An algebraic perspective on behavioral specifications in effectful languages

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PhD work supervised by Gordon Plotkin and Alex Simpson



Examples of reasoning about programs



- Side-effects Hoare Logic, Separation Logic, Hoare Type Theory
- Network behavior session types
- Permissions ownership types
- Information flow security security type systems
- refinement types, contracts, dependent types

Examples of reasoning about programs



- Side-effects Hoare Logic, Separation Logic, Hoare Type Theory
 specific to state
- Network behavior session types specific to i/o
- Permissions ownership types specific to permissions
- Information flow security security type systems
- refinement types, contracts, dependent types often specific to pure values or base types

Our long-term goals



- To develop a general theory of program specifications
 - accommodate various specification areas state side-effects, network behavior, permissions, ...
 - accommodate various notions of computation state, input/output, exceptions, probabilistic computation, handlers, ...
 - accommodate general logical specifications
- The tools we propose to use
 - Algebraic effects and their logics
 - Very fine-grained computational language (e.g., CBPV)
 - Refinement types (both on values and computations)



Computational effects and algebraic theories



- lacktriangle Assume that we work in a cartesian-closed category $\Bbb C$
- Usual interpretation of λ -calculus
 - types as objects, terms as morphisms
- lacksquare We model pure terms as morphisms $A \longrightarrow B$
- But how to model terms that can produce effects?
- Moggi's '91 answer to this question: use monads!
 - $T: [\mathbb{C}, \mathbb{C}]$

 - $\blacksquare \ \mu_X: TTX \longrightarrow TX$
- or alternatively
 - $\blacksquare T: ob(\mathbb{C}) \to ob(\mathbb{C})$
 - $\eta_X: X \longrightarrow TX$
 - $f^*: TX \longrightarrow TY$ for every $f: X \longrightarrow TY$ in $\mathbb C$



- Some of Moggi's example monads:
 - Global state: $TX = S \Rightarrow (S \times X)$
 - **Exceptions**: TX = X + E
 - Input/output: $TX = \mu Y.(V \Rightarrow Y) + (V \times Y) + X$
 - Non-determinism: $TX = \mathcal{P}(X)$
 - Continuations: $TX = (X \Rightarrow R) \Rightarrow R$
- But, once we have one such monad, what would be the right effectful program constructs to create elements of TX.
- And, once we have some effectful program constructs, what would be the right monad to model these effects?
- This is where algebraic effects will help us!



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Algebraic effects



- A research programme started by Gordon Plotkin and John Power
- Key idea: use algebra to describe and represent effects!
- Use algebraic operations op : $\vec{\beta}$; $\vec{\alpha_1}$, ..., $\vec{\alpha_n}$ to present effects
- Use (conditional) equations to specify effectful behavior
- lacktriangle A model of effect theory $\mathbb T$ in a suitable category $\mathbb C$:
 - \blacksquare a carrier object X of $\mathbb C$

for every operation op : β ; α (note: special case)

- such that the equations are satisfied
- lacksquare Such models form a category $\mathsf{Mod}(\mathbb{T},\mathbb{C})$
- The monad T = UF is induced by $F : \mathbb{C} \to \mathsf{Mod}(\mathbb{T},\mathbb{C})$ and $U : \mathsf{Mod}(\mathbb{T},\mathbb{C}) \to \mathbb{C}$ with $F \dashv U$

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 - $\hbox{ a morphism } \llbracket \operatorname{op} \rrbracket_X : \llbracket \beta \rrbracket \times (\llbracket \alpha \rrbracket \Rightarrow X) \longrightarrow X \\ \hbox{ for every operation op } : \beta ; \alpha \text{ (note: special case)}$
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Algebraic effects examples: State



- Base types: val, loc
- Operations
 - lookup : loc; val
 - update : loc, val; 1
- Equations
 - $\label{eq:main_loss} \quad \mathbf{M} \equiv \mathsf{lookup}_l((x:\mathsf{val}).\mathsf{update}_{l,x}(M))$
 - $\qquad \mathsf{update}_{l,v}(\mathsf{lookup}_l((x:\mathsf{val}).M)) \equiv \mathsf{update}_{l,v}(M[v/x])$
 - $\qquad \mathsf{update}_{l,v}(\mathsf{update}_{l,v'}(M)) \equiv \mathsf{update}_{l,v'}(M)$
 - $\hspace{-0.5cm} \begin{array}{l} \hspace{-0.5cm} \bullet \hspace{-0.5cm} \mathsf{lookup}_l((x:\mathsf{val}).(\mathsf{lookup}_{l'}((y:\mathsf{val}).M))) \equiv \\ \hspace{-0.5cm} \hspace{-0.5cm} \mathsf{lookup}_{l'}((y:\mathsf{val}).(\mathsf{lookup}_l((x:\mathsf{val}).M))) \end{array} \hspace{-0.5cm} (l \neq l') \\ \end{array}$
 - $\qquad \mathsf{update}_{l,v}(\mathsf{update}_{l',v'}(M)) \equiv \mathsf{update}_{l',v'}(\mathsf{update}_{l,v}(M)) \quad (l \neq l') \\$
 - $\qquad \text{update}_{l,v}(\text{lookup}_{l'}((x:\text{val}).M)) \equiv \\ \text{lookup}_{l'}((x:\text{val}).(\text{update}_{l,v}(M))) \qquad \qquad (l \neq l')$

Algebraic effects examples: Input/output



- Base types: val, chan
- Operations
 - receive : chan; val
 - send : chan, val; 1
- Equations
 - none!

Algebraic effects examples: Non-determinism



- Base types: none
- Operations
 - \blacksquare \oplus : 1, 1; 1
- Equations
 - $M \oplus M \equiv M$
 - $\blacksquare \ M \oplus N \equiv N \oplus M$
 - $(M \oplus N) \oplus P \equiv M \oplus (N \oplus P)$

Logic of algebraic effects (and CBPV)



- Algebraic effects give us straightforward means for equational reasoning about effects
- Plotkin and Pretnar developed a suitable multi-sorted predicate logic
- $\blacksquare A ::= \alpha \mid 1 \mid A_1 \times A_2 \mid 0 \mid A_1 + A_2 \mid U\underline{C}$
- $\blacksquare \ \underline{C} ::= FA \mid \underline{C}_1 \times \underline{C}_2 \mid A \to \underline{C}$
- $\blacksquare \ V ::= x \mid f(\vec{V}) \mid \ \star \ \mid \langle V_1, V_2 \rangle \mid \operatorname{proj}_i V \mid \operatorname{thunk} M \mid \dots$
- $\blacksquare \ M ::= \zeta \ | \ \operatorname{return} V \ | \ M_1 \operatorname{to} x. \, M_2 \ | \ \operatorname{op}_V(x.M) \ | \ \dots$
- $\blacksquare \ \pi ::= X \mid (\vec{x} : \vec{A}, \vec{\zeta} : \underline{\vec{C}}).\varphi \mid \mu X : (\vec{A}, \vec{C}).\pi \mid \nu X : (\vec{A}, \vec{C}).\pi$

Logic of algebraic effects: modalities



- Can define various useful ("temporal") modalities in this logic
- Pureness modalities:
 - $\bullet \ [\downarrow](\pi) \stackrel{\mathsf{def}}{=} (\zeta : FA). \forall x : A. \zeta \equiv \mathsf{return} \, x \implies \pi(x)$
- Operation modalities:
 - $\begin{array}{c} \bullet \hspace{0.5cm} [\mathsf{op}](\pi) \stackrel{\mathsf{def}}{=} (\zeta : \underline{C}). \\ \forall y : \beta, \zeta' : \alpha \to \underline{C}. \zeta \equiv \mathsf{op}_y((x : \alpha). \zeta'(x)) \implies \pi(y, \zeta') \end{array}$
 - $\begin{array}{c} \bullet \ \langle \mathsf{op} \rangle (\pi) \stackrel{\mathsf{def}}{=} (\zeta : \underline{C}). \\ \exists y : \beta, \zeta' : \alpha \to \underline{C}. \zeta \equiv \mathsf{op}_y ((x : \alpha). \zeta'(x)) \wedge \pi(y, \zeta') \end{array}$
- Can extend [op] and $\langle op \rangle$ to [-] and $\langle \rangle$ by taking conjunctions and disjunctions over all operations op
- Can use μ and ν to extend the local modalities [-] and $\langle -\rangle$ to global modalities



Value and computation refinement types

The general picture



Value and computation refinement types

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 $\begin{array}{c} \mathsf{CBPV} \\ + \\ \mathsf{Algebraic} \ \mathsf{effects} \\ + \\ \mathsf{The} \ \mathsf{logic} \ \mathsf{of} \ \mathsf{algebraic} \ \mathsf{effects} \end{array}$

Refinement types in general



- We use standard notation for refinement types: $\{x : \sigma \mid \varphi\}$
 - lacksquare σ is the type we are refining
 - ullet φ is the refinement proposition (i.e., logical specification)
 - lacksquare x might appear free in φ
- As we use the CBPV paradigm, we can define two notions of refinement types:
 - $\begin{tabular}{ll} \blacksquare & \begin{tabular}{ll} \begin{tabular}{ll} \blacksquare & \begin{tabular}{ll} \begin{tabular}$
 - Computation refinement types: $\vdash \{\zeta : \underline{\tau} \mid \varphi\} : \underline{\mathsf{Ref}}(\underline{C})$ (these describe properties of effectful behavior)

Value and computation refinement types



$$\vdash \alpha : \mathsf{Ref}(\alpha) \qquad \vdash 1 : \mathsf{Ref}(1) \qquad \vdash 0 : \mathsf{Ref}(0)$$

$$\frac{\vdash \underline{\tau} : \underline{\mathsf{Ref}(\underline{C})}}{\vdash U\underline{\tau} : \mathsf{Ref}(U\underline{C})} \qquad \frac{\vdash \sigma : \mathsf{Ref}(A)}{\vdash F\sigma : \underline{\mathsf{Ref}(FA)}}$$

$$\frac{\vdash \sigma_i : \mathsf{Ref}(A_i) \quad (i \in \{1, 2\})}{\vdash \sigma_1 + \sigma_2 : \mathsf{Ref}(A_1 + A_2)} \qquad \frac{\vdash \underline{\tau}_i : \underline{\mathsf{Ref}(\underline{C}_i)} \quad (i \in \{1, 2\})}{\vdash \underline{\tau}_1 \times \underline{\tau}_2 : \underline{\mathsf{Ref}(\underline{C}_1 \times \underline{C}_2)}}$$

$$\frac{\vdash \sigma_1 : \mathsf{Ref}(A_1) \quad \vdash \sigma_2 : \mathsf{Ref}(A_2)}{\vdash \sigma_1 \times \sigma_2 : \mathsf{Ref}(A_1 \times A_2)} \qquad \frac{\vdash \sigma : \mathsf{Ref}(A) \quad \vdash \underline{\tau} : \underline{\mathsf{Ref}(\underline{C})}}{\vdash \sigma \to \underline{\tau} : \underline{\mathsf{Ref}(A \to \underline{C})}}$$

$$\frac{\vdash \sigma : \mathsf{Ref}(A) \quad x : A \vdash \varphi : \mathsf{prop}}{\vdash \{x : \sigma \mid \varphi\} : \mathsf{Ref}(A)} \qquad \frac{\vdash \underline{\tau} : \underline{\mathsf{Ref}(\underline{C})} \quad \zeta : \underline{C} \vdash \varphi : \mathsf{prop}}{\vdash \{\zeta : \underline{\tau} \mid \varphi\} : \underline{\mathsf{Ref}(\underline{C})}}$$

Translations to underlying CBPV and the logic



- We can easily define a "forgetful" operation [—]
 - lacksquare On value refinement types: $\lceil \vdash \sigma : \mathsf{Ref}(A) \rceil \stackrel{\mathsf{def}}{=} A$
 - On computation refinement types: $\lceil \vdash \underline{\tau} : \underline{\mathsf{Ref}}(\underline{C}) \rceil \stackrel{\mathsf{def}}{=} \underline{C}$
 - \blacksquare On terms, for example: $\lceil \operatorname{proj}_i V \rceil \stackrel{\text{def}}{=} \operatorname{proj}_i \lceil V \rceil$
- There is also a translation $(-)^{\bullet}$ of refinement types to the logic of algebraic effects. For example:

Introduction and elimination of refinements



$$\frac{\Gamma \vDash V : \sigma \quad \lceil \Gamma \rceil \mid \Gamma^{\bullet} \vdash \varphi \lceil \lceil V \rceil / x \rceil}{\Gamma \vDash V : \{x : \sigma \mid \varphi\}}$$

$$\frac{\Gamma \vDash V : \{x : \sigma \mid \varphi\}}{\Gamma \vDash V : \sigma} \quad \frac{\Gamma \vDash V : \{x : \sigma \mid \varphi\}}{\lceil \Gamma \rceil \mid \Gamma^{\bullet} \vdash \varphi[\lceil V \rceil / x]}$$

$$\frac{\Gamma \vdash M : \underline{\tau} \quad \lceil \Gamma \rceil \mid \Gamma^{\bullet} \vdash \varphi \lceil \lceil M \rceil / \zeta \rceil}{\Gamma \vdash M : \{\zeta : \underline{\tau} \mid \varphi\}}$$

$$\frac{\Gamma \vdash M : \{\zeta : \underline{\tau} \mid \varphi\}}{\Gamma \vdash M : \underline{\tau}} \quad \frac{\Gamma \vdash M : \{\zeta : \underline{\tau} \mid \varphi\}}{\lceil \Gamma \rceil \mid \Gamma^{\bullet} \vdash \varphi \lceil \lceil M \rceil / \zeta \rceil}$$

Some typing rules for value terms



■ These typing rules resemble the standard CBPV rules

$$\frac{\vdash \Gamma, x : \sigma, \Gamma' \text{ wf}}{\Gamma, x : \sigma, \Gamma' \vdash x : \sigma}$$

$$\frac{\Gamma \vdash V_1 : \beta_1 \quad \dots \quad \Gamma \vdash V_n : \beta_n}{\Gamma \vdash f(V_1, \dots, V_n) : \beta}$$

$$\frac{\Gamma \vdash V : \sigma_1 \times \sigma_2}{\Gamma \vdash \mathsf{proj}_i V : \sigma_i}$$

$$\frac{\Gamma \vdash V : \sigma_1 \quad \Gamma \vdash W : \sigma_2}{\Gamma \vdash \langle V, W \rangle : \sigma_1 \times \sigma_2}$$

$$\frac{\Gamma \vDash M : \underline{\tau}}{\Gamma \vDash \mathsf{thunk}\, M : U\underline{\tau}}$$

Some typing rules for computation terms



■ These typing rules resemble the standard CBPV rules

$$\frac{\Gamma \, \, \forall \, \, V : \sigma}{\Gamma \, \, \vdash \! \! \! \vdash \, \mathsf{return} \, V : F \sigma}$$

$$\frac{\Gamma, x : \sigma \vdash M : \underline{\tau}}{\Gamma \vdash \lambda x : \sigma . M : \sigma \to \tau}$$

$$\frac{\Gamma \vdash \sigma M_1 : \underline{\tau}_1 \quad \Gamma \vdash \sigma M_2 : \underline{\tau}_2}{\Gamma \vdash \sigma \langle M_1, M_2 \rangle : \underline{\tau}_1 \times \underline{\tau}_2}$$

$$\frac{\Gamma \, \, \forall \, \, V : U\underline{\tau}}{\Gamma \, \, |_{\overline{\mathbf{c}}} \, \, \mathsf{force} \, V : \underline{\tau}}$$

$$\frac{\Gamma \vdash M : \sigma \to \underline{\tau} \quad \Gamma \vdash V : \sigma}{\Gamma \vdash MV : \tau}$$

$$\frac{\Gamma \vdash \overline{M} : \underline{\tau}_1 \times \underline{\tau}_2}{\Gamma \vdash \overline{proj}_i M : \underline{\tau}_i}$$

Some typing rules for effect operations



■ These typing rules differ from the standard CBPV rules

$$\frac{\Gamma \vdash V : \beta \quad \Gamma, x : \alpha \vdash M : F\sigma}{\Gamma \vdash \operatorname{op}_{V}((x : \alpha).M) : F\sigma} \qquad \frac{\Gamma, y : \sigma \vdash \operatorname{op}_{V}((x : \alpha).(My)) : \underline{\tau}}{\Gamma \vdash \operatorname{op}_{V}((x : \alpha).M) : \sigma \to \underline{\tau}}$$

$$\frac{\Gamma \vdash \mathsf{op}_V((x : \alpha).(\mathsf{fst}\,M)) : \underline{\tau}_1 \quad \Gamma \vdash \mathsf{op}_V((x : \alpha).(\mathsf{snd}\,M)) : \underline{\tau}_2}{\Gamma \vdash \mathsf{op}_V((x : \alpha).M) : \underline{\tau}_1 \times \underline{\tau}_2}$$

■ Compare these rules to the standard CBPV typing rule

Some typing rules for sequencing



■ These typing rules differ from the standard CBPV rules

$$\frac{\Gamma \vdash_{\overline{c}} M \text{ to } x. \text{ (fst } N) : \underline{\tau}_1 \quad \Gamma \vdash_{\overline{c}} M \text{ to } x. \text{ (snd } N) : \underline{\tau}_2}{\Gamma \vdash_{\overline{c}} M \text{ to } x. \ N : \underline{\tau}_1 \times \underline{\tau}_2}$$

■ Compare these rules to the standard CBPV typing rule

$$\frac{\Gamma \vdash \mathsf{d} \ M : FA \quad \Gamma, x : A \vdash \mathsf{d} \ N : \underline{C}}{\Gamma \vdash \mathsf{d} \ M \text{ to } x . \ N : \underline{C}}$$

Refinement relation and weakening



■ We can give a straightforward structural definition for refinement relations

$$\Gamma \vdash \sigma_2 \sqsubseteq \sigma_1$$

$$\Gamma \vdash \underline{\tau}_2 \sqsubseteq \underline{\tau}_1$$

And then show underlying type equality

$$\blacksquare \ \Gamma \vdash \sigma_2 \sqsubseteq \sigma_1 \implies \lceil \sigma_1 \rceil = \lceil \sigma_2 \rceil$$

$$\blacksquare \ \Gamma \vdash \underline{\tau}_2 \sqsubseteq \underline{\tau}_1 \implies \lceil \underline{\tau}_1 \rceil = \lceil \underline{\tau}_2 \rceil$$

■ Using refinement relations, we also define weakening principles

$$\frac{\Gamma \vdash \nabla V : \sigma_2 \quad \Gamma \vdash \sigma_2 \sqsubseteq \sigma_1}{\Gamma \vdash \nabla V : \sigma_1} \qquad \frac{\Gamma \vdash \overline{C} M : \underline{T}_2 \quad \Gamma \vdash \overline{T}_2 \sqsubseteq \underline{T}_1}{\Gamma \vdash \overline{C} M : \underline{T}_1}$$



Some elements of our denotational semantics

Categorical semantics of CBPV and logic



- Semantics of CBPV:
 - Based on adjunction $F \dashv U$
 - We use a specific adjunction suitable for alg. effects: $F : \mathbf{Set} \to \mathsf{Mod}(\mathbb{T}, \mathbf{Set}), \ U : \mathsf{Mod}(\mathbb{T}, \mathbf{Set}) \to \mathbf{Set}$
 - Value types A are interpreted as objects $[\![A]\!]$ in Set
 - lacksquare Computation types \underline{C} are interpreted as $[\![\underline{C}]\!]$ in $\mathsf{Mod}(\mathbb{T},\mathbf{Set})$
 - Terms are interpreted as morphisms in Set
 - $\blacksquare \hspace{0.1cm} \llbracket \Gamma \hspace{0.1cm} \vdash \hspace{0.1cm} V : A \rrbracket : \llbracket \Gamma \rrbracket \longrightarrow \llbracket A \rrbracket$
 - $\blacksquare \hspace{0.1cm} \llbracket \Gamma \vDash M : \underline{C} \rrbracket : \llbracket \Gamma \rrbracket \longrightarrow U \llbracket \underline{C} \rrbracket$
- Semantics of the logic of algebraic effects:
 - Propositions as: $\llbracket \Gamma \mid \Delta \mid \Theta \vdash \varphi \rrbracket \subseteq \llbracket \Gamma \rrbracket \times \llbracket \Delta \rrbracket \times \llbracket \Theta \rrbracket$
 - Predicates as:

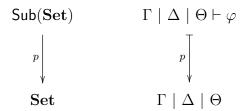
$$\llbracket\Gamma\mid\Delta\mid\Theta\vdash\pi\rrbracket:\llbracket\Gamma\rrbracket\times\llbracket\Delta\rrbracket\times\llbracket\Theta\rrbracket\longrightarrow\mathcal{P}(\llbracket\vec{A}\rrbracket\times U\llbracket\underline{\vec{C}}\rrbracket)$$

■ Sequents as: $\Gamma \mid \Delta \mid \Theta \mid \vec{\varphi} \vdash \varphi$ as $[\vec{\varphi}] \subset [\varphi]$

Categorical semantics of our type system



- Our current concrete categorical semantics is based on the Set-based semantics of CBPV and the logic
- We abstract a little bit and work explicitly with the subobject fibration



- Base category Set models contexts (and the language)
- Fibres $Sub(\mathbf{Set})_{(\Gamma \mid \Delta \mid \Theta)}$ model the logic
- lacktriangle We give our semantics in the total category $\mathsf{Sub}(\mathbf{Set})$

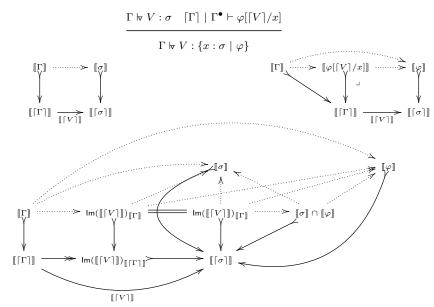
Categorical semantics of our type system ctd.



- So we start with $p : \mathsf{Sub}(\mathbf{Set}) \to \mathbf{Set}$
- Value refinement types $\vdash \sigma : \mathsf{Ref}(A)$ are interpreted as objects $\llbracket \sigma \rrbracket \rightarrowtail \llbracket A \rrbracket$ in $\mathsf{Sub}(\mathbf{Set})$
- Computation refinement types $\vdash \underline{\tau} : \underline{\mathsf{Ref}}(\underline{C})$ are interpreted as objects $[\![\underline{\tau}]\!] \rightarrowtail U[\![\underline{C}]\!]$ in $\mathsf{Sub}(\mathbf{Set})$
 - The interpretation of $\vdash F\sigma : \underline{\mathsf{Ref}}(FA)$ relies on the fact that all monads on **Set** preserve subobjects.
- Value and computation terms will be interpreted as morphisms in Sub(Set)
 - Value terms $\Gamma \stackrel{\mathbf{r}}{\forall} V : \sigma$ as morphisms from $[\![\Gamma]\!] \mapsto [\![\Gamma]\!]$ to $[\![\sigma]\!] \mapsto [\![\sigma]\!]$

Example: interpreting refinement introduction







Some examples of specifications



- Based on the theory of state and follows ideas from:
 - $\blacksquare \ \ \mathsf{Hoare} \ \mathsf{triples} \ \{P\} \ \mathbf{C} \ \{Q\}$
 - $\blacksquare \ \ \mathsf{Hoare} \ \mathsf{types} \ \{P\}x: A\{Q\}$
- Pre- and post-conditions as computation refinement types:
 - $\blacksquare \vdash \{\zeta : FA \mid P \blacktriangleright_{x:A} Q\} : \underline{\mathsf{Ref}}(FA)$
- The Hoare refinement has the following definition

$$\zeta: FA \vdash P \blacktriangleright_{x:A} Q \stackrel{\mathsf{def}}{=}$$

$$\forall x_{l_1} : \mathsf{int}, ..., x_{l_n} : \mathsf{int}, y_{l_1} : \mathsf{int}, ..., y_{l_n} : \mathsf{int}, z : A \, .$$

$$P^{\blacktriangleright}[x_{l_1}/x_1, ..., x_{l_n}/x_n] \, \wedge$$

$$\exists \zeta' : F1 \, . \, \mathsf{update}_{l_1, x_{l_1}}(\quad ... \quad (\mathsf{update}_{l_n, x_{l_n}}(\zeta))) \equiv$$

$$\zeta' \, \mathsf{to} \, x. \, \, \mathsf{update}_{l_1, y_{l_1}}(\quad ... \quad (\mathsf{update}_{l_n, y_{l_n}}(\mathsf{return} \, z)))$$

$$\Longrightarrow Q^{\blacktriangleright}[y_{l_1}/x_1, ..., y_{l_n}/x_n, z/x]$$



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.

$$\begin{split} P^{\blacktriangleright}[x_{l_1}/x_1,...,x_{l_n}/x_n] & \wedge \\ \exists \zeta': F1 \cdot \mathsf{update}_{l_1,x_{l_1}}\big(\quad ... \quad (\mathsf{update}_{l_n,x_{l_n}}(\zeta))\big) & \equiv \\ \zeta' \mathsf{\,to\,} x \cdot \mathsf{update}_{l_1,y_{l_1}}\big(\quad ... \quad (\mathsf{update}_{l_n,y_{l_n}}(\mathsf{return\,} z))\big) \\ & \Longrightarrow Q^{\blacktriangleright}[y_{l_1}/x_1,...,y_{l_n}/x_n,z/x] \end{split}$$



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 - lacktriangle Hoare triples $\{P\} \mathbf{C} \{Q\}$
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$$\begin{split} \zeta: FA &\vdash P \blacktriangleright_{x:A} Q \stackrel{\text{def}}{=} \\ \forall x_{l_1}: \mathsf{int}, ..., x_{l_n}: \mathsf{int}, y_{l_1}: \mathsf{int}, ..., y_{l_n}: \mathsf{int}, z:A \,. \\ P^{\blacktriangleright}[x_{l_1}/x_1, ..., x_{l_n}/x_n] &\land \\ \exists \zeta': F1 \cdot \mathsf{update}_{l_1, x_{l_1}}(\quad ... \quad (\mathsf{update}_{l_n, x_{l_n}}(\zeta))) &\equiv \\ \zeta' \mathsf{to} \, x. \, \mathsf{update}_{l_1, y_{l_1}}(\quad ... \quad (\mathsf{update}_{l_n, y_{l_n}}(\mathsf{return} \, z))) \\ &\Longrightarrow Q^{\blacktriangleright}[y_{l_1}/x_1, ..., y_{l_n}/x_n, z/x] \end{split}$$



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 - $\blacksquare \vdash \{\zeta : FA \mid P \blacktriangleright_{x:A} Q\} : \underline{\mathsf{Ref}}(FA)$
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$$\begin{split} \zeta: FA \vdash P \blacktriangleright_{x:A} Q &\stackrel{\text{\tiny def}}{=} \\ \forall x_{l_1}: \mathsf{int}, ..., x_{l_n}: \mathsf{int}, y_{l_1}: \mathsf{int}, ..., y_{l_n}: \mathsf{int}, z: A \,. \\ P^{\blacktriangleright}[x_{l_1}/x_1, ..., x_{l_n}/x_n] \, \wedge \\ \exists \zeta': F1 \, . \, \mathsf{update}_{l_1, x_{l_1}}(\quad ... \quad (\mathsf{update}_{l_n, x_{l_n}}(\zeta))) &\equiv \\ \zeta' \, \mathsf{to} \, x. \, \, \mathsf{update}_{l_1, y_{l_1}}(\quad ... \quad (\mathsf{update}_{l_n, y_{l_n}}(\mathsf{return} \, z))) \\ &\Longrightarrow Q^{\blacktriangleright}[y_{l_1}/x_1, ..., y_{l_n}/x_n, z/x] \end{split}$$



- Based on the theory of state and follows ideas from:
 - $\blacksquare \ \ \mathsf{Hoare} \ \mathsf{triples} \ \{P\} \, \mathbf{C} \, \{Q\}$
 - $\blacksquare \ \ \mathsf{Hoare} \ \mathsf{types} \ \{P\}x: A\{Q\}$
- Pre- and post-conditions as computation refinement types:

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- Based on the theory of I/O and follows ideas from:
 - Session types: $S ::= end \mid !A.S \mid ?A.S$
- \blacksquare We consider simple communication of bit-valued data over some fixed set of global channels $i \in \{a,b,c\}$
- We can define a grammar for session refinements

```
S_i ::= end_i \mid !bit.S_i \mid ?bit.S_i
```

```
 = end_i \stackrel{\mathsf{def}}{=} \zeta. \neg (\Diamond_{(\zeta:\underline{\tau}).(\exists \zeta'.(\zeta \equiv \mathsf{send}_{i,0}(\zeta') \vee \zeta \equiv \mathsf{send}_{i,1}(\zeta'))) \vee (\exists \zeta',\zeta''.\zeta \equiv \mathsf{receive}_i(\zeta',\zeta'')))(\zeta)
```

```
 \qquad ?\mathsf{bit}.S_i \stackrel{\mathsf{def}}{=} \zeta.\square_{(\forall \zeta',\zeta''.\zeta \equiv \mathsf{receive}_i(\zeta',\zeta'')} \Longrightarrow (S_i(\zeta') \land S_i(\zeta''))) \land \\
```

$$(\forall \zeta'.\zeta \equiv \mathsf{send}_{i,0}(\zeta') \implies \bot \land \zeta \equiv \mathsf{send}_{i,1}(\zeta') \implies \bot)(\zeta)$$

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 - Plotkin's CONCUR'12 work on CCS-style parallel interleaving



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Conclusions



- Showed our preliminary work towards combining the following:
 - algebraic effects
 - refinement types
 - program specifications
- Still a lot of work to be done
 - Generalizing the semantics of this simply-typed refinement type system to a context-dependent calculus
 - Accommodate local effects and instances of effects
 - Extend effect theories with built in behavioral refinements
 - Extend effect theories with cost measures and label algebras
 - Combinations of specifications induced by combinations of effect theories
 - Find more practical applications