City of Plano RSP Investment Manager Services

A PROPOSAL FOR:
City of Plano

RFP No. 2012-222-C
Retirement Security Plan Investment Manager Services

PRESENTED BY:
Anderson Financial, Inc.

Anderson
Financial

Contact:
Anderson Financial, Inc
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Submitted:
July 9, 2012

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Executive Summary

Work to be performed

Anderson Financial, Inc. will provide Investment Manager Services for the City of Plano's Retirement Security Plan (the Plan). The president of Anderson Financial, Inc., Erik Anderson, will regularly furnish written investment instructions and directions to the Plan Trustee Bank, Comerica, under his discretion as an investment professional and in compliance with the Investment Policy established for the Plan. In addition to guiding the portfolio selections for the Plan, Erik Anderson will prepare and provide both monthly and annual statements of account that include information relating to current asset allocation and estimated income, investment returns, portfolio details, transaction details, unrealized gains and/or losses, and economic outlook projections and their potential effects on the Plan. Erik Anderson and his account team will also assist with schedule and documentation requests related to annual and fiscal year end audits. A representative from Anderson Financial, Inc. will be available on a quarterly basis to attend meetings and present investment reports to the Plan Committee.

Investment Advisory Services by Anderson Financial

The professionals at Anderson Financial, Inc. and Anderson Financial Management, LLC represent an average 20 years in investment planning services for retirement plans. The account team possesses a unique and expansive skill set that spans retirement plan administration, tax planning, recordkeeping, forecasting and modeling, and—most importantly—the selection of successful investment products with a long-term focus in mind. Our team has a thorough comprehension of retirement plans as a whole, which guides the prudent selection and proven success of our portfolios.

Qualifications and Work History

Relevant Experience & Client Information

Anderson Financial, Inc. has extensive experience in retirement portfolio composition on the individual and plan level. The culmination of Erik Anderson's experience is the creation of Bridge Portfolios (Bridge) for Employee Incentive Plans, Inc., a benefits consulting firm and third party administrator of retirement plans in Austin, Texas. Bridge allows individual participants who feel unprepared or uncomfortable managing their own investments to evaluate their risk tolerance based on age, liquidity needs, and other factors. Based on the results of this evaluation, the participant is placed in one of seven unique and actively-managed models. Bridge became an increasingly popular concept for new and existing retirement plan clients for whom Erik Anderson offers investment advisory services, and is currently implemented in over 100 plans managed by Erik Anderson:

Client Name (active)	*Contact Name*	*Address*	*E-mail Address*	*Telephone Number*	*RIA Services*
ABM Insurance	Mike Alexander	340 N. Sam Houston Parkway #185, Houston, TX 77060	mike.alexanderjr@cobensrv.com	281-448-3040	Bridge*
ACS	Monica Carrasco	4800 Manor Road, Austin, TX 78723	CarrascoM@austinchildrenshelter.org	512-499-0090	Bridge*
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Atkission	Shauna Reinhart	550 Benson Drive, Kerrville, TX 78028	sreinhart@cecilmotors.com	830-257-6121	Bridge*
Austin Chronicle	Liz Franklin	4000 North IH-35, Austin, TX 78751	lfranklin@austinchronicle.com	512-302-2733	Bridge*
Austin Plastic Surgery	Rebecca Burnham	3003 Bee Cave Road, Ste 203, Austin, TX 78746	Rebecca.burnham@austinpsi.com	512-327-3773	Bridge*
Austin Rheumatology	Mary Ann Sayers	1301 W. 38th Street, Ste 110, Austin, TX 78705	maryanns@austin.rr.com	512-454-3631	Bridge*
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Capitol Services	Carol Erwin	800 Brazos Suite 400 Austin TX 78701	cerwin@capitolservices.com	512-474-8377	Bridge*
Cecil	Shauna Reinhart	550 Benson Drive, Kerrville, TX 78028	sreinhart@cecilmotors.com	830-257-6121	Bridge*
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Central TX Retina & Vitreous	Dr Stephen Smith	7200 N. Mopac, Suite 200, Austin, TX 78731	srsmith90@gmail.com	512-241-1806	Bridge*
Citizens-Shallowater Co-Op Gin	Linda Stevens	3104 N SR 2130 Shallowater TX 79363	citizens.coop@pcca.com	806-997-2235	Bridge*
Crestview	Mike Regan	15700 South I.H.35 Buda TX 78610	mike_regan@crestviewrv.com	512-282-3516	Bridge*
D3 Air & Space	Michael Doughty	2225 A1A South, Suite C-10 Saint-Augustine FL 32080	mdoughty@d3aso.com	877-256-5930	Bridge*
DCSI	Marilyn Brown	204 Bagdad Street Leander TX 78641	marilyn@dcsi1.com	512-219-7958	Bridge*
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Edgewater Energy	Michael Tapp	23526 W. Hwy 71 Spicewood TX 78669	mtapp@edgewaterenergy.com	214-543-8636	Bridge*
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Orthopaedic Associates	Jason York	16020 Park Valley Drive Round Rock TX 78681	jyork@rrorthoandrehab.com	512-244-0766	Bridge*
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Texas Realty Capital	Jim Lemos	901 Rio Grande Suite 100 Austin TX 78701	jlemos@texasrealtycapital.com	512-450-6800	Bridge*
Texas State Freedom	Ryan Valentine	608 W. 22nd Street Austin TX 78705	ryan@tfn.org	512-322-0545	Bridge*
The Best 401(k) Plan	Ron Million	98 San Jacinto Blvd, Ste 1810 Austin, TX 78701	ron_Million@hcfd.com	512-445-0300	Bridge*
Ticom	Marlene Doolen	9130 Jollyville Road Suite 201 Austin TX 78759	marlene.doolen@ticom.com	512-345-5476	Bridge*
TOC	Geneva Grant	3705 Medical Pkwy. Suite 120 Austin TX 78705	ggrant@tocaustin.com	512-458-2141	Bridge*
Triad Logistics	Barbara Napolitan	314 Laurie Street Melbourne FL 32935	barb@napolitanassoc.com	321-254-3030	Bridge*
Trimbuilt	Dennis Harrison	12800 North Lamar Austin TX 78753	dharrison@trimbuilt.com	512-832-1979	Bridge*
Trout Green	Mary Marsden	13581 Pond Springs Road #305 Austin TX 78729	mary.h.marsden@troutgreen.com	512-331-9600	Bridge*
Vaught Law Firm	Tracy Vaught	5929 Balcones Dr. Suite 201 Austin TX 78731	tracy@vaughtlawfirm.com	512-342-9980	Bridge*
Whorton Insurance	Terry Whorton	11200 Jollyville Road Austin, TX 78759	terry@whortonins.com	512-338-1191	Bridge*
Wilde Custom Homes	Carole Hubbarth	5524 Bee Cave Road Suite B4 Austin TX 78746	carole@wildehomes.com	512-327-1801	Bridge*

* RIA services for Bridge Portfolios are described above and in the section relating to "Historical Performance"

Client Name (terminated)	*Reason for termination*
Chamberlain McHaney	The client chose another investment services provider.
Georgetown Medical	The plan was terminated and all assets were liquidated.
Medical Park OC	The plan was terminated and all assets were liquidated.
ROC Software	The client chose another investment services provider.
AIC Ventures	The client chose another investment services provider.
Brooks Range	The client chose another investment services provider.
AnyWARE	The plan was terminated and all assets were liquidated.
Career Opportunities	The client wanted to consolidate their investment and payroll services.
Heart HR 401k	The plan was terminated and all assets were liquidated.
Austin Traffic Signal	The client chose another investment services provider.
AMTEC Medical	The plan was terminated and all assets were liquidated.
People Fund	The client wanted to consolidate their investment and payroll services.
Texas ENT	The client chose another investment services provider.
Austin Surgeons	The client chose another investment services provider.
Roger Beasley	The plan was terminated and all assets were liquidated.

Qualifications and Work History

Account Team Credentials

Erik J. Anderson, CPA, PFS, AIFA®

Erik J. Anderson, CPA, PFS, AIFA® obtained his Bachelor of Business Administration degree in Accounting and Master of Taxation from Baylor University. He is a former associate of PricewaterhouseCoopers, LLP in Dallas, Texas in the Personal Financial and Private Company Services division, where he specialized in High Net Worth Individuals as well as financial and estate planning. Erik provides services to new and prospective clients for plan design and implementation as well as assisting individuals with their personal investment goals and objectives. He is a member of the American Institute of Certified Public Accountants, the Texas Society of Certified Public Accountants, the Financial Services Institute, as well as an adjunct professor at the University of Texas at Austin.

Susan K. Anderson

Susan K. Anderson began her public fund experience in 1971 with the City of Austin, where she served the City in the Financial Services Division for over twenty-five years. The last twenty years were specifically dedicated to the management of the investment, debt and cash resources of the City. As Treasurer of the City of Austin, she was responsible for the $1.5 billion investment portfolio, the $3.3 billion debt portfolio and all cash management programs, including collections and bank relations. Susan also served as liaison to all three of the City's pension programs, as Trustee on the City of Austin Firemen's Relief and Retirement Fund, and Board Member of the City of Austin Deferred Compensation Fund.

Following her retirement from the City of Austin, Susan served as Chief Investment Officer for the State of Texas where she was responsible for the management of $35 billion of combined operating, endowment and trust funds. After her public service, Susan joined the national financial and investment advisory firm, The PFM Group, where she continued to develop her knowledge of public fund investment. Susan founded Anderson Financial Management, L.L.C. in 2008.

Susan earned her Bachelor of Science, Business Administration degree in Finance from St. Edward's University. She is a Certified Cash Manager, a registered investment advisor representative, and has passed examinations for the Series 6, 63 and 65 and the Level one exam for the Chartered Financial Analyst (CFA) designation. She is one of four individuals Awarded lifetime membership to the Government Treasurers' Organization of Texas for outstanding service to the organization, and has been a featured speaker at the Government Finance Officers' Association National and State Conventions, Government Treasurers Organization of Texas seminars, and Texas Tech University's Government Finance Officers School.

Bruce A. Rice, CPA, PFS, AIFA®

Bruce A. Rice, CPA, PFS, AIFA®, Owner and President of Employee Incentive Plans, Inc of Austin, Texas, started in the employee benefits field as a Tax Manager with Peat Marwick, one of the largest accounting and consulting firms in the world. While there, he specialized in employee benefits and tax consulting for small to multinational publicly traded companies. He was trained in the benefits and consulting out of Peat Marwick National Office in Washington, D.C. His background and expertise provide an excellent foundation for consulting businesses in the development of retirement plans, cafeteria plans, and deferred compensation arrangements. He also will assist the principals of such businesses with personal financial and estate planning.

His education includes a Bachelor of Science degree in Accounting from the University of Houston. Bruce, who enjoys public speaking, was often invited by the Rhode Island Society of Public Accounting, Institute of Management Accountants and several Chamber of Commerce Associations to teach or lecture on benefits or tax issues. He has also lectured for the Texas Society of Certified Public Accountants, served on the IRS panel as an ERISA expert and is a former adjunct professor at the University of Texas at Austin.

He is a member of the American Institute of Certified Public Accountants, the Texas Society of Certified Public Accountants, Estate Planning Council of Central Texas, and the Austin Association of Life Underwriters. He formerly participated in the Funds Allocation Committee of the United Way of Austin and was a board member of the Family Elder Care of Austin. He has also served on the board of directors of the Learning Center of Austin.

Lisa A. Schallenberg CPA, QKA

Lisa A. Schallenberg, CPA, QKA, Executive Vice President of Employee Incentive Plans, Inc has been in the benefits field since 1991. She obtained her Bachelor of Science degree in Accounting from the University of New Orleans. Her knowledge of operations and administration allows our clients to receive the most efficient and timely plan services. She is a member of the American Institute of Certified Public Accountants, the Texas Society of Certified Public Accountants, American Society of Pension Professionals and Actuaries, and has participated in public speaking and several community activities. Lisa has served on the IRS panel as an ERISA expert and is an adjunct professor at the University of Texas at Austin.

Jamie D. Hobbs CPA, QKA

Jamie D. Hobbs, CPA, QKA, has been in the benefits field since 1995. She obtained her Bachelor of Business Administration degree in Accounting from Texas Tech University. Her knowledge of plan design and administration provides our clients quality service throughout the entire plan process. She is a member of the Texas Society of Certified Public Accountants, American Society of Pension Professionals and Actuaries, and is involved in a variety of community activities.

Qualifications and Work History

Investment Philosophy and Process

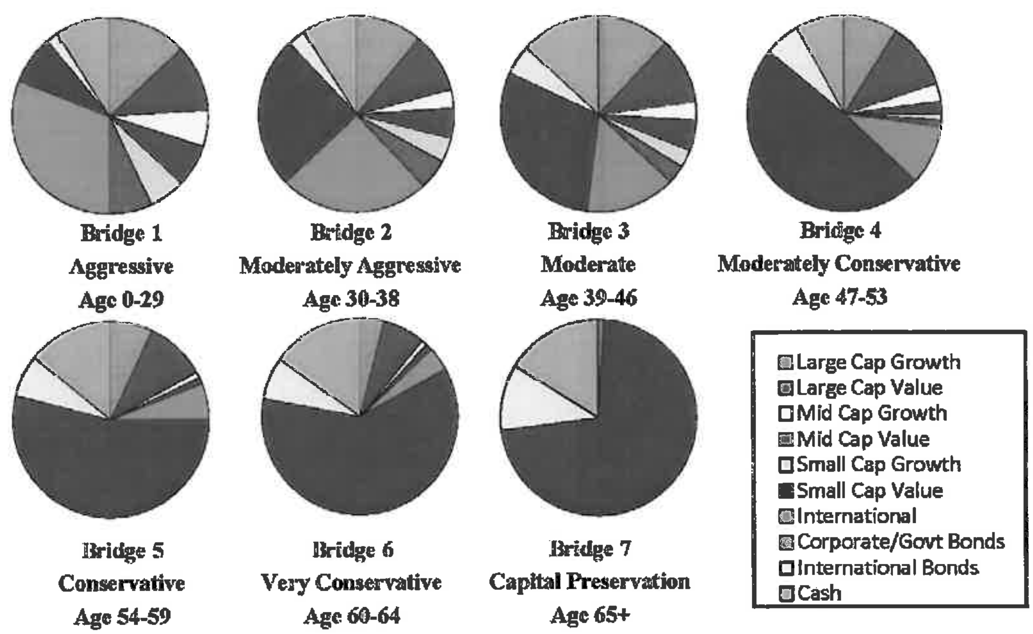
Anderson Financial, Inc. specializes in the investment management of retirement plan assets. Our investment philosophy focuses primarily on maximizing returns over the long-term while paying particular attention to the degree of risk taken. Our firm's core belief revolves around the understanding that minimal losses can have a dramatic effect on future growth potential. With that methodology in mind, Anderson Financial develops a tailored, well-diversified and appropriately allocated investment portfolio to suit the specific needs of the plan.

Anderson Financial maintains the desired asset allocation with periodic rebalances and does not succumb to the emotional temptations of market timing. We believe the general market cycles and long-term progresses of the market contribute to the overall growth potential of a plan portfolio. Future and ongoing contributions help take advantage of the market's volatility, and we aim to utilize these fluctuations in a positive manner.

Qualifications and Work History

Report on Historical Performance of Similar Accounts

As previously noted, a number of Anderson Financial, Inc. clients utilize Bridge Portfolios in their retirement plans. The Bridge Portfolios are designed to assist retirement plan participants in achieving an appropriate asset allocation based upon individual investment goals and objectives. The seven unique, actively-managed portfolios are divided into aggressive, moderate, and conservative mixes. Although returns are provided for each of the seven bridge models, we would direct the review committee to pay particular attention to Bridge Portfolios 2 and 3. The ideal investment mix outlined in the Statement of Investment Objectives and Policies for the City of Plano Retirement Security Plan (RSP) appear most similar to those two models:



Anderson Financial

Bridge Portfolios

Model Returns

As of March 31, 2012

	Annual			Quarter			
Portfolio	YTD 2012	2011	2010	March 2012	December 2011	September 2011	June 2011
Bridge #1	11.40%	-5.58%	20.05%	11.40%	9.35%	-17.95%	0.25%
Bridge #2	9.22%	-2.95%	16.16%	9.22%	7.98%	-14.05%	0.27%
Bridge #3	7.39%	-0.02%	11.69%	7.39%	6.09%	-9.44%	0.57%
Bridge #4	5.53%	2.60%	10.10%	5.53%	5.53%	-6.42%	1.09%
Bridge #5	3.89%	3.76%	8.30%	3.89%	4.04%	-3.68%	1.33%
Bridge #6	3.08%	3.44%	6.57%	3.08%	3.32%	-2.55%	1.21%
Bridge #7	1.47%	4.03%	4.19%	1.47%	1.35%	0.54%	1.41%
S&P 500 TR	12.59%	2.11%	15.06%	12.59%	11.82%	-13.87%	0.10%
MSCI EAFE NR USD	10.86%	-12.14%	7.75%	10.86%	3.33%	-19.01%	1.56%
BarCap US Agg Bond TR USD	0.30%	7.84%	6.54%	0.30%	1.12%	3.82%	2.29%

Disclosures

The Standard & Poor's 500 Index is a market capitalization-weighted index of 500 stocks commonly recognized as a substitute for the stock market.
The MSCI EAFE Index is a common measure of the equity market for developed countries outside of the United States and Canada.
The BarCap US Agg Bond Index measures the performance of investment-grade corporate debt as well as sovereign, local authority, and agency bonds that are dollar-denominated in the United States. The returns for the indexes include the reinvestment of dividends. The volatility of each index may be materially different than the other. You cannot invest directly in an index.

The performance results of portfolios indicated above are calculated without an applicable advisory fee and assuming the reinvestment of interest, dividends, and capital gains. The actual holdings and performance may vary from client to client due to factors such as timing of distributions, deposits, etc. Investments in the porfolios are not guaranteed and may fluctuate in value. Past performance is no guarantee of future results.

Sample Monthly Reports

Sample Plan A

- **Account Summary** Provides portfolio detail reports and details all transactions
- **Portfolio Summary** Outlines current asset allocation and estimated income
- **Portfolio Current Value** Outlines costs and current investment yields
- **Point-to-Point Gain and Loss** Outlines realized gain and loss
- **Unrealized Gain/Loss** Outlines unrealized gain and loss
- **Projected Cash Flows** Demonstrates the effects of economic outlook projections
- **Performance Summary** Provides a snapshot view of quarterly activity

Sample Plan B

- **Account Summary** Provides portfolio detail reports and details all transactions
- **Portfolio Summary** Outlines current asset allocation and estimated income
- **Portfolio Current Value** Outlines costs and current investment yields
- **Point-to-Point Gain and Loss** Outlines realized gain and loss
- **Unrealized Gain/Loss** Outlines unrealized gain and loss
- **Projected Cash Flows** Demonstrates the effects of economic outlook projections
- **Performance Summary** Provides a snapshot view of quarterly activity

Account Summary

[redacted]

Generated 7/5/2012
For 4/1/2012 to 6/30/2012

Anderson Financial

Prepared for

[redacted]

Prepared by

Anderson Financial, Inc
Anderson Financial, Inc
12466 Los Indios Trail Ste 200
Austin, TX 78729
512-258-4040

[redacted]

Portfolio Summary
by Asset Class

Date 6/30/2012

Account Number [redacted]

Report Currency USD

Asset Class	Market Value	Percent Asset	Total Cost	Current Yield	Estimated Income
Cash & Equivalents	8,388.80	0.12	8,388.80	0.08	6.44
US Large Cap Value	334,900.27	4.61	314,198.62	1.71	5,731.01
US Large Cap Blend	725,141.01	9.97	646,195.23	1.39	10,071.31
US Large Cap Growth	480,433.01	6.61	462,911.36	0.34	1,648.89
US Mid Cap Value	124,645.32	1.71	120,204.52	0.45	566.89
US Mid Cap Blend	165,255.30	2.27	164,201.80	0.00	0.00
US Mid Cap Growth	345,486.16	4.75	341,283.05	0.00	0.00
US Small Cap Value	51,478.35	0.71	53,750.80	0.62	320.98
US Small Cap Blend	455,871.09	6.27	466,933.93	0.00	0.00
US Small Cap Growth	38,289.94	0.53	33,793.37	0.00	0.00
Non-US Dev Stock	896,584.06	12.33	909,762.40	2.33	20,898.19
US Txbl Int Term Bonds	2,427,899.73	33.39	2,366,013.99	2.91	70,563.75
US Txbl Short Term Bonds	121,400.84	1.67	119,857.54	2.60	3,158.09
Non-US Dev Bonds	471,712.11	6.49	465,898.54	2.66	12,535.28
Balanced	623,715.98	8.58	577,326.89	3.67	22,915.26
Total Portfolio	**7,271,201.97**	**100.00**	**7,050,720.85**	**2.04**	**148,416.09**

* Current Yield data is Real Yield for Treasury TIPS holding(s).

[redacted]

Portfolio Current Value
by Security

Date 6/30/2012

Account Number [redacted]

Report Currency USD

Security Name	Symbol	Units	Unit Cost	Total Cost	Price	Market Value	Percent Asset	Current Yield
American Funds Capital World Bond R3	RCWCX	22,602.401	20.61	465,898.54	20.87	471,712.11	6.49	2.66
American Funds Capital World G/I R3	RWICX	21,624.153	34.21	739,813.52	33.68	728,301.47	10.02	2.56
American Funds EuroPacific Gr R3	RERCX	4,635.884	36.66	169,948.88	36.30	168,282.59	2.31	1.33
American Funds Fundamental Invs R3	RFNCX	12,813.586	34.16	437,772.82	37.73	483,456.60	6.65	1.35
American Funds Growth Fund of Amer R3	RGACX	15,467.901	29.93	462,911.36	31.06	480,433.01	6.61	0.34
American Funds Inc Fund of Amer R3	RIDCX	36,052.947	16.01	577,326.89	17.30	623,715.98	8.58	3.67
BlackRock S&P 500 Index A	MDSRX	14,428.920	14.44	208,422.41	16.75	241,684.41	3.32	1.46
Eaton Vance Large-Cap Value A	EHSTX	18,210.999	17.25	314,198.62	18.39	334,900.27	4.61	1.71
Federated Total Return Govt Bd Svc	FTGSX	77,206.381	11.49	887,244.40	11.79	910,263.23	12.52	1.80
Fidelity Select Money Market	FSLXX	8,388.800	1.00	8,388.80	1.00	8,388.80	0.12	0.08
Heartland Select Value	HRSVX	4,470.779	26.89	120,204.52	27.88	124,645.32	1.71	0.45
Heartland Value Plus	HRVIX	1,773.281	30.31	53,750.80	29.03	51,478.35	0.71	0.62
Invesco Mid Cap Core Equity A	GTAGX	7,559.712	21.72	164,201.80	21.86	165,255.30	2.27	0.00
Ivy Mid Cap Growth Y	WMGYX	19,518.992	17.48	341,283.05	17.70	345,486.16	4.75	0.00
Ivy Small Cap Growth Y	WSCYX	2,366.498	14.28	33,793.37	16.18	38,289.94	0.53	0.00
Keeley Small Cap Value A	KSCVX	18,411.595	25.36	466,933.93	24.76	455,871.09	6.27	0.00
PIMCO Low Duration D	PLDDX	11,584.050	10.35	119,857.54	10.48	121,400.84	1.67	2.60
PIMCO Total Return D	PTTDX	134,304.115	11.01	1,478,769.59	11.30	1,517,636.50	20.87	3.57
Total Portfolio		-	-	**7,050,720.85**	-	**7,271,201.97**	**100.00**	**2.04**

[redacted]

Point-to-Point Gain and Loss
by Asset Class

Date Range 1/1/2012 - 7/3/2012

Account Number [redacted]

Report Currency USD

Security Name	Beginning Market Value	Net Investment	Ending Market Value	Actual Realized Gain/Loss	Period Gain/Loss	Earned Income
Cash & Equivalents						
CASH	0.00	52,333.60[1]	0.00	0.00	0.00	0.00
Fidelity Cash Reserves (FDRXX)	0.00	-0.21	0.00	0.00	0.00[2]	0.21
Fidelity Select Money Market (FSLXX)	197,565.39	-189,200.67	8,383.95	0.00	0.00	19.23
	197,565.39	**-136,867.28**	**8,383.95**	**0.00**	**0.00**	**19.44**
US Large Cap Value						
Eaton Vance Large-Cap Value A (EHSTX)	300,178.87	9,528.52	337,223.43	1,485.53	25,136.54	2,379.50
	300,178.87	**9,528.52**	**337,223.43**	**1,485.53**	**25,136.54**	**2,379.50**
US Large Cap Blend						
American Funds Fundamental Invs R3 (RFNCX)	433,888.82	16,482.15	487,606.24	3,391.99	34,846.44	2,388.83
BlackRock S&P 500 Index A (MDSRX)	217,935.04	3,074.59	243,553.67	2,877.29	22,544.04	0.00
	651,823.86	**19,556.74**	**731,159.91**	**6,269.29**	**57,390.48**	**2,388.83**
US Large Cap Growth						
American Funds Growth Fund of Amer R3 (RGACX)	203,033.06	261,754.97	485,928.56	1,514.05	21,140.53	0.00
	203,033.06	**261,754.97**	**485,928.56**	**1,514.05**	**21,140.53**	**0.00**
US Mid Cap Value						
Heartland Select Value (HRSVX)	113,986.33	5,894.28	126,504.81	679.33	6,624.20	0.00
	113,986.33	**5,894.28**	**126,504.81**	**679.33**	**6,624.20**	**0.00**

[redacted]

Point-to-Point Gain and Loss

by Asset Class

Date Range 1/1/2012 - 7/3/2012

Account Number [redacted]

Report Currency USD

Security Name	Beginning Market Value	Net Investment	Ending Market Value	Actual Realized Gain/Loss	Period Gain/Loss	Earned Income
US Mid Cap Blend						
Invesco Mid Cap Core Equity A (GTAGX)	154,574.12	4,038.85	166,188.97	687.00	7,576.00	0.00
	154,574.12	**4,038.85**	**166,188.97**	**687.00**	**7,576.00**	**0.00**
US Mid Cap Growth						
Ivy Mid Cap Growth Y (WMGYX)	226,812.22	114,960.07	349,984.09	373.20	8,211.80	0.00
	226,812.22	**114,960.07**	**349,984.09**	**373.20**	**8,211.80**	**0.00**
US Small Cap Value						
Heartland Value Plus (HRVIX)	109,068.19	-65,759.90	52,627.67	-411.41	9,319.38	0.00
	109,068.19	**-65,759.90**	**52,627.67**	**-411.41**	**9,319.38**	**0.00**
US Small Cap Blend						
Keeley Small Cap Value A (KSCVX)	474,430.84	-57,332.02	466,691.36	2,611.03	49,592.54	0.00
	474,430.84	**-57,332.02**	**466,691.36**	**2,611.03**	**49,592.54**	**0.00**
US Small Cap Growth						
Ivy Small Cap Growth Y (WSCYX)	30,915.61	3,574.65	38,882.17	361.36	4,391.91	0.00
	30,915.61	**3,574.65**	**38,882.17**	**361.36**	**4,391.91**	**0.00**
Non-US Dev Stock						
American Funds Capital World G/I R3 (RWICX)	294,577.40	421,837.00	735,761.66	74.94	8,441.65	10,905.61

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Point-to-Point Gain and Loss
by Asset Class

Date Range 1/1/2012 - 7/3/2012 **Account Number** [redacted] **Report Currency** USD

Security Name	Beginning Market Value	Net Investment	Ending Market Value	Actual Realized Gain/Loss	Period Gain/Loss	Earned Income
American Funds EuroPacific Gr R3 (RERCX)	187,079.28	-31,588.55	170,437.18	2,223.43	14,946.45	0.00
	481,656.68	**390,248.45**	**906,198.84**	**2,298.36**	**23,388.10**	**10,905.61**
US Txbl Int Term Bonds						
Federated Total Return Govt Bd Svc (FTGSX)	1,011,012.94	-119,323.58	909,967.88	16,151.92	9,775.26	8,503.26
PIMCO Total Return D (PTTDX)	1,565,567.97	-133,500.91	1,520,127.08	2,857.18	64,140.51	23,919.51
	2,576,580.91	**-252,824.49**	**2,430,094.96**	**19,009.10**	**73,915.77**	**32,422.77**
US Txbl Short Term Bonds						
PIMCO Low Duration D (PLDDX)	0.00	118,361.41	121,479.58	48.39	1,821.14	1,297.03
	0.00	**118,361.41**	**121,479.58**	**48.39**	**1,821.14**	**1,297.03**
Non-US Dev Bonds						
American Funds Capital World Bond R3 (RCWCX)	365,060.98	94,172.20	472,752.27	177.83	8,423.72	5,095.37
	365,060.98	**94,172.20**	**472,752.27**	**177.83**	**8,423.72**	**5,095.37**
Balanced						
American Funds Inc Fund of Amer R3 (RIDCX)	794,936.32	-212,688.49	626,635.21	26,684.46	33,891.25	10,496.13
	794,936.32	**-212,688.49**	**626,635.21**	**26,684.46**	**33,891.25**	**10,496.13**
Total Portfolio	**6,680,623.38**	**296,617.96**	**7,320,735.78**	**61,787.53**	**330,823.36**	**65,004.68**

1. Total Portfolio Net Investment equals net cash flows to the portfolio.
2. Total Portfolio Period Investment G/L equals G/L on the portfolio level. Corporate actions and adjustments are reflected in this calculation.

[redacted]

Unrealized Gain/Loss

Date	Account Number	Report Currency
6/30/2012	[redacted]	USD

Security Name	Symbol	Acquisition Date	Quantity	Market Value	Total Cost	Unit Price	Unit Cost	Short Term Unrealized G/L	Long Term Unrealized G/L	% G/L
Money Market Fund		-	-	**8,388.80**	**8,388.80**	-	-	**0.00**	**0.00**	**0.00**
Fidelity Select Money Market	FSLXX	-	8,388.800	8,388.80	8,388.80	1.00	1.00	0.00	0.00	0.00
Open-End Fund		-	-	**7,262,813.17**	**7,042,332.05**	-	-	**79,870.34**	**140,610.79**	**3.13**
American Funds Capital World Bond R3	RCWCX	Various	22,602.401	471,712.11	465,898.54	20.87	20.61	4,413.15	1,400.42	1.25
American Funds Capital World G/I R3	RWICX	Various	21,624.153	728,301.47	739,813.52	33.68	34.21	-7,697.50	-3,814.54	-1.56
American Funds EuroPacific Gr R3	RERCX	Various	4,635.884	168,282.59	169,948.88	36.30	36.66	-887.51	-778.78	-0.98
American Funds Fundamental Invs R3	RFNCX	Various	12,813.586	483,456.60	437,772.82	37.73	34.16	11,901.41	33,782.37	10.44
American Funds Growth Fund of Amer R3	RGACX	Various	15,467.901	480,433.01	462,911.36	31.06	29.93	11,607.58	5,914.06	3.79
American Funds Inc Fund of Amer R3	RIDCX	Various	36,052.947	623,715.98	577,326.89	17.30	16.01	19,012.33	27,376.76	8.04
BlackRock S&P 500 Index A	MDSRX	Various	14,428.920	241,684.41	208,422.41	16.75	14.44	8,616.62	24,645.38	15.96
Eaton Vance Large-Cap Value A	EHSTX	Various	18,210.999	334,900.27	314,198.62	18.39	17.25	5,749.89	14,951.76	6.59
Federated Total Return Govt Bd Svc	FTGSX	Various	77,206.381	910,263.23	887,244.40	11.79	11.49	11,459.40	11,559.43	2.59
Heartland Select Value	HRSVX	Various	4,470.779	124,645.32	120,204.52	27.88	26.89	1,214.30	3,226.50	3.69
Heartland Value Plus	HRVIX	Various	1,773.281	51,478.35	53,750.80	29.03	30.31	-704.78	-1,567.68	-4.23
Invesco Mid Cap Core Equity A	GTAGX	Various	7,559.712	165,255.30	164,201.80	21.86	21.72	350.63	702.87	0.64
Ivy Mid Cap Growth Y	WMGYX	Various	19,518.992	345,486.16	341,283.05	17.70	17.48	3,789.20	413.91	1.23
Ivy Small Cap Growth Y	WSCYX	Various	2,366.498	38,289.94	33,793.37	16.18	14.28	4,496.57	0.00	13.31
Keeley Small Cap Value A	KSCVX	Various	18,411.595	455,871.09	466,933.93	24.76	25.36	-2,954.44	-8,108.40	-2.37
PIMCO Low Duration D	PLDDX	Various	11,584.050	121,400.84	119,857.54	10.48	10.35	1,543.30	0.00	1.29
PIMCO Total Return D	PTTDX	Various	134,304.115	1,517,636.50	1,478,769.59	11.30	11.01	7,960.19	30,906.72	2.63
Total Portfolio		-	-	**7,271,201.97**	**7,050,720.85**	-	-	**79,870.34**	**140,610.79**	**3.13**

Projected Cash Flows

[redacted]

As of Date	Account Number	Report Currency
6/30/2012	[redacted]	USD

Security Name(Ticker/Symbol)	Quantity	07/2012	08/2012	09/2012	10/2012	11/2012	12/2012	01/2013	02/2013	03/2013	04/2013	05/2013	06/2013	Total
Money Market Fund														
Fidelity Select Money Market (FSLXX)	8,388.80	1	1	1	1	1	1	1	1	1	1	1	1	7
Open-End Fund														
American Funds Capital World Bond R3 (RCW -CX)	22,602.40	0	0	2,586	0	0	2,586	0	0	2,586	0	0	2,586	10,343
American Funds Capital World G/I R3 (RWICX)	21,624.15	0	0	8,356	0	0	8,356	0	0	8,356	0	0	8,356	33,422
American Funds EuroPacific Gr R3 (RERCX)	4,635.88	0	0	0	0	0	2,232	0	0	0	0	0	0	2,232
American Funds Fundamental Invs R3 (RFNCX)	12,813.59	0	0	1,219	0	0	1,219	0	0	1,219	0	0	1,219	4,874
American Funds Growth Fund of Amer R3 (RG -ACX)	15,467.90	0	0	0	0	0	1,649	0	0	0	0	0	0	1,649
American Funds Inc Fund of Amer R3 (RIDCX)	36,052.95	0	0	5,372	0	0	5,372	0	0	5,372	0	0	5,372	21,488
BlackRock S&P 500 Index A (MDSRX)	14,428.92	0	0	0	0	0	3,430	0	0	0	0	0	3,430	6,860
Eaton Vance Large-Cap Value A (EHSTX)	18,211.00	0	0	1,528	0	0	1,528	0	0	1,528	0	0	1,528	6,112
Federated Total Return Govt Bd Svc (FTGSX)	77,206.38	1,348	1,348	1,348	1,348	1,348	1,348	1,348	1,348	1,348	1,348	1,348	1,348	16,170
Heartland Select Value (HRSVX)	4,470.78	0	0	0	0	0	573	0	0	0	0	0	0	573
Heartland Value Plus (HRVIX)	1,773.28	0	0	0	0	0	325	0	0	0	0	0	0	325
PIMCO Low Duration D (PLDDX)	11,584.05	239	239	239	239	239	239	239	239	239	239	239	239	2,865
PIMCO Total Return D (PTTDX)	134,304.12	3,881	3,881	3,881	3,881	3,881	3,881	3,881	3,881	3,881	3,881	3,881	3,881	46,575
Total	**383,564.20**	**5,468**	**5,468**	**24,528**	**5,468**	**5,468**	**32,736**	**5,468**	**5,468**	**24,528**	**5,468**	**5,468**	**27,957**	**153,493**

*Does not include Capital Gain Distributions

Performance Summary

Net of Fees

Date Range	Client Name	Account Name	Account Number	Currency
4/1/2012 - 6/30/2012	[redacted]	[redacted]	[redacted]	USD

Portfolio Summary

Beginning Market Value	7,212,095.29
Beginning Accrued Interest	0.00
Additions	327,116.89
Withdrawals	-153,329.64
Period Realized Gains/Losses [3]	8,003.79
Period Unrealized Gains/Losses [2]	-136,110.07
Interest Income	0.00
Dividend Income	35,865.50
Management Fees	-22,439.79
Ending Market Value	7,271,201.97
Ending Accrued Interest	0.00
Total Gain after Management Fees	-114,680.57
Money Weighted Return %	-1.58
Benchmark Return %	-2.75
Average Annualized Return %	-
Annualized Benchmark Return %	-

Total Portfolio	1 Mo	YTD	Q3 2011	Q4 2011	Q1 2012	Q2 2012	Since Inception[1] 3/4/2010
Portfolio Money Weighted Return %	2.33	4.33	-10.04	5.82	6.09	-1.58	3.50
S&P 500 TR %	4.12	9.49	-13.87	11.82	12.59	-2.75	11.10
+/- Benchmark %	-1.79	-5.16	3.83	-5.99	-6.50	1.17	-7.60

1. Returns are annualized for periods greater than 1 year.
2. Period Unrealized Gain/Loss--Unrealized Gains/Loss of all securities held in account(s) based on market values at the end of the day before the first date of the report. For purchases made during the report period, the unrealized gain/loss is based on cost basis of those purchases.
3. Period Realized Gain/Loss--Realized gains/losses generated by all closing positions during the report period based on market value at the end of the day before the first date of the report. For purchases made during the report period, the realized gain/loss is based on cost basis of those purchases.

Anderson Financial, Inc

Account Summary

[redacted]

Generated 7/5/2012
For 4/1/2012 to 6/30/2012

Anderson Financial

Prepared for

[redacted]

Prepared by

Anderson Financial, Inc
Anderson Financial, Inc
12466 Los Indios Trail Ste 200
Austin, TX 78729
512-258-4040

[redacted]

Portfolio Summary
by Asset Class

Date	Account Number	Report Currency
6/30/2012	[redacted]	USD

Asset Class	Market Value	Percent Asset	Total Cost	Current Yield	Estimated Income
US Large Cap Value	362,613.17	20.08	341,512.91	1.71	6,205.25
US Large Cap Blend	270,178.95	14.96	242,580.82	1.35	3,657.03
US Large Cap Growth	268,805.17	14.89	241,606.22	0.34	922.57
Non-US Dev Stock	176,503.23	9.77	181,142.80	1.33	2,341.23
US Txbl Int Term Bonds	727,600.64	40.29	717,996.32	3.21	23,342.03
Total Portfolio	**1,805,701.16**	**100.00**	**1,724,839.08**	**2.02**	**36,468.11**

* Current Yield data is Real Yield for Treasury TIPS holding(s).

[redacted]

Portfolio Current Value

by Security

Date
6/30/2012

Account Number
[redacted]

Report Currency
USD

Security Name	Symbol	Units	Unit Cost	Total Cost	Price	Market Value	Percent Asset	Current Yield
American Funds EuroPacific Gr R3	RERCX	4,862.348	37.25	181,142.80	36.30	176,503.23	9.77	1.33
American Funds Fundamental Invs R3	RFNCX	7,160.852	33.88	242,580.82	37.73	270,178.95	14.96	1.35
American Funds Growth Fund of Amer R3	RGACX	8,654.384	27.92	241,606.22	31.06	268,805.17	14.89	0.34
Delaware Diversified Income A	DPDFX	29,283.523	9.42	275,793.74	9.31	272,629.60	15.10	3.75
Eaton Vance Large-Cap Value A	EHSTX	19,717.954	17.32	341,512.91	18.39	362,613.17	20.08	1.71
PIMCO Total Return D	PTTDX	24,173.176	11.07	267,702.44	11.30	273,156.89	15.13	3.57
Wells Fargo Advantage Government Sec Inv	STVSX	15,948.610	10.94	174,500.14	11.40	181,814.15	10.07	1.85
Total Portfolio		-	-	**1,724,839.08**	-	**1,805,701.16**	**100.00**	**2.02**

[redacted]

Point-to-Point Gain and Loss
by Asset Class

Date Range 1/1/2012 - 7/3/2012 | **Account Number** [redacted] | **Report Currency** USD

Security Name	Beginning Market Value	Net Investment	Ending Market Value	Actual Realized Gain/Loss	Period Gain/Loss	Earned Income
Cash & Equivalents						
CASH	0.00	15,534.04[1]	0.00	0.00	0.00	0.00
Fidelity Cash Reserves (FDRXX)	0.00	0.01	0.00	0.00	0.00[2]	-0.01
	0.00	**15,534.05**	**0.00**	**0.00**	**0.00**	**-0.01**
US Large Cap Value						
Eaton Vance Large-Cap Value A (EHSTX)	347,051.14	-12,483.77	365,292.95	407.29	28,113.03	2,612.55
	347,051.14	**-12,483.77**	**365,292.95**	**407.29**	**28,113.03**	**2,612.55**
US Large Cap Blend						
American Funds Fundamental Invs R3 (RFNCX)	257,327.18	-6,216.13	272,620.85	1,092.27	20,142.84	1,366.96
	257,327.18	**-6,216.13**	**272,620.85**	**1,092.27**	**20,142.84**	**1,366.96**
US Large Cap Growth						
American Funds Growth Fund of Amer R3 (RGACX)	254,189.62	-10,118.39	271,887.34	1,539.83	27,816.11	0.00
	254,189.62	**-10,118.39**	**271,887.34**	**1,539.83**	**27,816.11**	**0.00**
Non-US Dev Stock						
American Funds EuroPacific Gr R3 (RERCX)	167,866.31	-590.68	178,848.71	12.88	11,573.08	0.00
	167,866.31	**-590.68**	**178,848.71**	**12.88**	**11,573.08**	**0.00**
US Txbl Int Term Bonds						

[redacted]

Point-to-Point Gain and Loss
by Asset Class

Date Range	Account Number	Report Currency
1/1/2012 - 7/3/2012	[redacted]	USD

Security Name	Beginning Market Value	Net Investment	Ending Market Value	Actual Realized Gain/Loss	Period Gain/Loss	Earned Income
Delaware Diversified Income A (DPDFX)	261,741.97	1,243.67	273,007.01	-147.16	4,963.04	5,058.33
PIMCO Total Return D (PTTDX)	261,569.47	-3,278.59	273,674.25	100.83	11,120.11	4,263.26
Wells Fargo Advantage Government Sec Inv (STVSX)	173,535.97	4,882.79	181,835.13	180.53	2,416.10	1,000.27
	696,847.41	**2,847.87**	**728,516.39**	**134.21**	**18,499.25**	**10,321.86**
Total Portfolio	**1,723,281.66**	**-11,027.05**	**1,817,166.24**	**3,186.49**	**106,144.31**	**14,301.36**

1. Total Portfolio Net Investment equals net cash flows to the portfolio.
2. Total Portfolio Period Investment G/L equals G/L on the portfolio level. Corporate actions and adjustments are reflected in this calculation.

[redacted]

Unrealized Gain/Loss

Date	Account Number	Report Currency
6/30/2012	[redacted]	USD

Security Name	Symbol	Acquisition Date	Quantity	Market Value	Total Cost	Unit Price	Unit Cost	Short Term Unrealized G/L	Long Term Unrealized G/L	% G/L
Cash		-	-	**0.00**	**0.00**	-	-	**0.00**	**0.00**	**0.00**
CASH		-	0.000	0.00	0.00	1.00	1.00	0.00	0.00	0.00
Open-End Fund		-	-	**1,805,701.16**	**1,724,839.08**	-	-	**13,419.05**	**67,443.03**	**4.69**
American Funds EuroPacific Gr R3	RERCX	Various	4,862.348	176,503.23	181,142.80	36.30	37.25	-1,245.84	-3,393.73	-2.56
American Funds Fundamental Invs R3	RFNCX	Various	7,160.852	270,178.95	242,580.82	37.73	33.88	4,817.26	22,780.86	11.38
American Funds Growth Fund of Amer R3	RGACX	Various	8,654.384	268,805.17	241,606.22	31.06	27.92	4,481.55	22,717.39	11.26
Delaware Diversified Income A	DPDFX	Various	29,283.523	272,629.60	275,793.74	9.31	9.42	-649.91	-2,514.23	-1.15
Eaton Vance Large-Cap Value A	EHSTX	Various	19,717.954	362,613.17	341,512.91	18.39	17.32	3,509.82	17,590.44	6.18
PIMCO Total Return D	PTTDX	Various	24,173.176	273,156.89	267,702.44	11.30	11.07	1,005.70	4,448.75	2.04
Wells Fargo Advantage Government Sec Inv	STVSX	Various	15,948.610	181,814.15	174,500.14	11.40	10.94	1,500.45	5,813.56	4.19
Total Portfolio		-	-	**1,805,701.16**	**1,724,839.08**	-	-	**13,419.05**	**67,443.03**	**4.69**

Projected Cash Flows

[redacted]

As of Date	Account Number	Report Currency
6/30/2012	[redacted]	USD

Security Name(Ticker/Symbol)	Quantity	07/2012	08/2012	09/2012	10/2012	11/2012	12/2012	01/2013	02/2013	03/2013	04/2013	05/2013	06/2013	Total
Open-End Fund														
American Funds EuroPacific Gr R3 (RERCX)	4,862.35	0	0	0	0	0	2,341	0	0	0	0	0	0	2,341
American Funds Fundamental Invs R3 (RFNCX)	7,160.85	0	0	681	0	0	681	0	0	681	0	0	681	2,724
American Funds Growth Fund of Amer R3 (RG -ACX)	8,654.38	0	0	0	0	0	923	0	0	0	0	0	0	923
Delaware Diversified Income A (DPDFX)	29,283.52	847	847	847	847	847	847	847	847	847	847	847	847	10,168
Eaton Vance Large-Cap Value A (EHSTX)	19,717.95	0	0	1,654	0	0	1,654	0	0	1,654	0	0	1,654	6,617
PIMCO Total Return D (PTTDX)	24,173.18	699	699	699	699	699	699	699	699	699	699	699	699	8,383
Wells Fargo Advantage Government Sec Inv (S -TVSX)	15,948.61	109	109	109	109	109	109	109	109	109	109	109	109	1,308
Total	**109,800.85**	**1,655**	**1,655**	**3,990**	**1,655**	**1,655**	**7,254**	**1,655**	**1,655**	**3,990**	**1,655**	**1,655**	**3,990**	**32,464**

*Does not include Capital Gain Distributions

Anderson Financial, Inc

Performance Summary

Net of Fees

Date Range	Client Name	Account Name	Account Number	Currency
4/1/2012 - 6/30/2012	[redacted]	[redacted]	[redacted]	USD

Portfolio Summary

Beginning Market Value	1,836,185.39
Beginning Accrued Interest	0.00
Additions	18,177.71
Withdrawals	-17,293.95
Period Realized Gains/Losses [3]	-151.08
Period Unrealized Gains/Losses [2]	-31,838.81
Interest Income	0.00
Dividend Income	7,396.71
Management Fees	-6,774.80
Ending Market Value	1,805,701.16
Ending Accrued Interest	0.00
Total Gain after Management Fees	-31,367.99
Money Weighted Return %	-1.71
Benchmark Return %	-2.75
Average Annualized Return %	-
Annualized Benchmark Return %	-

Total Portfolio	1 Mo	YTD	Q3 2011	Q4 2011	Q1 2012	Q2 2012	Since Inception[1] 5/11/2009
Portfolio Money Weighted Return %	2.45	5.51	-10.35	5.88	7.33	-1.71	7.64
S&P 500 TR %	4.12	9.49	-13.87	11.82	12.59	-2.75	15.36
+/- Benchmark %	-1.67	-3.98	3.51	-5.93	-5.26	1.04	-7.72

1. Returns are annualized for periods greater than 1 year.
2. Period Unrealized Gain/Loss--Unrealized Gains/Loss of all securities held in account(s) based on market values at the end of the day before the first date of the report. For purchases made during the report period, the unrealized gain/loss is based on cost basis of those purchases.
3. Period Realized Gain/Loss--Realized gains/losses generated by all closing positions during the report period based on market value at the end of the day before the first date of the report. For purchases made during the report period, the realized gain/loss is based on cost basis of those purchases.

Anderson Financial, Inc

Required Forms

Insurance Requirements Affidavit

(To be completed by appropriate Insurance Agent)

I, the undersigned agent, certify that the insurance requirements contained in this proposal document have been reviewed by me with the below identified vendor. If the below identified vendor is awarded this contract by the City of Plano, I will be able, within ten (10) working days after being notified of such potential award, to furnish a valid insurance certificate to the City meeting all of the requirements contained in this proposal.

Charles W. Garner
Agent's Signature

Charles W. Garner
Agent's Name Printed

Travelers Insurance
Name of Insurance Carrier

11200 Jollyville Rd.
Address of Agency

Austin, Texas 78759
City, State, Zip

512/372-2234
Phone number where Agent may be contacted

Anderson Financial, Inc
Vendor's Name (please print or type)

SUBSCRIBED AND SWORN to before me by the above named Charles W. Garner on this the 6th day of July, 2012.

S. MICHELLE RUNGE
Notary Public, State of Texas
My Commission Expires
August 15, 2015

S Michelle Runge
Notary Public in and for the State of Texas.

Affidavit of No Prohibited Interest

I, the undersigned declare that I am authorized to make this statement on behalf of Anderson Financial, Inc. and I have made a reasonable inquiry and, to the best of my knowledge, no person or officer of Anderson Financial, Inc. is employed by the City of Plano or is an elected or appointed official of the City of Plano within the restrictions of the Plano City Charter.

I am aware that Section 11.02 of the City Charter states:

> "No officer or employee of the city shall have a financial interest, direct or indirect, in any contract with the city, nor shall be financially interested, directly or indirectly, in the sale to the city of any land, or rights or interest in any land, materials, supplies or service. The above provision shall not apply where the interest is represented by ownership of stock in a corporation involved, provided such stock ownership amounts to less than one (1) per cent of the corporation stock. Any violation of this section shall constitute malfeasance in office, and any officer or employee of the city found guilty thereof shall thereby forfeit his office or position. Any violation of this section with the knowledge, express or implied, of the persons or corporation contracting with the city shall render the contract voidable by the city manager or the city council."

I further understand and acknowledge that a violation of Section 11.02 of the City Charter at anytime during the term of this contract will render the contract voidable by the City.

Anderson Financial, Inc.

By: Erik Anderson

Erik J. Anderson – President

Date: 07/06/2012

STATE OF TEXAS §

§

COUNTY OF TRAVIS §

Jaami Clement
Notary Public, State of Texas
My Commission Expires:
December 22, 2012

SUBSCRIBED AND SWORN TO before me this 6 day of July, 20 12.

Jaami Clement

Notary Public, State of TX

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Vendor Supplemental Information Form

The following information is required for contract development.

1. In what state was your business formed? Texas
2. Provide the following information for the person authorized to execute contracts on behalf of your organization:

   Name: Erik J. Anderson
   Title: President, Anderson Financial, Inc.
   Address: 12466 Los Indios Trl, Ste 200

3. Provide the following information for the contact person authorized to implement this contract on behalf of your organization:

   Name: Erik J. Anderson
   Title: President, Anderson Financial, Inc.
   Address: 12466 Los Indios Trl, Ste 200
   Austin, TX 78729

4. Is your company minority or woman owned? No*
   a. If yes, specify: N/A
   b. Has your company been certified MBE or WBE by any governmental agency? N/A
   c. If yes, specify the governmental agency: N/A
   d. Date of certification: N/A

*Anderson Financial, Inc. is a small business as defined in statute

5. Select and complete one of the following:
   a. Corporation (S-Corp)
      i. Legal name of Corporation (S-Corp): Anderson Financial, Inc.
      ii. Business address: 12466 Los Indios Trl, Ste 200, Austin, TX 78729

Vendor Supplemental Information Form

The following information is required for contract development.

1. In what state was your business formed? Texas
2. Provide the following information for the person authorized to execute contracts on behalf of your organization:

   Name: Susan K. Anderson
   Title: Anderson Financial Management, LLC
   Address: 3627 Stoneridge Rd., No. 1
   Austin, TX 78746

3. Provide the following information for the contact person authorized to implement this contract on behalf of your organization:

   Name: Susan K. Anderson
   Title: Anderson Financial Management, LLC
   Address: 3627 Stoneridge Rd., No. 1
   Austin, TX 78746

4. Is your company minority or woman owned? Yes*
   a. If yes, specify: WBE
   b. Has your company been certified MBE or WBE by any governmental agency? No
   c. If yes, specify the governmental agency: N/A
   d. Date of certification: N/A

*Anderson Financial Management, LLC is also a small business as defined in statute

5. Select and complete one of the following:
   a. Limited Liability Company
      i. Legal name of Limited Liability Company: Anderson Financial Management, LLC
      ii. Business address: 3627 Stoneridge Rd., No. 1, Austin, TX 78746

FORM CIQ CONFLICT OF INTEREST QUESTIONNAIRE For vendor or other person doing business with local governmental entity

This questionnaire reflects changes made to the law by H.B. 1491, 80th Leg., Regular Session. This questionnaire is being filed in accordance with Chapter 176, Local Government Code by a person who has a business relationship as defined by Section 176.001(1-a) with a local governmental entity and the person meets requirements under Section 176.006(a). By law this questionnaire must be filed with the records administrator of the local governmental entity not later than the 7th business day after the date the person becomes aware of facts that require the statement to be filed. *See* Section 176.006, Local Government Code. A person commits an offense if the person knowingly violates Section 176.006, Local Government Code. An offense under this section is a Class C misdemeanor.	**OFFICE USE ONLY** Date Received

Name of person who has a business relationship with local governmental entity.

Erik J. Anderson

Check this box if you are filing an update to a previously filed questionnaire. (The law requires that you file an updated completed questionnaire with the appropriate filing authority not later than the 7th business day after the date the originally filed questionnaire becomes incomplete or inaccurate.) _____

3 Name of local government officer with whom filer has employment or business relationship. Name of Officer This section (item 3 including subparts A, B, C & D) must be completed for each officer with whom the filer has an employment or other business relationship as defined by Section 176.001(1-a), Local Government Code. Attach additional pages to this Form CIQ as necessary.

A. Is the local government officer named in this section receiving or likely to receive taxable income, other than investment income, from the filer of the questionnaire? ____Yes ✓ No

B. Is the filer of the questionnaire receiving or likely to receive taxable income, other than investment income, from or at the direction of the local government officer named in this section AND the taxable income is not received from the local governmental entity? ____Yes ✓ No

C. Is the filer of this questionnaire employed by a corporation or other business entity with respect to which the local government officer serves as an officer or director, or holds an ownership of 10 percent or more? ____ Yes ✓ No

D. Describe each employment or business relationship with the local government officer named in this section.

Provision of investment management services for the City of Plano RSP.

Signature of person doing business with the governmental entity Date:

Erik Anderson
Signature

07/06/2012
Date

Revised 011812

Adopted 06/29/2007

Local Government Officers City of Plano, Texas

For purposes of completion of the required Conflict of Interest Questionnaire for the City of Plano Texas (required by all Vendors who submit bids/proposals), Local Government Officers are:

Mayor:	Phil Dyer
Council Members:	Pat Miner, Mayor Pro Tem
	Lissa Smith, Deputy Mayor Pro Tem
	Ben Harris, Council Member
	Andre' Davidson, Council Member
	Jim Duggan, Council Member
	Patrick Gallagher, Council Member
	Lee Dunlap, Council Member
City Manager:	Bruce D. Glasscock

Revised 011812

Environmentally Preferable Purchasing Questionnaire

The City of Plano adopted an Environmental Purchasing Policy in March, 2008 which is posted on the Purchasing Department's Website.

The goal of the policy is to encourage the purchase and use of materials, products and services that are economically responsible that include environmental factors in the decision making process

Product and service attributes include, but are not limited to, recycled content, energy and/or water conservation, toxic material waste minimization and disposal methods.

To help measure the success of this EPP the Purchasing Department has developed this questionnaire concerning environmentally preferable products and services.

Note: This will either be an optional or required submittal.

If the bid or proposal requires environmentally preferred products/services in the specification and will be evaluated as such then this questionnaire shall be submitted with all other documents pertaining to the bid/proposal.

If there are no requirements in the specifications for these products/services but would like to help us gather information on environmentally preferable products then complete this questionnaire and submit it as optional information with the bid/proposal documents.

Vendor Name: Anderson Financial, Inc.	**Phone:** 512-258-4040
Product/Service: Investment Management Services	

Does Product or Service?	Yes	No	Details
Contain recycled material		✓	
Reduce energy consumption	✓		Vendor relies on electronic document retention where possible and operates in an office complex powered by solar energy.
Certified by certification programs such as Energy Star, Green Seal, Ecologo, EPEAT, etc.		✓	
Reduce toxicity, including emissions		✓	
Reduce waste	✓		Vendor relies on electronic document retention where possible and operates in an office complex powered by solar energy.
Contain Recyclable materials	✓		Vendor relies on electronic document retention where possible; however, other resources used are typically recyclable (i.e. paper)
Reduce water consumption		✓	
Have other environmental impacts	✓		Vendor relies on electronic document retention where possible and operates in an office complex powered by solar energy.

(Attach supporting documents if needed)