Fibred Computational Effects

(thesis overview)

Danel Ahman

June 29, 2017

Overall aims

Investigate language design principles for combining

```
• refinement types  (\langle \mathsf{op} \rangle (\underline{\tau}_1, \dots, \underline{\tau}_n), \dots)  • dependent types  (\Pi, \Sigma, V =_A W, \dots)
```

• computational effects (state, I/O, probability, recursion, ...)

The goal of this work was to establish that

- dep. types and comp. effects admit a natural combination
- the combination covers a wide range of computational effects

The work was driven by two guiding questions

- Should one allow effectful programs in types?
- How should one treat type-dependency in sequential composition?

Thesis structure

- Chapter 1: Introduction and related work
- Chapter 2: Preliminaries of models of effects and dep. types
- Chapter 3: The core language eMLTT
- Chapter 4: Categorical models of eMLTT
- Chapter 5: Interpretation of eMLTT, soundness, completeness
- Chapter 6: An extension of eMLTT with algebraic effects
- Chapter 7: An extension of eMLTT with handlers
- Chapter 8: Conclusion and future work
- Appendices: Details of longer proofs

Values and computations

- clear distinction, at the level of types and terms (CBPV, EEC)
- both kinds of types are allowed to depend only on values
- selective dependency on computations is possible via thunks

Value and computation types

$$A, B ::= \ldots \mid \Sigma x : A.B \mid \Pi x : A.B \mid V =_A W \mid U\underline{C} \mid \underline{C} \multimap \underline{D}$$

$$C, D ::= FA \mid \Sigma x : A.C \mid \Pi x : A.C$$

$$M, N ::= \operatorname{force}_{\underline{C}} V \mid \operatorname{return} V \mid M \text{ to } x : A \text{ in}_{\underline{C}} N$$

$$\mid \langle V, M \rangle \mid M \text{ to } (x : A, z : \underline{C}) \text{ in}_{\underline{D}} K \mid ...$$

Values and computations

- clear distinction, at the level of types and terms (CBPV, EEC)
- both kinds of types are allowed to depend only on values
- selective dependency on computations is possible via thunks

Value and computation types

$$A, B ::= \dots \mid \Sigma x : A.B \mid \Pi x : A.B \mid V =_A W \mid U\underline{C} \mid \underline{C} \multimap \underline{D}$$

 $\underline{C}, \underline{D} ::= FA \mid \Sigma x : A.\underline{C} \mid \Pi x : A.\underline{C}$

```
V, W ::= x \mid \ldots \mid \operatorname{thunk} M \mid \lambda z : \underline{C}.K
```

```
\begin{array}{lll} M,N & ::= & \operatorname{force}_{\underline{C}} V \mid \operatorname{return} V \mid M \text{ to } x : A \text{ in}_{\underline{C}} N \\ & \mid & \langle V,M \rangle & \mid M \text{ to } (x : A,z : \underline{C}) \text{ in}_{\underline{D}} K \mid \dots \end{array}
```

$$K, L ::= z \mid K \text{ to } x : A \text{ in}_C M \mid \langle V, K \rangle \mid \dots$$

Values and computations

- clear distinction, at the level of types and terms (CBPV, EEC)
- both kinds of types are allowed to depend only on values
- selective dependency on computations is possible via thunks

Value and computation types

$$A, B ::= \ldots \mid \Sigma x : A.B \mid \Pi x : A.B \mid V =_A W \mid U\underline{C} \mid \underline{C} \multimap \underline{D}$$

$$\underline{C}, \underline{D} ::= FA \mid \Sigma x : A . \underline{C} \mid \Pi x : A . \underline{C}$$

$$V, W ::= x \mid \ldots \mid \text{thunk } M \mid \lambda z : \underline{C}.K$$

$$M, N ::= force_{\underline{C}} V \mid return V \mid M \text{ to } x : A \text{ in}_{\underline{C}} N$$

$$\mid \langle V, M \rangle \quad \mid M \text{ to } (x : A, z : \underline{C}) \text{ in}_{\underline{D}} K \mid ...$$

$$K, L ::= z \mid K \text{ to } x : A \text{ in}_C M \mid \langle V, K \rangle \mid \dots$$

Values and computations

- clear distinction, at the level of types and terms (CBPV, EEC)
- both kinds of types are allowed to depend only on values
- selective dependency on computations is possible via thunks

Value and computation types

```
A, B ::= \ldots \mid \Sigma x : A.B \mid \Pi x : A.B \mid V =_A W \mid U\underline{C} \mid \underline{C} \multimap \underline{D}
C, D ::= FA \mid \Sigma x : A.C \mid \Pi x : A.C
```

```
\begin{array}{lll} V,W & ::= & x \mid \ldots \mid \operatorname{thunk} M \mid \lambda z : \underline{C}.K \\ M,N & ::= & \operatorname{force}_{\underline{C}} V \mid \operatorname{return} V \mid M \operatorname{to} x : A \operatorname{in}_{\underline{C}} N \\ & \mid & \langle V,M \rangle & \mid M \operatorname{to} (x : A,z : \underline{C}) \operatorname{in}_{\underline{D}} K \mid \ldots \\ K,L & ::= & z \mid K \operatorname{to} x : A \operatorname{in}_{\underline{C}} M \mid \langle V,K \rangle \mid \ldots \end{array}
```

A natural combination of

- fibrational models of dependent types
- adjunction-based models of computational effects

as depicted in



- structures for modelling eMLTT's value and comp. types
- examples of such models, based on models of EEC, families of set fibration, fibred EM-resolution, and continuous families fibration

A natural combination of

- fibrational models of dependent types
- adjunction-based models of computational effects

as depicted in

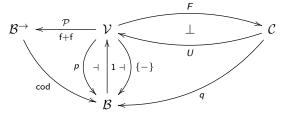


- structures for modelling eMLTT's value and comp. types
- examples of such models, based on models of EEC, families of sets fibration, fibred EM-resolution, and continuous families fibration

A natural combination of

- fibrational models of dependent types
- adjunction-based models of computational effects

as depicted in

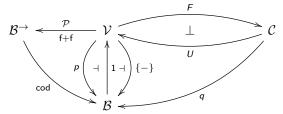


- structures for modelling eMLTT's value and comp. types
- examples of such models, based on models of EEC, families of sets fibration, fibred EM-resolution, and continuous families fibration

A natural combination of

- fibrational models of dependent types
- adjunction-based models of computational effects

as depicted in



- structures for modelling eMLTT's value and comp. types
- examples of such models, based on models of EEC, families of sets fibration, fibred EM-resolution, and continuous families fibration

Interpretation [-]

- an a priori partial interpretation function that, if defined, maps
 - contexts to objects of B
 - ullet value types to objects of ${\mathcal V}$ and computation types to objects of ${\mathcal C}$
 - ullet value and computation terms to global elements in the fibres of ${\cal V}$
 - ullet homomorphism terms to vertical morphisms in ${\mathcal C}$

Soundness

- ullet weakening is interpreted as reindexing along $\pi_{{
 m I\!\!\!\Gamma};\,A{
 m I\!\!\!I}}$
- substitution is interpreted as reindexing along $s(\llbracket \Gamma; V \rrbracket)$
- [─] is defined on well-formed syntax
- [-] identifies definitionally equal contexts, types, and terms

Completeness

constructing a classifying model from the syntax of eMLTT

Interpretation $\llbracket - \rrbracket$

- an a priori partial interpretation function that, if defined, maps
 - ullet contexts to objects of ${\cal B}$
 - ullet value types to objects of ${\mathcal V}$ and computation types to objects of ${\mathcal C}$
 - ullet value and computation terms to global elements in the fibres of ${\cal V}$
 - ullet homomorphism terms to vertical morphisms in ${\mathcal C}$

Soundness

- ullet weakening is interpreted as reindexing along $\pi_{\llbracket\Gamma;\,A
 rbrace}$
- substitution is interpreted as reindexing along $s(\llbracket\Gamma;V\rrbracket)$
- [-] is defined on well-formed syntax
- [─] identifies definitionally equal contexts, types, and terms

Completeness

constructing a classifying model from the syntax of eMLTT

Interpretation $\llbracket - \rrbracket$

- an a priori partial interpretation function that, if defined, maps
 - ullet contexts to objects of ${\cal B}$
 - ullet value types to objects of ${\mathcal V}$ and computation types to objects of ${\mathcal C}$
 - ullet value and computation terms to global elements in the fibres of ${\cal V}$
 - ullet homomorphism terms to vertical morphisms in ${\mathcal C}$

Soundness

- weakening is interpreted as reindexing along $\pi_{\llbracket \Gamma; A \rrbracket}$
- substitution is interpreted as reindexing along $s(\llbracket \Gamma; V \rrbracket)$
- [-] is defined on well-formed syntax
- ullet $[\![-]\!]$ identifies definitionally equal contexts, types, and terms

Completeness

• constructing a classifying model from the syntax of eMLTT

Interpretation $\llbracket - \rrbracket$

- an a priori partial interpretation function that, if defined, maps
 - contexts to objects of ${\cal B}$
 - ullet value types to objects of ${\mathcal V}$ and computation types to objects of ${\mathcal C}$
 - ullet value and computation terms to global elements in the fibres of ${\cal V}$
 - ullet homomorphism terms to vertical morphisms in ${\mathcal C}$

Soundness

- weakening is interpreted as reindexing along $\pi_{\llbracket\Gamma;A\rrbracket}$
- substitution is interpreted as reindexing along $s(\llbracket \Gamma; V \rrbracket)$
- [-] is defined on well-formed syntax
- ullet $[\![-]\!]$ identifies definitionally equal contexts, types, and terms

Completeness

constructing a classifying model from the syntax of eMLTT

Fibred effect theories $\mathcal{T}_{\mathsf{eff}} = (\mathcal{S}_{\mathsf{eff}}, \mathcal{E}_{\mathsf{eff}})$

- dependently typed operation symbols op : (x:1) → O
- effect terms $T ::= w(V) \mid \operatorname{op}_V(y.T) \mid \dots$
- equations $\Gamma \mid \Delta \vdash T_1 = T_2$
- examples
 - global state with location-dependent store types
 - dependently typed update monads

Algebraic effects in eMLTT $_{T_{ m eff}}$

- algebraic operations as comp. terms $M ::= \ldots \mid \operatorname{op}_V^{\mathbb{C}}(y.M)$
- equations are included via translation $(\Gamma \mid \Delta \vdash T)_{A,\overrightarrow{V_i},\overrightarrow{V_i'},\overrightarrow{W_{op}}}$
- general algebraicity equation (for $\Gamma \mid z : \underline{C} \mid_{\overline{h}} K : \underline{D}$)

$$\Gamma \vDash K[\operatorname{op}_{\overline{V}}^{\underline{C}}(y.M)/z] = \operatorname{op}_{\overline{V}}^{\underline{D}}(y.K[M/z]) : \underline{D}$$

Fibred effect theories $\mathcal{T}_{\mathsf{eff}} = (\mathcal{S}_{\mathsf{eff}}, \mathcal{E}_{\mathsf{eff}})$

- dependently typed operation symbols op : $(x:I) \longrightarrow O$
- effect terms $T ::= w(V) \mid \operatorname{op}_V(y.T) \mid \dots$
- equations $\Gamma \mid \Delta \vdash T_1 = T_2$
- examples
 - global state with location-dependent store types
 - dependently typed update monads

Algebraic effects in eMLTT $_{T_{\text{eff}}}$

- algebraic operations as comp. terms $M := ... \mid \operatorname{op}_V^{\mathbb{C}}(y.M)$
- equations are included via translation $(\Gamma \mid \Delta \vdash T)_{A,\overrightarrow{V_i},\overrightarrow{V_i},\overrightarrow{W_0}}$
- general algebraicity equation (for $\Gamma \mid z : \underline{C} \mid_{\overline{h}} K : \underline{D}$)

$$\Gamma \vDash K[\operatorname{op}_{\overline{V}}^{\underline{C}}(y.M)/z] = \operatorname{op}_{\overline{V}}^{\underline{D}}(y.K[M/z]) : \underline{D}$$

Fibred effect theories $\mathcal{T}_{\text{eff}} = (\mathcal{S}_{\text{eff}}, \mathcal{E}_{\text{eff}})$

- dependently typed operation symbols op : $(x:I) \longrightarrow O$
- effect terms $T ::= w(V) \mid \operatorname{op}_V(y.T) \mid \dots$
- equations $\Gamma \mid \Delta \vdash T_1 = T_2$
- examples
 - global state with location-dependent store types
 - dependently typed update monads

Algebraic effects in eMLTT $_{T_{\text{eff}}}$

- algebraic operations as comp. terms $M := \dots \mid \operatorname{op}_{\overline{V}}^{\underline{C}}(y.M)$
- equations are included via translation $(|\Gamma| \Delta \vdash T)_{A,\overrightarrow{V_i},\overrightarrow{V_i},\overrightarrow{W_o}}$
- general algebraicity equation (for $\Gamma \mid z : \underline{C} \mid_{\overline{h}} K : \underline{D}$)

$$\Gamma \vdash K[\operatorname{op}_{V}^{\underline{C}}(y.M)/z] = \operatorname{op}_{V}^{\underline{D}}(y.K[M/z]) : \underline{D}$$

Fibred effect theories $\mathcal{T}_{eff} = (\mathcal{S}_{eff}, \mathcal{E}_{eff})$

- dependently typed operation symbols op : $(x:I) \longrightarrow O$
- effect terms $T ::= w(V) \mid \operatorname{op}_{V}(y.T) \mid \dots$
- equations $\Gamma \mid \Delta \vdash T_1 = T_2$
- examples
 - global state with location-dependent store types
 - dependently typed update monads

Algebraic effects in eMLTT_{T_{eff}}

- algebraic operations as comp. terms $M := \dots \mid \operatorname{op}_{V}^{\underline{C}}(y.M)$
- equations are included via translation $(\Gamma \mid \Delta \vdash T)_{A,\overrightarrow{V_i},\overrightarrow{V_i'},\overrightarrow{W_{op}}}$
- general algebraicity equation (for $\Gamma \mid z : \underline{C} \mid_{\overline{h}} K : \underline{D}$)

$$\Gamma \vdash_{\mathsf{c}} K[\mathsf{op}_V^{\underline{C}}(y.M)/z] = \mathsf{op}_V^{\underline{D}}(y.K[M/z]) : \underline{D}$$

Problem with the conventional term-level def. of handlers

as handling denotes a homomorphism, natural to include

$$K$$
 handled with $\{\mathsf{op}_{\mathsf{x}}(\mathsf{x}')\mapsto \mathsf{N}_{\mathsf{op}}\}_{\mathsf{op}\in\mathcal{S}_{\mathsf{eff}}}$ to $y\!:\!A$ in $\mathsf{N}_{\mathsf{ref}}$

but then can prove unsound equations such as

$$\Gamma$$
 by write $_{ ext{true}}^{F1}(ext{return}\,\star) = ext{write}_{ ext{false}}^{F1}(ext{return}\,\star): F1$

Handlers in eMLTT $_{T_{ ext{eff}}}^{\mathcal{H}}$

- user-defined algebra type $\underline{C} ::= \ldots \mid \langle A, \{V_{\sf op}\}_{\sf op \in S_{\sf eff}} \rangle$
- composition operations $M ::= \dots \mid M \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x := \dots \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= \dots \mid K \text{ as } x$

- derive the conventional presentation of handlers and handling
- define predicates $\Gamma \bowtie V : \mathit{UFA} \to \mathsf{VU}$ on effectful computations

Problem with the conventional term-level def. of handlers

as handling denotes a homomorphism, natural to include

$$K$$
 handled with $\{op_x(x')\mapsto N_{op}\}_{op\in\mathcal{S}_{eff}}$ to $y\!:\!A$ in N_{ret}

but then can prove unsound equations such as

$$\Gamma \models \mathsf{write}_{\mathsf{true}}^{F1}(\mathsf{return}\,\star) = \mathsf{write}_{\mathsf{false}}^{F1}(\mathsf{return}\,\star) : F1$$

Handlers in eMLTT $_{\tau_{so}}^{\mathcal{H}}$

- user-defined algebra type $\underline{C} ::= \ldots \mid \langle A, \{V_{\sf op}\}_{\sf op \in \mathcal{S}_{\sf eff}}
 angle$
- composition operations $M ::= ... \mid M \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land K ::= ... \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} \land N$

- derive the conventional presentation of handlers and handling
- define predicates $\Gamma \bowtie V : UFA \rightarrow VU$ on effectful computations

Problem with the conventional term-level def. of handlers

as handling denotes a homomorphism, natural to include

$$K$$
 handled with $\{op_x(x') \mapsto N_{op}\}_{op \in S_{eff}}$ to $y:A$ in N_{ret}

but then can prove unsound equations such as

$$\Gamma \models \mathsf{write}_{\mathsf{true}}^{F1}(\mathsf{return}\,\star) = \mathsf{write}_{\mathsf{false}}^{F1}(\mathsf{return}\,\star) : F1$$

Handlers in eMLTT $_{T_{off}}^{\mathcal{H}}$

- user-defined algebra type $\underline{C} ::= \ldots \mid \langle A, \{V_{\sf op}\}_{\sf op \in \mathcal{S}_{\sf eff}} \rangle$
- composition operations $M ::= ... \mid M \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} N$ $K ::= ... \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} N$

- derive the conventional presentation of handlers and handling
- define predicates $\Gamma \vdash V : UFA \rightarrow VU$ on effectful computations

Problem with the conventional term-level def. of handlers

as handling denotes a homomorphism, natural to include

$$K$$
 handled with $\{op_x(x') \mapsto N_{op}\}_{op \in S_{eff}}$ to $y: A$ in N_{ret}

• but then can prove unsound equations such as

$$\Gamma \vDash \text{write}_{\text{true}}^{F1}(\text{return} \star) = \text{write}_{\text{false}}^{F1}(\text{return} \star) : F1$$

Handlers in eMLTT $_{T_{aff}}^{\mathcal{H}}$

- user-defined algebra type $\underline{C} ::= \ldots \mid \langle A, \{V_{\sf op}\}_{\sf op \in \mathcal{S}_{\sf eff}} \rangle$
- composition operations $M ::= ... \mid M \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} N$ $K ::= ... \mid K \text{ as } x : U\underline{C} \text{ in}_{\underline{D}} N$

- derive the conventional presentation of handlers and handling
- define predicates $\Gamma \vdash V : \mathit{UFA} \to \mathsf{VU}$ on effectful computations

Thesis structure

- Chapter 1: Introduction and related work
- Chapter 2: Preliminaries of models of effects and dep. types
- Chapter 3: The core language eMLTT
- Chapter 4: Categorical models of eMLTT
- Chapter 5: Interpretation of eMLTT, soundness, completeness
- Chapter 6: An extension of eMLTT with algebraic effects
- Chapter 7: An extension of eMLTT with handlers
- Chapter 8: Conclusion and future work
- Appendices: Details of longer proofs