

بسم الله الرحمن الرحيم

دانشگاه صنعتی اصفهان - دانشکده مهندسی برق و کامپیوتر  
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# طراحی الگوریتم‌ها

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# یک نکته درباره الگوریتم مبتنی بر تقسیم و غلبه جلسه پیش برای مسئله Maxima-Set

*To analyze the divide-and-conquer maxima-set algorithm, there is a minor implementation detail in our algorithm that we need to work out. Namely, there is the issue of how to efficiently find the point,  $p$ , that is the median point in a lexicographical ordering of the points in  $S$  according to their  $(x, y)$ -coordinates.*

**There are two immediate possibilities:**

☞ One choice is to use a linear-time median-finding algorithm. This achieves a good asymptotic running time, but adds some implementation complexity.

☞ Another choice is to sort the points in  $S$  lexicographically by their  $(x, y)$ -coordinates as a **preprocessing step**, prior to calling the Maxima-Set algorithm on  $S$ . Given this preprocessing step, the median point is simply the point in the middle of the list. Moreover, each time we perform a recursive call, we can pass a sorted subset of  $S$ , which maintains the ability to easily find the median point each time.

In either case, the rest of the nonrecursive steps can be performed in  $O(n)$  time. The running time for the divide-and-conquer maxima-set algorithm is  $O(n \log(n))$ . (Why?)

## Master Theorem

In the most typical case of divide-and-conquer a problem's instance of size  $n$  is divided into two instances of size  $\frac{n}{2}$ . More generally, an instance of size  $n$  can be divided into  $b$  instances of size  $\frac{n}{b}$ , with  $a$  of them needing to be solved. (Here,  $a$  and  $b$  are constants;  $a \geq 1$  and  $b > 1$ .) Assuming that size  $n$  is a power of  $b$  to simplify our analysis, we get the following recurrence for the running time  $T(n)$ :

$$T(n) = aT\left(\frac{n}{b}\right) + f(n),$$

where  $f(n)$  is a function that accounts for **the time spent on dividing an instance of size  $n$  into instances of size  $\frac{n}{b}$  and combining their solutions**. The recurrence  $T(n) = aT\left(\frac{n}{b}\right) + f(n)$  is called the **general divide-and-conquer recurrence**. Obviously, the order of growth of its solution  $T(n)$  depends on the values of the constants  $a$  and  $b$  and the order of growth of the function  $f(n)$ .

*The efficiency analysis of many divide-and-conquer algorithms is greatly simplified by the following theorem:*

**Master Theorem:** If  $f(n) \in \Theta(n^k)$  where  $k \geq 0$  in the recurrence  $T(n) = aT\left(\frac{n}{b}\right) + f(n)$ , then

$$T(n) \in \begin{cases} \Theta(n^k), & \text{if } a < b^k, \\ \Theta(n^k \log(n)), & \text{if } a = b^k, \\ \Theta(n^{\log_b(a)}), & \text{if } a > b^k. \end{cases}$$

*Of course, this approach can only establish a solution's order of growth to within an unknown multiplicative constant, whereas solving a recurrence equation with a specific initial condition yields an exact answer (at least for  $n$ 's that are powers of  $b$ ).*

**Example:**

$$\begin{array}{ccc}
 a & b & k \\
 \downarrow & \downarrow & \downarrow \\
 T(n) = 8 T(n/4) + 5n^2 & \text{for } n > 1, n \text{ a power of 4} \\
 T(1) = 3
 \end{array}$$

We have  $8 < 4^2$ , therefore  $T(n) \in \Theta(n^2)$ .

**Example:**


$$\begin{array}{ccc}
 a & b & k \\
 \downarrow & \downarrow & \downarrow \\
 T(n) = 9 T(n/3) + 5n^1 & \text{for } n > 1, n \text{ a power of 3} \\
 T(1) = 7
 \end{array}$$

We have  $9 > 3^1$ , therefore  $T(n) \in \Theta(n^{\log_3(9)}) \in \Theta(n^2)$ .

## The maximum-subarray problem

We want to find the **nonempty, contiguous** subarray of  $A$  whose values have the **largest sum**. We call this contiguous subarray the **maximum subarray**. For example, in the following array, the maximum subarray of  $A[1..16]$  is  $A[8..11]$ , with the sum 43:

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
A	13	-3	-25	20	-3	-16	-23	18	20	-7	12	-5	-22	15	-4	7


  
maximum subarray

The brute-force solution takes  $\Omega(n^2)$  time:

تعداد کل زیرآرایه‌هایی که باید در نظر گرفته شوند:  $\binom{n}{2} + n$   
 زمان لازم برای جمع عناصر یک زیرآرایه:  $\Omega(1)$ .

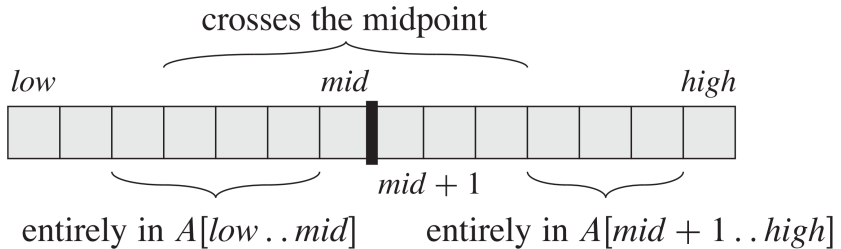
The maximum-subarray problem is interesting only when the array contains **some negative numbers**. If all the array entries were nonnegative, then the maximum-subarray problem would present **no challenge**, since the entire array would give the greatest sum.

Suppose we want to find a maximum subarray of the subarray  $A[low..high]$ . Divide-and-conquer suggests that we divide the subarray into **two subarrays of as equal size as possible**. That is, we find the midpoint, say  $mid$ , of the subarray, and consider the subarrays  $A[low..mid]$  and  $A[mid..high]$ .



**Any contiguous subarray  $A[i..j]$  of  $A[low..high]$  must lie in exactly one of the following places:**

- \* Entirely in the subarray  $A[low..mid]$ , so that  $low \leq i \leq j \leq mid$ ;**
- \* Entirely in the subarray  $A[mid+1..high]$ , so that  $mid < i \leq j \leq high$ ; or**
- \* Crossing the midpoint, so that  $low \leq i \leq mid < j \leq high$ .**

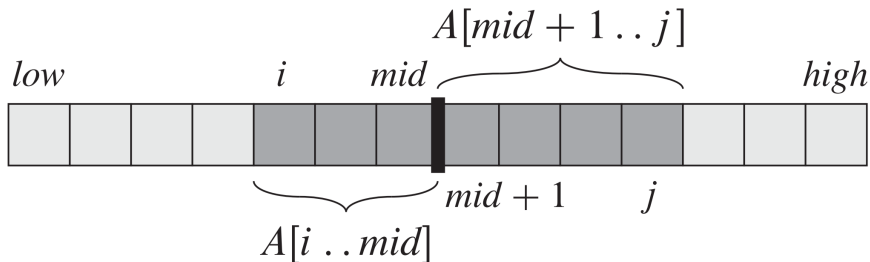


We can find maximum subarrays of  $A[low..mid]$  and  $A[mid..high]$  recursively, because these two subproblems are **smaller instances** of the problem of finding a maximum subarray. Thus, all that is left to do is find a maximum subarray that **crosses the midpoint**, and take a subarray with the largest sum of the **three**.

We can easily find a maximum subarray crossing the midpoint in time **linear** in the size of the subarray  $A[low..high]$ . This problem is **not a smaller instance** of our original problem, because it has the added restriction that the subarray it chooses must cross the midpoint.

FIND-MAX-CROSSING-SUBARRAY( $A, low, mid, high$ )

```
1   $left-sum = -\infty$ 
2   $sum = 0$ 
3  for  $i = mid$  downto  $low$ 
4       $sum = sum + A[i]$ 
5      if  $sum > left-sum$ 
6           $left-sum = sum$ 
7           $max-left = i$ 
8   $right-sum = -\infty$ 
9   $sum = 0$ 
10 for  $j = mid + 1$  to  $high$ 
11      $sum = sum + A[j]$ 
12     if  $sum > right-sum$ 
13          $right-sum = sum$ 
14          $max-right = j$ 
15 return ( $max-left, max-right, left-sum + right-sum$ )
```



Any subarray crossing the midpoint is itself made of two subarrays  $A[i..mid]$  and  $A[mid + 1..j]$ , where  $low \leq i \leq mid$  and  $mid < j \leq high$ . Therefore, we just need to find maximum subarrays of the form  $A[i..mid]$  and  $A[mid + 1..j]$  and then combine them.

Lines 1-7 find a maximum subarray of the left half,  $A[low..mid]$ . Lines 8-14 work analogously for the right half,  $A[mid + 1..high]$ . Finally, line 15 returns the indices  $max - left$  and  $max - right$  that demarcate a maximum subarray crossing the midpoint, along with the sum  $left - sum + right - sum$  of the values in the subarray  $A[max - left..max - right]$ .

*If the subarray  $A[\text{low}..\text{high}]$  contains  $n$  entries (so that  $n = \text{high} - \text{low} + 1$ ), we claim that the call  $\text{FIND-MAX-CROSSING-SUBARRAY}(A, \text{low}, \text{mid}, \text{high})$  takes  $\Theta(n)$  time. The for loop of lines 3-7 makes  $\text{mid} - \text{low} + 1$  iterations, and the for loop of lines 10-14 makes  $\text{high} - \text{mid}$  iterations, and so the total number of iterations is  $(\text{mid} - \text{low} + 1) + (\text{high} - \text{mid}) = \text{high} - \text{low} + 1 = n$ .*

*The initial call  $\text{FIND-MAXIMUM-SUBARRAY}(A, 1, A.\text{length})$  will find a maximum subarray of  $A[1..n]$ .*

FIND-MAXIMUM-SUBARRAY( $A, low, high$ )

```

1  if  $high == low$ 
2      return ( $low, high, A[low]$ )           // base case: only one element
3  else  $mid = \lfloor (low + high)/2 \rfloor$ 
4      ( $left-low, left-high, left-sum$ ) =
          FIND-MAXIMUM-SUBARRAY( $A, low, mid$ )
5      ( $right-low, right-high, right-sum$ ) =
          FIND-MAXIMUM-SUBARRAY( $A, mid + 1, high$ )
6      ( $cross-low, cross-high, cross-sum$ ) =
          FIND-MAX-CROSSING-SUBARRAY( $A, low, mid, high$ )
7      if  $left-sum \geq right-sum$  and  $left-sum \geq cross-sum$ 
8          return ( $left-low, left-high, left-sum$ )
9      elseif  $right-sum \geq left-sum$  and  $right-sum \geq cross-sum$ 
10         return ( $right-low, right-high, right-sum$ )
11     else return ( $cross-low, cross-high, cross-sum$ )

```



Lines 4 and 5 **conquer** by recursively finding maximum subarrays within the left and right subarrays, respectively.

Lines 6–11 form the **combine** part. Line 6 finds a maximum subarray that crosses the midpoint. (Recall that because line 6 solves a subproblem that is **not** a smaller instance of the original problem, we consider it to be in the **combine** part.) Line 7 tests whether the **left** subarray contains a subarray with the maximum sum, and line 8 returns that maximum subarray. Otherwise, line 9 tests whether the **right** subarray contains a subarray with the maximum sum, and line 10 returns that maximum subarray. If neither the left nor right subarrays contain a subarray achieving the maximum sum, then a maximum subarray must **cross the midpoint**, and line 11 returns it.

## Analyzing the divide-and-conquer algorithm

We make the simplifying assumption that the original problem size is a power of 2, so that all subproblem sizes are integers. We denote by  $T(n)$  the running time of FIND-MAXIMUM-SUBARRAY on a subarray of  $n$  elements.

Each of the subproblems solved in lines 4 and 5 is on a subarray of  $\frac{n}{2}$  elements (our assumption that the original problem size is a power of 2 ensures that  $\frac{n}{2}$  is an integer), and so we spend  $T\left(\frac{n}{2}\right)$  time solving each of them. Because we have to solve two subproblems—for the left subarray and for the right subarray—the contribution to the running time from lines 4 and 5 comes to  $2T\left(\frac{n}{2}\right)$ . The call to FIND-MAX-CROSSING-SUBARRAY in line 6 takes  $\Theta(n)$  time.

**Master method:** This recurrence has the solution  $T(n) \in \Theta(n \log(n))$ .

We see that the divide-and-conquer method yields an algorithm that is asymptotically faster than the brute-force method. There is in fact a **linear-time** algorithm for the maximum-subarray problem, and it does **not** use divide-and-conquer.

## The Closest-Pair Problem

Let  $P$  be a set of  $n > 1$  points in the Cartesian plane. For the sake of simplicity, we assume that the points are **distinct**. We can also assume that the points are ordered in nondecreasing order of their  $x$  coordinate. (If they were not, we could sort them first by an efficient sorting algorithm such as mergesort.) It will also be convenient to have the points sorted in a separate list in nondecreasing order of the  $y$  coordinate; we will denote such a list  $Q$ .

We assume that the points in question are specified in a standard fashion by their  $(x, y)$  Cartesian coordinates and that the distance between two points  $p_i(x_i, y_i)$  and  $p_j(x_j, y_j)$  is the standard Euclidean distance

$$d(p_i, p_j) = \sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}.$$

### ALGORITHM *BruteForceClosestPair(P)*

//Finds distance between two closest points in the plane by brute force

//Input: A list  $P$  of  $n$  ( $n \geq 2$ ) points  $p_1(x_1, y_1), \dots, p_n(x_n, y_n)$

//Output: The distance between the closest pair of points

$d \leftarrow \infty$

**for**  $i \leftarrow 1$  **to**  $n - 1$  **do**

**for**  $j \leftarrow i + 1$  **to**  $n$  **do**

$d \leftarrow \min(d, \text{sqrt}((x_i - x_j)^2 + (y_i - y_j)^2))$  //sqrt is square root

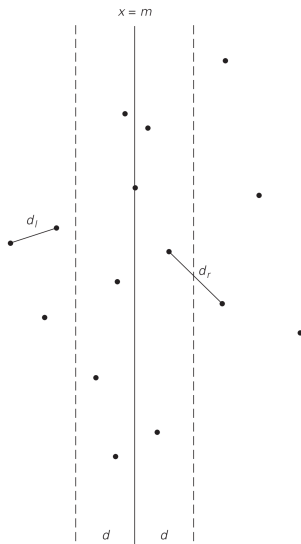
**return**  $d$

$T(n) \in \Theta(n^2)$  (why?)

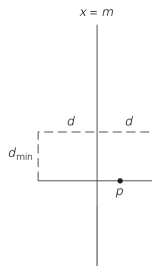
If  $2 \leq n \leq 3$ , the problem can be solved by the obvious brute-force algorithm. If  $n > 3$ , we can divide the points into **two subsets**  $P_l$  and  $P_r$  of  $\lceil \frac{n}{2} \rceil$  and  $\lfloor \frac{n}{2} \rfloor$  points, respectively, by drawing a vertical line through the median  $m$  of their  $x$  coordinates so that  $\lceil \frac{n}{2} \rceil$  points lie to the **left** of or on the line itself, and  $\lfloor \frac{n}{2} \rfloor$  points lie to the **right** of or on the line. Then we can solve the closest-pair problem recursively for subsets  $P_l$  and  $P_r$ . Let  $d_l$  and  $d_r$  be the smallest distances between pairs of points in  $P_l$  and  $P_r$ , respectively, and let  $d = \min\{d_l, d_r\}$ .

As in most divide-and-conquer algorithms, most of the work comes from the combine step:

The points of a closer pair can lie on the opposite sides of the separating line. As a step **combining** the solutions to the smaller subproblems, we need to examine such points. Obviously, we can limit our attention to the points inside the **symmetric vertical strip of width  $2d$  around the separating line**, since the distance between any other pair of points is at least  $d$ .



(a)



(b)

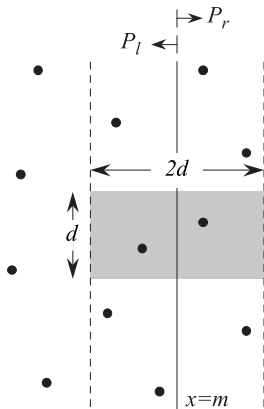


Let  $S$  be the list of points inside the strip of width  $2d$  around the separating line, obtained from  $Q$  and hence **ordered in non-decreasing order of their  $y$  coordinate**. We will scan this list, updating the information about  $d_{\min}$ , the minimum distance seen **so far**, if we encounter a closer pair of points. Initially,  $d_{\min} = d$ , and subsequently  $d_{\min} \leq d$ .

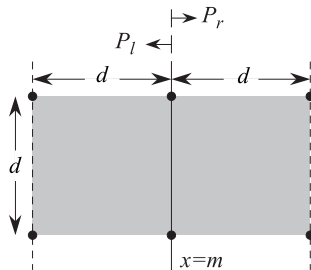
Let  $p(x, y)$  be a point on this list. For a point  $p(x, y)$  to have a chance to be closer to  $p$  than  $d_{\min}$ , the point must follow  $p$  on list  $S$  and the difference between their  $y$  coordinates must be less than  $d_{\min}$  (why?). Geometrically, this means that  $p$  must belong to the rectangle shown in the above figure.

*The points lying within the two strips of width  $d$  around the separating line have a special structure.*

*The principal insight exploited by the algorithm is the observation that the rectangle can contain just a few such points, because the points in each half (left and right) of the rectangle must be at least distance  $d$  apart. It is easy to prove that the total number of such points in the rectangle, including  $p$ , does not exceed **six**. Thus, the algorithm can consider no more than **five** next points following  $p$  on the list  $S$ , before moving up to the next point.*



(a)



(b)

نکته: اگر فرض متمایز بودن نقاط را برداریم، آنگاه مستطیل  $d \times 2d$  ما می تواند حداکثر حاوی ۸ نقطه باشد:

*If the distance between any two points in the  $d \times 2d$  rectangle must be at most  $d$ , then the rectangle can accommodate at most eight points: at most four points from  $P_l$  and at most four points from  $P_r$ . The maximum number is attained when one point from  $P_l$  coincides with one point from  $P_r$  at the intersection of the vertical line with the top of the rectangle, and one point from  $P_l$  coincides with one point from  $P_r$  at the intersection of the vertical line with the bottom of the rectangle.*

But we assumed that there is no coincidence.

**Observation:** Each point in the strip needs to be compared with at most five points.

**ALGORITHM** *EfficientClosestPair*( $P, Q$ )

```

//Solves the closest-pair problem by divide-and-conquer
//Input: An array  $P$  of  $n \geq 2$  points in the Cartesian plane sorted in
//      nondecreasing order of their  $x$  coordinates and an array  $Q$  of the
//      same points sorted in nondecreasing order of the  $y$  coordinates
//Output: Euclidean distance between the closest pair of points
if  $n \leq 3$ 
    return the minimal distance found by the brute-force algorithm
else
    copy the first  $\lceil n/2 \rceil$  points of  $P$  to array  $P_l$ 
    copy the same  $\lceil n/2 \rceil$  points from  $Q$  to array  $Q_l$ 
    copy the remaining  $\lfloor n/2 \rfloor$  points of  $P$  to array  $P_r$ 
    copy the same  $\lfloor n/2 \rfloor$  points from  $Q$  to array  $Q_r$ 
     $d_l \leftarrow \text{EfficientClosestPair}(P_l, Q_l)$ 
     $d_r \leftarrow \text{EfficientClosestPair}(P_r, Q_r)$ 
     $d \leftarrow \min\{d_l, d_r\}$ 
     $m \leftarrow P[\lceil n/2 \rceil - 1].x$ 
    copy all the points of  $Q$  for which  $|x - m| < d$  into array  $S[0..num - 1]$ 
     $dminsq \leftarrow d^2$ 
    for  $i \leftarrow 0$  to  $num - 2$  do
         $k \leftarrow i + 1$ 
        while  $k \leq num - 1$  and  $(S[k].y - S[i].y)^2 < dminsq$ 
             $dminsq \leftarrow \min((S[k].x - S[i].x)^2 + (S[k].y - S[i].y)^2, dminsq)$ 
             $k \leftarrow k + 1$ 
return  $\text{sqrt}(dminsq)$ 

```

The algorithm spends **linear time both for dividing the problem into two problems half the size and combining the obtained solutions**. Therefore, assuming as usual that  $n$  is a power of 2, we have the following recurrence for the running time of the algorithm:

$$T(n) = 2T(n/2) + f(n),$$

where  $f(n) \in \Theta(n)$ .

**Master Theorem:**  $T(n) \in \Theta(n \log n)$ .

The necessity to **presort** input points does **not** change the overall efficiency class if sorting is done by a  $O(n \log n)$  algorithm such as **mergesort**. In fact, this is the **best** efficiency class one can achieve, because it has been proved that any algorithm for this problem must be in  $\Theta(n \log n)$  under some natural assumptions about operations an algorithm can perform.