8) For each calendar year beginning in 2009, provide the name and dollar amount of assets of all full-service retainer investment advisory clients that terminated their relationship with the firm. Provide the reason for each termination. Have there been any client terminations to date in 2014? If yes, please provide the information for those terminations as well.

There have been no client terminations in 2014.

2009	2010	2011	2012	2013
0	0	1	1	1
		$7 million	$140 million	$40 million
		Acquisition	Acquisition	Acquisition

9) Complete the following table by listing the number of full-service retainer investment advisory clients by category as of December 31, 2013:

	$0-$100 Million	$100-$500 Million	>$500 Million
Public Employees Retiremen (DB or hybrid)	0	0	0
Public Employees Retiremen (DC only)	0	4	0
Corporate (DB or hybrid)	9	0	1
Corporate (DC only)	51 plan sponsor clients	16 plan sponsor clients	6 plan sponsor clients
Endowment	12	0	0
Union/Taft-Hartley (DB hybrid)	0	0	0
Other	16	9	0
TOTAL	88	29	7

10) Provide as **Exhibit 10** a list of the firm's clients that are included in the table that is contained above. For each client, provide the type of client (as to public clients, distinguish government from university relationships, nonpublic tax exempt entities, corporate, etc.), the approximate size of the relationship (market value of assets), and the number of years the firm has provided such services to the client.

(See Exhibit #10).

11) Provide references for three (3) current defined contribution public pension fund clients each of which has utilized the firm's investment advisory services for at least five (5) years. Include the name of the retirement plan contact person and telephone number. Provide the same information for up to three (3) former defined contribution public pension plan clients that terminated the firm in the last five (5) years.

Jennifer Sandusky, Plan Administrator Iowa Department of Admin. Services Office: (515) 281-0569 FAX: (515) 281-5102 jennifer.sandusky@iowa.gov	Lisa A. Underhill Senior Benefits Analyst Benefits Division Hennepin County Human Resources Phone: 612/543-1122 Fax: 612/348-9638 Lisa.Underhill@hennepin.us	John Hanrahan Iowa State Education Association Associate Executive Director 777 Third Street Des Moines, IA 50309 Phone: 515-471-8015 Jhanrahan@isea.org