A Propositional Refinement Type System for Algebraic Effects

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PLInG Meeting, 27 January 2014







$$\label{eq:continuous} \begin{split} & \mathsf{type\text{-}and\text{-}effect} \\ & \mathsf{systems} \\ & + \mathsf{optimizations} \\ & \Gamma \vdash M : \sigma \,! \, \varepsilon \end{split}$$

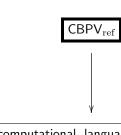
input/output + sessions and protocols $\Gamma \vdash M : ?(!(1).end;end)$

state + Hoare logic $\Gamma \vdash M: \{P\}\sigma\{Q\}$









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input/output + sessions and protocols $\Gamma \vdash M : ?(!(1).end;end)$

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specifications on values (even/odd numbers)

type-and-effect systems + optimizations $\Gamma \vdash M : \sigma \mid \varepsilon$

 $\begin{aligned} & \text{input/output} \\ & + \text{sessions and protocols} \\ & \Gamma \vdash M:?(!(1).end;end) \end{aligned}$

state + Hoare logic $\Gamma \vdash M: \{P\}\sigma\{Q\}$



Algebraic effects



- Assume: an algebraic theory \mathcal{T}_{eff} of computational effects
 - collection of operation symbols
 - collection of equations

Plotkin & Power '02,'03

- Theory \mathcal{T}_{ND} of non-determinism
 - lacksquare one binary operation $\oplus:2$
 - lacksquare equations $x \oplus x = x$,

$$x \oplus y = y \oplus x$$
 ,
$$(x \oplus y) \oplus z = x \oplus (y \oplus z)$$

- Theory $\mathcal{T}_{I/O}$ of input/output (of bits, over a fixed channel)
 - three operations receive : 2,

$$\mathsf{send}_0:1, \\ \mathsf{send}_1:1$$

no equations

Algebraic effects



- \blacksquare Assume: an algebraic theory \mathcal{T}_{eff} of computational effects
 - collection of operation symbols
 - collection of equations

Plotkin & Power '02,'03

- Theory \mathcal{T}_S of global state (of bits)
 - three operations lookup : 2,

```
\begin{array}{c} \mathsf{update}_0:1,\\ \mathsf{update}_1:1 \end{array}
```

equations

$$x = \mathsf{lookup}(\mathsf{update}_0(x), \mathsf{update}_1(x))$$

$$\mathsf{update}_0(\mathsf{lookup}(x,y)) = \mathsf{update}_0(x)$$

$$\mathsf{update}_1(\mathsf{lookup}(x,y)) = \mathsf{update}_1(y)$$

$$\mathsf{update}_i(\mathsf{update}_i(x)) = \mathsf{update}_i(x)$$

type-and-effect systems + optimizations $\Gamma \vdash M : \sigma \,! \, \varepsilon$

 $\begin{array}{l} \mathsf{input/output} \\ + \mathsf{sessions} \ \mathsf{and} \ \mathsf{protocols} \\ \Gamma \vdash M : ?(!(1).end;end) \end{array}$

state + Hoare logic $\Gamma \vdash M : \{P\}\sigma\{Q\}$



CBPV_{ref}

Call-by-Push-Value with algebraic effects



Strict separation into values and computations

Levy '04

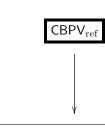
- Types:
 - $A := 1 \mid 0 \mid A_1 \times A_2 \mid A_1 + A_2 \mid U\underline{B}$
 - $\underline{B} ::= FA \mid \underline{B}_1 \times \underline{B}_2 \mid A \to \underline{B}$
- Terms:
 - $V ::= \star \mid \langle V_1, V_2 \rangle \mid \operatorname{inj}_i V \mid \operatorname{thunk} M$
 - $$\begin{split} \blacksquare \ M ::= \mathsf{return} \, V \mid M \, \mathsf{to} \, x : A. \, N \mid \mathsf{op}_{\underline{B}}(M_1, \dots, M_n) \mid \\ \lambda x : A. M \mid M V \mid \mathsf{force} \, V \mid \langle M_1, M_2 \rangle \mid \mathsf{fst} \, M \mid \mathsf{snd} \, M \mid \\ \mathsf{match} \, V \, \mathsf{as} \, (x_1 : A_1, x_2 : A_2).M \mid \mathsf{match} \, V \, \mathsf{as} \, \{\} \mid \\ \mathsf{match} \, V \, \mathsf{as} \, \{\mathsf{inj}_1 \, (x_1 : A_1) \mapsto M_1, \mathsf{inj}_2 \, (x_2 : A_2) \mapsto M_2\} \end{split}$$
- Equational theory:
 - standard $\beta\eta$ -equations +

type-and-effect systems + optimizations $\Gamma \vdash M : \sigma \, ! \, \varepsilon$

$$\begin{split} & \mathsf{input/output} \\ & + \mathsf{sessions} \mathsf{\ and\ protocols} \\ & \Gamma \vdash M : ?(!(1).end;end) \end{split}$$

state + Hoare logic $\Gamma \vdash M: \{P\}\sigma\{Q\}$





vent language (CRPV

Refinement types



- To accommodate logical properties in your favorite type system
- Intersection types $\sigma_1 \wedge \sigma_2$, union types $\sigma_1 \vee \sigma_2$

Freeman & Pfenning '91, ...

- practical propositional reasoning
- ref. types not explicitly connected to underlying system
- First-order logic in types $\{x : \sigma \mid \varphi\}$

Denney '98, . . .

- reasoning in full first-order logic
- ref. types explicitly connected to underlying system
 - $\blacksquare \vdash \sigma : \mathsf{Ref}(A)$ by using indexed kinds
- CBPV_{ref} is in-between these two approaches

Refinement types in $\mathsf{CBPV}_{\mathrm{ref}}$



Separated into value and computation refinement types

$$\begin{split} \sigma & ::= & 1 \mid 0 \mid \sigma_1 \times \sigma_2 \mid \sigma_1 + \sigma_2 \mid U^{\mathsf{ref}}\underline{\tau} & \leftarrow \mathsf{Instructural} \\ & & \sigma_1 \wedge \sigma_2 \mid \sigma_1 \vee \sigma_2 \mid \bot_A & \leftarrow \mathsf{logical} \end{split}$$

$$\underline{\tau} & ::= & F^{\mathsf{ref}}\sigma \mid \underline{\tau}_1 \times \underline{\tau}_2 \mid \sigma \to \underline{\tau} \mid \\ & & \langle \mathsf{op} \rangle_{\underline{B}}(\underline{\tau}_1, \dots, \underline{\tau}_n) \mid & \leftarrow \mathsf{operation \ modalities} \\ & & X \mid \mu X : \mathsf{Ref}(\underline{B}).\underline{\tau} \mid \underline{\tau}_1 \wedge \underline{\tau}_2 \mid \underline{\tau}_1 \vee \underline{\tau}_2 \mid \underline{\bot}_{\underline{B}} \end{split}$$

■ Well-kinded ref. types over CBPV types, e.g.:

$$\frac{\vdash \sigma : \mathsf{Ref}(A) \quad \Delta \vdash \underline{\tau} : \mathsf{Ref}(\underline{B})}{\Delta \vdash \sigma \to \underline{\tau} : \mathsf{Ref}(A \to \underline{B})} \qquad \frac{\Delta \vdash \sigma_1 : \mathsf{Ref}(A) \quad \Delta \vdash \sigma_2 : \mathsf{Ref}(A)}{\Delta \vdash \sigma_1 \land \sigma_2 : \mathsf{Ref}(A)}$$

■ Prop.: Unique kinding

Logical reasoning



- Main tool: subtyping
- Two subtyping relations

 - lacksquare $\Delta \vdash^{\mathbf{r}} \underline{\tau}_1 \sqsubseteq_{\underline{B}} \underline{\tau}_2$
- Again, structural

$$\frac{ \begin{tabular}{l} \frac{\begin{tabular}{l} \frac{\begin{tabular} \frac{\begin{tabular}{l} \frac{\begin{tabular} \frac{\begin{tab$$

and logical rules

CBPV_{ref} terms



Separated into value and computation terms

$$V ::= x \mid \, \star \, \mid \langle V_1, V_2 \rangle \mid \operatorname{inj}_i V \mid \operatorname{thunk} M$$

$$\begin{split} M,N ::= \mathsf{return}\, V \mid M \,\mathsf{to}\, x : \sigma.\, N \mid \mathsf{op}_{\underline{B}}(M_1,\dots,M_n) \mid \\ \lambda x : \sigma.M \mid MV \mid \mathsf{force}\, V \mid \langle M_1,M_2 \rangle \mid \mathsf{fst}\, M \mid \mathsf{snd}\, M \\ \mathsf{match}\, V \,\mathsf{as}\, (x_1 : \sigma_1,x_2 : \sigma_2).M \mid \mathsf{match}\, V \,\mathsf{as}\, \{\} \mid \\ \mathsf{match}\, V \,\mathsf{as}\, \{\mathsf{inj}_1\, (x_1 : \sigma_1) \mapsto M_1,\mathsf{inj}_2\, (x_2 : \sigma_2) \mapsto M_2\} \mid \end{split}$$

■ Typing rules mostly standard from CBPV, e.g.:

$$\frac{\Gamma \not \vdash V : \sigma}{\Gamma \vdash \vdash \mathsf{return} \ V : F^\mathsf{ref} \sigma} \qquad \frac{\Gamma, x : \sigma \vdash \vdash M : \underline{\tau}}{\Gamma \vdash \vdash \lambda x : \sigma . M : \sigma \to \underline{\tau}}$$

except for . . .

CBPV_{ref} terms



■ First exception: algebraic operations

$$\frac{\Gamma \stackrel{\text{l\'e}}{\cdot} M_1 : \underline{\tau}_1 \quad \dots \quad \Gamma \stackrel{\text{l\'e}}{\cdot} M_n : \underline{\tau}_n}{\Gamma \stackrel{\text{l\'e}}{\cdot} \operatorname{op}_{\underline{B}}(M_1, \dots, M_n) : \langle \operatorname{op} \rangle_{\underline{B}}(\underline{\tau}_1, \dots, \underline{\tau}_n)} \ (\operatorname{op}:n)$$

$\mathsf{CBPV}_{\mathrm{ref}}$ terms



■ Second exception: sequential composition

$$\frac{\Gamma \overset{\text{l'}_{\rm c}}{\sim} M: C[F^{\rm ref}\sigma] \quad \Gamma, x: \sigma \overset{\text{l'}_{\rm c}}{\sim} N:\underline{\tau}}{\Gamma \overset{\text{l'}_{\rm c}}{\sim} M \text{ to } x: \sigma.N: C[\underline{\tau}]}$$

■ Uses computation refinement contexts C

$$C ::= [\] \mid \langle \mathsf{op} \rangle (C_1, \dots, C_n) \mid X \mid \mu X.C \mid C_1 \wedge C_2 \mid C_1 \vee C_2 \mid \bot$$

- Hole filling $C[\underline{\tau}]$ defined by struct. recursion
- Prop.: For any $\vdash C$ we have:
 - $\bullet \ \Delta \not \vdash^{\underline{r}} C[\underline{\tau}_1 \times \underline{\tau}_2] \sqsubseteq_{\underline{B}_1 \times \underline{B}_2} C[\underline{\tau}_1] \times C[\underline{\tau}_2]$

$\mathsf{CBPV}_{\mathrm{ref}}$ terms



■ Third exception: subtyping

$$\frac{\Gamma \ ^{\mbox{\tiny $\frac{\Gamma$}{\nabla}$}} \ V : \sigma_1 \quad \ ^{\mbox{\tiny $\frac{\Gamma$}{\nabla}$}} \ \sigma_1 \sqsubseteq \sigma_2}{\Gamma \ ^{\mbox{\tiny $\frac{\Gamma$}{\nabla}$}} \ V : \sigma_2} \quad \frac{\Gamma \ ^{\mbox{\tiny $\frac{\Gamma$}{c}$}} \ M : \underline{\tau}_1 \quad \ ^{\mbox{\tiny $\frac{\Gamma$}{c}$}} \ \underline{\tau}_1 \sqsubseteq \underline{\tau}_2}{\Gamma \ ^{\mbox{\tiny $\frac{\Gamma$}{c}$}} \ M : \underline{\tau}_2}$$

■ Fourth exception: interaction between local and global, e.g.:

$$\frac{\Gamma, x: \sigma_1 \vdash M: \underline{\tau} \quad \Gamma, x: \sigma_2 \vdash M: \underline{\tau} \quad \vdash \sigma \sqsubseteq \sigma_1 \vee \sigma_2}{\Gamma, x: \sigma \vdash M: \underline{\tau}}$$

and dual rules for values

CBPV_{ref} terms



■ Interaction rules allow us to prove interesting derivations, e.g.:

$$\begin{array}{ccc} \Gamma \stackrel{\text{\tiny IT}}{\vee} V: \bot_1 + 1 & \Gamma, x_1: 1 \stackrel{\text{\tiny IT}}{\vdash_{\!\!\!\!c}} \operatorname{update}_1(\operatorname{return} \star): \langle \operatorname{update}_1 \rangle_{\underline{B}}(F^{\mathsf{ref}}1) \\ & \Gamma, x_2: 1 \stackrel{\text{\tiny IT}}{\vdash_{\!\!\!c}} \operatorname{return} \star: F^{\mathsf{ref}}1 \end{array}$$

 $\Gamma \overset{\text{\tiny IF}}{\vdash} \mathsf{match} \ V \ \mathsf{as} \ \{ \mathsf{inj}_1 \left(x_1 \right) \mapsto \mathsf{update}_1 (\mathsf{return} \, \star), \mathsf{inj}_2 \left(x_2 \right) \mapsto \mathsf{return} \, \star \} : F^{\mathsf{ref}} 1$

$\mathsf{CBPV}_{\mathrm{ref}}$ equational theory



- For equational reasoning and program optimizations
- lacktriangle Collection of equations $\Gamma \ lacktriangle V_1 = V_2 : \sigma$ and $\Gamma \ lacktriangle M_1 = M_2 : \underline{ au}$
- Divided into:
 - basic (congruence relation + subtyping)
 - structural ($\beta\eta$ -equations from CBPV)
 - algebraic, e.g.:

$$\Gamma$$
 \vdash \vdash $M \oplus N = N \oplus M : \langle \oplus \rangle_{\underline{B}}(\underline{\tau}_N, \underline{\tau}_M)$

refinement-typed, e.g.:

$$\frac{\Gamma \overset{\text{l'}}{\vdash} M : F^{\mathsf{ref}} \sigma \quad \Gamma \overset{\text{l'}}{\vdash} N : \underline{\tau}}{\Gamma \overset{\text{l'}}{\vdash} M \text{ to } x : \sigma . \ N = N : \underline{\tau}} \qquad \qquad \frac{\Gamma \overset{\text{l'}}{\vdash} V_1 : \sigma \quad \Gamma \overset{\text{l'}}{\vdash} V_2 : \sigma}{\Gamma_{\perp} \overset{\text{l'}}{\vdash} V_1 = V_2 : \bot_{|\sigma|}}$$

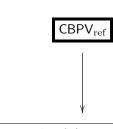
and rest of the "interaction" equations

type-and-effect systems + optimizations $\Gamma \vdash M : \sigma \,! \, \varepsilon$

 $\begin{array}{l} \mathsf{input/output} \\ + \mathsf{sessions} \mathsf{\ and\ protocols} \\ \Gamma \vdash M : ?(!(1).end;end) \end{array}$

state + Hoare logic $\Gamma \vdash M : \{P\}\sigma\{Q\}$





Type-and-effect systems



- $\Gamma \vdash loc_2 := !(loc_1); loc_1 := 0 : () ! \{lookup, update\}$
 - typing rules $\Gamma \vdash M : \sigma ! \varepsilon$ with extra effect annotations
- lacktriangle Algebraic idea: let arepsilon be an algebraic signature [Kammar & Plotkin '12]
- lacktriangle We use a collection of equiv. classes of trees built from arepsilon
- In CBPV_{ref}, we define $\sigma\,!\,\varepsilon\stackrel{\scriptscriptstyle\rm def}{=} C_\varepsilon[F^{\rm ref}\sigma]$ where

$$C_{\varepsilon} \stackrel{\text{def}}{=} \mu X.([\] \lor \langle \mathsf{op}_1 \rangle_{FA}(X, \dots, X) \lor \dots \lor \langle \mathsf{op}_m \rangle_{FA}(X, \dots, X))$$
 for $\varepsilon = \{\mathsf{op}_1 : n_1, \dots, \mathsf{op}_m : n_m\}$

■ Another example: (update₀ not observable and thus not in ε) $\Gamma \vdash \mathsf{lookup}(\mathsf{update}_0(\mathsf{return} \star), \mathsf{return} \star) : 1! \{\mathsf{lookup}\}$

Type-and-effect systems + optimizations



- Can translate well- $(\varepsilon$ -)kinded Kammar & Plotkin types:
 - $\bullet \ (F_\varepsilon A)_\varepsilon^\circ \stackrel{\mathrm{def}}{=} C_\varepsilon [F^\mathrm{ref} A^\circ] \ \text{, } (A \to \underline{B})_\varepsilon^\circ \stackrel{\mathrm{def}}{=} A^\circ \to \underline{B}_\varepsilon^\circ \qquad (\text{, and } \times)$

with translated types having expected properties, e.g.:

- $\blacksquare \ \ ^{\operatorname{lf}} \ C_{\varepsilon}[\underline{B}_{\varepsilon}^{\circ}] \sqsubseteq \underline{B}_{\varepsilon}^{\circ}$
- $\blacksquare \ \ \stackrel{\text{\tiny |F|}}{\vdash} \ C_{\varepsilon_1}[F^{\mathsf{ref}}A^\circ] \sqsubseteq C_{\varepsilon_2}[F^{\mathsf{ref}}A^\circ] \qquad \text{ when } \varepsilon_1 \subseteq \varepsilon_2$
- Kammar & Plotkin's abstract optimizations, e.g. **Copy**

$$\Gamma \vdash^{\mathbf{r}} M : C_{\varepsilon}[F^{\mathsf{ref}}\sigma]$$

$$t(t(x_{11},\ldots,x_{1n}),\ldots,t(x_{n1},\ldots,x_{nn}))=t(x_{11},\ldots,x_{nn})$$

holds in $\mathcal{T}_{\mathsf{eff}}$ for all terms t built from ε

type-and-effect systems + optimizations $\Gamma \vdash M : \sigma \,! \, \varepsilon$

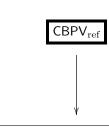
input/output + sessions and protocols $\Gamma \vdash M : ?(!(1).end;end)$

state + Hoare logic $\Gamma \vdash M: \{P\}\sigma\{Q\}$









Input/output + sessions



- Want to specify how a process should use I/O channel(s)
- Interested in following specs., inspired by session types

$$S ::= !(0).S \mid !(1).S \mid !(0 \lor 1).S \mid ?(S_1, S_2) \mid end$$

■ Easy to define using operation modalities and C's

$$\begin{array}{ccc} C_{!(0).S} & \stackrel{\mathrm{def}}{=} & \langle \mathsf{send}_0 \rangle(C_S) \\ \\ C_{!(1).S} & \stackrel{\mathrm{def}}{=} & \langle \mathsf{send}_1 \rangle(C_S) \\ \\ C_{!(0 \lor 1).S} & \stackrel{\mathrm{def}}{=} & \langle \mathsf{send}_0 \rangle(C_S) \lor \langle \mathsf{send}_1 \rangle(C_S) \\ \\ C_{?(S_1,S_2)} & \stackrel{\mathrm{def}}{=} & \langle \mathsf{receive} \rangle(C_{S_1},C_{S_2}) \\ \\ & end & \stackrel{\mathrm{def}}{=} & [\,] \end{array}$$

Input/output + protocols



- Any use for fixed point refinements?
- Protocols for correctly using files (talking to a server, etc.)
- Using a file correctly once:

$$C_{\mathsf{files}} ::= \langle \mathsf{open} \rangle (\mu X.(\langle \mathsf{close} \rangle([\]) \lor \langle \mathsf{write}_i \rangle(X) \lor \langle \mathsf{read} \rangle(X,X)))$$

■ Using a file correctly repetitively:

$$C_{\mathsf{rep-files}} ::= \mu Y. ([\] \lor C_{\mathsf{files}}[Y])$$

type-and-effect systems + optimizations $\Gamma \vdash M : \sigma \,! \, \varepsilon$

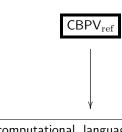
input/output + sessions and protocols $\Gamma \vdash M : ?(!(1).end;end)$

state + Hoare logic $\Gamma \vdash M: \{P\}\sigma\{Q\}$









State + Hoare Logic



- Would like to annotate terms with Hoare triples $\{P\}$ σ $\{Q\}$
- Hoare Logic in some ref. ty. sys. supporting only state effects:
 - using the refined state monad
 - using the Dijkstra monad

Borgström et al. '11
Swamy et al. '13

- \blacksquare In this (propositionally clumsy) example, we take $P,Q\subseteq\{0,1\}$
- Define $\{P\}$ σ $\{Q\}$ $\stackrel{\text{def}}{=}$ $\{P\}$ $[F^{\text{ref}}\sigma]$ $\{Q\}$ by case analysis on P

```
 \begin{array}{ll} \{\emptyset\}\,[\,]\,\{Q\} &\stackrel{\mathrm{def}}{=} & \langle \mathsf{lookup} \rangle(\bigvee_i \langle \mathsf{update}_i \rangle([\,]),\bigvee_i \langle \mathsf{update}_i \rangle([\,])) \\ \{\{0\}\}\,[\,]\,\{Q\} &\stackrel{\mathrm{def}}{=} & \langle \mathsf{lookup} \rangle(\bigvee_q \langle \mathsf{update}_q \rangle([\,]),\bigvee_i \langle \mathsf{update}_i \rangle([\,])) \\ \{\{1\}\}\,[\,]\,\{Q\} &\stackrel{\mathrm{def}}{=} & \langle \mathsf{lookup} \rangle(\bigvee_i \langle \mathsf{update}_i \rangle([\,]),\bigvee_q \langle \mathsf{update}_q \rangle([\,])) \\ \{\{0,1\}\}\,[\,]\,\{Q\} &\stackrel{\mathrm{def}}{=} & \langle \mathsf{lookup} \rangle(\bigvee_q \langle \mathsf{update}_q \rangle([\,]),\bigvee_q \langle \mathsf{update}_q \rangle([\,])) \\ & \text{where } i \in \{0,1\} \text{ and } q \in Q \end{array}
```

State + Hoare Logic



■ Prop.: With this def. of $\{P\}$ σ $\{Q\}$ following rules are admissible

$$\frac{\Gamma \not \vdash M: \{P \cap \{0\}\} \, \sigma \, \{Q\} \quad \Gamma \not \vdash N: \{P \cap \{1\}\} \, \sigma \, \{Q\}}{\Gamma \not \vdash \mathsf{lookup}(M,N): \{P\} \, \sigma \, \{Q\}}$$

$$\frac{\Gamma \not \vdash M : \{\{0\}\} \, \sigma \, \{Q\}}{\Gamma \not \vdash \mathsf{update}_0(M) : \{P\} \, \sigma \, \{Q\}} \qquad \frac{\Gamma \not \vdash M : \{\{1\}\} \, \sigma \, \{Q\}}{\Gamma \not \vdash \mathsf{update}_1(M) : \{P\} \, \sigma \, \{Q\}}$$

$$\frac{\Gamma \not \vdash M: \{P\} \, \sigma_1 \, \{Q\} \quad \Gamma, x: \sigma_1 \vdash N: \{Q\} \, \sigma_2 \, \{R\}}{\Gamma \vdash M \text{ to } x: \sigma_1.\, N: \{P\} \, \sigma_2 \, \{R\}}$$

$$\frac{P \subseteq P' \quad \Gamma \vdash M : \{P'\} \sigma \{Q'\} \quad Q' \subseteq Q}{\Gamma \vdash M : \{P\} \sigma \{Q\}}$$

type-and-effect systems + optimizations $\Gamma \vdash M : \sigma \,! \, \varepsilon$ $\begin{aligned} & \mathsf{input/output} \\ & + \mathsf{sessions} \; \mathsf{and} \; \mathsf{protocols} \\ & \Gamma \vdash M : ?(!(1).end;end) \end{aligned}$

state $+ \ \mbox{Hoare logic}$ $\Gamma \vdash M : \{P\} \sigma\{Q\}$

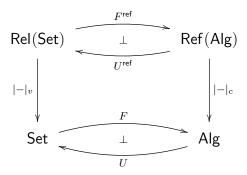




two-level semantics

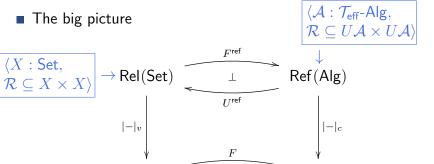


■ The big picture



where Alg is the category of $\mathcal{T}_{\text{eff}}\text{-algebras}$ in Set





U

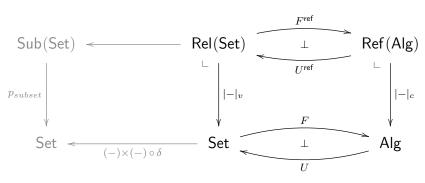
Alg

where Alg is the category of \mathcal{T}_{eff} -algebras in Set

Set



■ How one constructs this quadruple:

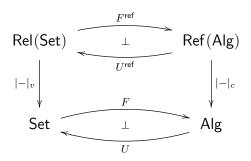


where

- $U^{\text{ref}} \stackrel{\text{def}}{=} (|-|_v)^*(U)$
- $F^{\mathrm{ref}} \sigma \stackrel{\mathrm{def}}{=} \langle F | \sigma |_{v}, (\eta_{|\sigma|_{v}})_{!}(\sigma) \rangle$



■ Back to the big picture



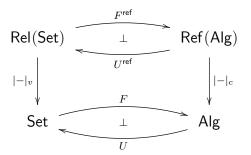
Refinement types interpreted as functors

 $[\![\vec{X}:\mathsf{Ref}(\underline{\vec{B}}) \vdash \sigma:\mathsf{Ref}(A)]\!]:\mathsf{Ref}_{[\![\underline{B}_1]\!]}(\mathsf{Alg}) \times \ldots \times \mathsf{Ref}_{[\![\underline{B}_n]\!]}(\mathsf{Alg}) \to \mathsf{Rel}_{[\![A]\!]}(\mathsf{Set})$

 $[\![\vec{X}:\mathsf{Ref}(\underline{\vec{B}}) \vdash \underline{\tau}:\mathsf{Ref}(\underline{B})]\!]:\mathsf{Ref}_{[\![\underline{B}_1]\!]}(\mathsf{Alg}) \times ... \times \mathsf{Ref}_{[\![\underline{B}_n]\!]}(\mathsf{Alg}) \to \mathsf{Ref}_{[\![\underline{B}]\!]}(\mathsf{Alg})$



■ More on the big picture



■ Computation refinement contexts interpreted as fam. of functors

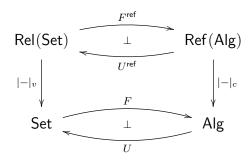
$$[\![\vec{X}\vdash C]\!]_{\underline{B}}: \mathsf{Ref}_{[\![\underline{B}]\!]}(\mathsf{Alg}) \times ... \times \mathsf{Ref}_{[\![\underline{B}]\!]}(\mathsf{Alg}) \times \underbrace{\mathsf{Ref}_{[\![\underline{B}]\!]}(\mathsf{Alg})}_{\vdash \vdash} \to \mathsf{Ref}_{[\![\underline{B}]\!]}(\mathsf{Alg})$$

■ Importantly: closed *C*'s still have functorial flavor:

$$\llbracket \vdash \underline{\tau}_1 \rrbracket \to \llbracket \vdash \underline{\tau}_2 \rrbracket \implies \llbracket \vdash C \rrbracket_{\underline{B}_1} (\llbracket \vdash \underline{\tau}_1 \rrbracket) \to \llbracket \vdash C \rrbracket_{\underline{B}_2} (\llbracket \vdash \underline{\tau}_2 \rrbracket)$$



■ Even more on the big picture



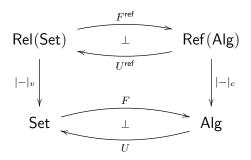
■ Terms interpreted as morphisms in Rel(Set)

$$\llbracket \Gamma \stackrel{\mathsf{f}^{\mathsf{c}}}{\triangledown} V : \sigma \rrbracket : \llbracket \Gamma \rrbracket \longrightarrow \llbracket \sigma \rrbracket$$

$$[\![\Gamma \vdash^{\operatorname{r}}_{\overline{\operatorname{c}}} M : \underline{\tau}]\!] : [\![\Gamma]\!] \longrightarrow U^{\operatorname{ref}}[\![\underline{\tau}]\!]$$



■ Even more on the big picture



■ Prop.: The interpretation is sound

$$\Gamma \not \vdash V_1 = V_2 : \sigma \implies \llbracket V_1 \rrbracket = \llbracket V_2 \rrbracket \quad \text{and} \quad \Gamma \not \vdash M_1 = M_2 : \underline{\tau} \implies \llbracket M_1 \rrbracket = \llbracket M_2 \rrbracket$$

■ Prop.: The interpretation is coherent interpretations of different derivations of a CBPV_{ref} term all agree

Parametric effect monads (Katsumata, POPL'14)

- A proposal for a unifying categorical semantics of
 - a monadic effect-annotated language

Wadler & Thiemann '03

- $A,B ::= 1 \mid 0 \mid A \times B \mid A \to B \mid T_{\varepsilon}A$
- Instead of taking a single monad $T: \mathcal{V} \to \mathcal{V}$
- Take a lax mon. functor $T : \mathbb{E} \to [\mathcal{V}, \mathcal{V}]$
 - \blacksquare \mathbb{E} a preordered monoid of effects (a mon. category)
 - $\eta: \mathsf{Id} \to T_1$
 - $\blacksquare \ \mu_{\varepsilon_1,\varepsilon_2}: T_{\varepsilon_1} \circ T_{\varepsilon_2} \to T_{\varepsilon_1 \circ \varepsilon_2}$
- Parallels with our work:
 - lacktriangle can interpret closed C's as unary functors

$$[\![C]\!]:\mathsf{Ref}(\mathsf{Alg})\to\mathsf{Ref}(\mathsf{Alg})$$

- $lue{}$ closed C's form a preordered monoid $\mathbb C$
- lacksquare so could also interpret as $[\![-]\!]:\mathbb{C} \to [\mathsf{Ref}(\mathsf{Alg}),\mathsf{Ref}(\mathsf{Alg})]$

Summary



- Algebraic work for accommodating effects in ref. ty. systems
- Examples: Effect-and-type annotations, protocols, Hoare Logic

Future work:

- Operations with parameters and binding
- Local effects
- Effect handlers
- Optimizations making use of fine-grained refinements
- Combining theories vs. combining refinements
- \blacksquare More general categorical account of CBPV $_{\rm ref}$