



1. List all services the firm, its principals, or any affiliate provide that generate revenues for the firm and indicate the applicable percent of the firm's total revenue during the last three years. Insert % under each year for each of these:

	2013	2012
Revenue from Consulting to Plan Sponsors	90%	90%
Revenues from Money Management Activities	10%	10%
Revenues from Services to Money Managers	0%	0%
Revenues from Services to Plan Sponsors and other Services such as Fund of Fund fees	0%	0%
Revenues from Broker-Dealer Affiliates or Subsidiaries	0%	0%

Did these services produce 100% of the firm's revenue during the reporting periods? If not, provide information regarding differences.

100%

2. Does the firm, its principals, or any affiliate, own any part of a money management firm, broker-dealer, or other organization that sells services to institutional investors and/or SEC registered investment advisors? If so, identify the firm(s) and describe the relationship. Does your Firm include affiliated firms in its search process or recommend any affiliated investment managers to clients?

No.

3. Is the firm owned, in whole or in part, by a money management firm or firms? Has the firm received loans from any money management firms, their subsidiaries, or principals? Does the firm manage money for the parent or affiliate? Does the firm's parent or affiliate manage money for the firm? Do you have any formal or informal business relationships with investment managers or other service providers to public retirement plans? If so, please explain.

No.

4. Does the firm, its principals or any affiliate have any strategic alliance with any broker or investment management firm? If yes, please disclose with whom and describe the nature of the alliance.

We are part of the Charles Schwab "Separate Account Network" (SAN). It's a long story, but the short version is that Charles Schwab offers its retail customers access to several platforms of Separate Account Managers. The general (but not universal) idea is that but for working with Charles Schwab, their retail customers wouldn't get access to those specialized/sophisticated managers. Its SAN program is its "regional" solution. E.g. each region has different "local" separate account managers on its SAN platform. We're on the midwest platform. It may be relevant to your question to know that not only was it a long due-diligence process to get on the SAN platform, but to participate in it we also need to submit to bi-annual audits by an independent compliance firm (NRS-INC.com).