\gamma \in T^{1,0} \otimes \Omega^{0,1}$ . Then we do

$$\begin{split} (\bar{\eth} + \gamma)(\bar{\eth} f + \gamma f) &= \bar{\eth} (\gamma f) + \gamma \bar{\eth} f + \gamma (\gamma f) \\ \bar{\eth}_{new} f &= \bar{\eth} f + \gamma f. \\ \dots ? \end{split}$$

Now take  $s \in T^{1,0} \otimes \Omega^{0,1}$  such that

$$\bar{\partial}s + [s,s] = 0$$

and consider also its cohomology class  $[s] \in H^1(T^{1,0})$ . We have the *Kodaira-Spencer map* 

$$KS: T_{s_0}S \to H^1(T^{1,0}) \cong T_X \text{ Def } X$$
$$s \mapsto [s]$$

which is useful because de deformation space of X is

$$(Def X, 0) = \frac{solutions \ to \ Maurer-Cartan}{Diff_0}$$

Ok, but what is the bracket? Answer: take the usual vector field commutator on vector fields and the wedge product on differential forms. This makes  $(\mathcal{T}_X^{1,0} \otimes \Omega_X^{0,\bullet}, [,], \bar{\mathfrak{d}})$  into a *differential graded Lie algebra (DGLA*).

So suppose

$$s = \sum_{n \geqslant 1} t^m s_m$$

and we wish to find

$$ar{\eth} s_1 = 0$$
 and  $ar{\eth} s_n = \sum_{i+j=n-1} [s_i, s_j]$ 

The right-hand-side equation says  $s_n$  is  $\bar{\partial}$ -exact.

Now since our objective is to understand Bogomolov-Tian-Todorov, we are interested in what *unobsturctedness* is. It means that

$$\bar{\delta}s_1 = 0$$
 and  $\bar{\delta}s_2 = [s_1, s_2]$ 

Also recall that

**Definition.** Two manifolds  $M_1, M_2 \subseteq \mathbb{C}^n$  define the same *germ* at  $0 \in \mathbb{C}^n$  if there is an open set  $U \subseteq \mathbb{C}^n$  containing 0 such that

$$M_1 \cap U = M_{\cap}U$$
.

and then...

Theorem (Bogomolov-Tian-Todorov). content...

### 3 Griffiths transversality (Victor)

**Claim.** Let X be a complex manifold. For a 1-parameter family of complex structures  $(X,J_t)$  and forms  $\alpha_t \in \Omega^{p,q}(X,J_T)$  we have

$$\frac{d}{dt}\Big|_{t=0}\alpha_t\in\Omega^{p+1,q-1}(X)\oplus\Omega^{p,q}(X)\oplus\Omega^{p-1,q+1}(X).$$

Proof. content...

### 4 Hodge Theory for Calabi-Yau (afternoon)

#### 4.1 Preliminaries (Sergey)

Let's first recall that

**Definition.** The *Hodge star* operator is

$$*: H^{p,q}_{\mathfrak{d}} \to H^{n-q,n-p}_{\bar{\mathfrak{d}}}$$

proposition. For any complex manifold,

$$\begin{split} H^{p,q}_{\bar{\eth}} \times H^{n-p,n-q}_{\bar{\eth}} &\to H^{n,n}_{\bar{\eth}} \cong \mathbb{C} \\ ([\alpha],[\beta]) &\mapsto \int_{[X]} \alpha \wedge \beta := (\alpha,\beta) \end{split}$$

is bilinear and non-degenerate.

*Proof.*  $\forall \alpha \exists \beta = *\bar{\alpha} \text{ such that } (\alpha, \beta) \neq 0 \text{ so}$ 

$$0 < \|\alpha\|^2 = \int \alpha \wedge *\bar{\alpha}$$
$$\langle \alpha, \beta \rangle = \int \alpha \wedge *\bar{\beta}$$

where  $\langle -, - \rangle$  is the induced metric by some hermitian/riemannian metric on X?

Theorem (Serre duality). For any complex manifold,

$$\begin{split} H^{p,q}_{\bar{\eth}} \times H^{n-p,n-q}_{\bar{\eth}} &\to H^{n,n}_{\bar{\eth}} \cong \mathbb{C} \\ ([\alpha],[\beta]) &\mapsto \int_{[X]} \alpha \wedge \beta \end{split}$$

is a perfect pairing. That is

$$\mathsf{H}^{\mathsf{p},\mathsf{q}} \cong (\mathsf{H}^{\mathsf{n}-\mathsf{p},\mathsf{n}-\mathsf{q}})^*$$

And we also have

Theorem (Hodge). For Kähler manifolds

$$H^{p,q} \cong \overline{H^{q,p}}$$

#### 4.2 Pseudoholomorphic curves (Victor)

We follow [?], lecture 3.

Remark. For every Calabi-Yau manifold X,

$$H^{p,0} = H^{n,n-p} = H^{n-p}_{\delta}(X,\Omega^n_X) = H^{n-p}_{\delta}(X,\Omega_X) = H^{0,n-p} = H^{n-p,0}$$

So we have some symmetry:

**Definition.** Let  $(X^{2n}, \omega)$  be a symplectic manifold, J a compatible almost-complex structure,  $\omega(\cdot, J_{\cdot})$  the associated Riemannian metric. Furthermore, let  $(\Sigma, j)$  be a Riemann surface of genus g and  $z_1, \ldots, z_k$  marked points.

There is a well-defined moduli space of  $\mathcal{M}_{g,k} = \{(\Sigma, z_1, \dots, z_k)\}$  which is a complex manifold of dimension 3k - 3 + k.

 $u: \Sigma \to X$  is a J-holomorphic (or pseudoholomorphic) map if

$$J \circ du = du \circ j$$

that is,

$$\bar{\eth}_J u = \frac{1}{2} (du + J duj) = 0. \tag{.1}$$

For  $\beta \in H_2(X, \mathbb{Z})$ , we obtain an associated space

$$M_{\mathfrak{q},k}(X,J,\beta) = \{(\Sigma,j,z_1,\ldots,z_k,u:\Sigma\to X|u_*[\Sigma]=\beta,\bar{\mathfrak{d}}_{J}u=0\}/\sim$$

where  $\sim$  is the equivalence given by  $\phi$  below:

$$\Sigma, z_1, \dots, z_k \xrightarrow{u} X$$

$$\downarrow \cong \qquad \qquad u'$$

$$\Sigma', z'_1, \dots, z'_k$$

**Question.** Where does the object in eq. (.1) live? The differential of any map of complex manifolds can be decomposed in  $\partial$  and  $\bar{\partial}$ . The operator  $\bar{\partial}_J u$  is an element of  $\Omega^{0,1}(\Sigma, u \otimes TX) = \Gamma(\Sigma, \Omega^{0,1}(\Sigma) \otimes u^*TX)$ .

Remark. See wiki for interpretation of this definition as a map satisfying the Cauchy-Riemann equations.

Remark. See What is... a pseudoholomorphic curve? for another friendly explanation:

A pseudoholomorphic curve is just the natural modification of the notion of a holomorphic curve to the case when the ambient manifold is almostcomplex.

# **May 17**

### 1 Pseudoholomorphic curves cont. (Victor)

We continue to read [?].

**Definition.** We say that  $u : \Sigma \to X$  is *simple* if there exists  $z \in \Sigma$  such that  $du(z) \neq 0$  and  $u^{-1}(u(z)) = z$ .

Which roughly means that the function is not generically one to one on its image.

#### **Example.** The function

$$u: \mathbb{P}^1 \to \mathbb{P}^2$$
$$[x:y] \mapsto [x^2:y^2:0]$$

is not simple. Indeed, near a point  $[x:y] \in \mathbb{P}^1$  with  $x \neq 0$ , the differential of u may be expressed in coordinates as the linear map  $du = \begin{pmatrix} 2 & 0 \end{pmatrix} \neq 0$ ; however  $u^{-1}([x^2:y^2:0]) = \{[x:y], [-x:y]\}$ . The case of  $y \neq 0$  is analogous. We also see there are no singular points, so u cannot be simple.

Then we define

$$\mathsf{D}_{\bar{\eth}}: W^{\mathsf{r}+1,\mathfrak{p}}(\Sigma, \mathfrak{u}^*\mathsf{TX}) \times \mathsf{T} \mathcal{M}_{\mathsf{g},\mathsf{k}} \to W^{\mathsf{r},\mathfrak{p}}(\Sigma, \Omega^{0,1}_{\Sigma} \otimes \mathsf{U}^*\mathsf{TX})$$

by

$$D_{\bar{\eth}}(\nu,j') = \bar{\eth}\nu + \frac{1}{2}(\nabla_{\nu}J)du \cdot j + \frac{1}{2}J \cdot du \cdot j'$$

where  $W^{r,p}$  is a completion of  $C^{\infty}(-)$  of (?) to  $L^{r,p}$  norm defined by  $\|f\|_{r,p} = \left(\sum_{i=0}^r \int |f^{(i)}(t)|^p dt\right)^{1/p}$ .

 $D_{\bar{0}}$  is Fredholm, (meaning the dimensions of its kernel and cokernel are finite), with index (the difference of such numbers)

index<sub>R</sub> 
$$D_{\bar{a}} := 2d = 2\langle c_1(TX), \beta \rangle + n(2-2g) + (6g-6+2k).$$

We may interpret this equation as differentiation of the Cauchy-Riemann equations.

### 2 Dirichlet energy (Alex)

Consider a map

$$u:(\Sigma,j)\to(X,\omega,J,g)$$

and define the energy functional

$$\varepsilon = \int_{\Sigma} |d\mathbf{u}|_g^2 \operatorname{Vol}_g$$

Now, we may take local isothermic coordinates where the metric is expressed as

$$q = \lambda(x, y)(dx^2 + dy^2)$$

giving

$$\begin{split} du &= \vartheta_x u \otimes dx + \vartheta_y u \otimes dy \\ |du|^2 &= |\vartheta_x u|^2 \lambda^{-2} + |\vartheta_y u|^2 \lambda^{/2} \\ Vol_{\Sigma g'} &= \lambda^2 dx \wedge dy \end{split}$$

Then

$$\varepsilon(\mathfrak{u}) = \int_{\Sigma} |\partial_{x}\mathfrak{u}|_{g}^{2} + |\partial_{y}\mathfrak{u}|_{g}^{2} dx \wedge dy.$$

The following equality shows that the energy functional attains its minimum on pseudoholomorphic maps (in virtue of eq. (.1)).

Claim. For a pseudoholomorphic map,

$$\epsilon(u) = \int_{\Sigma} 2|\bar{\eth}_{J}|^{2} \, Vol + \int_{\Sigma} u^{*} \omega$$

*Proof.* content...