

# QEC: Classical Errors to the Surface Code

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# Introduction

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- However, QCs are incredibly fragile and susceptible to noise.
  - The *basic* theory assumes a closed quantum system.
- This leads to a paradox:

*How can a completely closed quantum system be manipulated by an observer?*

# What is Error Correction?

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  - Non-classical types of errors.
  - There's no **copying** a quantum state.

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- The situation is much better than in the quantum setting, however.
  - Error rates are extremely low (e.g., one per billion operations).
  - Strong error correction methods, like the Hamming code are still in use (e.g., in RAM) today.

# The Repetition Code: Encoding

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  - $0 \rightarrow 000$  (Logical 0)
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- The encoded blocks (000, 111) are called **codewords**.

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- Decoding: The receiver uses a **majority vote**.
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- This simple code can correct any *single* bit-flip error.

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- We can **improve** the code by using longer odd-length repetitions.

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- Linear codes are described by two matrices:
  - **Generator Matrix ( $G$ )**: Encodes the message.
  - **Parity Check Matrix ( $H$ )**: Detects errors.

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- Example: The message 1011 is encoded as:

$$(1, 0, 1, 1)G = (1, 0, 1, 1, 0, 1, 0)$$

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- If the result of  $Hc^T$  is non-zero, an error has been detected.

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- For a single-bit error at position  $i$ , the syndrome  $s$  will be equal to the  $i$ -th column of  $H$ .
- This allows us to identify and correct the single-bit error.

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  - Detect up to  $d - 1$  errors.
  - Correct up to  $\lfloor \frac{d-1}{2} \rfloor$  errors.
- **Benefit:** More efficient than the repetition code (7 bits for 4 logical bits vs. 3 for 1).

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# Why Quantum Error Correction is Harder

QEC is more complex than classical error correction for several reasons:

- ① **More error types:** Beyond bit-flips, qubits can have phase-flips, and a continuous range of other errors.
- ② **No-Cloning Theorem:** We cannot simply copy a qubit to create redundancy.
- ③ **Measurement is destructive:** Measuring a qubit to check for errors collapses its state, destroying the information we want to protect.

Seems **difficult...**

# The 3-Qubit Bit-Flip Code: Encoding

A quantum version of the repetition code to correct bit-flip errors.

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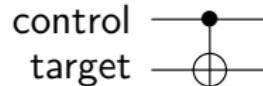
$$|\psi_L\rangle = \alpha|000\rangle + \beta|111\rangle$$

- This is NOT cloning. The No-Cloning Theorem forbids making independent copies like:

$$|\psi_{\text{copied}}\rangle = (\alpha|0\rangle + \beta|1\rangle)^{\otimes 3}$$

# The CNOT Gate

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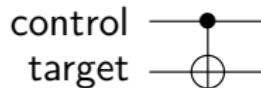


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acting on bases:  $|00\rangle, |01\rangle, |10\rangle, |11\rangle$ .



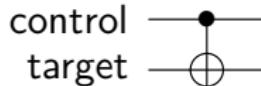
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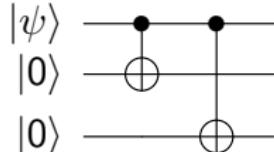
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- Circuit diagram:



# Encoding Circuit for 3 Qubit Bit-Flip Code

We use two CNOT gates to create the logical state  $|\psi_L\rangle$ :



$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix} \begin{pmatrix} \alpha \\ 0 \\ \beta \\ 0 \end{pmatrix}$$

## Example (Creating $|\psi_L\rangle$ )

The circuit transforms the initial state  $|\psi\rangle|0\rangle|0\rangle$ :

$$|\psi_{initial}\rangle = (\alpha|0\rangle + \beta|1\rangle)|00\rangle = \alpha|000\rangle + \beta|100\rangle$$

$$\xrightarrow{\text{CNOT}_{12}} \alpha|000\rangle + \beta|110\rangle$$

$$\xrightarrow{\text{CNOT}_{13}} \alpha|000\rangle + \beta|111\rangle = |\psi_L\rangle$$

# Quantum Errors: Pauli Operators

- A **bit-flip error** is represented by the Pauli  $X$  operator:

$$X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

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- These operators satisfy the property:  $XYZ = i$ , so  $Y = iXZ$ , and are *their own inverses*.

# Detecting Errors Without Measurement

How do we detect an error like  $X_1$  on  $|\psi_L\rangle$  without collapsing the state?

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- For the bit-flip code, the stabilizers are  $Z_1Z_2$  and  $Z_2Z_3$ .
- We measure a *joint* property of these operators, not the individual qubits. We collapse the *correlation*, not the individual states.

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- Measuring an observable is then about projecting your system onto the system states associated to each of these values.

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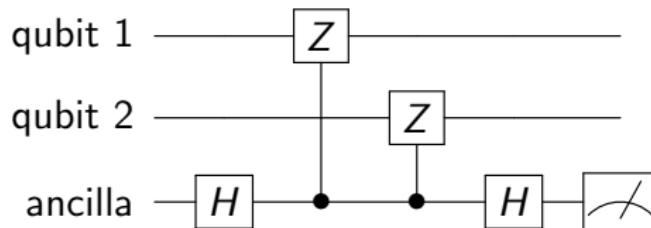
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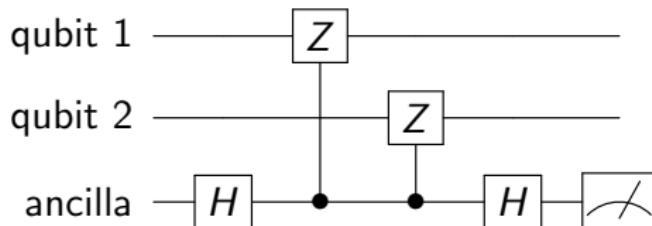
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- 10 The 5-Qubit Code
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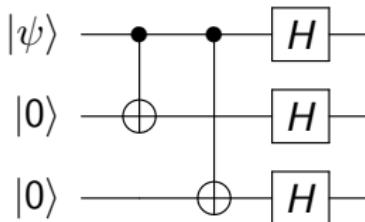
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where  $|+\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$  and  $|-\rangle = \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle)$ .

# Phase-Flip Code: Encoding Circuit

The encoding circuit uses Hadamards to change basis.



- The stabilizers for this code are  $X_1X_2$  and  $X_2X_3$ .
- A  $Z$  error on one qubit will flip the sign of one or both stabilizer measurements, revealing the error.

# Phase-Flip Code: Syndrome Measurement

The syndrome measurement circuit is analogous to the bit-flip code's, but with controlled-X gates.

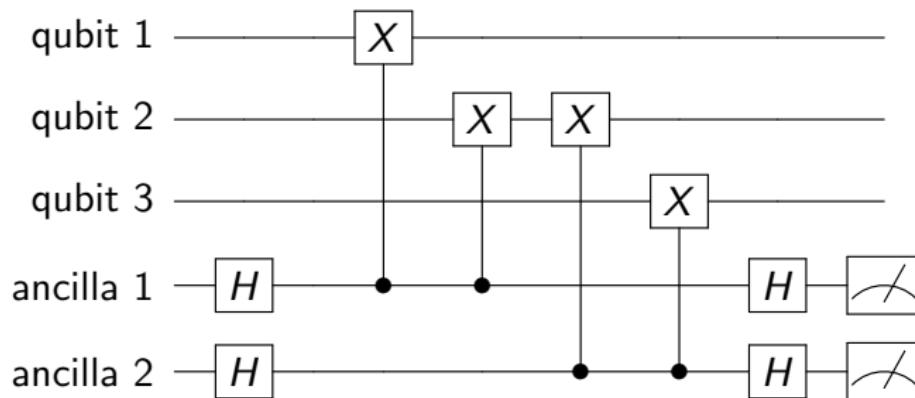


Figure: Circuit for measuring errors in the phase-flip code.

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- It encodes 1 logical qubit into 9 physical qubits.

# Shor Code: Encoding

Encoding is a two-step concatenation:

- ① **Outer Code (Phase-Flip):** The logical qubit is first encoded to 3 qubits.
  - $\alpha|0\rangle + \beta|1\rangle \rightarrow \alpha|+++ \rangle + \beta|--- \rangle$
- ② **Inner Code (Bit-Flip):** Each of these 3 qubits is then encoded into 3 more qubits.
  - $|+\rangle \rightarrow \frac{1}{\sqrt{2}}(|000\rangle + |111\rangle)$
  - $|-\rangle \rightarrow \frac{1}{\sqrt{2}}(|000\rangle - |111\rangle)$

This results in a 9-qubit state:

$$|0_L\rangle \rightarrow \frac{1}{2\sqrt{2}}(|000\rangle + |111\rangle) \otimes (|000\rangle + |111\rangle) \otimes (|000\rangle + |111\rangle)$$
$$|1_L\rangle \rightarrow \frac{1}{2\sqrt{2}}(|000\rangle - |111\rangle) \otimes (|000\rangle - |111\rangle) \otimes (|000\rangle - |111\rangle)$$

# How Shor Code Corrects Bit-Flips

The 9 qubits are grouped into three blocks of three. Each block is a bit-flip code.

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# Errors as a Superposition

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- What happens when an error  $E$  acts on a logical state  $|\psi_L\rangle$ ?
- The result is a superposition of the original state and the three Pauli errors acting on it:

$$E|\psi_L\rangle = c_i I|\psi_L\rangle + c_x X|\psi_L\rangle + c_y Y|\psi_L\rangle + c_z Z|\psi_L\rangle$$

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## Requirements for Error Discretization

- Stabilizers must be able to actually detect the Pauli error basis.
- *Simultaneous* stabilizer measurements require their stabilizers to **commute**.

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- **Conclusion:** By correcting only the discrete Pauli errors, we can correct any arbitrary single-qubit error.

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- Caution: We can't include  $-I$  in  $\mathcal{S}$  (next slide will explain why).

# How Stabilizer Codes Work

- The **codespace** is the subspace of states  $|\psi\rangle$  that are left unchanged (+1 eigenvalue) by all stabilizers:

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- We measure all stabilizer generators to get the **error syndromes**.

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# The 5-Qubit Code: The Smallest Perfect Code

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- Caveat: This requires a non-degenerate quantum code, meaning that every correctable error has a unique syndrome.

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- The four commuting stabilizer generators are:

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$$S_2 = I \otimes X \otimes Z \otimes Z \otimes X$$

$$S_3 = X \otimes I \otimes X \otimes Z \otimes Z$$

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- The logical operators for the 5-qubit code are:

$$\bar{X} = X \otimes X \otimes X \otimes X \otimes X$$

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- The code distance  $d$  is the minimum weight (single qubit Pauli operations) of a non-trivial logical operator.
- For the 5-qubit code,  $d = 3$ . This means it can correct  $t = \lfloor \frac{3-1}{2} \rfloor = 1$  error.

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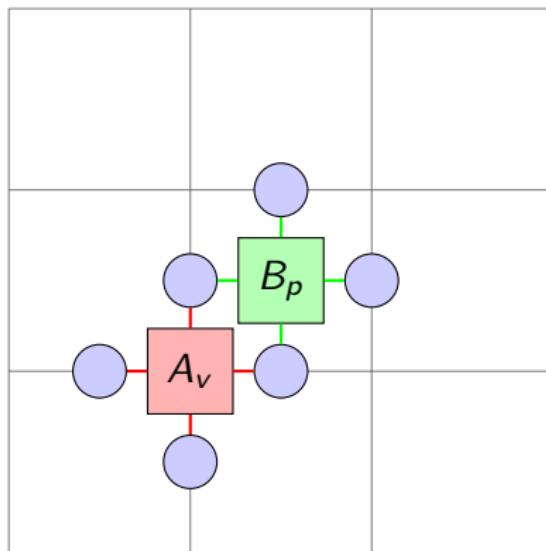
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- It is a **topological code**: its properties are protected by the global structure of the system.

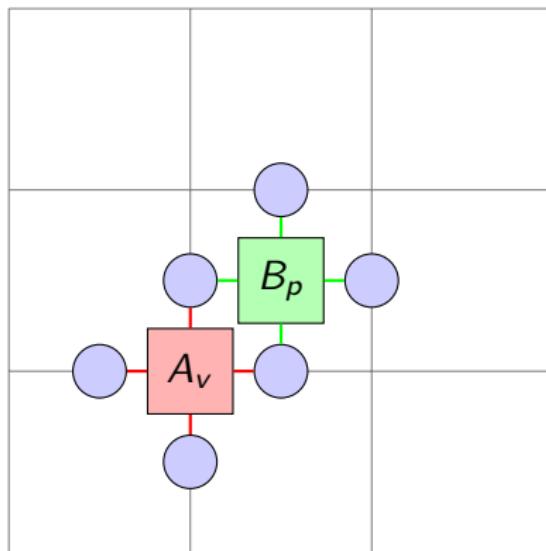
# Surface Code: The Lattice

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- Stabilizers are defined based on the vertices and faces (plaquettes) of the lattice.



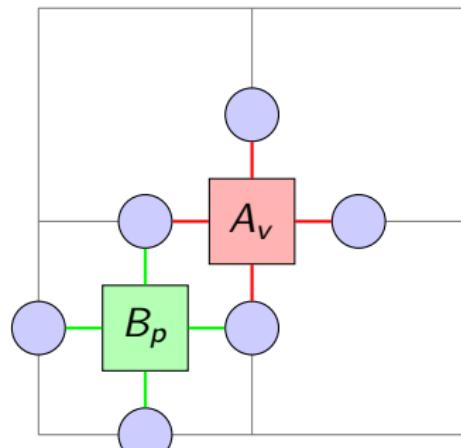
# Surface Code: The Stabilizers

- **Star operators ( $A_v$ ):** Product of  $X$  on qubits meeting at a vertex  $v$ .

$$A_v = \bigotimes_{i \in \text{star}(v)} X_i$$

- **Plaquette operators ( $B_p$ ):** Product of  $Z$  on qubits bounding a face  $p$ .

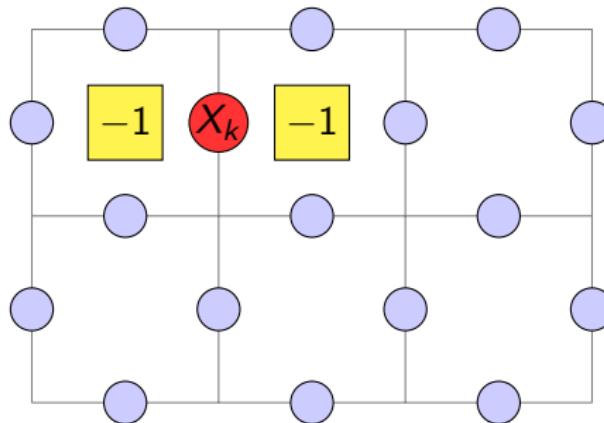
$$B_p = \bigotimes_{i \in \text{boundary}(p)} Z_i$$



# Surface Code: Error Detection

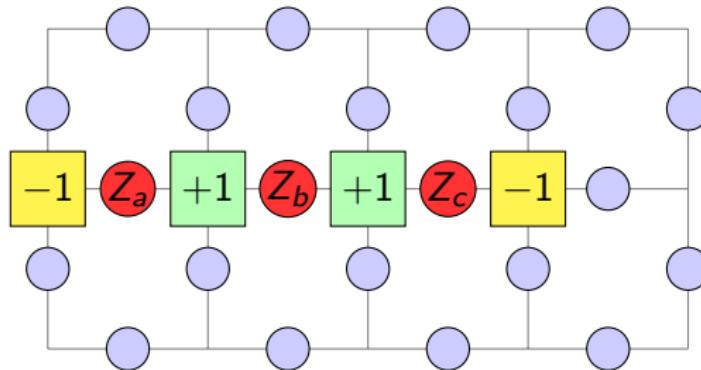
Errors create pairs of **defects** at the ends of error chains.

- **X errors:** Anti-commutes with two adjacent **plaquette** operators, creating a pair of  $Z$ -defects.
- **Z errors:** Anti-commutes with two adjacent **star** operators, creating a pair of  $X$ -defects.



# Error Chains

- A string of errors of the same type creates defects only at the **endpoints** of the chain.
- Example: A chain of three  $Z$  errors ( $Z_a, Z_b, Z_c$ ).



# Do X Errors Form Chains?

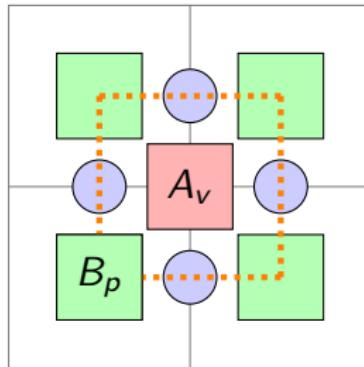
- Motivation: Want to [show](#)<sup>1</sup> that  $X$  errors also form chains.

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<sup>1</sup>Thank you [PanQEC](#) (Eric Huang & Arthur Pesah)!

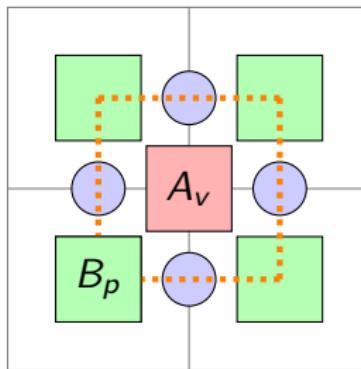
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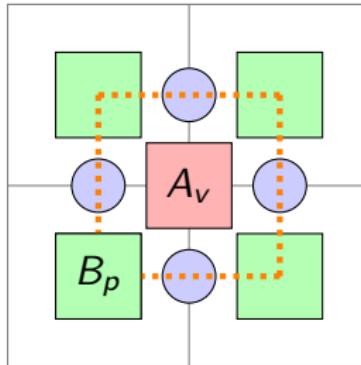
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- **Result:** Star Operators  $\Leftrightarrow$  Plaquette Operators.

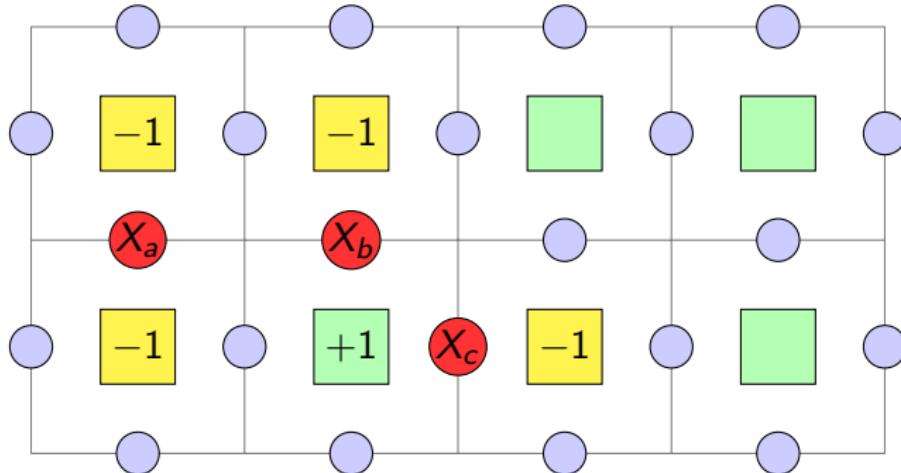
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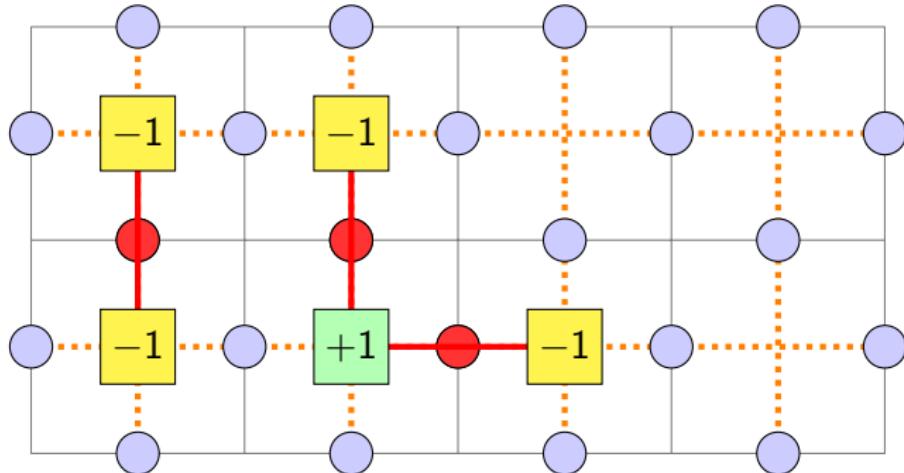


- **Result:** Star Operators  $\Leftrightarrow$  Plaquette Operators.
- An  $X$  error chain on the dual lattice behaves like a  $Z$  error chain on the primal lattice.

# $X$ Error Chain on the Primal Lattice



# X Error Chain on the Dual Lattice



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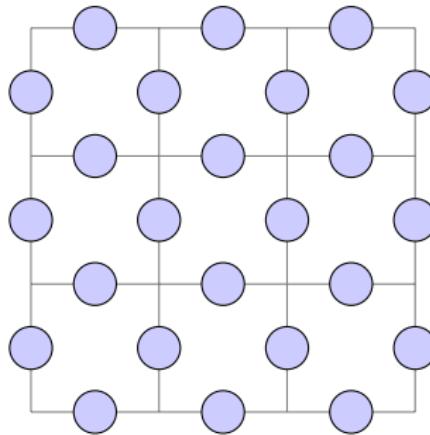
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  - The correction works!

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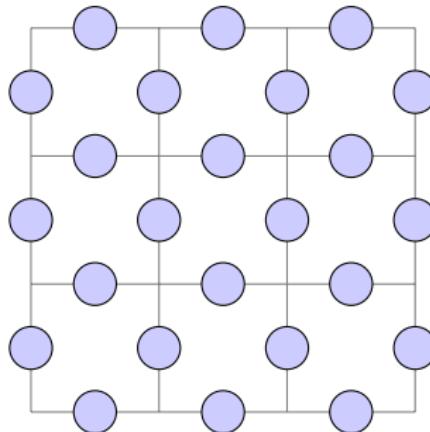
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# Logical Operators are Undetectable

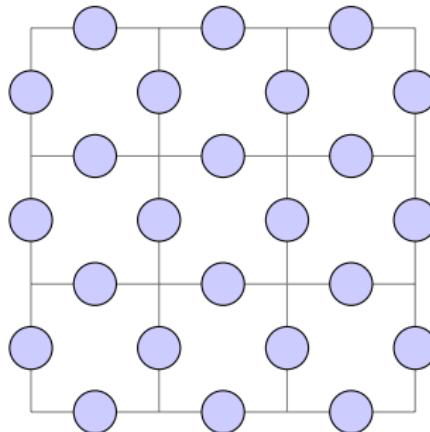
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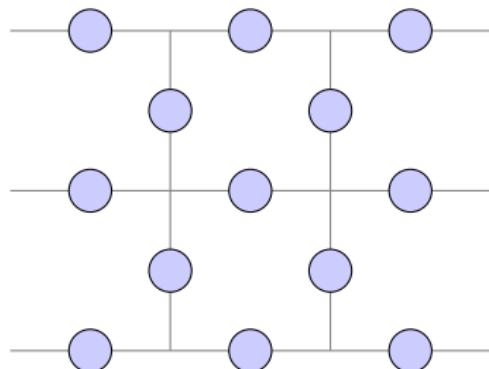
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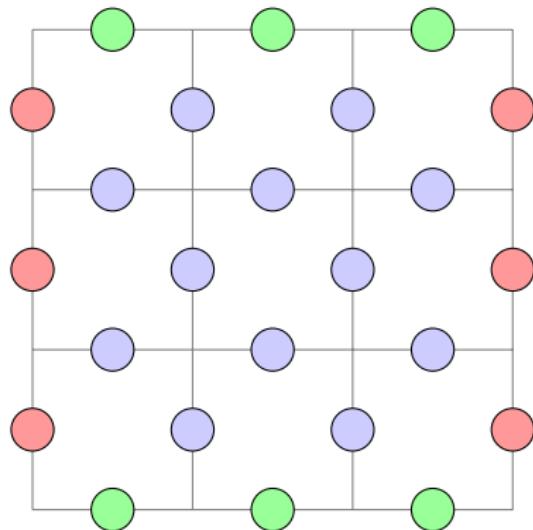
- To get a logical operator we need to create a non-trivial non-detectable operation.
- We can move the endpoints into each other, but this just creates stabilizer given the topology:

# Different Topologies

- To have logical operations we need a different topology:



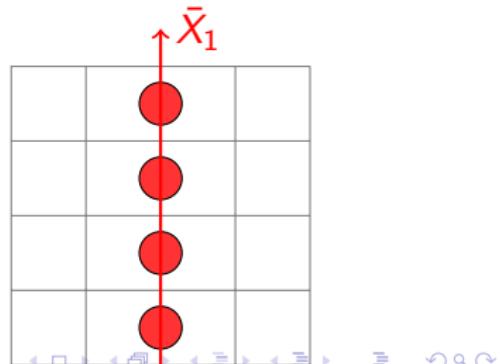
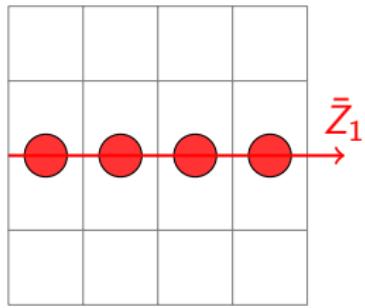
3x3 Planar Code



$d = 3$  Toric Code

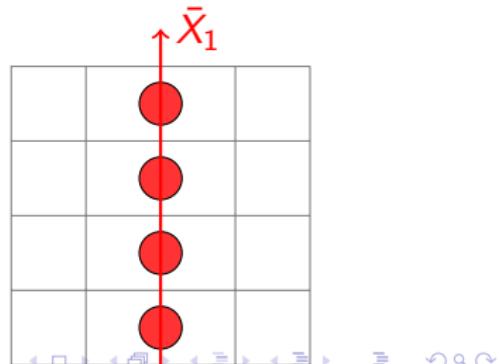
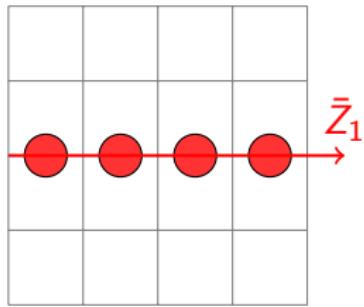
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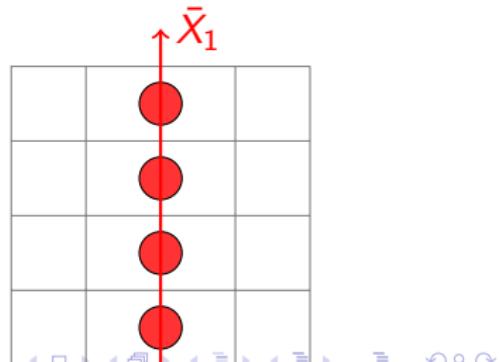
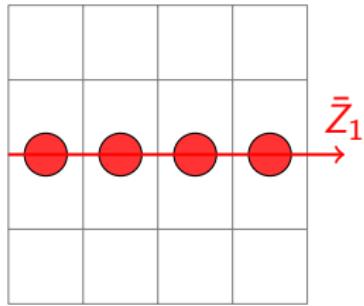
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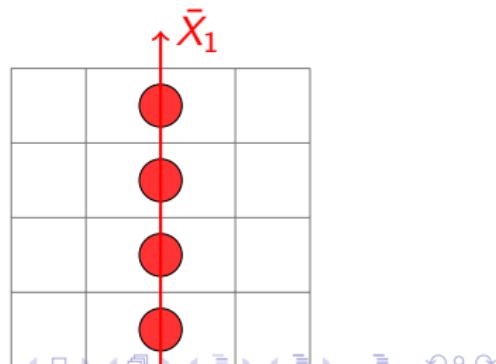
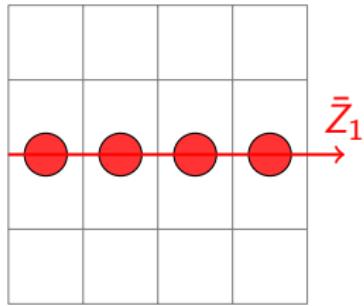
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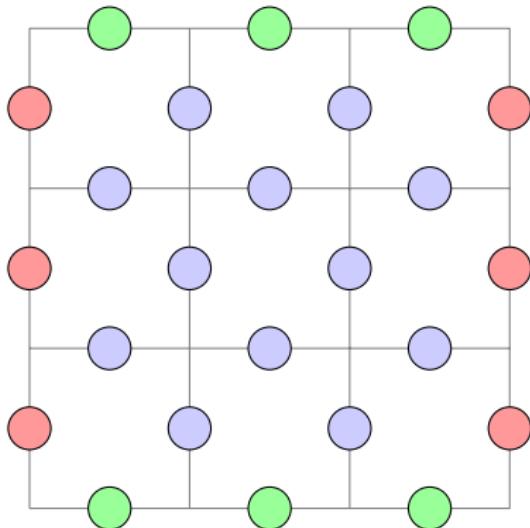
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# Toric Code Properties

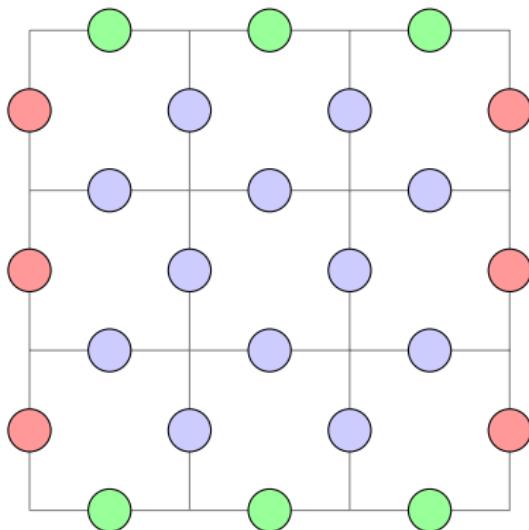
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$3 \times 3$  Toric Code

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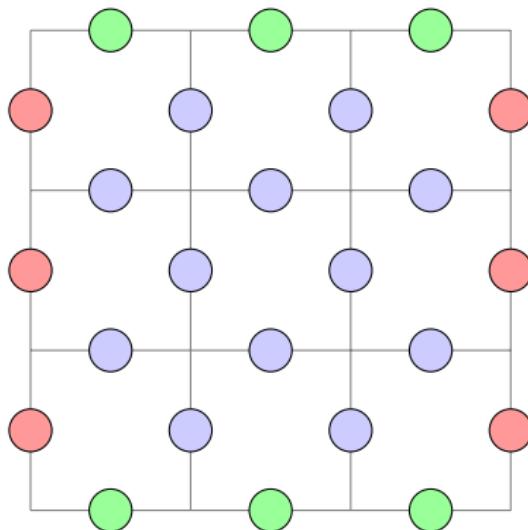
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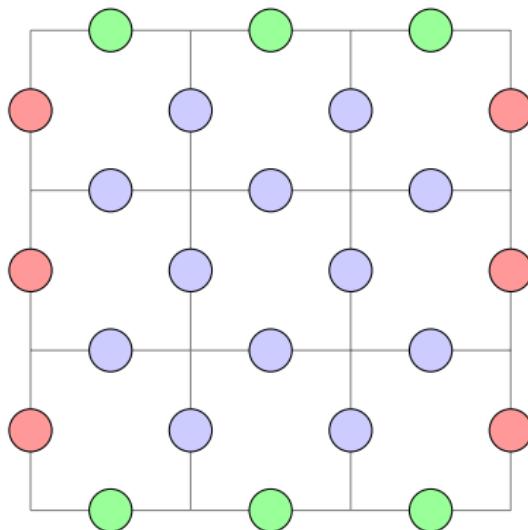
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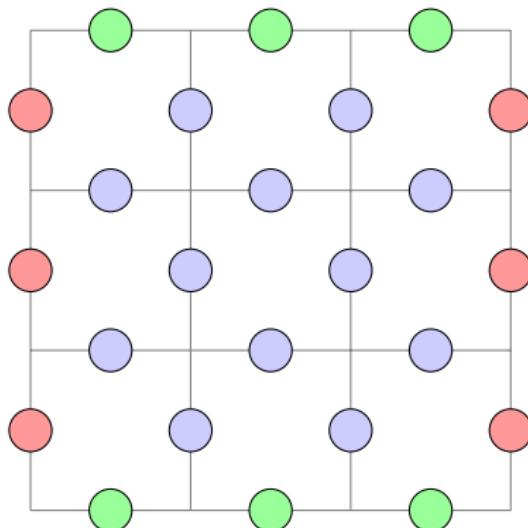
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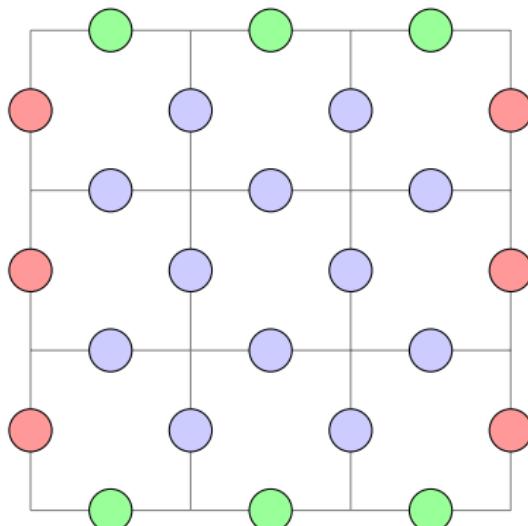
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- So, the toric code is a  $[[2L^2, 2, L]]$  code; correcting up to  $t = \lfloor (L - 1)/2 \rfloor$  errors.



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