

# Deformed Lorentz Symmetry and High-Energy Astrophysics (III)

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## Abstract

Lorentz symmetry violation (LSV) can be generated at the Planck scale, or at some other fundamental length scale, and naturally preserve Lorentz symmetry as a low-energy limit (deformed Lorentz symmetry, DLS). DLS can have important implications for ultra-high energy cosmic-ray physics (see papers physics/0003080 - hereafter referred to as I - , astro-ph/0011181 and astro-ph/0011182, and references quoted in these papers). A crucial question is how DLS can be extended to a deformed Poincaré symmetry (DPS), and what can be the dynamical origin of this phenomenon. In a recent paper ( hep-th/0208064 , hereafter referred to as II), we started a discussion of proposals to identify DPS with a symmetry incorporating the Planck scale (like doubly special relativity, DSR) and suggested new ways in similar directions. Implications for models of quadratically deformed relativistic kinematics (QDRK) and linearly deformed relativistic kinematics (LDRK) were also discussed. We pursue here our study of these basic problems, focusing on the possibility to relate deformed relativistic kinematics (DRK) to new space-time dimensions and compare our QDRK model, in the form proposed since 1997, with the Kirzhnits-Chechin (KCh) and Sato-Tati (ST) models. It is pointed out that, although the KCh model does not seem to work such as it was formulated, our more recent proposals can be related to suitable extensions of this model generalizing the Finsler algebras (even to situations where a preferred physical inertial frame exists) and using the Magueijo-Smolín transformation as a technical tool.

Together with II , this paper updates and further develops some aspects of contributions to the ICRC 2001 Conference, Hamburg August 2001 (Posters: 0G 092 , 0G 093 and HE 313).

## 1 Introduction

The idea of doubly special relativity, DSR (Amelino-Camelia, Benedetti and D'Andrea, 2002; Amelino-Camelia, 2001 and 2002; Bruno, Amelino-Camelia and Kowalski-Gilman, 2001), and its analysis in (Lukierski and Nowicki 2002) and other recent papers, have raised the

question of how far is it possible to associate the usual phenomenological models of deformed relativistic kinematics (DRK, see for instance: Gonzalez-Mestres, 1997a to 1997e, 1998, 2000a to 2000c) to more conventional formulations preserving Lorentz symmetry in specific reference systems. Magueijo and Smolin, 2001 and 2002, and the present author (Gonzalez-Mestres, 2002) have recently further explored the use of new transformations to relate a DRK universe to a formally conventional, relativistic one. As already emphasized in II, the existence in each physical inertial frame of such transformations for single-particle states does not by itself imply that they have the same form in all physical inertial frames as it is often postulated. Thus, a vacuum rest frame may still exist and DRK is not, in general, physically equivalent to DSR. The laws of physics are not necessarily the same in all physical inertial systems. But the Magueijo-Smolín transformation provides in any case a very useful tool to manipulate equations and discuss concepts in a DRK scenario.

It must be emphasized that our definition of deformed relativistic kinematics is phenomenological. By DRK and DLS or DPS we mean any pattern which tends to special relativity in the small-momentum scale but slightly deviates from it at finite momentum. Usually, the deviation becomes more important as energy increases, but remains small above some critical energy scale. The main phenomenological interest of a close study of DLS and DRK is that it can lead to observable physical predictions at energies well below the critical scale.

As in (Gonzalez-Mestres, 2002), we assume in this paper that a reference dynamical system (the "symmetric" system) exists with a standard Poincaré group. But the real physical reference system is a different one, where the energy and momentum conserved in the physical space-time do not correspond to those of the standard underlying Poincaré group. In the "physical" reference system, energy and momentum conservation is preserved. Energy is additive, as well as momentum, for a system of several non-interacting bodies. We also assume the existence of a physical vacuum rest frame, where everything is formulated in spite of the formal underlying Lorentz invariance in another reference system. Following Poincaré (1895, 1901, 1905), we interpret special relativity as the impossibility to identify the vacuum rest frame (VRF) by available physical measurements. As stressed in II, the question of energy and momentum conservation in the "symmetric" reference system (SRS) must be discussed keeping in mind that: a) the space-time is not the same as the "physical" space-time and does not commute with it; b) since the "physical" reference system (PRS) is the experimentally correct one (otherwise, everything would be simpler in the SRS), a fundamental instability must be at work in the SRS. This point will be discussed in a forthcoming paper.

The aim of this paper is to complete the discussion started in II about the  $U$  operators introduced by Magueijo and Smolin and the potentialities of this technique applied to our phenomenological DRK approach, and to compare the present models with previously existing ones.

As in II, we assume that the transition between the ideal "symmetric" reference system where Lorentz symmetry is preserved and the (experimentally natural) "physical" one is driven by a singular non-unitary operator which, for a single-particle state, has the form:

$$U(p_0, p_i, T, T_0, a) \quad (1)$$

and transforms a physical state  $|\psi\rangle$  (*physical*) into an object in the SRS,  $|\psi\rangle$  (*symmetric*) :

$$|\psi\rangle (\textit{symmetric}) = U |\psi\rangle (\textit{physical}) \quad (2)$$

where  $p_0$  is the energy,  $p_i$  stands for momentum in the three space directions,  $T$  is an intrinsic time scale associated to each particle,  $T_0$  is a universal time scale, and  $a$  is the fundamental length scale (e.g. the Planck length). The speed of light  $c$  and the Planck constant are taken to be equal to 1 . We ignore the problems raised by singularities but, as shown in II, it is likely that in most cases an equivalent unitary operator  $U'$  can be found. If a "physical" vacuum rest frame (PVRF) exists,  $U$  may also depend on a parameter related to a relative speed with respect to the equivalent SRS (the "symmetric" vacuum rest frame, SVRF), in which case the laws of Physics would not be the same in all physical inertial frames. The properties of space-time dimensions are also a crucial question and will be discussed in detail in paper IV of this series .

Following II, we write for the energy-momentum quadrivector operator:

$$p_\alpha (\textit{symmetric}) = U p_\alpha (\textit{physical}) U^{-1} \quad (3)$$

where "physical" stands for the measured energy and momentum, and "symmetric" for energy and momentum in the ideal symmetric frame (the SRS). The index  $\alpha = 0, 1, 2, 3$  corresponds to energy and to the three momentum coordinates, with the metrics (+, -, -, -). In what follows, we refer to space indices as  $i$  or  $j = 1, 2, 3$  .

## 2 Deformations and new space-time dimensions

In the "symmetric" reference system, we add, like in the Kaluza-Klein theory, an extra dimension described by the new momentum variable  $\sigma$  and, to illustrate our basic idea, write the equation of motion for free particles:

$$p_0^2 (\textit{symmetric}) = p^2 (\textit{symmetric}) + \sigma^2 (\textit{symmetric}) \quad (4)$$

where  $p^2 = \sum_{i=1}^3 p_i^2$  .

We then take  $U$  to be:

$$U(p_0, p_i, \sigma, T, T_0, a) = \exp [\ln (1 - b p^2 \sigma^{-1}) D_{space-2,\sigma}] \quad (5)$$

where  $b$  is a constant and  $D_{space-2,\sigma} = p^2 \partial/\partial p^2 + \sigma \partial/\partial \sigma$  a modified dilatation generator for the space and  $\sigma$  momentum dimensions. The symbol  $\ln$  stands for neperian logarithm. In the limit  $b \rightarrow 0$  , one has  $U \rightarrow 1$  . From (4) and (5), we get:

$$p_0 (\textit{symmetric}) = p_0 (\textit{physical}) \quad (6)$$

$$p^2 \text{ (symmetric)} = p^2 \text{ (physical)} [1 - b p^2 \text{ (physical)} \sigma^{-1} \text{ (physical)}] \quad (7)$$

$$\sigma \text{ (symmetric)} = \sigma \text{ (physical)} - b p^2 \quad (8)$$

As in II, we take  $p_\alpha$  for  $p_\alpha \text{ (physical)}$ ,  $\sigma$  for  $\sigma \text{ (physical)}$ , and use the hamiltonian equation in the symmetric reference system expressed, in the physical system, by the relation:

$$p_0^2 = p^2 (1 - b p^2 \sigma^{-1}) + (\sigma - b p^2)^2 \quad (9)$$

If, in the symmetric system, we identify the constant value of  $\sigma$  with the mass of the particle, we get in the physical system:

$$\sigma = \sigma_0 + b p^2 \quad (10)$$

where  $\sigma_0 = T^{-1} m$ ,  $m$  being the mass. When applied to (9), equation (10) gives:

$$p_0^2 = p^2 + \sigma_0^2 - b p^4 (\sigma_0 + b p^2)^{-1} \quad (11)$$

where, taking  $b$  to be very small, we get the main QDRK model considered in our previous papers. Our model generalizes the standard Kaluza-Klein theory to a situation with new interactions producing a DRK scenario in the physical world. Following the method proposed in II, the  $U$  operator used can be made unitary. To do this, we write:

$$p^2 \partial/\partial p^2 = 1/2 p \partial/\partial p = 1/2 D_{space} \quad (12)$$

where  $D_{space} = \sum_{i=1}^3 p_i \partial/\partial p_i$ . From (5) and (12) we get:

$$U' = \exp [\ln (1 - b p^2 \sigma^{-1}) (D_{space-2,\sigma} - 5/4)] \quad (13)$$

Our previous analysis of DRK (see papers I and II, and references therein) indicates that  $b$  must not be a universal parameter but an operator depending on the properties of the object under consideration. For large bodies, we must have:  $b \simeq b_0 \sigma_0^{-1}$  where  $b_0$  is a universal constant proportional to  $T_0^2 a^{-2}$  (see II).

A version of LDRK can also be obtained by writing instead of (5):

$$U(p_0, p_i, \sigma, T, T_0, a) = \exp [\ln (1 + b^L p \sigma^{-1}) D_{space-2,\sigma-2}] \quad (14)$$

where  $b^L$  is a constant and  $D_{space-2,\sigma-2} = p^2 \partial/\partial p^2 + \sigma^2 \partial/\partial \sigma^2$  another modified dilatation generator for the space and  $\sigma$  momentum dimensions.. This leads to the equations:

$$p^2 \text{ (symmetric)} = p^2 \text{ (physical)} [1 - b^L p \text{ (physical)} \sigma^{-1} \text{ (physical)}] \quad (15)$$

$$\sigma \text{ (symmetric)} = \sigma_0 = \sigma \text{ (physical)} - b^L p \text{ (physical)} \quad (16)$$

where, as before,  $\sigma_0$  is a constant and, with the choice:  $\sigma_0 = T^{-1} = m$  (mass of the particle), we get in terms of "physical" variables:

$$p_0^2 = p^2 + m^2 - b^L p^3 (m + b^L p)^{-1} \quad (17)$$

$$\sigma = m + b^L p \quad (18)$$

For large bodies,  $b^L$  must be a universal constant proportional to  $T_0 a^{-1}$ . The corresponding unitary operator is:

$$U' = \exp [\ln (1 - b^L p \sigma^{-1}) (D_{space-2, \sigma-2} - 1)] \quad (19)$$

In equations (11) and (17), an important change occurs when  $p$  becomes large enough so that  $b p^2$  or  $b^L p$  is much larger than  $m$ . Then, both equations tend to a limit given by the equation  $E^2 = m^2$ . In the case of equation (11), the maximum of energy is attained in the region  $p^2 \sim m b^{-1}$ . If the particle under consideration is a proton, the maximum attainable energy can be at  $\sim 10^{28} \text{ eV}$  (the Planck energy) if  $b^{-1} \sim 10^{47} \text{ eV}$ , which means that  $p^4 \sim b^{-1} m^3$  at  $p \sim 3 \cdot 10^{18} \text{ eV}$ . This is an acceptable value from a phenomenological point of view, but lower values of  $b$  are also permitted (see I and references therein). QDRK is thus perfectly compatible with DSR. The same analysis for LDRK leads to  $(b^L) \sim 10^{-19}$  for a proton, and in this case to  $p^3 \sim (b^L)^{-1} m^3$  for  $p \sim 2 \cdot 10^{15} \text{ eV}$ . This value seems too low for viable phenomenology, as its implications should already have been observed. Phenomenological problems of LDRK were discussed in I and in (Gonzalez-Mestres, 2000a).

### 3 A deformed parton picture

With the conventional version of DRK, the parton model does not hold at energies where the deformation term becomes larger than the mass term. If the mass can be considered as a rest energy shared by the partons, the deformation term cannot be dealt with in the same way if its coefficient is a constant depending on the nature of the particle and the partons obey the same kinematical laws as if they were free particles. A possible way out was suggested in II, assuming that the coefficient of the deformation term is related to a new momentum dimension. If, in (11),  $b$  has the properties of an inverse momentum,  $b = h \eta^{-1}$ , where  $h$  is a dimensionless constant and  $\eta$  the new momentum coordinate, we can assume  $\eta$  to be shared among the partons. This makes the parton picture consistent. More precisely, we can write in the SRS :

$$p_0^2 (\text{symmetric}) = p^2 (\text{symmetric}) + \sigma^2 (\text{symmetric}) + \eta^2 (\text{symmetric}) \quad (20)$$

and, using the transformation:

$$U(p_0, p_i, \sigma, T, T_0, a) = \exp [\ln (1 - b^\eta p^2 \eta^{-2}) D_{space-2, \eta-2}] \quad (21)$$

where  $b^\eta \simeq h$  is a constant and, as before for  $\sigma$ ,  $D_{space-2, \eta-2} = p^2 \partial/\partial p^2 + \eta^2 \partial/\partial \eta^2$  is a new modified dilatation generator for the space and  $\eta$  momentum dimensions, get:

$$p_0 (\text{symmetric}) = p_0 (\text{physical}) \quad (22)$$

$$p^2 (\text{symmetric}) = p^2 (\text{physical}) [1 - b^\eta p^2 (\text{physical}) \eta^{-2} (\text{physical})] \quad (23)$$

$$\sigma (\text{symmetric}) = \sigma (\text{physical}) \quad (24)$$

$$\eta^2 (\text{symmetric}) = \eta^2 (\text{physical}) - b^\eta p^2 (\text{physical}) \quad (25)$$

and, in the PRS :

$$p_0^2 = p^2 - b^\eta p^4 \eta^{-2} + \sigma^2 + \eta^2 - b^\eta p^2 \quad (26)$$

and, taking in the SRS the squared mass of the particle to be given by the expression:

$$m^2 = \sigma_0^2 + \eta_0^2 \quad (27)$$

where  $\sigma_0$  and  $\eta_0$  are the constant values of  $\sigma$  and  $\eta$  in the "symmetric" system, we get in the PRS :

$$p_0^2 = p^2 - b^\eta p^4 (\eta_0^2 + b^\eta p^2)^{-1} + m^2 \quad (28)$$

$$\eta^2 = \eta_0^2 + b^\eta p^2 \quad (29)$$

A consistent parton picture is then obtained if the partons share simultaneously all the components of the six-dimensional momentum:  $p_0$  ,  $p_i$  ,  $\sigma$  and  $\eta$  .

Taking  $\sigma_0 = 0$  ,  $m^2 = \eta_0^2$  and  $b^\eta \simeq (a T_0^{-1})^2 \ll 1$  , we naturally get a consistent additive QDRK for large bodies, the deformation term being proportional to  $p^2 (p m^{-1})^2$  and  $p m^{-1}$  being a function of the velocity (see I , II and references therein). The same result is obtained if  $\sigma_0$  is not zero but does not rise like the mass of the object under consideration or if  $\sigma_0 \eta_0^{-1}$  tends to a universal value for large bodies.

If, instead, we take  $b^\eta \simeq \rho (a \eta_0)^2$  , where  $\rho$  is a universal constant, we get models of the kind:

$$p_0^2 = p^2 - \rho a^2 p^4 (1 + \rho a^2 p^2)^{-1} + m^2 \quad (30)$$

where the coefficient of the deformation term is universal and the values of  $\sigma_0$  and  $\eta_0$  are restricted only by equation (27). Such models can be well suited in the elementary particle domain and  $\eta_0$  can be very small (smaller than the photon mass), the mass of the elementary particle being basically given by  $\sigma_0$  .

In a parton picture, the value of  $\eta_0$  as a momentum integration constant in (28) is then to be multiplied by the fraction of momentum carried by the parton, but not in the expression  $b^\eta \simeq \rho (a \eta_0)^2$  where  $\eta_0$  plays the role of a dynamical constant having the same value for the "elementary" particle (e.g. a nucleon) and for its constituents (e.g. quarks and gluons), leading to the equation:

$$p_0^2 = p^2 - \rho a^2 F^{-2} p^4 (1 + \rho a^2 F^{-2} p^2)^{-1} + m^2 \quad (31)$$

where  $F$  stands for the fraction of the total momentum, and the composite object would obey a DRK with the same value of  $h$  as the partons.

More generally, we can extend DRK to a larger family of models by writing for large bodies:

$$b^\eta \simeq (a T_0^{-1})^\lambda \quad (32)$$

and, for elementary particles:

$$b^\eta \simeq \rho a^\lambda T_0^2 - \lambda \eta_0^2 \quad (33)$$

where the exponent  $\lambda$  can be set independently of that of  $p$  in the deformation term,  $\lambda$  being a real number between 0 and 2 to be determined on phenomenological grounds. Although it may seem natural that  $\lambda$  have the same value in (32) and (33), there is no compelling reason for this to be the case. We therefore can, even more generally, write:

$$b^\eta \simeq (a T_0^{-1})^{\lambda_1} \quad (34)$$

for large bodies and:

$$b^\eta \simeq a^{\lambda_2} T_0^2 - \lambda_2 \eta_0^2 \quad (35)$$

for elementary particles.

## 4 The Kirzhnits-Chechin model

At this stage, it seems necessary to remind and discuss in detail the Kirzhnits-Chechin model, as it was the first to consider a "physical" reference system and a "symmetric" one. Even if it turns out that the model cannot be used such as it was formulated, it turns out that our more recent proposals since 1997, combined with the Magueijo-Smolín transformation, allow for consistent generalizations of the model leading to viable phenomenology and to useful theoretical concepts.

Kirzhnits and Chechin suggested long ago (19971 and 19972) that the absence of Greisen-Zatsepin-Kuzmin (GZK) cutoff could be explained by models where special relativity was replaced by a new formulation based on the Finsler space, where the usual relation  $p_0^2 = p^2 + m^2$  being replaced by:

$$f(p_\alpha) (p_0^2 - p^2) = m^2 \quad (36)$$

where  $f(p_\alpha)$  is a homogeneous positive function of the four momenta of zero degree. These authors used:

$$f(p_\alpha) = f(\xi) \quad (37)$$

where:

$$\xi = [p^2 (p_0^2 - p^2)^{-1}] \quad (38)$$

$f(0) = 1$  and  $f$  was supposed to tend to some constant  $f(\infty)$  in the range 0.01 - 0.1 as  $\xi \rightarrow \infty$ . This amounts to a shift of the effective squared mass by a factor 10 to 100 above some critical value of  $\xi$ . The dispersion relation for the photon was assumed to have no deformation, and  $f$  was taken to be  $f(p_\alpha) = f(\infty)$  for this particle. For a massive particle, it is assumed that  $f$  can be expanded as:

$$f(\xi) \simeq 1 - \alpha \xi^2 + \dots \quad (39)$$

leading, to a first sight, to models close to QDRK. For the proton, the term  $\alpha \xi^2$  becomes  $\approx 1$  at  $E_p \approx 10^{20} \text{ eV}$  if  $\alpha \approx 10^{-44}$ .

Noticing that the four-momentum  $p_\alpha^*$  defined as:

$$p_\alpha^* = [f(\xi)]^{1/2} p_\alpha \quad (40)$$

transforms like a four-vector under Lorentz transformations, Kirzhnits and Chechin considered central collisions of ultra-high energy protons with microwave background radiation photons. The thermal spectrum, usually described in the laboratory frame (supposed to be close to the rest frame suggested by the microwave background radiation spectrum) by the Planck distribution  $H = \exp[-E_\gamma (k T_B)^{-1}]$  where  $E_\gamma$  is the photon energy,  $T_B$  the microwave background radiation temperature and  $k$  the Boltzmann constant, becomes in the rest frame of the incoming proton a modified distribution  $H_p$  given by:

$$\ln H_p = - E_\gamma m [2 E_p k T_B]^{-1} [f(\xi_p)]^{-1/2} \quad (41)$$

$\xi_p$  being the value of  $\xi$  for the incoming proton in the laboratory frame and  $m$  the proton mass. Because of the factor  $[f(\xi_p)]^{1/2}$ , the photon energies are smaller than expected and pion photoproduction via the  $\Delta$  resonance is inhibited, as the resonance can no longer be formed. A similar argument can be formulated in the laboratory rest frame, noticing the shift by a factor 10 to 100 of the effective squared masses of pions and nucleons.

The Kirzhnits-Chechin (Kch) model can be incorporated into the operator formalism by writing:

$$2 \ln U(p_0, p_i, \sigma, T, T_0, a) = \ln f(\xi) D_{space-time} \quad (42)$$

where  $D_{space-time} = \Sigma_\alpha p_\alpha \partial/\partial p_\alpha$  and  $p_\alpha$  (*symmetric*) is to be identified with  $p_\alpha^*$ . The corresponding unitary operator is:

$$2 \ln U'(p_0, p_i, \sigma, T, T_0, a) = \ln f(\xi) (D_{space-time} - 2) \quad (43)$$

and, for large bodies, it agrees with our proposal (see I and II) that the coefficient of the quadratic deformation be proportional to  $m^{-2}$  in order to guarantee the consistency of the kinematics. Like our models, the KCh model assumes the  $p_\alpha$ 's to be conserved and additive for free particles. But, contrary to our models, it leads to the same property for elementary particles. It was actually with this assumption that Kirzhnits and Chechin proposed their model to be a solution to the puzzle generated by the experimental absence of GZK cutoff. However, it seems to us that the KCh model so defined may suffer from some pathologies. Actually, these authors did not completely write down an explicit example of their model, so that its consistency has never been proven.

A typical formulation of the KCh model can be:



$$f(\xi) = (1 + \alpha_1 \xi^2)(1 + \alpha_2 \xi^2)^{-1} \quad (44)$$

where  $\alpha_2 \approx 10^{-44}$  and  $\alpha_1 \approx 10^{-45}$  to  $10^{-46}$ , so that, as conjectured by Kirzhnits and Chechin,  $f(\infty)$  is in the range 0.01 - 0.1. We then have:

$$(1 + \alpha_1 \xi^2)(p_0^2 - p^2) = m^2(1 + \alpha_2 \xi^2) \quad (45)$$

which leads to the equation:

$$[(p_0^2 - p^2)^2 + \alpha_1 p^4](p_0^2 - p^2) = m^2[(p_0^2 - p^2)^2 + \alpha_2 p^4] \quad (46)$$

and, with a trivial approximation, to:

$$[(p_0 - p)^2 + \alpha_1 p^2/4](p_0 - p) \simeq m^2(2p)^{-1}[(p_0 - p)^2 + \alpha_2 p^2/4] \quad (47)$$

that can be solved by standard methods to obtain  $p_0$  as a function of  $p$ . Another way to handle the equation would be to write:

$$\epsilon = 2(p_0 - p)m^{-2}p \quad (48)$$

$$[\epsilon^2 m^4 + \alpha_1 p^4]\epsilon \simeq \epsilon^2 m^4 + \alpha_2 p^4 \quad (49)$$

leading to :

$$\alpha_2 (p/m)^4 \simeq \epsilon^2 (\epsilon - 1)(1 - \epsilon \alpha_1 \alpha_2^{-1})^{-1} \quad (50)$$

and use this equation to illustrate with a few numerical values the instability of the ultra-high energy proton in the conditions of the KCh model. Taking  $\alpha_1 \alpha_2^{-1} = 0.1$  and comparing the values of  $p$  for  $\epsilon = 2$  and  $\epsilon = 2.5$ , we readily get:

$$\alpha_2 (p/m)^4 (\epsilon = 1.43) \simeq 1.02 \quad (51)$$

$$\alpha_2 (p/m)^4 (\epsilon = 2) \simeq 5 \quad (52)$$

$$\alpha_2 (p/m)^4 (\epsilon = 2.5) \simeq 12.5 \quad (53)$$

$$\alpha_2 (p/m)^4 (\epsilon = 5) \simeq 200 \quad (54)$$

$$\alpha_2 (p/m)^4 (\epsilon = 8) \simeq 2240 \quad (55)$$

$$\alpha_2 (p/m)^4 (\epsilon = 9.5) \simeq 15342.5 \quad (56)$$

so that:

- The ratio  $[\alpha_2 (p/m)^4 (\epsilon = 2)]^{1/4} [\alpha_2 (p/m)^4 (\epsilon = 1.43)]^{-1/4} = 1.488$  differs only by 6% from the ratio between the two values of  $\epsilon$ , and the difference between two values of  $p_0 - p$  will be of the same order. But the two momenta differ by 50%. Absorbing a  $\simeq 4 \cdot 10^{-4}$  eV microwave background photon in the laboratory system will allow the  $\simeq 1.5 \cdot 10^{11}$  m proton to emit a  $\simeq 0.5 \cdot 10^{20}$  eV photon.

- Again, the ratio  $[\alpha_2 (p/m)^4 (\epsilon = 2.5)]^{1/4} [\alpha_2 (p/m)^4 (\epsilon = 2)]^{-1/4} = 1.2574$  differs only by 6% from the ratio between the two values of  $\epsilon$ , and so will the two values of  $p_0 - p$ . The

two momenta differ by 26% . Absorbing a  $\simeq 8 \cdot 10^{-4} \text{ eV}$  microwave background photon in the laboratory system will be enough for the  $\simeq 1.9 \cdot 10^{11} \text{ m}$  proton to emit a  $\simeq 0.3 \cdot 10^{20} \text{ eV}$  photon.

- For  $\epsilon = 5$  , we get  $[\alpha_2 (p/m)^4 (\epsilon = 5)]^{1/4} [\alpha_2 (p/m)^4 (\epsilon = 2)]^{-1/4} = 2.06$  , so that the value of  $p_0 - p$  will be 2.5 times higher for  $\epsilon = 5$  , as compared to  $\epsilon = 2$  , and a  $3 \cdot 10^{11} \text{ m}$  proton can spontaneously emit a  $\simeq 1.4 \cdot 10^{20} \text{ eV}$  photon.

- For  $\epsilon = 8$  , we get  $[\alpha_2 (p/m)^4 (\epsilon = 8)]^{1/4} [\alpha_2 (p/m)^4 (\epsilon = 2)]^{-1/4} = 4.6$  , so that the value of  $p_0 - p$  will be 1.74 times higher for  $\epsilon = 8$  , as compared to  $\epsilon = 2$  , and a  $7 \cdot 10^{11} \text{ m}$  proton can spontaneously emit a  $\simeq 5.5 \cdot 10^{20} \text{ eV}$  photon.

- For  $\epsilon = 9.5$  , we get  $[\alpha_2 (p/m)^4 (\epsilon = 9.5)]^{1/4} [\alpha_2 (p/m)^4 (\epsilon = 2)]^{-1/4} = 7.44$  , so that the value of  $p_0 - p$  will be 1.28 times higher for  $\epsilon = 9.5$  , as compared to  $\epsilon = 2$  , and a  $8.9 \cdot 10^{11} \text{ m}$  proton can spontaneously emit a  $\simeq 7.4 \cdot 10^{20} \text{ eV}$  photon.

In all these cases, pion emission, instead of photon emission, is also possible and, not only the GZK cutoff is at work, but the ultra-high energy proton becomes unstable and decays to a lower energy proton. The situation would be even worse taking  $\alpha_1 \alpha_2^{-1} = 0.01$  . We therefore conclude that such a model would be of no practical use in the UHECR region. Thus, the question is how general are these diseases of the KCh model. To answer this question, we notice that:

$$\epsilon = [f(\xi)]^{-1/2} \quad (57)$$

so that  $p_1^* < p_2^*$  for  $p_1 > p_2$  if  $\epsilon(p_1) [\epsilon(p_2)]^{-1} > p_1 p_2^{-1}$  . If such a situation occurs, it means that in the region under consideration there are at least two possible values of  $p$  for a given value of  $p^*$  , and that the highest value of  $p$  corresponds to an unstable state in the "physical" reference system. To avoid such an instability, we must require that:

$$d \ln [f(\xi)] / d \ln p > -2 \quad (58)$$

so that  $[f(\xi)]^{-1/2}$  cannot grow faster than  $p$ . However, if this is the case, the factor  $E_p [f(\xi p)]^{1/2}$  in (59) has a lower bound and the GZK mechanism cannot be inhibited at ultra-high energies. A similar criticism can be opposed to the phenomenological analysis presented by Chechin and Vavilov, 1999 , which directly uses asymptotic values for the  $f$  function considered. From the form of the condition (59), it follows that the problem raised is quite general and independent of the asymptotic value of  $f$  at large  $\xi$  .

However, the models suggested in II and in the present paper can be regarded as generalizations of the KCh model in the sense that, with the Magueijo-Smolín transformation, modified dilatation operators can be introduced multiplied by functions of variables which are scalars with respect to these dilatations. Thus, the Finsler space idea is generalized to a much larger family of models and spaces, including situations where a preferred inertial frame exists.

## 5 The Sato-Tati model

In 1971, Sato et Tati (1972) proposed, as a solution to the UHECR puzzle, the existence of a preferred reference frame and the impossibility for hadronic matter to exist above a value of the Lorentz factor  $\simeq 10^{11}$  with respect to this frame. This model involves a very strong dynamical assumption on the production of hadronic matter at ultra-high energy that is not present in our models. Rather than with the structure of space-time, this model seems to be concern with the dynamical properties of vacuum in our Universe and of hadronic matter. Even with a privileged vacuum rest frame, the Sato-Tati model (ST) can perfectly well incorporate exact relativistic kinematics and have only a sharp dynamical threshold for the inhibition of hadronic particle production.

Sato and Tati assume that there is a maximum allowed value of  $E/m$ ,  $\gamma_{max}$ , for hadronic matter and that, even if the cutoff is not sharp and may have a long tail, it is enough to sharply reduce the production probability of hadrons above  $\gamma_{max}$ . However, it is not obvious why  $2.10^{19}$  eV pion production would be suppressed when a  $E \simeq 10^{20}$  eV proton hits microwave background radiation, but the production of  $3 \cdot 10^{20}$  eV protons would not be inhibited in acceleration processes or decays of ultra-heavy particles.

Furthermore, the suppression itself is unclear, as  $E \simeq 10^{20}$  eV would in any case be an acceptable energy for a  $\Delta$  resonance. The  $m^2 (2p)^{-1}$  mass term for a  $E \simeq 10^{20}$  eV proton is  $\simeq 8.8$  times larger than its equivalent for a  $2.10^{19}$  eV pion and  $\simeq 4.4$  times larger if the pion energy is  $10^{19}$  eV ( $m^2 (2p)^{-1} \simeq 10^{-3}$  eV). And, even if the emission of a neutral pion is forbidden by the  $\gamma_{max}$  cutoff, this has no reason to be the case for its two-photon decay product. In most scenarios, it seems possible to preserve the GZK cutoff if the Sato-Tati cutoff on hadronic matter does not affect  $10^{19}$  eV pions or photons.

However, the question of whether hadronic matter can exist above some critical value of  $E/m$  in the vacuum rest frame is a fundamental one. Some aspects of this problem will be discussed in a forthcoming paper.

## 6 Conclusion and comments

The method presented here can be made quite general by introducing new space-time dimensions as well as a large family of generalized dilatation operators, and using in particular, in the  $U$  operators, expressions which are scalars with respect to these generalized dilatations. If necessary, a vacuum rest frame can also be introduced together with a set of  $U$  operators depending explicitly on the speed of the particle with respect to this frame.

With this technique, QDRK and LDRK models can be produced, Dirac equations can be deformed in a similar way and calculations are rather easy. The new approach provides a quite large extension of the previous KCh model based on Finsler algebras and, although the original ansatz by Kirzhnits and Chechin does not seem to work, the kind of generalization

proposed here and in II allows to include in the pattern the DRK models we developed since 1997 and which seem well-suited for phenomenology.

Thus, the Magueijo-Smolín technique turns out to be extremely useful to discuss patterns of Lorentz symmetry violation. Properties of space-time are also a crucial item, and a complete study is in progress in view of phenomenological applications.

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