Interacting with external resources using runners (aka comodels)

Danel Ahman

(joint work with Andrej Bauer)

University of Ljubljana, Slovenia

CHoCoLa meeting, Lyon, 17.10.2019

Today's plan

- Computational effects and external resources in PL
- Issues with standard approaches to external resources
- Runners a natural model for top-level runtime
- T-runners for also modelling non-top-level runtimes
- Turning **T**-runners into a **useful programming construct**
- Demonstrate the use of runners through **programming examples**

Computational effects and external resources

Computational effects in PL

Computational effects in PL

• Using monads (as in HASKELL)

```
type St a = String \rightarrow (a,String)
instance St Monad where
...

f :: St a \rightarrow St (a,a)
f c = c >>= (\\x \rightarrow c >>= (\\\y \rightarrow return (x,y)))
```

• Using alg. effects and handlers (as in Eff, Frank, Koka)

```
effect Get : int
effect Put : int → unit

let g (c:unit → a!{Get,Put}) : int → a * int ! {} =
    with st_handler handle (perform (Put 42); c ())
```

Computational effects in PL

• Using monads (as in HASKELL)

```
type St a = String \rightarrow (a,String)
instance St Monad where
...

f :: St a \rightarrow St (a,a)
f c = c >>= (\\x \rightarrow c >>= (\\\y \rightarrow return (x,y)))
```

• Using alg. effects and handlers (as in Eff, Frank, Koka)

```
effect Get : int
effect Put : int → unit

let g (c:unit → a!{Get,Put}) : int → a * int ! {} =
    with st_handler handle (perform (Put 42); c ())
```

Both are good for faking comp. effects in a pure language!
 But what about effects that need access to the external world?

External resources in PL

External resources in PL

• Declare a signature of monads or algebraic effects, e.g.,

```
(* System.IO *)

type IO a

openFile :: FilePath \rightarrow IOMode \rightarrow IO Handle
```

```
(* pervasives.eff *)

effect RandomInt : int → int

effect RandomFloat : float → float
```

And then treat them specially in the compiler, e.g., in EFF

```
(* eff/src/backends/runtime/eval.ml *)
let rec top_handle op =
  match op with
  | Value v → v
  | Call (RandomInt, v, k) →
      top_handle (k (Const.of_integer (Random.int (Value.to_int v))))
  | ...
```

External resources in PL

• Declare a signature of monads or algebraic effects, e.g.,

```
(* System.IO *)

type IO a

openFile :: FilePath \rightarrow IOMode \rightarrow IO Handle
```

```
(* pervasives.eff *)

effect RandomInt : int → int

effect RandomFloat : float → float
```

And then treat them specially in the compiler, e.g., in EFF

```
(* eff/src/backends/runtime/eval.ml *)
let rec top_handle op =
  match op with
| Value v → v
| Call (RandomInt, v, k) →
     top_handle (k (Const.of_integer (Random.int (Value.to_int v))))
| ...
```

but there are **some issues** with that approach ...

First issue

- Difficult to cover all possible use cases
 - external resources hard-coded into the top-level runtime
 - non-trivial to change what's available and how it's implemented

First issue

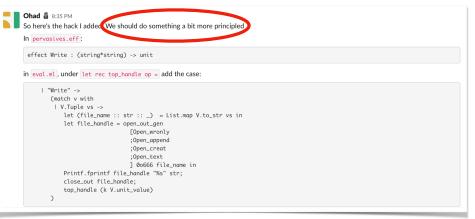
Ohad 4 8:35 PM

- Difficult to cover all possible use cases
 - external resources hard-coded into the top-level runtime
 - non-trivial to change what's available and how it's implemented

```
So here's the hack I added We should do something a bit more principled
In pervasives.eff:
 effect Write : (string*string) -> unit
in eval.ml under let rec top handle op = add the case:
     | "Write" ->
        (match v with
         | V.Tuple vs ->
            let (file_name :: str :: _) = List.map V.to_str vs in
            let file_handle = open_out_gen
                                 [Open_wronly
                                 :Open append
                                 ;Open_creat
                                 ;Open_text
                                1 0o666 file_name in
            Printf.fprintf file handle "%s" str:
            close_out file_handle;
            top_handle (k V.unit_value)
```

First issue

- Difficult to cover all possible use cases
 - external resources hard-coded into the top-level runtime
 - non-trivial to change what's available and how it's implemented



This work — a principled modular (co)algebraic approach!

Second issue

• Lack of linearity for external resources

Second issue

Lack of linearity for external resources

- We shall address these kinds of issues indirectly (!),
 - by **not** introducing a linear typing discipline
 - but instead we make it convenient to hide external resources (addressing stronger typing disciplines in the future)

Third issue

• Excessive generality of effect handlers

```
let f (s:string) =
let fh = fopen "foo.txt" in
fwrite (fh,s^s);
fclose fh

let h = handler { fwrite (fh,s) k → return () }
```

Third issue

• Excessive generality of effect handlers

```
let f (s:string) =
  let fh = fopen "foo.txt" in
  fwrite (fh,s^s);
  fclose fh

let h = handler { fwrite (fh,s) k → return () }
```

But misuse of external resources can also be purely accidental

```
let nd_handler =
  handler { choose () k → return (k true ++ k false) }

let g (s1 s2:string) =
  let fh = fopen "foo.txt" in
  let b = choose () in
  if b then (fwrite (fh,s1^s2)) else (fwrite (fh,s2^s1));
  fclose fh
```

Third issue

• Excessive generality of effect handlers

```
let f (s:string) =
  let fh = fopen "foo.txt" in
  fwrite (fh,s^s);
  fclose fh

let h = handler { fwrite (fh,s) k → return () }
```

- We shall address these kinds of issues directly (!!),
 - by proposing a restricted form of handlers for resources
 - that support controlled initialisation and finalisation,
 - (and limit how general handlers can be used)

Runners

• Given a **signature**¹ Σ of operation symbols $(A_{op}, B_{op} \text{ are sets})$

$$op: A_{op} \leadsto B_{op}$$

a $runner^2$ ${\cal R}$ for Σ is given by a carrier $|{\cal R}|$ and co-operations

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}: A_{\operatorname{op}} \times |\mathcal{R}| \longrightarrow B_{\operatorname{op}} \times |\mathcal{R}|\right)_{\operatorname{op} \in \Sigma}$$

where we think of $|\mathcal{R}|$ as a set of runtime configurations

¹We consider runners for signatures, but the work generalises to alg. theories.

²In the literature also known as **comodels** for Σ (or for an algebraic theory).

• Given a **signature**¹ Σ of operation symbols $(A_{op}, B_{op} \text{ are sets})$

$$op: A_{op} \leadsto B_{op}$$

a $runner^2$ ${\cal R}$ for Σ is given by a carrier $|{\cal R}|$ and co-operations

$$\left(\overline{op}_{\mathcal{R}}: A_{op} \times |\mathcal{R}| \longrightarrow B_{op} \times |\mathcal{R}|\right)_{op \in \Sigma}$$

where we think of $|\mathcal{R}|$ as a set of **runtime configurations**

• For example, a natural runner R for S-valued state signature

$$\left\{ \quad \mathsf{get} : \mathbb{1} \leadsto S \quad , \quad \mathsf{set} : S \leadsto \mathbb{1} \quad \right\}$$

is given by

$$|\mathcal{R}| \stackrel{\text{def}}{=} S$$
 $\overline{\text{get}}_{\mathcal{R}}(\star, s) \stackrel{\text{def}}{=} (s, s)$ $\overline{\text{set}}_{\mathcal{R}}(s', s) \stackrel{\text{def}}{=} (\star, s')$

¹We consider runners for signatures, but the work generalises to alg. theories.

²In the literature also known as **comodels** for Σ (or for an algebraic theory).

- Runners/comodels have been used for
 - operational semantics using tensors of models and comodels
 [Plotkin and Power '08]
 - top-level implementation of algebraic effects in EFF
 [Bauer and Pretnar '15]
 and
 - **stateful running** of algebraic effects

[Uustalu '15]

• linear-use state-passing translation

[Møgelberg and Staton '11, '14]

- Runners/comodels have been used for
 - operational semantics using tensors of models and comodels
 [Plotkin and Power '08]
 - \bullet top-level implementation of algebraic effects in Eff [Bauer and Pretnar '15] and
 - stateful running of algebraic effects [Uustalu '15]
 - linear-use state-passing translation [Møgelberg and Staton '11, '14]
- The latter explicitly rely on one-to-one correspondence between
 - \bullet runners $\mathcal R$
 - monad morphisms³ $r : Free_{\Sigma}(-) \longrightarrow St_{|\mathcal{R}|}$

 $^{{}^{3}}Free_{\Sigma}(X)$ is the free monad ind. defined with leaves val x and nodes op (a, κ) .

 \bullet So, runners $\mathcal R$ are a natural model of top-level runtime

- ullet So, runners ${\cal R}$ are a natural model of top-level runtime
- But what if this runtime is not **the** runtime?
 - hardware vs OSs
 - OSs vs VMs
 - VMs vs sandboxes

but also

- browsers vs web pages
- ...

- \bullet So, runners $\mathcal R$ are a natural model of top-level runtime
- But what if this runtime is not **the** runtime?
 - hardware vs OSs
 - OSs vs VMs
 - VMs vs sandboxes

but also

- browsers vs web pages
- ...
- Unfortunately, runners, as defined above, are not readily able to
 - use external resources
 - signal failure caused by unavoidable circumstances
- But is there a useful generalisation that would achieve this?

• Møgelberg and Staton usefully observed that a runner \mathcal{R} is equivalently simply a family of **generic effects** for $\mathbf{St}_{|\mathcal{R}|}$, i.e.,

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}: A_{\operatorname{op}} \longrightarrow \operatorname{\mathbf{St}}_{|\mathcal{R}|} B_{\operatorname{op}}\right)_{\operatorname{op} \in \Sigma}$$

• Møgelberg and Staton usefully observed that a runner \mathcal{R} is equivalently simply a family of **generic effects** for $\mathbf{St}_{|\mathcal{R}|}$, i.e.,

$$\left(\overline{op}_{\mathcal{R}}: A_{op} \longrightarrow \mathbf{St}_{|\mathcal{R}|} B_{op}\right)_{op \in \Sigma}$$

• Building on this, we define a **T-runner** \mathcal{R} for Σ to be given by

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}:A_{\operatorname{op}}\longrightarrow \mathbf{T}\,B_{\operatorname{op}}\right)_{\operatorname{op}\in\Sigma}$$

• Møgelberg and Staton usefully observed that a runner \mathcal{R} is equivalently simply a family of **generic effects** for $\mathbf{St}_{|\mathcal{R}|}$, i.e.,

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}: A_{\operatorname{op}} \longrightarrow \operatorname{\mathbf{St}}_{|\mathcal{R}|} B_{\operatorname{op}}\right)_{\operatorname{op} \in \Sigma}$$

• Building on this, we define a **T-runner** \mathcal{R} for Σ to be given by

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}:A_{\operatorname{op}}\longrightarrow \mathbf{T}\,B_{\operatorname{op}}\right)_{\operatorname{op}\in\Sigma}$$

• The one-to-one correspondence with monad morphisms

$$r: \mathbf{Free}_{\Sigma}(-) \longrightarrow \mathbf{T}$$

simply amounts to the universal property of free models, i.e.,

$$\mathsf{r}_X\left(\mathsf{val}\,X\right) = \eta_X\,X \qquad \qquad \mathsf{r}_X\left(\mathsf{op}(a,\kappa)\right) = \underbrace{\left(\mathsf{r}_X\circ\kappa\right)^\dagger\left(\overline{\mathsf{op}}_\mathcal{R}\,a\right)}_{\mathsf{op}_\mathcal{M}(a,\mathsf{r}_X\circ\kappa)}$$

• Møgelberg and Staton usefully observed that a runner \mathcal{R} is equivalently simply a family of **generic effects** for $\mathbf{St}_{|\mathcal{R}|}$, i.e.,

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}:A_{\operatorname{op}}\longrightarrow\operatorname{\mathbf{St}}_{|\mathcal{R}|}B_{\operatorname{op}}\right)_{\operatorname{op}\in\Sigma}$$

• Building on this, we define a **T-runner** $\mathcal R$ for Σ to be given by

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}:A_{\operatorname{op}}\longrightarrow \mathbf{T}\,B_{\operatorname{op}}\right)_{\operatorname{op}\in\Sigma}$$

• The one-to-one correspondence with monad morphisms

$$r: \mathbf{Free}_{\Sigma}(-) \longrightarrow \mathbf{T}$$

simply amounts to the universal property of free models, i.e.,

$$\mathsf{r}_X\left(\mathsf{val}\,x\right) = \eta_X\,x \qquad \qquad \mathsf{r}_X\left(\mathsf{op}(a,\kappa)\right) = \underbrace{\left(\mathsf{r}_X \circ \kappa\right)^\dagger \left(\overline{\mathsf{op}}_{\mathcal{R}}\,a\right)}_{\mathsf{op}_{\mathcal{M}}\left(a,\mathsf{r}_X \circ \kappa\right)}$$

Observe that κ appears in a tail call position on the right!

• What would be a useful class of monads T to use?

- What would be a useful class of monads T to use?
- We want a runner to be a bit like a kernel of an OS, i.e., to
 - (i) provide management of (internal) resources
 - (ii) use further external resources
 - (iii) signal failure caused by unavoidable circumstances

- What would be a useful class of monads T to use?
- We want a runner to be a bit like a kernel of an OS, i.e., to
 - (i) provide management of (internal) resources
 - (ii) use further external resources
 - (iii) signal failure caused by unavoidable circumstances
- Algebraically (and pragmatically), this amounts to taking
 - (i) getenv : $\mathbb{1} \rightsquigarrow C$ & setenv : $C \rightsquigarrow \mathbb{1}$
 - (ii) op : $A_{op} \leadsto B_{op}$ $(op \in \Sigma', \text{ for some external } \Sigma')$
 - (iii) kill : $S \leadsto \mathbb{O}$
 - s.t., (i) satisfy state equations; and (i) commute with (ii) and (iii)

- What would be a useful class of monads T to use?
- We want a runner to be a bit like a kernel of an OS, i.e., to
 - (i) provide management of (internal) resources
 - (ii) use further external resources
 - (iii) signal failure caused by unavoidable circumstances
- Algebraically (and pragmatically), this amounts to taking
 - (i) getenv : $\mathbb{1} \rightsquigarrow C$ & setenv : $C \rightsquigarrow \mathbb{1}$
 - (ii) op : $A_{op} \leadsto B_{op}$ (op $\in \Sigma'$, for some external Σ')
 - (iii) kill : $S \leadsto \mathbb{O}$
- s.t., (i) satisfy state equations; and (i) commute with (ii) and (iii)
- The **induced monad** is then isomorphic to

$$\mathsf{T} X \stackrel{\mathsf{def}}{=} C \Rightarrow \mathsf{Free}_{\Sigma'} \big((X \times C) + S \big)$$

• The corresponding **T-runners** \mathcal{R} for Σ are then of the form

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}: A_{\operatorname{op}} \longrightarrow C \Rightarrow \operatorname{Free}_{\Sigma'}((B_{\operatorname{op}} \times C) + S)\right)_{\operatorname{op} \in \Sigma}$$

• The corresponding **T-runners** \mathcal{R} for Σ are then of the form

$$\left(\overline{\mathsf{op}}_{\mathcal{R}}: A_{\mathsf{op}} \longrightarrow C \Rightarrow \mathsf{Free}_{\Sigma'}\big((B_{\mathsf{op}} \times C) + S\big)\right)_{\mathsf{op} \in \Sigma}$$

Observe that raising signals in S discards the state,
 but not all problems are terminal—they can be recovered from

• The corresponding **T-runners** \mathcal{R} for Σ are then of the form

$$\left(\overline{\mathrm{op}}_{\mathcal{R}}: A_{\mathrm{op}} \longrightarrow \mathit{C} \Rightarrow \mathsf{Free}_{\Sigma'}\big((B_{\mathrm{op}} \times \mathit{C}) + \mathit{S}\big)\right)_{\mathrm{op} \in \Sigma}$$

- Observe that raising signals in S discards the state,
 but not all problems are terminal—they can be recovered from
- Our solution: consider signatures Σ with operation symbols

$$op: A_{op} \leadsto B_{op} + E_{op}$$

• The corresponding **T-runners** \mathcal{R} for Σ are then of the form

$$\left(\overline{\mathrm{op}}_{\mathcal{R}}: A_{\mathrm{op}} \longrightarrow C \Rightarrow \mathsf{Free}_{\Sigma'}\big((B_{\mathrm{op}} \times C) + S\big)\right)_{\mathrm{op} \in \Sigma}$$

- Observe that raising signals in S discards the state,
 but not all problems are terminal—they can be recovered from
- ullet Our solution: consider signatures Σ with operation symbols

```
\mathsf{op}: A_\mathsf{op} \leadsto B_\mathsf{op} + E_\mathsf{op} \qquad (\mathsf{which} \ \mathsf{we} \ \mathsf{write} \ \mathsf{as} \quad \mathsf{op}: A_\mathsf{op} \leadsto B_\mathsf{op} \ ! \ E_\mathsf{op})
```

• The corresponding **T-runners** \mathcal{R} for Σ are then of the form

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}: A_{\operatorname{op}} \longrightarrow C \Rightarrow \mathbf{Free}_{\Sigma'} \big((B_{\operatorname{op}} \times C) + S \big) \right)_{\operatorname{op} \in \Sigma}$$

- Observe that raising signals in S discards the state,
 but not all problems are terminal—they can be recovered from
- Our solution: consider signatures Σ with operation symbols op: $A_{op} \rightsquigarrow B_{op} + E_{op}$ (which we write as op: $A_{op} \rightsquigarrow B_{op} ! E_{op}$)
- With this, our **T-runners** \mathcal{R} for Σ are (with "primitive" excs.)

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}: A_{\operatorname{op}} \longrightarrow \mathbf{K}_{C}^{\Sigma'!E_{\operatorname{op}} \notin S} B_{\operatorname{op}}\right)_{\operatorname{op} \in \Sigma}$$

where we call $\mathbf{K}_{C}^{\Sigma!E \notin S}$ a **kernel monad** (the sum of **T** and excs.)

$$\mathbf{K}_{C}^{\Sigma'!E_{\mathsf{op}}
otin S} B_{\mathsf{op}} \stackrel{\scriptscriptstyle\mathsf{def}}{=} C \Rightarrow \mathsf{Free}_{\Sigma'} ig(((B_{\mathsf{op}} + E_{\mathsf{op}}) \times C) + S ig)$$

T-runners as a programming construct

(towards a core calculus for runners)

T-runners as a programming construct

• First, we include **T-runners** for Σ

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}: A_{\operatorname{op}} \longrightarrow \mathbf{K}_{C}^{\Sigma'!E_{\operatorname{op}} \notin S} B_{\operatorname{op}}\right)_{\operatorname{op} \in \Sigma}$$

in our language as values, and co-ops. as kernel code, i.e.,

let $R = runner \{ op_1 x_1 \rightarrow K_1 , ..., op_n x_n \rightarrow K_n \} @ C$

T-runners as a programming construct

• First, we include **T-runners** for Σ

$$\left(\overline{\operatorname{op}}_{\mathcal{R}}: A_{\operatorname{op}} \longrightarrow \mathbf{K}_{C}^{\Sigma'!E_{\operatorname{op}} \notin S} B_{\operatorname{op}}\right)_{\operatorname{op} \in \Sigma}$$

in our language as values, and co-ops. as kernel code, i.e.,

```
let R = runner \{ op_1 x_1 \rightarrow K_1 , ..., op_n x_n \rightarrow K_n \} @ C
```

• For instance, we can implement a write-only file handle as

```
where \Sigma \ \stackrel{\mathsf{def}}{=} \ \{ \ \mathsf{write} : \mathsf{String} \leadsto 1 \ ! \ E \cup \{ \mathsf{WriteSizeExceeded} \} \ \} \left( \mathsf{fwrite} : \mathsf{FileHandle} \times \mathsf{String} \leadsto 1 \ ! \ E \right) \in \Sigma' \qquad S = \{ \ \mathsf{IOError} \ \}
```

• Recall that the components r_X of the monad morphism

$$r: \mathbf{Free}_{\Sigma}(-) \longrightarrow \mathbf{T}$$

induced by a T-runner R are all tail-recursive

 \bullet Recall that the components r_X of the monad morphism

```
\xrightarrow{\text{initialisation}} \qquad \text{``} \circ \text{''} \qquad \text{r} : \textbf{Free}_{\Sigma}(-) \longrightarrow \textbf{T} \qquad \text{``} \circ \text{''} \qquad \xrightarrow{\text{finalisation}}
```

induced by a T-runner $\mathcal R$ are all tail-recursive

• We make use of it to enable programmers to run user code:

```
 \begin{array}{l} \textbf{using R @ M_{init}} \\ \textbf{run M} \\ \textbf{finally } \{\textbf{return} \times \textbf{@ c} \rightarrow \textbf{M}_{ret} \text{ , ... } \textbf{raise e @ c} \rightarrow \textbf{M}_{e} \text{ ... }, \text{ ... } \textbf{kill s} \rightarrow \textbf{M}_{s} \text{ ...} \} \\ \textbf{where} \\ \end{array}
```

Ms are user code, modelled using U^{Σ!E} X ^{def} Free_Σ (X + E)

• Recall that the components r_X of the monad morphism

```
\xrightarrow{\text{initialisation}} \qquad \text{``} \circ \text{''} \qquad r: \textbf{Free}_{\Sigma}(-) \longrightarrow \textbf{T} \qquad \text{``} \circ \text{''} \qquad \xrightarrow{\text{finalisation}}
```

induced by a **T**-runner \mathcal{R} are all **tail-recursive**

• We make use of it to enable programmers to run user code:

```
 \begin{array}{l} \mbox{using R @ M_{init}} \\ \mbox{run M} \\ \mbox{finally } \{\mbox{return } x \ @ \ c \rightarrow M_{ret} \ , \ ... \ \mbox{raise e @ } c \rightarrow M_e \ ... \ , \ ... \ \mbox{kill s} \rightarrow M_s \ ... \} \\ \mbox{where} \\ \mbox{ (a user monad)} \\ \end{array}
```

- Ms are user code, modelled using $\mathbf{U}^{\Sigma ! E} X \stackrel{\text{def}}{=} \mathbf{Free}_{\Sigma} (X + E)$
- M_{init} produces the initial kernel state
- M is the user code being run using the runner R
- M_{ret}, M_e, M_s finalise for return values, exceptions, and signals

• Recall that the components r_X of the monad morphism

```
\xrightarrow{\text{initialisation}} \qquad \text{``} \circ \text{''} \qquad \text{$r: \textbf{Free}_{\Sigma}(-) \longrightarrow \textbf{T}$} \qquad \text{``} \circ \text{''} \qquad \xrightarrow{\text{finalisation}}
```

induced by a **T**-runner \mathcal{R} are all **tail-recursive**

• We make use of it to enable programmers to run user code:

```
using R @ M_{init} run M finally {return x @ c \rightarrow M_{ret} , ... raise e @ c \rightarrow M_e ... , ... kill s \rightarrow M_s ...} where
```

- nere (a user monad)

 Ms are user code, modelled using $\mathbf{U}^{\Sigma ! E} X \stackrel{\text{def}}{=} \mathbf{Free}_{\Sigma} (X + E)$
- M_{init} produces the initial kernel state
- M is the user code being run using the runner R
- M_{ret}, M_e, M_s finalise for return values, exceptions, and signals
- M_{ret} and M_e depend on the final state c, but M_s does not

• For instance, we can define a PYTHON-esque with construct

```
with fileName do M = using R<sub>FH</sub> @ (fopen fileName) run M finally { return \times @ fh \rightarrow fclose fh; return \times, raise WriteSizeExceeded @ fh \rightarrow fclose fh; return (), raise e @ fh \rightarrow fclose fh; raise e , (* other exceptions in E are re-raised *) kill IOError \rightarrow ... }
```

• For instance, we can define a PYTHON-esque with construct

```
with fileName do M = using R<sub>FH</sub> @ (fopen fileName) run M finally { return \times @ fh \rightarrow fclose fh; return \times, raise WriteSizeExceeded @ fh \rightarrow fclose fh; return (), raise e @ fh \rightarrow fclose fh; raise e , (* other exceptions in E are re-raised *) kill IOError \rightarrow ... }
```

- the file handle is hidden from M
- M can only call write: String → 1! E ∪ {WriteSizeExceeded}
 but not (the external operations) fopen, fclose, and fwrite
- fopen and fclose are limited to initialisation-finalisation
- M can itself also catch WriteSizeExceeded to re-try writing

A core calculus for programming with runners

Core calculus (syntax)

Core calculus (syntax)

• Ground types (types of ops. and kernel state)

$$A, B, C ::= B \mid 1 \mid 0 \mid A \times B \mid A + B$$

Types

$$X, Y ::= B \mid 1 \mid 0 \mid X \times Y \mid X + Y$$

$$\mid X \xrightarrow{\Sigma} Y \mid E$$

$$\mid X \xrightarrow{\Sigma} Y \mid E \not\downarrow S @ C$$

$$\mid \Sigma \Rightarrow \Sigma' \not\downarrow S @ C$$

Values

$$\Gamma \vdash V : X$$

• User computations

$$\Gamma \not \sqsubseteq M : X ! E$$

Kernel computations

$$\Gamma \stackrel{\Sigma}{\vdash} K : X ! E \not \downarrow S @ C$$

Core calculus (user computations)

```
M, N ::= \operatorname{return} V
                                                                                   value
              try M with {return x \mapsto N, (raise e \mapsto N_e)_{e \in E}}
                                                                                   exception handler
              VW
                                                                                   application
              match V with \{\langle x, y \rangle \mapsto M\}
                                                                                   product elimination
              match V with \{\}_X
                                                                                   empty elimination
              match V with {inl x \mapsto M, inr y \mapsto N}
                                                                                   sum elimination
             \operatorname{op}_{Y}(V,(x.M),(N_{e})_{e\in E_{on}})
                                                                                   operation call
              raise x e
                                                                                   raise exception
              using V @ W run M finally {
                                                                                   run
                 return x @ c \mapsto N,
                 (\text{raise } e \otimes c \mapsto N_e)_{e \in E},
                 (kill \ s \mapsto N_s)_{s \in S}
              kernel K @ V finally {
                                                                                   switch to kernel mode
                 return x @ c \mapsto N.
                 (raise e @ c \mapsto N_e)_{e \in E},
                 (kill s \mapsto N_s)_{s \in S}
```

Core calculus (kernel computations)

```
K, L ::= \operatorname{return}_C V
                                                                                 value
             try K with {return x \mapsto L, (raise e \mapsto L_e)_{e \in E}}
                                                                                 exception handler
             VW
                                                                                 application
             match V with \{\langle x,y\rangle\mapsto K\}
                                                                                 product elimination
             match V with \{\}_{X@C}
                                                                                 empty elimination
             match V with \{\text{inl } x \mapsto K, \text{inr } y \mapsto L\}
                                                                                 sum elimination
             \operatorname{op}_{X \odot C}(V, (x \cdot K), (L_e)_{e \in E_{on}})
                                                                                 operation call
            raise x a c e
                                                                                 raise exception
             kill_{X@C} s
                                                                                 send signal
             getenv_C(c.K)
                                                                                 get state
             setenv(V, K)
                                                                                 set state
             user M with {return x \mapsto K, (raise e \mapsto L_e)_{e \in E}}
                                                                                 switch to user mode
```



• For example, the typing rule for running user comps. is

$$\begin{split} \Gamma \vdash V : \Sigma \Rightarrow \Sigma' \not \in \mathcal{S} @ C & \Gamma \vdash W : C \\ \Gamma \not \sqsubseteq M : X ! E & \Gamma, x : X, c : C \not \sqsubseteq' N_{ret} : Y ! E' \\ & \left(\Gamma, c : C \not \sqsubseteq' N_e : Y ! E'\right)_{e \in E} & \left(\Gamma \not \sqsubseteq' N_s : Y ! E'\right)_{s \in S} \end{split}$$

$$\Gamma \not \sqsubseteq' \mathbf{using} \ V @ \ W \ \mathbf{run} \ M \ \mathbf{finally} \ \left\{ \ \mathbf{return} \ x @ \ c \mapsto N_{ret} \ , \\ & \left(\mathbf{raise} \ e \ @ \ c \mapsto N_e\right)_{e \in E} \ , \\ & \left(\mathbf{kill} \ s \mapsto N_s\right)_{s \in S} \ \right\} : Y ! E' \end{split}$$

• For example, the typing rule for running user comps. is

```
\begin{split} \Gamma \vdash V : \Sigma \Rightarrow \Sigma' \not \downarrow S @ C & \Gamma \vdash W : C \\ \Gamma \not \sqsubseteq M : X ! E & \Gamma, x : X, c : C \not \sqsubseteq' N_{ret} : Y ! E' \\ & \big( \Gamma, c : C \not \sqsubseteq' N_e : Y ! E' \big)_{e \in E} & \big( \Gamma \not \sqsubseteq' N_s : Y ! E' \big)_{s \in S} \\ \hline \Gamma \not \sqsubseteq' \text{ using } V @ W \text{ run } M \text{ finally } \big\{ \text{ return } x @ c \mapsto N_{ret} \ , \\ & \big( \text{raise } e @ c \mapsto N_e \big)_{e \in E} \ , \\ & \big( \text{kill } s \mapsto N_s \big)_{e \in S} \big\} : Y ! E' \end{split}
```

• and the main β -equation for running user comps. is

```
 \begin{split} \Gamma &\stackrel{[E']}{=} \textbf{using} \ R & @ \ \textit{W} \ \textbf{run} \ (\text{op}_X \ (V, (y.M), (M_e)_{e \in E_{op}})) \ \textbf{finally} \ F \\ & \equiv \textbf{kernel} \ K_{op} [V/x_{op}] & @ \ \textit{W} \ \textbf{finally} \ \{ \\ & \quad \textbf{return} \ y & @ \ \textit{c'} \ \mapsto \textbf{using} \ R & @ \ \textit{c'} \ \textbf{run} \ M \ \textbf{finally} \ F \ , \\ & \quad \big( \textbf{raise} \ e & @ \ \textit{c'} \ \mapsto \textbf{using} \ R & @ \ \textit{c'} \ \textbf{run} \ M_e \ \textbf{finally} \ F \big)_{e \in E_{op}} \ , \\ & \quad \big( \textbf{kill} \ s \mapsto N_s \big)_{s \in S} \ \} : Y \ ! \ E' \end{split}
```

• The calculus also includes subtyping, and subsumption rules

$$\frac{\Gamma \vdash V : A \qquad A \lessdot B}{\Gamma \vdash V : B}$$

$$\frac{\Gamma \vdash M : A \mid E \qquad \Sigma \subseteq \Sigma' \qquad A \lessdot B \qquad E \subseteq E'}{\Gamma \vdash M : B \mid E'}$$

$$\Gamma \vdash K : A \mid E \not\downarrow S @ C \qquad \Sigma \subseteq \Sigma'$$

$$A \lessdot B \qquad E \subseteq E' \qquad S \subseteq S' \qquad C = C'$$

 $\Gamma \stackrel{E'}{\vdash} K : B ! E' ! S' @ C'$

• The calculus also includes subtyping, and subsumption rules

$$\frac{\Gamma \vdash V : A \qquad A \lessdot B}{\Gamma \vdash V : B}$$

$$\frac{\Gamma \not\vdash M : A ! E \qquad \Sigma \subseteq \Sigma' \qquad A \lessdot B \qquad E \subseteq E'}{\Gamma \not\vdash M : B ! E'}$$

$$\frac{\Gamma \not\vdash K : A ! E \not\downarrow S @ C \qquad \Sigma \subseteq \Sigma'}{A \lessdot B \qquad E \subseteq E' \qquad S \subseteq S' \qquad C = C'}$$

$$\frac{A \lessdot B \qquad E \subseteq E' \qquad S \subseteq S' \qquad C = C'}{\Gamma \not\vdash K : B ! E' \not\downarrow S' @ C'}$$

- We use C = C' to have (standard) proof-irrelevant subtyping
- Otherwise, instead of just C <: C', we would need a **lens** $C' \leftrightarrow C$

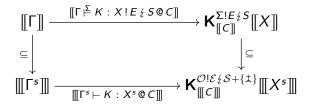
- Monadic semantics, for concreteness in Set, using
 - user monads $\mathbf{U}^{\Sigma!E} X \stackrel{\text{def}}{=} \mathbf{Free}_{\Sigma}(X+E)$
 - kernel monads $K_C^{\Sigma!E \nmid S} X \stackrel{\text{def}}{=} C \Rightarrow \text{Free}_{\Sigma} (((X + E) \times C) + S)$

- Monadic semantics, for concreteness in Set, using
 - user monads $\mathbf{U}^{\Sigma!E}X\stackrel{\text{def}}{=} \mathbf{Free}_{\Sigma}(X+E)$
 - kernel monads $K_C^{\Sigma!E \notin S} X \stackrel{\text{def}}{=} C \Rightarrow \text{Free}_{\Sigma} (((X + E) \times C) + S)$

• (At a high level) the **judgements** are interpreted as

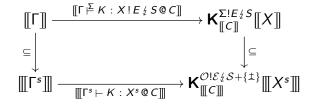
However, to prove coherence of the semantics (subtyping!),
 we actually give the semantics in the subset fibration

- However, to prove coherence of the semantics (subtyping!),
 we actually give the semantics in the subset fibration
- For instance, kernel computations are interpreted as



where $\Gamma^s \vdash K : X^s \otimes C$ is a skeletal kernel typing judgement

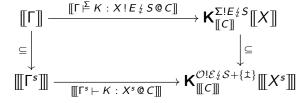
- However, to prove coherence of the semantics (subtyping!),
 we actually give the semantics in the subset fibration
- For instance, kernel computations are interpreted as



where $\Gamma^s \vdash K : X^s \otimes C$ is a skeletal kernel typing judgement

No essential obstacles to extending to Sub(Cpo) and beyond

- However, to prove coherence of the semantics (subtyping!),
 we actually give the semantics in the subset fibration
- For instance, kernel computations are interpreted as



where $\Gamma^s \vdash K : X^s \otimes C$ is a skeletal kernel typing judgement

- No essential obstacles to extending to **Sub(Cpo)** and beyond
- Ground type restriction on C needed to stay within $\mathbf{Sub}(\ldots)$
 - Otherwise, analogously to subtyping, we'd need lenses instead

Implementing runners

- A small experimental language Coop⁴
 - Implements the core calculus with few extras
 - The interpreter is directly based on the denotational semantics
 - Top-level containers for running external (OCaml) code

⁴coop [/ku:p/] – a cage where small animals are kept, especially chickens

- A small experimental language Coop⁴
 - Implements the core calculus with few extras
 - The interpreter is directly based on the denotational semantics
 - Top-level containers for running external (OCaml) code
- A HASKELL library HASKELL-COOP
 - A shallow-embedding of the core calculus in HASKELL
 - Uses one of the Freer monad implementations underneath
 - Again, the operational aspects implement the denot. semantics
 - Top-level containers for arbitrary HASKELL monads
 - Examples make use of HASKELL's features (GADTs, ...)

⁴coop [/ku:p/] - a cage where small animals are kept, especially chickens

- A small experimental language Coop⁴
 - Implements the core calculus with few extras
 - The interpreter is directly based on the denotational semantics
 - Top-level containers for running external (OCaml) code
- A HASKELL library HASKELL-COOP
 - A shallow-embedding of the core calculus in HASKELL
 - Uses one of the Freer monad implementations underneath
 - Again, the operational aspects implement the denot. semantics
 - Top-level containers for arbitrary HASKELL monads
 - Examples make use of HASKELL's features (GADTs, ...)
- Both still need some finishing touches, but will be public soon

⁴coop [/ku:p/] - a cage where small animals are kept, especially chickens

Runners in action

Runners can be vertically nested

Runners can be vertically nested

```
using R<sub>FH</sub> @ (fopen fileName) run ( using R<sub>FC</sub> @ (return "") run M finally { return \times @ str \rightarrow write str; return \times , raise WriteSizeExceeded @ str \rightarrow write str; raise WriteSizeExceeded } ) finally { return \times @ fh \rightarrow ... , raise e @ fh \rightarrow ... , kill IOError \rightarrow ... }
```

where the **file contents runner** (with $\Sigma' = \{\}$) is defined as

```
\label{eq:reconstruction}  \begin{aligned} & \text{let } \mathsf{R}_{\mathsf{FC}} = \text{runner } \{ \\ & \text{write } \mathsf{str}^{\mathsf{I}} \to \text{let } \mathsf{str} = \text{getenv () in} \\ & & \text{if } (\mathsf{length} \; (\mathsf{str} \hat{} \mathsf{str}^{\mathsf{I}}) > \mathsf{max}) \; \text{then } (\mathsf{raise} \; \mathsf{WriteSizeExceeded}) \\ & & & \text{else } (\mathsf{setenv} \; (\mathsf{str} \hat{} \mathsf{str}^{\mathsf{I}})) \\ \} \; @ \; \mathsf{String} \\ \end{aligned}
```

Vertical nesting for instrumentation

Vertical nesting for instrumentation

```
using R<sub>Sniffer</sub> ② (return 0)
run M
finally {
  return x ② c →
    let fh = fopen "nsa.txt" in fwrite (fh,toStr c); fclose fh; return x }
```

where the **instrumenting runner** is defined as

- The runner $R_{Sniffer}$ implements the same sig. Σ that M is using
- As a result, the runner R_{Sniffer} is **invisible** from M 's viewpoint

• First, we define a runner for integer-valued ML-style state as

```
type IntHeap = (Nat \rightarrow (Int + 1)) \times Nat
                                                                     type Ref = Nat
let R_{IntState} = runner  {
  alloc x \rightarrow let h = getenv () in
                                                         (* alloc : Int \rightsquigarrow Ref! \{\} *)
             let (r,h') = heapAlloc h x in
             setenv h':
             return r,
                                                        (* deref : Ref → Int ! {} *)
  deref r \rightarrow let h = getenv () in
             match (heapSel h r) with
               inl x \rightarrow return x
               inr () → kill ReferenceDoesNotExist ,
  assign r y \rightarrow let h = getenv () in  (* assign : Ref × Int \rightsquigarrow 1 ! \{\} *)
                 match (heapUpd h r y) with
                 | inl h' → setenv h'
                 | inr () → kill ReferenceDoesNotExist
  ① IntHeap
```

ullet Next we define a runner for monotonicity layer on top of R_{IntState}

Next we define a runner for monotonicity layer on top of R_{IntState}
 type MonMemory = Ref → ((Int → Int → Bool) + 1)

```
let R_{MonState} = runner {
 mAlloc x rel \rightarrow let r = alloc x in
                                                     (*: Int \times Ord \rightsquigarrow Ref! \{\} *)
                    let m = getenv () in
                    setenv (memAdd m r rel);
                    return r,
                                                 (* monDeref : Ref → Int! {} *)
 mDeref r \rightarrow deref r.
 mAssign r y \rightarrow let x = deref r in (* : Ref × Int \rightsquigarrow 1 ! \{MV\} *)
                   let m = getenv() in
                   match (memSel m r) with
                    \mid inl rel \rightarrow if (rel x y)
                                then (assign r y)
                                else (raise MonotonicityViolation)
                     inr → kill PreorderDoesNotExist
  @ MonMemory
```

• We can then perform runtime monotonicity verification as

• We can then perform runtime monotonicity verification as

```
using R_{IntState} @ ((fun \_ \rightarrow inr ()) , 0) (* init. empty ML—style heap *)
run (
 using R_{MonState} @ (fun \rightarrow inr ()) (* init. empty preorders memory *)
 run (
   let r = mAlloc 0 (\leq) in
   mAssign r 1;
   mAssign r 0; (* R<sub>MonState</sub> raises MonotonicityViolation exception *)
   mAssign r 2
 finally \{ \dots, raise Monotonicity Violation @ m <math>\rightarrow \dots, \dots \}
finally { ... }
```

Runners can also be horizontally paired

Runners can also be horizontally paired

• Given runners for Σ and Σ'

```
\begin{array}{l} \text{let } \mathsf{R}_1 = \text{runner} \; \big\{ \; ... \; \; , \; \; \mathsf{op}_{1i} \; \mathsf{x} \to \mathsf{K}_{1i} \; \; , \; \; ... \; \big\} \; \textcircled{0} \; \mathsf{C}_1 \\ \text{let } \mathsf{R}_2 = \text{runner} \; \big\{ \; ... \; \; , \; \; \mathsf{op}_{2j} \; \mathsf{x} \to \mathsf{K}_{2j} \; \; , \; \; ... \; \big\} \; \textcircled{0} \; \mathsf{C}_2 \end{array}
```

we can **pair them** to get a runner for $\Sigma + \Sigma'$

```
let R = runner \{ \dots, \}
 op_{1i} \times \rightarrow let (c,c') = getenv () in
              user (kernel (K_{1i} x) @ c finally {
                         return y @ c^{11} \rightarrow return (inl (inl y,c^{11})),
                         raise e @ c^{11} \rightarrow return (inl (inr e,c^{11})), (*e \in E_{opt}, *)
                         kill s \rightarrow return (inr s) 
                                                                                     (* s \in S_1 *)
              finally {
                 return (inl (inl y,c^{(i)}) \rightarrow setenv (c^{(i)},c^{(i)}); return y,
                 return (inl (inr e,c'')) \rightarrow setenv (c'',c'); raise e,
                 return (inr s) \rightarrow kill s \},
                         (* analogously to above, just on 2nd comp. of state *)
 op_{2i} \times \rightarrow ...,
 ... \} @ C_1 \times C_2
```

Runners can also be horizontally paired

• Given runners for Σ and Σ'

```
\begin{array}{l} \text{let } \mathsf{R}_1 = \text{runner} \; \{ \; ... \; \; , \; \; \mathsf{op}_{1i} \; \mathsf{x} \rightarrow \mathsf{K}_{1i} \; \; , \; \; ... \; \} \; \textcircled{0} \; \mathsf{C}_1 \\ \text{let } \mathsf{R}_2 = \text{runner} \; \{ \; ... \; \; , \; \; \mathsf{op}_{2j} \; \mathsf{x} \rightarrow \mathsf{K}_{2j} \; \; , \; \, ... \; \} \; \textcircled{0} \; \mathsf{C}_2 \end{array}
```

we can **pair them** to get a runner for $\Sigma + \Sigma'$

```
let R = runner \{ \dots, \}
 op_{1i} \times \rightarrow let (c,c') = getenv () in
              user (kernel (K_{1i} x) @ c finally {
                         return y @ c^{11} \rightarrow return (inl (inl y,c^{11})),
                         raise e @ c^{"} \rightarrow return (inl (inr e,c^{"})), (*e \in E_{OD1}, *)
                         kill s \rightarrow return (inr s) 
                                                                                    (* s \in S_1 *)
              finally {
                return (inl (inl y,c'')) \rightarrow setenv (c'',c'); return y,
                return (inl (inr e,c'')) \rightarrow setenv (c'',c'); raise e,
                return (inr s) \rightarrow kill s \},
                          (* analogously to above, just on 2nd comp. of state *)
 op_{2i} \times \rightarrow ...,
  ... \} \bigcirc C_1 \times C_2
```

• For instance, this way we can build a runner for IO and state

Other examples (in HASKELL)

Other examples (in HASKELL)

- More general forms of (ML-style) state (for general Ref A)
 - if the host language allows it, we use GADTs, etc for safety
 - some examples extract a footprint from a larger memory
- Combinations of different effects and runners
 - in particular the combination of IO and state
 - good use case for both vertical and horizontal composition
- KOKA-style ambient values and ambient functions
 - ambient values are essentially mutable variables/parameters
 - ambient functions are applied in their lexical context
 - a runner that treats amb. fun. application as a co-operation
 - amb. funs. are stored in a context-depth-sensitive heap
 - the appl. co-operation restores the heap to the lexical context

Other examples (ambient functions)

```
module Control.Runner.Ambients
ambCoOps :: Amb a -> Kernel sig AmbHeap a
ambCoOps (Bind f) =
  do h <- getEnv;</pre>
     (f,h') <- return (ambHeapAlloc h f):
     setEnv h':
     return f
ambCoOps (Apply f x) =
  do h <- getEnv;</pre>
     (f.d) <- return (ambHeapSel h f (depth h)):
     user
       (run
          ambRunner
          (return (h {depth = d}))
          (f x)
          ambFinaliser)
       return
ambCoOps (Rebind f a) =
  do h <- getEnv;</pre>
     setEnv (ambHeapUpd h f a)
ambRunner :: Runner '[Amb] sia AmbHeap
ambRunner = mkRunner ambCoOps
```

```
module AmbientsTests where
import Control.Runner
import Control.Runner.Ambients
ambFun :: AmbVal Int -> Int -> AmbFff Int
ambFun \times v =
  do x <- aetVal x:
     return (x + y)
test1 :: AmbEff Int
test1 =
  withAmbVal
    (4 :: Int)
    (\ x ->
      withAmbFun
        (ambFun x)
        (\ f ->
          do rebindVal x 2;
             applyFun f 1))
test2 = ambTopLevel test1
```

Wrapping up

- Runners are a natural model of top-level runtime
- We propose T-runners to also model non-top-level runtimes
- We have turned T-runners into a (practical?) programming construct, that supports controlled initialisation and finalisation
- I showed you some combinators and programming examples
- Two implementations in the works, COOP & HASKELL-COOP
- Ongoing and future: lenses in subtyping and semantics, cat. of runners, handlers, case studies, refinement typing, compilation, . . .

This project has received funding from the European Union's Horizon 2020 research and innovation programme under the Marie Sklodowska-Curie grant agreement No 834146.

This material is based upon work supported by the Air Force Office of Scientific Research under award number FA9550-17-1-0326

Thank you!



Core calculus (semantics ctd.)

- $\llbracket V \rrbracket_{\gamma} = \mathcal{R} = \left(\overline{\operatorname{op}}_{\mathcal{R}} : \llbracket A_{\operatorname{op}} \rrbracket \longrightarrow \mathbf{K}_{\llbracket C \rrbracket}^{\Sigma'! E_{\operatorname{op}} \nleq S} \llbracket B_{\operatorname{op}} \rrbracket \right)_{\operatorname{op} \in \Sigma}$
- $[W]_{\gamma} \in [C]$
- $\llbracket M \rrbracket_{\gamma} \in \mathbf{U}^{\Sigma!E} \llbracket A \rrbracket$
- $[\![\text{return} \times @ c \rightarrow N_{ret}]\!]_{\gamma} \in [\![A]\!] \times [\![C]\!] \longrightarrow \mathbf{U}^{\Sigma'!E'}[\![B]\!]$
- $[(\text{raise e } \mathbf{0} \ c \rightarrow N_e)_{e \in E}]_{\gamma} \in E \times [C] \longrightarrow \mathbf{U}^{\Sigma'!E'}[B]$
- $[\![(\mathbf{kill} \ \mathsf{s} \to N_s)_{s \in S}]\!]_{\gamma} \in S \longrightarrow \mathbf{U}^{\Sigma'!E'}[\![B]\!]$
- allowing us to use the free model property to get

$$\mathbf{U}^{\Sigma!E}[\![A]\!] \xrightarrow{\mathsf{r}_{[\![A]\!]+E}} \mathbf{K}^{\Sigma'!E \not \downarrow S}[\![A]\!] \xrightarrow{(\lambda[\![N_{\mathsf{ret}}]\!]_{\gamma})^{\ddagger}} [\![C]\!] \Rightarrow \mathbf{U}^{\Sigma'!E'}[\![B]\!]$$

and then apply the resulting composite to $[\![M]\!]_\gamma$ and $[\![W]\!]_\gamma$