# Patent Statistics: Concordance IPC V8 – NACE REV.2

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Bart Van Looy<sup>1,2</sup> Caro Vereyen<sup>1</sup>

&

Ulrich Schmoch<sup>3,4</sup>

Research Division INCENTIM, Faculty of Economics & Business, KU Leuven<sup>1</sup> ECOOM (Centre for R&D Monitoring)<sup>2</sup> Universität Speyer<sup>3</sup> Fraunhofer Gesellschaft<sup>4</sup>

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Project leader
Bernard Félix, Eurostat, Unit G4 — Innovation and information society

For further information, please contact: <a href="mailto:caro.vereyen@kuleuven.be">caro.vereyen@kuleuven.be</a> or <a href="mailto:bart.vanlooy@kuleuven.be">bart.vanlooy@kuleuven.be</a>







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#### 1. Introduction

In December 2006, the revision to NACE Rev. 2 was introduced. The implementation of this modified classification scheme has implications when mapping or analysing the relationship between industries, on the one hand, and technology, on the other. Such activities necessitate the presence of a concordance scheme which relates industries to relevant technological classes. A concordance scheme has been developed in the past, based on the original NACE classification and the IPC classification v7 (Schmoch, U., LaVille, F., Patel, P., Frietsch, R., 2003. Linking technology areas to industrial sectors: final reports to the European commission. DG Research November). Revising the NACE calls for revisiting and adapting this concordance scheme. This document outlines the approach followed to arrive at the updated concordance, and it includes the updated concordance table and identifies some notable changes.

This exercise has been initiated by Eurostat and executed by KU Leuven (INCENTIM & ECOOM). As part of the process, experts at Eurostat (Bernard Félix), Sogeti (Gaëtan Châteaugiron) and EPO (Geert Boedt) were consulted. Last but not least, Ulrich Schmoch and Rainer Frietsch participated by systematically reviewing and validating suggested changes.

## 2. Overview of the methodology

In December 2006, the revision to NACE Rev. 2 was introduced. Any shift/conversion in the NACE classification system has an impact on concordance tables used to arrive at patent statistics by industry. Over the last decade, patent indicators reporting activity by industry have been built on the well-known concordance table between industries and technologies developed by Schmoch et al (2003)<sup>1</sup>. This concordance scheme has been developed and validated by matching IPC classes to industries via an assessment of a representative sample of firm-owned patents<sup>2</sup>. This exercise has resulted in an allocation of IPC codes to NACE categories.

Initiated by Eurostat, the IPC-NACE concordance has been updated by INCENTIM/ECOOM (KU Leuven). This work has relied on two sources: (1) the existing concordance between 44 technology sectors of the Fraunhofer classification<sup>1</sup> with corresponding IPC classes (International Patent Classification) and NACE 1.1 industries, and (2) the official conversion table NACE 1.1 to NACE Rev. 2<sup>3</sup>, edited and published by Eurostat.

The conversion table from NACE 1.1 to NACE Rev.2 was the starting point.

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<sup>&</sup>lt;sup>1</sup> Schmoch, U., LaVille, F., Patel, P., Frietsch, R., 2003. Linking technology areas to industrial sectors: final reports to the European Commission. DG Research November. <a href="mailto:ftp://ftp.cordis.europa.eu/pub/indicators/docs/ind-report-isi-ost-spru.pdf">ftp://ftp.cordis.europa.eu/pub/indicators/docs/ind-report-isi-ost-spru.pdf</a>

<sup>&</sup>lt;sup>2</sup> Alternative approaches rely on the probabilities calculated based on the frequency of 'industrial' keywords observed in patent documents. See: Lybbert T. & Zolas N. (2013) Getting patents and economic data to speak to each other: An 'Algorithmic Links with Probabilities' approach for joint analysis of patenting and economic activity. Research Policy.

http://epp.eurostat.ec.europa.eu/portal/page/portal/nace\_rev2/correspondence\_tables

## Table 1: overview of NACE 1.1 and NACE Rev.2 concordance

Values in the Table correspond to the number of unique NACE 1.1/NACE Rev.2 combinations on the 3- or 4-digit level.

The cells in light red represent the highest values in a row and uncover the most significant correspondence between NACE 1.1 and NACE Rev.2.

		Manufacture of food products	Manufacture of beverages	Manufacture of tobacco products	Manufacture of textiles	Manufacture of wearing apparel	Manufacture of leather and related products	Manufacture of wood and of products of wood and cork,	Manufacture of paper and paper products	Printing and reproduction of recorded media	Manufacture of coke and refined petroleum products	Manufacture of chemicals and chemical products	Manufacture of basic pharmaceutical products and	pharmaceutical preparations Manufacture of rubber and plastic products	Manufacture of rubber and plastic products	Manufacture of other non-metallic mineral products	Manufacture of basic metals	Manufacture of fabricated metal products, except machinery and equipment	Manufacture of computer, electronic and optical products	Manufacture of electrical equipment	Manufacture of machinery and equipment nec	Manufacture of motor vehicles, trailers and semi-trailers	Manufacture of other transport equipment	Manufacture of furniture	Other manufacturing	Repair and installation of machinery and equipment	Waste Collection, treatment and disposal activities; materials recovery	Construction of buildings	Specialised construction activities	Publishing activities	Motion picture, video and television programme production, sound recording and music publishing activities	Computer programming, consultancy and related activities	Repair of computers and personal and household goods		
	Industry links between NACE 1.1 & NACE Rev.2 on 3 & 4-digit level	10	11	12	12	14	15	16	17	18	19	20	) 2	1 2	,,	23	24	25	26	27	28	20	30	31	32	22	38	41	43	58	59	62		Grand Total	Count of NACE Rev.2/NACE 1.1 on 2- digit level
Food products and Beverages	3 & 4-digit level		8	12	13	14	10	10	17	10	19	1	_	1 4	-2	23	24	23	20	21	20	29	30	31	32	33	30	41	43	30	33	02	33	43	uigit ievei
Tobacco products	16			1				-		-	-		-	_		-																		1	1
Textiles	17				20	3			1					_											1	2							1	28	6
Wearing apparel; dressing and dyeing of fur	18				2	6	1		i i																2	_							-	11	4
Tanning and dressing of leather; luggage, handbags,					-		T.																												
saddlery, harness and footwear	19						3	1						- 2	2										1									7	4
Wood and of products of wood and cork, except															-																				
furniture; articles of straw and plaiting materials	20							7																	2	2		1	2					14	5
Pulp, paper and paper products	21								7	2																								9	2
Publishing, printing and reproduction of recorded																																			
media	22								1	8															1					6	1			17	5
Coke, refined petroleum products and nuclear fuel	23										2	1	1	1			1										2							7	5
Chemicals and chemical products	24										1						•		2						1		1							26	6
Rubber and plastic products	25										Ť				7	-			-	2					3	4	Ė	1	1					18	6
Other non-metallic mineral products	26							_		-			_			25				-						6		i i	<u> </u>					31	2
Basic metals	27											+	_	_			16	1								Ů								17	2
Fabricated metal products, except machinery and																		-																	_
equipment	28																1	19			3				1	11		1	1					37	7
Machinery and equipment n.e.c.	29																·	2		3	30		2		1	23		_	1				1	63	8
Office machinery and computers	30											+		+	+	$\rightarrow$		-	1		1		-		•	1			i i			1	_	4	4
Electrical machinery and apparatus n.e.c.	31															1		1	5	13	2	2	1			6								31	8
Radio, television and communication equipment and	<u>.                                    </u>									-		-	_	_		_					_	_	-											Ü.	, and the second
apparatus	32																		8	1	1					3							1	14	5
Medical, precision and optical instruments, watches																																	-		ŭ
and clocks	33																		5	1	3				6	8								23	5
Motor vehicles, trailers and semi-trailers	34															-			-		2	3	1		-	2								8	4
Other transport equipment	35														+						2		8	1		8								19	4
Furniture; manufacturing n.e.c.	36				1		1	1	1			1		-	4	$\rightarrow$		1	1		3	1	4	7	9	3							5	43	15
Recycling	37				Ė		T.	+	Ė			+			-	$\rightarrow$			-		-	•	•			Ü	3						_	3	1
	Grand Total	34	8	1	23	9	5	9	10	10	3	22	2 3	3 1	13	26	18	24	22	20	47	6	16	8	28	79	6	3	5	6	1	1	8	474	112
							-	4		-		1																							

The column 'Count of NACE Rev.2/NACE 1.1' on the right side, shows the amount of NACE Rev.2 industries per NACE 1.1 industry, on the 2-digit level.

Two cases can occur: i) a 1:1 relationship between the old and the new NACE category exists on the 2-digit level (e.g. Tobacco Products is relabelled from 16 (NACE 1.1) to category 12 (NACE Rev.2)) or ii) NACE 1.1 codes on the 2-digit level are classified into different NACE Rev.2 categories (e.g. Food Products and Beverages used to be classified together (label 15) under NACE 1.1 while the constituent industries are classified under Food Products (label 10), Beverages (label 11), and even Chemicals and chemical products (label 20) in the NACE Rev.2 classification. As soon as industries are regrouped, the question arises which IPC codes – previously related to NACE 1.1 (Schmoch et al.) categories – need to be transferred to which NACE Rev.2 code.

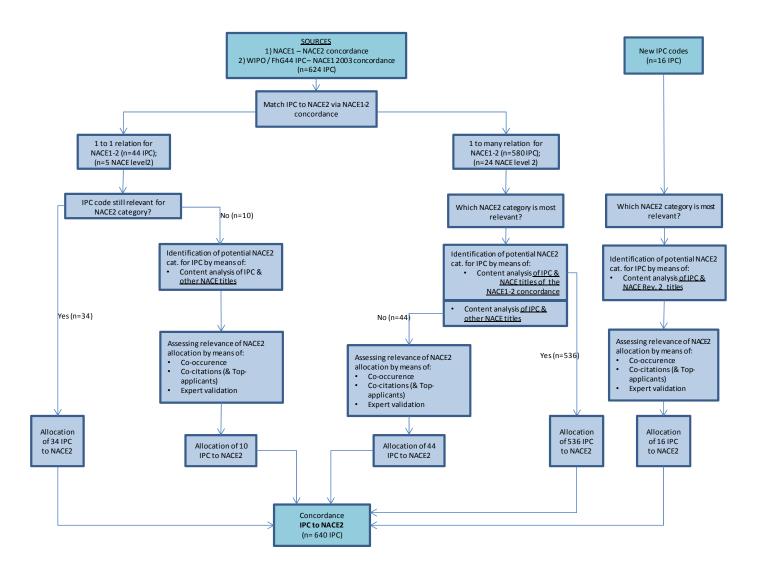
In case a 1:1 relationship exists between the old and the new NACE version, the relevance of the IPC code for the NACE Rev.2 category has been inspected, relying on a content analysis of the IPC description as well as potential relevant NACE Rev.2 categories. In cases where doubt existed, co-occurrence and co-citation patterns of IPC codes have been inspected and used (whereby IPC classes that are highly related are allocated to one and the same NACE Rev.2 category). In total, 44 of the IPC codes have been allocated in this manner.

In case (NACE Rev. 2) categories do not display a 1:1 relationship with old NACE 1.1 categories, all the IPC codes involved (previously allocated to one NACE category) are assessed in terms of relevance to multiple NACE categories. The majority of IPC codes are situated here (n=580). NACE categories that have been considered stem initially from the concordance scheme from NACE 1.1 to NACE Rev.2. In a limited number of cases (n=44), IPC codes shifted to another industry. Decisions on allocating IPC codes to industries have been based on co-occurrence and co-citation patterns as well as an assessment of the industries in which main patent applicants are active.

Finally, a limited number of novel IPC codes (n=16) had to be included. For these codes, content analysis of the IPC description, combined with inspecting the co-occurrence/co-citation patterns and an analysis of main players, has also been used to arrive at allocation decisions.

The outcomes of this exercise have been inspected and validated by a team of experts, operating independently (Eurostat, EPO, Fraunhofer-Gesellschaft). Figure 1 summarizes the approach adopted and reports the number of IPC codes (total = 640) that have been allocated to the different situations encountered.

Figure 1: Overview of the methodology adopted to allocate IPC codes to NACE Rev.2 categories.



# 3. Concordance Table IPC V8 - NACE Rev.2

In Table 2, the outcomes of this exercise can be found on the NACE 2-digit level. For a number of IPC codes, it was feasible to allocate them more precisely to the NACE 3-digit level. This more fine-grained classification scheme can be found in Annex 1.

Table 2: NACE Rev. 2 – IPC V8 concordance (NACE 2-digit level)

10	Manufacture of Food Products [10]	1				V 3 3 D	<b>422</b> E	A23G
10	Manufacture of Food Products [10]	A01H	A21D	A23B	A23C	A23D	A23F	
		A23J	A23K	A23P	C12J	C13F	C13J	C13K
		A23L 1		A23L 3		C13B	A01J	
11	Manufacture of Beverages [11]	C12C	C12F	C12G	C12H	A23L 2	/*	
12	Manufacture of Tobacco Products [12]	A24B	A24D	A24F				
13	Manufacture of Textiles [13]	B65D (	5,88%)					
		D06C	D04G	D04H	D06J	D06M	D06P	D06Q
		D04D	D06N					
14	Manufacture of Wearing Apparel [14]	A41B	A41C	A41D	A41F			
15	Manufacture of Leather and Related Products [15]	A43B	A43C	B68B	B68C			
16	Manufacture of Wood and of	B27D	B27H	B27M	B27N	B65D (1	,25%)	
	Products of Wood and Cork, except							
	Furniture; Manufacture of Articles of							
	Straw and Plaiting Materials [16]							
17	Manufacture of Paper and Paper	B42F	B65D (	20,44%)				
	Products [17]	D21C	D21H	D21J				
18	Printing and Reproduction of	B41M	B42D	B44F				
	Recorded Media [18]							
19	Manufacture of Coke and Refined	C10G	C10L					
	Petroleum Products [19]							
20	Manufacture of Chemicals and	C07B n	ot A61K	except	A61K 8/	<b>/</b> *		
	Chemical Products [20]	C07C n	ot A61K	except	461K 8/	<b>/</b> *		
		C07F n	ot A61K	except A	461K 8/	*		
		C07G r	ot A61K	except.	A61K 8,	/*		
		C12S n	ot A61K	except A	461K 8/	*		
		C08B	C08F	C08G	C08K	C08L	C05B	C05C
		C05D	C05F	C05G	C09B	C09C	C09K	C10B
		C10C	C10H	C10J	C10K	C01B	C01C	C01D
		C01F	C01G	C25B	B01J	F25J	B09B	B09C
		C02F	G21F	C08J	F17C	F17D	A01N	A01P
		C09D	B27K	C09F	C11D	D06L	A61K 8	3/*
		A61Q	C08H	C06D	C09G	C09H	C09J	C10M
		C11B	C11C	C23F	C23G	C14C	A62D	D01C
		C10N	C40B r	not A61K	except	A61K 8/	*	
	I and the second	C06C	C06B	F42B	F42D	D01F		

21	Manufacture of Basic	A61P	C07D	C07H	C07J	C07K	C12N	C12P
	Pharmaceutical Products and	C12Q		except A				
	Pharmaceutical Preparations [21]		•		,	,		
22	Manufacture of Rubber and Plastic	B67D	B29C	B29D	B60C	C08C	B29B	
	Products [22]		35.96%)					
		,	,					
23	Manufacture of Other Non-Metallic	B32B	B65D (	21.31%)				
	Mineral Products [23]	C03C	C03B	B28B	B28C	E03D	C04B	
24	Manufacture of Basic Metals [24]	B22D	C21B	C21C	C21D	C22B	C22C	C22F
		C25C	C25F	B21C	G21H			
25	Manufacture of Fabricated Metal	B21G	B65D (	15.17%)				
	Products, except Machinery and	F27D	A44B	A47H	F22B	F22G	F24J	F16T
	Equipment [25]	F17B	G21C	G21D	G21B	B63G	F41A	F41B
		F41C	F41F	F41G	F41H	F41J	F42C	G21J
		B22F	C23D	C25D	E05B	E05D	E05F	E06B
		A01L	F16B	E05C				
26	Manufacture of Computer,	G11C	H01C	H01F	H01G	H01J	H01L	H05K
	Electronic and Optical Products [26]	C30B	B82B	B81B	B81C	B82Y	G06C	G06D
		G06E	G06F	G06G	G06J	G06N	G06T	G02F
		G09C	G08B	H04B	H04J	H04K	H04M	H04Q
		H04L	H03B	H03C	H03D	H03G	H03H	H03M
		G03H	H03J	H04H	H04N	H04R	H04S	H04W
		H01Q	H01S	H03K	H03L	H03F	F15C	G01B
		G01C	G01D	G01F	G01H	G01J	G01M	G01N
		G01R	G01S	G01W	G12B	G01Q	G04R	G01V
		G01K	G01L	G05B	G08C	G05F	G04B	G04C
		G04D	G04F	G04G	A61N	H05G	G21K	H05H
		G02B	G02C	G03B	G03C			
27	Manufacture of Electrical Equipment	H02K	H02N	H02P	H02B	H02J	H01M	H01B
	[27]	H02G	H01H	H01R	F21H	F21K	F21L	F21M
		F21S	F21V	H01K	F21P	F21Q	F21W	F21Y
		A21B	A45D	A47G	A47J	A47L	B01B	D06F
		E06C	F24B	F24C	F24D	F25C	F25D	H05B
		B60M	B61L	G08G	G10K	H01T	H02H	H02M
_		H05C	H01P					
28	Manufacture of Machinery and	B23F	F01B	F01C	F01D	F03B	F03C	F03D
	Equipment N.E.C. [28]	F03G	F04B	F04C	F04D	F23R	F15B	F16C
		F16D	F16F	F16H	F16K	F16M	G05D	G05G
		F01K	F01M	F01N	FO1P	F02G	F02C	F02K
		A47K	F23G	F27B	B66B	B66D	B66F	B61B
		B60S	E02C	G07B	G07C	G07D	G07F	G07G
		G09D	G09G	G11B	B41J	B41K	B43M	G06K
		G06M	G10L	G03G	F24F	F24H	F28F	H05F
		G01G	C10F	B01D	B04C	B05B	A62C	F23J
		B65G	B66C	C12L	F22D	F23B	F23C	F23D
		F23H	F23K	F23L	F23M	F25B	F28B	F28C

		F28D	F28G	F16G	F23N	A01B	A01C	A01D
		A01F	A01G	A01K	A01M	B27L	B24D	B21K
		B21L	B25B	B25C	B25F	B25G	B25H	B26B
		B27G	B21D	B21F	B21H	B21J	B23B	B23C
		B23D	B23G	B23H	B23K	B23P	B23Q	B24B
		B24C	B25D	B25J	B26F	B27B	B27C	B27F
		B27J	B28D	B30B	B44C	B65F 1	/*	
		B65F 5	5/*	B65F 7	'/*	B65F 9		
		F15D	A21C	A22B	A22C	A23N	A24C	A41H
		A42C	A43D	B02B	B02C	B05C	B05D	B06B
		B07B	B07C	B08B	B21B	B22C	B26D	B31B
		B31C	B31D	B31F	B41B	B41C	B41D	B41F
		B41G	B41L	B41N	B42B	B42C	B44B	B65B
		B65C	B65H	B67B	B67C	B68F	C13C	C13D
		C13G	C13H	C23C	D06G	D06H	D21B	D21D
		D21G	E01C	E02D	E02F	E21B	E21D	E21F
		F04F	F16N	F26B	E01D	E01F	E21C	D01B
		D01D	D01G	D01H	D02G	D02H	D02J	D01B
		D01D	D013	D04B	D02G	D05B	D05C	D06B
		D21F	E05G	E01H	B01F	B03B	B03C	B03D
		C14B	F16P	LOIII	DOTI	БОЗБ	БОЭС	D03D
29	Manufacture of Motor Vehicles,	B60B	B60D	B60G	B60H	B60J	B60K	B60L
23	Trailers and Semi-Trailers [29]	B60N	B60P	B60Q	B60R	B60T	B62D	F01L
	Trailers and Semi-Trailers [23]	F02B	F02D	F02F	F02M	F02N	F02P	F16J
		G01P	B60W	FUZF	FUZIVI	FUZIN	FUZP	LIOJ
30	Manufacture of Other Transport			DCOF	DCOV/	DC1C	DC1D	DC1F
30	Manufacture of Other Transport	B65F 3		B60F	B60V	B61C	B61D	B61F
	Equipment [30]	B61G	B61H	B61J	B61K	B62C	B62H	B62J
		B62K	B62L	B62M	B63B	B63C	B63H	B63J
24		B64B	B64C	B64D	B64F	B64G	E01B	F03H
31	Manufacture of Furniture [31]	A47B	A47C	A47D	A47F			
32	Other Manufacturing [32]	F16L	A45C	D07B	A41G	A42B	A44C	A45B
		A45F	A46B	A46D	A63B	A63C	A63D	A63F
		A63G	A63H	A63J	A63K	B43K	B43L	B44D
		B62B	B68G	C06F	F23Q	G10B	G10C	G10D
		G10F	G10G	G10H	A61B	A61C	A61D	A61F
		A61G	A61H	A61J	A61L	A61M		
					: A61K 8,			
		B01L	B04B	G01T	G21G A	462B	G09B	G09F
		G03D	G03F					
42	Civil Engineering [42]	E03B	E03C	E02B				
43	Specialised Construction Activities [43]	E04G	E04B	E04C	E04D	E04F	E03F	E04H
62	Computer Programming, Consultancy and Related Activities [62]	G06Q						
Co-IPC	remove this code and allocate by following the co-IPC	F16S	B29K	B29L	C12R			

#### 4. Explanatory comments on the concordance

## 4.1. Food & Beverages

• The class 'Food & Beverages', present in the NACE 1.1 classification, has been disaggregated into 'Manufacture of Food Products' and 'Manufacture of Beverages' in the NACE Rev.2 classification. For IPC A23L (foods, foodstuffs, or non-alcoholic beverages, not covered by subclasses A23B to A23J) that spans both foods/foodstuffs and beverages, the more fine-grained IPC 'main group' level (IPC5-digit) is used to arrive at a correct classification under either 'Food' or 'Beverages'.

Table 3: Distribution of IPC A23L over NACE Rev.2 industries

Subclass (IPC4-digit)	NACE 1.1	Main group (IPC5-digit)	IPC5 Description	NACE Rev.2	NACE Rev.2 Description
A23L	Food & Beverages 15	A23L 1/*	Foods or foodstuffs; Their preparation or treatment (preservation thereof in general A23L 3/00) [4]	10	Food
A23L	Food & Beverages 15	A23L 2/*	Non-alcoholic beverages; Dry compositions or concentrates thereof; Their preparation (soup concentrates A23L 1/40; preparation of non-alcoholic beverages by removal of alcohol C12H 3/00) [2]	11	Beverages
A23L	Food & Beverages 15	A23L 3/*	Preservation of foods or foodstuffs, in general, e.g. pasteurising, sterilising, specially adapted for foods or foodstuffs (preserving foods or foodstuffs in association with packaging B65B 55/00)	10	Food

• Whereas parts of C13B (production of sucrose; apparatus specially adapted thereof) explicitly deal with juice, the majority of patents with this IPC code are related to the food industry. Hence, this IPC code is attributed to NACE code 10, 'Manufacture of Food Products'.

#### 4.2. Pharmaceuticals/Cosmetics/Chemicals

• For A61K (Preparations for Medical, Dental, or Toilet purposes) the more fine-grained IPC main group level is used to separate A61K 8/ from all other main groups under A61K. All A61K groups

belong to the 'Manufacture of Basic Pharmaceutical Products and Pharmaceutical Preparations' (NACE Rev.2 21) except A61K 8/, which belongs to NACE Rev.2 20.4, the 'Manufacture of Soap and Detergents. Cleaning and Polishing Preparations. Perfumes and Toilet Preparations' industry. Therefore, the concordance table contains a Boolean condition:

- If any group under A61K, except for group A61K 8/, appears in a patent application, the NACE industry 21 'Manufacture of Basic Pharmaceutical Products and Pharmaceutical Preparations' is assigned to the patent.
- If A61K 8/ appears in a patent application, the NACE industry 20.4
   'Manufacture of Soap and Detergents. Cleaning and Polishing Preparations. Perfumes and Toilet Preparations' is assigned to the patent.
- If any group under A61K appears together with A61K 8/ in a patent application, both industries are assigned to the patent ('Manufacture of Basic Pharmaceutical Products and Pharmaceutical Preparations' (NACE 21) and 'Manufacture of Soap and Detergents. Cleaning and Polishing Preparations. Perfumes and Toilet Preparations' (NACE 20.4)).
- Moreover, some IPC codes C07B (general methods of organic chemistry; apparatus thereof), C07C (acyclic or carbocyclic compounds), C07F (acyclic, carbocyclic, or heterocyclic compounds containing elements other than carbon, hydrogen, halogen, oxygen, nitrogen, sulphur, selenium or tellurium), C07G (compounds of unknown composition), C12M (apparatus for enzymology or microbiology), C12S (processes using enzymes or micro-organisms to liberate, separate or purify a pre-existing compound or composition) and C40B (combinatorial chemistry; libraries, e.g. chemical libraries, in-silico libraries) contain another Boolean condition regarding A61K that stems from the Fraunhofer 35 Classification developed by Schmoch U. in 2008<sup>4</sup>. The NACE Rev.2 industries (NACE 20.1 'Manufacture of Basic Chemicals. Fertilisers and Nitrogen Compounds. Plastics and Synthetic Rubber in Primary Forms', NACE 20.5 ' Manufacture of Other Chemical Products', and NACE 32.5 'Manufacture of Medical and Dental Instruments and Supplies') of these IPC codes are valid, only when they do not co-occur with any group of A61K (except with group A61K 8/ because of the condition specified in the previous bullet point):
  - If C07B, C07C, C07F, C07G, C12M, C12S and C40B do co-occur with any group of A61K (except with group A61K 8/) in a patent application, the NACE industry 21 'Manufacture of Basic Pharmaceutical Products and Pharmaceutical

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<sup>&</sup>lt;sup>4</sup> Schmoch U. (2008) Concept of a Technology Classification for Country Comparisons. http://www.wipo.int/export/sites/www/ipstats/en/statistics/patents/pdf/wipo\_ipc\_technology.pdf

- Preparations' corresponding to any group of A61K except A61K 8/ is assigned to the patent and not the original NACE industry of C07B, C07C, C07F, C07G, C12M, C12S and C40B.
- In case these 7 IPC codes co-occur with A61K 8/, both industries are assigned to the patent. (e.g. C07B (original industry, NACE 20.1 Basic Chemicals) and A61K 8/ (NACE 20.4 'Manufacture of Soap and Detergents. Cleaning and Polishing Preparations. Perfumes and Toilet Preparations')).
- In case these 7 IPC codes co-occur with A61K as well as A61K 8/, all three industries are assigned to the patent. (e.g. C07B (original industry, NACE 20.1 Basic Chemicals) and e.g. A61K6 (NACE 21 Pharma) and A61K 8/ (NACE 20.4 'Manufacture of Soap and Detergents. Cleaning and Polishing Preparations. Perfumes and Toilet Preparations'))

#### 4.3. Measuring Instruments & Industrial Control

• Two technology fields (Measuring Instruments and Industrial Control), which were separate categories on the NACE 3-digit level in the previous NACE 1.1 classification, are brought together in the NACE Rev.2 classification under the category Manufacture of Instruments and Appliances for Measuring, Testing and Navigation; Watches and Clocks (NACE Rev.2 26.5).

Table 4: FhG38 and FhG39 under 1 and the same NACE Rev.2

FhG44	FhG44_title	NACE 1.1	NACE 1.1_title	NACE Rev.2	NACE Rev.2_title
38	Measuring instruments	33.2	Measuring instruments	26.5	Manufacture of Instruments and Appliances for Measuring, Testing and Navigation; Watches and Clocks [26.5]
39	Industrial control	33.3	Industrial process control equipment	26.51	Manufacture of Instruments and Appliances for Measuring, Testing and Navigation [26.51]

#### 4.4. Indexing schemes and IPC F16S

• The following IPC codes, have been allocated to the NACE industries associated with the co-IPC codes: F16S (constructional elements in general; structures built-up from such elements, in general), B29K (indexing scheme associated with subclasses B29B, B29C or B29D, relating to moulding materials or to materials for reinforcements, fillers or preformed parts), B29L (indexing scheme associated with subclass B29C, relating to particular articles) and C12R (indexing scheme associated with subclasses C12C-C12Q or C12S, relating to micro-organisms). All these IPC classes are new, have a limited number of patent applications, hardly occur alone, and mostly co-occur in a secondary position.

#### 4.5. Containers

• B65D (containers) can be affiliated to different industries, depending on the material used to construct containers (plastic, metal, glass, wood, textile, and paper). For these IPC codes, we categorized patent documents (from EPO & USPTO) based on terms reflecting the material used into plastic, glass, paper, metal, textile and wood. This resulted in the following frequencies and, hence, proportions. Thus, we suggest allocating this IPC code proportionally to the various industries that are suggested.

Table 5: Fractional count for B65D (Containers)

CATEGORY	NACE Rev.2 code & title	PT CNT	%
Plastic containers	22.22 - Manufacture of Plastic Packing Goods	3188	35,96%
Glass containers	23.13 - Manufacture of Hollow Glass	1889	21,31%
Paper containers	17.21 - Manufacture of Corrugated Paper and Paperboard and of Containers of Paper and Paperboard	1812	20,44%
Metal containers	25.91 - Manufacture of Steel Drums and Similar Containers	1345	15,17%
Textile containers	13.9 - Manufacture of Other Textiles	521	5,88%
Wooden containers	16.24 - Manufacture of Wooden Containers	111	1,25%
TOTAL		8866	100,00%

#### 4.6. Nuclear Technology

- G21B (fusion reactors) has been attributed to NACE 25.30 (Manufacture of steam generators, except central heating hot water boilers) as this industry covers the manufacture of nuclear reactors.
- G21H (obtaining energy from radioactive sources; applications of radiation from radioactive sources; utilising cosmic radiation): As most applicants have a 'nuclear' profile, this IPC code is associated with NACE class 24.46 (Processing of Nuclear Fuel).

## 4.7. Nano-Technology

 B81B (micro-structural devices or systems), B82Y (specific uses or applications of nanostructures; measurement or analysis of nano-structures; manufacture or treatment of nanostructures) and B82B (nano-structures formed by manipulation of individual atoms, molecules, or limited collections of atoms or molecules as discrete units; manufacture or treatment thereof) are rather broad technological fields, which might result in applications being situated in different industries. Currently, B81B has been associated with NACE class 26.11 (Manufacture of Electronic Components and Boards). Depending on co-occurring IPC codes, other options might be considered (within the 26 category).

## 4.8. Services

E02B (hydraulic engineering), E03B (installations or methods for obtaining, collecting, or distributing water), E03C (domestic plumbing installations for fresh water or waste water), E03F (sewers; cesspools), E04B (general building constructions), E04C (structural elements; building materials), E04D (roof coverings; sky-lights; gutters; roof-working tools), E04F (finishing work on buildings), E04G (scaffolding; forms; shuttering), E04H (buildings or like structures for particular purposes; swimming or splash baths or pools; masts; fencing; tents or canopies) and G06Q (data processing systems or methods, specially adapted for administrative, commercial, financial, managerial, supervisory or forecasting purposes) are classified under a service related NACE Rev.2 category since no appropriate match was found in the industrial categories.

Table 6: IPC codes classified under service related NACE categories

	Table 6: IPC codes classified under	service r	elated NACE categories
Subclass	Subclass (IPC4-digit) Description	NACE	NACE Rev.2_title
(IPC4-digit)		Rev.2	
E02B	Hydraulic Engineering	42.91	Construction of Water Projects
E03B	Installations or Methods for obtaining, collecting, or distributing water), EO3C (domestic plumbing installations for fresh water or waste water)	42.2	Construction of Utility Projects
E03F	Sewers; Cesspools	43	Specialised Construction Activities
E04B	General Building Constructions	43	Specialised Construction Activities
E04C	Structural Elements; Building Materials	43	Specialised Construction Activities
E04D	Roof coverings; Sky-lights; Gutters; Roof-working tools	43	Specialised Construction Activities
E04F	Finishing work on Buildings	43	Specialised Construction Activities
E04G	Scaffolding; Forms; Shuttering	43	Specialised Construction Activities
Е04Н	Buildings or like structures for Particular purposes; Swimming or Splash baths or Pools; Masts; Fencing; Tents or Canopies	43	Specialised Construction Activities
G06Q	Data processing Systems or Methods, specially adapted for administrative, commercial, financial, managerial, supervisory or forecasting purposes	62	Computer Programming, Consultancy and Related Activities

#### 4.9. Miscellanea

B65F (Gathering or Removal of Domestic or Like Refuse) was previously allocated to 1 NACE 1.1 code 28 (Fabricated Metal Products). During validation, it became clear that this IPC code covers not only the Metal industry but also the Transport Equipment industry. Therefor B65F was analysed on the more fine grained Main group IPC level. Table 7 below shows the results of the analysis.

Table 7: Distribution of IPC B65F over NACE Rev.2 industries

Subclass	NACE	Main group	IPC5 Description	NACE	NACE Rev.2 Description
(IPC4-digit)	1.1	(IPC5-digit)		Rev.2	
B65F	28	B65F 1/*	Refuse receptacles (containers not specially adapted for refuse, features of refuse receptacles of general interest B65D)	28.9	Manufacture of Other Special Purpose Machinery [28.9]
B65F	28	B65F 3/*	Vehicles particularly adapted for collecting refuse (vehicles in general B60; driving vehicle equipment or auxiliaries B60K; discharging contents by tilting entire vehicles B65G; wheeled apparatus for emptying sewers or cesspools E03F 7/10)	30	Manufacture of Other Transport Equipment [30]
B65F	28	B65F 5/*	Gathering or removal of refuse otherwise than by receptacles or vehicles (storage silos, charging or discharging thereof B65G)	28.9	Manufacture of Other Special Purpose Machinery [28.9]
B65F	28	B65F 7/*	Cleaning or disinfecting devices combined with refuse receptacles or refuse vehicles (such devices per seA61L, B08B)	28.9	Manufacture of Other Special Purpose Machinery [28.9]
B65F	28	B65F 9/*	Transferring of refuse between vehicles or containers with intermediate storage or pressing (presses for baling per seB30B 9/30) [4]	28.9	Manufacture of Other Special Purpose Machinery [28.9]

H03K (pulse technique) and H03L (automatic control, starting, synchronisation, or stabilisation
of generators of electronic oscillations or pulses) are relevant to electronic circuits,
telecommunications, audio-visual electronics, computers etc., hence, the corresponding NACE
Rev.2 categories 26.2, 26.3, 26.4. Currently, most applications seem to be situated in audiovisual electronics and, therefore, the IPC is allocated to NACE Rev.2 26.4 (Manufacture of

Consumer Electronics). These IPC codes can, however, be considered relevant to other industries.

- H05H (plasma technique) can be affiliated to several NACE Rev.2 codes. NACE Rev.2 class 26.60 (Manufacture of Irradiation Equipment) seems the most suitable class although a part of this IPC deals with metal welding.
- C30B (single-crystal growth) covers all materials made into single crystals/homogeneous polycrystals, and the applications are far-ranging from pharmaceutics to aeronautics. Currently, most applications are situated in (opto-) electronics so NACE Rev.2 code 26.1 (Manufacture of Electronic Components and Boards) has been affiliated to this IPC code.
- E03D (water-closets or urinals with flushing devices; flushing valves thereof) is classified under NACE Rev.2 23.42 (Manufacture of Ceramic Sanitary Fixtures) rather than 22.23 (Manufacture of Builders' Ware of Plastic).
- F16P (safety devices in general): Within the NACE Rev.2 classification, no separate category dealing with safety is discerned. Therefore, F16P is classified under NACE Rev.2 28.99 (Manufacture of Other Special Purpose Machinery N.E.C.).

**ANNEX** 

Annex 1. Concordance IPC V8 - NACE Rev. 2 on the 3-digit level

	Annex 1. Concordance IPC	V8 - NA	CE Rev.	2 on the	e 3-digit	level		
10	Manufacture of Food Products [10]	A01H A23J	A21D A23K	A23B A23P	A23C C12J	A23D C13F	A23F C13J	A23G C13K
		A23L 1,		A23L 3		C13B	C131	CIOK
10.5	Manufacture of Dairy Products [10.5]	A23L 1	<u>'</u>	743L 3	'/	CIOD		
11	Manufacture of Beverages [11]	C12C	C12F	C12G	C12H	A23L 2,	/*	
12	Manufacture of Tobacco Products	A24B	A24D	A24F	CIZII	AZJL Z	<u>'</u>	
	[12]							
13	Manufacture of Textiles [13]	D06C	D04G	D04H	D06J	D06M	D06P	D06Q
10.0		D04D	D06N					
13.9	Manufacture of Other Textiles [13.9]	B65D (	5,88%)					
14	Manufacture of Wearing Apparel [14]	A41B	A41C	A41D	A41F			
15	Manufacture of Leather and Related Products [15]	A43B	A43C	B68B	B68C			
16	Manufacture of Wood and of Products of Wood and Cork. Except Furniture; Manufacture of Articles of Straw and Plaiting Materials [16]	B27D	B27H	B27M	B27N			
16.2	Manufacture of Products of Wood, Cork, Straw and Plaiting Materials [16.2]	B65D (	1,25%)					
17	Manufacture of Paper and Paper Products [17]	B42F	D21C	D21H	D21J			
17.2	Manufacture of Articles of Paper and Paperboard [17.2]	B65D (2	20,44%)					
18.1	Printing and Service Activities Related to Printing [18.1]	B41M	B42D	B44F				
19	Manufacture of Coke and Refined Petroleum Products [19]	C10G	C10L					
20.1	Manufacture of Basic Chemicals.		ot A61K	•				
	Fertilisers and Nitrogen Compounds.		ot A61K e	•				
	Plastics and Synthetic Rubber in		ot A61K e	•	-			
	Primary Forms [20.1]		ot A61K	-				
			ot A61K	•	t A61K 8/			
		CO8B	CO8F	C08G	C08K	C08L	C05B	C05C
		C05D	C05F	C05G	C09B	CO9C	C09K	C10B
		C10C	C10H	C10J	C10K	C01B	CO1C	CO1D
		C01F	C01G	C25B	B01J	F25J	B09B	B09C
20.2	Manufashum of Dashinidas and Cit	CO2F	G21F	C08J	F17C	F17D		
20.2	Manufacture of Pesticides and Other Agrochemical Products [20.2]	A01N	A01P					
20.3	Manufacture of Paints. Varnishes and Similar Coatings. Printing Ink and Mastics [20.3]	C09D	B27K					
20.4	Manufacture of Soap and Detergents. Cleaning and Polishing Preparations. Perfumes and Toilet Preparations [20.4]	CO9F	C11D	D06L	A61K 8		A61Q	
20.5	Manufacture of Other Chemical	C08H	C06D	C09G	C09H	C09J	C10M	C11B
	Products [20.5]	C11C	C23F	C23G	C14C	A62D	D01C	C10N

		C40B n	ot A61K ( F42D	except A6	51K 8/*	C06C	C06B F	42B	
20.6	Manufacture of Man-Made Fibres [20.6]	D01F							
21	Manufacture of Basic Pharmaceutical Products and Pharmaceutical Preparations [21]	A61P C12Q	C07D A61K (6	C07H except A6	C07J 51K 8/*)	C07K	C12N	C12P	
22	Manufacture of Rubber and Plastic Products [22]	B67D	B29C	B29D	B60C				
22.1	Manufacture of Rubber Products [22.1]	C08C							
22.2	Manufacture of Plastics Products [22.2]	B29B B65D (	35.96%)						
23	Manufacture of Other Non-Metallic Mineral Products [23]	B32B							
23.1	Manufacture of Glass and Glass	B65D (	21,31%)						
	Products [23.1]	C03C	C03B						
23.3	Manufacture of Clay Building Materials [23.3]	B28B	B28C						
23.4	Manufacture of Other Porcelain and Ceramic Products [23.4]	E03D							
23.5	Manufacture of Cement, Lime and Plaster [23.5]	C04B							
24	Manufacture of Basic Metals [24]	B22D C25C	C21B C25F	C21C B21C	C21D	C22B	C22C	C22F	
24.4	Manufacture of Basic Precious and Other Non-Ferrous Metals [24.4]	G21H							
25.1	Manufacture of Structural Metal Products [25.1]	B21G	F27D	A44B	A47H				
25.2	Manufacture of Tanks. Reservoirs and Containers of Metal [25.2]	F22B	F22G	F24J	F16T	F17B			
25.3	Manufacture of Steam Generators. Except Central Heating Hot Water Boilers [25.3]	G21C	G21D	G21B					
25.4	Manufacture of Weapons and Ammunition [25.4]	B63G F41J	F41A F42C	F41B G21J	F41C	F41F	F41G	F41H	
25.5	Forging. Pressing. Stamping and Roll- Forming of Metal; Powder Metallurgy [25.5]	B22F							
25.6	Treatment and Coating of Metals; Machining [25.6]	C23D	C25D						
25.7	Manufacture of Cutlery, Tools and General Hardware [25.7]	E05B	E05D	E05F	E06B				
25.9	Manufacture of Other Fabricated Metal Products [25.9]	A01L F16B	B65D (:	15,17%)					
26.1	Manufacture of Electronic Components and Boards [26.1]	G11C C30B	H01C B82B	H01F B81B	H01G B81C	H01J B82Y	H01L	H05K	
26.2	Manufacture of computers and	G06C	G06D	G06E	G06F	G06G	G06J	G06N	
20.2	peripheral equipment [26.2]	G06C G06T	G00D G02F	G09C	GUUF	9000	GOOJ	GUDIN	
26.3	Manufacture of Communication	G08B	H04B	H04J	H04K	H04M	H04Q	H04L	
	inanaracture or communication	2000	11040	11043	11041	110-111	11044	1104L	
	Equipment [26.3]	H03B	H03C	H03D	H03G	H03H	H03M	G03H	

		11046						
26.4		H01S						
26.4	Manufacture of Consumer Electronics [26.4]	H03K	H03L	H03F				
26.5	Manufacture of Instruments and	F15C	G01B	G01C	G01D	G01F	G01H	G01J
	Appliances for Measuring. Testing	G01M	G01N	G01R	G01S	G01W	G12B	G01Q
	and Navigation; Watches and Clocks	G04R	G01V	G01K	G01L	G05B	G08C	G05F
	[26.5]	G04B	G04C	G04D	G04F	G04G		
26.6	Manufacture of irradiation. Electro-	A61N	H05G	G21K	H05H			
20.0	medical and electrotherapeutic equipment [26.6]	7,011	11030	OZIK	110311			
26.7	Manufacture of Optical Instruments and Photographic Equipment [26.7]	G02B	G02C	G03B				
26.8	Manufacture of Magnetic and Optical Media [26.8]	G03C						
27.1	Manufacture of Electric Motors.	H02K	H02N	H02P	H02B	H02J		
27.1	Generators. Transformers and Electricity Distribution and Control Apparatus [27.1]	HOZK	110211	11021	HOZB	11023		
27.2	Manufacture of Batteries and Accumulators [27.2]	H01M						
27.3	Manufacture of Wiring and Wiring Devices [27.3]	H01B	H02G	H01H	H01R			
27.4	Manufacture of Electric Lighting	F21H	F21K	F21L	F21M	F21S	F21V	H01K
	Equipment [27.4]	F21P	F21Q	F21W	F21Y			
27.5	Manufacture of Domestic Appliances	A21B	A45D	A47G	A47J	A47L	B01B	D06F
	[27.5]	E06C	F24B	F24C	F24D	F25C	F25D	H05B
27.9	Manufacture of other electrical	B60M	B61L	G08G	G10K	H01T	H02H	H02M
27.3	equipment [27.9]	H05C	H01P	0000	GIOR	11011	110211	1102111
28.1	Manufacture of General Purpose	B23F	F01B	F01C	F01D	F03B	F03C	F03D
	Machinery [28.1]	F03G	FO4B	F04C	F04D	F23R	F15B	F16C
	Wacimiery [20.1]	F16D	F16F	F16H	F16K	F16M	G05D	G05G
		F01K	F01M	F01N	F01P	F02G	F02C	F02K
		A47K	LOTIVI	FOTIN	FOIP	FUZG	FUZC	FUZK
28.2	Manufacture of Other General	F23G	F27B	B66B	B66D	B66F	B61B	B60S
	Purpose Machinery [28.2]	E02C	G07B	G07C	G07D	G07F	G07G	G09D
	Turpose Machinery [20.2]	G09G	G11B	B41J	B41K	B43M	G06K	G06M
		G10L	G03G	F24F	F24H	F28F	H05F	G00W
		C10F	B01D	B04C	B05B	A62C	F23J	B65G
		B66C	C12L	F22D	F23B	F23C	F23D	F23H
		F23K	F23L	F23M	F25B	F28B	F28C	F28D
		F28G	F16G	F23N				
28.3	Manufacture of Agricultural and Forestry Machinery [28.3]	A01B B27L	A01C	A01D	A01F	A01G	A01K	A01M
28.4	Manufacture of Metal Forming	B24D	B21K	B21L	B25B	B25C	B25F	B25G
	Machinery and Machine Tools [28.4]	B25H	B26B	B27G	B21D	B21F	B21H	B21J
		B23B	B23C	B23D	B23G	B23H	B23K	B23P
		B23Q	B24B	B24C	B25D	B25J	B26F	B27B
		B27C	B27F	B27J	B28D	B30B		_
28.9	Manufacture of Other Special	B44C				B65F 7/* B65F 9/*		
	Purpose Machinery [28.9]	F15D				A23N A24C A41H		
	. a. pose machinery [20.5]	A42C			B05C B		B06B	
		B07B	B07C	B08B	B21B	B22C B		B31B
			_3,0					

		B31C	B31D	B31F	B41B	B41C	B41D	B41F
		B41G	B41L	B41N	B42B	B42C	B44B	B65B
		B65C	B65H	B67B	B67C	B68F	C13C	C13D
		C13G	C13H	C23C	D06G	D06H	D21B	D21D
		D21G	E01C	E02D	E02F	E21B	E21D	E21F
		F04F	F16N	F26B	E01D	E01F	E21C	D01B
		D01D	D01G	D01H	D02G	D02H	D02J	D03C
		D03D	D03J	D04B	D04C	D05B	D05C	D06B
		D21F	E05G	E01H	B01F	B03B	B03C	B03D
		C14B	F16P					
29.1	Manufacture of Motor Vehicles	B60B	B60D	B60G	B60H	B60J	B60K	B60L
	[29.1]	B60N	B60P	B60Q	B60R	B60T	B62D	F01L
		F02B	F02D	F02F	F02M	F02N	F02P	F16J
		G01P						
29.3	Manufacture of Parts and	B60W						
	Accessories for Motor Vehicles [29.3]							
30	Manufacture of Other Transport	B65F 3	•	B60F	B60V	B61C	B61D	B61F
	Equipment [30]		B61H	B61J	B61K	B62C	B62H	B62J
		B62K		B62M	B63B	B63C	B63H	B63J
		B64B	B64C	B64D	B64F	B64G	E01B	F03H
31	Manufacture of Furniture [31]	A47B	A47C	A47D	A47F			
32	Other Manufacturing [32]	F16L	A45C	D07B	A41G	A42B	A44C	A45B
		A45F	A46B	A46D	A63B	A63C	A63D	A63F
		A63G	A63H	A63J	A63K	B43K	B43L	B44D
		B62B	B68G	C06F	F23Q	G10B	G10C	G10D
		G10F	G10G	G10H				
32.5	Manufacture of Medical and Dental	A61B	A61C	A61D	A61F	A61G	A61H	A61J
	Instruments and Supplies [32.5]	A61L A61M C12M not A61K except A61K 8/* B01						B01L
		B04B G01T G21G A62B						
32.9	Manufacturing N.E.C. [32.9]	G09B	G09F	G03D	G03F			
42.2	Construction of Utility Projects [42.2]	E03B	E03C					
42.9	Construction of Other Civil	E02B						
	Engineering Projects [42.9]							
43	Specialised Construction Activities [43]	E04G	E04B	E04C	E04D	E04F	E03F	E04H
62	Computer Programming. Consultancy and Related Activities [62]	G06Q						
NACE of Co-IPC	NACE-allocation by following the Co- IPC	F16S	B29K	B29L	C12R			