# A fibrational view on computational effects

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Copenhagen, 13 November 2017

#### We investigate the combination of

- dependent types  $(\Pi, \Sigma, V =_{\mathcal{A}} W, ...)$
- computational effects (state, I/O, probability, recursion, ...)

#### Two guiding problems

- effectful programs in types (e.g., read and write in types)
- types of effectful programs (e.g., of sequential composition)

- tell a mathematically natural story
- use established math. techniques
- cover a wide range of comp. effects
- discover smth. interesting

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- use established math. techniques (fibrations and adjunctions)
- cover a wide range of comp. effects (alg. effects, continuations)
- discover smth. interesting (using handlers to reason about effects)

(type-dependency in the presence of effects)

Let's assume that we have some dependent type A, e.g.:

$$\ell\!:\!(\mathsf{List}\;\mathsf{Chr})\vdash A(\ell) \quad \stackrel{\scriptscriptstyle\mathsf{def}}{=} \quad \Sigma\,\ell'\!:\!(\mathsf{List}\;\mathsf{Chr})\,.\,(\mathsf{length}\;\ell =_{\mathsf{Nat}}\mathsf{length}\;\ell'\times\ldots)$$

which could be used to type the dependent function

$$\mathtt{sort}: \mathsf{\Pi}\,\ell \colon (\mathsf{List}\;\mathsf{Chr}) \cdot A(\ell)$$

- **Q:** Should we allow  $A[receive(y, M)/\ell]$ ?
  - i.e., should we be allowed to type receive(y. M): List Chr
- A1: In this work we say no
  - types should only depend on static information about effects
  - we recover dependency on effectful computations via thunks
- **A2:** We are also looking into the yes case
  - type-dependency needs to be "homomorphic" (more on this later)

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Aim: Types should only depend on static info about effects

**Solution:** CBPV/EEC style distinction between vals. and comps

```
• value types \Gamma \vdash A (MLTT + thunks + ...)
```

- computation types  $\Gamma \vdash \underline{C}$  (dep. CBPV/EEC)
- where  $\Gamma$  contains only value variables  $x_1: A_1, \ldots, x_n: A_n$

**Note:** Could have also considered  $\lambda_{ML}$  and FGCBV

- building on CBPV/EEC gives a more general story
- especially for the treatment of sequential composition
- and also for integrating dependent- and effect-typing

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(e.g., sequential composition)

The problem: The standard typing rule for seq. composition

$$\frac{\Gamma \vdash_{c} M : FA \qquad \Gamma, x : A \vdash_{c} N : \underline{C}}{\Gamma \vdash_{c} M \text{ to } x : A \text{ in } N : \underline{C}}$$

is not correct any more because x can appear free in the type

(

in the conclusion

Aim: To fix the typing rule of sequential composition

**Option 1:** We could restrict the free variables in  $\underline{C}$ : [Levy'04]

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But sometimes it is useful if  $\underline{C}$  can depend on x!

if we consider

fopen (return true, return false) to 
$$x$$
:Bool in  $N$ 

• then it would be natural to let  $\underline{C}$  depend on x, e.g.,

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x: \mathsf{Bool} \vdash \underline{C}(x) \stackrel{\mathsf{def}}{=} \mathsf{if} \ x \ \mathsf{then} \ \text{``allow fread, fwrite, and fclose''} else "allow fopen"
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Option 2: One could lift sequential composition to type level

$$\Gamma \vdash M \text{ to } x : A \text{ in } N : M \text{ to } x : A \text{ in } C$$

But then all computation types would be singleton-like!?!

**Option 3:** In the monadic metalanguage  $\lambda_{ML}$ , one could also try

$$\Gamma \vdash M : T A \qquad \Gamma, x : A \vdash N : T B(x) 
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But what makes this a principled solution? Why is it correct?

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**Option 4:** We draw inspiration from algebraic effects  $\bullet$  and combine it with restricting  $\underline{C}$  in seq. comp. (**Option 1**)

E.g., consider the non-det. program (for  $x: Nat \vdash N : \underline{C}(x)$ )  $M \stackrel{\text{def}}{=} \text{choose (return 4, return 2) to } x: Nat in N$ 

After tossing the coin, this program evaluates as either  $N[4/x] : \underline{C}[4/x]$  or  $N[2/x] : \underline{C}[2/x]$ 

**Idea:** M denotes an element of the coproduct of algebras  $\underline{C}[4/x] + \underline{C}[2/x] \quad "\stackrel{\text{def}}{=} " \quad F\left(U\left(\underline{C}[4/x]\right) + U\left(\underline{C}[2/x]\right)\right)_{/\equiv}$ 

and thus we would like to type M at the type  $\Sigma x$ : Nat.  $\underline{C}$ 

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### Putting these ideas together

(eMLTT: a core dep.-typed language with comp. effects)

### eMLTT – types

Value types: MLTT + thunks + ...

$$A, B ::=$$
Nat  $\mid 1 \mid 0 \mid \Pi x : A.B \mid \Sigma x : A.B \mid V =_A W \mid U \subseteq | \dots |$ 

•  $U \subseteq C$  is the type of thunked (i.e., suspended) computations

Computation types: dep.-typed version of EEC's comp. types

$$\underline{C}, \underline{D} ::= FA \mid \Pi x : A . \underline{C} \mid \Sigma x : A . \underline{C}$$

- F A is the type of computations returning values of type A
- Πx: A. <u>C</u> is the type of dependent effectful functions
  - generalises CBPV/EEC's comp. types  $A \to \underline{C}$  and  $\underline{C} \times \underline{D}$
- Σx: A.C is the type of dep. pairs of values and effectful comps.
  - captures the intuition about seq. comp. and coprods. of algebras
  - generalises EEC's comp. types  $!A \otimes C$  and  $C \oplus D$

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Value terms: MLTT + thunks + ... V, W ::= x \mid zero \mid succ V \mid ... \mid thunk M \mid ...
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equational theory based on intensional MLTT

**Comp. terms:** dep.-typed version of CBPV/EEC's comp. terms

```
\begin{array}{lll} M,N ::= & \operatorname{force} V \\ & | & \operatorname{return} V \\ & | & M \operatorname{to} x{:}A \operatorname{in} N \\ & | & \lambda x{:}A.M \\ & | & MV \\ & | & \langle V,M \rangle & (\operatorname{comp.} \Sigma \operatorname{intro.}) \\ & | & M \operatorname{to} \langle x{:}A,z{:}\underline{C} \rangle \operatorname{in} K & (\operatorname{comp.} \Sigma \operatorname{elim.}) \end{array}
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But: Value and comp. terms alone do not suffice, as in EEC!

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But: Value and comp. terms alone do not suffice, as in EEC!

**Note:** We need to define K in such a way that the intended left-to-right evaluation order is preserved, e.g., consider

$$\Gamma \vDash \langle V, M \rangle \text{ to } \langle x \colon A, \mathbf{z} \colon \underline{C} \rangle \text{ in } \mathbf{K} = \mathbf{K}[V/x, M/\mathbf{z}] \colon \underline{D}$$

Homomorphism terms: dep.-typed version of EEC's linear terms

$$K, L := z$$
 (linear comp. vars.)  
 $\mid K \text{ to } x : A \text{ in } M$   
 $\mid \lambda x : A . K$   
 $\mid KV$   
 $\mid \langle V, K \rangle$  (comp.  $\Sigma \text{ intro.}$ )  
 $\mid K \text{ to } \langle x : A, z : C \rangle \text{ in } L$  (comp.  $\Sigma \text{ elim.}$ )

#### Typing judgments:

- Γ ⋈ V : A
- Γ la M : C
- $\Gamma \mid z : \underline{C} \mid_{\overline{h}} K : \underline{D}$  (linear in z; comp. bound to z happens first

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#### **Typing judgments:**

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### eMLTT – typing sequential composition

We can then account for type-dependency in seq. comp. as

$$\frac{\Gamma, x : A \vdash N : \underline{C}(x)}{\Gamma \vdash R \quad \Gamma \vdash \Sigma y : A \cdot \underline{C}(y) \quad \overline{\Gamma, x : A \vdash \langle x, N \rangle : \Sigma y : A \cdot \underline{C}(y)}}{\Gamma \vdash R \quad \text{to } x : A \text{ in } \langle x, N \rangle : \Sigma y : A \cdot \underline{C}(y)}$$

The seq. comp. rule for  $\lambda_{\rm ML}$  is justified by the type isomorphism

$$\frac{\Gamma \vdash A \qquad \Gamma, x : A \vdash B(x)}{\Gamma \vdash U(\Sigma x : A . F(B)) \cong UF(\Sigma x : A . B) = T(\Sigma x : A . B)}$$

### Categorical semantics of eMLTT

(fibrations + adjunctions)

### Categorical semantics - MLTT part

We define fibred adjunction models  $(\mathcal{B}, \mathcal{V}, p, q, F \dashv U)$ 

• Theorem: a sound and complete class of models for eMLTT

given by: i) a split closed comprehension cat. p (with s. fib. 0, ...)



- ullet we define a partial interpretation fun.  $[\![-]\!]$ , that (if defined) maps:
  - a context  $\Gamma$  to and object  $\llbracket \Gamma \rrbracket$  in  $\mathcal{B}$ , with  $\llbracket \Gamma, x : A \rrbracket \stackrel{\text{def}}{=} \{ \llbracket \Gamma; A \rrbracket \}$
  - a context  $\Gamma$  and a value type A to an object  $[\![\Gamma;A]\!]$  in  $\mathcal{V}_{[\![\Gamma]\!]}$
  - ullet a context  $\Gamma$  and a value term V to  $[\![\Gamma;V]\!]:1_{[\![\Gamma]\!]}\longrightarrow A$  in  $\mathcal{V}_{[\![\Gamma]\!]}$

#### **Categorical semantics – MLTT part**

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  - a context  $\Gamma$  to and object  $\llbracket \Gamma \rrbracket$  in  $\mathcal{B}$ , with  $\llbracket \Gamma, x : A \rrbracket \stackrel{\text{def}}{=} \{ \llbracket \Gamma; A \rrbracket \}$
  - a context  $\Gamma$  and a value type A to an object  $\llbracket \Gamma ; A 
    rbracket$  in  $\mathcal{V}_{\llbracket \Gamma 
    rbracket}$
  - a context  $\Gamma$  and a value term V to  $\llbracket \Gamma; V \rrbracket : 1_{\llbracket \Gamma \rrbracket} \longrightarrow A$  in  $\mathcal{V}_{\llbracket \Gamma \rrbracket}$

#### Categorical semantics - MLTT part

We define fibred adjunction models  $(\mathcal{B}, \mathcal{V}, p, q, F \dashv U)$ 

• Theorem: a sound and complete class of models for eMLTT

given by: i) a split closed comprehension cat. p (with s. fib. 0, ...)

$$\begin{array}{c|c}
V \\
\uparrow \\
\uparrow \\
\downarrow \\
B
\end{array}$$

- the display maps  $\pi_{\llbracket\Gamma;A\rrbracket}:\llbracket\Gamma,x:A\rrbracket\longrightarrow \llbracket\Gamma\rrbracket$  in  $\mathcal B$  induce the weakening functors  $\pi_{\llbracket\Gamma;A\rrbracket}^*:\mathcal V_{\llbracket\Gamma\rrbracket}\longrightarrow \mathcal V_{\llbracket\Gamma,x:A\rrbracket}$ , and
- the value  $\Sigma$  and  $\Pi$ -types are interpreted as adjoints

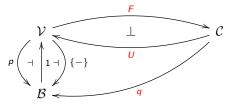
$$\begin{array}{l} \Sigma_{\llbracket\Gamma;A\rrbracket} \dashv \pi_{\llbracket\Gamma;A\rrbracket}^* : \mathcal{V}_{\llbracket\Gamma\rrbracket} \longrightarrow \mathcal{V}_{\llbracket\Gamma,x:A\rrbracket} \qquad \text{(such that $\Sigma$ is strong)} \\ \pi_{\llbracket\Gamma;A\rrbracket}^* \dashv \Pi_{\llbracket\Gamma;A\rrbracket} : \mathcal{V}_{\llbracket\Gamma,x:A\rrbracket} \longrightarrow \mathcal{V}_{\llbracket\Gamma\rrbracket} \end{array}$$

### Categorical semantics - effects part

We define fibred adjunction models  $(\mathcal{B}, \mathcal{V}, p, q, F \dashv U)$ 

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given by: ii) a split fibration q (with ...) and a s. fib. adj.  $F \dashv U$ 



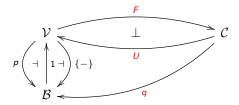
- - a ctx.  $\Gamma$  and a comp. type  $\underline{C}$  to an object  $\llbracket \Gamma ; \underline{C} \rrbracket$  in  $\mathcal{C}_{\llbracket \Gamma \rrbracket}$
  - a ctx.  $\Gamma$  and a comp. term M to  $\llbracket \Gamma; M \rrbracket : 1_{\llbracket \Gamma \rrbracket} \longrightarrow U(\underline{C})$  in  $\mathcal{V}_{\llbracket \Gamma \rrbracket}$
  - a ctx.  $\Gamma$ , a c. var. z, a c. type  $\underline{C}$ , and a hom. term K to  $[\![\Gamma;z\!:\!\underline{C};K]\!]:[\![\Gamma;\underline{C}]\!]\longrightarrow \underline{D}$  in  $\mathcal{C}_{[\![\Gamma]\!]}$

### Categorical semantics - effects part

We define fibred adjunction models  $(\mathcal{B}, \mathcal{V}, p, q, F \dashv U)$ 

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- we again have weakening functors  $\pi_{\llbracket\Gamma:A\rrbracket}^*:\mathcal{C}_{\llbracket\Gamma\rrbracket}\longrightarrow\mathcal{C}_{\llbracket\Gamma,x:A\rrbracket}$ , and
- the comp.  $\Sigma$  and  $\Pi$ -types are interpreted again as adjoints

$$\begin{split} & \Sigma_{\llbracket \Gamma; A \rrbracket} \dashv \pi_{\llbracket \Gamma; A \rrbracket}^* : \mathcal{C}_{\llbracket \Gamma \rrbracket} \longrightarrow \mathcal{C}_{\llbracket \Gamma, \mathbf{x} : A \rrbracket} \\ & \pi_{\llbracket \Gamma; A \rrbracket}^* \dashv \Pi_{\llbracket \Gamma; A \rrbracket} : \mathcal{C}_{\llbracket \Gamma, \mathbf{x} : A \rrbracket} \longrightarrow \mathcal{C}_{\llbracket \Gamma \rrbracket} \end{split}$$

### **Digression:** dep. elimination of 0 and +

The coproduct type A + B:

[Jacobs'99]

- require  $p: \mathcal{V} \longrightarrow \mathcal{B}$  to have split fibred coproducts  $A +_X B$ , and
- $\langle \{\mathsf{inl}_A\}^*, \{\mathsf{inr}_B\}^* \rangle : \mathcal{V}_{\{A+_XB\}} \longrightarrow \mathcal{V}_{\{A\}} \times \mathcal{V}_{\{B\}}$  to be fully-faith.
- allows one to interpret dependent case analysis, i.e.,

$$\mathcal{V}_{\{A\}}\Big(1_{\{A\}}, \{\operatorname{inl}_A\}^*(C)\Big) \times \mathcal{V}_{\{B\}}\Big(1_{\{B\}}, \{\operatorname{inr}_B\}^*(C)\Big) \\ \cong \\ \mathcal{V}_{\{A+_{X}B\}}\Big(1_{\{A+_{X}B\}}, C\Big)$$

provides semantics for

$$\frac{\Gamma, y_1 : A \trianglerighteq W_1 : C[\operatorname{inl}_A y_1/x] \quad \Gamma, y_2 : B \trianglerighteq W_2 : C[\operatorname{inr}_B y_2/x]}{\Gamma, x : A + B \trianglerighteq \operatorname{case} x \text{ of } (\operatorname{inl}(y_1) \mapsto W_1, \operatorname{inr}(y_2) \mapsto W_2) : C[\operatorname{inr}_B y_2/x]}$$

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### Digression: dep. elimination of colimits

#### A generalisation:

[Ahman'17]

- Idea: fully-faith. for cocones  $A \longrightarrow A \circledast_X B \longleftarrow B$  is enough, and we can generalise this to all split fibred colimits
- Theorem:
  - if for every object  $X \in \mathcal{B}$  and diagram  $J : \mathcal{D} \longrightarrow \mathcal{V}_X$ there exists a cocone  $\underline{\operatorname{in}}^J : J \longrightarrow \Delta(\underline{\operatorname{colim}}(J))$  in  $\mathcal{V}_X$ ,
  - such that f\*(in<sup>J</sup><sub>D</sub>) = in<sup>f\*oJ</sup><sub>D</sub>, for any f : X → Y, and such that the unique mediating functor

$$\begin{split} & \langle \{\underline{\operatorname{in}}_D^J\}_{D\in\mathcal{D}}^* \rangle : \mathcal{V}_{\{\underline{\operatorname{colim}}(J)\}} \longrightarrow \operatorname{lim}(\widehat{J}) \\ & \text{s fully-faithful (for } \widehat{J} : \mathcal{D}^{op} \longrightarrow \operatorname{Cat, where } \widehat{J}(D) = \mathcal{V}_{\{J(D)\}}) \end{split}$$

then p has split fibred colimits of shape D, and
 p supports dependent elimination for them (analogously to +x)

### Digression: dep. elimination of colimits

#### A generalisation:

[Ahman'17]

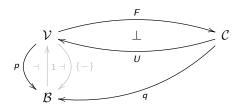
• Idea: fully-faith. for cocones  $A \longrightarrow A \circledast_X B \longleftarrow B$  is enough, and we can generalise this to all split fibred colimits

#### • Theorem:

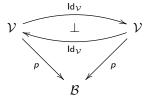
- if for every object X ∈ B and diagram J : D → V<sub>X</sub>
   there exists a cocone in J : J → Δ(colim(J)) in V<sub>X</sub>,
- such that  $f^*(\underline{\operatorname{in}}_D^J) = \underline{\operatorname{in}}_D^{f^*\circ J}$ , for any  $f: X \longrightarrow Y$ , and such that the unique mediating functor

$$\langle \{\underline{\mathsf{in}}_D^J\}_{D\in\mathcal{D}}^* \rangle : \mathcal{V}_{\{\underline{\mathsf{colim}}(J)\}} \longrightarrow \mathsf{lim}(\widehat{J})$$
 is fully-faithful (for  $\widehat{J}: \mathcal{D}^{op} \longrightarrow \mathsf{Cat}$ , where  $\widehat{J}(D) = \mathcal{V}_{\{J(D)\}}$ ),

then p has split fibred colimits of shape D, and
 p supports dependent elimination for them (analogously to +x)



**Example 1** (identity adjunctions):



• Note: sound model as long as we haven't included any effects

**Example 2** (simple models from Egger et al.'s EEC):

- given an adjunction  $F_{\mathsf{EEC}}\dashv U_{\mathsf{EEC}}:\mathcal{E}\longrightarrow\mathcal{D}$ , such that
  - ullet  $\mathcal D$  is Cartesian closed (with 0, ...), and
  - $F_{\text{EEC}} \dashv U_{\text{EEC}}$  and  $\mathcal{E}$  are  $\mathcal{D}$ -enriched, and
  - ${\cal E}$  has all  ${\cal D}$ -tensors  $(A \otimes \underline{C})$  and  ${\cal D}$ -cotensors  $(A \Rightarrow \underline{C})$
- ullet we use simple fibration  $\mathbf{s}_{\mathcal{D}}$  and simpl.  $\mathcal{D}$ -enrich. fibration  $\mathbf{s}_{\mathcal{D},\mathcal{E}}$

$$s(\mathcal{D}) \xrightarrow{\perp} s(\mathcal{D}, \mathcal{E})$$

$$F(X, A) \stackrel{\text{def}}{=} (X, F_{\mathsf{EEC}}(A))$$

$$U(X, \underline{C}) \stackrel{\text{def}}{=} (X, U_{\mathsf{EEC}}(\underline{C}))$$

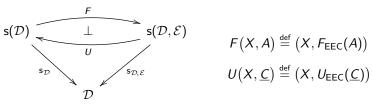
$$\mathcal{D}$$

$$s(\mathcal{D}): (f, g): (X, A) \longrightarrow (Y, B) \quad \text{where} \quad f: X \longrightarrow Y \quad g: X \times A \longrightarrow B$$

• Note: this model doesn't support any real type-dependency

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  - $\mathcal{E}$  has all  $\mathcal{D}$ -tensors  $(A \otimes \underline{C})$  and  $\mathcal{D}$ -cotensors  $(A \Rightarrow \underline{C})$
- we use simple fibration  $s_{\mathcal{D}}$  and simpl.  $\mathcal{D}$ -enrich. fibration  $s_{\mathcal{D},\mathcal{E}}$

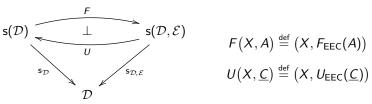


$$\begin{split} \mathsf{s}(\mathcal{D})\colon & (f,g):(X,A)\longrightarrow (Y,B) \qquad \text{where} \quad f:X\longrightarrow Y \quad g:X\times A\longrightarrow B \\ \mathsf{s}(\mathcal{D},\mathcal{E})\colon & (f,h):(X,\underline{C})\longrightarrow (Y,\underline{D}) \qquad \text{where} \quad f:X\longrightarrow Y \quad h:X\otimes \underline{C}\longrightarrow \underline{D} \end{split}$$

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$$s(\mathcal{D})$$
:  $(f,g):(X,A) \longrightarrow (Y,B)$  where  $f:X \longrightarrow Y$   $g:X \times A \longrightarrow B$   $s(\mathcal{D},\mathcal{E})$ :  $(f,h):(X,C) \longrightarrow (Y,D)$  where  $f:X \longrightarrow Y$   $h:X \otimes C \longrightarrow D$ 

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#### **Example 3** (families fibrations):

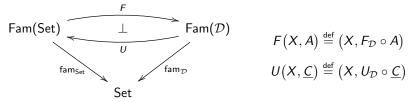
- given an adjunction  $F_{\mathcal{D}} \dashv U_{\mathcal{D}} : \mathcal{D} \longrightarrow \mathsf{Set}$ , such that
  - ullet D has set-indexed products and set-indexed coproducts
- such adjunctions arise from
  - EM-cats.  $(\mathcal{D} \stackrel{\text{def}}{=} \operatorname{Set}^{\mathsf{T}})$  and Law. ths.  $(\mathcal{D} \stackrel{\text{def}}{=} \operatorname{\mathsf{Mod}}(\mathcal{L}, \operatorname{\mathsf{Set}}))$
  - resolutions of  $S \Rightarrow (-) \times S$  and  $((-) \Rightarrow R) \Rightarrow R$
- ullet we use families fibrations fam $_{\mathsf{Set}}$  and fam $_{\mathcal{D}}$



Fam(Set): 
$$(X,A)$$
 where  $X \in \mathsf{Set}$   $A:X \longrightarrow \mathsf{Set}$   $(f,\{g_x\}_{x \in X}): (X,A) \longrightarrow (Y,B)$  where  $g_x:A(x) \longrightarrow (B \circ f)(x)$ 

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- $\bullet$  we use families fibrations  $\mathsf{fam}_{\mathsf{Set}}$  and  $\mathsf{fam}_{\mathcal{D}}$



$$\mathsf{Fam}(\mathsf{Set}) \colon \ (X,A) \qquad \text{where} \quad X \in \mathsf{Set} \quad A \colon X \longrightarrow \mathsf{Set}$$
 
$$(f,\{g_x\}_{x \in X}) \colon (X,A) \longrightarrow (Y,B) \qquad \text{where} \quad g_x \colon A(x) \longrightarrow (B \circ f)(x)$$

**Example 4** (continuous families for  $\mu x : U\underline{C} . M$ ):

- given a CPO-enriched monad T on CPO, such that
  - **T** supports least zero-ary alg. op.  $(\bot_A : 1 \longrightarrow TA)$ , and
  - CPO<sup>T</sup> has reflexive coequalizers
- such T arise from discrete CPO-enriched countable Law. ths.
- we use continuous families fibrations cfam<sub>CPO</sub> and cfam<sub>CPO</sub>T

CFam(CPO)
$$\begin{array}{ccc}
& F \\
& \bot & CFam(CPO^{\mathsf{T}}) \\
& U & F(X,A) \stackrel{\text{def}}{=} (X,F^{\mathsf{T}} \circ A) \\
& U(X,\underline{C}) \stackrel{\text{def}}{=} (X,U^{\mathsf{T}} \circ \underline{C})
\end{array}$$
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CFam(CPO): (X, A) where  $X \in CPO$   $A : X \longrightarrow CPO^{EP}$  an  $\omega$ -cont. fun

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CFam(CPO)
$$\begin{array}{c}
F \\
U
\end{array}$$

$$\begin{array}{c}
CFam(CPO^{\mathsf{T}}) \\
V
\end{array}$$

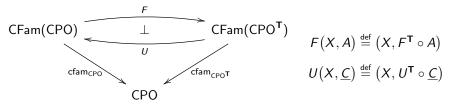
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F(X,A) \stackrel{\text{def}}{=} (X,F^{\mathsf{T}} \circ A) \\
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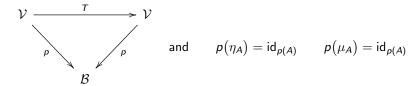


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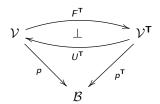
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#### **Example 5** (EM-resolutions of split fibred monads):

• given a split fibred monad  $\mathbf{T} = (T, \eta, \mu)$  on p, i.e.,



• we consider models based on the EM-resolution of T



and show that three familiar results hold for this situation

**Example 5** (EM-resolutions of split fibred monads):

• **Theorem 1:** if p supports  $\Pi$ -types, then  $p^{\mathsf{T}}$  also supports  $\Pi$ -types

$$\Pi_A^{\mathsf{T}}(B,\beta) \stackrel{\mathsf{def}}{=} (\Pi_A(B),\beta_{\Pi_A^{\mathsf{T}}})$$

- **Prop.:** every **T** on a split closed comp. cat. has a dep. strength  $\sigma_A: \Sigma_A \circ \mathcal{T} \longrightarrow \mathcal{T} \circ \Sigma_A \qquad (A \in \mathcal{V})$
- Theorem 2: if p supports  $\Sigma$ -types and  $\sigma_A$  are natural isos., then  $p^T$  also supports  $\Sigma$ -types

$$\Sigma_A^{\mathsf{T}}(B,\beta) \stackrel{\text{def}}{=} (\Sigma_A(B), \beta_{\Sigma_A^{\mathsf{T}}})$$

 Theorem 3: if p supports Σ-types and p<sup>T</sup> has split fibred reflexive coequalizers, then p<sup>T</sup> also supports Σ-types

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**Algebraic effects** 

#### Fibred effect theories $\mathcal{T}_{\text{eff}}$ :

signatures of dep. typed operation symbols

$$\frac{\cdot \vdash I \qquad x_i : I \vdash O \qquad I \text{ and } O \text{ are pure value types}}{\text{op} : (x_i : I) \longrightarrow O}$$

equipped with equations on derivable effect terms

#### In eMLTT:

$$M ::= \dots \mid \operatorname{op}_{V}^{C}(x.M)$$

**General algebraicity equations** (in addition to eff. th. eqs.):

$$\frac{\Gamma \trianglerighteq V: I \quad \Gamma, x: O[V/x_i] \trianglerighteq M: \underline{C} \quad \Gamma \thickspace z: \underline{C} \thickspace \trianglerighteq K: \underline{D}}{\Gamma \trianglerighteq K[\operatorname{op}_V^{\underline{C}}(x.M)/z] = \operatorname{op}_V^{\underline{D}}(x.K[M/z]): \underline{D}} \ (\operatorname{op}: (x_i: I) \longrightarrow \mathcal{O})$$

Sound semantics: based on

• 
$$p : \mathsf{Fam}(\mathsf{Set}) \longrightarrow \mathsf{Set}$$
 and  $q : \mathsf{Fam}(\mathsf{Mod}(\mathcal{L}_{\mathcal{T}_{\mathsf{eff}}}, \mathsf{Set})) \longrightarrow \mathsf{Set}$ 

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$$\frac{\Gamma \trianglerighteq V : I \quad \Gamma, x : O[V/x_i] \trianglerighteq M : \underline{C} \quad \Gamma | z : \underline{C} \trianglerighteq K : \underline{D}}{\Gamma \trianglerighteq K[\operatorname{op}_{\overline{V}}^{\underline{C}}(x.M)/z] = \operatorname{op}_{\overline{V}}^{\underline{D}}(x.K[M/z]) : \underline{D}} (\operatorname{op} : (x_i : I) \longrightarrow O)$$

Sound semantics: based on

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$$p : \mathsf{Fam}(\mathsf{Set}) \longrightarrow \mathsf{Set}$$
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#### Fibred effect theories $\mathcal{T}_{\text{eff}}$ :

signatures of dep. typed operation symbols

$$\frac{\cdot \vdash I \qquad x_i \colon I \vdash O \qquad I \text{ and } O \text{ are pure value types}}{\text{op} \colon (x_i \colon I) \longrightarrow O}$$

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### Algebraic effects – examples

#### **Example 1** (interactive I/O):

- ullet read :  $1 \longrightarrow \mathsf{Chr}$   $(\mathsf{Chr} \stackrel{\mathsf{def}}{=} 1 + \ldots + 1)$  write :  $\mathsf{Chr} \longrightarrow 1$
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**Example 2** (global state with location-dependent store type):

```
• \diamond \vdash \mathsf{Loc}

\ell : \mathsf{Loc} \vdash \mathsf{Val}

\diamond \vdash \mathsf{isDec}_{\mathsf{Loc}} : \Pi \ell : \mathsf{Loc} . \Pi \ell' : \mathsf{Loc} . (\ell =_{\mathsf{Loc}} \ell') + (\ell =_{\mathsf{Loc}} \ell' \to 0)
```

- $\begin{array}{l} \texttt{pet}: (\ell \colon \mathsf{Loc}) \longrightarrow \mathsf{Val} \\ \\ \mathsf{put}: (\Sigma \ell \colon \mathsf{Loc}.\mathsf{Val}) \longrightarrow 1 \end{array}$
- five equations (two of them branching on isDecLoc

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# Handlers of algebraic effects

(for programming and extrinsic reasoning)

## Handlers of alg. effects – for programming

**Idea:** Generalisation of exception handlers [Plotkin,Pretnar'09]

 $\mathsf{Handler} = \mathsf{Algebra} \quad \mathsf{and} \quad \mathsf{Handling} = \mathsf{Homomorphism}$ 

Usual term-level presentation:

$$\Gamma \vdash M : FA \qquad \Gamma, x_{v} : I, x_{k} : O[x_{v}/x_{i}] \rightarrow U \subseteq \vdash N_{op} : \underline{C} \qquad \Gamma, y : A \vdash N_{ret} : \underline{C}$$

 $\lceil \vdash M \text{ handled with } \{ \operatorname{op}_{\mathsf{X}_v}(\mathsf{X}_k) \mapsto \mathsf{N}_{\operatorname{op}} \}_{\operatorname{op} \in \mathcal{T}_{\operatorname{eff}}} \text{ to } y \colon A \text{ in}_{\underline{C}} \ \mathsf{N}_{\operatorname{ret}} \colon \underline{\mathsf{C}}$ 

satisfying

(return 
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) handled with  $\{...\}_{\mathsf{op}\in\mathcal{T}_{\mathsf{eff}}}$  to  $y:A$  in  $N_{\mathsf{ret}}=N_{\mathsf{ret}}[V/x]$ 

#### Typical use case for programming:

- write your programs using alg. ops. (e.g., get and put)
- ullet use handlers to provide fit-for-purpose impl. (e.g., S o X imes S)

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$$M$$
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$$\Gamma \vdash P : UFA \rightarrow \mathcal{U}$$

by

- ullet equipping a universe  ${\cal U}$  with an algebra for  $\mathcal{T}_{\sf eff}$ , and
- using the above handle-into-values construct to define P

**Note 1:** P(thunk M) computes a proof obligation for M

Note 2: Formally, we work in an extension of eMLTT with

- a universe  $\mathcal{U}$  closed under Nat,  $1, 0, +, \Sigma, \Pi$
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#### **Example 1** (Evaluation Logic style modalities):

- Given a predicate  $P:A\to \mathcal{U}$  on return values, we define a predicate  $\Diamond P:UFA\to \mathcal{U}$  on I/O-computations as
- $\Diamond P \stackrel{\text{def}}{=} \lambda x : UFA . (\text{force } x) \text{ handled with } \{...\}_{\text{op} \in \mathcal{T}_{\text{lo}}} \text{ to } y : A \text{ in}_{\mathcal{U}} P y$  using the handler given by

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ullet  $\Diamond P$  corresponds to Evaluation Logic's possibility modality

$$\Diamond P \left( \text{thunk} \left( \text{read}(x.\text{write}_{e'}(\text{return } V)) \right) \right) = \widehat{\Sigma} x : \text{El}(\widehat{\mathsf{Chr}}) . P V$$

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- ◊P corresponds to Evaluation Logic's possibility modality
  - $\Diamond P \left( \text{thunk} \left( \text{read}(x.\text{write}_{e'}(\text{return } V)) \right) \right) = \widehat{\Sigma} x : El(\widehat{Chr}).PV$
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#### **Example 2** (Dijkstra's weakest precondition semantics):

Given a postcondition on return values and final states

$$Q: A \to S \to \mathcal{U}$$
  $(S \stackrel{\text{def}}{=} \Pi x: \text{Loc. Val})$ 

we define a precondition for stateful comps. on initial states

$$\mathsf{wp}_{\mathcal{Q}}: \mathit{UFA} o \mathit{S} o \mathcal{U}$$

by

i) handling the given comp. into a state-passing function using

$$V_{
m get},\,V_{
m put}$$
 on  $S o (\mathcal{U} imes S)$  and  $V_{
m ret}$  "  $=$  "  $Q$ 

- ii) feeding in the initial state; and iii) projecting out  ${\cal U}$
- Theorem:  $\operatorname{wp}_Q$  satisfies expected properties of WPs, e.g.,  $\operatorname{wp}_Q\left(\operatorname{thunk}\left(\operatorname{return}V\right)\right) = \lambda x_S : S \cdot Q \cdot V \cdot x_S$

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$$\mathsf{wp}_{O}: \mathit{UFA} \to \mathit{S} \to \mathit{U}$$

by

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$$wp_Q (thunk (return V)) = \lambda x_S : S . Q V x_S$$

$$wp_Q (thunk (put_{\langle \ell, V \rangle}(M))) = \lambda x_S : S . wp_Q (thunk M) (x_S[\ell \mapsto V])$$

#### **Example 3** (Patterns of allowed I/O-effects):

Assuming an inductive type Protocol, given by

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$$\mathbf{r}: (\mathsf{Chr} \to \mathsf{Protocol}) \to \mathsf{Protocol}$$

and notentially also by A V

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where

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 and potentially also by  $\land$ ,  $\lor$ ,  $\ldots$ 

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and potentially also by  $\wedge$ ,  $\vee$ , ...

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#### **Conclusion**

In work we told a mathematically natural story of combining

dependent types and computational effects

#### In particular, we saw

- a clean core language of dependent types and comp. effects
- a natural category-theoretic semantics
- alg. effects and handlers, in particular, for reasoning using
  - Evaluation Logic style modalities
  - Dijkstra's weakest precondition semantics
  - patterns of allowed (I/O)-effects

#### Ongoing work:

- type-dependency on computations (e.g., in seq. composition)
- more expressive comp. types (par. adjunctions, Dijkstra monads)

My other work: directed containers, F\* and monotonic state, ...

# Thank you!

D. Ahman.

Fibred Computational Effects. (PhD Thesis, 2017)

D. Ahman, N. Ghani, G. Plotkin.

**Dependent Types and Fibred Computational Effects.** (FoSSaCS'16)

D. Ahman.

Handling Fibred Computational Effects. (POPL'18)

# Ongoing work – type-dep. on comps.

- How to accommodate  $\underline{D}(\text{read}(x.M))$
- That is, how to avoid restricting the typing of seq. comp.?
- M to x:A in N: C[thunk M/y] (where y:UFA) [Vákár'17]
- $\alpha : \widehat{T}(\mathcal{U}_{\mathsf{comp}}) \longrightarrow \mathcal{U}_{\mathsf{comp}}$  [Pédrot, Tabareau'17]
- For eMLTT, one possible way forward
  - i) build on Vákár's proposal
  - ii) but force type-dep. to be homomorphic
    - $\underline{D}[\text{thunk}(M \text{ to } x:A \text{ in } N)/y] = M \text{ to } x:A \text{ in } \underline{D}[\text{thunk}(N/y)]$
    - $\underline{D}[M \text{ to } x:A \text{ in } N/z] = M \text{ to } x:A \text{ in } \underline{D}[N/z]$