

# A Class of Anomaly-Free Gauge Theories

G. Roepstorff  
Institute for Theoretical Physics  
RWTH Aachen  
D-52062 Aachen, Germany  
e-mail: roep@physik.rwth-aachen.de

**Abstract.** We report on a detailed calculation of the anomaly coefficients  $\text{Tr}(\theta(a)\{\theta(b), \theta(c)\})$  and  $\text{Str}(\theta(a)\{\theta(b), \theta(c)\})$  (trace and supertrace) for the reducible representation  $\theta$  of a Lie algebra  $\text{Lie } G$  on  $\bigwedge \mathbb{C}^n$ . Assuming that  $G \subset U(n)$  where  $n \geq 2$ , the representation  $\theta$  is obtained from lifting the action of  $U(n)$  on  $\mathbb{C}^n$  to the exterior algebra. The coefficients vanish provided  $G \subset SU(n)$  and  $n \neq 3$ . The singular role of the group  $SU(3)$  is emphasized.

## 1 Introduction

We recall that a gauge theory of massless fermions is said to be *chiral* if left- and right-handed fermion fields transform differently under the gauge group  $G$ . As is well known, this may cause a breakdown of classical symmetries on the quantum level which manifests itself in the presence of *local anomalies*, i.e., nonconservation of Noether currents. The abelian anomaly has been discovered long time ago by Adler, Bell, and Jackiw, followed by an explosion of the number of papers on the subject. For the early developments see [1-7]. The problem has been reformulated over and over again. It is best understood using the Euclidean spacetime (compactified to  $S^4$ ) and functional integral methods [8,9]. The connection between anomalies and the Atiyah-Singer Index Theorem has noticed immediately [10-15]. Soon after, anomalies were written on a BRS level in terms of differential forms. An equation of constraint discovered by Wess and Zumino [16] defines the anomaly in a direct way without recourse to a regularization scheme. Mathematically speaking, the Wess-Zumino condition corresponds to a cocycle condition in the affine space of gauge connections. For an account of the history of the subject see the introductory chapter of the book by R.A. Bertlmann [17].

Consistency of nonabelian chiral models requires that there be no local anomalies in the theory. Most models one might think of turn out to be inconsistent unless there is some group-theoretic reason for the anomalies to vanish. For instance, one verifies consistency of the Standard Model by a routine calculation which is nothing but an exercise in (Lie) algebra. The lesson of the Standard Model is that anomalies may cancel in *reducible*

representations of the gauge group even though the irreducible constituents are anomalous. Recent results on chiral Schwinger models without gauge anomalies can be found in [18] and applications to areas outside of particle physics appeared in [19]. From the study of triangle diagram we quote a general result: a chiral theory is free from anomalies in the gauge currents if and only if some trace condition is satisfied involving the (represented) generators of the Lie algebra [20]. Granted this condition all higher loop contributions vanish as well. The trace condition involves the symmetrized third-order trace of the generators, called the *anomaly coefficients*.

A Lie group is said to be *safe* if the anomaly coefficients vanish for all its representations. Among the safe groups we find classical groups like  $SU(2)$ ,  $SO(n)$  ( $n \neq 6$ ), and  $Sp(2n)$  but also the exceptional groups  $G_2$ ,  $F_4$ ,  $E_6$ ,  $E_7$ , and  $E_8$ . Moreover, reducible real representations of nonsafe groups are anomaly-free. For instance, though the group  $SU(3)$  is nonsafe, its representation  $\{3\} \oplus \{3\}$  is real and thus has no anomalies. Similarly, the representation  $\bigwedge$  of  $SU(n)$  is real, hence anomaly-free for all  $n$  (a special result of our discussion in Section 4). None of these criteria, however, cover the case of the Standard Model. The famous cancellation of anomalies of leptons and quarks is often seen as a miracle.

We will argue that this ‘miracle’ in fact occurs in a large class of reducible representations sharing two common features:

1. The gauge group  $G$  is either  $SU(n)$  ( $n \neq 3$ ) or a subgroup thereof.
2. Left-handed fermion fields transform according to the representation  $\bigwedge^-$  of  $G$  while right-handed fermion fields transform according to the representation  $\bigwedge^+$  (to be explained in the next section).

To this we add the comment that the cancellation of anomalies fails if the first condition is replaced by  $G = U(n)$  and emphasize that the group  $SU(3)$  has special features that prevent vanishing of the anomaly coefficients in the representations  $\bigwedge^\pm$ . The Standard Model is now covered by the general result provided we specialize it in the following way [21]:

1. The gauge group  $G$  is a subgroup of  $SU(5)$ .
2. The Lie algebra  $\text{Lie } G$  has the structure  $\mathfrak{su}(3) \oplus \mathfrak{su}(2) \oplus \mathfrak{u}(1)$ .

The extra benefit of the present investigation is to learn that only the first condition is needed to effect the cancellation of anomalies.

We shall start considering the full unitary group  $U(n)$  with  $n \geq 2$  and specialize to  $SU(n)$  later on. The representations  $\bigwedge^\pm u$  of  $u \in U(n)$  we focus on are very familiar constructions in linear algebra: they constitute the even and odd parts of the representation  $\bigwedge u$  acting on the exterior algebra  $\bigwedge \mathbb{C}^n$ . As the argument presented below is purely algebraic (and to keep the paper short), we will refrain from discussing any aspects of particle physics in relation to our result.

## 2 The Exterior Algebra and $\mathbb{Z}_2$ -Grading

Since  $U(n)$  is a classical group, it has a *defining representation* given by the matrices  $u \in U(n)$  viewed as linear operators on  $\mathbb{C}^n$ . Among many other representations we single out those irreducible representations (irreps) that arise from lifting the defining representation to the exterior algebra  $\bigwedge \mathbb{C}^n$ :

$$\begin{array}{ccc} \mathbb{C}^n & \xrightarrow{u} & \mathbb{C}^n \\ \downarrow & \searrow \bigwedge^u & \downarrow \\ \bigwedge \mathbb{C}^n & \xrightarrow{\quad} & \bigwedge \mathbb{C}^n \end{array}$$

The representation thus obtained is denoted  $\bigwedge$ . It has dimension  $2^n$ , is reducible, and may be decomposed into irreps  $\bigwedge^p$  acting on  $\bigwedge^p \mathbb{C}^n$  (the  $p$ th exterior power of  $\mathbb{C}^n$ ) of dimension  $\binom{n}{p}$  in an obvious way:

$$\bigwedge = \bigwedge^0 \oplus \bigwedge^1 \oplus \cdots \oplus \bigwedge^n. \quad (1)$$

Another way of writing is

$$\bigwedge^p u = u \wedge u \wedge \cdots \wedge u \quad (p \text{ factors}).$$

The assumption is that, for  $n$  appropriately chosen, no irreps other than those contained in the list (1) are needed to accommodate the fundamental fermions encountered in reality.

As soon as we confine ourselves to  $SU(n)$ , it is convenient to adopt yet another notation where each irrep is specified by its dimension  $d$ . However, if the irrep is complex, there are precisely two irreps of the same dimension: given either one of them, its companion is obtained by complex conjugation. In this case one writes  $d$  and  $\bar{d}$  to distinguish the two irreps. We may arrange all these irreps either in the diagram (varying  $n$  but restricting to  $n \leq 5$ )

$n$	irreps of $SU(n)$									
2			$\bigwedge^0$		$\bigwedge^1$		$\bigwedge^2$			
3			$\bigwedge^0$		$\bigwedge^1$		$\bigwedge^2$		$\bigwedge^3$	
4		$\bigwedge^0$		$\bigwedge^1$		$\bigwedge^2$		$\bigwedge^3$		$\bigwedge^4$
5	$\bigwedge^0$		$\bigwedge^1$		$\bigwedge^2$		$\bigwedge^3$		$\bigwedge^4$	$\bigwedge^5$

or in a Pascal-like triangle indicating their dimensions:

$n$	irreps of $SU(n)$						
2			1		2		1
3			1		3		$\bar{3}$ 1
4			1		4		6 $\bar{4}$ 1
5			1		5		10 $\bar{10}$ $\bar{5}$ 1

As for the group  $SU(n)$ , there is no distinction between the two representations  $\bigwedge^0$  and  $\bigwedge^n$ . They are both one-dimensional and trivial. However, for  $u \in U(n)$  there is a distinction:  $\bigwedge^0 u = 1$  while  $\bigwedge^n u = \det u$ .

As has been emphasised previously [21,22], the exterior algebra (as linear space) carries a  $\mathbb{Z}_2$ -graded structure making  $\bigwedge \mathbb{C}^n$  a superspace:

$$\bigwedge \mathbb{C}^n = \bigwedge^+ \mathbb{C}^n \oplus \bigwedge^- \mathbb{C}^n, \quad \bigwedge^+ \mathbb{C}^n = \sum_{p=\text{even}} \bigwedge^p \mathbb{C}^n, \quad \bigwedge^- \mathbb{C}^n = \sum_{p=\text{odd}} \bigwedge^p \mathbb{C}^n$$

The representation  $\bigwedge$  of  $U(n)$  respects the  $\mathbb{Z}_2$ -grading of  $\bigwedge \mathbb{C}^n$  and decomposes as  $\bigwedge^+ \oplus \bigwedge^-$ . We may thus write

$$\bigwedge u = \begin{pmatrix} \bigwedge^+ u & 0 \\ 0 & \bigwedge^- u \end{pmatrix}, \quad u \in U(n).$$

Note that the dimensions of the even and odd subspaces are the same:

$$\dim \bigwedge^\pm \mathbb{C}^n = 2^{n-1}.$$

From  $\bigwedge$  we construct the corresponding representation  $a \mapsto \theta(a)$  of the Lie algebra  $\mathfrak{u}(n)$  on  $\bigwedge \mathbb{C}^n$ :

$$\theta(a) = \frac{d}{dt} \bigwedge \exp(ta)|_{t=0} = \begin{pmatrix} \theta^+(a) & 0 \\ 0 & \theta^-(a) \end{pmatrix}, \quad \theta^\pm(a) \in \text{End } \bigwedge^\pm \mathbb{C}^n.$$

The  $\mathbb{Z}_2$ -grading of the linear space  $\bigwedge \mathbb{C}^n$  makes the endomorphism algebra  $\text{End} \bigwedge \mathbb{C}^n$  a *superalgebra*. See [22] for details. Since the operator  $\theta(a)$  does not change the parity, it is said to be even or, to put it formally,

$$\theta(a) \in \text{End}^+ \bigwedge \mathbb{C}^n.$$

Two types of traces are in use when dealing with superalgebras. There is the ordinary trace, denoted  $\text{Tr}$ , and the supertrace, denoted  $\text{Str}$ . The ordinary trace vanishes on commutators, while the supertrace vanishes on supercommutators [23]. For the particular case at hand,

$$\text{Tr } \bigwedge u = \text{Tr } \bigwedge^+ u + \text{Tr } \bigwedge^- u = \det(1 + u) \quad (2)$$

$$\text{Str } \bigwedge u = \text{Tr } \bigwedge^+ u - \text{Tr } \bigwedge^- u = \det(1 - u) \quad (3)$$

It is helpful to look at these formulas as obtained from a more general trace evaluated at  $z = \pm 1$ :

$$\text{Tr}_z \bigwedge u = \sum_{p=0}^n z^p \text{Tr } \bigwedge^p u = \det(1 + zu) \quad (z \in \mathbb{C}) \quad (4)$$

The formulas (2) and (3) may be inverted to provide those traces we are interested in:

$$\text{Tr } \bigwedge^\pm u = \frac{1}{2}(\text{Tr } \bigwedge u \pm \text{Str } \bigwedge u). \quad (5)$$

The ultimate goal is to compute traces of the form

$$\mathrm{Tr}(\theta^\pm(a)\theta^\pm(b)\theta^\pm(c)) = \frac{1}{2} \left( \mathrm{Tr}(\theta(a)\theta(b)\theta(c)) \pm \mathrm{Str}(\theta(a)\theta(b)\theta(c)) \right) \quad (6)$$

for  $a, b, c \in \mathfrak{u}(n)$  where  $n \geq 2$ . This task can now be reduced to computing the  $z$ -depending quantity  $\mathrm{Tr}_z(\theta(a)\theta(b)\theta(c))$ , referred to as the third-order trace.

### 3 The Art of Computing Traces

We continue to write 1 for group unit, but shall write  $\bigwedge 1 = \mathbb{1}$  for the unit operator in  $\mathrm{End} \bigwedge \mathbb{C}^n$ . The formula  $\mathrm{Tr}_z \bigwedge u = \det(1 + zu)$  can be rewritten as

$$\log \mathrm{Tr}_z \bigwedge u = \mathrm{tr} \log(1 + zu) \quad u \in U(n), \quad 1 + zu \neq 0. \quad (7)$$

The simplest computation (taking  $u = 1$ ) leads to the zeroth-order trace:

$$\mathrm{Tr}_z \mathbb{1} = (1 + z)^n \quad (8)$$

A more involved problem is the computation of traces of order 1, 2, and 3. In a first step, we replace  $u$  by  $e^{tu}$  in (7) and take the derivative at  $t = 0$  to obtain

$$\mathrm{Tr}_z(\theta(a) \bigwedge u) = z \mathrm{Tr}_z \bigwedge u \, \mathrm{tr}(a u (1 + zu)^{-1}). \quad (9)$$

Hence, at the unit of the group, the result is a formula for the first-order trace:

$$\mathrm{Tr}_z \theta(a) = z(1 + z)^{n-1} \mathrm{tr} a. \quad (10)$$

In a second step, we replace  $u$  by  $\exp(t\theta(b))u$  in (9) and again take the derivative at  $t = 0$ :

$$\begin{aligned} \mathrm{Tr}_z(\theta(a)\theta(b) \bigwedge u) &= z \mathrm{Tr}_z(\theta(b) \bigwedge u) \, \mathrm{tr}(a u (1 + zu)^{-1}) \\ &+ z \mathrm{Tr}_z(\bigwedge u) \sum_{m=0}^{\infty} (-z)^m \sum_{k=0}^m \mathrm{tr} \left( a (\mathrm{Ad} u)^k b u^{m+1} \right) \end{aligned} \quad (11)$$

with  $(\mathrm{Ad} u)b = ubu^{-1}$ , the adjoint representation. At the unit, this creates a formula for the second-order trace:

$$\mathrm{Tr}_z(\theta(a)\theta(b)) = z(1 + z)^{n-2} (z \mathrm{tr} a \mathrm{tr} b + \mathrm{tr}(ab)). \quad (12)$$

In a third step, we take  $u = e^{tc}$  in (11) rewriting

$$z \sum_{m=0}^{\infty} (-z)^m \sum_{k=0}^m \mathrm{tr} \left( a (\mathrm{Ad} u)^k b u^{m+1} \right) = \sum_{n=0}^{\infty} t^n \mathrm{tr} \left( a (\mathrm{ad} c)^n b f_n(ze^{tc}) \right) \quad (13)$$

where we made use of the Hausdorff formula

$$(\text{Ad } e^{tc})^k b = \sum_{n=0}^{\infty} \frac{k^n}{n!} t^n (\text{ad } c)^n b, \quad (\text{ad } c)b = [c, b]$$

and then introduced complex functions

$$f_n(z) = z \sum_{m=0}^{\infty} (-z)^m \sum_{k=0}^m \frac{k^n}{n!}$$

which extend to analytic functions on  $\mathbb{C} \setminus \{-1\}$ . Taking the derivative on both sides of (11) at  $t = 0$  when  $u = e^{tc}$ , we get a preliminary formula for the third-order trace:

$$\begin{aligned} \text{Tr}_z(\theta(a)\theta(b)\theta(c)) &= z(1+z)^{-1} \text{Tr}_z(\theta(b)\theta(c)) \text{tr } a \\ &\quad + z(1+z)^{-2} \text{Tr}_z \theta(b) \text{tr}(ac) \\ &\quad + f_0(z) \text{Tr}_z \theta(c) \text{tr}(ab) \\ &\quad + z f'_0(z) \text{Tr}_z \mathbb{1} \text{tr}(abc) \\ &\quad + f_1(z) \text{Tr}_z \mathbb{1} \text{tr}(a[c, b]) \end{aligned}$$

It may now be shown that

$$f_0(z) = z(1+z)^{-2} \quad f_1(z) = -z^2(1+z)^{-3}$$

and thus

$$\begin{aligned} z f'_0(z) \text{Tr}_z \mathbb{1} &= z(1+z)^{n-3}(1-z) \\ f_1(z) \text{Tr}_z \mathbb{1} &= -z^2(1+z)^{n-3} \end{aligned}$$

Putting all pieces of information together, we arrive at the final result

$$\text{Tr}_z(\theta(a)\theta(b)\theta(c)) = (1+z)^{n-3}(z\alpha_1 + z^2\alpha_2 + z^3\alpha_3) \quad (14)$$

where

$$\alpha_1 = \text{tr}(abc) \quad (15)$$

$$\alpha_2 = \text{tr } a \text{tr}(bc) + \text{tr } b \text{tr}(ac) + \text{tr } c \text{tr}(ab) - \text{tr}(acb) \quad (16)$$

$$\alpha_3 = \text{tr } a \text{tr } a \text{tr } c. \quad (17)$$

If  $n \geq 3$ , the third-order trace comes out as an  $n$ th-order polynomial in  $z$  as it should. For  $n = 2$ , however, the formula (14) falsely indicates the presence of singularity at  $z = -1$  though we know in advance that the trace ought to be a second-order polynomial. The solution to this discrepancy is that, in two dimensions, there exist the identity

$$\text{tr}(a\{b, c\}) = \text{tr } a \text{tr}(bc) + \text{tr } b \text{tr}(ac) + \text{tr } c \text{tr}(ab) - \text{tr}(abc) \quad (n = 2)$$

so that

$$z\alpha_1 + z^2\alpha_2 + z^3\alpha_3 = (1+z)(z \operatorname{tr}(abc) + z^2 \operatorname{tr} a \operatorname{tr} b \operatorname{tr} c)$$

and hence

$$\operatorname{Tr}_z(\theta(a)\theta(b)\theta(c)) = z \operatorname{tr}(abc) + z^2 \operatorname{tr} a \operatorname{tr} b \operatorname{tr} c \quad (n=2). \quad (18)$$

which is a much simpler expression that could also be derived by a straightforward computation from scratch.

## 4 Discussion of the Result

We shall now apply the relations (14) and (18) obtained above to the cases of interest, i.e., when  $z = \pm 1$ . As a shorthand we introduce the following symmetric functions

$$\alpha_{\pm} = \operatorname{tr} a \operatorname{tr}(bc) + \operatorname{tr} b \operatorname{tr}(ac) + \operatorname{tr} c \operatorname{tr}(ab) \pm \operatorname{tr} a \operatorname{tr} b \operatorname{tr} c.$$

The ordinary trace, obtained when  $z = 1$ , decomposes into a symmetric and an antisymmetric contribution. As for the symmetric part, we have the formula

$$\frac{1}{2} \operatorname{Tr}(\theta(a)\{\theta(b), \theta(c)\}) = 2^{n-3} \alpha_+ \quad (n \geq 2) \quad (19)$$

while the antisymmetric part reads:

$$\frac{1}{2} \operatorname{Tr}(\theta(a)[\theta(b), \theta(c)]) = 2^{n-3} \operatorname{tr}(a[b, c]) \quad (n \geq 2). \quad (20)$$

Next, we consider the case  $z = -1$  in order to construct the supertrace which again decomposes into (anti)symmetric contributions. The symmetric part is given by

$$\frac{1}{2} \operatorname{Str}(\theta(a)\{\theta(b), \theta(c)\}) = \begin{cases} \operatorname{tr} a \operatorname{tr} b \operatorname{tr} c - 2^{-1} \alpha_- & \text{if } n = 2 \\ \alpha_- - \operatorname{tr}(a\{b, c\}) & \text{if } n = 3 \\ 0 & \text{if } n \geq 4 \end{cases} \quad (21)$$

while the antisymmetric part reads:

$$\frac{1}{2} \operatorname{Str}(\theta(a)[\theta(b), \theta(c)]) = \begin{cases} -2^{-1} \operatorname{tr}(a[b, c]) & \text{if } n = 2 \\ 0 & \text{if } n \geq 3 \end{cases} \quad (22)$$

The left-hand side of (20) does not vanish unless the Lie algebra consists of trace-less matrices. Therefore, it is not conceivable that the anomaly coefficients vanish unless  $\operatorname{tr} a = \operatorname{tr} b = \operatorname{tr} c = 0$  which is what we shall assume from now on. In effect, we are dealing then with gauge groups  $SU(n)$  or with subgroups thereof.

With vanishing traces, formulas simplify considerably. We particularly obtain the following result for the anomaly coefficients in the representations  $\Lambda^\pm$ :

$$\text{Tr}(\theta^\pm(a)\{\theta^\pm(b), \theta^\pm(c)\}) = \begin{cases} 0 & \text{if } n = 2 \text{ or } n \geq 4 \\ \mp \text{tr}(a\{b, c\}) & \text{if } n = 3 \end{cases} \quad (23)$$

The coefficients vanish in any dimension except when  $n = 3$ . It is perhaps surprising that gauge theories based on  $SU(3)$  play a distinguished role.

For completeness we mention the result for the corresponding symmetric coefficients:

$$\text{Tr}(\theta^\pm(a)[\theta^\pm(b), \theta^\pm(c)]) = \begin{cases} 2^{-1}(1 \mp 1) \text{tr}(a[b, c]) & \text{if } n = 2 \\ 2^{n-3} \text{tr}(a[b, c]) & \text{if } n \geq 3 \end{cases} \quad (24)$$

Note that  $\text{tr}(a[b, c])$  are precisely the structure constants of the Lie algebra. The relations (20) and (24) confirm the expectation that the structure constants come out the same in any faithful representation, apart from some natural number in front.

Nowhere in the calculation have we used the assumption the group elements  $u$  are unitary. Nor have we used the relation  $a^* = -a$  for the elements  $a$  of the Lie algebra. Hence our results hold equally well when the unitary group  $U(n)$  is replaced by the full linear group  $GL(n, \mathbb{C})$  and  $SU(n)$  is replaced by the unimodular group  $SL(n, \mathbb{C})$ . However, noncompact groups are not favoured as candidates for symmetries in particle physics.

## References

1. S.L. Adler: Phys.Rev. **177** (1969) 2426
2. J.S. Bell and R. Jackiw: Nouvo Cim. A **60** (1969) 47
3. W.A. Bardeen: Phys.Rev. **184** (1969) 1848
4. S.L. Adler: *Lectures on Elementary Particles and Quantum Field Theory*, Ed. Deser, MIT, Cambridge, MA 1970
5. S. Coleman and B. Grossman: Nucl.Phys. B **203** (1982) 205
6. L. Baulieu: Nucl.Phys. B **241** (1984) 557
7. R. Stora: *Progress in Gauge Field Theory*, (Cargese 1983) Plenum New York 1984
8. K. Fujikawa: Phys.Rev. D **21** (1980) 2848, Erratum: D **22** (1980) 1499



9. K. Fujikawa: Phys.Rev. D **25** (1982) 2584
10. R. Jackiw and C. Rebbi: Phys.Rev. D **14** (1976) 517
11. R. Jackiw and C. Rebbi: Phys.Rev. D **16** (1977) 1052
12. N.K. Nielsen and B. Schroer: Nucl.Phys. B **127** (1977) 493
13. N.K. Nielsen, H.Römer, and B. Schroer: Phys.Lett. **70B** (1977) 445
14. L. Alvarez-Gaumé and P. Ginsparg: Ann.Phys. **161** (1985) 423
15. L. Alvarez-Gaumé and P. Ginsparg: Nucl.Phys. B **243** (1984) 449
16. J. Wess and B. Zumino: Phys.Lett. **37B** (1971) 95
17. R.A. Bertlmann: *Anomalies in Quantum Field Theory*, Oxford Science Publications, Clarendon Press Oxford (UK) 1996
18. H. Grosse and E. Langmann: hep-th/0004176
19. J. Fröhlich and B. Pedrini: hep-th/0002195
20. R. Ticciati, *Quantum Field Theory For Mathematicians*, Encyclopedia of Mathematics and its Applications **72**, Cambridge University Press 1999
21. G. Roepstorff: hep-th/9907221
22. G. Roepstorff and Ch. Vehns: math-ph/9908029
23. N. Berline, E. Getzler, and M. Vergne, *Heat Kernels and Dirac Operators*, Springer Berlin Heidelberg 1992