Interacting with the external world using comodels (aka runners)

Danel Ahman

(joint work with Andrej Bauer)

University of Ljubljana, Slovenia

Gallinette seminar, Nantes, 14.10.2019

The plan

- Computational effects and external resources in PL
- Runners a natural model for top-level runtime
- T-runners for also modelling non-top-level runtimes
- Turning **T**-runners into a **useful programming construct**
- Some programming examples
- Some implementation details

Computational effects and external resources

• Using monads (as in HASKELL)

```
type St a = String \rightarrow (a,String)

f :: St a \rightarrow St (a,a)

f c = c \Rightarrow (\x \rightarrow c \Rightarrow (\y \rightarrow return (x,y)))
```

• Using monads (as in HASKELL)

```
type St a = String \rightarrow (a,String)

f :: St a \rightarrow St (a,a)
f c = c \rightarrow (\x \rightarrow c \rightarrow (\y \rightarrow return (x,y)))
```

• Using alg. effects and handlers (as in Eff, Frank, Koka)

```
effect Get : int
effect Put : int → unit

let g (c:Unit → a!{Get,Put}) =
  with statehandler handle (perform (Put 42); c ()) (* : int → a * int *)
```

• Using monads (as in HASKELL)

```
type St a = String \rightarrow (a,String)

f :: St a \rightarrow St (a,a)

f c = c \Rightarrow (\x \rightarrow c \Rightarrow (\y \rightarrow return (x,y)))
```

• Using alg. effects and handlers (as in Eff, Frank, Koka)

```
effect Get: int effect Put: int \rightarrow unit let g (c:Unit \rightarrow a!{Get,Put}) = with statehandler handle (perform (Put 42); c ()) (*:int \rightarrow a * int *)
```

Both are good for faking comp. effects in a pure language!
 But what about effects that need access to the external world?

External resources in PL

External resources in PL

• Declare a signature of monads or algebraic effects, e.g.,

```
(* System.IO *)

type IO a

openFile :: FilePath → IOMode → IO Handle
```

```
(* pervasives.eff *)

effect RandomInt : int → int

effect RandomFloat : float → float
```

And then treat them specially in the compiler, e.g.,

```
(* eff/src/backends/eval.ml *)
let rec tophandle op =
  match op with
  | ...
```

External resources in PL

Declare a signature of monads or algebraic effects, e.g.,

```
(* System.IO *)

type IO a

openFile :: FilePath → IOMode → IO Handle

(* pervasives.eff *)

effect RandomInt : int → int

effect RandomFloat : float → float
```

And then treat them specially in the compiler, e.g.,

```
(* eff/src/backends/eval.ml *)
let rec tophandle op =
  match op with
  | ...
```

but there are some issues with that approach . . .

- Difficult to cover all possible use cases
 - external resources hard-coded into the top-level runtime
 - non-trivial to change what's available and how it's implemented

- Difficult to cover all possible use cases
 - external resources hard-coded into the top-level runtime
 - non-trivial to change what's available and how it's implemented

```
Ohad 4 8:35 PM
So here's the hack I added We should do something a bit more principled
In pervasives.eff:
 effect Write : (string*string) -> unit
in eval.ml under let rec top handle op = add the case:
     | "Write" ->
        (match v with
         | V.Tuple vs ->
            let (file_name :: str :: _) = List.map V.to_str vs in
            let file_handle = open_out_gen
                                 [Open_wronly
                                 :Open append
                                 ;Open_creat
                                 ;Open_text
                                 1 0o666 file_name in
            Printf.fprintf file handle "%s" str:
            close_out file_handle;
            top_handle (k V.unit_value)
```

- Difficult to cover all possible use cases
 - external resources hard-coded into the top-level runtime
 - non-trivial to change what's available and how it's implemented

```
Ohad 4 8:35 PM
So here's the hack I added We should do something a bit more principled
In pervasives.eff:
 effect Write : (string*string) -> unit
in eval.ml under let rec top handle op = add the case:
     | "Write" ->
        (match v with
         | V.Tuple vs ->
            let (file_name :: str :: _) = List.map V.to_str vs in
            let file_handle = open_out_gen
                                 [Open_wronly
                                 :Open append
                                 ;Open_creat
                                 ;Open_text
                                 1 0o666 file_name in
            Printf.fprintf file handle "%s" str:
            close_out file_handle;
            top_handle (k V.unit_value)
```

This talk — a principled modular (co)algebraic approach!

• Lack of linearity for external resources

```
let f (s:string) =
  let fh = fopen "foo.txt" in
  fwrite (fh,s^s);
  fclose fh;
  return fh

let g s =
  let fh = f s in fread fh
```

• Lack of linearity for external resources

Lack of linearity for external resources

- We shall address these kinds of issues indirectly,
 - by not introducing a linear typing discipline
 - but instead make it convenient to hide external resources

• Excessive generality of effect handlers

```
let f (s:string) =
  let fh = fopen "foo.txt" in
  fwrite (fh,s^s);
  fclose fh

let h = handler { fwrite (fh,s) k → return () }

let f' s = handle (f "bar") with h
```

• Excessive generality of effect handlers

```
let f (s:string) =
  let fh = fopen "foo.txt" in
  fwrite (fh,s^s);
  fclose fh
let h = handler \{ fwrite (fh,s) k \rightarrow return () \}
let f' s = handle (f "bar") with h
where misuse of external resources can also be purely accidental
let g (s:string) =
  let fh = fopen "foo.txt" in
  let b = choose () in
  if b then (fwrite (fh,s)) else (fwrite (fh,s^s));
  fclose fh
let nondeterminismhandler =
  handler { choose () k \rightarrow return (k true ++ k false) }
```

• Excessive generality of effect handlers

```
let f (s:string) =
let fh = fopen "foo.txt" in
fwrite (fh,s^s);
fclose fh

let h = handler { fwrite (fh,s) k → return () }
let f' s = handle (f "bar") with h
```

- We shall address these kinds of issues directly,
 - by proposing a restricted form of handlers for resources
 - that support controlled initialisation and finalisation,
 - and limit how general handlers can be used

Runners enter the spotlight

• Given a **signature**¹ Σ of operation symbols $(A_{op}, B_{op} \text{ are sets})$

$$op: A_{op} \leadsto B_{op}$$

a runner² \mathcal{R} for Σ is given by a carrier $|\mathcal{R}|$ and co-operations

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}: A_{\operatorname{op}} \times |\mathcal{R}| \longrightarrow B_{\operatorname{op}} \times |\mathcal{R}|\right)_{\operatorname{op} \in \Sigma}$$

¹We consider runners for signatures, but the work generalises to alg. theories.

²In the literature also known as **comodels** for Σ (or for an algebraic theory).

• Given a **signature**¹ Σ of operation symbols $(A_{op}, B_{op} \text{ are sets})$

$$op: A_{op} \leadsto B_{op}$$

a runner 2 ${\cal R}$ for Σ is given by a carrier $|{\cal R}|$ and co-operations

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}: A_{\operatorname{op}} \times |\mathcal{R}| \longrightarrow B_{\operatorname{op}} \times |\mathcal{R}|\right)_{\operatorname{op} \in \Sigma}$$

 \bullet For example, a natural runner $\mathcal R$ for S-valued state

get :
$$1 \rightsquigarrow S$$
 set : $S \rightsquigarrow 1$

is given by

$$|\mathcal{R}| \stackrel{\text{def}}{=} S$$
 $\overline{\text{get}}_{\mathcal{R}}(\star, s) \stackrel{\text{def}}{=} (s, s)$ $\overline{\text{set}}_{\mathcal{R}}(s, s) \stackrel{\text{def}}{=} (\star, s)$

¹We consider runners for signatures, but the work generalises to alg. theories.

²In the literature also known as **comodels** for Σ (or for an algebraic theory).

- Runners/comodels have been used for
 - operational semantics using tensors of models and comodels
 [Plotkin and Power '08]
 - stateful running of algebraic effects [Uustalu '15]
 - linear-use state-passing translation

[Møgelberg and Staton '11, '14]

- Runners/comodels have been used for
 - operational semantics using tensors of models and comodels
 [Plotkin and Power '08]
 and
 - **stateful running** of algebraic effects

[Uustalu '15]

• linear-use state-passing translation

[Møgelberg and Staton '11, '14]

- The latter explicitly rely on one-to-one correspondence between
 - \bullet runners $\mathcal R$
 - $\bullet \ monad \ morphisms^3 \ \ r: Free_{\Sigma}(-) \longrightarrow \text{St}_{|\mathcal{R}|} \\$

where

$$\mathbf{St}_{C}X \stackrel{\mathsf{def}}{=} C \Rightarrow X \times C$$

 $^{{}^{3}}$ Free $_{\Sigma}(X)$ is the free monad ind. defined with leaves val x and nodes op (a, κ) .

• For our purposes, we see runners

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}: A_{\operatorname{op}} \times |\mathcal{R}| \longrightarrow B_{\operatorname{op}} \times |\mathcal{R}|\right)_{\operatorname{op} \in \Sigma}$$

• For our purposes, we see runners

$$\left(\overline{op}_{\mathcal{R}}: A_{op} \times |\mathcal{R}| \longrightarrow B_{op} \times |\mathcal{R}|\right)_{op \in \Sigma}$$

- But what if this runtime is not the runtime?
 - hardware vs OS
 - OS vs VMs
 - VMs vs sandboxes

• For our purposes, we see runners

$$\left(\overline{op}_{\mathcal{R}}: A_{op} \times |\mathcal{R}| \longrightarrow B_{op} \times |\mathcal{R}|\right)_{op \in \Sigma}$$

- But what if this runtime is not the runtime?
 - hardware vs OS
 - OS vs VMs
 - VMs vs sandboxes
- Unfortunately, runners, as defined above, are not readily able to
 - use external resources
 - signal failure caused by unavoidable circumstances

• For our purposes, we see runners

$$\left(\overline{op}_{\mathcal{R}}: A_{op} \times |\mathcal{R}| \longrightarrow B_{op} \times |\mathcal{R}|\right)_{op \in \Sigma}$$

- But what if this runtime is not the runtime?
 - hardware vs OS
 - OS vs VMs
 - VMs vs sandboxes
- Unfortunately, runners, as defined above, are not readily able to
 - use external resources
 - signal failure caused by unavoidable circumstances
- But is there a useful generalisation that would achieve this?

• Møgelberg and Staton usefully observed that a runner \mathcal{R} is equivalently simply a family of **generic effects** for $\mathbf{St}_{|\mathcal{R}|}$, i.e.,

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}:A_{\operatorname{op}}\longrightarrow\operatorname{\mathbf{St}}_{|\mathcal{R}|}B_{\operatorname{op}}\right)_{\operatorname{op}\in\Sigma}$$

• Møgelberg and Staton usefully observed that a runner \mathcal{R} is equivalently simply a family of **generic effects** for $\mathbf{St}_{|\mathcal{R}|}$, i.e.,

$$\left(\overline{\mathsf{op}}_{\mathcal{R}}: A_{\mathsf{op}} \longrightarrow \mathbf{St}_{|\mathcal{R}|} B_{\mathsf{op}}\right)_{\mathsf{op} \in \Sigma}$$

• Building on this, we define a **T-runner** \mathcal{R} for Σ to be given by

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}:A_{\operatorname{op}}\longrightarrow \mathbf{T}\,B_{\operatorname{op}}\right)_{\operatorname{op}\in\Sigma}$$

• Møgelberg and Staton usefully observed that a runner \mathcal{R} is equivalently simply a family of **generic effects** for $\mathbf{St}_{|\mathcal{R}|}$, i.e.,

$$\left(\overline{\mathsf{op}}_{\mathcal{R}}: A_{\mathsf{op}} \longrightarrow \mathbf{St}_{|\mathcal{R}|} \ B_{\mathsf{op}}\right)_{\mathsf{op} \in \Sigma}$$

• Building on this, we define a **T-runner** \mathcal{R} for Σ to be given by

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}:A_{\operatorname{op}}\longrightarrow \mathbf{T}\,B_{\operatorname{op}}\right)_{\operatorname{op}\in\Sigma}$$

• The one-to-one correspondence with monad morphisms

$$r: \mathbf{Free}_{\Sigma}(-) \longrightarrow \mathbf{T}$$

now simply amounts to the univ. property of free models, e.g.,

$$\mathsf{r}_X \, (\mathsf{val} \, x) = \eta_X \, x \qquad \qquad \mathsf{r}_X \, (\mathsf{op}(\mathsf{a}, \kappa)) = (\mathsf{r}_X \circ \kappa)^\dagger (\overline{\mathsf{op}}_\mathcal{R} \, \mathsf{a})$$

• Møgelberg and Staton usefully observed that a runner \mathcal{R} is equivalently simply a family of **generic effects** for $\mathbf{St}_{|\mathcal{R}|}$, i.e.,

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}: A_{\operatorname{op}} \longrightarrow \operatorname{\mathbf{St}}_{|\mathcal{R}|} B_{\operatorname{op}}\right)_{\operatorname{op} \in \Sigma}$$

• Building on this, we define a **T-runner** $\mathcal R$ for Σ to be given by

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}:A_{\operatorname{op}}\longrightarrow \mathbf{T}\,B_{\operatorname{op}}\right)_{\operatorname{op}\in\Sigma}$$

• The one-to-one correspondence with monad morphisms

$$r: \mathbf{Free}_{\Sigma}(-) \longrightarrow \mathbf{T}$$

now simply amounts to the univ. property of free models, e.g.,

$$\mathsf{r}_X\left(\mathsf{val}\,X\right) = \eta_X\,X \qquad \qquad \mathsf{r}_X\left(\mathsf{op}(\mathsf{a},\kappa)\right) = (\mathsf{r}_X\circ\kappa)^\dagger(\overline{\mathsf{op}}_\mathcal{R}\,\mathsf{a})$$

Observe that κ appears in a tail call position on the right!

• What would be a **useful class of monads T** to use?

- What would be a useful class of monads T to use?
- We want a runner to be a bit like a kernel of an OS, i.e., to
 - (i) provide management of (internal) resources
 - (ii) use further external resources
 - (iii) signal failure caused by unavoidable circumstances

- What would be a **useful class of monads T** to use?
- We want a runner to be a bit like a kernel of an OS, i.e., to
 - (i) provide management of (internal) resources
 - (ii) use further external resources
 - (iii) signal failure caused by unavoidable circumstances
- Algebraically (and pragmatically), this amounts to taking
 - (i) getenv : $\mathbb{1} \rightsquigarrow C$, setenv : $C \rightsquigarrow \mathbb{1}$
 - (ii) op : $A_{op} \leadsto B_{op}$ (op $\in \Sigma'$, for some external Σ')
 - (iii) kill : $S \rightsquigarrow \mathbb{O}$
 - s.t., (i) satisfy state equations; and (i) commute with (ii) and (iii)

- What would be a useful class of monads T to use?
- We want a runner to be a bit like a kernel of an OS, i.e., to
 - (i) provide management of (internal) resources
 - (ii) use further external resources
 - (iii) signal failure caused by unavoidable circumstances
- Algebraically (and pragmatically), this amounts to taking
 - (i) getenv : $\mathbb{1} \rightsquigarrow C$, setenv : $C \rightsquigarrow \mathbb{1}$
 - (ii) op : $A_{op} \leadsto B_{op}$ $(op \in \Sigma', \text{ for some external } \Sigma')$
 - (iii) kill : $S \rightsquigarrow \mathbb{O}$
 - s.t., (i) satisfy state equations; and (i) commute with (ii) and (iii)
- The induced monad is then isomorphic to

$$\mathsf{T} X \stackrel{\mathsf{def}}{=} C \Rightarrow \mathsf{Free}_{\Sigma'} \big((X \times C) + S \big)$$

• The corresponding **T-runners** \mathcal{R} for Σ are then of the form

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}: A_{\operatorname{op}} \longrightarrow C \Rightarrow \operatorname{Free}_{\Sigma'}((B_{\operatorname{op}} \times C) + S)\right)_{\operatorname{op} \in \Sigma}$$

• The corresponding **T-runners** \mathcal{R} for Σ are then of the form

$$\left(\overline{\mathsf{op}}_{\mathcal{R}}: A_{\mathsf{op}} \longrightarrow \mathcal{C} \Rightarrow \mathsf{Free}_{\Sigma'}\big((B_{\mathsf{op}} \times \mathcal{C}) + \mathcal{S}\big)\right)_{\mathsf{op} \in \Sigma}$$

Observe that raising signals in S discards the state,
 but not all problems are terminal—they can be recovered from

• The corresponding **T-runners** \mathcal{R} for Σ are then of the form

$$\left(\overline{\mathsf{op}}_{\mathcal{R}}: A_{\mathsf{op}} \longrightarrow \mathcal{C} \Rightarrow \mathsf{Free}_{\Sigma'}\big((B_{\mathsf{op}} \times \mathcal{C}) + \mathcal{S}\big)\right)_{\mathsf{op} \in \Sigma}$$

- Observe that raising signals in S discards the state,
 but not all problems are terminal—they can be recovered from
- Our solution: consider signatures Σ, Σ' with operation symbols

$$op: A_{op} \leadsto B_{op} + E_{op}$$

• The corresponding **T-runners** \mathcal{R} for Σ are then of the form

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}: A_{\operatorname{op}} \longrightarrow C \Rightarrow \mathbf{Free}_{\Sigma'} \big((B_{\operatorname{op}} \times C) + S \big) \right)_{\operatorname{op} \in \Sigma}$$

- Observe that raising signals in S discards the state,
 but not all problems are terminal—they can be recovered from
- \bullet Our solution: consider signatures Σ, Σ' with operation symbols

$$\mathsf{op}: A_\mathsf{op} \leadsto B_\mathsf{op} + E_\mathsf{op}$$

• With this, our **T-runners** \mathcal{R} for Σ are (with "primitive" excs.)

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}: A_{\operatorname{op}} \longrightarrow \mathbf{K}_{C}^{\Sigma'!E_{\operatorname{op}} \notin S} B_{\operatorname{op}}\right)_{\operatorname{op} \in \Sigma}$$

where we call $\mathbf{K}_{C}^{\Sigma!E \nmid S}$ a **kernel monad**, given by

$$\mathbf{K}_{C}^{\Sigma!E \nmid S} X \stackrel{\text{def}}{=} C \Rightarrow \mathbf{Free}_{\Sigma} (((X+E) \times C) + S)$$

T-runners as a programming construct

T-runners as a programming construct

• As our **T-runners** for Σ are of the form

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}: A_{\operatorname{op}} \longrightarrow \mathbf{K}_{C}^{\Sigma'!E_{\operatorname{op}} \checkmark S} B_{\operatorname{op}}\right)_{\operatorname{op} \in \Sigma}$$

we can easily accommodate co-operations as kernel code

```
let R = runner \{ op_1 x_1 \rightarrow K_1, ..., op_n x_n \rightarrow K_n \} \bigcirc C
```

T-runners as a programming construct

• As our **T-runners** for Σ are of the form

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}: A_{\operatorname{op}} \longrightarrow \mathbf{K}_{C}^{\Sigma'!E_{\operatorname{op}} \notin S} B_{\operatorname{op}}\right)_{\operatorname{op} \in \Sigma}$$

we can easily accommodate co-operations as kernel code

```
let R = runner \{ op_1 x_1 \rightarrow K_1, ..., op_n x_n \rightarrow K_n \} @ C
```

• For instance, we can implement a write-only file handle as

• Recall that the components r_X of the monad morphism

$$r: \mathbf{Free}_{\Sigma}(-) \longrightarrow \mathbf{T}$$

induced by a T-runner R are all tail-recursive

 \bullet Recall that the components r_X of the monad morphism

$$r: \mathbf{Free}_{\Sigma}(-) \longrightarrow \mathbf{T}$$

induced by a T-runner $\mathcal R$ are all tail-recursive

• We make use of it to enable one to run user code:

```
using R @ M_{init} run M finally {return x @ c \rightarrow M<sub>ret</sub> , ... raise e @ c \rightarrow M<sub>e</sub> ... , ... kill s \rightarrow M<sub>s</sub> ...}
```

• Recall that the components r_X of the monad morphism

$$r: \mathbf{Free}_{\Sigma}(-) \longrightarrow \mathbf{T}$$

induced by a T-runner \mathcal{R} are all tail-recursive

• We make use of it to enable one to run user code:

```
 \begin{array}{l} \text{using R @ M_{init}} \\ \text{run M} \\ \text{finally } \{ \text{return} \times \text{@ c} \rightarrow \text{M}_{ret} \text{ , ... raise e @ c} \rightarrow \text{M}_{e} \text{ ... , ... kill s} \rightarrow \text{M}_{s} \text{ ...} \} \\ \end{array}
```

where (a user monad)

• Ms are user code, modelled using $\mathbf{U}^{\Sigma \mid E} X \stackrel{\text{def}}{=} \mathbf{Free}_{\Sigma}(X + E)$

• Recall that the components r_X of the monad morphism

$$r: \mathbf{Free}_{\Sigma}(-) \longrightarrow \mathbf{T}$$

induced by a T-runner $\mathcal R$ are all tail-recursive

We make use of it to enable one to run user code:

```
 \begin{array}{l} \textbf{using} \ R \ @ \ M_{init} \\ \textbf{run} \ M \\ \textbf{finally} \ \{ \textbf{return} \times @ \ c \rightarrow M_{ret} \ , \ ... \ \textbf{raise} \ e \ @ \ c \rightarrow M_e \ ... \ , \ ... \ \textbf{kill} \ s \rightarrow M_s \ ... \} \\ \end{array}
```

where

(a user monad)

- Ms are user code, modelled using $\mathbf{U}^{\Sigma ! E} X \stackrel{\text{def}}{=} \mathbf{Free}_{\Sigma} (X + E)$
- M_{init} produces the initial kernel state
- M is the user code being run using the runner R
- M_{ret}, M_e, M_s finalise for return values, exceptions, and signals

 \bullet Recall that the components r_X of the monad morphism

$$r: \mathbf{Free}_{\Sigma}(-) \longrightarrow \mathbf{T}$$

induced by a **T**-runner \mathcal{R} are all **tail-recursive**

• We make use of it to enable one to run user code:

```
using R @ M_{init} run M finally {return x @ c \rightarrow M<sub>ret</sub> , ... raise e @ c \rightarrow M<sub>e</sub> ... , ... kill s \rightarrow M<sub>s</sub> ...}
```

where (a user monad)

- Ms are **user code**, modelled using $\mathbf{U}^{\Sigma!E} X \stackrel{\text{def}}{=} \mathbf{Free}_{\Sigma}(X+E)$
- M_{init} produces the initial kernel state
- M is the user code being run using the runner R
- M_{ret}, M_e, M_s finalise for return values, exceptions, and signals
- M_{ret} and M_e depend on the final state c, but M_s does not

• For instance, we can define a PYTHON-esque with construct

```
with fileName do M = using R<sub>FH</sub> @ (fopen fileName) run M finally { return \times @ fh \rightarrow fclose fh; return \times , raise e @ fh \rightarrow fclose fh; raise e , kill s \rightarrow return () }
```

- Importantly, here
 - the file handle is hidden from M
 - M can only use write but not fopen and fclose
 - write : String $\rightsquigarrow 1 + E \cup \{WriteSizeExceeded\}$
 - fopen and fclose are limited to initialisation-finalisation

A core calculus for programming with runners

Core calculus (syntax)

Core calculus (syntax)

• Ground types (types of ops. and kernel state)

$$A, B, C$$
 ::= $B \mid 1 \mid 0 \mid A \times B \mid A + B$

Types

$$X, Y ::= B \mid 1 \mid 0 \mid X \times Y \mid X + Y$$

$$\mid X \xrightarrow{\Sigma} Y \mid E$$

$$\mid X \xrightarrow{\Sigma} Y \mid E \not\downarrow S @ C$$

$$\mid \Sigma \Rightarrow \Sigma' \not\downarrow S @ C$$

Values

$$\Gamma \vdash V : X$$

User computations

$$\Gamma \stackrel{\Sigma}{\vdash} M : X ! E$$

Kernel computations

$$\Gamma \vdash^{\Sigma} K : X ! E \not\downarrow S @ C$$

```
M ::= \mathbf{return} \ V \mid \mathbf{try} \ M \ \mathbf{with} \ \{ \ \mathbf{return} \ x \mapsto N_{val} \ , \ (\mathbf{raise} \ e \mapsto N_e)_{e \in E} \ \}
            VW \mid \mathbf{match} \ V \ \mathbf{with} \ \{ \langle x_1, x_2 \rangle \mapsto N \ \}
            match V with \{\}_X \mid \text{match } V \text{ with } \{ \text{ inl } x_1 \mapsto N_1 \text{ , inr } x_2 \mapsto N_2 \}
          \operatorname{op}_{X} V(x.M)(N_{e})_{e \in E_{\operatorname{op}}} \mid \operatorname{raise}_{X} e
           using V @ W run M finally { return x @ c \mapsto N_{val},
                                                                        (\mathbf{raise}\ e\ @\ c\mapsto N_e)_{e\in F},
                                                                        \{\text{kill } s \mapsto N_s\}_{s \in S} \}
            exec K @ W finally { return x @ c \mapsto N_{val},
                                                        (\mathbf{raise}\ e\ @\ c\mapsto N_e)_{e\in F},
                                                        \{\text{kill } s \mapsto N_s\}
K ::= \mathbf{return}_C V \mid \mathbf{try} \ K \ \mathbf{with} \ \{ \ \mathbf{return} \ x \mapsto L_{val} \ , \ (\mathbf{raise} \ e \mapsto L_e)_{e \in E} \ \}
           VW \mid \mathbf{match} \ V \ \mathbf{with} \ \{ \langle x_1, x_2 \rangle \mapsto L \ \}
            match V with \{\}_{X@C} \mid \text{match } V \text{ with } \{ \text{ inl } x_1 \mapsto L_1 \text{ , inr } x_2 \mapsto L_2 \}
       | \operatorname{op}_{X \odot C} V(x.K)(L_e)_{e \in E_{op}} | \operatorname{raise}_{X \odot C} e | \operatorname{kill}_{X \odot C} s
       \mid \operatorname{getenv}_{C}(c.K) \mid \operatorname{setenv} V K
           exec M finally { return x \mapsto L_{val}, (raise e \mapsto L_e)
```

Fig. 1. Syntax of user and kernel computations



• For example, the typing rule for running user comps. is

• For example, the typing rule for running user comps. is

```
\begin{split} \Gamma \vdash V : \Sigma \Rightarrow \Sigma' \not \downarrow S @ C & \Gamma \vdash W : C \\ \Gamma \vdash^{\Sigma} M : X ! E & \Gamma, x : X, c : C \vdash^{\Sigma'} N_{ret} : Y ! E' \\ & \underbrace{\left(\Gamma, c : C \vdash^{\Sigma'} N_e : Y ! E'\right)_{e \in E}} & \left(\Gamma \vdash^{\Sigma'} N_s : Y ! E'\right)_{s \in S} \\ \hline \Gamma \vdash^{\Sigma'} \textbf{using } V @ W \textbf{ run } M \textbf{ finally } \big\{ \textbf{ return } x @ c \mapsto N_{ret} \ , \\ & \big(\textbf{raise } e @ c \mapsto N_e\big)_{e \in E} \ , \\ & \big(\textbf{kill } s \mapsto N_s\big)_{s \in S} \big\} : Y ! E' \end{split}
```

• and the main β -equation for running user comps. is

```
\begin{split} \Gamma & \stackrel{\Sigma'}{\vdash} \mathbf{using} \ R_C \ @ \ W \ \mathbf{run} \ (\mathsf{op}_X \ V \ (x.M) \ (M_e)_{e \in E_{\mathsf{op}}}) \ \mathbf{finally} \ F \\ & \equiv \mathbf{exec} \ R_{op}[V] \ @ \ W \ \mathbf{finally} \ \{ \\ & \mathbf{return} \ x \ @ \ c' \mapsto \mathbf{using} \ R_C \ @ \ c' \ \mathbf{run} \ M \ \mathbf{finally} \ F \ , \\ & \big( \mathbf{raise} \ e \ @ \ c' \mapsto \mathbf{using} \ R_C \ @ \ c' \ \mathbf{run} \ M_e \ \mathbf{finally} \ F \big)_{e \in E_{\mathsf{op}}} \ , \\ & \big( \mathbf{kill} \ s \mapsto N_s \big)_{s \in S} \ \} : \ Y \ ! \ E' \end{split}
```

• The calculus also includes subtyping, and subsumption rules

$$\frac{\Gamma \vdash V : A \qquad A <: B}{\Gamma \vdash V : B}$$

$$\frac{\Gamma \vdash^{\Sigma} M : A \mid E \qquad \Sigma \subseteq \Sigma' \qquad A <: B \qquad E \subseteq E'}{\Gamma \vdash^{\Sigma'} M : B \mid E'}$$

$$\frac{A <: B \qquad E \subseteq E' \qquad S \subseteq S' \qquad C = C'}{\Gamma \vdash^{\Sigma'} K : B \mid E' \not \downarrow S' \otimes C'}$$

• The calculus also includes subtyping, and subsumption rules

$$\frac{\Gamma \vdash V : A \qquad A <: B}{\Gamma \vdash V : B}$$

$$\frac{\Gamma \vdash^{\Sigma} M : A \mid E \qquad \Sigma \subseteq \Sigma' \qquad A <: B \qquad E \subseteq E'}{\Gamma \vdash^{\Sigma'} M : B \mid E'}$$

$$\frac{\Gamma \vdash^{\Sigma} K : A \mid E \not\downarrow S @ C \qquad \Sigma \subseteq \Sigma'}{A <: B \qquad E \subseteq E' \qquad S \subseteq S' \qquad C = C'}$$

$$\frac{A <: B \qquad E \subseteq E' \qquad S \subseteq S' \qquad C = C'}{\Gamma \vdash^{\Sigma'} K : B \mid E' \not\downarrow S' @ C'}$$

- C = C' to have (standard) **proof-irrelevant subtyping**
- Otherwise, instead of just C <: C', we would need a **lens** $C' \leftrightarrow C$

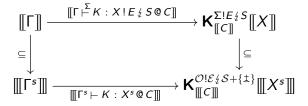
- Monadic semantics, for simplicity in Set, using
 - user monads $\mathbf{U}^{\Sigma!E} X \stackrel{\text{def}}{=} \mathbf{Free}_{\Sigma}(X+E)$
 - kernel monads $K_C^{\Sigma!E \not \downarrow S} X \stackrel{\text{def}}{=} C \Rightarrow \text{Free}_{\Sigma} \big(((X + E) \times C) + S \big)$

- Monadic semantics, for simplicity in Set, using
 - user monads $U^{\Sigma!E} X \stackrel{\text{def}}{=} \text{Free}_{\Sigma}(X+E)$
 - kernel monads $K_C^{\Sigma!E \not \downarrow S} X \stackrel{\text{def}}{=} C \Rightarrow \text{Free}_{\Sigma} \big(((X + E) \times C) + S \big)$

(At a high level) the judgements are interpreted as

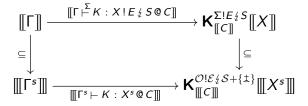
However, to prove coherence of the semantics (subtyping!),
 we actually give the semantics in the subset fibration

- However, to prove coherence of the semantics (subtyping!),
 we actually give the semantics in the subset fibration
- For instance, kernel computations are interpreted as



where $\Gamma^s \vdash K : X^s \otimes C$ is a skeletal kernel typing judgement

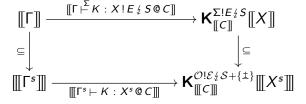
- However, to prove coherence of the semantics (subtyping!),
 we actually give the semantics in the subset fibration
- For instance, kernel computations are interpreted as



where $\Gamma^s \vdash K : X^s \otimes C$ is a skeletal kernel typing judgement

No essential obstacles to extending to Sub(Cpo) and beyond

- However, to prove coherence of the semantics (subtyping!),
 we actually give the semantics in the subset fibration
- For instance, kernel computations are interpreted as



where $\Gamma^s \vdash K : X^s \otimes C$ is a skeletal kernel typing judgement

- No essential obstacles to extending to **Sub(Cpo)** and beyond
- **Ground type restriction** on C needed to stay within Sub(-)
 - Otherwise, analogously to subtyping, we'd need lenses instead

Core calculus (semantics ctd.)

```
\begin{split} \llbracket \Gamma \overset{\Sigma'}{\vdash} \text{using } V @ W \text{ run } M \text{ finally } \{ \text{ return } x @ c \mapsto N_{ret} \ , \\ & \left( \text{raise } e @ c \mapsto N_e \right)_{e \in E} \ , \\ & \left( \text{kill } s \mapsto N_s \right)_{s \in S} \ \} : Y \ ! \ E' \rrbracket_{\gamma} \ \overset{\text{def}}{=} \ \ldots \end{split}
```

- $[V]_{\gamma} = \mathcal{R} = \left(\overline{op}_{\mathcal{R}} : [A_{op}] \longrightarrow \mathbf{K}_{[C]}^{\Sigma'!E_{op} \downarrow S} [B_{op}]\right)_{op \in \Sigma}$
- $[W]_{\gamma} \in [C]$
- $\llbracket M \rrbracket_{\gamma} \in \mathbf{U}^{\Sigma!E} \llbracket A \rrbracket$
- [return \times @ c \rightarrow N_{ret}] $_{\gamma} \in [A] \times [C] \longrightarrow \mathbf{U}^{\Sigma'!E'}[B]$
- $[\![(\mathsf{raise} \ \mathsf{e} \ \mathsf{0} \ \mathsf{c} \to \mathsf{N}_e)_{e \in E}]\!]_\gamma \in E \times [\![C]\!] \longrightarrow \mathsf{U}^{\Sigma'!E'} [\![B]\!]$
- $[\![(\mathbf{kill} \ \mathsf{s} \to N_{\mathsf{s}})_{\mathsf{s} \in S}]\!]_{\gamma} \in S \longrightarrow \mathbf{U}^{\Sigma'!E'}[\![B]\!]$

Core calculus (semantics ctd.)

```
\begin{split} \llbracket \Gamma \overset{\Sigma'}{\vdash} \mathbf{using} \ V @ \ W \ \mathbf{run} \ M \ \mathbf{finally} \ \{ \ \mathbf{return} \ x @ \ c \mapsto \mathcal{N}_{ret} \ , \\ & \left( \mathbf{raise} \ e \ @ \ c \mapsto \mathcal{N}_{e} \right)_{e \in E} \ , \\ & \left( \mathbf{kill} \ s \mapsto \mathcal{N}_{s} \right)_{s \in S} \ \} : \ Y \ ! \ E' \rrbracket_{\gamma} \ \overset{\mathsf{def}}{=} \ \dots \end{split}
```

- $\llbracket V \rrbracket_{\gamma} = \mathcal{R} = \left(\overline{\operatorname{op}}_{\mathcal{R}} : \llbracket A_{\operatorname{op}} \rrbracket \longrightarrow \mathbf{K}_{\llbracket C \rrbracket}^{\Sigma'! E_{\operatorname{op}} \nleq S} \llbracket B_{\operatorname{op}} \rrbracket \right)_{\operatorname{op} \in \Sigma}$
- $[W]_{\gamma} \in [C]$
- $[\![M]\!]_{\gamma} \in \mathbf{U}^{\Sigma!E}[\![A]\!]$
- $[\![return \times @ c \rightarrow N_{ret}]\!]_{\gamma} \in [\![A]\!] \times [\![C]\!] \longrightarrow \mathbf{U}^{\Sigma'!E'} [\![B]\!]$
- $[\![(raise \ e \ \mathbf{0} \ c \rightarrow N_e)_{e \in E}]\!]_{\gamma} \in E \times [\![C]\!] \longrightarrow \mathbf{U}^{\Sigma'!E'} [\![B]\!]$
- $[\![(kill\ s \to N_s)_{s \in S}]\!]_{\gamma} \in S \longrightarrow \mathbf{U}^{\Sigma'!E'}[\![B]\!]$
- allowing us to use the free model property to get

$$\mathbf{U}^{\Sigma!E}\llbracket A\rrbracket \xrightarrow{\mathsf{r}_{\llbracket A\rrbracket + E}} \mathbf{K}^{\Sigma'!E \frac{\ell}{2}S}\llbracket A\rrbracket \xrightarrow{(\lambda \llbracket N_{ret} \rrbracket_{\gamma})^{\ddagger}} \llbracket C\rrbracket \Rightarrow \mathbf{U}^{\Sigma'!E'}\llbracket B\rrbracket$$

and then apply the resulting composite to $[\![M]\!]_\gamma$ and $[\![W]\!]_\gamma$

Runners in action

Runners can be vertically nested

Runners can be vertically nested

```
using R<sub>FH</sub> @ (fopen fileName)
run (
   using R<sub>FC</sub> @ (return "")
run M
finally {
   return x @ str → write str; return x ,
   raise e @ str → write str; raise e }
)
finally {
   return x @ fh → fclose fh; return x ,
   raise e @ fh → fclose fh; raise e , kill IOError → return ()}
```

where the **file contents runner** (with $\Sigma' = 0$) is defined as

Vertical nesting for instrumentation

Vertical nesting for instrumentation

```
using R<sub>Sniffer</sub> ② (return 0)
run M
finally {
  return x ② c →
  let fh = fopen "nsa.txt" in fwrite (fh,nat_to_str c); fclose fh }
```

where the **instrumenting runner** is defined as

- ullet The runner $R_{Sniffer}$ implements the same sig. Σ that M is using
- As a result, the runner R_{Sniffer} is **invisible** from M 's viewpoint

• First, we define a runner for integer-valued ML-style state as

```
type IntHeap = (Nat \rightarrow (Int + 1)) \times Nat
                                                                   type Ref = Nat
let R_{IntState} = runner  {
 alloc x \rightarrow let h = getenv () in
             let (r,h') = heapAlloc h x in
             setenv h':
             return r,
 deref r \rightarrow let h = getenv () in
             match (heapSel h r) with
              inl x \rightarrow return x
              inr () → kill ReferenceDoesNotExist ,
 assign r y \rightarrow let h = getenv () in
                match (heapUpd h r y) with
                 | inl h' → setenv h'
                | inr () → kill ReferenceDoesNotExist
  ① IntHeap
```

ullet Next we define a runner for monotonicity layer on top of R_{IntState}

• Next we define a runner for **monotonicity layer** on top of $R_{IntState}$ **type** MonMemory = Ref \rightarrow ((Int \rightarrow Int \rightarrow Bool) + 1)

```
let R_{MonState} = runner {
 monAlloc x rel \rightarrow let r = alloc x in
                      let m = getenv () in
                      setenv (memAdd m r rel);
                      return r,
 monDeref r \rightarrow deref r,
 monAssign r y \rightarrow let x = deref r in
                      let m = getenv () in
                      match (memSel m r) with
                      | inl rel \rightarrow if (rel \times y)
                                  then (assign r y)
                                  else (raise Monotonicity Violation)
                       inr → kill PreorderDoesNotExist
  O IntHeap
```

• We can then perform runtime monotonicity verification as

• We can then perform runtime monotonicity verification as

```
using R_{IntState} @ ((fun \_ \rightarrow inr ()), 0)
                                            (* empty ML—style heap *)
run (
 using R_{MonState} @ (fun \_ \rightarrow inr ())
                                                   (* empty preorders memory *)
 run (
   let r = monAlloc 0 (\leq) in
   monAssign r 1;
   monAssign r 0; (* R<sub>MonState</sub> raises MonotonicityViolation exception *)
   monAssign r 2)
 finally {return x \otimes \_ \rightarrow return x,
           raise Monotonicity Violation @ \_ \rightarrow ...,
           kill PreorderDoesNotExist \rightarrow ... \})
finally {return x \otimes \_ \rightarrow return x,
         kill ReferenceDoesNotExist → ... }
```

Runners can also be horizontally paired

Runners can also be horizontally paired

• Given a runner for Σ

```
let R_1 = \text{runner} \{ ..., op_{1i} \times K_{1i}, ... \} @ C_1
and a runner for \Sigma'
let R_2 = runner \{ \dots, op_{2i} \times k_{2i}, \dots \} @ C_2
we can pair them to get a runner for \Sigma \cup \Sigma'
let R = runner  {
  op_{1i} \times \rightarrow let (c,c') = getenv () in
              let (x,c^{II}) = k_{1i} \times in
              setenv (c<sup>11</sup>,c<sup>1</sup>);
              return x,
  op_{2j} x \rightarrow ... (* analogously to above *),
```

Runners can also be horizontally paired

ullet Given a runner for Σ

```
let R_1 = \text{runner} \{ ... , op_{1i} x \rightarrow k_{1i} , ... \} @ C_1
and a runner for \Sigma'
 let R_2 = \text{runner} \{ \dots, \text{ op}_{2i} \times X \rightarrow k_{2i}, \dots \} @ C_2
we can pair them to get a runner for \Sigma \cup \Sigma'
 let R = runner  {
   op_{1i} \times \rightarrow let (c,c') = getenv () in
                 let (x,c^{II}) = k_{1i} \times in
                 setenv (c<sup>11</sup>,c<sup>1</sup>);
                 return x,
   op_{2j} x \rightarrow ... (* analogously to above *),
 \{ (C_1 \times C_2) \}
```

• For instance, this way we can build a runner for IO and state

Other examples

Other examples

- More general forms of (ML-style) state (for general Ref A)
 - if the host language allows it, we use GADTs, etc for safety
 - some examples extract a footprint from a larger memory
- Combinations of different effects and runners
 - in particular the combination of IO and state
 - good use case for both vertical and horizontal composition
- KOKA-style ambient values and ambient functions
 - ambient values are essentially mutable variables/parameters
 - ambient functions are applied in their lexical context
 - a runner that treats amb. fun. application as a co-operation
 - amb. funs. are stored in a context-depth-sensitive heap
 - the appl. co-operation restores the heap to the lexical context

Implementing runners

- A small experimental language Coop⁴
 - Implements the core calculus with few extras
 - The interpreter is directly based on the denotational semantics
 - Top-level containers for running external (OCaml) code

⁴coop [/ku:p/] – a cage where small animals are kept, especially chickens

- A small experimental language Coop⁴
 - Implements the core calculus with few extras
 - The interpreter is directly based on the denotational semantics
 - Top-level containers for running external (OCaml) code
- A HASKELL library HASKELL-COOP
 - A shallow-embedding of the core calculus in HASKELL
 - Uses one of the Freer monad implementations underneath
 - Again, the operational aspects implement the denot. semantics
 - Top-level containers for arbitrary HASKELL monads
 - Examples make use of HASKELL's features (GADTs, ...)

⁴coop [/ku:p/] - a cage where small animals are kept, especially chickens

- A small experimental language Coop⁴
 - Implements the core calculus with few extras
 - The interpreter is directly based on the denotational semantics
 - Top-level containers for running external (OCaml) code
- A HASKELL library HASKELL-COOP
 - A shallow-embedding of the core calculus in HASKELL
 - Uses one of the Freer monad implementations underneath
 - Again, the operational aspects implement the denot. semantics
 - Top-level containers for arbitrary HASKELL monads
 - Examples make use of HASKELL's features (GADTs, ...)
- Both still need some finishing touches, but will be public soon

⁴coop [/ku:p/] - a cage where small animals are kept, especially chickens

```
module AmbientsTests where
import Control.Runner
import Control.Runner.Ambients
ambFun :: AmbVal Int -> Int -> AmbEff Int
ambFun x y =
  do x <- getVal x;</pre>
     return (x + y)
test1 :: AmbEff Int
test1 =
  withAmbVal
    (4 :: Int)
    (\ x ->
      withAmbFun
        (ambFun x)
        (\ f ->
          do rebindVal x 2:
             applyFun f 1))
test2 = ambTopLevel test1
```

Wrapping up

- Runners are a natural model of top-level runtime
- We propose T-runners to also model non-top-level runtimes
- We have turned T-runners into a (practical?) programming construct, that supports controlled initialisation and finalisation
- I showed you some combinators and programming examples
- Two implementations in the works, COOP & HASKELL-COOP
- Future: lenses in subtyping and semantics, category of runners, handlers, bigger case studies, refinement typing, compilation, ...

This project has received funding from the European Union's Horizon 2020 research and innovation programme under the Marie Sklodowska-Curie grant agreement No 834146.



This material is based upon work supported by the Air Force Office of Scientific Research under award number FA9550-17-1-0326

Thank you!

