

## Account Feature

EP1

### Electronic Prospectus and Shareholder Communication Preference

**Instructions:** Use this form to give LPL Financial LLC and its affiliates (collectively, "LPL") permission to enroll the account(s) below in electronic delivery of trade related prospectuses and shareholder communications. This form must be filled out completely. **This form does not apply to direct business accounts.**

#### Option to Receive Hard Copy

If, after enrollment in electronic delivery of electronic prospectus and shareholder communications, you would like to receive a printed set of prospectus and shareholder materials for a particular security, along with your email notification, you may request a hard copy delivery from LPL, directly from the issuing corporation, or mutual fund company. LPL, the issuing corporation, or mutual fund company may impose a fee to receive the printed materials in addition to the electronic materials.

#### There are Two Options to Update Your Information or Cancel Enrollment

1. Complete the form below and submit to LPL. This form can be submitted via Electronic Signature. If this form is being wet signed, scan and email it to [imaging.email@lpl.com](mailto:imaging.email@lpl.com) or fax to (858) 202-8325.

2. For shareholder communication only, in the alternative, you may visit: <http://www.investordelivery.com>. Once there, enter your unique enrollment number provided by Broadridge. You will be able to update your contact information, such as email address or you can cancel the electronic delivery of the shareholder communications by selecting the cancellation option.

#### 1. Request Options: Choose either or both of the following options:

- ☐ Trade Related Prospectuses
- ☐ Shareholder Communication

#### 2. Account Information (Please note: **Only one email address is allowed per account.** The email address and the instruction (Enroll/Modify/Cancel) provided on the first line below applies to all accounts listed, unless otherwise noted.)

Account Number	Primary Email Address (max 96 characters)	Enroll E-delivery	Cancel E-delivery	Modify Email
<input type="text"/>	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="text"/>	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="text"/>	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="text"/>	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
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<input type="text"/>	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="text"/>	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="text"/>	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="text"/>	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="text"/>	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

#### 3. Account Holder Consent for Electronic Prospectus

LPL offers you the ability to receive certain product prospectuses electronically. If you would like to receive the available product prospectuses electronically, and no longer receive paper prospectuses by mail, you must first consent to the following agreement in Section 3. Once the completed form is received, LPL will send an email to the email address you provide in order to complete and confirm this request. Electronic prospectus delivery is not activated until LPL sends the confirmation email. Once the email validation process is complete, upon placing a transaction and/or at other such applicable times, LPL will notify you by email that the product prospectus is available. This email will contain a link directing you to an address, where you can access, view and/or print the prospectus.

Prospectuses available via electronic delivery include transactions for mutual funds, closed-end funds, exchange traded funds, exchange traded notes, unit investment trusts, equities, structured products, money markets, preferred stock, and fixed income products, including corporate bonds, municipal bonds, mortgage-backed securities, collateralized mortgage obligations, government agency securities, and brokerage certificates of deposit. Currently, variable annuities and alternative investments must continue to be delivered to you directly by your financial professional at the time of the transaction. While you have opted to receive your prospectus electronically, you may still receive a prospectus in the mail if it's not available in an electronic format to meet the regulatory delivery requirement in time.

By signing in section 4, I hereby authorize LPL to deliver product prospectuses in connection with my LPL account to the email address specified above. To change the email address, I understand that I must notify my financial professional or LPL.



### 3. Account Holder Consent for Electronic Prospectus (continued)

I further authorize LPL to deliver to me any such prospectus by sending to me a notice directing me to a Web address, where the prospectus is posted and can be read and printed for as long as it is regulatory required. Any such notice (known as the "Notice of Delivery by Web Posting") will include the specific Web address where the prospectus is posted. I agree that LPL's email transmission to me of a "Notice of Delivery by Web Posting" shall constitute good and effective delivery of the prospectus regardless of whether I follow the instructions of the notice and undertake to access, view, print, and/or read the prospectus via the Web.

I understand that I may discontinue my receipt of the electronic prospectuses at any time by contacting my financial professional, or by notifying LPL in writing at the following address: 1055 LPL Way, Fort Mill SC 29715, Attn: New Accounts. Further, I understand that if I discontinue this service, LPL will resume mailing paper copies the following month, when any prospectus is generated.

LPL offers the convenience of viewing shareholder communications, including proxy statements, quarterly, semiannual and annual reports, Mutual Fund Annual Prospectuses and Corporate Action Materials online. By signing below, you authorize and direct LPL to enroll you in this electronic delivery service. You will be notified by email when these communications become available on the Internet. Printed copies of these communications may still be requested through LPL. Documents that are not available on the Internet will continue to be sent to you by mail.

#### Description of Service

By enrolling in this electronic delivery service, you agree to receive announcements by email about shareholder communications material. Those materials are made available electronically by issuing corporations, mutual funds and other third parties. Quarterly and annual reports, proxy statements, and newsletters are examples of shareholder communications that may be made available electronically. The announcements will include the Internet address (URL) where the materials can be found. Materials referenced within the email announcement may be viewed electronically via the Internet and printed with a local printer. If the materials are not made available electronically, you will receive the standard printed materials and no announcement will be made by email. Your enrollment will be effective for all holdings in the specified account on an ongoing basis unless you change or cancel your enrollment.

If you have a security position in an equity or mutual fund as of the record date for a particular shareholder distribution and the materials to be distributed are made available electronically, you will receive an email announcement. The content of the information provided for electronic delivery is the responsibility of the issuing corporation, mutual fund, or third party, presenting it. LPL is not responsible for content of electronic shareowner material. Please note that not all issuers participate in electronic delivery of materials. If the materials are not able to be electronically delivered due to issuer participation you will receive the information via regular mail to your address on file.

#### Failure of Email Address

If, during a distribution, your email address proves to be invalid, your enrollment in this electronic delivery service will be cancelled and you will receive a hard-copy notification of the announcement. This notification will provide the URL to the electronic material, and will contain instructions to visit <http://www.investordelivery.com> to re-enroll.

#### Hardware and Software Required to Use Service

Enrollment in this electronic delivery service requires that you have a personal computer with appropriate browser software, such as Microsoft Internet Explorer®, Google Chrome®, or equivalent, and email software as well as communications access to the Internet. This access may incur charges from Internet Service Providers and local telephone companies. LPL, the issuing corporation or mutual fund will not be responsible for the costs associated with electronic access; these costs are the responsibility of the shareowner. Should you wish to print materials that have been delivered electronically, you must have a printer as well. Some issuers electronically publish their materials in Portable Document Format (PDF). In order to view PDF-formatted documents, you must have Adobe Acrobat Reader software.

### 4. Account Holder Signature

By signing below, I represent that I have reviewed, understand and agree to the Account Holder Consents above. I also understand that by choosing to enroll in the electronic delivery for Shareholder communication, I am agreeing to the Broadridge Privacy Policy and the Broadridge Terms and Conditions, which are located at [www.investordelivery.com](http://www.investordelivery.com).

Account Holder Signature

Account Holder Name (print)

Date

Account Holder Signature

Account Holder Name (print)

Date

Account Holder Signature

Account Holder Name (print)

Date

Account Holder Signature

Account Holder Name (print)

Date

Account Number

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