

# **University of Stuttgart**

Cluster of Excellence in Data-integrated Simulation Science

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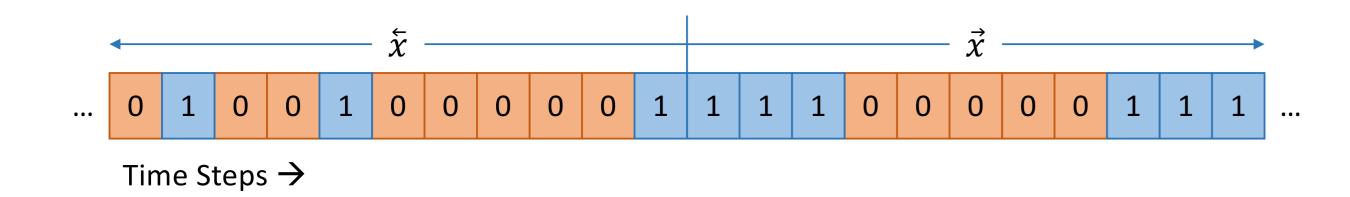
Low-rank approximations of unitary simulators for stochastic processes

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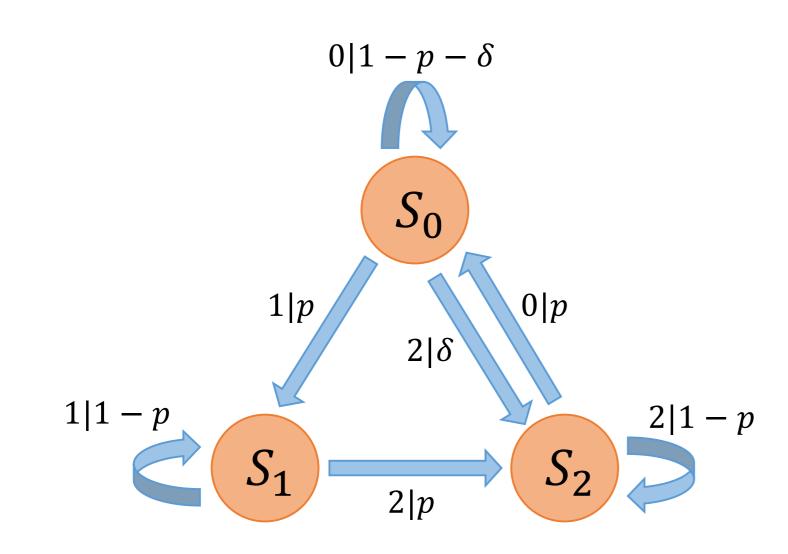
#### **Stochastic Processes**

We consider bi-infinite stationary discrete-time stochastic processes with

- ullet a sequence of random variables  $X_t \in \mathbb{N}$  with  $t \in \mathbb{Z}$
- the past defined as  $X := \dots, X_{-2}, X_{-1}$
- the *future* defined as  $\overrightarrow{X} := X_0, X_1, \dots$
- a governing joint probability distribution P(X, X)
- a conditional distribution  $P(\overline{X}|\overline{X})$ , given a specific past instance  $\overline{X}$



#### **Example Process**



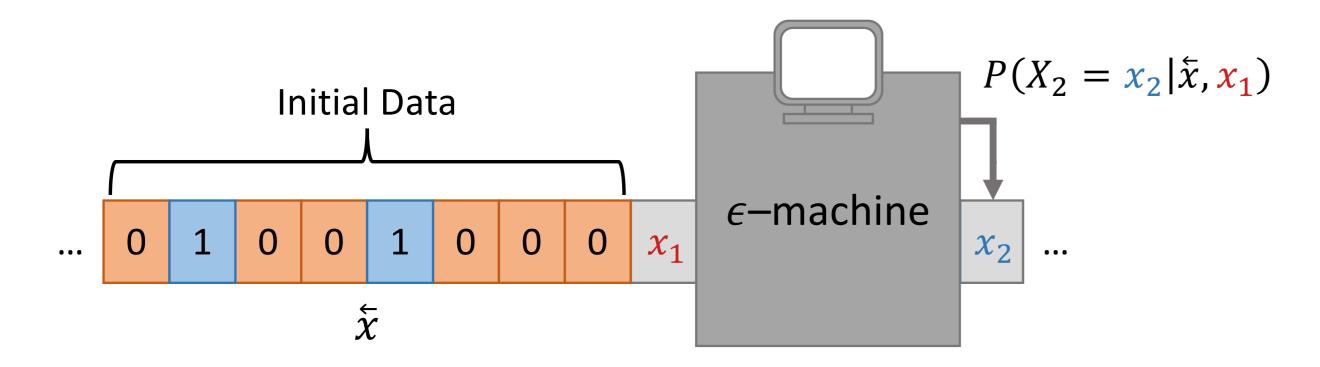
Graphical representation of the *quasi cycle process* with memory states  $s_i$ , emissions, and transition probabilities x|P(x).

## Classical Models

The provably optimal classical models are  $\epsilon$ -machines, which are based on the equivalence relation

$$\overleftarrow{X} \sim \overleftarrow{X}' \iff P(\overrightarrow{X}|\overleftarrow{X}) = P(\overrightarrow{X}|\overleftarrow{X}').$$
 (1)

For each class, one *memory state*  $\mathcal{E}(\overleftarrow{x}) = s_i$  is allocated. The model initializes to a state defined by the input  $\overleftarrow{x}$  and outputs a single time step once at a time:

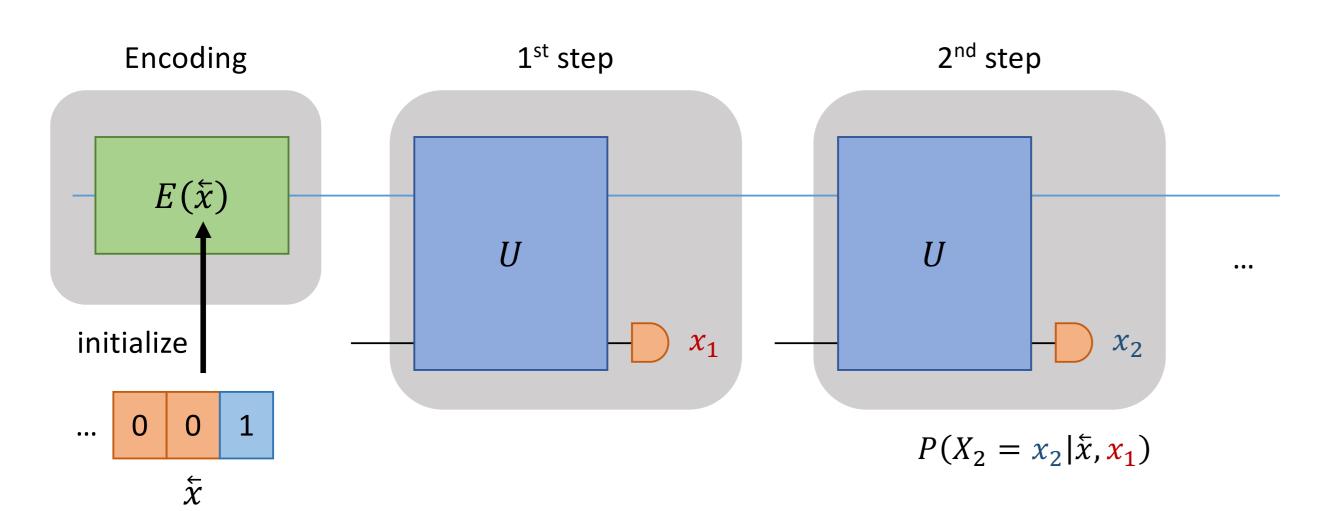


#### Quantum Models

The quantum analog is called *q*-simulator and operates onto quantum memory states  $|s_i\rangle$  as

$$|1_i\rangle := U|s_i\rangle|0\rangle = \sum_{x} \sqrt{P(x|s_i)}|s_{\lambda(i,x)}\rangle|x\rangle,$$
 (2)

where  $\lambda(i, x)$  denotes the index to the next state. A measurement operation onto the second register of  $|1_i\rangle$  thus outputs x with probability  $P(x|s_i)$ :



## **Low-rank Approximations**

We aim for approximate models  $(\widehat{P} \approx P)$  and start with the following

**Theorem [1]:** Given a stochastic process with P,  $\lambda$ , and  $\{s_i\}_{i=1}^n$ . A q-simulator exists iff

$$\langle s_i | s_j \rangle = \langle 1_i | 1_j \rangle \quad \forall i, j = 1, 2, \dots, n.$$
 (3)

 $\rightarrow$  The main idea of this work is to perform a low-rank approximation of the overlap matrix  $C_{i,j} = \langle 1_i | 1_j \rangle$  and derive the quantum states  $|s_i\rangle$  and unitary simulator U from it.

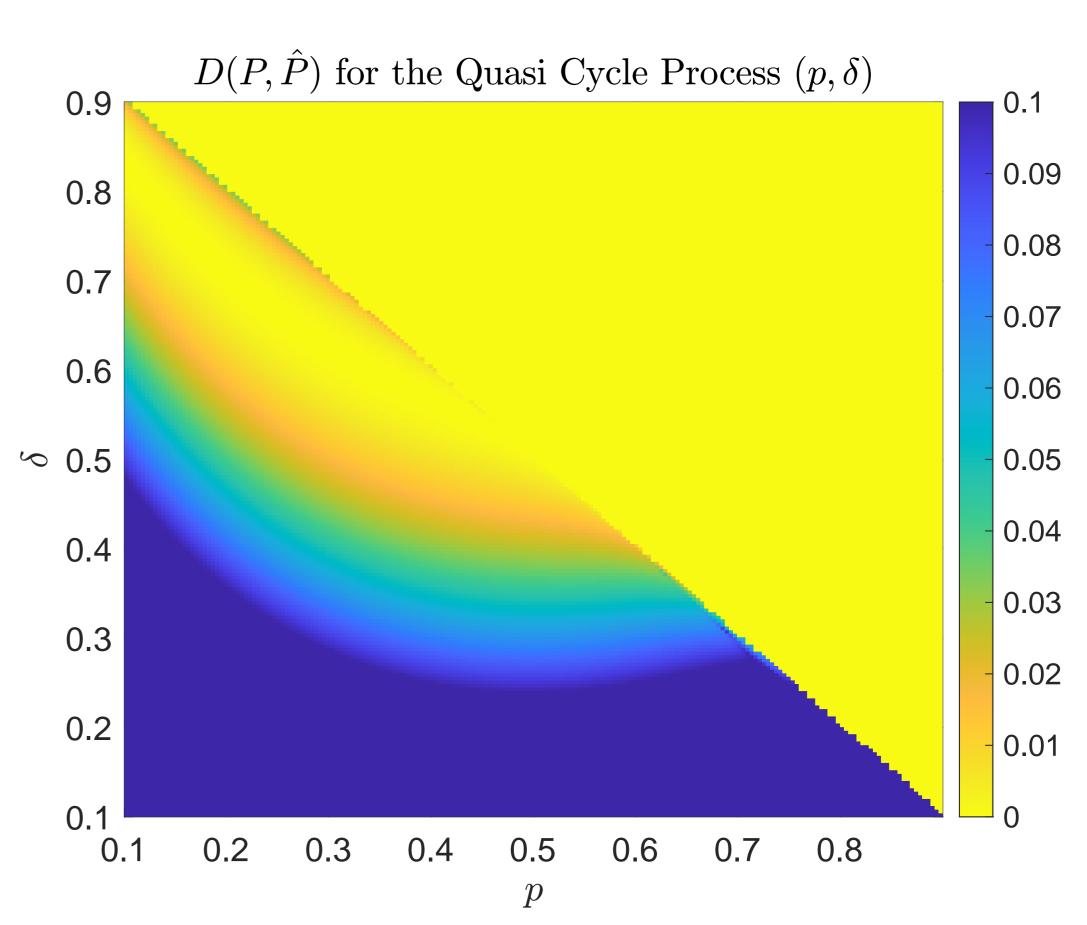
## Sketch of the algorithm

- **1** Construct the overlap matrix  $C \leftarrow P, \lambda, \{s_i\}_{i=1}^n$
- **2** Perform a SVD of  $C = V\Sigma W^{\dagger} = V\Sigma V^{\dagger} = V\sqrt{\Sigma}\sqrt{\Sigma}V^{\dagger}$
- **3** Shrink to a low-rank approximation  $C \to C^{(d)} = V \sqrt{\Sigma^{(d)}} \sqrt{\Sigma^{(d)}} V^{\dagger}$
- **4** Identify the quantum states  $|\widehat{s}_i\rangle$  as columns of  $\widehat{S} = \sqrt{\Sigma^{(d)}} V^{\dagger}$
- **6** Construct the approximate one-step matrix  $\hat{F}$  with columns  $\hat{F}_i = |\hat{1}_i\rangle$
- 6 Approximate the unitary simulator as

$$\underset{\widehat{U}}{\operatorname{arg\,min}} ||\widehat{U}\widehat{S} \odot |0\rangle - \widehat{F}||_F^2 \quad \text{s.t.} \quad \widehat{U}^{\dagger}\widehat{U} = I \quad (4)$$

**Interpretation:** The approximate states  $|\hat{s}_i\rangle$  are the quantum states to a slightly different stochastic process  $\hat{P}$  simulated by  $\hat{U}$  following (2).

## Results



→ Via comparison with the best classical models [2], these approximate quantum models are superior with respect to the KL divergence

$$D(P,\widehat{P}) = \sum_{\overleftarrow{x},\overrightarrow{x}} P(\overleftarrow{x}) P(\overrightarrow{x}|\overleftarrow{x}) \log_2 \frac{P(\overrightarrow{x}|\overleftarrow{x})}{\widehat{P}(\overrightarrow{x}|\overleftarrow{x})}. \tag{5}$$

## **Future Directions**

- Derive upper bounds onto the KL divergence
- Include complex phases for the overlap matrix *C*
- Derive QML ansätze to learn approximations based only on data

## References

[1] Felix C. Binder, Jayne Thompson, and Mile Gu.

Practical unitary simulator for non-markovian complex processes. *Phys. Rev. Lett.*, 120:240502, Jun 2018.

[2] Chengran Yang, Andrew J. P. Garner, Feiyang Liu, Nora Tischler, Jayne Thompson, Man-Hong Yung, Mile Gu, and Oscar Dahlsten. Provably superior accuracy in quantum stochastic modeling. *Phys. Rev. A*, 108:022411, Aug 2023.

