CHAPTER 2

Lists and the Collections Framework

Chapter Objectives

- The List interface
- Writing an array-based implementation of List
- Linked list data structures:
 - Singly-linked
 - Doubly-linked
 - Circular
- Big-O notation and algorithm efficiency
- ${ t List}$ interface as a linked list
- The Iterator interface
- Implementing Iterator for a linked list
- Testing strategies
- The Java Collections framework (hierarchy)

Introduction

- A list is a collection of elements, each with a position or index
- Iterators facilitate sequential access to lists
- Classes ArrayList, Vector, and LinkedList are subclasses of abstract class AbstractList and implement the List interface

The List Interface and ArrayList Class

Section 2.1

List Interface and ArrayList Class

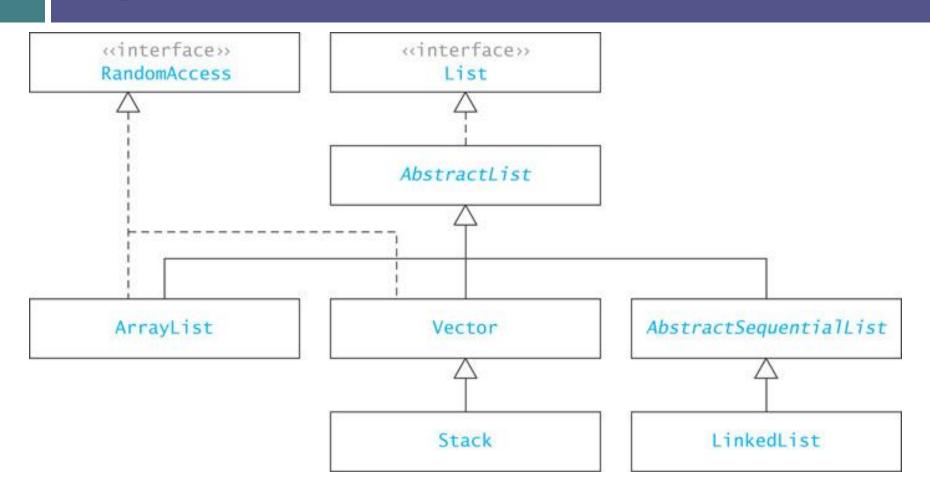
- An array is an indexed structure
- In an indexed structure,
 - elements may be accessed in any order using subscript values
 - elements can be accessed in sequence using a loop that increments the subscript
- With the Java Array object, you cannot
 - increase or decrease its length (length is fixed)
 - add an element at a specified position without shifting elements
 to make room
 - remove an element at a specified position and keep the elements contiguous without shifting elements to fill in the gap

List Interface and ArrayList Class (cont.)

- Java provides a List interface as part of its API java.util
- Classes that implement the List interface provide the functionality of an indexed data structure and offer many more operations
- A sample of the operations:
 - Obtain an element at a specified position
 - Replace an element at a specified position
 - Find a specified target value
 - Add an element at either end
 - Remove an element from either end
 - Insert or remove an element at any position
 - Traverse the list structure without managing a subscript
- All classes introduced in this chapter support these operations, but they do not support them with the same degree of efficiency

java.util.List Interface and its

Implementers



List Interface and ArrayList Class

- Unlike the Array data structure, classes that implement the List interface cannot store primitive types
- Classes must store values as objects
- This requires you to wrap primitive types, such an int and double in object wrappers, in these cases, Integer and Double

ArrayList Class

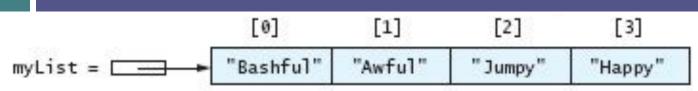
- The simplest class that implements the List interface
- An improvement over an array object
- Use when:
 - you will be adding new elements to the end of a list
 - you need to access elements quickly in any order

To declare a List "object" whose elements will reference String objects:

```
List<String> myList = new ArrayList<String>();
```

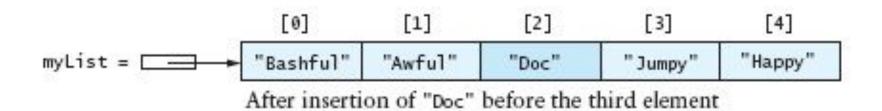
- The initial List is empty and has a default initial capacity of 10 elements
- To add strings to the list,

```
myList.add("Bashful");
myList.add("Awful");
myList.add("Jumpy");
myList.add("Happy");
```



Adding an element with subscript 2:

```
myList.add(2, "Doc");
```



Notice that the subscripts of "Jumpy" and "Happy" have changed from [2],[3] to [3],[4]

When no subscript is specified, an element is added at the end of the list:

```
myList.add("Dopey");

[0] [1] [2] [3] [4] [5]

myList = Bashful" "Awful" "Doc" "Jumpy" "Happy" "Dopey"
```

After insertion of "Dopey" at the end

Removing an element: [4] [5] [1] [2] [3] [0] "Bashful" "Doc" "Awful" "Jumpy" "Нарру" myList = ["Dopey" myList.remove(1); [0] [1] [2] [3] [4]

"Doc"

After removal of "Awful"

"Bashful"

myList = -

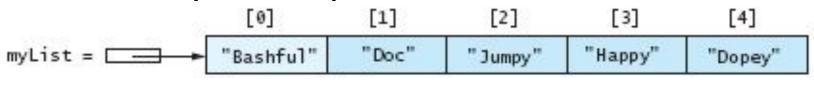
The strings referenced by [2] to [5] have changed to [1] to [4]

"Jumpy"

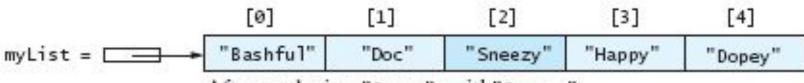
"Happy"

"Dopey"

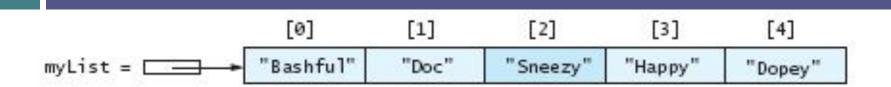
You may also replace an element:



```
myList.set(2, "Sneezy");
```



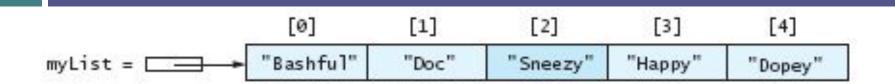
After replacing "Jumpy" with "Sneezy"



- You cannot access an element using a bracket index as you can with arrays (array[1])
- Instead, you must use the get() method:

```
String dwarf = myList.get(2);
```

The value of dwarf becomes "sneezy"



You can also search an ArrayList:

```
myList.indexOf("Sneezy");
```

This returns 2 while

```
myList.indexOf("Jumpy");
```

returns -1 which indicates an unsuccessful search

Generic Collections

The statement

```
List<String> myList = new
ArrayList<String>();
```

uses a language feature called generic collections or generics

- The statement creates a List of String; only references of type String can be stored in the list
- String in this statement is called a type parameter
- The type parameter sets the data type of all objects stored in a collection

Generic Collections (cont.)

The general declaration for generic collection is

```
CollectionClassName<E> variable =
    new CollectionClassName<E>();
```

- $_{ exttt{ ind}}$ The <E> indicates a type parameter
- Adding a noncompatible type to a generic collection will generate an error during compile time
- However, primitive types will be autoboxed:

Why Use Generic Collections?

- Better type-checking: catch more errors, catch them earlier
- Documents intent
- Avoids the need to downcast from Object

Specification of the ArrayList Class

Method	Behavior
<pre>public E get(int index)</pre>	Returns a reference to the element at position index.
<pre>public E set(int index, E anEntry)</pre>	Sets the element at position index to reference anEntry. Returns the previous value.
public int size()	Gets the current size of the ArrayList.
public boolean add(E anEntry)	Adds a reference to anEntry at the end of the ArrayList. Always returns true.
<pre>public void add(int index, E anEntry)</pre>	Adds a reference to anEntry, inserting it before the item at position index.
int indexOf(E target)	Searches for target and returns the position of the first occurrence, or -1 if it is not in the ArrayList.
<pre>public E remove(int index)</pre>	Returns and removes the item at position index and shifts the items that follow it to fill the vacated space.

Applications of ArrayList

Section 2.2

Example Application of ArrayList

```
ArrayList<Integer> someInts = new ArrayList<Integer>();
int[] nums = {5, 7, 2, 15};
for (int i = 0; i < nums.length; <math>i++) {
  someInts.add(nums[i]);
// Display the sum
int sum = 0;
for (int i = 0; i < someInts.size(); i++) {
  sum += someInts.get(i);
System.out.println("sum is " + sum);
```

Example Application of ArrayList (cont.)

```
ArrayList<Integer> someInts = new ArrayList<Integer>();
int[] nums = {5, 7, 2, 15};
for (int i = 0; i < nums.length; <math>i++) {
  someInts.add(nums[i]);
                                  nums[i] is an int; it is
                                automatically wrapped in an
// Display the sum
                                     Integer object
int sum = 0;
for (int i = 0; i < someInts
  sum += someInts.get(i);
System.out.println("sum is " + sum);
```

Phone Directory Application

```
public class DirectoryEntry {
   String name;
   String number;
}
```

Create a class for objects stored in the directory

```
public class DirectoryEntry {
   String name;
   String number;
}

private ArrayList<DirectoryEntry> theDirectory =
        new ArrayList<DirectoryEntry>();
```

Create the directory

```
public class DirectoryEntry {
                                       Add a DirectoryEntry
                                               object
  String name;
  String number;
private ArrayList<DirectoryEnt/ry> theDirectory =
          new ArrayList<DirectoryEntry>();
theDirectory.add(new DirectoryEntry("Jane Smith",
                                     "555-1212"));
```

```
public class Direc
                    Method indexOf searches theDirectory
  String name;
                    by applying the equals method for class
                          DirectoryEntry. Assume
  String number;
                      DirectoryEntry's equals method
                            compares name fields.
private ArrayListdurectoryEntry> theDirectory =
          new ArrayList<DirectoryIntry>();
theDirectory.add(new DirectoryEntry("Jane Smith",
                                       '555-1212"));
int index = theDirectory.indexOf(new DirectoryEntry(aName,
```

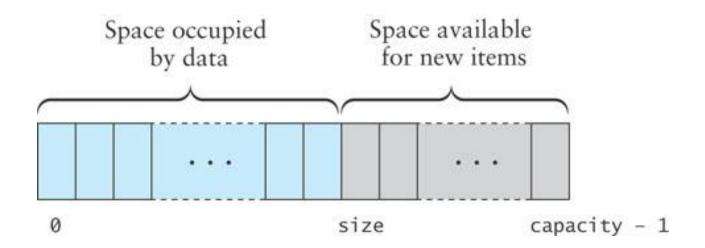
```
public class DirectoryEntry {
  String name;
  String number;
private ArrayList<DirectoryEntry> theDirectory =
          new ArrayList<DirectoryEntry>();
theDirectory.add(new DirectoryEntry("Jane Smith", "555-1212"));
int index = theDirectory.indexOf(new DirectoryEntry(aName, ""));
if (index != -1)
  dE = theDirectory.get(index);
else
  dE = null:
```

Implementation of an ArrayList Class

Section 2.3

Implementing an ArrayList Class

- KWArrayList: a simple implementation of ArrayList
 - Physical size of array indicated by data field capacity
 - Number of data items indicated by the data field size



KWArrayList Fields

```
import java.util.*;
/** This class implements some of the methods of the Java ArrayList class
* /
public class KWArrayList<E> {
 // Data fields
 /** The default initial capacity */
 private static final int INITIAL CAPACITY = 10;
  /** The underlying data array */
 private E[] theData;
  /** The current size */
 private int size = 0;
  /** The current capacity */
 private int capacity = 0;
```

KWArrayList Constructor

```
public KWArrayList () {
    capacity = INITIAL_CAPACITY;
    theData = (E[]) new Object[capacity];
}
```

This statement allocates storage for an array of type Object and then casts the array object to type E[]

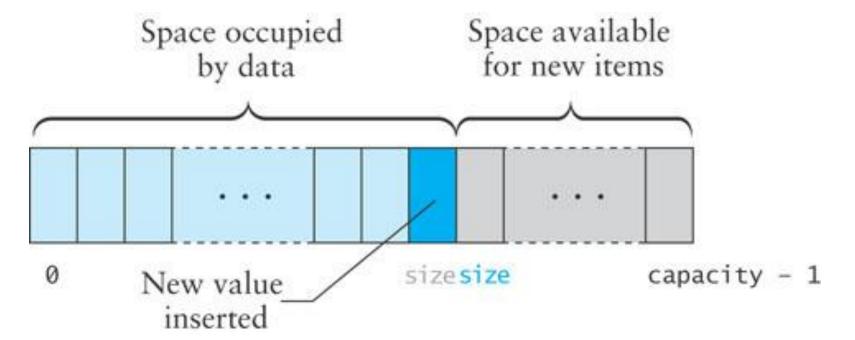
Although this may cause a compiler warning, it's ok

Implementing ArrayList.add(E)

- We will implement two add methods
- One will append at the end of the list
- The other will insert an item at a specified position

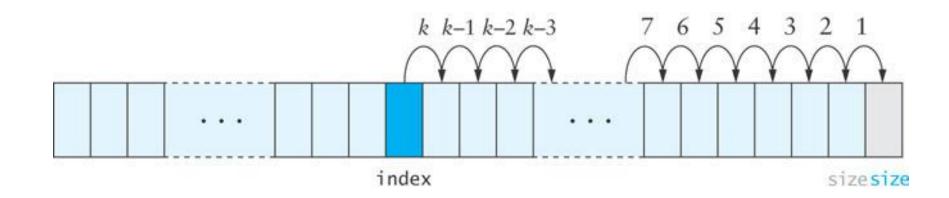
Implementing ArrayList.add(E)(cont.)

- $_{ extstyle }$ If extstyle exts
 - insert the new item at the position indicated by the value of size
 - 2. increment the value of size
 - 3. return true to indicate successful insertion



Implementing ArrayList.add(int index, E anEntry)

To insert into the middle of the array, the values at the insertion point are shifted over to make room, beginning at the end of the array and proceeding in the indicated order



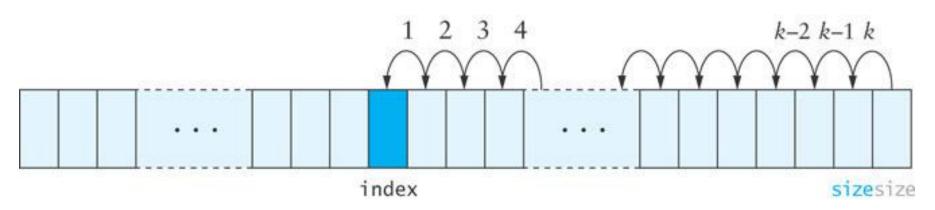
Implementing ArrayList.add(index, E)

```
public void add (int index, E anEntry) {
  // check bounds
  if (index < 0 \mid | index > size) {
    throw new ArrayIndexOutOfBoundsException(index);
  // Make sure there is room
  if (size >= capacity) {
    reallocate();
  // shift data
  for (int i = size; i > index; i--) {
    theData[i] = theData[i-1];
  // insert item
  theData[index] = anEntry;
  size++;
```

set and get Methods

```
public E get (int index) {
  if (index < 0 \mid | index >= size) {
    throw new ArrayIndexOutOfBoundsException(index);
  return theData[index];
public E set (int index, E newValue) {
  if (index < 0 \mid | index >= size) {
    throw new ArrayIndexOutOfBoundsException(index);
  E oldValue = theData[index];
  theData[index] = newValue;
  return oldValue;
```

remove Method



- When an item is removed, the items that follow it must be moved forward to close the gap
- Begin with the item closest to the removed element and proceed in the indicated order

remove Method (cont.)

```
public E remove (int index) {
  if (index < 0 \mid | index >= size) {
    throw new ArrayIndexOutOfBoundsException(index);
  E returnValue = theData[index];
  for (int i = index + 1; i < size; i++) {
    theData[i-1] = theData[i];
  size--;
  return returnValue;
```

reallocate Method

 Create a new array that is twice the size of the current array and then copy the contents of the new array

```
private void reallocate () {
  capacity *= 2;
  theData = Arrays.copyOf(theData, capacity);
}
```

reallocate Method (cont.)

```
private void reallocate () {
  capacity *= 2;
  theData = Arrays.copyOf(theData, capacity);
}
```

The reason for doubling is to spread out the cost of copying; we discuss this further in the next section

KWArrayList as a Collection of Objects

- Earlier versions of Java did not support generics; all collections contained only Object elements
- To implement KWArrayList this way,

 - replace each reference to data type E by Object
 - The underlying data array becomes

```
private Object[] theData;
```

Vector Class

- The Java API java.util contains two very similar classes, Vector and ArrayList
- New applications normally use ArrayList rather than Vector as ArrayList is generally more efficient
- Vector class is synchronized, which means that multiple threads can access a Vector object without conflict

Algorithm Efficiency and Big-O

Section 2.4

Algorithm Efficiency and Big-O

- Getting a precise measure of the performance of an algorithm is difficult
- Big-O notation expresses the performance of an algorithm as a function of the number of items to be processed
- This permits algorithms to be compared for efficiency
- For more than a certain number of data items, some problems cannot be solved by any computer

Linear Growth Rate

 If processing time increases in proportion to the number of inputs n, the algorithm grows at a linear rate

```
public static int search(int[] x, int target) {
  for(int i=0; i < x.length; i++) {
    if (x[i]==target)
      return i;
  }
  return -1; // target not found
}</pre>
```

Linear Grow

- If processing time number of inputs rate
- If the target is not present, the for loop will execute x.length times
- If the target is present the for loop will execute (on average) (x.length + 1)/2 times
- Therefore, the total execution time is directly proportional to x.length
- This is described as a growth rate of order n
 OR
- O(n)

```
public static int search(int[] x, int target) {
  for(int i=0; i < x.length; i++) {
    if (x[i]==target)
      return i;
  }
  return -1; // target not found
}</pre>
```

n x m Growth Rate

Processing time can be dependent on two different inputs

```
public static boolean areDifferent(int[] x, int[] y) {
  for(int i=0; i < x.length; i++) {
    if (search(y, x[i]) != -1)
      return false;
  }
  return true;
}</pre>
```

n x m Growth Rate (cont.)

- Processing time of inputs.
- The for loop will execute x.length times
- But it will call search, which will execute y.length times
- The total execution time is proportional to (x.length * y.length)
- The growth rate has an order of n x m or
- O(n x m)

```
public static boolean areDifferent(int[] x, int[] y) {
  for(int i=0; i < x.length; i++) {
    if (search(y, x[i]) != -1)
      return false;
  }
  return true;
}</pre>
```

Quadratic Growth Rate

If processing time is proportional to the square of the number of inputs n, the algorithm grows at a quadratic rate

```
public static boolean areUnique(int[] x) {
  for(int i=0; i < x.length; i++) {
    for(int j=0; j < x.length; j++) {
      if (i != j && x[i] == x[j])
        return false;
    }
  return true;
}</pre>
```

Quadratic Growth Rate (cont.)

- If processing time is of inputs n, the algo
- The for loop with i as index will execute x.length times
- The for loop with j as index will execute x.length times
- The total number of times the inner loop will execute is (x.length)²
- The growth rate has an order of n² or
- O(n²)

```
public static boolea

for(int i=0; i < x.length; i++) {
   for(int j=0; j < x.length; j++) {
     if (i != j && x[i] == x[j])
        return false;
   }
}
return true;
}</pre>
```

Big-O Notation

- The O() in the previous examples can be thought of as an abbreviation of "order of magnitude"
- A simple way to determine the big-O notation of an algorithm is to look at the loops and to see whether the loops are nested
- Assuming a loop body consists only of simple statements,
 - a single loop is O(n)
 - \square a pair of nested loops is $O(n^2)$
 - \square a nested pair of loops inside another is $O(n^3)$
 - and so on . . .

Big-O Notation (cont.)

You must also examine the number of times a loop is executed

```
for(i=1; i < x.length; i *= 2) {
    // Do something with x[i]
}</pre>
```

The loop body will execute k-1 times, with i having the following values:

1, 2, 4, 8, 16, ...,
$$2^k$$
 until 2^k is greater than x.length

- Since $2^{k-1} = x.length < 2^k$ and $log_2 2^k$ is k, we know that $k-1 = log_2(x.length) < k$
- Thus we say the loop is $O(\log n)$ (in analyzing algorithms, we use logarithms to the base 2)
- Logarithmic functions grow slowly as the number of data items n increases

Formal Definition of Big-O

Consider the following program structure:

```
for (int i = 0; i < n; i++) {
  for (int j = 0; j < n; j++) {
      Simple Statement
for (int i = 0; i < n; i++) {
  Simple Statement 1
  Simple Statement 2
   Simple Statement 3
   Simple Statement 4
   Simple Statement 5
Simple Statement 6
Simple Statement 7
Simple Statement 30
```

Consider the following program structure:

```
for (int i = 0; i < n; i++) {
  for (int j = 0; j < n; j++) {
      Simple Statement
for (int i = 0; i < n; i++) {
  Simple Statement 1
  Simple Statement 2
   Simple Statement 3
   Simple Statement 4
   Simple Statement 5
Simple Statement 6
Simple Statement 7
Simple Statement 30
```

This nested loop executes a *Simple*Statement n² times

Consider the following program structure:

```
for (int i = 0; i < n; i++) {
  for (int j = 0; j < n; j++) {
      Simple Statement
for (int i = 0; i < n; i++) {
  Simple Statement 1
  Simple Statement 2
   Simple Statement 3
   Simple Statement 4
   Simple Statement 5
Simple Statement 6
Simple Statement 7
Simple Statement 30
```

This loop executes 5
Simple Statements n
times (5n)

Consider the following program structure:

Simple Statement 30

```
for (int i = 0; i < n; i++) {
  for (int j = 0; j < n; j++) {
      Simple Statement
for (int i = 0; i < n; i++) {
  Simple Statement 1
  Simple Statement 2
  Simple Statement 3
  Simple Statement 4
   Simple Statement 5
Simple Statement 6
Simple Statement 7
```

Finally, 25 Simple Statements are executed

Consider the following program structure:

```
for (int i = 0; i < n; i++) {
  for (int j = 0; j < n; j++) {
      Simple Statement
for (int i = 0; i < n; i++) {
  Simple Statement 1
  Simple Statement 2
   Simple Statement 3
   Simple Statement 4
   Simple Statement 5
Simple Statement 6
Simple Statement 7
Simple Statement 30
```

We can conclude that the relationship between processing time and *n* (the number of date items processed) is:

$$T(n) = n^2 + 5n + 25$$

 \Box In terms of T(n),

$$\mathsf{T}(n) = \mathsf{O}(\mathsf{f}(n))$$

- There exist
 - \square two constants, n_0 and c, greater than zero, and
 - \square a function, f(n),
- such that for all $n > n_0$, cf(n) => T(n)
- In other words, as n gets sufficiently large (larger than n_0), there is some constant c for which the processing time will always be less than or equal to cf(n)
- $_{\square}$ cf(n) is an upper bound on performance

- The growth rate of f(n) will be determined by the fastest growing term, which is the one with the largest exponent
- In the example, an algorithm of

$$O(n^2 + 5n + 25)$$

is more simply expressed as

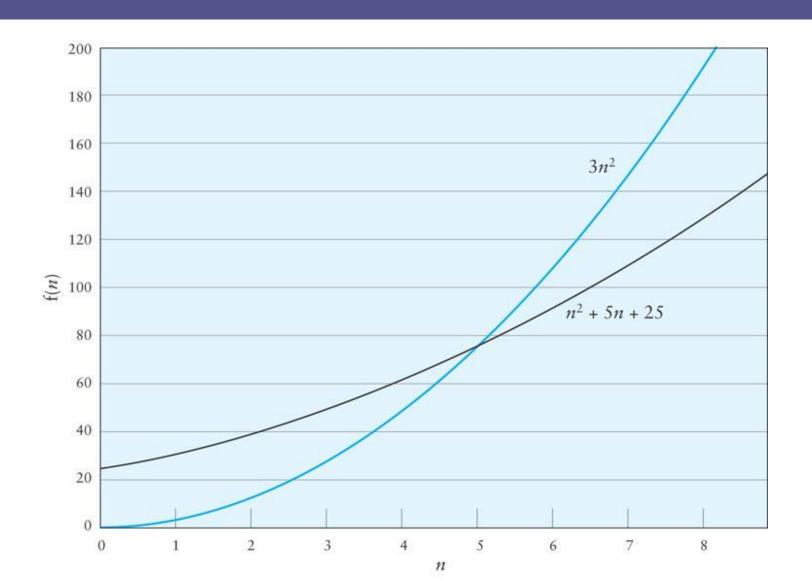
$$O(n^2)$$

 In general, it is safe to ignore all constants and to drop the lower-order terms when determining the order of magnitude

Big-O Example 1

- Given $T(n) = n^2 + 5n + 25$, show that this is $O(n^2)$
- Find constants n_0 and c so that, for all $n > n_0$, $cn^2 > n^2 + 5n + 25$
 - \square Find the point where $cn^2 = n^2 + 5n + 25$
 - Let $n = n_0$, and solve for c $c = 1 + 5/n_0 + 25/n_0^2$
- $_{0}$ When n_{0} is 5(1 + 5/5 + 25/25), c is 3
- So, $3n^2 > n^2 + 5n + 25$ for all n > 5
- Dther values of n_0 and c also work

Big-O Example 1 (cont.)



Big-O Example 2

Consider the following loop

```
for (int i = 0; i < n; i++) {
   for (int j = i + 1; j < n; j++) {
      3 simple statements
   }
}</pre>
```

- T(n) = 3(n-1) + 3(n-2) + ... + 3
- Factoring out the 3,

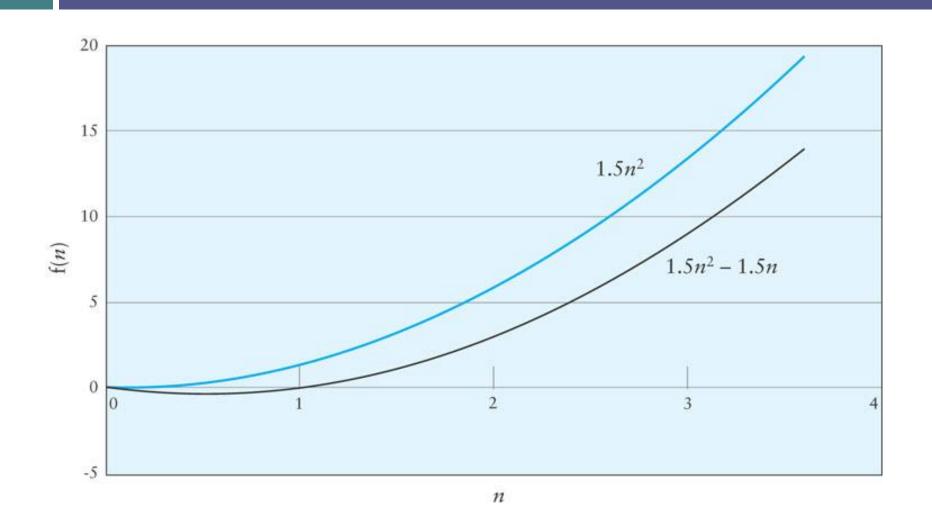
$$3(n-1+n-2+n-3+...+1)$$

$$-1+2+...+n-1=(n\times(n-1))/2$$

Big-O Example 2 (cont.)

- □ Therefore $T(n) = 1.5n^2 1.5n$
- When n = 0, the polynomial has the value 0
- $_{\square}$ For values of n > 1, $1.5n^2 > 1.5n^2 1.5n$
- Therefore T(n) is $O(n^2)$ when n_0 is 1 and c is 1.5

Big-O Example 2 (cont.)



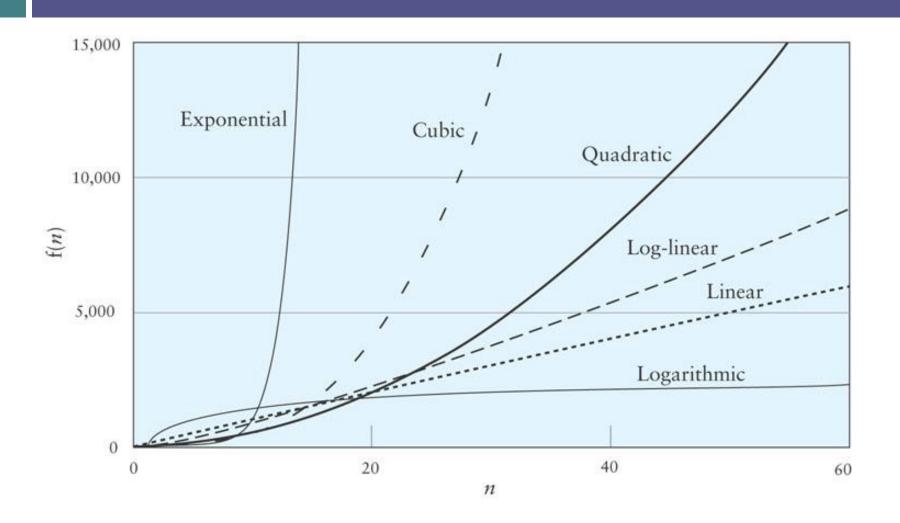
Symbols Used in Quantifying Performance

Symbol	The time that a method or program takes as a function of the number of inputs, n. We may not be able to measure or determine this exactly.	
T(n)		
f(n)		
O(f(n)) Order of magnitude. $O(f(n))$ is the set of functions that grow than $f(n)$. We say that $T(n) = O(f(n))$ to indicate that the grow bounded by the growth of $f(n)$.		

Common Growth Rates

Big-O	Name	
O(1)	Constant	
$O(\log n)$	Logarithmic	
O(n)	Linear	
$O(n \log n)$	Log-linear	
$O(n^2)$	Quadratic	
$O(n^3)$	Cubic	
$O(2^n)$	Exponential	
O(n!)	Factorial	

Different Growth Rates



Effects of Different Growth Rates

O(f(n))	f(50)	f(100)	f(100)/f(50)
O(1)	1	1	1
$O(\log n)$	5.64	6.64	1.18
O(n)	50	100	2
$O(n \log n)$	282	664	2.35
$O(n^2)$	2500	10,000	4
$O(n^3)$	12,500	100,000	8
$O(2^n)$	1.126×10^{15}	1.27×10^{30}	1.126×10^{15}
O(n!)	3.0×10^{64}	9.3×10^{157}	3.1×10^{93}

Algorithms with Exponential and Factorial Growth Rates

- Algorithms with exponential and factorial growth rates have an effective practical limit on the size of the problem they can be used to solve
- With an $O(2^n)$ algorithm, if 100 inputs takes an hour then,
 - 101 inputs will take 2 hours
 - 105 inputs will take 32 hours
 - □ 114 inputs will take 16,384 hours (almost 2 years!)

Algorithms with Exponential and Factorial Growth Rates (cont.)

- Encryption algorithms take advantage of this characteristic
- Some cryptographic algorithms can be broken in $O(2^n)$ time, where n is the number of bits in the key
- A key length of 40 is considered breakable by a modern computer,
- but a key length of 100 bits will take a
 billion-billion (10¹⁸) times longer than a key length of 40

Performance of KWArrayList

- The set and get methods execute in constant time:
 O(1)
- Inserting or removing general elements is linear time: O(n)
- Adding at the end is (usually) constant time: O(1)
 - With our reallocation technique the average is O(1)
 - The worst case is O(n) because of reallocation

Single-Linked Lists

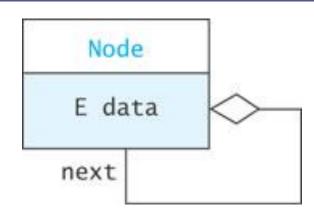
Section 2.5

Single-Linked Lists

- A linked list is useful for inserting and removing at arbitrary locations
- The ArrayList is limited because its add and remove methods operate in linear (O(n))
 time—requiring a loop to shift elements
- A linked list can add and remove elements at a known location in O(1) time
- In a linked list, instead of an index, each element is linked to the following element

A List Node

- A node can contain:
 - a data item
 - one or more links
- A link is a reference to a list node
- In our structure, the node contains a data field named data of type E
- and a reference to the next node,
 named next



List Nodes for Single-Linked Lists

```
private static class Node<E> {
  private E data;
  private Node<E> next;
  /** Creates a new node with a null next field
      @param dataItem The data stored
  * /
 private Node(E dataItem) {
    data = dataItem;
    next = null;
 /** Creates a new node that references another node
      @param dataItem The data stored
      @param nodeRef The node referenced by new node
  * /
 private Node(E dataItem, Node<E> nodeRef) {
    data = dataItem;
    next = nodeRef;
```

List Nodes for Single-Linked Lists (cont.)

```
private static class Node<E> {
 private E data;
 private Node<E> next;
  /** Creates a new node with a null next field
      @param dataItem The data stored
  * /
  private Node(E data) {
    data = dataItem:
   next = null;
 /** Creates a new node that references another node
      @param dataItem The data stored
      @param nodeRef The node referenced by new node
  * /
  private Node(E dataItem, Node<E> nodeRef) {
    data = dataItem:
   next = nodeRef:
```

The keyword static indicates that the Node<E> class will not reference its outer class

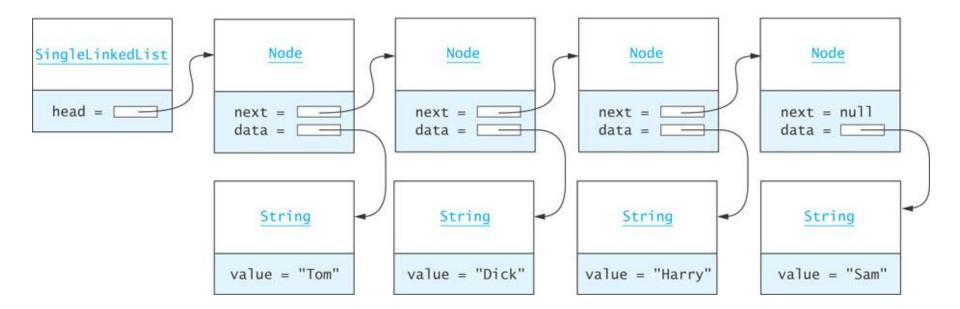
Static inner classes are also called *nested classes*

List Nodes for Single-Linked Lists (cont.)

```
private static class Node<E> {
  private E data;
  private Node<E> next
  /** Creates a new node with a null next field
      @param dataItem The data stored
  * /
  private Node(E dataItem) {
    data = dataItem;
    next = null;
 /** Creates a new node that references another node
      @param dataItem The data stored
      @param nodeRef The node referenced by new node
  * /
 private Node(E dataItem, Node<E> nodeRef) {
    data = dataItem:
    next = nodeRef;
```

Generally, all details of the Node class should be private. This applies also to the data fields and constructors.

Connecting Nodes



Connecting Nodes (cont.)

```
Node<String> tom = new Node<String>("Tom");
Node<String> dick = new Node<String>("Dick");
Node<String> harry = new Node<String>("Harry");
Node<String> sam = new Node<String>("Sam");

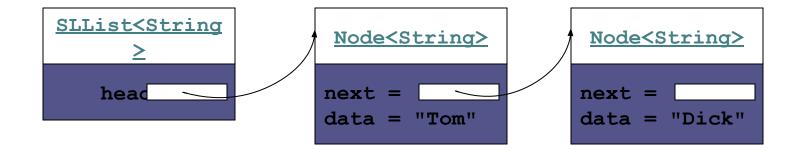
tom.next = dick;
dick.next = harry;
harry.next = sam;
```

A Single-Linked List Class

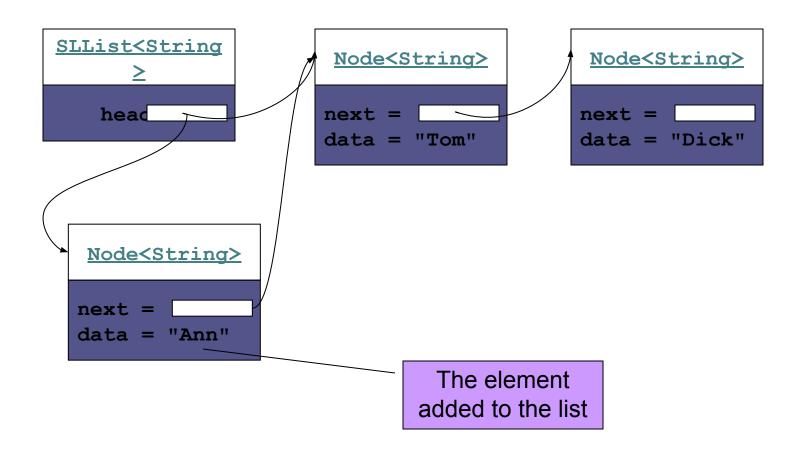
- Generally, we do not have individual references to each node.
- A SingleLinkedList object has a data field head, the list head, which references the first list node

```
public class SingleLinkedList<E> {
  private Node<E> head = null;
  private int size = 0;
  ...
}
```

SLList: An Example List



Implementing SLList.addFirst(E item)

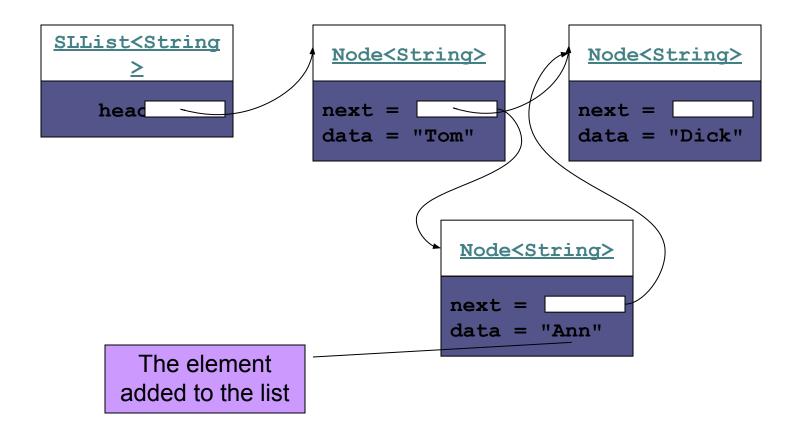


Implementing SLList.addFirst(E item) (cont.)

```
private void addFirst (E item) {
  Node<E> temp = new Node<E>(item, head);
  head = temp;
  size++;
or, more simply ...
private void addFirst (E item) {
  head = new Node<E>(item, head);
  size++;
```

This works even if head is null

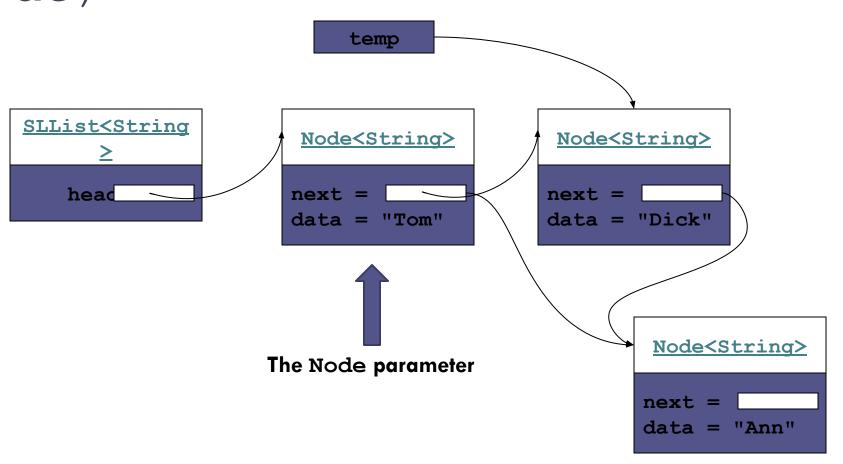
Implementing addAfter(Node<E> node, E item)



item) (cont.)

```
private void addAfter (Node<E> node, E item) {
  Node<E> temp = new Node<E>(item, node.next);
  node.next = temp;
                               We declare this method private
  size++;
                               since it should not be called from
                                outside the class. Later we will
                                see how this method is used to
                                   implement the public add
or, more simply
                                          methods.
private void addAfter (Node<E> node, E item) {
  node.next = new Node<E>(item, node.next);
  size++;
```

Implementing removeAfter(Node<E> node)

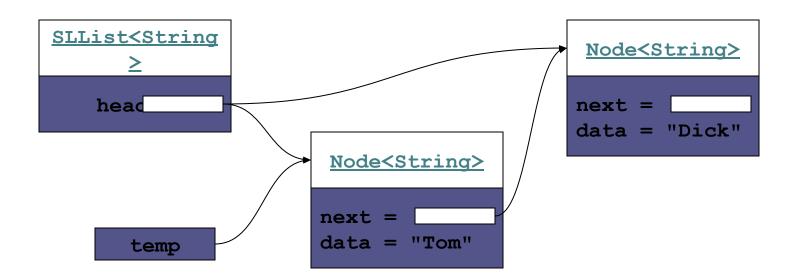


Implementing removeAfter(Node<E> node) (cont.)

```
private E removeAfter (Node<E> node) {
  Node<E> temp = node.next;
  if (temp != null) {
    node.next = temp.next;
    size--;
    return temp.data;
  } else {
    return null;
  }
}
```

Implementing

SLList.removeFirst()

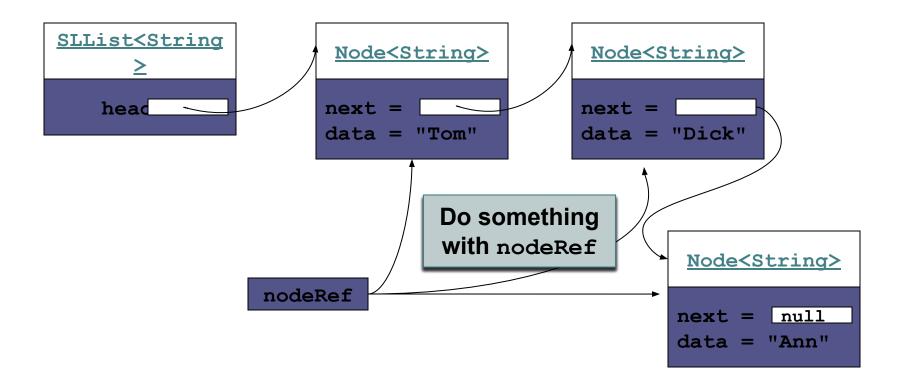


Implementing

SLList.removeFirst() (cont.)

```
private E removeFirst () {
  Node<E> temp = head;
  if (head != null) {
    head = head.next;
  }
  if (temp != null) {
    size--;
    return temp.data
  } else {
    return null;
```

Traversing a Single-Linked List



Traversing a Single-Linked List (cont.)

toString() can be implemented with a traversal:

```
public String toString() {
  Node<String> nodeRef = head;
  StringBuilder result = new StringBuilder();
  while (nodeRef != null) {
    result.append(nodeRef.data);
    if (nodeRef.next != null) {
      result.append(" ==> ");
    nodeRef = nodeRef.next;
  return result.toString();
```

SLList.getNode(int)

In order to implement methods required by the List interface, we need an additional helper method:

```
private Node<E> getNode(int index) {
  Node<E> node = head;
  for (int i=0; i<index && node != null; i++) {
    node = node.next;
  }
  return node;
}</pre>
```

Completing the

SingleLinkedList Class

Method	Behavior
<pre>public E get(int index)</pre>	Returns a reference to the element at position index.
<pre>public E set(int index, E anEntry)</pre>	Sets the element at position index to reference anEntry. Returns the previous value.
<pre>public int size()</pre>	Gets the current size of the List.
<pre>public boolean add(E anEntry)</pre>	Adds a reference to anEntry at the end of the List. Always returns true.
<pre>public void add(int index, E anEntry)</pre>	Adds a reference to anEntry, inserting it before the item at position index.
int indexOf(E target)	Searches for target and returns the position of the first occurrence, or -1 if it is not in the List.

public E get(int index)

```
public E get (int index) {
  if (index < 0 || index >= size) {
    throw new
        IndexOutOfBoundsException(Integer.toString(index));
  }
  Node<E> node = getNode(index);
  return node.data;
}
```

public E set(int index, E newValue)

```
public E set (int index, E anEntry) {
   if (index < 0 || index >= size) {
      throw new
      IndexOutOfBoundsException(Integer.toString(index));
   }
   Node<E> node = getNode(index);
   E result = node.data;
   node.data = anEntry;
   return result;
}
```

public void add(int index, E item)

```
public void add (int index, E item) {
 if (index < 0 \mid | index > size) {
   throw new
     IndexOutOfBoundsException(Integer.toString(index));
 if (index == 0) {
   addFirst(item);
 } else {
   Node<E> node = getNode(index-1);
   addAfter(node, item);
```

public boolean add(E item)

To add an item to the end of the list

```
public boolean add (E item) {
  add(size, item);
  return true;
}
```

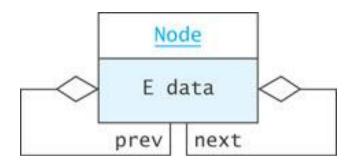
Double-Linked Lists and Circular Lists

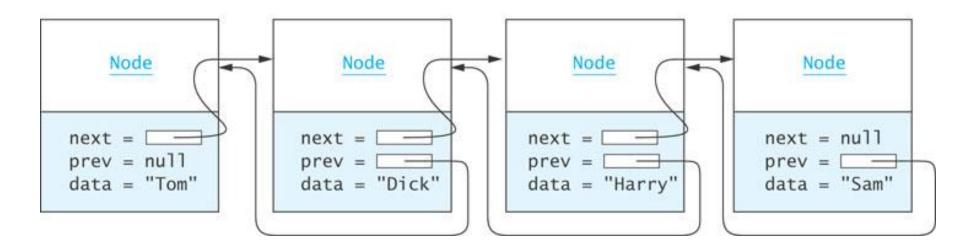
Section 2.6

Double-Linked Lists

- Limitations of a singly-linked list include:
 - Insertion at the front is O(1); insertion at other positions is O(n)
 - Insertion is convenient only after a referenced node
 - Removing a node requires a reference to the previous node
 - We can traverse the list only in the forward direction
- We can overcome these limitations:
 - Add a reference in each node to the previous node, creating a double-linked list

Double-Linked Lists (cont.)

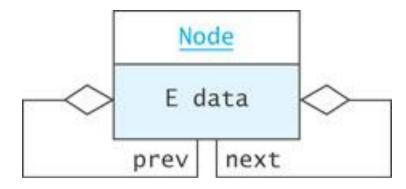




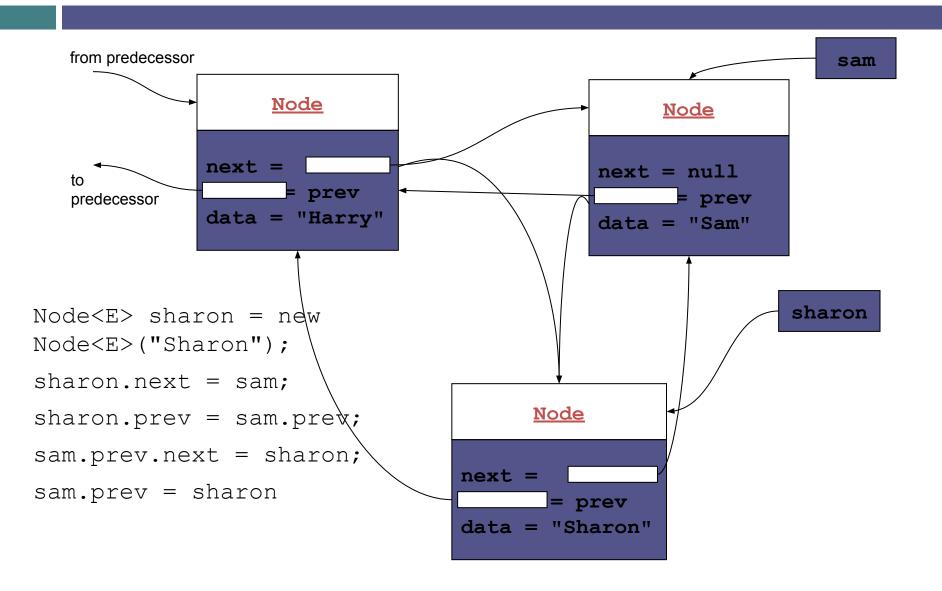
Node Class

```
private static class Node<E> {
   private E data;
   private Node<E> next = null;
   private Node<E> prev = null;

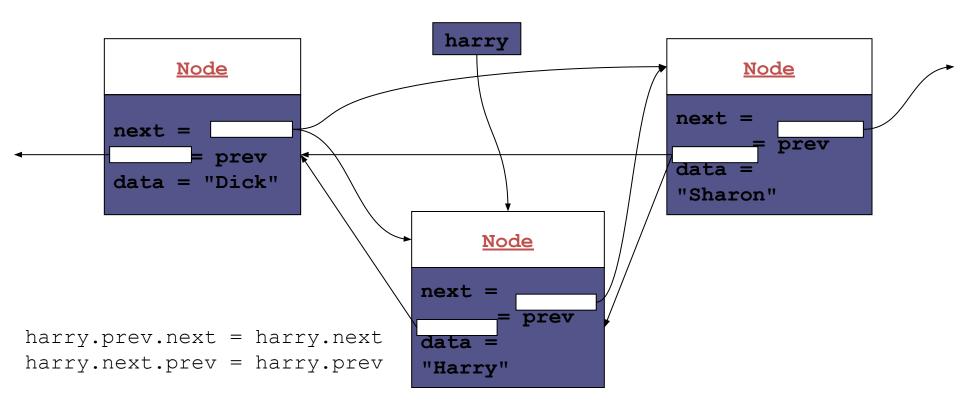
   private Node(E dataItem) {
     data = dataItem;
   }
}
```



Inserting into a Double-Linked List

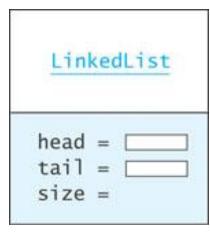


Removing from a Double-Linked List



A Double-Linked List Class

- So far we have worked only with internal nodes
- As with the single-linked class, it is best to access the internal nodes with a double-linked list object

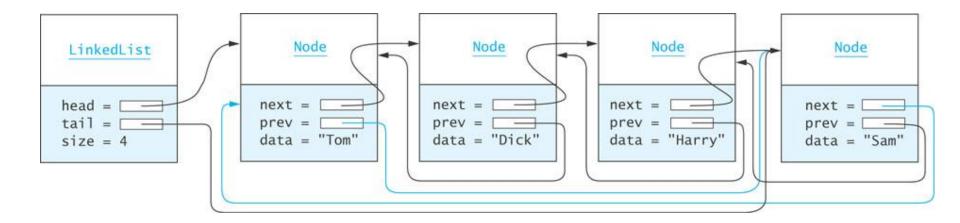


- A double-linked list object has data fields:
 - head (a reference to the first list Node)
 - tail (a reference to the last list Node)
 - □ size
- Insertion at either end is O(1); insertion elsewhere is still O(n)

Circular Lists

- Circular double-linked list:
 - Link last node to the first node, and
 - Link first node to the last node
- We can also build singly-linked circular lists:
 - Traverse in forward direction only
- Advantages:
 - Continue to traverse even after passing the first or last node
 - Visit all elements from any starting point
 - Never fall off the end of a list
- Disadvantage: Code must avoid an infinite loop!

Circular Lists (cont.)



The LinkedList Class and the Iterator, ListIterator, and Iterable Interfaces

Section 2.7

The LinkedList Class

Method	Behavior
<pre>public void add(int index, E obj)</pre>	Inserts object obj into the list at position index.
public void addFirst(E obj)	Inserts object obj as the first element of the list.
public void addLast(E obj)	Adds object obj to the end of the list.
<pre>public E get(int index)</pre>	Returns the item at position index.
<pre>public E getFirst()</pre>	Gets the first element in the list. Throws NoSuchElementException if the list is empty.
<pre>public E getLast()</pre>	Gets the last element in the list. Throws NoSuchElementException if the list is empty.
public boolean remove(E obj)	Removes the first occurrence of object obj from the list. Returns true if the list contained object obj; otherwise, returns false.
public int size()	Returns the number of objects contained in the list.

The Iterator

- An iterator can be viewed as a moving place marker that keeps track of the current position in a particular linked list
- An Iterator object for a list starts at the first node
- The programmer can move the Iterator by calling its next method
- The Iterator stays on its current list item until it is needed
- An Iterator traverses in O(n) while a list traversal using get() calls in a linked list is O(n²)

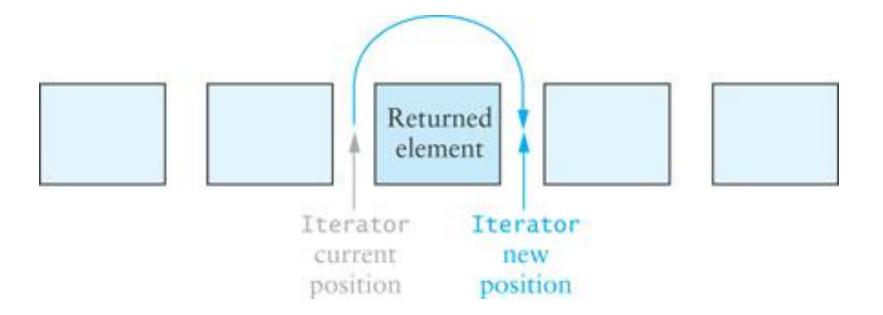
Iterator Interface

- The Iterator interface is defined in java.util
- The List interface declares the method iterator which returns an Iterator object that iterates over the elements of that list

Method	Behavior	
boolean hasNext()	hasNext() Returns true if the next method returns a value.	
E next()	Returns the next element. If there are no more elements, throws the NoSuchElementException.	
void remove()	Removes the last element returned by the next method.	

Iterator Interface (cont.)

 An Iterator is conceptually between elements; it does not refer to a particular object at any given time



Iterator Interface (cont.)

In the following loop, we process all items in List<Integer> through an Iterator

```
Iterator<Integer> iter = aList.iterator();
while (iter.hasNext()) {
  int value = iter.next();
  // Do something with value
  ...
}
```

Iterators and Removing Elements

- You can use the Iterator remove() method to remove items from a list as you access them
- □ remove() deletes the most recent element returned
- You must call next() before each remove(); otherwise, an IllegalStateException will be thrown
- LinkedList.remove vs. Iterator.remove:
 - I LinkedList.remove must walk down the list each time, then remove, so in general it is $O(n^2)$
 - Iterator.remove removes items without starting over at the beginning, so in general it is O(n)

Iterators and Removing Elements (cont.)

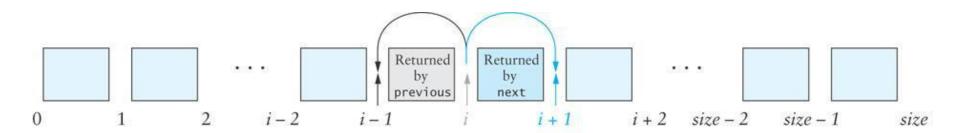
To remove all elements from a list of type Integer that are divisible by a particular value:

ListIterator Interface

- Iterator limitations
 - □ Traverses List only in the forward direction
 - Provides a remove method, but no add method
 - You must advance the Iterator using your own loop if you do not start from the beginning of the list
- ListIterator extends Iterator, overcoming these limitations

ListIterator Interface (cont.)

- As with Iterator, ListIterator is conceptually positioned between elements of the list
- ListIterator positions are assigned an index from
 0 to size



ListIterator Interface (cont.)

Method	Behavior	
void add(E obj)	Inserts object obj into the list just before the item that would be returned by the next call to method next and after the item that would have been returned by method previous. If method previous is called after add, the newly inserted object will be returned.	
boolean hasNext()	Returns true if next will not throw an exception.	
boolean hasPrevious()	Returns true if previous will not throw an exception.	
E next()	Returns the next object and moves the iterator forward. If the iterator is at the end, the NoSuchElementException is thrown.	
<pre>int nextIndex()</pre>	Returns the index of the item that will be returned by the next call to next. If the iterator is at the end, the list size is returned.	
E previous()	Returns the previous object and moves the iterator backward. If the iterator is at the beginning of the list, the NoSuchElementExcepton is thrown.	
int previousIndex()	Returns the index of the item that will be returned by the next call to previous. If the iterator is at the beginning of the list, -1 is returned.	
void remove()	Removes the last item returned from a call to next or previous. If a call to remove is not preceded by a call to next or previous, the IllegalStateException is thrown.	
void set(E obj)	Replaces the last item returned from a call to next or previous with obj. If a call to set is not preceded by a call to next or previous, the IllegalStateException is thrown.	

ListIterator Interface (cont.)

Method		Behavior
<pre>public ListIterator<e></e></pre>	listIterator()	Returns a ListIterator that begins just before the first list element.
public ListIterator <e></e>	listIterator(int index)	Returns a ListIterator that begins just before position index.

Comparison of Iterator and ListIterator

- ListIterator is a subinterface of Iterator
 - Classes that implement ListIterator must provide the features of both
- Iterator:
 - Requires fewer methods
 - Can iterate over more general data structures
- Iterator is required by the Collection interface
 - □ ListIterator is required only by the List
 interface

Conversion Between ListIterator and an Index

- ListIterator:
 - nextIndex() returns the index of item to be returned
 by next()
 - previousIndex() returns the index of item to be returned by previous()
- LinkedList has method listIterator(int index)
 - Returns a ListIterator positioned so next() will return the item at position index

Conversion Between ListIterator and an Index (cont.)

The listIterator (int index) method creates a new ListIterator that starts at the beginning, and walks down the list to the desired position – generally an O(n) operation

Enhanced for **Statement**

- $_{ extsf{ iny Java}}$ 5.0 introduced an enhanced $extsf{ iny 1}$ statement
- The enhanced for statement creates an
 Iterator object and implicitly calls its hasNext
 and next methods
- Other Iterator methods, such as remove, are not available

Enhanced for **Statement** (cont.)

The following code counts the number of times target occurs in myList (type LinkedList<String>)

```
count = 0;
for (String nextStr : myList) {
  if (target.equals(nextStr)) {
    count++;
  }
}
```

Enhanced for **Statement** (cont.)

In list myList of type LinkedList<Integer>, each Integer object is automatically unboxed:

```
sum = 0;
for (int nextInt : myList) {
  sum += nextInt;
}
```

Enhanced for **Statement** (cont.)

The enhanced for statement also can be used with arrays, in this case, chars or type char[]

```
for (char nextCh : chars) {
   System.out.println(nextCh);
}
```

Iterable Interface

- Each class that implements the List interface must provide an iterator method
- The Collection interface extends the Iterable interface
- All classes that implement the List interface (a subinterface of Collection) must provide an iterator method
- Allows use of the Java 5.0 for-each loop

```
public interface Iterable<E> {
  /** returns an iterator over the elements in this
  collection. */
   Iterator<E> iterator();
}
```

Implementation of a Double-Linked List Class

Section 2.8

KWLinkedList

- We will define a KWLinkedList class which implements some of the methods of the List interface
- The KWLinkedList class is for demonstration purposes only; Java provides a standard LinkedList class in java.util which you should use in your programs

Data Field	Attribute
private Node <e> head</e>	A reference to the first item in the list
private Node <e> tail</e>	A reference to the last item in the list
private int size	A count of the number of items in the list

KWLinkedList (cont.)

```
import java.util.*;
/** Class KWLinkedList implements a double linked list
and
 * a ListIterator. */
public class KWLinkedList <E> {
    // Data Fields
    private Node <E> head = null;
    private Node <E> tail = null;
    private int size = 0;
```

Add Method

- Obtain a reference, nodeRef, to the node at position index
- Insert a new Node containing obj before the node referenced by nodeRef

To use a ListIterator object to implement add:

- Obtain an iterator that is positioned just before the Node at position index
- 2. Insert a new Node containing obj before the Node currently referenced by this iterator

It is not necessary to
declare a local
ListIterator; the method
call listIterator returns
an anonymous
listIterator object

```
/** Add an item at the specified
     index.
    Oparam index The index at
            which the object is
            to be inserted
    @param obj The object to be
            inserted
    @throws
       IndexOutOfBoundsException
            if the index is out
        of range
            (i < 0 | | i > size())
* /
public void add(int index, E obj) {
  listIterator(index).add(obj);
```

Get Method

- Obtain a reference, nodeRef, to the node at position index
- 2. Return the contents of the Node referenced by nodeRef

```
/** Get the element at position
    index.
    @param index Position of
        item to be retrieved
    @return The item at index
*/
public E get(int index) {
    return
        listIterator(index).next();
}
```

Other Add and Get Methods

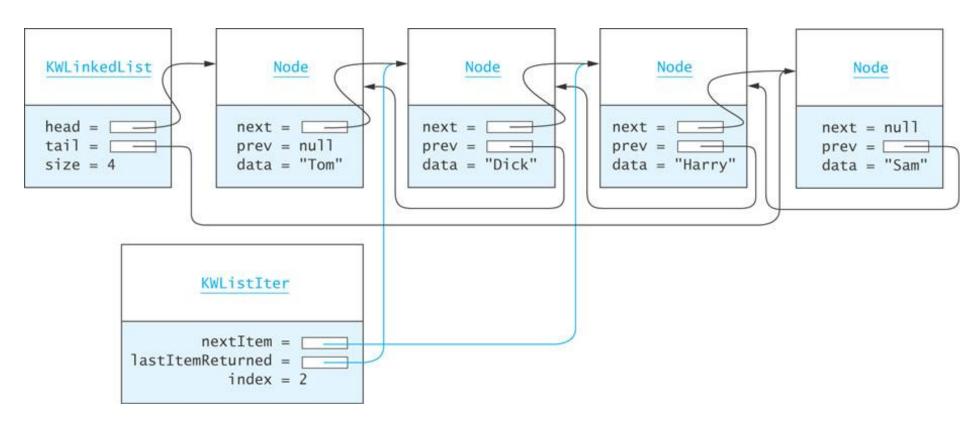
```
public void addFirst(E item) {
  add(0, item);
public void addLast(E item) {
  add(size, item);
public E getFirst() {
  return head.data;
public E getLast() {
  return tail.data;
```

Implementing the ListIterator Interface

KWListIter is an inner class of KWLinkedList
 which implements the ListIterator interface

private Node <e> nextItem</e>	A reference to the next item.
private Node <e> lastItemReturned</e>	A reference to the node that was last returned by next or previous.
private int index	The iterator is positioned just before the item at index.

Implementing the ListIterator Interface (cont.)



Implementing the ListIterator Interface (cont.)

```
private class KWListIter implements ListIterator<E> {
   private Node <E> nextItem;
   private Node <E> lastItemReturned;
   private int index = 0;
   ...
```

Constructor

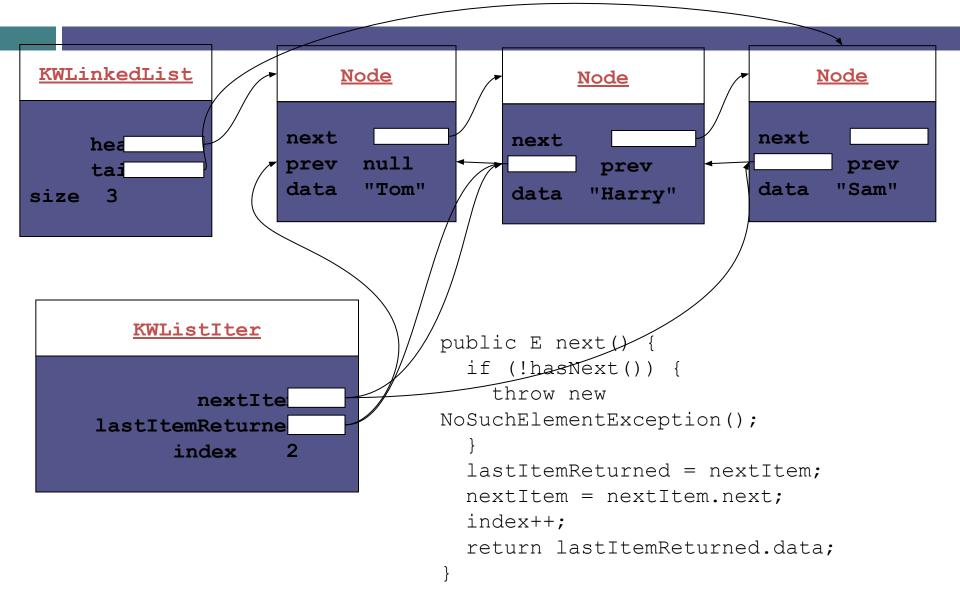
```
public KWListIter(int i) {
  // Validate i parameter.
  if (i < 0 | | i > size) {
   throw new IndexOutOfBoundsException("Invalid index " + i);
  lastItemReturned = null; // No item returned yet.
  // Special case of last item
  if (i == size) {
   index = size;
   nextItem = null;
  else { // Start at the beginning
   nextItem = head;
    for (index = 0; index < i; index++) {
     nextItem = nextItem.next;
```

The hasNext () Method

tests to see if nextItem is null

```
public boolean hasnext() {
  return nextItem != null;
}
```

Advancing the Iterator



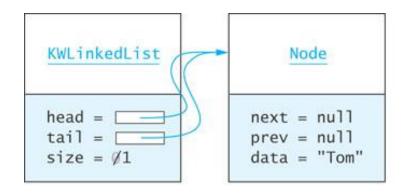
Previous Methods

```
public boolean hasPrevious() {
  return (nextItem == null && size != 0)
            || nextItem.prev != null;
public E previous() {
  if (!hasPrevious()) {
    throw new NoSuchElementException();
  if (nextItem == null) { // Iterator past the last element
   nextItem = tail;
  else {
   nextItem = nextItem.prev;
  lastItemReturned = nextItem;
  index--;
  return lastItemReturned.data;
```

The Add Method

- When adding, there are four cases to address:
 - Add to an empty list
 - Add to the head of the list
 - Add to the tail of the list
 - Add to the middle of the list

Adding to an Empty List

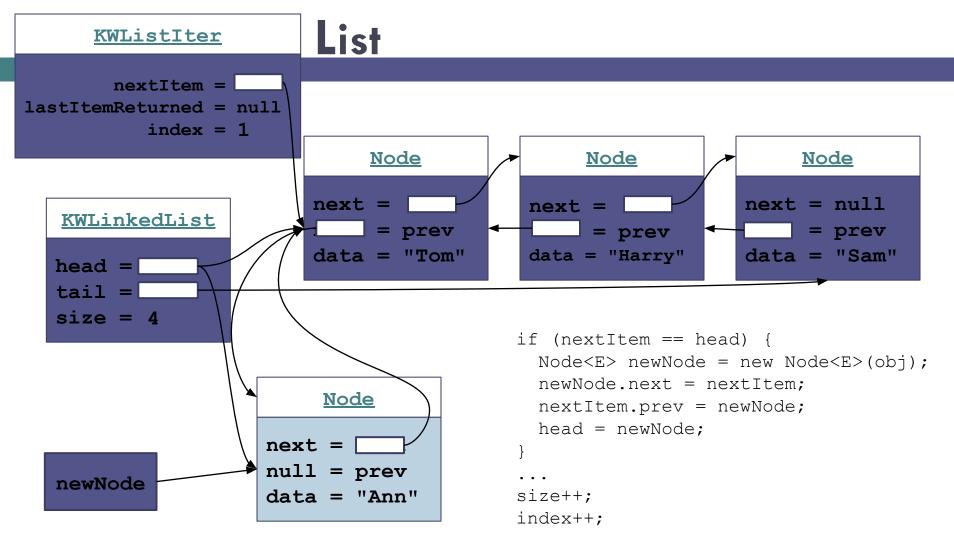


(after insertion)

```
nextItem = null
lastItemReturned = null
index = 1
```

```
if (head == null) {
  head = new Node<E>(obj);
  tail = head;
}
...
size++
```

Adding to the Head of the



Adding to the Tail of the **KWListIter** List nextItem = null lastItemReturned = null index = 3<u>Node</u> <u>Node</u> Node next = next = next = prev = null = prev = prev **KWLinkedList** data = "Tom" data = "Ann" data = "Sam" head = tail = size = 4if (nextItem == null) { Node Node<E> newNode = new Node<E>(obj); tail.next = newNode; next = null newNode.prev = tail; = prev newNode tail = newNode data = "Bob" size++; index++;

Adding to the Middle of **KWListIter** the List nextItem = lastItemReturned = null index = 2**Node** <u>Node</u> **Node** next = next = next = null prev = null = prev = prev **KWLinkedList** data = "Tom" data = "Ann" data = "Sam" head = tail = size = 4Node else { next = Node<E> newNode = new Node<E>(obj)> = prev newNode.prev = nextItem.prev; data = "Bob" nextItem.prev.next = newNode; newNode.next = nextItem; nextItem.prev = newNode; newNode size++;

index++;

Inner Classes: Static and Nonstatic

- KWLinkedList contains two inner classes:
 - Node<E> is declared static: there is no need for it to access the data fields of its parent class, KWLinkedList
 - KWListIter cannot be declared static because its methods access and modify data fields of KWLinkedList's parent object which created it
- An inner class which is not static contains an implicit reference to its parent object and can reference the fields of its parent object
- Since its parent class is already defined with the parament <E>, KWListIter cannot be declared as KWListIter<E>; if it were, an incompatible types syntax error would occur

The Collections Framework Design

Section 2.9

The Collection Interface

Specifies a subset of methods in the List interface,
 specifically excluding

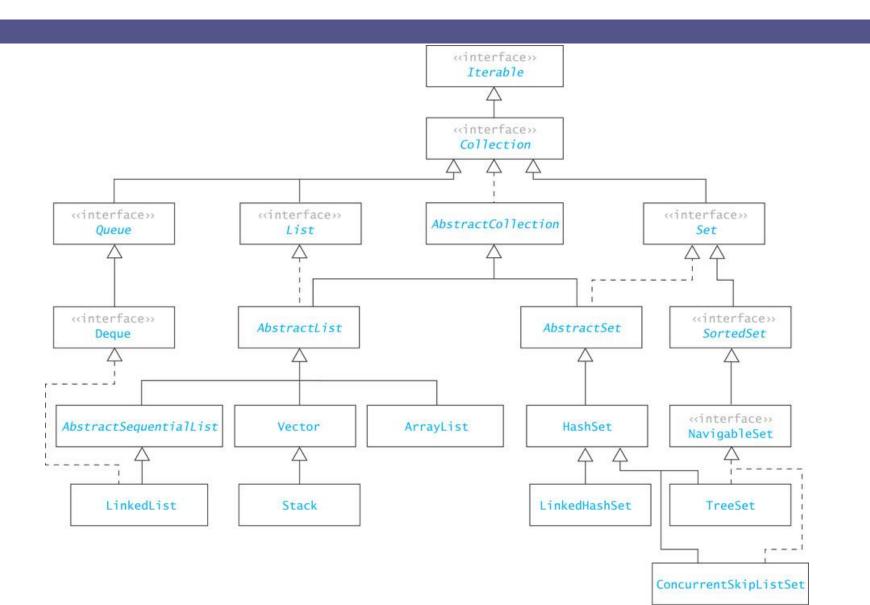
```
□ add(int, E)
```

- get(int)
- □ remove(int)
- □ set(int, E)

but including

- □ add(E)
- □ remove(Object)
- the iterator method

The Collection Framework



Common Features of Collections

Collections

- grow as needed
- hold references to objects
- have at least two constructors: one to create an empty collection and one to make a copy of another collection

Common Features of Collections (cont.)

Method	Behavior	
boolean add(E obj)	Ensures that the collection contains the object obj. Returns true if the collection was modified.	
boolean contains(E obj)	Returns true if the collection contains the object obj.	
<pre>Iterator<e> iterator()</e></pre>	Returns an Iterator to the collection.	
int size()	Returns the size of the collection.	

- In a general
 Collection the order
 of elements is not
 specified
- For collections implementing the List interface, the order of the elements is determined by the index

Common Features of Collections (cont.)

Method	Behavior	
boolean add(E obj)	Ensures that the collection contains the object obj. Returns true if the collection was modified.	
boolean contains(E obj)	Returns true if the collection contains the object obj.	
<pre>Iterator<e> iterator()</e></pre>	Returns an Iterator to the collection.	
int size()	Returns the size of the collection.	

- In a general
 Collection, the position where an object is inserted is not specified
- In ArrayList and
 LinkedList, add(E)
 always inserts at the
 end and always returns
 true

AbstractCollection, AbstractList, and AbstractSequentialList

- The Java API includes several "helper" abstract classes to help build implementations of their corresponding interfaces
- By providing implementations for interface methods not used, the helper classes require the programmer to extend the AbstractCollection class and implement only the desired methods

Implementing a Subclass of

Collection < E >

- Extend AbstractCollection<E>, which implements most operations
- You need to implement only:
 - □ add(E)
 - size()
 - iterator()
 - an inner class that implements Iterator<E>

Implementing a Subclass of List<E>

- Extend AbstractList<E>
- You need to implement only:
 - add(int, E)
 - get(int)
 - remove(int)
 - □ set(int, E)
 - size()
- abstractList implements Iterator<E> using the index

AbstractCollection, AbstractList, and AbstractSequentialList

Another more complete way to declare kwarrayList is:

```
public class KWArrayList<E> extends AbstractList<E> implements List<E>
```

Another more complete, way to declare KWLinkedLinkedList is:

List and RandomAccess Interfaces

- Accessing a LinkedList using an index requires an
 O(n) traversal of the list until the index is located
- The RandomAccess interface is applied to list implementations in which indexed operations are efficient (e.g. ArrayList)
- An algorithm can test to see if a parameter of type List is also of type RandomAccess and, if not, take appropriate measures to optimize indexed operations

Application of the LinkedList Class

Section 2.10

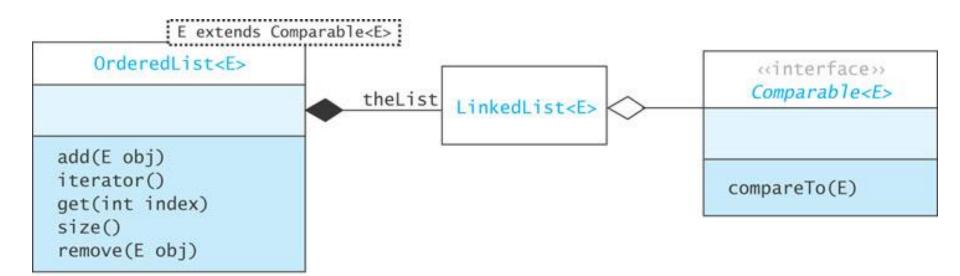
An Application: Ordered Lists

 We want to maintain a list of names in alphabetical order at all times

Approach

- Develop an OrderedList class (which can be used for other applications)
- Implement a Comparable interface by providing a compareTo(E) method
- Use a LinkedList class as a component of the OrderedList
 - if OrderedList extended LinkedList, the user could use LinkedList's add methods to add an element out of order

Class Diagram for OrderedList



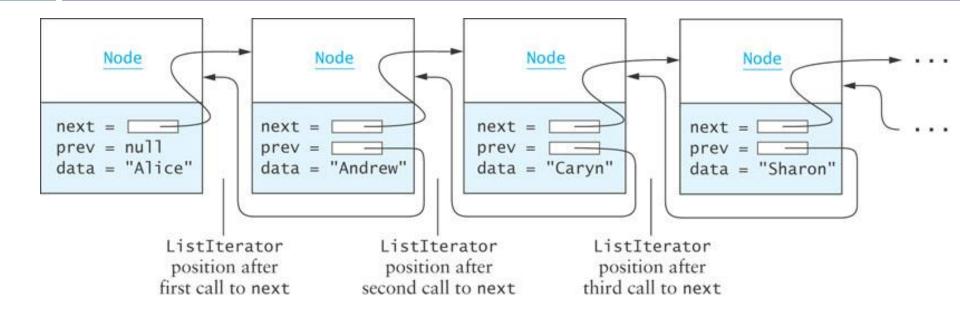
Design

Data Field	Attribute
private LinkedList <e> theList</e>	A linked list to contain the data.
Method	Behavior
public void add(E obj)	Inserts obj into the list preserving the list's order.
public Iterator iterator()	Returns an Iterator to the list.
<pre>public E get(int index)</pre>	Returns the object at the specified position.
<pre>public int size()</pre>	Returns the size of the list.
<pre>public E remove(E obj)</pre>	Removes first occurrence of obj from the list.

Inserting into an OrderedList

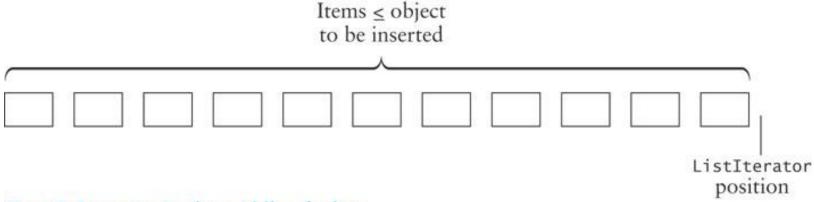
- Strategy for inserting new element e:
 - ☐ Find first item > e
 - Insert e before that item
- Refined with an iterator:
 - Create ListIterator that starts at the beginning of the list
 - While the ListIterator is not at the end of the list and e >= the next item
 - Advance the ListIterator
 - Insert e before the current ListIterator position

Inserting Diagrammed

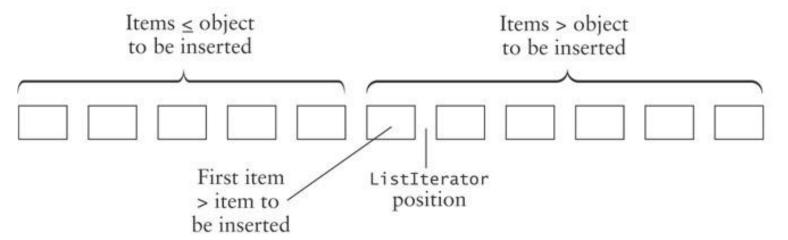


Inserting Diagrammed (cont.)

Case 1: Inserting at the end of a list



Case 2: Inserting in the middle of a list



OrderedList.add

```
public void add (E e) {
  ListIterator<E> iter = theList.listIterator();
  while (iter.hasNext()) {
    if (e.compareTo(iter.next()) < 0) {</pre>
      // found element > new one
      iter.previous(); // back up by one
      iter.add(e); // add new one
                     // done
      return;
  iter.add(e); // will add at end
```

Using Delegation to Implement the Other Methods

```
public E get (int index) {
  return theList.get(index);
public int size () {
  return theList.size();
public E remove (E e) {
  return theList.remove(e);
// returns an iterator positioned before the first element
public Iterator iterator() {
  return theList.iterator();
```