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Recon Project Training



Updates

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Daily Recon Processing

03

Power BI Reporting

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CAPConnect Updates

Daily Recon Processing



CAPTRUST

Daily Recon Processing

- Account Holdings and Market Values will be added/updated on a daily basis.
 - Data will be received from Black Diamond by 8am EST daily
 - If there is a delay, an email will be sent to the Wealth Performance, Finance and certain members of the Wealth Client Services team
 - · A follow-up email will be sent to the same team once the data is received
 - Once the data loaded to our staging tables, the Azure Data Factory Pipeline will start to populate the data in CAPConnect
 - This process should be completed by 10:30am EST

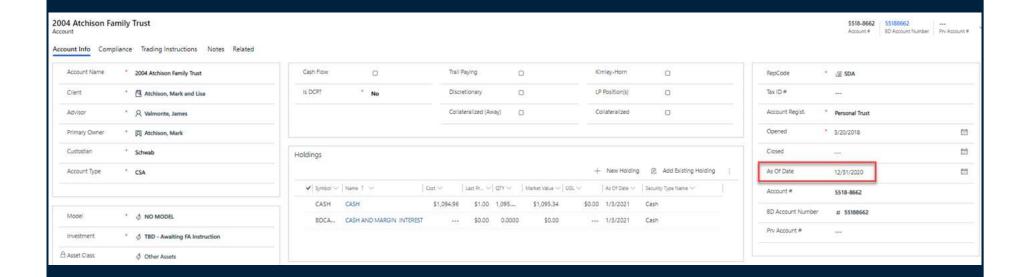
CAPConnect Updates



CAPTRUST

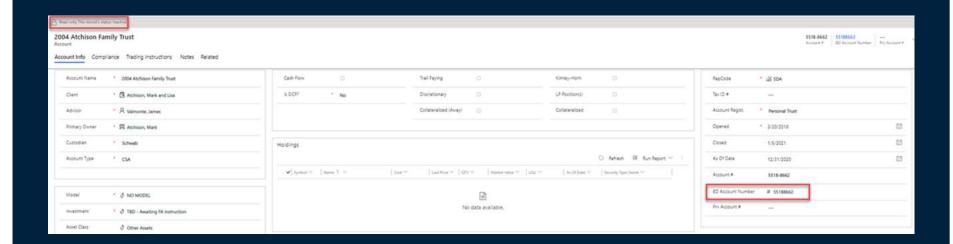
Account

- A new field, As of Date, has been added to the accounts.
 - This field is automatically updated when the daily recon process is run
 - This field will reflect the as of date of the market values for the account
 - This field is locked and cannot be updated by users



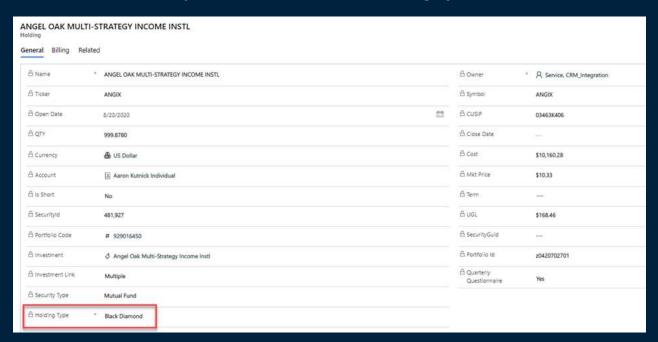
Account

- BD Account Number field should no longer be cleared when closing an account
 - Users will be able to close an account and should retain the BD Account Number for auditing purposes
 - Additional Portfolios can also remain linked to closed accounts



Holdings

- A new field, Holding Type, has been added to holdings with two values:
 Manual and Black Diamond
 - All holdings that are added/updated via BD will have Black Diamond holding type
 - All data for Black Diamond holding type records is received/updated via the daily recon process
 - All fields are locked and not editable by users
 - All fields can be edited by users for Manual Holding types







CAPTRUST

- Recon Statistics
 - Located on the Intranet site under Resources Analytics & Reporting Department
 Reports Operations Group
 - Purpose of this report is to find the following data:
 - Recon Statistics
 - Accounts Missing from BD Feed
 - Recon Exceptions
 - Value Comparison
 - Non Billable Holdings Changes

Recon Statistics

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40.220K	636.9	999K	684.916K		
BD Accounts	BD Ho	ldings	CC Holdings		
38.099K	19	82	0		
Linked to CC	Not Links	ed to CC	Market Values Created		
0			0		
Holdings Created Today		Holdings Closed Today			
3872			49.547K		
Accounts with Value Dif	ference	Position	ns with Value Difference		
1,548			1,475		
CC Non Billable Hold	ings	BD I	Non Billable Holdings		

Recon Exceptions					
Count	Account Id	Account Number	Account Name	•	
1	z0411584321	91C006AB8	Sardis Bargaining Unit Mutual Funds	ı	
1	z0411584431	60232207701	No Name		
1	z0411584721	W47279000	Keeneland Association ES Barr Large Cap Value		
1	z0411586591	1050018843	RFCUNY Clearbridge		
1	z0411586621	1050018111	RFCUNY O'Shaughnessy		
1	z0411586661	910091016	Burns & McDonnell, Inc. Pension Plan FI		
1	z0411586681	970001087	Burns & McDonnell, Inc. Employee Stock Ownership Plan (ESOP) FI		
1	z0411587041	H63F85887302	Tiffany & Co. NISA		
155				- 6	

Recon Statistics

12/31/2020

Account Missing From BD Feed					
Count URL Name		Name	BD Account Number		Open Date
1	0	James W. Evans Roth IRA (Vanguard)	09969091876	z0411644801	1/1/2015
1	0	Justin Tuck FBO Jonah Tuck	05291523290	z0411663931	1/31/2014
1	0	Justin Tuck FBO Jayce Tuck	05291510628	z0411664501	12/20/2010
1	@	Parker David Greene - American Funds College 529 Plan	00083222963	z0411677231	1/8/2008
1	9	Wayne K. Maiorano Owner FBO Nicole Maiorano 529 Plan	00074449233	z0411679571	3/1/2005
1	@	Samuel H Poole FBO Samuel E Poole 529 Plan	00073354734	z0411680441	9/2/2010
1	@	Taylor Adams VCSP/College America 529	00067540970	z0411681981	9/4/2012
1	0	Brent Heffron Rev Trust (Ohio National-II)	E1662286	z0411691471	10/30/2009
1	@	Marlene Jacobs NQ Ohio National Annuity	E1555464	z0411694131	6/16/2011
344	-	Marlene Jacobs NQ Ohio National Annuity	E1555464	z0411694131	6/16/

Value Comparison (Linked Accounts Only)					
Account	Assets	CC Assets	Difference		
P89263 - Ericsson Prudential	\$633,852,737	\$635,756,688	\$1,903,951		
⊞ P72690 - Ericsson LGIMA	\$616,757,979	\$617,433,211	\$675,231		
■ P15449 - Telcordia PIMCO II	\$538,520,594	\$589,993,104	\$51,472,509		
970001087 - Burns & McDonnell, Inc. Employee Stock Ownership Plan (ESOP) FI	\$360,071,752	\$360,071,752	\$0		
ELRE02 - L'Oreal, USA, Inc. Wellington LG Bd	\$297,717,097	\$297,717,097	\$0		
ELRE03 - L'Oreal, USA, Inc. PIMCO Long Duration	\$293,852,898	\$328,553,263	\$34,700,365		
BPCF10631102 - Blue Cross Blue Shield of NC Pension Wellington Capital Management	\$284,999,446	\$284,999,446	\$0		
	\$274,413,990	\$274,413,990	\$0		
Total	\$34,652,649,916	\$37,898,892,255	\$3,246,242,339		



Accounts Missing from BD Feed

 This report lists all accounts that are active in CAPConnect but were not included on the daily BD Feed

Recon Exceptions

- This report lists all the exceptions that occurred in Black Diamond
- Black Diamond manages the clean up of these exceptions

Value Comparison

- This report displays all accounts that linked to Black Diamond
- The purpose of this report is to display the Asset from BD and CAPConnect so discrepancies can be easily found and corrected

- Non Billable Holdings Changes
 - This report will show all changes in holdings related to the Billable/Non-Billable status
 - NewNonBillable new holding or change on holding from billable to non-billable
 - New Billable new holding or change on holding from non-billable to billable
 - These changes will be made in Black Diamond and feed into CAPConnect via the recon process.

CAF	PTRUST	Г	Non Billable	e Holdings	Changes	1/4/2021 Current Date
Non Billable Holdings Changes						
Date	Change Type	Account	BD Account Number	Lead Consultant	Holding	Ticker
/4/2021	NewNonBillable	Toby Shor	926040313	Fox Danford, Lisa	JPMORGAN TR II US GOV MMK PRE	OGSXX
/4/2021	NewNonBillable	Leonard & Myrna Sirowitz Joint	41214038	Karas, Veronica	SCHWAB ADVANTAGE TR MUN MONEY FD INV	SWTXX
/4/2021	NewNonBillable	Town of Wakefield	679981656	Manning, Lawren	CASH	FCASH
/4/2021	NewNonBillable	Robert & Alisa Watman Joint	938018414	Schaja, Marilyn	MONEY MKT OBLIGS TR FH TR OBLG SVC	TOSXX
/4/2021	NewNonBillable	Peter Gross & Hongxin Zhao Joint	87255433	Waters, Kathy	SCHWAB US TREASURY MONEY FUND INVESTOR SHARES	SNSXX
/4/2021	NewNonBillable	Lillian Swersky Individual	929013277	Waters, Kathy	MONEY MKT OBLIGS TR FH TR OBLG SVC	TOSXX
2/31/2020	NewBillable	Waterman TTEE, Thomas and Alice, T	98699562	Brandt, Lyle	EXXON MOBIL CORP COM	XOM
2/31/2020	NewBillable	Richard E. Welsh IRA	20211760	Carlson, Kathleen	ALLEN CAPITAL	1350_ALLENCAP"
2/31/2020	NewBillable	Ellen S. Ireland Rollover IRA	41307555	Carlson, Kathleen	ALLEN CAPITAL	1350_ALLENCAP"
2/31/2020	NewBillable	Emil M. Gullia IRA	41555204	Carlson, Kathleen	CASH HELD AT EQUITABLE	1350_STERLING"
2/31/2020	NewBillable	Laura B. Pannier Rollover IRA	69002017	Carlson, Kathleen	ALLEN CAPITAL	1350_ALLENCAP"
2/31/2020	NewBillable	Thomas L. Henighan Revocable Livin	90412592	Carlson, Kathleen	ALLEN CAPITAL	1350_ALLENCAP"
2/31/2020	NewNonBillable	Penelope M. Durham Exempt Trust	45651881	Cornwell, Kim	DES MOINES IOWA 5% 06/01/2023	25009XMN2
2/31/2020	NewNonBillable	Penelope M. Durham Exempt Trust	45651881	Cornwell, Kim	MANSFIELD TEX INDPT SCH DIST 4% 02/15/2022	564386MY3
2/31/2020	NewNonBillable	Penelope M. Durham Exempt Trust	45651881	Cornwell, Kim	NORTHWEST ARK CMNTY COLLEGE DI 4% 05/15/2026	667325EB6
2/21/2020	MauManDillable	Panalogo M. Durcham Crompt Trust	AFCF1001	Corpuell Vin	POLINID BOCK TEX 50/ 00/45/2024	770222087