Phase diagram of the one-dimensional Hubbard-Holstein model at quarter filling

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We derive an effective Hamiltonian for the one-dimensional Hubbard-Holstein model, valid in a regime of both strong electron-electron (e-e) and electron-phonon (e-ph) interactions and in the nonadiabatic limit ($t/\omega_0 \leqslant 1$), by using a nonperturbative approach. We obtain the phase diagram at quarter filling by employing a modified Lanczos method and studying various density-density correlations. The spin-spin AF (antiferromagnetic) interactions and nearest-neighbor repulsion, resulting from the e-e and the e-ph interactions, respectively, are the dominant terms (compared to hopping) and compete to determine the various correlated phases. As e-e interaction (U/t) is increased, the system transits from an AF cluster to a correlated singlet phase through a discontinuous transition at all strong e-ph couplings $2 \leqslant g \leqslant 3$ considered. At higher values of U/t and moderately strong e-ph interactions ($2 \leqslant g \leqslant 2.6$), the singlets break up to form an AF order and then to a paramagnetic order all in a single sublattice; whereas at larger values of g (>2.6), the system jumps directly to the spin disordered charge-density-wave (CDW) phase.

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I. INTRODUCTION

A host of materials show evidence of e-ph interactions besides the expected e-e interactions. Angle-resolved photoemission spectroscopy (ARPES) experiments in cuprates, ^{1,2} fullerides, ³ and manganites ⁴ indicate strong e-ph coupling. The interplay of e-e and e-ph interactions in these correlated systems leads to coexistence of or competition between various phases such as superconductivity, CDW, spin-density-wave (SDW) phases, or formation of novel non-Fermi liquid phases, polarons, bipolarons, ⁵ etc. It is of particular interest to consider both the strong coupling regime, and the crossover to the nonadiabatic limit where the lattice response is not slower in comparison to the heavy effective mass of the correlated electron system.

The simplest framework to analyze the effects of e-e and the concomitant e-ph interactions is offered by the Hubbard-Holstein model whose Hamiltonian is given by

$$H_{hh} = -t \sum_{j\sigma} (c_{j+1\sigma}^{\dagger} c_{j\sigma} + \text{H.c.}) + \omega_0 \sum_{j} a_{j}^{\dagger} a_{j}$$
$$+ g \omega_0 \sum_{j\sigma} n_{j\sigma} (a_j + a_{j}^{\dagger}) + U \sum_{j} n_{j\uparrow} n_{j\downarrow}. \tag{1}$$

Here $n_{j\sigma} \equiv c^{\dagger}_{j\sigma}c_{j\sigma}$ with $c^{\dagger}_{j\sigma}$ and a^{\dagger}_{j} being the creation operators at site j for an electron with spin σ and a phonon, respectively. The Hamiltonian describes a tight-binding model with hopping amplitude t, a set of independent oscillators characterized by a dispersionless phonon frequency ω_0 , along with an onsite Coulomb repulsion of strength U and an onsite electron-phonon interaction of strength g. The Holstein and the Hubbard models are recovered in the limits U=0 and g=0, respectively.

To gain insight into the rich physics of the Hubbard-Holstein model, several studies have been conducted (in one, two, and infinite dimensions and at various fillings) by employing various approaches such as quantum Monte Carlo (QMC),^{6–11} exact diagonalization,^{12–14} density matrix renormalization group (DMRG),¹⁵ dynamical mean field theory

(DMFT), ^{16–23} semianalytical slave boson approximations, ^{24–26} large-N expansion, ²⁷ variational methods based on Lang-Firsov transformation, ²⁸ and Gutzwiller approximation. ²⁹

In contrast to earlier approaches, we utilize a controlled analytic approach (that takes into account dynamical quantum phonons). Our method uses both the strong electron-phonon coupling limit g>1 and the strong Coulomb coupling limit U/t>1 to generate an effective t-J model with displaced oscillators that can then be treated perturbatively, and generates longer-range interactions in an effective Hamiltonian. We then solve this model numerically for finite chains. We then obtain the phase diagram of the Hubbard-Holstein model at quarter filling in one dimension.

Our effective Hamiltonian comprises of two dominant competing interactions—spin-spin AF interaction and nearestneighbor (NN) electron repulsion. In addition, three types of hopping also result—the NN hopping with reduced bandwidth, next-nearest-neighbor (NNN) hopping, and NN spin-pair $\sigma\bar{\sigma}$ hopping. As the e-e interaction U/t is increased, the system sequentially transforms from an AF cluster phase to a correlated singlet phase followed by CDW phase(s) with (e-ph coupling g dependent) accompanying spin order. The most interesting feature is that, at intermediate values of U/tand for all strong e-ph couplings ($2 \le g \le 3$) considered, a phase comprising of correlated NN singlets appears which suggests the possibility for superconductivity occurrence. In this paper we are not considering the situation $U < 2g^2\omega_0$ where onsite bipolarons form. Thus the controversies related to onsite bipolarons, such as those mentioned in Ref. 30, are not pertinent to our treatment involving nearest-neighbor singlets which occur when $U > 2g^2\omega_0$.

The paper is organized as follows. In Sec. II we describe our nonperturbative approach for tackling strong e-ph interaction and derive the effective Hamiltonian. In Sec. III we discuss the region of parameter space for U/t, ω_0/t and g where our derived results are applicable. Next, different correlation functions are analyzed to obtain the various phases and the conditions for transitions between these phases are presented

in Sec. IV. Then in Sec. V we present the phase diagram and in Sec. VI our conclusions.

II. EFFECTIVE HAMILTONIAN

To get the effective Hubbard-Holstein Hamiltonian, we first carry out the well-known Lang-Firsov (LF) transformation³¹ $H_{hh}^{\rm LF}=e^TH_{hh}e^{-T}$, where $T=-g\sum_{j\sigma}n_{j\sigma}(a_j-a_j^{\dagger})$. This transformation clothes the hopping electrons with phonons and displaces the simple harmonic oscillators. On rearranging the various terms, we get the following LF transformed Hamiltonian:

$$H_{hh}^{LF} = -t \sum_{j\sigma} (X_{j+1}^{\dagger} c_{j+1\sigma}^{\dagger} c_{j\sigma} X_j + \text{H.c.}) + \omega_0 \sum_j a_j^{\dagger} a_j$$
$$-g^2 \omega_0 \sum_j n_j + (U - 2g^2 \omega_0) \sum_j n_{j\uparrow} n_{j\downarrow}, \qquad (2)$$

where $X_j = e^{g(a_j - a_j^{\dagger})}$ and $n_j = n_{j\uparrow} + n_{j\downarrow}$. On noting that $X_j^{\dagger} X_j = 1$, we can express, as shown below, our LF transformed Hamiltonian in terms of the composite fermionic operator $d_{j\sigma}^{\dagger} \equiv c_{j\sigma}^{\dagger} X_j^{\dagger}$ (i.e., a fermionic operator dressed with phonons):

$$H_{hh}^{LF} = -t \sum_{j\sigma} (d_{j+1\sigma}^{\dagger} d_{j\sigma} + \text{H.c.}) + \omega_0 \sum_{j} a_{j}^{\dagger} a_{j} + (U - 2g^2 \omega_0) \sum_{j} n_{j\uparrow}^{d} n_{j\downarrow}^{d} - g^2 \omega_0 \sum_{j} (n_{j\uparrow}^{d} + n_{j\downarrow}^{d}),$$
(3)

where $n_{j\sigma}^d = d_{j\sigma}^{\dagger} d_{j\sigma}$. The last term in Eq. (3) represents the polaronic energy and is a constant for a given number of particles and an associated set of parameters. Hence we drop this term from now onwards.

The model represented by Eq. (3) is essentially the Hubbard model for composite fermions with Hubbard interaction $U_{\rm eff} = (U-2g^2\omega_0)$ and can be directly converted to an effective t-J model Hamiltonian in the limit of large $U_{\rm eff}/t$. Thus, we get the effective t-J Hamiltonian for composite fermions by projecting out double occupation:

$$H_{t-J} = P_s \left[-t \sum_{j\sigma} (d^{\dagger}_{j+1\sigma} d_{j\sigma} + \text{H.c.}) + \omega_0 \sum_j a^{\dagger}_j a_j + J \sum_j \left(\vec{S}_j \cdot \vec{S}_{j+1} - \frac{n^d_j n^d_{j+1}}{4} \right) \right] P_s,$$
 (4)

where $n_j^d = n_{j\uparrow}^d + n_{j\downarrow}^d$, $J = \frac{4t^2}{U - 2g^2\omega_0}$, \vec{S}_i is the quantum mechanical spin operator for a spin 1/2 fermion at site i, and P_s is the operator that projects onto the singly occupied subspace. Next, we note that

$$X_{j+1}^{\dagger} X_{j} = e^{g(a_{j+1}^{\dagger} - a_{j+1})} e^{g(a_{j} - a_{j}^{\dagger})}$$

$$= e^{-g^{2}} e^{g(a_{j+1}^{\dagger} - a_{j}^{\dagger})} e^{-g(a_{j+1} - a_{j})}.$$
(5)

The effective t-J Hamiltonian, given in Eq. (4), can be re-expressed in terms of fermionic operators as

$$H_{t-1} = H_0 + H_1, (6)$$

where

$$H_{0} = -te^{-g^{2}} \sum_{j\sigma} P_{s} (c_{j+1\sigma}^{\dagger} c_{j\sigma} + \text{H.c.}) P_{s} + \omega_{0} \sum_{j} a_{j}^{\dagger} a_{j}$$
$$+ J \sum_{j} P_{s} \left(\vec{S}_{j} \cdot \vec{S}_{j+1} - \frac{n_{j} n_{j+1}}{4} \right) P_{s}$$
(7)

and

$$H_1 = -te^{-g^2} \sum_{i\sigma} P_s[c^{\dagger}_{j+1\sigma}c_{j\sigma}(Y_+^{j\dagger}Y_-^j - 1) + \text{H.c.}]P_s. \quad (8)$$

Here we have separated the Hamiltonian into (i) an itinerant electronic system represented by H_0 containing nearest-neighbor hopping with a reduced amplitude (te^{-g^2}) , electronic interactions, and no electron-phonon interaction; and (ii) the remaining part H_1 which is a perturbation and corresponds to the composite fermion terms containing the e-ph interaction with $Y_+^j \equiv e^{\pm g(a_{j+1}-a_j)}$.

A. Perturbation theory

The unperturbed Hamiltonian H_0 is characterized by the eigenstates $|n,m\rangle \equiv |n\rangle_{\rm el} \otimes |m\rangle_{\rm ph}$ and corresponding eigenenergies $E_{n,m} = E_n^{\rm el} + E_m^{\rm ph}$. On noting that the first-order perturbation term is zero (i.e., $\langle 0,0|H_1|0,0\rangle = 0$), we proceed to calculate the second-order perturbation term $E^{(2)} = \sum_{n,m} \frac{\langle 0,0|H_1|n,m\rangle\langle n,m|H_1|0,0\rangle}{E_{0,0}-E_{n,m}}$ in a manner similar to that introduced in Ref. 32. Now, $\Delta E_m = E_m^{\rm ph} - E_0^{\rm ph}$ is a positive integral multiple of ω_0 and $E_n^{\rm el} - E_0^{\rm el} \sim te^{-g^2} \equiv t_{\rm eff}$. In the narrow band limit, that $t_{\rm eff} \ll \omega_0 \ll U_{\rm eff}$, we get the corresponding second-order perturbation term in the effective Hamiltonian for the polarons to be (see Appendix A for details)

$$H^{(2)} = \sum_{m} \frac{\text{ph}\langle 0|H_1|m\rangle_{\text{ph}} \times \text{ph}\langle m|H_1|0\rangle_{\text{ph}}}{-\Delta E_m}.$$
 (9)

Evaluation of $H^{(2)}$ leads to the following expression:

$$H^{(2)} = \sum_{j\sigma\sigma'} P_s[V(c_{j+1\sigma}^{\dagger}c_{j\sigma}c_{j\sigma'}^{\dagger}c_{j+1\sigma'} + c_{j\sigma}^{\dagger}c_{j+1\sigma}c_{j+1\sigma'}^{\dagger}c_{j\sigma'})$$

$$+ t_2(c_{j-1\sigma}^{\dagger}c_{j\sigma}c_{j\sigma'}^{\dagger}c_{j+1\sigma'} + c_{j+1\sigma}^{\dagger}c_{j\sigma}c_{j\sigma'}^{\dagger}c_{j-1\sigma'}$$

$$+ c_{j\sigma}^{\dagger}c_{j+1\sigma}c_{j-1\sigma'}^{\dagger}c_{j\sigma'} + c_{j\sigma}^{\dagger}c_{j-1\sigma}c_{j+1\sigma'}^{\dagger}c_{j\sigma'})]P_s,$$

$$(10)$$

where $V=(2f_1+f_2)t_{\rm eff}^2/\omega_0$ and $t_2=f_1t_{\rm eff}^2/\omega_0$ with $f_1=\sum_{n=1}^{\infty}\frac{g^{2n}}{n!n}$ and $f_2=\sum_{n,m=1}^{\infty}\frac{g^{2(m+n)}}{n!m!(m+n)}$. The value of f_1 and $2f_1+f_2$ can be approximated for large value of g as e^{g^2}/g^2 and $e^{2g^2}/2g^2$, respectively. But in numerical simulation we have calculated the value of f_1 and f_2 by summing over the actual series.

Finally, to calculate each term in Eq. (10), we must project out the double occupancy. We do this projection by replacing every fermionic operator $c_{j\sigma}$ with the fermionic operator $c_{j\sigma}(1-n_{j\bar{\sigma}})$ (see Appendix B for details).

B. Effective electronic Hamiltonian

The effective Hamiltonian, after averaging over the phononic degrees of freedom, is given by

$$H_{hh}^{\text{eff}} \cong -t_{\text{eff}} \sum_{j\sigma} P_{s} (c_{j+1\sigma}^{\dagger} c_{j\sigma} + \text{H.c.}) P_{s}$$

$$+ J \sum_{j} P_{s} \left(\vec{S}_{j} \cdot \vec{S}_{j+1} - \frac{1}{4} n_{j} n_{j+1} \right) P_{s} - \frac{t^{2}}{2g^{2} \omega_{0}}$$

$$\times \sum_{j\sigma} (1 - n_{j+1\bar{\sigma}}) (1 - n_{j\bar{\sigma}}) (n_{j\sigma} - n_{j+1\sigma})^{2}$$

$$- \frac{t^{2} e^{-g^{2}}}{g^{2} \omega_{0}} \sum_{j\sigma} (1 - n_{j+1\bar{\sigma}}) (1 - n_{j\bar{\sigma}}) (1 - n_{j-1\bar{\sigma}})$$

$$\times [c_{j+1\sigma}^{\dagger} (1 - 2n_{j\sigma}) c_{j-1\sigma} + \text{H.c.}]$$

$$- \frac{t^{2} e^{-g^{2}}}{g^{2} \omega_{0}} \sum_{j\sigma} (1 - n_{j+1\bar{\sigma}}) (1 - n_{j-1\sigma})$$

$$\times [c_{j\sigma}^{\dagger} c_{j+1\sigma} c_{j-1\bar{\sigma}}^{\dagger} c_{j\bar{\sigma}} + \text{H.c.}]. \tag{11}$$

Here we have approximated the coefficients as $V \simeq t^2/2g^2\omega_0$ and $t_2 \simeq t^2e^{-g^2}/g^2\omega_0$, which is valid for large values of g. The operator form of the last three terms in Eq. (11) can be visualized by considering different hopping processes depicted in Fig. 1. The third term in Eq. (11) depicts the process where an electron hops to its neighboring site [see Fig. 1(a)] and returns back. This process and its left-to-right reflected counterpart [obtained by interchanging the indices j and j+1 in Fig. 1(a)] together add up to the term $(n_{j\sigma}-n_{j+1\sigma})^2$ whose expansion yields the NN repulsion term $2n_{j\sigma}n_{j+1\sigma}$. The fourth term is composed of the two hopping processes shown in Figs. 1(b) and 1(c). Figure 1(b)

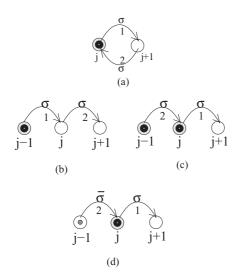


FIG. 1. Different hopping processes contributing to second-order perturbation theory are: (a) $c_{j\sigma}^{\dagger}c_{j+1\sigma}c_{j\sigma}^{\dagger}$, (b) $c_{j+1\sigma}^{\dagger}c_{j\sigma}c_{j-1\sigma}$, (c) $c_{j\sigma}^{\dagger}c_{j-1\sigma}c_{j\sigma}^{\dagger}$, and (d) $c_{j\bar{\sigma}}^{\dagger}c_{j-1\bar{\sigma}}c_{j+1\sigma}^{\dagger}c_{j\sigma}$. Here empty circles correspond to sites with no electrons while circles with big and small dots inside correspond to sites with spin σ and spin $\bar{\sigma}$ electrons, respectively. The numbers 1 and 2 indicate the order of hopping.

represents a double hopping process where a spin σ particle hops first to its NN site and then to its NNN site. Contrastingly, Fig. 1(c) depicts the sequential process involving a pair of spin σ electrons where an electron at site j hops to its neighboring site j+1 followed by another electron at site j-1 hopping to site j. This sequential process may be called $\sigma\sigma$ pair hopping. The fifth term corresponds to Fig. 1(d) and represents a hopping process similar to that shown in Fig. 1(c) but involving a pair of electrons with opposite spins $\bar{\sigma}\sigma$. The additional factors $(1-n_{j+1\bar{\sigma}})(1-n_{j\bar{\sigma}})$, $(1-n_{j+1\bar{\sigma}})(1-n_{j\bar{\sigma}})$, and $(1-n_{j+1\bar{\sigma}})(1-n_{j-1\sigma})$ appearing, respectively in the third, fourth, and fifth terms in Eq. (11) represent projecting out double occupancy at a site. The detailed derivation of these projected terms is given in Appendix B.

The coefficients of the last three terms in Eq. (11) (i.e., $t^2/2g^2\omega_0$ and $t^2e^{-g^2}/g^2\omega_0$), obtained from second-order perturbation theory, are explained with the help of schematic diagrams shown in Fig. 2. We consider two distinct time scales for electronic hopping processes between two adjacent sites: (i) $\sim t_{\rm eff}^{-1}$ associated with either full distortion of the lattice ions to form a small polaronic potential well (of energy $-g^2\omega_0$) or full relaxation from the small polaronic distortion and (ii) $\sim t^{-1}$ during which negligible distortion/relaxation of lattice ions occurs. The process in Fig. 2(a) [schematically representing the distortion for hopping sequence in Fig. 1(a)] shows the process in which an electron goes to its right neighboring site and comes back. The intermediate state has energy $+g^2\omega_0$ and corresponds to fully distorted site *j* but without the electron and neighboring site j + 1 containing the electron but without lattice distortion. To go from the intermediate state to the final one, the electron at site j + 1 hops back to the original site j without any new distortion taking place. Thus, the initial, the intermediate, and the final states all have identical lattice distortions. Hence, the hopping times for both these

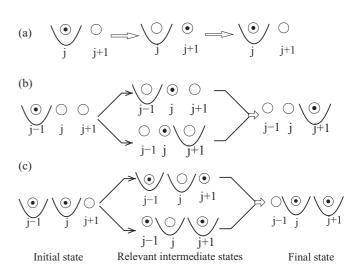


FIG. 2. Schematic representation of the hopping processes considered in Fig. 1 with intermediate states giving the dominant contributions. Here empty circles correspond to empty sites, while circles with small dots indicate particle positions. Parabolic curve at a site depicts full distortion of the lattice ions at that site with corresponding energy $-g^2\omega_0$ ($+g^2\omega_0$) if the electron is present (absent) at that site.

processes is t^{-1} . Then, from second-order perturbation theory, the numerator of the coefficient is t^2 while the denominator (which is energy difference between the intermediate and the initial states) becomes $2g^2\omega_0$ leading to the coefficient $\frac{t^2}{2g^2\omega_0}$ for the processes in Fig. 2(a).

The coefficient of the fourth term $t^2e^{-g^2}/g^2\omega_0$ corresponds to the schematic distortion processes shown in Figs. 2(b) and 2(c) with the pertinent hopping processes being depicted in Figs. 1(b) and 1(c), respectively. For the process where one electron at site j-1 consecutively hops to its NN site jand then to NNN site i + 1, the intermediate states which give dominant contributions are shown in Fig. 2(b). After the electron hops from site j-1 to site j, the upper intermediate state in Fig. 2(b) has the same lattice distortion as the initial state. On the other hand, again in the upper intermediate state in Fig. 2(b), when the electron makes the next hop from site j to j + 1 to produce the final state, there is a distortion at site j + 1 with a concomitant relaxation at the initial site j-1. Hence the coefficient contribution, from the hopping process involving the upper intermediate state in Fig. 2(b), becomes $t \times te^{-g^2}/2g^2\omega_0$. Alternately, after the electron hops from site j-1 to site j, the initial state may lead to the lower intermediate state in Fig. 2(b) where the distortion at site j-1 is completely relaxed while site j+1 gets distorted simultaneously so that the intermediate and the final states have identical lattice distortions. This process too yields a coefficient contribution of $te^{-g^2} \times t/2g^2\omega_0$. The other six possible intermediate states (corresponding to the remaining possibilities of full distortion/relaxation at the three sites) each give $\sim t^2 e^{-2g^2}$ in the numerator and hence we ignore

The coefficient of $\sigma\sigma$ or $\bar{\sigma}\sigma$ pair hopping [depicted by Figs. 1(c) and 1(d), respectively] can be derived from Fig. 2(c) which schematically represents the dominant contributions. The hopping process in Fig. 2(c), with upper (lower) intermediate state, represents sequential hopping where an electron at site j hops to j + 1 and produces the following changes: site j+1 is undistorted (distorted), j-1 distortion is unchanged (relaxed), and j remains distorted. The hopping time for the first hop is 1/t $(1/t_{eff})$ and the change in energy between intermediate and initial states is $2g^2\omega_0$. Next, the electron at site j-1 hops to j with site j-1 relaxing (remaining undistorted) and site j + 1 getting (remaining) distorted. The second hop occurs in time $1/t_{\rm eff}$ (1/t). Thus the $\sigma\sigma$ or $\bar{\sigma}\sigma$ pair hopping process, involving the two dominant intermediate processes of Fig. 2(c), yields the coefficient $t^2 e^{-g^2}/g^2 \omega_0$.

Our system of interacting polarons is obtained by a nonperturbative treatment (involving a phonon vacuum instability), whereas the well-studied weak electron-phonon coupling is treated using perturbation theory.

Here it should be mentioned that the last equation (i.e., t-J-V model) in Ref. 33, meant for the case of bipolarons that are nearest-neighbor singlets, is similar (though not identical) to our Eq. (11) and takes into account dynamical quantum phonons. This t-J-V model³³ (which does not include the NNN hopping terms but only discusses them qualitatively) can be taken as an interesting precursor and as a validation for our derivation.

III. REGION OF VALIDITY OF OUR THEORY

Our theory has three dimensionless parameters—Hubbard interaction U/t, adiabaticity t/ω_0 , and electron-phonon interaction strength g. An increase in U/t, while keeping fixed other dimensionless parameters, will decrease only the spin Heisenberg AF interaction $J=\frac{4t^2}{U-2g^2\omega_0}$ in the effective Hamiltonian of Eq. (11). Furthermore, an increase in g produces an increase in J, a decrease in V, and also a decrease in all the various hopping (i.e., NN hopping, double hopping, and $\sigma\sigma/\sigma\bar{\sigma}$ pair hopping) coefficients in Eq. (11).

For large values of g, the coefficients of NN hopping, NNN hopping, $(\sigma\bar{\sigma})$ pair hopping terms contain e^{-g^2} and hence are small compared to NN repulsion V and spin interaction J. Thus, unlike the usual t-J model, here the spin-spin interaction term dominates over the hopping. Our model basically describes the competition between spin interactions and NN repulsion with the hopping being a small perturbation. By varying the dimensionless parameters in our model, the relative importance of J and V terms can be changed. When the effect of J dominates over that of V, we expect formation of an AF cluster. On the other hand, when NN repulsion is larger than spin interaction, the electrons tend to get separated which can lead to different interesting phases.

For our theory to be valid, the following criterion need to be satisfied: (a) the dimensionless effective Hubbard interaction $U_{\rm eff}/t\gg 1$ so that Eq. (3) can be well approximated by the effective t-J model given in Eq. (4). Actually, for a one-dimensional Hubbard model, calculations³⁴ show that $U_{\rm eff}/t\gtrsim 8.0$ is sufficient to remove double occupancy. (b) NN electronic hopping $t_{\rm eff}$ and spin interaction J should both be negligible compared to the phononic energy ω_0 so as to make our perturbation theory valid; and (c) the small parameter^{35,36} of our perturbation theory $t/(g\omega_0)$ should be kept as small as possible. Our calculations are done for $t/g\omega_0\leqslant 0.5$.

IV. RESULTS AND DISCUSSION

The half-filled case (i.e., average concentration of one electron per site) is not very interesting because, owing to exclusion of double occupancy, we have one electron in every site and consequently no hopping occurs. Here the system behaves like a Heisenberg AF chain with NN repulsion having no effect on electronic correlations. However, at quarter filling there are enough vacancies for electrons so that, besides the spin interaction term, NN repulsion and different kinds of hopping in our model can contribute to a rich phase diagram. Therefore, we concentrate on the ground state properties at quarter filling and analyze the different phases exhibited by our effective Hamiltonian in Eq. (11) and the quantum phase transitions (QPT) between these phases for large values of g, that is, in the strong e-ph coupling limit

We calculate the ground state by employing a modified Lanczos algorithm and using antiperiodic (periodic) boundary conditions for even (odd) number of fermions.³⁷ We have restricted our domain of search to states with zero value for the z component of the total spin (i.e., $S_T^z = 0$). Such a restriction can be justified using Lieb-Mattis theorem.³⁸ Furthermore, we

also carried out selective numerical verification that the ground state does indeed have $S_T^z = 0$.

To get the basis states in the occupation number representation, we exclude double occupancy and permit only the remaining three possible electronic states on any site (i.e., \uparrow , \downarrow , and no particle). The size of the resulting Hilbert space prohibits us from calculating the ground state for large systems. For example, the number of basis states for 16 sites with 8 electrons $(4\uparrow, 4\downarrow)$ is $\binom{16}{8} \times \binom{8}{4} = 900900$ which is quite large but manageable for calculating the ground state. But for 20 sites with 10 electrons $(5\uparrow, 5\downarrow)$, the calculation of ground state requires $\binom{20}{10} \times \binom{10}{5}$ basis states which is prohibitive.

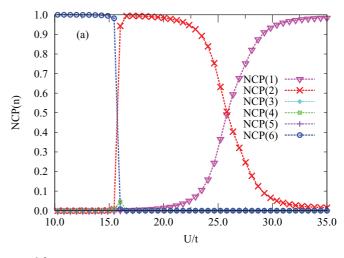
We find evidence for several different types of phases depending on parameter values. Because there is a tendency to form phase separated clusters, we use a "n-particle normalized clustering probability" parameter NCP(n) (defined precisely in Appendix C) which actually measures the normalized probability of n-electron clusters in the ground state of the system. However, NCP(n) does not tell the number of \uparrow and \downarrow electrons or their arrangement in the cluster. To determine the different phases, we need to employ additional measures of ordering such as spin-spin correlation functions, structure factor, etc.

By using modified Lanczos method we iteratively obtain lower and lower energy states and terminate our search for the ground state when several iterations yield consecutive energies that are equal up to a small error. Thus our estimate may contain a linear combination of states with almost identical energies. However, our results for the correlation functions, structure factors, and NCP(n) are virtually unaffected even if we enhance our accuracy.

A. AF cluster to correlated singlets transition

Our numerical calculations were done for $t/\omega_0=1.0$ and $t/\omega_0=0.5$. Since the results are similar for the two values of t/ω_0 considered, here we report only the calculations for $t/\omega_0=1.0$. By varying g and U/t we change the relative importance of J and V terms and get the different phases of the system.

It is clear from Fig. 3(a) that, for $t/\omega_0 = 1.0$ and g = 2.2, for values of U/t up to about 15.6 the NCP(6) \approx 1.0 which implies that all the particles in the system form a single cluster and produce a phase separated state. This is because the effect of spin interaction dominates over the NN repulsion. But upon increasing U/t further, the system undergoes a first-order QPT with NCP(6) discontinuously dropping to almost a zero value and NCP(2) concomitantly jumping abruptly to a value close to 1. The value of NCP(2) remains close to 1 up to $U/t \approx 20.0$. Interestingly, for n = 1, 3, 4, 5 particles, NCP(n) remains almost zero up to $U/t \approx 23.0$. For all values of g in the range $2 \le g \le 3$, when U/t is increased, the system transits discontinuously from a single cluster to a phase comprising of pairs of particles. This is verified for g = 2.8 as well in Fig. 3(b). Moreover, we notice that the correlated pairs phase persists over a broader window of U/t values when g is larger. In fact, for all the system sizes considered (i.e., number of sites N = 8, 12, and 16), we find that the systems manifest a



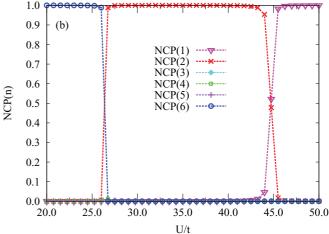


FIG. 3. (Color online) *n*-particle normalized clustering probability [NCP(*n*)] for number of sites = 12, number of electrons = 6 $(3 \uparrow, 3 \downarrow)$, $t/\omega_0 = 1.0$, and when (a) g = 2.2 and (b) g = 2.8.

first-order QPT from an AF cluster of size N/2 to a correlated singlet phase as U/t increases.

Although Fig. 3 demonstrates the QPT clearly, it does not give information about the structure/order of the phases. We will confirm the AF order by analyzing the correlation between density fluctuations of spins (σ and σ' separated by a distance l) through the correlation function $W^{\sigma\sigma'}(l) =$ $\frac{1}{N}\sum_{j}\langle n_{j}^{\sigma}n_{j+l}^{\sigma'}\rangle - \langle n_{j}^{\sigma}\rangle\langle n_{j+l}^{\sigma'}\rangle$ with N being the system size. Here $\langle n_{j}^{\sigma}\rangle$ is the filling factor which for quarter filling is 0.25. Figure 4(a) shows the correlation functions $W^{\sigma\sigma'}(l)$ for U/t = 15.2, $t/\omega_0 = 1.0$, and g = 2.2, that is, away from the transition. This clearly shows that in the cluster the dominant arrangement of the spins is antiferromagnetic with correlation decreasing with distance l. For large g, all the hopping terms in Eq. (11) are practically negligible due to the presence of $\exp(-g^2)$. Furthermore, spin interaction dominates over the nearest-neighbor repulsion. Hence, our effective Hamiltonian for the AF cluster can be approximated by the Heisenberg AF model. This is reflected in the $W^{\sigma\sigma'}(l)$ correlation functions in Fig. 4(a). We compared the correlation functions of Fig. 4(a)with the correlation functions of a cluster of six spins located on a 12-site system and interacting via the pure Heisenberg

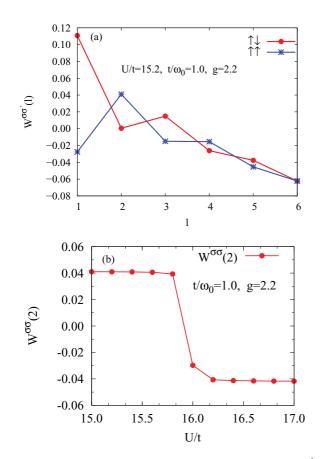


FIG. 4. (Color online) Plot of the correlation functions (a) $W^{\sigma\sigma'}(l)$ portraying an AF cluster for U/t=15.2, $t/\omega_0=1.0$, and g=2.2; and (b) $W^{\sigma\sigma}(2)$ vs U/t again for $t/\omega_0=1.0$ and g=2.2.

AF interaction. The difference in the correlation functions of the two different cases was negligible.

Next, in Fig. 4(b), $W^{\uparrow\uparrow}(2)$ is shown as U/t is varied. There is a sudden jump in $W^{\uparrow\uparrow}(2)$ indicating that the system breaks up into two-particle clusters from an AF cluster. Now we need to determine the structure of the phase with NCP(2) ≈ 1.0 in Fig. 3. The important question is: what is the nature of the pairs? The answer lies in the correlation function plot $W^{\sigma\sigma'}(l)$ shown in Fig. 5 for U/t=18.0 (i.e., deep inside the phase). Here we see that the value of $W^{\uparrow\uparrow}(1)$ is approximately its minimum possible value of -0.0625 which occurs when $\frac{1}{N}\sum_{i}\langle n_{i}^{\sigma}n_{i+1}^{\sigma}\rangle\approx0.0$. This means that each pair is made up of two opposite spin electrons. Furthermore, $W^{\uparrow\downarrow}(1) = 0.0625$ in Fig. 5 which is expected because $W^{\uparrow\downarrow}(1)$ should have a calculated value of $0.25 \times 0.5 - 0.0625 = 0.0625$. Next, we notice that, for $l \ge 2$, $W^{\uparrow\downarrow}(l)$ and $W^{\uparrow\uparrow}(l)$ have the same values. This means that, given a $\sigma\bar{\sigma}$ pair, we get not only an $\uparrow\downarrow$ pair at a distance l with a certain probability but also a $\downarrow \uparrow$ pair at the same distance and with the same probability. Thus a pair of opposite spin electrons located at sites j and j + 1 can either be a singlet or a triplet with $S_{\text{total}}^z = 0$. When acted upon by the operator $(S_j^+ S_{j+1}^- + S_j^- S_{j+1}^+)$, the singlet state yields eigenvalue -1 while the $S_{\text{total}}^z = 0$ triplet state gives +1. Thus to know the nature of the spin pairs in the system, we have calculated the quantity $\sum_{j} \langle (S_{j}^{+} S_{j+1}^{-} + S_{j}^{-} S_{j+1}^{+}) \rangle$ and obtained a value very close to -3.0 for 6 electrons $(3 \uparrow \text{ and } 3 \downarrow)$ for the

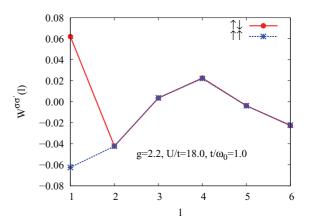


FIG. 5. (Color online) Plot of correlation functions $W^{\sigma\sigma'}(l)$ in the correlated singlet phase for g = 2.2, U/t = 18.0, and $t/\omega_0 = 1.0$.

whole range of $16.0 \lesssim U/t \lesssim 22.5$. Thus the phase is entirely made up of singlets. In Fig. 5 we also notice that $W^{\uparrow\downarrow}(l)$ and $W^{\uparrow\uparrow}(l)$ show a peak at l=4 and slightly lesser values for l=3 and l=5 which is indicative of a CDW corresponding to a $q=2k_F$ instability. We call this phase a correlated singlet phase. This singlet phase at different fillings (i.e., 1/3, 1/4, 1/5, etc.) shows CDW and/or superconductivity signatures whose full analysis will be presented elsewhere.³⁹

The transition from the phase separated state of an AF cluster to correlated singlets can be explained by invoking Bethe ansatz results. Suppose we have a Hamiltonian of the type $H = \tilde{J} \sum_j S_j.S_{j+1} + \tilde{V} \sum_j n_j n_{j+1}$. Then, for the cluster regime, Bethe ansatz yields energy/site $= -0.443 \tilde{J} + 2 \frac{\tilde{V}}{2}$ where as for separated singlets in the correlated singlet phase the energy/site $= -\tilde{J}(0.375) + \frac{\tilde{V}}{2}$. Thus the cluster regime prevails when $-0.443 \tilde{J} + \tilde{V} \leqslant -\tilde{J}(0.375) + \frac{\tilde{V}}{2}$, that is, $\tilde{V} \leqslant 0.136 \tilde{J}$. Now, if in Eq. (11) we neglect all the hopping terms (which is true for large g), based on the above analysis, we obtain the condition for existence of the cluster phase to be $(2V - \frac{J}{4}) \lesssim 0.136 J$ or equivalently $U/t \lesssim 3.544 g^2 \omega_0/t$. This condition gives a very good estimation of the actual phase boundary in the phase diagram given in Fig. 6.

B. Transition from correlated singlets to spins in one sublattice

Here we discuss how, for a fixed g and larger values of U/t, the correlated singlets transit to spins occupying one sublattice. As U/t is increased, J gets reduced and NN repulsion starts dominating over spin interaction leading to the break up of singlets and single spins separating out to occupy one sublattice only. Figure 3(a) shows a sharpening crossover between the two phases of different symmetry which suggests that the transition is either continuous or weakly first order at smaller values of g (such as g = 2.2). We cannot study large enough system sizes to perform a scaling analysis. On the other hand, this transition is discontinuous at larger values of g [say g = 2.8 as shown in Fig. 3(b)].

1. Transitions at smaller g

For smaller values of g (i.e., 2 < g < 2.6), the rise [drop] in NCP(1) [NCP(2)] is gradual when U/t increases.

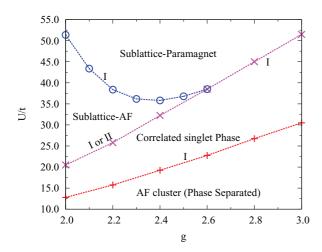


FIG. 6. (Color online) The phase diagram of the Hubbard-Holstein model at quarter filling for a 12-site system in the $t/\omega_0=1.0$ plane. The labels I and II for the transition lines indicate the discontinuous and continuous transitions, respectively.

In fact, for g=2.2 [as shown in Fig. 3(a)], the singlet pairs and separated single spins coexist in the range 23 < U/t < 30. But when U/t is increased further, almost all the pairs are broken and the electrons occupy one sublattice only. The correlation functions $W^{\uparrow\downarrow}(l)$ and $W^{\uparrow\uparrow}(l)$, depicted in Fig. 7(a) for U/t=35.0 and g=2.2, show that the dominant structure has AF order in one sublattice (i.e, the spins arrange themselves as $\uparrow - \downarrow - \uparrow - \downarrow - \cdots$). This can be inferred from the fact that the correlation functions $W^{\uparrow\downarrow}(l)$ and $W^{\uparrow\uparrow}(l)$ have dominant peaks at alternate even values of l. This spin arrangement is preferred by the system because it can gain energy due to AF interaction (J) by virtual hopping to NN site and returning back. The single-sublattice AF phase too can be regarded as a manifestation of $q=2k_F$ instability.

For very large values of U/t, the spin interaction strength J becomes negligible and the electrons do not show any spin order but still occupy only one sublattice. For this spin disordered situation, the values of $W^{\uparrow\downarrow}(l)$ and $W^{\uparrow\uparrow}(l)$ for the system under consideration [i.e., 12 sites with 6 electrons $(3 \uparrow, 3 \downarrow)$] can be calculated as follows. The probability of getting one \(\gamma \) spin at any site, in a 12-site system, is 0.25. Now, as the spins are residing in only one sublattice, the probabilities of finding the remaining $3 \downarrow$ and $2 \uparrow$ electrons, in any of the remaining 5 sites of the same sublattice, are 3/5 and 2/5 respectively. Hence, $W^{\uparrow\downarrow}(l) = 0.25(3/5) - 0.0625 =$ 0.0875 and $W^{\uparrow\uparrow}(l) = 0.25(2/5) - 0.0625 = 0.0375$ for l =2, 4, 6, 8, 10. The above predicted values of the correlation functions $W^{\uparrow\downarrow}(l)$ and $W^{\uparrow\bar{\uparrow}}(l)$ match well with the calculated values of these functions for U/t = 50.0, g = 2.2 as shown in Fig. 7(b). Another interesting point is that there is a first-order phase transition from AF order to paramagnetic order in one sublattice of the system as can be seen from the sudden jump (when U/t is varied) in the correlation function $W^{\uparrow\downarrow}(2)$ depicted in Fig. 8.

2. Transition for larger g values

For $g \gtrsim 2.6$, when U/t is increased, the correlated singlet phase transits to a paramagnetic state (in one sublattice)

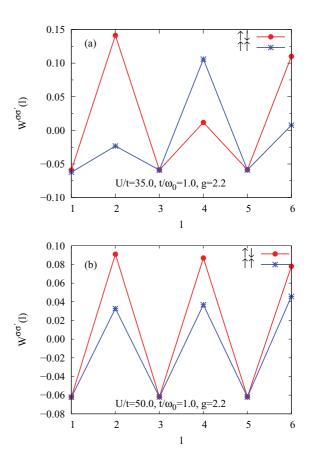


FIG. 7. (Color online) Correlation functions $W^{\sigma\sigma'}(l)$ at $t/\omega_0 = 1.0$, g = 2.2, and for (a) U/t = 35.0 depicting AF order in one sublattice; and for (b) U/t = 50.0 displaying paramagnetic phase in one sublattice.

directly unlike the earlier case for smaller values of g such as g = 2.2 (see Fig. 9). This transition is of first-order character as shown in Fig. 3(b) where NCP(1) and NCP(2) show simultaneous sharp jumps in opposing directions.

Lastly, we would like to point out that the state sublattice-AF/paramagnet represents a strong density wave phase and hence cannot be regarded as a Luttinger liquid (LL). However,

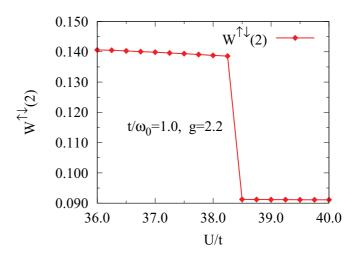


FIG. 8. (Color online) Plot of the correlation function $W^{\uparrow\downarrow}(2)$ vs U/t.

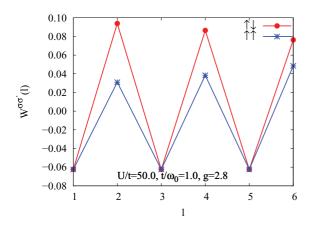


FIG. 9. (Color online) Correlation functions $W^{\sigma\sigma'}(l)$ depicting spin disorder in one sublattice for U/t = 50.0, $t/\omega_0 = 1$, and g = 2.8.

it is interesting to notice that like a LL we do have a $4k_F$ peak for the density-density correlation function $W^{\sigma\sigma}(2) + W^{-\sigma\sigma}(2)$ as can be seen from Figs. 7 and 9.

V. THE PHASE DIAGRAM

The phase diagram of the Hubbard-Holstein model at quarter filling in a 12-site system for the $t/\omega_0=1.0$ plane is shown in Fig. 6. This is obtained by producing plots of NCP(n) versus U/t for various values of g. Two such plots are shown in Fig. 3 for values of g=2.2 and g=2.8. Then, from the NCP plots, we find phase transition points in the g-U/t plane to obtain the phase diagram. Furthermore, using selective choice of parameter values, we also found that the qualitative features of the phase diagram remained the same even for the larger 16-site system.

In the phase diagram shown in Fig. 6, the system transits, for all values of g in the range $2 \le g \le 3$, from an AF cluster to a correlated singlet phase discontinuously when U/t is increased. For $g \le 2.6$, further increase in U/t drives the system continuously from a correlated singlet phase to a single-sublattice AF phase. Next, at even higher values of U/t and again for $g \le 2.6$, the system jumps discontinuously from an AF order to a paramagnetic order in a single sublattice. Contrastingly, for $g \ge 2.6$, the system transforms directly from a correlated singlet phase to a paramagnetic phase by a close-to-discontinuous jump. It is interesting to note that none of the phases are similar to a LL.

VI. CONCLUSIONS

By analyzing the probability of occurrence of different cluster sizes and by studying various correlation functions that result from our effective Hubbard-Holstein Hamiltonian, we deduced the ground state phase diagram (Fig. 6) at quarter filling and in the nonadiabatic regime. In particular we find that strong electron-phonon coupling stabilizes a correlated singlet phase that is charge-density-wave like, which would be absent in the pure Hubbard model. Notice that this phase, driven by onsite correlations, is also quite distinct from a Peierls-like ("bond-order") wave. Studying the quarter-filled special case is a necessary precursor to looking at more "interesting"

cases of nearby dopings where the strong CDW is suppressed and superconducting correlations appear. Our analysis and results should be of relevance to systems such as fullerides, lower-dimensional organic conductors, magnetic oxides, and interfaces between oxides, where there is evidence for both strong Coulomb correlation as well as electron-phonon coupling. In higher dimensions, the concurrent NN spin-spin and NN repulsion interactions could lead to even richer physics such as competition between or coexistence of charge ordering and superconductivity, non-Fermi liquid behavior, etc.

APPENDIX A

In this Appendix, we will demonstrate the validity of Eq. (9). Let us assume a Hamiltonian of the form $H = H_0 + H_1$ where the eigenstates of H_0 are all separable and are of the form $|n,m\rangle = |n\rangle_{\rm el} \otimes |m\rangle_{\rm ph}$ and H_1 is the electron-phonon interaction perturbation term of the form given in Eq. (8).

After a canonical transformation, we get

$$\tilde{H} = e^{S} H e^{-S}$$

= $H_0 + H_1 + [H_0 + H_1, S] + \frac{1}{2} [[H_0 + H_1, S], S].$ (A1)

In the ground state energy we know that the first-order perturbation term is zero. To eliminate the first-order term in H_1 , we let $H_1 + [H_0, S] = 0$. Consequently, we obtain

$$\langle n_1, m_1 | S | n_2, m_2 \rangle = -\frac{\langle n_1, m_1 | H_1 | n_2, m_2 \rangle}{(E_{n_1, m_1} - E_{n_2, m_2})}.$$
 (A2)

We now assume that both the exponentially reduced electronic NN hopping energy te^{-g^2} and the Heisenberg spin interaction energy J are negligible compared to the phononic energy ω_0 which is true for large values of g. This implies that $(E_{n_1,m_1}-E_{n_2,m_2})\simeq (E_{m_1}^{\rm ph}-E_{m_2}^{\rm ph})$. Then Eq. (A2) simplifies to the form

$${}_{\mathrm{ph}}\langle m_1|S|m_2\rangle_{\mathrm{ph}} = -\frac{{}_{\mathrm{ph}}\langle m_1|H_1|m_2\rangle_{\mathrm{ph}}}{\left(E_{m_1}^{\mathrm{ph}} - E_{m_2}^{\mathrm{ph}}\right)}.$$
 (A3)

Now, using Eqs. (A1) and (A3), we obtain

$$\begin{split} & \underset{\text{ph}}{\langle m_{1} | \tilde{H} | m_{2} \rangle_{\text{ph}}} \\ & \simeq \underset{\text{ph}}{\langle m_{1} | H_{0} | m_{2} \rangle_{\text{ph}}} + \frac{1}{2} \sum_{\bar{m}} [\underset{\text{ph}}{\langle m_{1} | H_{1} | \bar{m} \rangle_{\text{ph}}} \langle \bar{m} | S | m_{2} \rangle_{\text{ph}} \\ & - \underset{\text{ph}}{\langle m_{1} | S | \bar{m} \rangle_{\text{ph}}} \langle \bar{m} | H_{1} | m_{2} \rangle_{\text{ph}}] \\ & \simeq \underset{\text{ph}}{\langle m_{1} | H_{0} | m_{2} \rangle_{\text{ph}}} + \frac{1}{2} \sum_{\bar{m}} \underset{\text{ph}}{\langle m_{1} | H_{1} | \bar{m} \rangle_{\text{ph}}} \langle \bar{m} | H_{1} | m_{2} \rangle_{\text{ph}} \\ & \times \left[\frac{1}{E_{m_{2}}^{\text{ph}} - E_{\bar{m}}^{\text{ph}}} + \frac{1}{E_{m_{1}}^{\text{ph}} - E_{\bar{m}}^{\text{ph}}} \right]. \end{split} \tag{A4}$$

APPENDIX B: PROJECTION ONTO SINGLY OCCUPIED SUBSPACE

In this Appendix we evaluate each term in Eq. (10) by projecting out double occupancy. Every fermionic operator $c_{j\sigma}$ is subject to the replacement $c_{j\sigma} \rightarrow c_{j\sigma}(1 - n_{j\bar{\sigma}})$ so as to incorporate the action of the single-occupancy-subspace projection operator P_s . In the first two terms of Eq. (10), the action of P_s implies that spin index $\sigma = \sigma'$. Thus these first

two terms correspond to the process where an electron hops to a neighboring site and comes back. Then, the projected form of the first term is evaluated to be

$$P_{s}(c_{j+1\sigma}^{\dagger}c_{j\sigma}P_{s}^{2}c_{j\sigma}^{\dagger}c_{j+1\sigma})P_{s}$$

$$= (1 - n_{j+1\bar{\sigma}})c_{j+1\sigma}^{\dagger}c_{j\sigma}(1 - n_{j\bar{\sigma}})(1 - n_{j\bar{\sigma}})$$

$$\times c_{j\sigma}^{\dagger}c_{j+1\sigma}(1 - n_{j+1\bar{\sigma}})$$

$$= (1 - n_{j+1\bar{\sigma}})c_{j+1\sigma}^{\dagger}c_{j\sigma}c_{j\sigma}^{\dagger}c_{j+1\sigma}(1 - n_{j\bar{\sigma}}).$$
(B1)

Similarly, for the second term we get

$$P_{s}c_{j\sigma}^{\dagger}c_{j+1\sigma}P_{s}^{2}c_{j+1\sigma}^{\dagger}c_{j\sigma}P_{s}$$

$$= (1 - n_{j+1\bar{\sigma}})c_{j\sigma}^{\dagger}c_{j+1\sigma}c_{j+1\sigma}^{\dagger}c_{j\sigma}(1 - n_{j\bar{\sigma}}). \quad (B2)$$

The process represented by the second term is depicted in Fig. 1(a). The above two terms add up to

$$P_s c_{j+1\sigma}^{\dagger} c_{j\sigma} P_s^2 c_{j\sigma}^{\dagger} c_{j+1\sigma} P_s + P_s c_{j\sigma}^{\dagger} c_{j+1\sigma} P_s^2 c_{j+1\sigma}^{\dagger} C_{j\sigma} P_s$$

$$= (1 - n_{j+1\bar{\sigma}})(1 - n_{j\bar{\sigma}})(n_{j\sigma} - n_{j+1\sigma})^2.$$
 (B3)

Next, let us consider the third and fourth terms in Eq. (10). These two terms depict the process of an electron hopping to its neighboring site and then to the next-neighboring site. The process described by the fourth term is shown in Fig. 1(b) and the third term is Hermitian conjugate to it. Obviously, both neighboring and next-neighboring sites should be empty and here too we should take $\sigma = \sigma'$. On using the projection operator P_s , these two terms yield

$$P_{s}c_{j-1\sigma}^{\dagger}c_{j\sigma}P_{s}^{2}c_{j\sigma}^{\dagger}c_{j+1\sigma}P_{s} + P_{s}c_{j+1\sigma}^{\dagger}c_{j\sigma}P_{s}^{2}c_{j\sigma}^{\dagger}c_{j-1\sigma}P_{s}$$

$$= (1 - n_{j+1\bar{\sigma}})(1 - n_{j\bar{\sigma}})(1 - n_{j-1\bar{\sigma}})$$

$$\times [c_{j+1\sigma}^{\dagger}(1 - n_{j\sigma})c_{j-1\sigma} + \text{H.c.}]. \tag{B4}$$

Finally, we will consider the last two terms in Eq. (10). These two terms represent the consecutive hopping processes where an electron of spin σ' at site j hops to its neighboring site j+1 (j-1) followed by another electron of spin σ at site j-1 (j+1) hopping to site j. This successive hopping process may be termed $\sigma\sigma'$ pair hopping. Here the spin indices σ and σ' can be same or different.

Now, the fifth term can be decomposed into two terms as follows:

$$P_{s}c_{j\sigma}^{\dagger}c_{j+1\sigma}P_{s}^{2}c_{j-1\bar{\sigma}}^{\dagger}c_{j\bar{\sigma}}P_{s} + P_{s}c_{j\sigma}^{\dagger}c_{j+1\sigma}P_{s}^{2}c_{j-1\sigma}^{\dagger}c_{j\sigma}P_{s}$$

$$= (1 - n_{j+1\bar{\sigma}})(1 - n_{j-1\sigma})c_{j\sigma}^{\dagger}c_{j+1\sigma}c_{j-1\bar{\sigma}}^{\dagger}c_{j\bar{\sigma}}$$

$$+ (1 - n_{j+1\bar{\sigma}})(1 - n_{j\bar{\sigma}})(1 - n_{j-1\bar{\sigma}})$$

$$\times c_{j-1\sigma}^{\dagger}(-n_{j\sigma})c_{j+1\sigma}. \tag{B5}$$

The sixth term is the Hermitian conjugate of the fifth term. Thus, upon adding the last two terms in Eq. (10), we arrive at the expression

$$(1 - n_{j+1\bar{\sigma}})(1 - n_{j-1\sigma})(c_{j\sigma}^{\dagger}c_{j+1\sigma}c_{j-1\bar{\sigma}}^{\dagger}c_{j\bar{\sigma}} + \text{H.c.}) + (1 - n_{j+1\bar{\sigma}})(1 - n_{j\bar{\sigma}})(1 - n_{j-1\bar{\sigma}}) \times [c_{j+1\sigma}^{\dagger}(-n_{j\sigma})c_{j-1\sigma} + \text{H.c.}]$$
(B6)

The second term in Eq. (B6) corresponds to the process depicted in Fig. 1(c) and the Hermitian conjugate part of the first term is indicated by Fig. 1(d).

APPENDIX C: DEFINITION OF *n*-PARTICLE NORMALIZED CLUSTERING PROBABILITY [NCP(*n*)]

We are dealing with a system of N sites containing an even number N/2 electrons. The ground state has equal number of \uparrow and \downarrow spin electrons. We get the basis states (ϕ_i) in occupation number representation by populating N sites with N/2 electrons using all possible combinations with the constraint that each site can have only three possibilities (i.e., \uparrow , \downarrow , and no particle) with double occupancy being excluded. The ground state $|\psi_0\rangle$ is obtained as a linear combination of these basis states: $|\psi_0\rangle = \sum_i a_i \phi_i$ where a_i are the probability amplitudes. Then, we calculate the n-particle normalized clustering probability, that is, NCP(n) from the ground state using the following procedure:

- (1) Initialize the clustering probability CP(n) = 0.0 for n = 1 to N/2.
- (2) Consider a basis state ϕ_i with a corresponding coefficient a_i .
 - (3) Find an empty site (say j) in the basis state ϕ_i .
- (4) Start searching sequentially from site j + 1 onwards for occupied sites with index larger than j.
- (5) Count the number of occupied sites until another empty site, say k > j, is reached. Since the size n of the unbroken cluster of electrons between the two empty sites j and k is given by n = k j 1, add a_i^2 to CP(k j 1). Then, again start searching for electrons from site k + 1 onwards until the next empty site is reached and again obtain the next unbroken cluster size n_1 . Similar to the previous case, add a_i^2 to $CP(n_1)$. Continue the searching process for the whole system, that is, from site j to site (j + N) with site (j + N) being equivalent to site j.
- (6) Repeat steps 3 to 5 successively for all the basis states ϕ_i with corresponding coefficients a_i to get CP(n) where $1 \le n \le N/2$.
- (7) Finally, by normalization, calculate NCP for *n*-particle cluster using the expression NCP(n) = CP(n)/ $\sum_{n=1}^{N/2}$ CP(n) for $1 \le n \le N/2$.

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