

Dependent Types and Fibred Computational Effects

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(based on joint work with Gordon Plotkin¹ and Neil Ghani²)

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Outline

We will discuss language design principles for combining

- dependent types $(\Pi, \Sigma, \text{Id}_A(V, W), \dots)$
- computational effects (state, I/O, probability, recursion, ...)

In the end we want to

- have a mathematically natural story
- use established tools and methods
- cover a wide range of computational effects

We will be guided by problems with

- effectful programs in types
- assigning types to effectful programs

“Bonus” content for the 2nd half of the talk:

- adding parameters/permissions/worlds/resources/etc.

Effectful programs in types

(type-dependency in the presence of effects)

Effectful programs in types

Q: Can't we simply add dep. types to λ_c and be done with it?

A: Not quite

Let's assume that we have a dependent type $A(x)$, e.g.:

$x:\text{Nat} \vdash A(x) \stackrel{\text{def}}{=} \text{if } (x \bmod 2 == 0) \text{ then String else Char}$

Q: Should we allow $A[M/x]$ if M is an effectful program?

A: Pragmatically, it depends on the nature of effects:

yes for "non-interactive" effects: M need not be restricted

- computing $A[M/x]$ only depends on static information
- (local names [Pitts et al.'15] and recursion [Casinghino et al.'14])

no for general effects: M should be restricted, otherwise

- type-checking starts to depend on interaction with runtime
- e.g., how to compute the substitution $A[\text{send}_V(M)/x]$?
- (examples include I/O, non-determinism, probability, state, etc.)

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Effectful programs in types ctd.

Aim: Types should only depend on **static info about effects**

- so, we need to build on something more fine-grained than λ_c

Solution: CBPV/EEC style distinction between vals. and comps.

- value types $\Gamma \vdash A$ (MLTT + thunks + ...)
- computation types $\Gamma \vdash \underline{C}$ (dep. version of CBPV/EEC)
- where Γ contains only value variables $x_1 : A_1, \dots, x_n : A_n$

Then, types are allowed to depend on effects only via thunks $U \underline{C}$

- using only static information and by inspecting comp. trees
- e.g., `followsSession? : Session \rightarrow $U \underline{C} \rightarrow$ Bool`

Note: In theory, we could also let types depend on eff. comps.:

- lifting effect operations from terms to types, e.g., `sendV(A)`
- similarities with ref. types and op. modalities [A., Plotkin'15]
- type-dependency ($z : \underline{C} \vdash A(z)$) needs to be “homomorphic”

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Assigning types to effectful programs

(typing sequential composition)

Assigning types to effectful programs

How to type the **sequential composition** of computations?

- a key question for any system with computational effects

Our problem: The standard typing rule for seq. comp.

$$\frac{\Gamma \vdash M : F A \quad \Gamma, x:A \vdash N : \underline{C}}{\Gamma \vdash M \text{ to } x \text{ in } N : \underline{C}}$$

is not correct any more because here x can appear free in

$$\Gamma, x:A \vdash \underline{C}$$

in the conclusion

Assigning types to effectful programs ctd.

Aim: Assigning a sensible type to sequential composition

Option 1: We could restrict the free variables in \underline{C} , i.e.:

$$\frac{\Gamma \Vdash M : F A \quad \Gamma \vdash \underline{C} \quad \Gamma, x:A \Vdash N : \underline{C}}{\Gamma \Vdash M \text{ to } x \text{ in } N : \underline{C}}$$

But sometimes it is necessary for \underline{C} to depend on x !

- e.g., in monadic parsing of well-typed syntax (case of functions)

$x : \Sigma y_1. \Sigma y_2. \text{LangSyntax}(\text{fun } y_1 y_2) \Vdash \text{parseFunArg} : F (\text{LangSyntax}(\text{fst } x))$

Option 2: We could lift seq. composition to the level of types:

$$\Gamma \Vdash M \text{ to } x \text{ in } N : M \text{ to } x \text{ in } \underline{C}$$

But then comp. types contain exactly the terms we want to type!

Conclusion: Option 1 is OK, but not good enough on its own

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Option 3: We draw inspiration from algebraic effects

- and combine it with restricting \underline{C} in seq. comp., as in Option 1

For example, consider the stateful program (for $x:\text{Nat} \models N : \underline{C}$)

$$M \stackrel{\text{def}}{=} \text{lookup}(\text{return } 2, \text{return } 3) \text{ to } x \text{ in } N$$

After looking up the bit, this program evaluates as either

$$N[2/x] \text{ at type } \underline{C}[2/x] \quad \text{or} \quad N[3/x] \text{ at type } \underline{C}[3/x]$$

Solution: M denotes an element of the coproduct of algebras

$$\underline{C}[2/x] + \underline{C}[3/x] \stackrel{\text{def}}{=} F \left(U(\underline{C}[2/x]) + U(\underline{C}[3/x]) \right) /_{\equiv}$$

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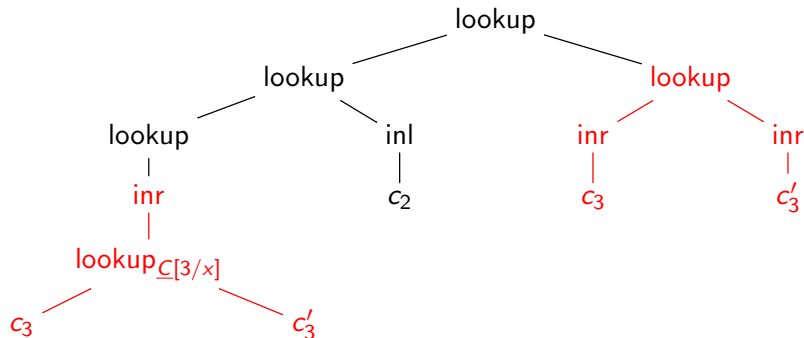
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Sidenote: Elements of $\underline{C}[2/x] + \underline{C}[3/x]$ are not only $\text{inl } c$ or $\text{inr } c$!

- e.g., consider **another computation tree** in $\underline{C}[2/x] + \underline{C}[3/x]$



- where $\underline{C}[2/x] + \underline{C}[3/x] \stackrel{\text{def}}{=} F\left(U(\underline{C}[2/x]) + U(\underline{C}[3/x])\right)_{/\equiv}$
- where $c_2 \in \underline{C}[2/x]$ and $c_3, c'_3 \in \underline{C}[3/x]$, and
- where the **red subtrees are made equal** by \equiv

Putting these ideas together

(a core dependently-typed calculus with comp. effects)

A computational dep.-typed language

Recall: We aim to define a [dependently-typed language](#) with

- general computational effects
- a clear distinction between values and computations
- restricting free variables in seq. composition
- using a coproducts of algebras
- a mathematically natural model theory, using standard tools
 - fibrations
 - adjunctions
 - Lawvere theories
 - monads and EM-algebras

A computational dep.-typed language

Value types: MLTT's types + **thunks** + ...

$A, B ::= \text{Nat} \mid 1 \mid \Pi x:A. B \mid \Sigma x:A. B \mid \text{Id}_A(V, W) \mid \underline{U} \underline{C} \mid \dots$

- $\underline{U} \underline{C}$ is the type of **thunked** (i.e., suspended) **computations**

Computation types: dep.-typed version of EEC's comp. types

$\underline{C}, \underline{D} ::= F A \mid \Pi x:A. \underline{C} \mid \Sigma x:A. \underline{C}$

- $F A$ is the type of computations returning values of type A
- $\Pi x:A. \underline{C}$ is the type of dependent effectful functions
 - it generalises CBPV's and EEC's
computational function type $A \rightarrow \underline{C}$ and product type $\underline{C} \times \underline{D}$
- $\Sigma x:A. \underline{C}$ is the generalisation of coproducts of algebras
 - it generalises EEC's
computational tensor type $A \otimes \underline{C}$ and sum type $\underline{C} + \underline{D}$

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Value terms: MLTT's terms + thunks + ...

$$V, W ::= x \mid \text{zero} \mid \text{succ } V \mid \dots \mid \text{thunk } M \mid \dots$$

- $\text{thunk } M$ is the **thunk of computation** M
- equational theory based on MLTT with intensional id.-types
- value terms are typed using judgment $\Gamma \vdash V : A$

Computation terms: dep.-typed version of CBPV/EEC c. terms

$$\begin{array}{lcl} M, N ::= & \text{force } V & \\ & \mid \text{return } V & \\ & \mid M \text{ to } x \text{ in } N & \\ & \mid \lambda x:A.M & \\ & \mid MV & \\ & \mid \langle V, M \rangle & \text{(comp. } \Sigma \text{ intro.)} \\ & \mid M \text{ to } \langle x, z \rangle \text{ in } K & \text{(comp. } \Sigma \text{ elim.)} \end{array}$$

But: These val. and comp. terms alone do not suffice, as in EEC!

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Note: We are lead to introduce the terms K so that we:

- can first define comp. Σ elimination., and
- also to preserve intended eval. order in it, i.e., in

$$\Gamma \Vdash \langle V, M \rangle \text{ to } \langle x, z \rangle \text{ in } K = K[V/x, M/z] : \underline{C}_2$$

Homomorphic terms: dep.-typed version of EEC's linear terms

$$\begin{array}{lcl} K, L ::= & z & \text{(linear comp. vars.)} \\ & | & \\ & | & K \text{ to } x \text{ in } M \\ & | & \lambda x:A. K \\ & | & KV \\ & | & \langle V, K \rangle & \text{(comp-}\Sigma \text{ intro.)} \\ & | & K \text{ to } \langle x, z \rangle \text{ in } L & \text{(comp-}\Sigma \text{ elim.)} \end{array}$$

Computation and homomorphic terms are typed using judgments

- $\Gamma \Vdash M : \underline{C}$
- $\Gamma \mid z:\underline{C} \Vdash K : \underline{D}$ (linear in z ; comp. bound to z happens first)

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A computational dep.-typed language

Typing rules: Dep.-typed versions of CBPV and EEC, e.g.:

$$\frac{\Gamma \Vdash V : A}{\Gamma \Vdash \text{return } V : F A} \qquad \frac{\Gamma \Vdash M : F A \quad \Gamma \vdash \underline{C} \quad \Gamma, x:A \Vdash M : \underline{C}}{\Gamma \Vdash M \text{ to } x \text{ in } N : \underline{C}}$$

...

$$\frac{\Gamma \vdash \underline{C}}{\Gamma \mid \underline{z} : \underline{C} \Vdash \underline{z} : \underline{C}}$$

...

$$\frac{\Gamma \Vdash V : A \quad \Gamma \mid \underline{z} : \underline{C} \Vdash K : \underline{D}[V/x]}{\Gamma \mid \underline{z} : \underline{C} \Vdash \langle V, K \rangle : \Sigma x:A. \underline{D}}$$

$$\frac{\Gamma \mid \underline{z}_1 : \underline{C} \Vdash K : \Sigma x:A. \underline{D}_1 \quad \Gamma \vdash \underline{D}_2 \quad \Gamma, x:A \mid \underline{z}_2 : \underline{D}_1 \Vdash L : \underline{D}_2}{\Gamma \mid \underline{z}_1 : \underline{C} \Vdash K \text{ to } \langle x, \underline{z}_2 \rangle \text{ in } L : \underline{D}_2}$$

Some similarities and some differences with the recent work on linear dependent types by [Krishnaswami et al.'15] and [Vákár'15]

Algebraic effects

(primitives for programming with side-effects)

Algebraic effects

Effect theories:

- we consider signatures of **typed operation symbols**

$$\frac{\cdot \vdash I \quad x_i : I \vdash O \quad I, O \text{ do not contain } U}{\text{op} : (x_i : I) \longrightarrow O}$$

- equipped with **equations** on derivable effect terms

Example: Global store with two locations (modeled as booleans)

lookup : $(x_i : \text{Bool}) \longrightarrow (\text{if } x_i \text{ then String else Nat})$

update : $(x_i : \Sigma x : \text{Bool}. (\text{if } x \text{ then String else Nat})) \longrightarrow 1$

Algebraic operations:

$$\frac{\Gamma \Vdash V : I \quad \Gamma \vdash \underline{C} \quad \Gamma, x : O[V/x_i] \Vdash M : \underline{C}}{\Gamma \Vdash \text{op}_V^{\underline{C}}(x.M) : \underline{C}}$$

Generic effects:

$$\frac{\Gamma \Vdash V : I}{\Gamma \Vdash \text{genop}_V : F(O[V/x_i])}$$

Homomorphism eq.: (either by postulating or making derivable)

$$\Gamma \mid z : \underline{C} \Vdash K : \underline{D} \implies \Gamma \Vdash K[\text{op}_V^{\underline{C}}(x.M)/z] = \text{op}_V^{\underline{D}}(x.K[M/z]) : \underline{D}$$

Algebraic effects

Effect theories:

- we consider signatures of **typed operation symbols**

$$\frac{\cdot \vdash I \quad x_i : I \vdash O \quad I, O \text{ do not contain } U}{\text{op} : (x_i : I) \longrightarrow O}$$

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Categorical semantics

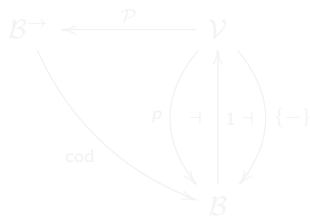
(fibrations and adjunctions)

Categorical semantics

Using fibred cat. theory, we define **fibred adjunction models**

- a sound and complete class of models

given by: i) a standard fibrational model of dependent types



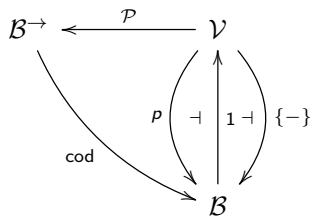
- a split closed comprehension category \mathcal{P}
- where for all $A \in \mathcal{A}$ the display maps in \mathcal{B} are $\pi_A : \{A\} \longrightarrow p(A)$
- inducing the weakening functors $\pi_A^* : \mathcal{V}_{p(A)} \longrightarrow \mathcal{V}_{\{A\}}$
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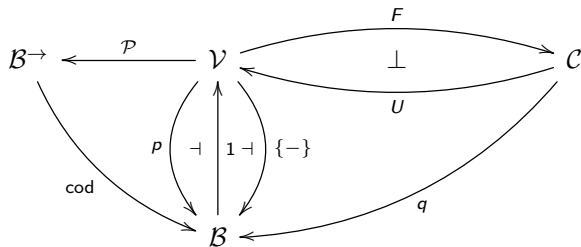
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Categorical semantics

Using fibred cat. theory, we define **fibred adjunction models**

- a sound and complete class of models

given by: ii) an adjunction to model computational effects



- a **split fibration** q and a **split fibred adjunction** $F \dashv U$
- where for all $A \in \mathcal{A}$ the display maps in \mathcal{B} are $\pi_A : \{A\} \longrightarrow p(A)$
- inducing the weakening functors $\pi_A^* : \mathcal{C}_{p(A)} \longrightarrow \mathcal{C}_{\{A\}}$
- comp. Σ - and Π -types are also defined as adjoints $\Sigma_A \dashv \pi_A^* \dashv \Pi_A$

Categorical semantics

Some sources of examples (writing fib. adj. with total cats. only):

- for a split closed comprehension cat. $\mathcal{P} : \mathcal{V} \longrightarrow \mathcal{B}^{\rightarrow}$, we have

$$\mathrm{Id}_{\mathcal{V}} \dashv \mathrm{Id}_{\mathcal{V}} : \mathcal{V} \longrightarrow \mathcal{V}$$

- for a model of EEC (\mathcal{V} is CCC, \mathcal{C} is \mathcal{V} -enriched, \mathcal{V} -enr. adj., etc.)

$$F_{\mathrm{EEC}} \dashv U_{\mathrm{EEC}} : \mathbf{s}(\mathcal{V}, \mathcal{C}) \longrightarrow \mathbf{s}(\mathcal{V})$$

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- for the **continuations monad** $R^{R^{(-)}} : \mathrm{Set} \longrightarrow \mathrm{Set}$, we have

$$\widehat{R^{(-)}} \dashv \widehat{R^{(-)}} : \mathrm{Fam}(\mathrm{Set}^{\mathrm{op}}) \longrightarrow \mathrm{Fam}(\mathrm{Set})$$

Categorical semantics

More sources of examples (writing fib. adj. with total cats. only):

- these last three examples are instances of a **more general result**:

for $\mathcal{P}_{\text{fam}} : \text{Fam}(\text{Set}) \longrightarrow \text{Set}^{\rightarrow}$ and $F \dashv U : \mathcal{C} \longrightarrow \text{Set}$, when \mathcal{C} has set-indexed products and set-indexed coproducts, we have

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- for a **\mathcal{CPO} -enriched monad** $T : \mathcal{CPO} \longrightarrow \mathcal{CPO}$ with a least algebraic operation $\Omega : 0$ and reflexive coequalizers in \mathcal{CPO}^T

$$\widehat{F}^T \dashv \widehat{U}^T : \text{CFam}(\mathcal{CPO}^T) \longrightarrow \text{CFam}(\mathcal{CPO})$$

allows us to treat general recursion as a computational effect

$$\frac{\Gamma, x : \underline{U}\underline{C} \Vdash M : \underline{C}}{\Gamma \Vdash \mu x : \underline{U}\underline{C}. M : \underline{C}}$$

(we get such monads from \mathcal{CPO} -enriched Law. theories with Ω)

Combining effect- and dependent-typing

(adding parameters/worlds/permissions/etc.)

Fibred parametrised comp. effects

Aim: To make our comp. types more expressive

- we extend our language with an **effect-and-type system**
- we build on [Atkey'09]'s parametrised notions of computation
- we take par. adjunctions as a primitive construction
- we make the effect annotations **internal to our language**
- we want a semantics for [Brady'13,'14]'s Effects DSL for Idris

We omit: Details of the accompanying denotational semantics

- based on fibred analogues of parametrised adjunctions, e.g.,

$$\begin{array}{ccc} \mathcal{W} & \mathcal{V} & \\ r \downarrow & \rho \downarrow & \\ B & B & \end{array} \quad \begin{array}{ccc} \int(\lambda X. \mathcal{W}_X \times \mathcal{V}_X) & \xrightarrow{F} & \mathcal{C} \\ \text{fst} \searrow & & \swarrow q \\ & B & \end{array}$$

- in particular, we take $\mathcal{W} \stackrel{\text{def}}{=} \int(\lambda X. \mathcal{V}_X(1_X, !^*_X([S])))$

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Our solution: Use fibred version of S -parametrised adjunctions

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with the resulting S -parametrised monad (EffM in Idris) given by

$$\Gamma \vdash T_{W_1, W_2} A \stackrel{\text{def}}{=} U_{W_1} (F_{W_2} A)$$

The main changes we make to our language:

- typing judgment for comp. terms: $\Gamma \mid W \Vdash M : \underline{C}$
- returning values: $\Gamma \mid W \Vdash \text{return}_W V : F_W A$
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Aim: We can explain [Brady'14]'s resource-dependent effects

Example: We will look at the prototypical example of:

- locking-unlocking / opening-closing / authenticating / etc.

As usual, the non-failing operations are easy to specify, e.g.,

$$\Gamma \mid \text{acquired} \Vdash \text{lookup} : F_{\text{acquired}} \text{String}$$
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(in terms of generic effects, omitting the corresponding signature)

Q: However, what to do with possibly failing operations?

$$\Gamma \mid \text{released} \Vdash \text{acquireLock} : F_{???} \text{Bool}$$

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A1: If going with the monadic view, then we can try to define another (more dep.-parametrised) monad-like functor

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and specify the lock acquiring generic effect as

$\Gamma \vdash \text{acquireLock} : T_{\text{released}}((x:\text{Bool}).\text{if } x \text{ then acquired else released})$

- a natural generalisation of the functor part of fib. par. monads
- this is the approach that [Brady'14] took for Idris
- but no clear way of equipping it with par. adjunction structure

But: We can achieve the same with our less dep.-typed F and U !

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Fibred parametrised comp. effects

Q: What to do with possibly failing operations?

A2a: If we keep with the (par.) adjunctions view, we can define the more dependently-parametrised monad-like functor as

$$\frac{\Gamma \Vdash W_1 : S \quad \Gamma \vdash A \quad \Gamma, x:A \Vdash W_2 : S}{\Gamma \vdash T_{W_1}((x:A).W_2) \stackrel{\text{def}}{=} U_{W_1}(\Sigma_{x:A}.(F_{W_2} 1))}$$

using the comp. Σ -types to quantify over the possible outcomes

A2b: We can then specify the lock acquiring generic effect as

$$\Gamma \mid \text{released} \Vdash \text{acquireLock} : \Sigma x:\text{Bool}.(F_{(\text{if } x \text{ then acquired else released})} 1)$$

Fibred parametrised comp. effects

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Parametrised fibred algebraic effects

Parametrised effect theories:

- we consider signatures of **typed operation symbols**

$$\frac{x_w : S \vdash I \quad x_w : S, x_{in} : I \vdash O \quad x_w : S, x_{in} : I, x_{in} : O \Vdash W_{out} : S}{\text{op}_{x_w, x_{in}, x_{out}} : I \longrightarrow O, W_{out}}$$

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Algebraic operations:

$$\frac{\Gamma \Vdash V : I[W/x_w] \quad \Gamma \vdash \underline{C} \quad \Gamma, x : O[W/x_w, V/x_{in}] \mid W_{out}[W/x_w, \dots] \Vdash M : \underline{C}}{\Gamma \mid W \Vdash \text{op}_V^{\underline{C}}(x.M) : \underline{C}}$$

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Result: Such alg. ops. and gen. effs. are in 1-1 relationship

Note: Currently working on equipping W 's with order/morphisms

Parametrised fibred algebraic effects

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Result: Such alg. ops. and gen. effs. are in 1-1 relationship

Note: Currently working on equipping W 's with order/morphisms

Parametrised fibred algebraic effects

Parametrised effect theories:

- we consider signatures of **typed operation symbols**

$$\frac{x_w : S \vdash I \quad x_w : S, x_{in} : I \vdash O \quad x_w : S, x_{in} : I, x_{in} : O \Vdash W_{out} : S}{\text{op}_{x_w, x_{in}, x_{out}} : I \longrightarrow O, W_{out}}$$

- equipped with **equations** on derivable effect terms

Algebraic operations:

$$\frac{\Gamma \Vdash V : I[W/x_w] \quad \Gamma \vdash \underline{C} \quad \Gamma, x : O[W/x_w, V/x_{in}] \mid W_{out}[W/x_w, \dots] \Vdash M : \underline{C}}{\Gamma \mid W \Vdash \text{op}_V^{\underline{C}}(x.M) : \underline{C}}$$

Generic effects:

$$\frac{\Gamma \Vdash V : I[W/x_w]}{\Gamma \mid W \Vdash \text{genop}_V : \sum x : O[W/x_w, V/x_{in}] \cdot F_{W_{out}}[W/x_w, V/x_{in}, x/x_{out}] \mathbf{1}}$$

Result: Such alg. ops. and gen. effs. are in 1-1 relationship

Note: Currently working on equipping W 's with order/morphisms

Conclusions

A dependently-typed computational language with

- clear distinction between values and computations
- new and useful structure on comp. types (Σ -types)
- dep.-typed algebraic effects and first-class handlers
- general recursion as comp. effect
- universes of value and comp. types (omitted)
- natural categorical semantics, using standard tools
- parametrised fibred computational effects and a principled account of Brady's resource-dependent effects in Idris

Thank you for listening!

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