

# Principal® Platform Connectivity Program

## Managing investment option costs



We know providing a robust investment platform gives you choice and flexibility to help meet your plan goals. That's why we continually evaluate our investment platform. Recently we made changes to fees associated with setting up and maintaining investment options as part of our new Principal® Platform Connectivity Program.

### Investment platform snapshot<sup>2</sup>

- More than 6,000 mutual funds and CITs from over 230 fund families or trust companies<sup>3</sup>
- Registered and non-registered investment products
- Among the most comprehensive set of asset allocation choices in the industry

## Program overview

To help cover increasing costs associated with administering, building, and maintaining investment options on our investment platform, investment providers will now pay a fee to be included as a participating investment provider in our Principal® Platform Connectivity Program. Plan sponsors can access any participating provider's investment options at no additional cost.

If an investment provider chooses not to pay the fee and you want to use their investment option on your investment line-up, no problem. You still have access to an extensive list of available investment options. By paying \$1,000/year (\$250/quarter), per plan type, you can add any number of non-participating investment options to your plan lineup.

### Participating investment providers

You have access to investment options from these participating investment providers at no additional cost.

AB Funds <sup>1</sup>	Buffalo Funds	Hartford Funds <sup>1</sup>	North Square	T. Rowe Price
Alger Funds <sup>1</sup>	Calamos Funds	Heartland	Nuance	Thompson IM Funds Inc
Allianz Funds <sup>1</sup>	Carillon Funds <sup>1</sup>	Hotchkis & Wiley <sup>1</sup>	Nuveen Investments <sup>1</sup>	Thornburg Mutual Funds <sup>1</sup>
Alta Trust Company	Cohen & Steers Funds	Ivy Funds <sup>1</sup>	Parnassus Funds <sup>1</sup>	Touchstone <sup>1</sup>
American Century <sup>1</sup>	Davis Funds <sup>1</sup>	Janus Henderson <sup>1</sup>	Payden Funds <sup>1</sup>	Transamerica <sup>1</sup>
American Funds <sup>1</sup>	Diamond Hill Funds	Keeley Funds	PGIM Investments <sup>1</sup>	Tweedy, Browne Funds
AMG Funds <sup>1</sup>	Dimensional Funds <sup>1</sup>	Lazard <sup>1</sup>	PIMCO	Value Line
AQR Funds <sup>1</sup>	DoubleLine Funds <sup>1</sup>	Legg Mason <sup>1</sup>	Pioneer Mutual Funds <sup>1</sup>	Van Eck <sup>1</sup>
Artisan Funds	Eaton Vance <sup>1</sup>	Lincoln Nat'l Life	Praxis	Victory Capital <sup>1</sup>
Baillie Gifford	EULAV Securities LLC	Lord Abbett Funds <sup>1</sup>	Principal GI Trust Co.	Virtus Mutual Funds
BlackRock Funds <sup>1</sup>	Eventide	MassMutual <sup>1</sup>	Prudential Insurance <sup>1</sup>	Voya <sup>1</sup>
Blackstone <sup>1</sup>	Franklin Templeton <sup>1</sup>	Mercer Trust Company	Putnam <sup>1</sup>	Wells Fargo
BMO Funds <sup>1</sup>	Galliard <sup>1</sup>	MFS <sup>1</sup>	Reliance Trust	Westwood
Boston Partners	Global Trust Company	Morgan Stanley <sup>1</sup>	Schwab MF Funds	William Blair
Boston Trust & Walden	Guggenheim Funds <sup>1</sup>	Neuberger Berman <sup>1</sup>	Schwab CITs <sup>1</sup>	Wilmington Trust
Brown Advisory	Harbor Funds <sup>1</sup>	New York Life <sup>1</sup>	Standard Insurance	



**Questions?** Contact your local Principal® representative to learn more.

<sup>1</sup> Includes specific CUSIPS, not all

<sup>2</sup> Snapshot includes both participating and non-participating investment providers

<sup>3</sup> As of Dec. 31, 2019.



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