Discrete Mathematics

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Chapter 3

Zermelo-Fraenkel Set Theory

"No one shall expel us from the paradise that Cantor has created."

—David Hilbert

3.1 The Language of Set Theory

In order to use our first-order logic as a language with which to talk about math, we need to specify: what is our universe of discourse Ω , and what are our fundamental predicate symbols? The analogy drawn in class between the structure of the study of mathematics and the abstract structure of a modern computer should hopefully communicate how natural and common the notion is of having one type of object that implements other, more complicated objects. However, this decision actually goes back to the beginning of this 20^{th} century revolution in mathematics. The initial solution people came up with to the fundamental logical and foundational problems they had discovered has to use a typed—ontology, where different objects had different types in a hierarchy and there were rules governing how objects could be manipulated based on their types. The problem with this approach is that it becomes very syntactically-cumbersome for humans (the primary practitioners of mathematics) to deal with directly, so it was quickly abandoned for an typed approach.

Note. The converse is true about the λ -calculus, which is perhaps the most famous mathematical model of computation after the Turing machine. Although the untyped λ -calculus is more expressive (*i.e.*, stronger) than any of the typed λ -calculi (and is thus more interesting to study for mathematicians), the modern functional programming languages we have today (*e.g.*, Haskell, the LISP dialects, F#) are actually implementations of typed λ -calculi because computers have no issues dealing with the syntactic complications of a typed theory.

Our universe of discourse will consist of those objects that we can prove exist using the rules of inference and the axioms of set theory (which we will develop in this chapter). The axioms of set theory will be sentences in the language of Zermelo-Fraenkel set theory that describe what exactly sets are and how they work. The language of set theory will have two predicate symbols, defined below.

Definition 3.1 (Equality).

We define the binary predicate = to mean that its left argument is *identical to* its right argument; *i.e.*, we say x = y when we mean that the symbols x and y refer to the same object. We will take the following axioms for equality:

$$\forall x (x = x) \qquad \forall x \forall y \big((x = y) \iff (y = x) \big) \qquad \forall x \forall y \forall z \big((x = y \land y = x) \implies (x = z) \big)$$

Definition 3.2 (Elementhood).

We define the binary predicate \in to mean that its left argument is contained in its right argument as an element. So, if x and y are sets, then the phrase $x \in y$ conveys that x is an element of y.

Definition 3.3 (Language of Set Theory).

The language of Zermelo-Fraenkel set theory consists of the first-order logic along with

- I. a universe of discourse consisting of those things that provably exist from the axioms (Section 3.2),
- II. the binary predicates for equality (=, Definition 3.1) and elementhood (\in , Definition 3.2).

3.2 The Axioms of Set Theory

3.2.1 The Axiom of Existence

Axiom 0 (Existence).

$$\exists x(x=x)$$

This axiom asserts that our universe of discourse is non-empty. Assuming this axiom lets us know for sure that when we make claims about sets, those claims are actually in reference to objects that provably exist (because we can use this axiom as an assumption in any proof).

3.2.2 The Axiom of Extensionality

Axiom 1 (Extensionality).

$$\forall x \forall y ((x = y) \Leftrightarrow \forall z (z \in x \Leftrightarrow z \in y))$$

This axiom states that sets are equal iff they have the same elements, capturing what we mean by two sets being (or not being) equal. This establishes a fundamental relationship between = and \in .

Definition 3.4 (\in -Augmented Quantification).

When we want to talk about all of the elements of a set A that satisfy a given wff $\varphi(\cdot)$, we say

$$(\forall x \in A)(\varphi(x)) :\Leftrightarrow \forall x(x \in A \Rightarrow \varphi(x)).$$

Similarly, if we want to say that there is an element in A with the property $\varphi(\cdot)$, we say

$$(\exists x \in A)(\varphi(x)) :\Leftrightarrow \exists x(x \in A \land \varphi(x)).$$

The parentheses around $(\forall x \in A)$ and $(\exists x \in A)$ can be added or dropped for clarity based on context.

Theorem 3.1 (First-Order Set Notation).

Let φ be a wff with at most one free variable and let A be a set. Then, the following statements hold.

$$(\forall a \in A) \big(\varphi(a) \big) \iff \left(\bigwedge_{a \in A} \varphi(a) \right) \qquad (\exists a \in A) \big(\varphi(a) \big) \iff \left(\bigvee_{a \in A} \varphi(a) \right)$$

Definition 3.5 (Set-Builder Notation).

The notation $\{x_1, \ldots x_n\}$, where each $x_1, \ldots x_n$ is a term, describes the set containing each of the x_i 's, and only the x_i 's, as elements. So, we say $\{x_1, \ldots x_n\}$ denotes the set X satisfying $\forall a (a \in X \Leftrightarrow \bigvee_{i=1}^n (a = x_i))$. Another way of saying this is that $X := \{x_1, \ldots x_n\}$ is the set that satisfies

$$\left(\bigwedge_{i=1}^{n} x_i \in X\right) \wedge \forall a \Big(a \in X \implies \big(\exists x \in X (a=x)\big)\Big).$$

Definition 3.6 (Set-Comprehension Notation).

If φ is a wff with at most one free variable, then when we write down $\{a \mid \varphi(a)\}$, we mean the set consisting of all possible a satisfying the formula φ when the every occurrence of the free variable in φ is replaced by a. Similarly, if we have an already-existing set A, we can define the set of all elements of A that satisfy φ with the notation $\{a \in A \mid \varphi(a)\}$. These notations are read "the set of all a (in A) such that $\varphi(a)$ ". More precisely,

$$\exists x \Big(x = \big\{ a \mid \varphi(a) \big\} \Big) \iff \exists x \forall a \big(a \in x \iff \varphi(a) \big),$$
$$\exists x \Big(x = \big\{ a \in A \mid \varphi(a) \big\} \Big) \iff \exists x \forall a \Big(a \in x \iff \big(a \in A \land \varphi(a) \big) \Big).$$

Note. These sets may not always exist! Remember that we can only speak about the objects that *provably* exist, so when use this notation, we must be sure (with proof) that it is actually a set!

3.2.3 The Pairing Axiom

Axiom 2 (Pairing).

$$\forall x \forall y \exists z (z = \{x, y\})$$

This axiom allows us to take two existing sets and construct a set containing the pair of them.

3.2.4 The Axiom of Union

Axiom 3 (Union).

$$\forall x \exists A \Big(A = \big\{ z \mid (\exists y \in x)(z \in y) \big\} \Big)$$

The notation we use for this set A, which we call the union of x, is $\cup x := \{z \mid (\exists y \in x)(z \in y)\}.$

Theorem 3.2 (Union of Two Sets).

If x and y are sets, then $x \cup y := \{z \mid (z \in x) \lor (z \in y)\}$ exists.

Proof. Let x and y be sets. By Axiom 2, we know $A := \{x, y\}$ exists. By Axiom 3, we know $\cup A$ exists. Recall that $\cup A = \{a \mid (\exists z \in A) a \in z\}$. Now, let b be an arbitrary set and observe

$$b \in \cup A \iff (\exists z \in A)(b \in z) \qquad \text{by definition of } \cup A$$

$$\Leftrightarrow (b \in x) \lor (b \in y) \qquad \text{since } A = \{x, y\}$$

$$\Leftrightarrow b \in \{a \mid (a \in x) \lor (a \in y)\} \qquad \text{by definition.}$$

Therefore, we have that $\cup A = \{a \mid (a \in x) \lor (a \in y)\}$, so $x \cup y := \{a \mid (a \in x) \lor (a \in y)\}$ exists.

Q.E.D.

3.2.5 The Axiom Schema of Separation

Axiom 4 (Separation).

If φ' is a wff with at most one free variable, then $\forall x \exists y (y = \{a \in x \mid \varphi(a)\})$

Theorem 3.3 (Intersection of Two Sets).

If x and y are sets, then $x \cap y := \{a \mid (a \in x) \land (a \in y)\}$ exists.

Proof. Let x and y be sets. Recall that $x \cup y$ exists by Theorem 3.2. Then, by Axiom 4, we know that $A := \{a \in x \cup y \mid (a \in x) \land (a \in y)\}$ exists. Now, let b be an arbitrary set and observe

$$b \in A \iff b \in \left\{a \in x \cup y \mid (a \in x) \land (a \in y)\right\} \qquad \text{by the definition of } A$$

$$\Leftrightarrow (b \in x \cup y) \land ((b \in x) \land (b \in y)) \qquad \text{by definition}$$

$$\Leftrightarrow ((b \in x) \lor (b \in y)) \land ((b \in x) \land (b \in y)) \qquad \text{by definition of } x \cup y$$

$$\Leftrightarrow \left(\left((b \in x) \lor (b \in y)\right) \land (b \in x)\right) \land (b \in y) \qquad \text{by Associativity}$$

$$\Leftrightarrow \left(\left((b \in x) \land (b \in x)\right) \lor \left((b \in y) \land (b \in x)\right)\right) \land (b \in y) \qquad \text{by Idempotency}$$

$$\Leftrightarrow \left((b \in x) \lor \left((b \in x) \land (b \in y)\right)\right) \land (b \in y) \qquad \text{by Commutativity}$$

$$\Leftrightarrow \left(\left((b \in x) \lor ((b \in x) \land (b \in y)\right)\right) \land (b \in y) \qquad \text{by Associativity}$$

$$\Leftrightarrow \left(((b \in x) \lor (b \in x)) \land (b \in y)\right) \land (b \in y) \qquad \text{by Idempotency}$$

$$\Leftrightarrow ((b \in x) \land (b \in y)) \land (b \in y) \qquad \text{by Idempotency}$$

$$\Leftrightarrow (b \in x) \land ((b \in y) \land (b \in y)) \qquad \text{by Associativity}$$

$$\Leftrightarrow (b \in x) \land ((b \in y) \land (b \in y)) \qquad \text{by Idempotency}$$

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$$\Leftrightarrow (b \in x) \land (b \in y) \land (b \in y) \qquad \text{by Idempotency}$$

Therefore, we have that $A = \{a \mid (a \in x) \land (a \in y)\}$, showing that $x \cap y = \{a \mid (a \in x) \land (a \in y)\}$ exists.

Q.E.D.

Theorem 3.4 (Difference of Two Sets).

If x and y are sets, then $x \setminus y := \{a \mid (a \in x) \land (a \notin y)\}$ exists.

Proof. Let x and y be sets. Consider $A := \{a \in x \mid a \notin y\}$, which we know exists by Axiom 4. Now, let b be an arbitrary set and observe

$$b \in A \Leftrightarrow b \in \{a \in x \mid a \not\in y\}$$
 by the definition of A
$$\Leftrightarrow (b \in x) \land (b \not\in y)$$
 by definition
$$\Leftrightarrow b \in \{a \mid (a \in x) \land (a \not\in y)\}$$
 by definition.

Therefore, $A = \{a \mid (a \in x) \land (a \notin y)\}$ by Axiom 1, showing that $x \setminus y = \{a \mid (a \in x) \land (a \notin y)\}$ exists.

Q.E.D.

Definition 3.7 (Subsets).

We say that x is a *subset* of y when every element of x is also an element of y. Formally,

$$x \subseteq y :\Leftrightarrow \forall a (a \in x \Rightarrow a \in y).$$

If $x \subseteq y$ but $x \neq y$, then we say that x is a *proper subset* of y and we write $x \subsetneq y$ (you may also encounter the alternative notations $x \subset y$ and $x \subsetneq y$).

3.2.6 The Power Set Axiom

Axiom 5 (Power Set).

$$\forall x \exists y (y = \{s \mid s \subseteq x\}).$$

This axiom tells us that, whenever we have a set x that exists, we are allowed to collect *all* of its subsets into one set, called the *power set* of x. We use the notation $\mathcal{P}(x)$.

Definition 3.8 (Empty Set).

We say that a set A is $empty :\Leftrightarrow \forall x (x \notin A)$. Since there can only be one empty set by Axiom 1, we refer to this set as the empty set and use the special symbol \varnothing .

3.2.7 The Axiom of Regularity

Axiom 6 (Regularity).

$$\forall x ((x \neq \emptyset) \Rightarrow (\exists y \in x)(x \cap y = \emptyset)).$$

This axiom establishes the regularity (a.k.a. well-foundedness) of the \in predicate.

Theorem 3.5 (\in Well-foundedness).

For any set x, we have $x \notin x$.

Proof. Let x be an arbitrary set. Towards a contradiction, assume $x \in x$. Let $y := \{a \in x \mid a = x\} = \{x\}$, which we know exists by Axiom 4. Since $x \in y$, we know $y \neq \emptyset$. Thus, Axiom 6 lets us know there is a $z \in y$ such that $y \cap z = \emptyset$. Since $y = \{x\}$ and $z \in y$, this implies z = x, yielding $y \cap x = \emptyset$. However, we already knew that $x \in y$ and $x \in x$, so we know by definition that $x \in \{a \mid a \in y \land a \in x\} = y \cap x$, and therefore $y \cap x \neq \emptyset$. Therefore, we must have that $x \notin x$. Since x was arbitrary, we can conclude $\forall x (x \notin x)$, as desired.

Q.E.D.

Definition 3.9 (Kuratowski Ordered Pairs).

We define the *ordered pair* with left coordinate a and right coordinate b by $(a,b) := \{\{a\}, \{a,b\}\}$. It is left as a simple exercise to the reader to prove that (a,b) always exists.

Definition 3.10 (Cartesian Product).

We define the Cartesian product of two sets A and B, which is the set of all possible ordered pairs with left coordinate coming from A and right coordinate coming from B, by $A \times B := \{(a,b) \mid a \in A \land b \in B\}$.

Theorem 3.6 (Existence of Cartesian Products).

For any sets X and Y, the Cartesian product $X \times Y$ exists.

Theorem 3.7 (Existence of \varnothing).

$$\exists x(x=\varnothing).$$

Proof. We know, by Axiom 0, that there exists a set x. Now, consider $E := \{y \in x \mid y \neq y\}$, which we know exists by Axiom 4. We can clearly see that E is empty, because if $x \in E$ then we would immediately run into the contradiction $x \neq x$. Therefore, we have $E = \emptyset$, so the empty set exists.

Q.E.D.

Definition 3.11 (Successor Functional).

Given an arbitrary set x, we define the *successor* of x to be the set $x \cup \{x\}$, for which we introduce the notation $S(x) := x \cup \{x\}$. It should be clear that the successor of any set always exists.

Note. The successor S(x) of a set x is a set consisting of all of the elements of x as well as x itself.

Definition 3.12 (von Neumann Ordinals).

The natural numbers, also known as the finite ordinal numbers, are defined recursively as follows:

$$0 := \emptyset$$
 is a natural number $n+1 := S(n) = n \cup \{n\} = \{0,1,2,\dots n\}$ if n is a natural number

We say that a natural number n is a successor number: \Leftrightarrow there exists a natural number m such that $n = \mathcal{S}(m)$. Every natural number except for 0 is a successor number, so when we define properties or operations on the natural numbers, we will usually take a recursive approach that explicitly defines what happens at 0 and then specifies what happens when you've encountered a successor number. If n is a successor natural number, then we define the predecessor of n to be n-1 := m, where m is a natural number such that $\mathcal{S}(m) = n$.

Definition 3.13 (Arithmetic on the Natural Numbers).

Given a natural number n, its sum with another natural number m is recursively given by

$$n+0 \coloneqq n$$

 $n+\mathcal{S}(m) \coloneqq \mathcal{S}(n+m)$ if m is a successor number

Similarly, we define the product of two natural numbers n and m recursively by

$$n \cdot 0 \coloneqq 0$$

 $n \cdot \mathcal{S}(m) \coloneqq (n+m) + n$ if m is a successor number

Finally, we can recursively define the exponentiation of one natural number n by another as

$$n^0 \coloneqq 1$$

$$n^{\mathcal{S}(m)} \coloneqq n^m \cdot m \quad \text{ if } m \text{ is a successor number}$$

Example 3.1 (2+3=5).

$$\begin{array}{lll} 2+3=2+\mathcal{S}(2) & \text{because } 3=\mathcal{S}(2) \\ &=\mathcal{S}(2+2) & \text{by definition of } 2+\mathcal{S}(2) \\ &=\mathcal{S}(2+\mathcal{S}(1)) & \text{because } 2=\mathcal{S}(1) \\ &=\mathcal{S}\left(\mathcal{S}(2+1)\right) & \text{by definition of } 2+\mathcal{S}(1) \\ &=\mathcal{S}\left(\mathcal{S}(2+\mathcal{S}(0))\right) & \text{because } 1=\mathcal{S}(0) \\ &=\mathcal{S}\left(\mathcal{S}(\mathcal{S}(2+0))\right) & \text{by definition of } 2+\mathcal{S}(0) \\ &=\mathcal{S}\left(\mathcal{S}(\mathcal{S}(2))\right) & \text{by definition of } 2+\mathcal{S}(0) \\ &=\mathcal{S}\left(\mathcal{S}(3)\right) & \text{because } 3=\mathcal{S}(2) \text{ by definition } \\ &=\mathcal{S}(4) & \text{because } 4=\mathcal{S}(3) \text{ by definition } \\ &=\mathcal{S}(4) & \text{because } 5=\mathcal{S}(4) \text{ by definition.} \end{array}$$

Thus, we have a proof that 2+3=5.

Example 3.2 $(2 \cdot 3 = 6)$.

$$2 \cdot 3 = 2 \cdot \mathcal{S}(2)$$
 because $3 = \mathcal{S}(2)$

$$= 2 \cdot 2 + 2$$
 by definition of $2 \cdot \mathcal{S}(2)$

$$= 2 \cdot \mathcal{S}(1) + 2$$
 because $2 = \mathcal{S}(1)$

$$= (2 \cdot 1 + 2) + 2$$
 by definition of $2 \cdot \mathcal{S}(1)$

$$= (2 \cdot \mathcal{S}(0) + 2) + 2$$
 because $1 = \mathcal{S}(0)$

$$= ((2 \cdot 0 + 2) + 2) + 2$$
 by definition of $2 \cdot \mathcal{S}(0)$

$$= ((0 + 2) + 2) + 2$$
 because $2 \cdot 0 = 0$ by definition

$$= (2 + 2) + 2$$
 because $0 + 2 = 2$ (this requires its own proof)

$$= 4 + 2$$
 because $2 + 2 = 4$ (this requires its own proof)

$$= 6$$
 because $2 + 4 = 6$ (this requires its own proof)

Since those last three steps are not a part of any definition or theorem so far, we would need to *separately* prove 0+2=2 and 2+2=4 and 2+4=6 and then apply those results to this proof of $2 \cdot 3=6$.

Definition 3.14 (Order on the Natural Numbers).

Given two natural numbers n and m, say that n is less than or equal to $m :\Leftrightarrow n \subseteq m$. The syntax we use to express this relationship is $n \leqslant m$.

If $n \leq m$ and $n \neq m$, then we say n < m, which means n is strictly less than m.

Definition 3.15 (Iterated Sums & Products).

If n and $x_0, \dots x_{n-1}$ are natural numbers, then we define the iterated sum of the x_i recursively by

$$\sum_{i=0}^{0} x_i = x_0$$

$$\sum_{i=0}^{k+1} x_i = \left(\sum_{i=0}^{k} x_i\right) + (x_{k+1}) \quad \text{if } 0 < k+1 \le n.$$

Similarly, we define the *iterated product* of the x_i recursively as

$$\prod_{i=0}^{0} x_i = x_0$$

$$\prod_{i=0}^{k+1} x_i = \left(\prod_{i=0}^{k} x_i\right) \cdot (x_{k+1}) \quad \text{if } 0 < k+1 \le n.$$

Definition 3.16 (Parity).

We say that a natural number n is $even :\Leftrightarrow (\exists m) ((m \text{ is a natural number}) \land (n = 2 \cdot m))$. Conversely, we say that n is $odd :\Leftrightarrow (\exists m) ((m \text{ is a natural number}) \land (n = 2 \cdot m + 1))$. The fact that every natural number is either even or odd requires a new kind of proof technique.

3.2.8 The Axiom of Infinity

Axiom 7 (Infinity).

$$\exists N \Big(N = \Big\{ n \mid n = \emptyset \lor \exists (m \in N) \big(n = \mathcal{S}(m) \big) \Big\} \Big)$$

We call this set the set of natural numbers and use the symbol \mathbb{N} to denote it. For convenience, we also define the positive naturals by $\mathbb{N}_+ := \{n \in \mathbb{N} \mid n > 0\}$.

Appendix A

Construction of the Number Sets

There are a few different ways of constructing of each of the important number sets. We will use *quotient constructions*—a fundamental technique in modern algebra—and present the standard construction for each set.

A.1 The Integers

Consider the equivalence relation \sim on $(\mathbb{N} \times \mathbb{N}) \times (\mathbb{N} \times \mathbb{N})$ given by $(a,b) \sim (c,d) :\Leftrightarrow a+d=c+b$. Notice that this relation captures the idea that ordered pairs (a,b),(c,d) are related if a-b=c-d, so the ordered pairs (a,b) represent "differences" between natural numbers. However, since the difference of two natural numbers is not always defined, we can still express that relationship using only addition, and we consider them *equivalent* if the differences they represent are the same. We then define the *equivalence class* of an ordered pair $(a,b) \in \mathbb{N} \times \mathbb{N}$ under the \sim relation as $[(a,b)]_{\sim} = \{(c,d) \in \mathbb{N} \times \mathbb{N} \mid (a,b) \sim (c,d)\}$.

We then say $\mathbb{Z} := \mathbb{N} \times \mathbb{N}/\sim$ is the set of integers, which is $\{[(a,b)]_{\sim} \mid (a,b) \in \mathbb{N} \times \mathbb{N}\}$. So every integer, underneath, is an equivalence class of ordered pairs of natural numbers. We define the integer $z \in \mathbb{Z}$ to be the equivalence class $[(a,b)]_{\sim}$ such that z+b=a, meaning that z represents the difference a-b. The arithmetic operations on \mathbb{N} extend naturally to \mathbb{Z} by operating on the representatives of the equivalence classes.

A.2 The Rationals

We take a similar approach to the rational numbers as we did the integers. Consider the equivalence relation \sim on $\mathbb{Z} \times \mathbb{N}_+$ given by $(p,q) \sim (r,s) :\Leftrightarrow p \cdot s = r \cdot q$. This captures the essence of p/q = r/s.

We then say $\mathbb{Q} := \mathbb{Z} \times \mathbb{N}_+/\sim$ is the set of rational numbers, which is $\{[(p,q)]_{\sim} \mid (p,q) \in \mathbb{Z} \times \mathbb{N}_+\}$. So, similarly to the integers, we represent a rational number p/q as an equivalence class of ordered pairs of integers with positive naturals so that the equivalence class of (p,q) represents all of those fractions equal to p/q. The arithmetic operations on \mathbb{Z} and \mathbb{N} extend naturally to \mathbb{Q} by operating on the equivalence class representatives.

A.3 The Reals

The approach we must take to define the reals is similar in some ways but very different in other ways. We would still like a quotient construction, but we can not define our relation on \mathbb{N} , \mathbb{Z} , or \mathbb{Q} directly. Instead, the idea here will then be to take quotients of these things called *Cauchy sequences* of rational numbers.

Definition A.1 (Cauchy Sequence).

We say that a sequence $q: \mathbb{N} \to \mathbb{Q}$ of rational numbers $\langle q_i \rangle_{i \in \mathbb{N}} = q_0, q_1, \ldots$ is Cauchy convergent iff

$$(\forall \varepsilon > 0)(\exists m \in \mathbb{N})(\forall n_1, n_2 \in \mathbb{N}) \big((n_1 > m \land n_2 > m) \ \Rightarrow \ |q_{n_1} - q_{n_2}| < \varepsilon \big).$$

We call such sequences Cauchy sequences, with the adjective Cauchy for short.

We then define \sim on the set of all rational Cauchy sequences $\mathcal{Q} \langle p_i \rangle_{i \in \mathbb{N}} \sim \langle q_i \rangle_{i \in \mathbb{N}} : \Leftrightarrow \langle p_i - q_i \rangle_{i \in \mathbb{N}} = \langle 0 \rangle_{i \in \mathbb{N}}$. Then $\mathbb{R} := \mathcal{Q}/\sim$ is the set of real numbers (*i.e.*, each real number is a class of equivalent Cauchy sequences over \mathbb{Q}).

Appendix B

Basic Algebraic Properties

B.1 The Naturals

Theorem B.1 (\mathbb{N} is the smallest Ordered Semiring). TODO

B.2 The Integers

Theorem B.2 (\mathbb{Z} is the smallest Ordered Ring). \overline{TODO}

B.3 The Rationals

Theorem B.3 (\mathbb{Q} is the smallest Ordered Field). **TODO**

B.4 The Reals

Theorem B.4 (\mathbb{R} is the smallest Complete Ordered Field). \overline{TODO}