

Attention as a Gateway to Consciousness: Evaluating the Evidence

Daniel Burger

King's College London
daniel.burger@kcl.ac.uk

11. April 2023

Abstract

Exploring the link between attention and conscious awareness in cognitive neuroscience has sparked numerous debates. This essay seeks to weigh the evidence supporting the idea that attention is a necessary component of conscious awareness. Drawing on empirical studies and philosophical perspectives, it delves into the entwined nature of these cognitive processes and considers opposing viewpoints. This essay also incorporates complex concepts, such as Libet's delay, to offer a more nuanced exploration of this relationship. In analysing these topics, this essay aims to enhance understanding of the dynamics of attention and conscious awareness.

Table of Contents

List of Figures	II
List of Tables	III
1 Introduction	1
2 Background and Definitions	1
2.1 Consciousness	1
2.2 Attention and Awareness	3
2.3 Libet's Delay and the Attention-Consciousness Relationship	4
3 Attention's Role in Conscious Awareness: Evidence	6
3.1 Empirical studies	6
3.2 Theoretical perspectives	7
3.3 Alternative viewpoints and evidence	7
4 Conclusion	9
Bibliography	11

List of Figures

2.1	As a component of the mirror test, a monkey observes its own reflection in the mirror	3
2.2	A diagram displaying the crucial characteristics of the outcomes obtained by Libet et al.	5

List of Tables

2.1	Overview of types of consciousness.	2
2.2	Types of attention and their descriptions.	4

1 Introduction

The intricate relationship between attention and consciousness has long been a discussion and inquiry in the field of cognitive neuroscience. Attention, which lets us focus on essential information while filtering out others, is vital to our ability to make sense of the world. Conscious awareness, on the other hand, is the personal experience of recognising and examining our emotions, thoughts, and sensations. The critical question in studying these cognitive processes is whether attention is needed for conscious awareness. In simple terms, can we be aware of our surroundings without explicitly directing our attention towards them?

This essay intends to critically evaluate the evidence supporting the assertion that attention is essential for conscious awareness. The following chapters will utilise various empirical studies and theoretical perspectives to explore the interdependence between attention and conscious awareness, delving into how these cognitive processes may be interconnected. Additionally, alternative viewpoints that question the necessity of attention for conscious awareness will be considered, blending philosophical concepts such as the implications of Libet's delay. Ultimately, the author aims to provide a comprehensive understanding of the attention-consciousness relationship.

2 Background and Definitions

2.1 Consciousness

Consciousness is a multifaceted phenomenon that plays a vital role in cognitive processes. It includes subjective experiences, thoughts, emotions, and perceptions. However, defining its types can be challenging due to the need for a universally accepted classification. The list presented in Table 2.1 provides an overview of various types of consciousness but is not exhaustive, as different typologies have been proposed.

Type of Consciousness	Definition	Examples
Phenomenal Consciousness	Subjective experience	Seeing the colour blue, feeling a sensation of pain, tasting a delicious meal
Access Consciousness	Availability for cognitive processing	Recalling a phone number, recognising a familiar face, understanding a spoken language
Self-Consciousness	Awareness of one's own existence	Recognising oneself in a mirror, feeling embarrassed, reflecting on one's own thoughts and feelings
Higher-Order Consciousness	Awareness of being aware	Reflecting on one's own thinking process, realising that you were not paying attention to a conversation
Global Workspace Consciousness	Integration of information from various sources	Solving a complex math problem, understanding a complex philosophical argument, composing a piece of music

Table 2.1: Overview of types of consciousness.

Phenomenal consciousness focuses on qualitative experiences, whereas access consciousness is concerned with information availability for cognitive processing (Aru & Bachmann, 2013; De Brigard, 2012). Self-consciousness, which refers to the awareness of one's existence, can be exemplified by the mirror test in animals, as shown in Figure 2.1. In this test, a marked monkey recognising itself in a mirror indicates self-awareness (Chang et al., 2015).

Higher-order consciousness involves the awareness of being aware (Carruthers & Gennaro, 2020), while global workspace consciousness represents the integration of information from various sources to tackle complex tasks (Baars, 1997). Gaining a comprehensive understanding of these diverse forms of consciousness and other proposed classifications is essential for exploring the relationship between attention and conscious awareness.



Figure 2.1: As a component of the mirror test, a monkey observes its own reflection in the mirror (Chang et al., 2015).

2.2 Attention and Awareness

Attention is a core cognitive process that enables us to focus selectively on specific aspects of our environment while filtering out irrelevant stimuli. There are various types of attention, as shown in Table 2.2, with selective (Koivisto et al., 2009) and divided attention (McKanna et al., 2009) being two primary examples.

In the context of consciousness, the previous chapter discussed various forms, such as phenomenal and access consciousness. Building on this understanding, selective attention can be linked to the cocktail party effect as originally published in the landmark paper from Cherry (1953), where people focus on a person's voice in a crowded room while ignoring other conversations. This raises the question of the extent to which unattended information is processed within the scope of our conscious awareness.

In contrast, divided attention enables us to attend to multiple stimuli simultaneously, such as listening to a podcast while cooking dinner. Empirical evidence supports this

claim, as studies have shown that individuals can successfully perform two tasks concurrently under specific conditions (Rodrigue et al., 2015). Exploring these different types of attention is crucial for understanding their unique influences on the various forms of conscious awareness.

Types of Attention	Description
Selective Attention	This type of attention is characterised by the ability to focus on one particular stimulus while ignoring other stimuli.
Divided Attention	This type of attention involves the ability to attend to multiple stimuli at the same time without losing focus.

Table 2.2: Types of attention and their descriptions.

2.3 Libet’s Delay and the Attention-Consciousness Relationship

In the previous chapter, the author discussed various forms of consciousness and the role of attention in shaping our conscious experiences. Another essential aspect of understanding the relationship between attention and consciousness is Libet’s delay. Libet’s delay is a concept that refers to the time lag between the neural events underlying a conscious decision and the subjective experience of making that decision (Libet et al., 1983). In Libet’s original study, participants were asked to voluntarily move their fingers or hands while monitoring the time at which they became aware of their intention to move. This delay, typically on the order of several hundred milliseconds as shown in Figure 2.2, has significant implications for understanding the nature of consciousness and its relationship to attention.

The existence of Libet’s delay suggests that our subjective experience of consciousness might not always align with the actual neural processes occurring in our brains. It raises questions about the role of attention in shaping our conscious experiences and introduces an element of temporal complexity to the attention-consciousness relationship (Dijksterhuis & Aarts, 2010). Considering Libet’s delay in the context of selective

- W: awareness of intention=-206 ms
- M: awareness of action=-86 ms

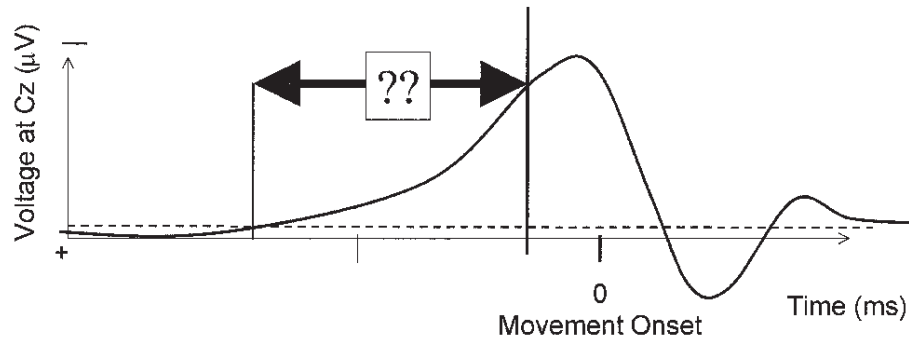


Figure 2.2: A diagram displaying the crucial characteristics of the outcomes obtained by Libet et al. (1983) (Haggard & Libet, 2001).

and divided attention, one could ask how this delay might affect our conscious awareness during these attentional states. For instance, if attention is a necessary condition for conscious awareness, the presence of Libet's delay may imply that attention must precede conscious awareness by a certain amount of time to influence our experiences effectively (Kozuch, 2019). On the other hand, if attention is not strictly required for conscious awareness, Libet's delay could suggest that there might be instances where conscious awareness emerges independently of attentional processes, albeit with a temporal lag.

Furthermore, the influence of Libet's delay on the attention-consciousness relationship could also be considered in the context of the different forms of consciousness, such as phenomenal and access consciousness. For example, the temporal discrepancy introduced by Libet's delay may impact the relationship between attention and phenomenal consciousness differently than its relationship with access consciousness. In the case of phenomenal consciousness, the delay might mean that our subjective experiences of qualia are temporally separated from the neural processes that underlie them. On the other hand, access consciousness, which involves the availability of informa-

tion for cognitive processing, might be less affected by this delay, as the information processing can occur independently of our subjective experience. This could lead to a more nuanced understanding of how attention influences different aspects of conscious awareness (Dijksterhuis & Aarts, 2010; Kozuch, 2019).

3 Attention's Role in Conscious Awareness: Evidence

3.1 Empirical studies

Several empirical studies provide evidence for the link between attention and conscious awareness. One such study, conducted by Cohen et al. (2012), investigated the attentional requirements of consciousness by manipulating the allocation of attention in a visual search task. The authors found that when attention was directed away from a target stimulus, participants were less likely to report conscious awareness of the stimulus, suggesting that attention plays a critical role in conscious perception.

Similarly, Kentridge et al. (2004) explored the role of attention in blindsight, a neurological condition in which individuals with damage to the primary visual cortex can respond to visual stimuli without conscious awareness. In their study, the authors demonstrated that when spatial attention was directed towards a stimulus, participants with blindsight exhibited faster response times, despite a lack of conscious awareness. This finding supports the idea that attention can influence unconscious processing and potentially modulate conscious awareness.

Another study by Sumner et al. (2006) investigated the role of attention in sensorimotor processes in the absence of perceptual awareness. The authors employed a visual masking paradigm to render stimuli imperceptible and found that attention could still modulate participants' motor responses to the masked stimuli. This result implies that attention can modulate cognitive processes even when conscious awareness is absent, further highlighting the intricate relationship between attention and conscious aware-

ness.

3.2 Theoretical perspectives

Various theoretical perspectives also support the notion that attention is necessary for conscious awareness. Baars (1997)'s Global Workspace Theory posits that consciousness arises when information becomes globally available within the brain, and attention plays a crucial role in selecting and broadcasting this information. According to this theory, attention acts as a gatekeeper that determines which information enters the global workspace and subsequently becomes part of our conscious experience.

De Brigard (2012) proposed the Attentional Relevance Theory, which suggests that attention is necessary for the conscious recollection of past events. According to this theory, attention serves to enhance the encoding and retrieval of memories by prioritizing information that is relevant to our goals and interests. This perspective emphasizes the role of attention in shaping the content of our conscious experiences, particularly in the domain of memory.

Finally, Dijksterhuis & Aarts (2010) put forth the idea that attention plays a key role in goal-directed behavior, which is intimately linked to conscious awareness. They argue that attention serves to activate and maintain cognitive representations of goals, enabling us to consciously pursue and achieve desired outcomes. This perspective highlights the importance of attention in bridging the gap between our conscious intentions and actions, further reinforcing the necessity of attention for conscious awareness.

3.3 Alternative viewpoints and evidence

While several studies support the necessity of attention for conscious awareness, others challenge this notion. Aru & Bachmann (2013) investigated whether phenomenal awareness could emerge without attention, using a visual paradigm in which participants reported their conscious experience of stimuli under various attentional manipu-

lations. The authors found evidence for conscious perception even when attention was directed away from the target stimulus, suggesting that attention may not be strictly necessary for conscious awareness.

Kentridge et al. (2008) also questioned the sufficiency of attention for visual awareness, examining the interplay between attention and awareness in a patient with visual form agnosia, a condition characterized by the inability to recognize objects despite preserved low-level vision. The authors found that the patient could allocate attention to a stimulus without reporting conscious awareness of its shape or orientation, indicating that attention may be necessary but not sufficient for visual awareness.

Furthermore, Kozuch (2019) conducted a critical reevaluation of the evidence for attention being necessary for consciousness, challenging the conclusions of several well-known studies, including the influential work by Cohen et al. (2012). Kozuch argued that many studies supporting the necessity of attention for consciousness were methodologically flawed or misinterpreted, suggesting that the attention-consciousness relationship is still open to debate.

Several theoretical perspectives and philosophical ideas propose alternative viewpoints on the attention-consciousness relationship. Montemayor (2021) posited that consciousness encompasses multiple types, each with distinct neural correlates and functional roles. This perspective challenges the idea of a unified attention-consciousness relationship, suggesting that attention may differentially influence various types of consciousness.

Noah & Mangun (2020) presented a comprehensive review of recent evidence concerning the attention-consciousness relationship, concluding that while attention is necessary for conscious perception, it is not sufficient. They argued that additional factors, such as the interaction between top-down and bottom-up processes, contribute to conscious awareness. This viewpoint highlights the complexity of the attention-consciousness relationship and encourages further exploration of the underlying cogni-

tive and neural mechanisms.

These alternative viewpoints and empirical findings demonstrate that the relationship between attention and conscious awareness is far from settled, inviting further investigation and debate. By considering these alternative perspectives, we can better understand the nuanced and multifaceted nature of attention and consciousness, and appreciate the complexity of the human cognitive experience.

4 Conclusion

In this essay, we critically evaluated the statement "attention is necessary for conscious awareness," drawing upon a diverse range of empirical and theoretical evidence. We began by defining the key concepts of consciousness and attention, highlighting their roles in cognitive phenomena, and introducing the concept of multiple streams of consciousness. We also briefly discussed Libet's delay and its implications for understanding consciousness.

Next, we presented empirical studies supporting the necessity of attention for conscious awareness, including the influential work by Cohen et al. (2012), Kentridge et al. (2004), and Sumner et al. (2006), as well as theoretical perspectives that support this view, such as those proposed by Baars (1997), De Brigard (2012), and Dijksterhuis & Aarts (2010). These studies and theories provide compelling evidence that attention plays a crucial role in shaping and modulating our conscious experience.

However, we also considered alternative viewpoints and evidence that challenge the necessity of attention for conscious awareness. We discussed studies by Aru & Bachmann (2013), Kentridge et al. (2008), and Kozuch (2019), which offer alternative interpretations of the attention-consciousness relationship. Moreover, we presented theoretical perspectives that propose diverse and nuanced views on this relationship, such as those by Montemayor (2021) and Noah & Mangun (2020).

Taking all of this evidence and these perspectives into account, we can conclude that

while attention appears to play a crucial role in conscious awareness, the relationship between the two is far from straightforward. The existence of alternative viewpoints suggest that the attention-consciousness relationship is complex and multifaceted, requiring further investigation to fully understand its intricacies. By considering the diverse array of evidence and theoretical perspectives presented in this essay, we can deepen our understanding of the complex interplay between attention and conscious awareness, and appreciate the richness and dynamism of the human cognitive experience.

Bibliography

- Aru, J., & Bachmann, T. (2013). Phenomenal awareness can emerge without attention. *Frontiers in Human Neuroscience*, 7.
URL <http://journal.frontiersin.org/article/10.3389/fnhum.2013.00891/abstract> (Accessed at: 2023-04-07)
- Baars, B. J. (1997). Some Essential Differences between Consciousness and Attention, Perception, and Working Memory. *Consciousness and Cognition*, 6(2), 363–371.
URL <https://www.sciencedirect.com/science/article/pii/S105381009790307X> (Accessed at: 2023-04-07)
- Carruthers, P., & Gennaro, R. (2020). Higher-Order Theories of Consciousness. In E. N. Zalta (Ed.) *The Stanford Encyclopedia of Philosophy*. Metaphysics Research Lab, Stanford University, fall 2020 ed.
URL <https://plato.stanford.edu/archives/fall2020/entries/consciousness-higher/> (Accessed at: 2023-04-10)
- Chang, L., Fang, Q., Zhang, S., Poo, M.-m., & Gong, N. (2015). Mirror-Induced Self-Directed Behaviors in Rhesus Monkeys after Visual-Somatosensory Training. *Current Biology*, 25(2), 212–217.
URL <https://www.sciencedirect.com/science/article/pii/S0960982214014432> (Accessed at: 2023-04-10)
- Cherry, E. C. (1953). Some Experiments on the Recognition of Speech, with One and with Two Ears. *The Journal of the Acoustical Society of America*, 25(5), 975–979. Publisher: Acoustical Society of America.
URL <https://asa.scitation.org/doi/10.1121/1.1907229> (Accessed at: 2023-04-10)
- Cohen, M. A., Cavanagh, P., Chun, M. M., & Nakayama, K. (2012). The attentional requirements of consciousness. *Trends in Cognitive Sciences*, 16(8), 411–417.
URL <https://www.sciencedirect.com/science/article/pii/S1364661312001519> (Accessed at: 2023-04-07)
- De Brigard, F. (2012). The Role of Attention in Conscious Recollection. *Frontiers in Psychology*, 3.
URL <https://www.frontiersin.org/articles/10.3389/fpsyg.2012.00029> (Accessed at: 2023-04-07)
- Dijksterhuis, A., & Aarts, H. (2010). Goals, attention, and (un)consciousness. *Annual Review of Psychology*, 61, 467–490.
- Haggard, P., & Libet, B. (2001). Conscious intention and brain activity. *Journal of Consciousness Studies*.
URL <https://www.semanticscholar.org/paper/Conscious-intention-and-brain-activity.-Haggard-Libet/b1dd6f03a5d7a09850542453695b42665b445fa1> (Accessed at: 2023-04-10)

- Kentridge, R. W., Heywood, C. A., & Weiskrantz, L. (2004). Spatial attention speeds discrimination without awareness in blindsight. *Neuropsychologia*, 42(6), 831–835. URL <https://www.sciencedirect.com/science/article/pii/S0028393203002793> (Accessed at: 2023-04-07)
- Kentridge, R. W., Nijboer, T. C. W., & Heywood, C. A. (2008). Attended but unseen: Visual attention is not sufficient for visual awareness. *Neuropsychologia*, 46(3), 864–869. URL <https://www.sciencedirect.com/science/article/pii/S0028393207004174> (Accessed at: 2023-04-07)
- Koivisto, M., Kainulainen, P., & Revonsuo, A. (2009). The relationship between awareness and attention: Evidence from ERP responses. *Neuropsychologia*, 47(13), 2891–2899. URL <https://www.sciencedirect.com/science/article/pii/S0028393209002632> (Accessed at: 2023-04-07)
- Kozuch, B. (2019). Gorillas in the missed (but not the unseen): Reevaluating the evidence for attention being necessary for consciousness. *Mind & Language*, 34(3), 299–316. _eprint: <https://onlinelibrary.wiley.com/doi/pdf/10.1111/mila.12216>. URL <https://onlinelibrary.wiley.com/doi/abs/10.1111/mila.12216> (Accessed at: 2023-04-07)
- Libet, B., Gleason, C., Wright, E., & Pearl, D. (1983). Time of Conscious Intention to Act in Relation to Onset of Cerebral Activity (Readiness-Potential): The Unconscious Initiation of a Freely Voluntary Act. *Brain : a journal of neurology*, 106 (Pt 3), 623–42.
- McKanna, J. A., Jimison, H., & Pavel, M. (2009). Divided attention in computer game play: Analysis utilizing unobtrusive health monitoring. In *2009 Annual International Conference of the IEEE Engineering in Medicine and Biology Society*, (pp. 6247–6250). ISSN: 1558-4615.
- Montemayor, C. (2021). Types of Consciousness: The Diversity Problem. *Frontiers in Systems Neuroscience*, 15. URL <https://www.frontiersin.org/articles/10.3389/fnsys.2021.747797> (Accessed at: 2023-04-07)
- Noah, S., & Mangun, G. R. (2020). Recent evidence that attention is necessary, but not sufficient, for conscious perception. *Annals of the New York Academy of Sciences*, 1464(1), 52–63. _eprint: <https://onlinelibrary.wiley.com/doi/pdf/10.1111/nyas.14030>. URL <https://onlinelibrary.wiley.com/doi/abs/10.1111/nyas.14030> (Accessed at: 2023-04-07)
- Rodrigue, M., Son, J., Giesbrecht, B., Turk, M., & Höllerer, T. (2015). Spatio-Temporal Detection of Divided Attention in Reading Applications Using EEG and Eye Tracking. In *Proceedings of the 20th International Conference on Intelligent User Interfaces*, IUI '15, (pp. 121–125). New York, NY, USA: Association for Computing

Machinery.

URL <https://doi.org/10.1145/2678025.2701382> (Accessed at: 2023-04-10)

Sumner, P., Tsai, P.-C., Yu, K., & Nachev, P. (2006). Attentional modulation of sensorimotor processes in the absence of perceptual awareness. *Proceedings of the National Academy of Sciences*, 103(27), 10520–10525. Publisher: Proceedings of the National Academy of Sciences.

URL <https://www.pnas.org/doi/full/10.1073/pnas.0601974103> (Accessed at: 2023-04-07)