

Finance

The Finance team is responsible for various financial matters including (financial reporting, budgeting, accounts receivable, billing, accounts payable, payroll, FA compensation, Corporate metrics and various FINRA compliance filings); corporate equity matters (stock, MIUs, etc...); acquisition related transactions; corporate governance (e.g. corporate minutes); business insurance matters; and assisting client services.

Resources



Finance - General



Finance - Institutional Operations



Finance - Wealth Operations



CAPTRUST Business Expense Policy



Creating a New Expense Report



License & Designation Policy



Licensing & Designation Request Form