#### Runners in action

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#### Today's plan

- Computational effects and external resources in PL
- Issues with standard approaches to external resources
- Runners a natural model for top-level runtime
- T-runners for also modelling non-top-level runtimes
- Turn **T**-runners into a **useful programming construct**
- Demonstrate the use of runners through **programming examples**

# Computational effects and external resources

# Computational effects in PL

### Computational effects in PL

• Using monads (as in HASKELL)

```
type St a = String \rightarrow (a,String)
instance St Monad where
...

f :: St a \rightarrow St (a,a)
f c = c >>= (\ x \rightarrow c >>= (\ y \rightarrow return (x,y)))
```

• Using alg. effects and handlers (as in Eff, Frank, Koka)

```
effect Get : unit → int
effect Put : int → unit

let g (c:unit → a!{Get,Put}) : int → a * int ! {} =
    with st_handler handle (perform (Put 42); c ())
```

### Computational effects in PL

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```

But what about effects that need access to the external world?

Good for simulating comp. effects in a pure language!

#### **External resources in PL**

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• Declare a signature of monads or algebraic effects, e.g.,

```
(* System.IO *)

type IO a

openFile :: FilePath \rightarrow IOMode \rightarrow IO Handle
```

```
(* pervasives.eff *)

effect RandomInt : int → int

effect RandomFloat : float → float
```

And then treat them specially in the compiler, e.g., in EFF

```
(* eff/src/backends/runtime/eval.ml *)
let rec top_handle op =
  match op with
  | Value v → v
  | Call (RandomInt, v, k) →
      top_handle (k (Const.of_integer (Random.int (Value.to_int v))))
  | ...
```

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but there are some issues with that approach ...

#### First issue

- Difficult to cover all possible use cases
  - external resources hard-coded into the top-level runtime
  - non-trivial to change what's available and how it's implemented

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This talk — a principled modular (co)algebraic approach!

#### Second issue

• Lack of linearity for external resources

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- We shall address these kinds of issues **indirectly** (!):
  - by **not** introducing a linear typing discipline
  - instead we make it convenient to hide external resources (addressing stronger typing disciplines in the future)

#### Third issue

• Excessive generality of effect handlers

```
let f (s:string) =
let fh = fopen "foo.txt" in
fwrite (fh,s^s);
fclose fh

let h = handler { fwrite (fh,s) k → return () }
```

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let h = handler { fwrite (fh,s) k → return () }
```

But misuse of external resources can also be purely accidental

```
let g (s1 s2:string) =
  let fh = fopen "foo.txt" in
  let b = choose () in
  if b then (fwrite (fh,s1^s2)) else (fwrite (fh,s2^s1));
  fclose fh

let nd_handler =
  handler { choose () k → return (k true ++ k false) }
```

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  let fh = fopen "foo.txt" in
  fwrite (fh,s^s);
  fclose fh

let h = handler { fwrite (fh,s) k → return () }
```

- We shall address these kinds of issues directly (!!),
  - by proposing a restricted form of handlers for resources
  - that supports controlled initialisation and finalisation,
  - (and in the future limit how general handlers can be used)

# **Runners**

• Given a **signature**<sup>1</sup>  $\Sigma$  of operation symbols  $(A_{op}, B_{op} \text{ are sets})$ 

$$op: A_{op} \leadsto B_{op}$$

a runner<sup>2</sup>  $\mathcal{R}$  for  $\Sigma$  is given by a carrier  $|\mathcal{R}|$  and co-operations

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}: A_{\operatorname{op}} \times |\mathcal{R}| \longrightarrow B_{\operatorname{op}} \times |\mathcal{R}|\right)_{\operatorname{op} \in \Sigma}$$

where think of  $|\mathcal{R}|$  as a set of runtime configurations

<sup>&</sup>lt;sup>1</sup>We consider runners for signatures, but the work generalises to alg. theories.

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• For example, a natural runner R for S-valued state signature

$$\left\{ \quad \mathsf{get} : \mathbb{1} \leadsto S \quad , \quad \mathsf{set} : S \leadsto \mathbb{1} \quad \right\}$$

is given by

$$|\mathcal{R}| \stackrel{\text{def}}{=} S$$
  $\overline{\text{get}}_{\mathcal{R}}(\star, s) \stackrel{\text{def}}{=} (s, s)$   $\overline{\text{set}}_{\mathcal{R}}(s', s) \stackrel{\text{def}}{=} (\star, s')$ 

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- Runners/comodels have been used for
  - operational semantics using tensors of models and comodels
     [Plotkin and Power '08]
  - top-level implementation of algebraic effects in EFF
    [Bauer and Pretnar '15]
    and
  - linear-use state-passing translation [Møgelberg and Staton '11, '14]
  - **stateful running** of algebraic effects [Uustalu '15]

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  - $\bullet$  top-level implementation of algebraic effects in EFF [Bauer and Pretnar '15] and
  - linear-use state-passing translation [Møgelberg and Staton '11, '14]
  - stateful running of algebraic effects [Uustalu '15]
- The latter explicitly rely on one-to-one correspondence between
  - $\bullet$  runners  ${\cal R}$
  - $\bullet \ monad \ morphisms^3 \ \ r: Free_{\Sigma}(-) \longrightarrow \text{St}_{|\mathcal{R}|} \\$

 $<sup>{}^{3}</sup>$ Free $_{\Sigma}(X)$  is the free monad ind. defined with leaves val x and nodes op $(a, \kappa)$ .

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- But what if this runtime is not \*\*the\*\* runtime?
  - hardware vs OSs
  - OSs vs VMs
  - VMs vs sandboxes

#### but also

- browsers vs web pages
- ...

- $\bullet$  So, runners  $\mathcal R$  are a natural model of top-level runtime
- But what if this runtime is not \*\*the\*\* runtime?
  - hardware vs OSs
  - OSs vs VMs
  - VMs vs sandboxes

#### but also

- browsers vs web pages
- ...
- Unfortunately, runners, as defined above, are not readily able to
  - use external resources
  - signal failure caused by unavoidable circumstances
- But is there a useful generalisation that would achieve this?

• Møgelberg and Staton usefully observed that a runner  $\mathcal{R}$  is equivalently simply a family of **generic effects** for  $\mathbf{St}_{|\mathcal{R}|}$ , i.e.,

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• Building on this, we define a **T-runner**  $\mathcal{R}$  for  $\Sigma$  to be given by

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• The one-to-one correspondence with monad morphisms

$$r: \mathbf{Free}_{\Sigma}(-) \longrightarrow \mathbf{T}$$

simply amounts to the universal property of free models, i.e.,

$$\mathsf{r}_X\left(\mathsf{val}\,x\right) = \eta_X\,x \qquad \qquad \mathsf{r}_X\left(\mathsf{op}(\mathsf{a},\kappa)\right) = \underbrace{\left(\mathsf{r}_X \circ \kappa\right)^\dagger \left(\overline{\mathsf{op}}_{\mathcal{R}}\;\mathsf{a}\right)}_{\mathsf{op}_{\mathcal{M}}\left(\mathsf{a},\mathsf{r}_X \circ \kappa\right)}$$

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• Observe that  $\kappa$  appears in a **tail call position** on the right!

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- We want a runner to be a bit like a kernel of an OS, i.e., to
  - (i) provide management of (internal) resources
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  - (iii) signal failure caused by unavoidable circumstances

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- Algebraically (and pragmatically), this amounts to taking
  - (i) getenv :  $\mathbb{1} \rightsquigarrow C$  & setenv :  $C \rightsquigarrow \mathbb{1}$
  - (ii) op :  $A_{op} \leadsto B_{op}$   $(op \in \Sigma', \text{ for some external } \Sigma')$
  - (iii) kill :  $S \leadsto \mathbb{O}$
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  - (iii) kill :  $S \leadsto \mathbb{O}$
  - s.t., (i) satisfy state equations; and (i) commute with (ii) and (iii)
- The **induced monad** is then isomorphic to

$$\mathsf{T}\,X \stackrel{\mathsf{def}}{=} C \Rightarrow \mathsf{Free}_{\Sigma'} ig( X imes C + S ig)$$

• The corresponding **T-runners**  $\mathcal{R}$  for  $\Sigma$  are then of the form

$$\left(\overline{op}_{\mathcal{R}}: A_{op} \longrightarrow C \Rightarrow \mathbf{Free}_{\Sigma'}(B_{op} \times C + S)\right)_{op \in \Sigma}$$

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# Effectful runners for modular top-levels ctd.

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- With this, our **T-runners**  $\mathcal R$  for  $\Sigma$  are (with "primitive" excs.)

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}: A_{\operatorname{op}} \longrightarrow \mathbf{K}_{\Sigma', E_{\operatorname{op}}, S, C} B_{\operatorname{op}}\right)_{\operatorname{op} \in \Sigma}$$

where we call  $K_{\Sigma,E,S,C}$  a kernel monad (the sum of T and excs.)

$$\mathbf{K}_{\Sigma',E_{\mathsf{op}},\mathcal{S},\mathcal{C}}\,B_{\mathsf{op}} \quad \stackrel{\scriptscriptstyle\mathsf{def}}{=} \quad \mathcal{C} \Rightarrow \mathbf{Free}_{\Sigma'}ig((B_{\mathsf{op}}+E_{\mathsf{op}}) imes \mathcal{C}+\mathcal{S}ig)$$

## T-runners as a programming construct

(towards a core calculus for runners)

#### T-runners as a programming construct

• First, we include **T-runners** for  $\Sigma$ 

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in our language as values, and co-ops. as kernel code, i.e.,

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let R = \{ op_1 x_1 \rightarrow K_1, ..., op_n x_n \rightarrow K_n \}_C
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let R = \{ op_1 x_1 \rightarrow K_1 , ... , op_n x_n \rightarrow K_n \}_C
```

For instance, we can provide write-only file access as

```
where \Sigma \ \stackrel{\mathsf{def}}{=} \ \{ \ \mathsf{write} : \mathsf{String} \leadsto 1 \ ! \ E \cup \{ \mathsf{WriteSizeExceeded} \} \ \} \left( \mathsf{fwrite} : \mathsf{FileHandle} \times \mathsf{String} \leadsto 1 \ ! \ E \right) \in \Sigma' \qquad S = \{ \ \mathsf{IOError} \ \}
```

• Recall that the components  $r_X$  of the monad morphism

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induced by a T-runner  $\mathcal{R}$  are all tail-recursive

• We make use of it to run user code:

```
 \begin{array}{l} \mbox{using R @ M_{init}} \\ \mbox{run M} \\ \mbox{finally } \{\mbox{return x @ c} \rightarrow M_{ret} \ , \ ... \ \mbox{raise e @ c} \rightarrow M_e \ ... \ , \ ... \ \mbox{kill s} \rightarrow M_s \ ... \} \\ \mbox{where} \\ \mbox{(user monads)} \\ \end{array}
```

• Ms are user code, modelled using  $U_{\Sigma,E} X \stackrel{\text{def}}{=} \mathbf{Free}_{\Sigma}(X+E)$ 

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using R @ M_{init} run M finally {return x @ c \rightarrow M<sub>ret</sub> , ... raise e @ c \rightarrow M<sub>e</sub> ... , ... kill s \rightarrow M<sub>s</sub> ...} where (user monads)
```

- Ms are user code, modelled using  $U_{\Sigma,E}X \stackrel{\text{def}}{=} \mathbf{Free}_{\Sigma}(X+E)$
- M<sub>init</sub> produces the initial kernel state
- M is the user code being run using the runner R
- M<sub>ret</sub>, M<sub>e</sub>, M<sub>s</sub> finalise for return values, exceptions, and signals

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```
 \begin{array}{l} \textbf{using R @ M_{init}} \\ \textbf{run M} \\ \textbf{finally } \{\textbf{return} \times \textbf{@ c} \rightarrow \textbf{M}_{ret} \;,\; ...\; \textbf{raise e @ c} \rightarrow \textbf{M}_{e} \; ... \;,\; ...\; \textbf{kill s} \rightarrow \textbf{M}_{s} \; ... \} \\ \textbf{where} \\ \end{array}
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- Ms are user code, modelled using  $U_{\Sigma,E} X \stackrel{\text{def}}{=} \mathbf{Free}_{\Sigma}(X + E)$
- M<sub>init</sub> produces the initial kernel state
- M is the user code being run using the runner R
- M<sub>ret</sub>, M<sub>e</sub>, M<sub>s</sub> finalise for return values, exceptions, and signals
- M<sub>ret</sub> and M<sub>e</sub> depend on the final state c, but M<sub>s</sub> does not

• For instance, we can define a PYTHON-esque with construct

```
with fileName do M = using R<sub>FH</sub> @ (fopen fileName) run M finally { return \times @ fh \rightarrow fclose fh; return \times, raise WriteSizeExceeded @ fh \rightarrow fclose fh; return (), raise e @ fh \rightarrow fclose fh; raise e , (* other exceptions in E are re-raised *) kill IOError \rightarrow ... }
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```

- the file handle is hidden from M
- M can only call write: String → 1! E ∪ {WriteSizeExceeded}
   but not (the external operations) fopen, fclose, and fwrite
- fopen and fclose are limited to initialisation-finalisation
- M can itself also catch WriteSizeExceeded to re-try writing

# A core calculus for programming with runners

# **Core calculus** (types and judgements)

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Values

$$\Gamma \vdash V : X \qquad \qquad \Gamma \vdash V \equiv W : X$$

• User computations

$$\Gamma \vdash M : X ! (\Sigma, E)$$
  $\Gamma \vdash M \equiv N : X ! (\Sigma, E)$ 

Kernel computations

$$\Gamma \vdash K : X \nleq (\Sigma, E, S, C) \qquad \qquad \Gamma \vdash K \equiv L : X \nleq (\Sigma, E, S, C)$$

# **Core calculus (types and judgements)**

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User computations

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Kernel computations

$$\Gamma \vdash K : X \nleq (\Sigma, E, S, C)$$

$$\Gamma \vdash K : X \ \not \downarrow \ (\Sigma, E, S, C) \qquad \qquad \Gamma \vdash K \equiv L : X \not \downarrow \ (\Sigma, E, S, C)$$

• **Ground types** (for types of operations and kernel state)

$$A, B, C ::= B \mid 1 \mid 0 \mid A \times B \mid A + B$$

Types

$$X, Y ::= B \mid 1 \mid 0 \mid X \times Y \mid X + Y$$

$$\mid X \to Y! (\Sigma, E)$$

$$\mid X \to Y \not \in (\Sigma, E, S, C)$$

$$\mid \Sigma \Rightarrow (\Sigma', S, C)$$

# **Core calculus (user computations)**

```
M, N ::= \operatorname{return} V
                                                                                   value
              try M with {return x \mapsto N, (raise e \mapsto N_e)_{e \in E}}
                                                                                   exception handler
              VW
                                                                                   application
              match V with \{\langle x, y \rangle \mapsto M\}
                                                                                   product elimination
              match V with \{\}_X
                                                                                   empty elimination
              match V with {inl x \mapsto M, inr y \mapsto N}
                                                                                   sum elimination
             \operatorname{op}_{Y}(V,(x.M),(N_{e})_{e\in E_{on}})
                                                                                   operation call
              raise x e
                                                                                   raise exception
              using V @ W run M finally {
                                                                                   run
                 return x @ c \mapsto N,
                 (\text{raise } e \otimes c \mapsto N_e)_{e \in E},
                 (kill \ s \mapsto N_s)_{s \in S}
              kernel K @ V finally {
                                                                                   switch to kernel mode
                 return x @ c \mapsto N.
                 (raise e @ c \mapsto N_e)_{e \in E},
                 (kill s \mapsto N_s)_{s \in S}
```

## **Core calculus (kernel computations)**

```
K, L ::= \operatorname{return}_C V
                                                                                 value
             try K with {return x \mapsto L, (raise e \mapsto L_e)_{e \in E}}
                                                                                 exception handler
             VW
                                                                                 application
             match V with \{\langle x,y\rangle\mapsto K\}
                                                                                 product elimination
             match V with \{\}_{X@C}
                                                                                 empty elimination
             match V with \{\text{inl } x \mapsto K, \text{inr } y \mapsto L\}
                                                                                 sum elimination
             \operatorname{op}_{X \odot C}(V, (x \cdot K), (L_e)_{e \in E_{on}})
                                                                                 operation call
            raise x a c e
                                                                                 raise exception
             kill_{X@C} s
                                                                                 send signal
             getenv_C(c.K)
                                                                                 get state
             setenv(V, K)
                                                                                 set state
             user M with {return x \mapsto K, (raise e \mapsto L_e)_{e \in E}}
                                                                                 switch to user mode
```

# Core calculus (type system)

# **Core calculus (type system)**

• For example, the typing rule for runners is

$$\Sigma = \{ \operatorname{op}_{1}, \dots, \operatorname{op}_{n} \}$$

$$\left( \Gamma, x_{i} : A_{\operatorname{op}_{i}} \vdash K_{i} : B_{\operatorname{op}_{i}} \notin (\Sigma', E_{\operatorname{op}_{i}}, S, C) \right)_{1 \leqslant i \leqslant n}$$

$$\Gamma \vdash \{ \operatorname{op}_{1} x_{1} \mapsto K_{1}, \dots, \operatorname{op}_{n} x_{n} \mapsto K_{n} \}_{C} : \Sigma \Rightarrow (\Sigma', S, C)$$

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and the typing rule for running user comps. is

$$\Gamma \vdash V : \Sigma \Rightarrow (\Sigma', S, C) \qquad \Gamma \vdash W : C \qquad \Gamma \vdash M : X ! (\Sigma, E)$$

$$\Gamma, x : X, c : C \vdash N_{ret} : Y ! (\Sigma', E') \qquad \left( \Gamma, c : C \vdash N_e : Y ! (\Sigma', E') \right)_{e \in E}$$

$$\left( \Gamma \vdash N_s : Y ! (\Sigma', E') \right)_{s \in S}$$

$$\Gamma \vdash \text{using } V @ W \text{ run } M \text{ finally } \{ \text{ return } x @ c \mapsto N_{ret} ,$$

$$\left( \text{raise } e @ c \mapsto N_e \right)_{e \in E} ,$$

$$\left( \text{kill } s \mapsto N_s \right)_{e \in S} \} : Y ! (\Sigma', E')$$

• For example, the  $\beta$ -equations for running user comps. are

```
\Gamma \vdash \text{using } V @ W \text{ run (return } V') \text{ finally } F \equiv N_{ret}[V'/x, W/c] : Y!(\Sigma', E')
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```

```
\Gamma \vdash \text{using } V \otimes W \text{ run } (\text{raise}_X e) \text{ finally } F \equiv N_e[W/c] : Y!(\Sigma', E')
```

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```
\Gamma \vdash \mathbf{using} \ V \ @ \ W \ \mathbf{run} \ (\mathbf{return} \ V') \ \mathbf{finally} \ F \equiv N_{ret}[V'/x, W/c] : Y \,! \, (\Sigma', E')
\Gamma \vdash \mathbf{using} \ V \ @ \ W \ \mathbf{run} \ (\mathbf{raise}_X \ e) \ \mathbf{finally} \ F \equiv N_e[W/c] : Y \,! \, (\Sigma', E')
\Gamma \vdash \mathbf{using} \ R \ @ \ W \ \mathbf{run} \ (\mathbf{op}_X \ (V, (y.M), (M_e)_{e \in E_{op}})) \ \mathbf{finally} \ F
\equiv \mathbf{kernel} \ K_{op}[V/x_{op}] \ @ \ W \ \mathbf{finally} \ \{
\mathbf{return} \ y \ @ \ c' \ \mathbf{run} \ M \ \mathbf{finally} \ F \ ,
(\mathbf{raise} \ e \ @ \ c' \ \mathbf{run} \ M_e \ \mathbf{finally} \ F)_{e \in E_{op}} \ ,
(\mathbf{kill} \ s \mapsto N_s)_{c \in S} \ \} : Y \,! \ (\Sigma', E')
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• For example, the  $\beta$ -equations for running user comps. are

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\Gamma \vdash \text{using } V \otimes W \text{ run (return } V') \text{ finally } F \equiv N_{ret}[V'/x, W/c] : Y!(\Sigma', E')
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\Gamma \vdash \mathbf{using} \ R @ \mathbf{W} \ \mathbf{run} \ (\mathsf{op}_{\mathbf{X}} \ (V, (y.M), (M_e)_{e \in E_{on}})) \ \mathbf{finally} \ F
   \equiv kernel K_{op}[V/x_{op}] @ W finally {
             return y @ c' \mapsto using R @ c' run M finally F,
            (raise e @ c' \mapsto using R @ c' run M_e finally F)_{e \in F_{-}},
            (kill s \mapsto N_s)<sub>s \in S</sub> } : Y ! (\Sigma', E')
 and the \beta-equation for signal handling is
 \Gamma \vdash \text{kernel } (\text{kill}_{X@C} \ s) \ @ \ W \ \text{finally } F \equiv N_s : Y \,! \, (\Sigma', E')
```

• For example, the  $\beta$ -equations for running user comps. are

```
\Gamma \vdash \text{using } V \otimes W \text{ run (return } V') \text{ finally } F \equiv N_{ret}[V'/x, W/c] : Y!(\Sigma', E')
\Gamma \vdash \text{using } V @ W \text{ run } (\text{raise}_X e) \text{ finally } F \equiv N_e[W/c] : Y!(\Sigma', E')
\Gamma \vdash \mathbf{using} \ R @ \mathbf{W} \ \mathbf{run} \ (\mathsf{op}_{\mathbf{X}} \ (V, (y.M), (M_e)_{e \in E_{on}})) \ \mathbf{finally} \ F
   \equiv kernel K_{op}[V/x_{op}] @ W finally {
             return y @ c' \mapsto using R @ c' run M finally F,
             (raise e @ c' \mapsto using R @ c' run M_e finally F)_{e \in F_{uv}},
            (kill s \mapsto N_s)<sub>s \in S</sub> } : Y ! (\Sigma', E')
```

and the  $\beta$ -equation for signal handling is

```
\Gamma \vdash \text{kernel } (\text{kill}_{X@C} \ s) \ @ \ W \ \text{finally } F \equiv N_s : Y \,! \, (\Sigma', E')
and kernel comp. equations include kernel theory equations
```

# **Core calculus (subtyping)**

The calculus also includes subtyping, and subsumption rules

$$\frac{\Gamma \vdash V : A \qquad A <: B}{\Gamma \vdash V : B}$$

$$\frac{\Gamma \vdash M : A!(\Sigma, E) \qquad \Sigma \subseteq \Sigma' \qquad A <: B \qquad E \subseteq E'}{\Gamma \vdash M : B!(\Sigma', E')}$$

$$\frac{\Gamma \vdash K : A \notin (\Sigma, E, S, C) \qquad \Sigma \subseteq \Sigma'}{A \lessdot B \qquad E \subseteq E' \qquad S \subseteq S' \qquad C \equiv C'}$$

$$\frac{\Gamma \vdash K : B \notin (\Sigma', E', S', C')}{\Gamma \vdash K : B \notin (\Sigma', E', S', C')}$$

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$$\Gamma \vdash K : A \notin (\Sigma, E, S, C) \qquad \Sigma \subseteq \Sigma' 
A <: B \qquad E \subseteq E' \qquad S \subseteq S' \qquad C \equiv C' 
\hline
\Gamma \vdash K : B \notin (\Sigma', E', S', C')$$

- We use  $C \equiv C'$  to have (standard) proof-irrelevant subtyping
- Otherwise, instead of just C <: C', we would need a **lens**  $C' \leftrightarrow C$

- Monadic semantics, for concreteness in Set, using
  - user monads  $U_{\Sigma,E} X \stackrel{\text{def}}{=} \text{Free}_{\Sigma}(X+E)$
  - kernel monads  $K_{\Sigma,E,S,C}X\stackrel{\text{def}}{=} C \Rightarrow \text{Free}_{\Sigma}((X+E)\times C+S)$

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- (At a high level) the judgements are interpreted as maps

$$\llbracket \Gamma \vdash V : X \rrbracket : \llbracket \Gamma \rrbracket \longrightarrow \llbracket X \rrbracket$$

$$[\![\Gamma \vdash M : X \,!\, (\Sigma, E)]\!] : [\![\Gamma]\!] \longrightarrow \textbf{U}_{\Sigma, E}[\![X]\!]$$

$$\llbracket \Gamma \vdash K : X \not \downarrow (\Sigma, E, S, C) \rrbracket : \llbracket \Gamma \rrbracket \longrightarrow \mathbf{K}_{\Sigma, E, S, \llbracket C \rrbracket} \llbracket X \rrbracket$$

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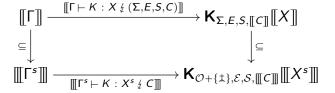
• (At a high level) the judgements are interpreted as maps

$$\llbracket \Gamma \vdash M : X ! (\Sigma, E) \rrbracket : \llbracket \Gamma \rrbracket \longrightarrow \mathbf{U}_{\Sigma, E} \llbracket X \rrbracket$$
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• Theorem: The semantics is coherent (subtyping!) and sound.

In order to prove coherence of the semantics, we actually
work in the (total category Sub(Set) of the) subset fibration

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- For instance, kernel computations are interpreted as



where  $\Gamma^s \vdash K : X^s \nleq C$  is a **skeletal kernel typing judgement** and use the extra op.  $\ddagger : 1 \leadsto 0 ! \{\}$  to model **runtime errors** 

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- In order to prove coherence of the semantics, we actually
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- No essential obstacles to extending to **Sub(Cpo)** and beyond
- Ground type restriction on C simplifies the sem. ( $[\![C]\!] = [\![C]\!]$ )

- $\llbracket V \rrbracket_{\gamma} = \mathcal{R} = \left( \overline{\operatorname{op}}_{\mathcal{R}} : \llbracket A_{\operatorname{op}} \rrbracket \longrightarrow \mathbf{K}_{\Sigma', E_{\operatorname{op}}, S, \llbracket C \rrbracket} \llbracket B_{\operatorname{op}} \rrbracket \right)_{\operatorname{op} \in \Sigma}$
- $[\![ return \times @ c \rightarrow N_{ret} ]\!]_{\gamma} \in [\![ X ]\!] \times [\![ C ]\!] \longrightarrow \mathbf{U}_{\Sigma', E'} [\![ Y ]\!]$
- $[\![ (\text{raise e } @ c \rightarrow N_e)_{e \in E} ]\!]_{\gamma} \in E \times [\![C]\!] \longrightarrow \mathbf{U}_{\Sigma',E'} [\![Y]\!]$
- $\llbracket (\mathsf{kill} \ \mathsf{s} \to \mathsf{N}_{\mathsf{s}})_{\mathsf{s} \in \mathsf{S}} \rrbracket_{\gamma} \in \mathsf{S} \longrightarrow \mathsf{U}_{\Sigma', \mathsf{E}'} \llbracket \mathsf{Y} \rrbracket$

- $\llbracket V \rrbracket_{\gamma} = \mathcal{R} = \left( \overline{op}_{\mathcal{R}} : \llbracket A_{op} \rrbracket \longrightarrow \mathbf{K}_{\Sigma', E_{op}, S, \llbracket C \rrbracket} \llbracket B_{op} \rrbracket \right)_{op \in \Sigma}$
- $\bullet \ \ \llbracket \textbf{return} \ x \ @ \ c \to \textit{N}_{\textit{ret}} \rrbracket_{\gamma} \ \in \ \llbracket X \rrbracket \times \llbracket \textit{C} \rrbracket \longrightarrow \textbf{U}_{\Sigma', E'} \ \llbracket Y \rrbracket$
- $[\![ (\text{raise e } @ \ c \rightarrow N_e)_{e \in E} ]\!]_{\gamma} \in E \times [\![C]\!] \longrightarrow \mathbf{U}_{\Sigma',E'} [\![Y]\!]$
- $[\![(kill\ s \to N_s)_{s \in S}]\!]_{\gamma} \in S \longrightarrow \mathbf{U}_{\Sigma',E'}[\![Y]\!]$
- allowing us to use the free model property to construct

$$\mathbf{U}_{\Sigma,E} \llbracket X \rrbracket \xrightarrow{\mathbf{r}_{\llbracket X \rrbracket + E}} \mathbf{K}_{\Sigma',E,S,\llbracket C \rrbracket} \llbracket X \rrbracket \xrightarrow{(\lambda \llbracket N_{ret} \rrbracket_{\gamma})^{\ddagger}} \underbrace{\llbracket C \rrbracket \Rightarrow \mathbf{U}_{\Sigma',E'} \llbracket Y \rrbracket}_{\text{carrier of ker. th. model}}$$

- $\bullet \quad \llbracket V \rrbracket_{\gamma} = \mathcal{R} = \left( \overline{\mathsf{op}}_{\mathcal{R}} : \llbracket A_{\mathsf{op}} \rrbracket \longrightarrow \mathsf{K}_{\Sigma', E_{\mathsf{op}}, S, \llbracket C \rrbracket} \llbracket B_{\mathsf{op}} \rrbracket \right)_{\mathsf{op} \in \Sigma}$
- $[\![\text{return} \times @ c \rightarrow N_{ret}]\!]_{\gamma} \in [\![X]\!] \times [\![C]\!] \longrightarrow \mathbf{U}_{\Sigma',E'}[\![Y]\!]$
- $[\![ (\text{raise e } @ \ c \rightarrow N_e)_{e \in E} ]\!]_{\gamma} \in E \times [\![C]\!] \longrightarrow \mathbf{U}_{\Sigma',E'} [\![Y]\!]$
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and then apply the resulting composite map to

$$[\![M]\!]_{\gamma} \in \mathbf{U}_{\Sigma,E}[\![X]\!] \quad \text{and} \quad [\![W]\!]_{\gamma} \in [\![C]\!]$$

## **Core calculus (finalisation)**

```
\begin{split} \Gamma \vdash \textbf{using} \ V \ @ \ W \ \textbf{run} \ M \ \textbf{finally} \ \big\{ \ \textbf{return} \ x \ @ \ c \mapsto N_{ret} \ , \\ \big( \textbf{raise} \ e \ @ \ c \mapsto N_e \big)_{e \in E} \ , \\ \big( \textbf{kill} \ s \mapsto N_s \big)_{s \in S} \ \big\} : \ Y \, ! \, \big( \Sigma', E' \big) \end{split}
```

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```

• The finally block  $(N_{ret}, N_e, ..., N_s, ...)$  determines fin. maps

$$\phi_{\gamma}: (\llbracket X \rrbracket + E) \times \llbracket C \rrbracket + S \longrightarrow \mathbf{U}_{\Sigma', E'} \llbracket Y \rrbracket \qquad (\gamma \in \llbracket \Gamma \rrbracket)$$

# **Core calculus (finalisation)**

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- Theorem (finalisation):
  - for every environment  $\gamma \in \llbracket \Gamma \rrbracket$ ,
  - there exists a comp. tree  $t \in \mathbf{Free}_{\Sigma'}(([\![X]\!] + E) \times [\![C]\!] + S)$ ,
  - such that running factors through finalisation, i.e.,

```
\llbracket \Gamma \vdash \mathbf{using} \ V \ @ \ W \ \mathbf{run} \ M \ \mathbf{finally} \ F : Y \ ! \ (\Sigma', E') \rrbracket_{\gamma} = \phi_{\gamma}^{\dagger} \ t
```

# **Implementing runners**

# **Experimenting with the theory in practice**

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- A small experimental language Coop<sup>4</sup>
  - Implements the core calculus with few extras
  - The interpreter is directly based on the equational theory
  - Top-level containers for running external (OCaml) code
  - https://github.com/andrejbauer/coop

<sup>&</sup>lt;sup>4</sup>coop [/ku:p/] - a cage where small animals are kept, especially chickens

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  - https://github.com/andrejbauer/coop
- A HASKELL library HASKELL-COOP
  - A shallow-embedding of the core calculus in HASKELL
  - Uses one of the Freer monad implementations underneath
  - Operational aspects implement the denotational semantics
  - Top-level containers for arbitrary HASKELL monads
  - Examples make use of HASKELL's features (GADTs, ...)
  - https://github.com/danelahman/haskell-coop

<sup>&</sup>lt;sup>4</sup>coop [/ku:p/] - a cage where small animals are kept, especially chickens

#### **Runners in action**

#### Runners can be vertically nested

## Runners can be vertically nested

```
using R<sub>FH</sub> @ (fopen fileName)
run (
    using R<sub>FC</sub> @ (return "")
    run M
    finally {
        return \times @ str \rightarrow write str; return \times ,
        raise WriteSizeExceeded @ str \rightarrow write str; raise WriteSizeExceeded }
)
finally {
    return \times @ fh \rightarrow ... , raise e @ fh \rightarrow ... , kill IOError \rightarrow ... }
```

where the **file contents runner** (with  $\Sigma' = \{\}$ ) is defined as

```
 \begin{tabular}{ll} \textbf{let} & R_{FC} = \{ \\ & write & str^I \rightarrow \textbf{let} & str = \textbf{getenv} \ () & in \\ & & if \ (length \ (str^str^I) > max) \ \textbf{then} \ (raise \ WriteSizeExceeded) \\ & & else \ (setenv \ (str^str^I)) \\ \end{tabular}
```

# Vertical nesting for instrumentation

# Vertical nesting for instrumentation

```
    using R<sub>Cost</sub> ② (return 0)
    run M
    finally {
    return x ② c → report_cost c; return x ,
    raise e ② c → report_cost c; raise e ,
    kill s → raise SignalHappenedException }
```

where the **cost model runner** is defined as

- The runner  $R_{Cost}$  implements the same sig.  $\Sigma$  that M is using
- As a result, the runner R<sub>Cost</sub> is **invisible** from M 's viewpoint

• First, we define a runner for integer-valued ML-style state as

```
type IntHeap = (Nat \rightarrow (Int + 1)) \times Nat
                                                                      type Ref = Nat
let R_{IntState} = \{
  alloc x \rightarrow let h = getenv () in
                                                         (* alloc : Int \rightsquigarrow Ref ! \{\} *)
             let (r,h') = heapAlloc h x in
             setenv h':
             return r,
  deref r \rightarrow let h = getenv () in
                                                         (* deref : Ref → Int ! {} *)
             match (heapSel h r) with
               inl x \rightarrow return x
               inr () → kill ReferenceDoesNotExist ,
  assign r y \rightarrow let h = getenv () in  (* assign : Ref × Int \rightsquigarrow 1 ! \{\} *)
                 match (heapUpd h r y) with
                  | inl h' → setenv h'
                 | inr () → kill ReferenceDoesNotExist
IntHeap
```

• Next, we define F\*-style monotonic state on top of R<sub>IntState</sub>

ullet Next, we define F\*-style monotonic state on top of  $R_{IntState}$ 

```
type MonMemory = Ref \rightarrow (Ord + 1)
                                             type Ord = Int \rightarrow Int \rightarrow Bool
let R_{MonState} = \{
  mAlloc x rel \rightarrow let r = alloc x in
                                                    (*: Int \times Ord \rightsquigarrow Ref! \{\} *)
                     let m = getenv () in
                     setenv (memAdd m r rel);
                     return r,
                                                              (* : Ref → Int! {} *)
  mDeref r \rightarrow deref r.
  mAssign r y \rightarrow let x = deref r in (* : Ref × Int \rightsquigarrow 1 ! \{MV\} *)
                    let m = getenv() in
                    match (memSel m r) with
                    | inl rel \rightarrow if (rel x y)
                                then (assign r y)
                                 else (raise MonotonicityViolation)
                     inr → kill PreorderDoesNotExist
MonMemory
```

• We can then perform runtime monotonicity verification as

• We can then perform runtime monotonicity verification as

```
using R_{IntState} @ ((fun \_ \rightarrow inr ()) , 0) (* init. empty ML—style heap *)
run (
 using R_{MonState} @ (fun \rightarrow inr ()) (* init. empty preorders memory *)
 run (
   let r = mAlloc 0 (\leq) in
   mAssign r 1;
   mAssign r 0; (* R<sub>MonState</sub> raises MonotonicityViolation exception *)
   mAssign r 2
 finally \{ \dots, raise Monotonicity Violation @ m <math>\rightarrow \dots, \dots \}
finally { ... }
```

# Runners can also be horizontally paired

#### Runners can also be horizontally paired

Given runners for

```
\begin{array}{l} \text{let } \mathsf{R}_1 = \{ \ ... \ , \ \mathsf{op}_{1i} \ \mathsf{x}_{1i} \to \mathsf{K}_{1i} \ , \ ... \ \}_{C_1} \\ \text{let } \mathsf{R}_2 = \{ \ ... \ , \ \mathsf{op}_{2j} \ \mathsf{x}_{2j} \to \mathsf{K}_{2j} \ , \ ... \ \}_{C_2} \end{array} \qquad \begin{array}{l} (* : \Sigma_1 \Rightarrow (\Sigma_1', S_1, C_1) \ *) \\ (* : \Sigma_2 \Rightarrow (\Sigma_2', S_2, C_2) \ *) \end{array}
```

we can pair them to get the runner

```
let R = \{ \dots,
                                     (*: \Sigma_1 + \Sigma_2 \Rightarrow (\Sigma_1' + \Sigma_2', S_1 + S_2, C_1 \times C_2) *)
  op_{1i} x_{1i} \rightarrow let (c,c') = getenv () in
                   user (kernel (K_{1i} \times_{1i}) @ c finally {
                               return y \bigcirc c'' \rightarrow return (inl (inl y,c'')),
                               raise e @ c^{11} \rightarrow return (inl (inr e,c^{11})), (*e \in E_{op_{1i}} *)
                               kill s \rightarrow return (inr s) 
                                                                                              (* s \in S_1 *)
                    finally {
                      return (inl (inl y,c'')) \rightarrow seteny (c'',c'); return y,
                      return (inl (inr e,c'')) \rightarrow setenv (c'',c'); raise e,
                      return (inr s) \rightarrow kill s \},
  op_{2j} \times_{2j} \rightarrow ..., ... \}_{C_1 \times C_2}
```

#### Runners can also be horizontally paired

Given runners for

```
\begin{array}{lll} \text{let } \mathsf{R}_1 = \{ \; ... \; \; , \; \; \mathsf{op}_{1i} \; \mathsf{x}_{1i} \; \to \mathsf{K}_{1i} \; \; , \; \; ... \; \}_{C_1} & (*: \Sigma_1 \Rightarrow (\Sigma_1', S_1, C_1) \; *) \\ \text{let } \mathsf{R}_2 = \{ \; ... \; \; , \; \; \mathsf{op}_{2j} \; \mathsf{x}_{2j} \; \to \; \mathsf{K}_{2j} \; \; , \; \; ... \; \}_{C_2} & (*: \Sigma_2 \Rightarrow (\Sigma_2', S_2, C_2) \; *) \end{array}
```

we can pair them to get the runner

```
(*: \Sigma_1 + \Sigma_2 \Rightarrow (\Sigma_1' + \Sigma_2', S_1 + S_2, C_1 \times C_2) *)
let R = \{ \dots, \dots \}
  op_{1i} x_{1i} \rightarrow let (c,c') = getenv () in
                    user (kernel (K_{1i} \times_{1i}) @ c finally {
                                return y \bigcirc c'' \rightarrow return (inl (inl y,c'')),
                               raise e @ c^{II} \rightarrow return (inl (inr e,c^{II})), (* e \in E_{OD1}, *)
                               kill s \rightarrow return (inr s) 
                                                                                              (* s \in S_1 *)
                    finally {
                      return (inl (inl y,c'')) \rightarrow seteny (c'',c'); return y,
                      return (inl (inr e,c'')) \rightarrow setenv (c'',c'); raise e,
                      return (inr s) \rightarrow kill s \},
  op_{2i} \times_{2i} \rightarrow ..., ... \}_{C_1 \times C_2}
```

• For instance, this way we can build a runner for IO and state

# Other examples

#### Other examples

- More general forms of (ML-style) state (for general Ref A)
  - if the host language allows it, we use GADTs, etc for safety
  - some examples extract a footprint from a larger memory
- Combinations of different effects and runners
  - in particular the combination of IO and state
  - good use case for both vertical and horizontal composition
- KOKA-style ambient values and ambient functions
  - ambient values are essentially mutable variables/parameters
  - ambient functions are applied in their lexical context
  - a runner that treats amb. fun. application as a co-operation
  - amb. funs. are stored in a context-depth-sensitive heap
  - the appl. co-operation restores the heap to the lexical context

Ambient values

```
ambient val f: int \rightarrow int ambient val x: int with val x = 4 with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val f = fun y \rightarrow x + y with val
```

#### Ambient values

```
ambient val f: int \rightarrow int

ambient val x: int

with val x = 4

with val f = fun y \rightarrow x + y

with val x = 2

f 1 (* Returns 3 *)
```

(\* Returns 5 \*

#### • Ambient functions

```
ambient fun f: int \rightarrow int ambient val x: int with val x = 4 with fun f = fun y \rightarrow x + y with val x = 2 f 1
```

```
module AmbientsTests where
import Control.Runner
import Control.Runner.Ambients
ambEun :: AmbVal Int -> Int -> AmbEff Int
ambFun x y =
 do x <- aetVal x:
     return (x + y)
test1 :: AmbEff Int
test1 =
 withAmbVal
    (4 :: Int)
    (\ x ->
      withAmbFun
        (ambFun x)
        (\ f ->
          do rebindVal x 2;
             applyFun f 1))
test2 = ambTopLevel test1
```

```
module AmbientsTests where
import Control.Runner
import Control.Runner.Ambients
ambEun :: AmbVal Int -> Int -> AmbEff Int
ambFun \times v =
 do x <- aetVal x:
     return (x + y)
test1 :: AmbEff Int
test1 =
 withAmbVal
    (4 :: Int)
    (\ x ->
      withAmbFun
        (ambFun x)
        (\ f ->
          do rebindVal x 2;
             applyFun f 1))
test2 = ambTopLevel test1
```

```
module Control.Runner.Ambients
ambCoOps :: Amb a -> Kernel sig AmbHeap a
ambCoOps (Bind f) =
  do h <- getEnv;</pre>
     (f.h') <- return (ambHeapAlloc h f):
     setEnv h':
     return f
ambCoOps (Apply f x) =
  do h <- getEnv;</pre>
     (f,d) <- return (ambHeapSel h f (depth h)):
     user
       (run
          ambRunner
          (return (h {depth = d}))
          (f x)
          ambFinaliser)
       return
ambCoOps (Rebind f q) =
  do h <- getEnv;</pre>
     setEnv (ambHeapUpd h f a)
ambRunner :: Runner '[Amb] sia AmbHeap
ambRunner = mkRunner ambCoOps
```

#### Wrapping up

- Runners are a natural model of top-level runtime
- We propose T-runners to also model non-top-level runtimes
- We have turned **T**-runners into a (useful ?) programming construct with controlled initialisation and finalisation
- Despite being affine, T-runners still cover a lot of ground
- Two implementations: Coop & Haskell-Coop
- Ongoing and future: lenses in subtyping and semantics, cat. of runners, handlers, concurrency, refinement typing, compilation, . . .

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