

Principal® Platform Connectivity Program

Managing investment option costs



We know providing a robust investment platform gives you choice and flexibility to help meet your plan goals. That's why we continually evaluate our investment platform. Recently we made changes to fees associated with setting up and maintaining investment options as part of our new Principal® Platform Connectivity Program.

Investment platform snapshot²

- More than 6,000 mutual funds and CITs from over 230 fund families or trust companies³
- Registered and non-registered investment products
- Among the most comprehensive set of asset allocation choices in the industry

Program overview

To help cover increasing costs associated with administering, building, and maintaining investment options on our investment platform, investment providers will now pay a fee to be included as a participating investment provider in our Principal® Platform Connectivity Program. Plan sponsors can access any participating provider's investment options at no additional cost.

If an investment provider chooses not to pay the fee and you want to use their investment option on your investment line-up, no problem. You still have access to an extensive list of available investment options. By paying \$1,000/year (\$250/quarter), per plan type, you can add any number of non-participating investment options to your plan lineup.

Participating investment providers

You have access to investment options from these participating investment providers at no additional cost.

AB Funds ¹	Calamos Funds	Guggenheim Funds ¹	Nationwide ¹	Standard Insurance
Alger Funds ¹	Carillon Funds ¹	Harbor Funds ¹	Neuberger Berman ¹	T. Rowe Price
Allianz Funds ¹	Cohen & Steers Funds	Hartford Funds ¹	New York Life ¹	Thompson IM Funds Inc
Alta Trust Company	Columbia ¹	Heartland	North Square	Thornburg Mutual Funds ¹
American Century ¹	Davis Funds ¹	Hotchkis & Wiley ¹	Nuance	TIAA-CREF ¹
American Funds ¹	Diamond Hill Funds	Ivy Funds ¹	Nuveen Investments ¹	Touchstone ¹
AMG Funds ¹	Dimensional Funds ¹	Janus Henderson ¹	Parnassus Funds ¹	Transamerica ¹
AQR Funds ¹	DoubleLine Funds ¹	John Hancock ¹	Payden Funds ¹	Tweedy, Browne Funds
Artisan Funds	Eaton Vance ¹	JP Morgan ¹	PGIM Investments ¹	Value Line
Baillie Gifford	EULAV Securities LLC	Keeley Funds	PIMCO ¹	Van Eck ¹
BlackRock Funds ¹	Eventide	Lazard ¹	Pioneer Mutual Funds ¹	Victory Capital ¹
Blackstone ¹	Federated ¹	Legg Mason ¹	Praxis	Virtus Mutual Funds
BMO Funds ¹	Fidelity ¹	Lincoln Nat'l Life	Principal GI Trust Co.	Voya ¹
Boston Partners	First Eagle ¹	Lord Abbett Funds ¹	Prudential Insurance ¹	Wells Fargo ¹
Boston Trust & Walden	Franklin Templeton ¹	MassMutual ¹	Putnam ¹	Westwood
Brown Advisory	Galliard ¹	Mercer Trust Company	Reliance Trust	William Blair
Buffalo Funds	Global Trust Company	MFS ¹	Schwab MF Funds	Wilmington Trust
	Goldman Sachs ¹	Morgan Stanley ¹	Schwab CITs ¹	



Questions? Contact your local Principal® representative to learn more.

¹ Includes specific CUSIPs, not all

² Snapshot includes both participating and non-participating investment providers

³ As of Dec. 31, 2019.



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