

# A short lecture on Divergences

A. Rivero \*

April 25, 2020

## Abstract

We present some clues to the study of the renormalization group, at graduate level, as well as some bibliographical pointers to classical resources. Just the kind of things one had liked to hear when starting to study the subject.

This was going to be a notice on “recent advances on renormalization group theory”, from which advanced students can get bibliography to please their teachers. But I happened to visit Jacques Gabay’s printing house, and I decided to take a wider view. At least, wider than usual treatises on Quantum Field Theory. Gabay’s mission is to keep in print old mathematics texts from the late XIXth and early XXth, and his work helps to keep the perspective.

Fact is, all generations of physicists since Euler times have been used to live with divergences. Students are supposed to become exposed to the subject gradually, but this gradation varies strongly across schools and faculties, and the balance keeps more in the room of Cauchy than in Borel quarters. There is even a darker side, about if everything which is legal in Mathematics should be legal in Physics, but this question keeps usually in the philosophical level. Still, one should point that classical mechanics, the science of newtonian limits, is mathematically legal but physically ruled out!

The usual scenario for divergences is: we have a differential equation. We look for a solution from power series expansion. Most times, the solution is known to exist, say from Picard’s fixed point method, say from other convergence theorem. But we are forced to pick up a power series expansion on the “wrong” parameter, so that the convergence radius is zero. Or (change  $x > 1/2$  if necessary) we could to know only a asymptotic series around infinity. Poincare treatises are the first ones showing all of this.

Note, still, that we are here in a purely classical matter. We can do perturbation theory with a small coupling constant in a perturbation potential, and then expand the solution as a power series of the coupling constant. The series diverges, and then we have only an asymptotic series. Borel transform, or other resummation techniques, can be invoked to get a better expansion. Sometimes even a convergent series is known, for instance for the three-body problem (which, remember, is chaotical respect to small perturbations), but even then it can happen that the divergent series is faster than the convergent one for a given level of precision!

This kind of problems when solving perturbation series is thus expected to appear also in quantum mechanics and quantum field theory. In fact, an

---

\*EU Politecnica de Teruel, Universidad de Zaragoza

argument from Dyson [8] shows that the perturbative expansion of quantum electrodynamics will give place to a divergent series of this kind. This is not to be confused with renormalizability questions, which will refer to each term in this expansion.

A interesting detail of the quantum approach is that it does not start from the differential equation, but from the action principle. This is a puzzling thing, because the action principle is an integral between two conditions, and it must be connected to a local differential equation. It seems that quantum theory has an alternate way to go from the lagrangian, in the action law, to the hamiltonian, in Schroedinger equation; it is very suggestive to read this derivation in the original article of Feynman[10]. Later, Schwinger and Dyson produced a way to deduce, from variation of a quantum action, the canonical equations of quantum theory. In any case, calculation efficiency suggest to start always from perturbations of the action, and this is the engine who drives to diagrammatics.

Also, we have a richer set of expansions when going to quantum theory. Besides the perturbative method around a coupling constant, we have the possibility to expand on Plank constant. In QM, the Schroedinger equation is written in Ricatti form,

$$i\hbar \frac{d\xi}{dx} + 2m(E - V) - \xi^2 = 0$$

and then we expand

$$\xi = \sum \xi_n(x)(\hbar/i)^n$$

AFC [1] refer to this as the eikonal expansion (This change of variables is characteristic also of the Hoft algebra of diffeomorfisms used by Connes and Kreimer). And the diagrams give still other expansion; if we are in QFT with only a coupling constant, then a series on Plank constant coincides with the expansion on number of loops. But for the general case, we have still this third possibility, on the number of loops of each Feynman diagram.

Besides perturbations, one could expect also divergences for bad shaped, singular, potentials, but their study has been bypassed by history. Still, it is worth to mention that the gravitating n-body problem can be formulated -and solved- including collisions throught the singularities.

Well, this was the world of our grand-grandfathers in the first decades of XXth century. Then it came a more painful source of divergences. Not only happened that the perturbation expansion was around bad places, but also that every term in the expansion happened to contain a divergence of his own. As the effect relates to the indeterminate creation of virtual particles, this is the thing we are expected to learn in any QFT course. Still, it is worth to mention the three steps in taking control of this source of problems:

–First, Feynman, Dyson and Schwinger got to recognize how the divergence can be absorbed in the coupling constant in a consistent form. This comes from a lot of previous experience on considering the cloud of virtual particles around a singular charge, and the real surprise is that just a finite number of coupling constants can adjust for all the divergences.

–Second, Gellman and Low[11], in a paper of compulsory reading, identify a general method to predict the renormalized values without entering in detailed calculation: the renormalization group. Asymptotical analysis get here a completely new meaning.

–Third, Kadanoff, Wilson and Kogut recognize the renormalization group as a question of scaling between different orders of magnitude. The study of fixed points, and perturbations around them, connects this approach with the previous results.

In the first step, the problem is traced to a limit when  $x \rightarrow y$  goes to zero. In the second step, the bug is transformed into a feature, and general properties of this  $x \rightarrow y$  divergence are systematised. In the third step, the question of existence of scales is related with the problem of continuum limit of a lattice. A renormalization “semigroup”, decimating degrees of freedom, is sketched, and Wilson shows how it can be related to the previous G-L group. A fourth step could be the finding of asymptotically free theories; this is standard lore in your textbooks.

Wilson’s approach has the merit of making very explicit the role of the cut-off that regularises the theory. In a renormalisable theory, this cut-off is removed after the manipulations and then the Gellman-Low equation (and Callan-Simanzik) become apparent. It is possible to keep the cut-off and to do calculations with an “effective” theory, even if it is not renormalisable. Feelings about this can be mixed. One parallel which can be invoked is the origin of quantum mechanics: The Planck constant did appear in physics as a mean to regularise the energy distribution, then getting finite results where the Rayleigh-Jeans theory was divergent. Also other cut-off techniques are natural in thermodynamics; in any case it is very delicate to extend these similtudes, as one must distinguish between ultraviolet and infrared divergences; in this presentation we are almost forgetting the IR ones.

After recognising the role of  $x \rightarrow y$  in the divergences, it is more natural to ask “what about QM?” in the straightforward formulation as  $0+1$  dimensional field theory. Of course, power counting in a  $0+1$  theory shows that any polynomial interaction will be free of divergences (in the sense of renormalization). But it is very interesting to study the role of  $t_1 \rightarrow t_0$  from the point of view of scaling and renormalization theory. This exercise was published by Polonyi[15] in 1993<sup>1</sup>.

It is not only QM, by the way. The ideas coming from renormalization group, and perhaps the renormalization group itself, descent back to the theory of classical evolution of differential equations. There, dimensional analysis and scaling got a fresh air from both Wilson and GellMann-Low techniques. We could mention the works of Goldenfeld-Chen- Oono[9], as well as the ones of Kunihiro, while AFC describes some previous attempts middle way between classical and quantum. Also the original question of resummation techniques (by changing the coefficients in the perturbative expansion) get some help from this, even if it sounds strange, it seems it is possible to mimic QFT and to use the techniques of control of coefficients (the RG) to control the whole expansion.

In the mean time, since Wilson’s age, the renormalization group had been rigorized from the point of view of mathematical physics. This task was accomplished by Bogoliugov, who worked out both the distributional character of the theory and the mechanics of the subtraction process. The approach summarized into the BPHZ method, and additionally some more analytical part of this research drove to the formulation of Epstein and Glaser. This was all the rigour a physicist could even desire. The surprise come recently when Connes and

---

<sup>1</sup>Tarrach in Barcelona, as well as Boya and myself in Zaragoza, did took some interest on different views of the renormalization process of QM

Kreimer found a rigorization from pure mathematics. Kreimer got a method to map Feynman diagrams to rooted trees, and it happened that this method formulated a Hoft algebra, nice enough to hold the group structure of renormalization.

And this surprise came with a message from calculus, when it was noticed, by Brouder[4], that the Hoft algebra of trees was the same technique that had been used by Butcher in the seventies, to classify the numerical Runge Kutta methods! We can understand why if we look from a very general point of view, stratification of compactified configuration spaces, as Kreimer explains lately. Or we can go back in the time, when the series expansion of the solutions of a differential equation was classified by labelling each term with a Cayley tree.

Start from a differential equation  $x' = F(x)$ . One want to get the series  $x(t) = x_0 + x_1 t + x_2 t^2 + \dots$ , and it is straightforward that we can proceed by derivating the original equation to get first

$$x'' = F'(x)x' = F'(x)F(x)$$

then

$$x''' = F''(x)(x')^2 + F'(x)x'' = F''F^2 + F'F'F$$

and so on. The increasing complexity of the expansion can be tamed by using rooted trees to describe each term[7].

The same technique is used to tame the terms in a QFT expansion and to recursively apply the renormalization, so it seems we are again approaching in the domain of the theory of differential calculus. If the circle finally closes, we will have learn some very deep lessons on the structure of calculus and its interaction with physics.

## References

- [1] G.A Arteca, F.M. Fernandez, E.A. Castro, *Large Order Perturbation Theory and Summation Methods in Quantum Mechanics*, Lecture Notes In Chemistry, 53, Springer
- [2] E. Borel, *Lessons sur las series divergentes*, ed. Gautier-Villars, 1928 (reprinted editions Jacques Gabay)
- [3] E. Brezin, J.C. LeGuillou, J. Zinn-Justin, *Perturbation theory at large Order, I et II*, Phys Rev D, v. 15, p. 1544 and Phys Rev D v. 15, p. 1558
- [4] Ch. Brouder, Runge-Kutta methods and renormalization, European Physical Journal C v. 12, p. 521-534
- [5] J.C. Butcher, *An Algebraic Theory of Integration Methods* Math. Comp. v. 26, p. 79
- [6] For instance A. Connes and D. Kreimer hep-th/9912092, as well as D. Kreimer q-alg/9707029 and hep-th/0010059
- [7] Alain Connes and Dirk Kreimer, *Lessons from Quantum Field Theory*, hep-th/9904044
- [8] F.J.Dyson, Phys Rev 85, p. 631

- [9] LY Chen, N. Goldenfeld, Y. Oono, Phys. Rev. E, v. 54 p. 376
- [10] Feynman, *Space-Time Approach to NR Quantum Mechanics* Rev Mod Phys 20, p. 367
- [11] M. Gell-Mann and F.E. Low *Quantum Electrodynamics at Small Distances*, Phys. Rev. v. 95, p. 1300
- [12] G t'Hooft, Nucl Phys B 35, p. 167; G. t'Hooft and M.Veltman, Nucl. Phys. B44 p. 189
- [13] D.J. Broadhurst and D. Kreimer, *Renormalization tamed: 30 loop Pade Borel Resumation*, hep-th/9912093
- [14] T. Kunihiro, for instance hep-th/9505166 and hep-th/9801196
- [15] Polonyi, arxiv:hep-th/9409004, hep-th/9412042 and hep-th/9711061
- [16] Tim R. Morris, hep-th/9802039