

Solutions for Institutions

Investment advisory and fiduciary services delivered by experienced advisors for your organization.

Retirement Plan Sponsors

401(k), 403(b), defined benefit, and nonqualified deferred compensation plan sponsors seeking investment management or discretionary services.

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Religious Organizations

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Endowments & Foundations

Endowments and foundations looking to leverage expert resources to help address their investment, spending, governance, and donor development challenges.

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Institutional Asset Pools

Committee-governed institutional investment portfolios managed against long-term objectives or liabilities.

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All

Retirement Plan Sponsors

Endowments & Foundations



3(21) & 3(38) Services

Delivery of ERISA fiduciary risk management services for defined contribution retirement plans.



Actuarial Services

Provide consultative support on all aspects of your plan's valuation and to meet all filing, certificate, and disclosure requirements.



Asset Allocation

Diversification of an investment portfolio across a variety of investment types with different risk and return characteristics.



Committee Development & Education

Assistance with the implementation of best practices for endowment investment committee composition and ongoing governance.



Discretionary Investment Management

Management of client investment portfolio assets based upon stated guidelines, goals, and objectives.



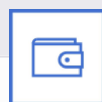
Donor Development

Portfolio reporting and capital markets perspective intended to help drive donor confidence and engagement.



ESG Investing

Alignment of portfolio investments with an investor's ethical considerations or values.



Fee Benchmarking

Periodic assessment of the competitiveness or appropriateness of fees charged by investment managers.



Fiduciary Training

Periodic updates on retirement-plan-related issues and developments and best practices for retirement plan committee members.



Financial Wellness and Advice Services

One-on-one engagement with retirement plan participants to answer their important questions about retirement saving and investing.



Glidepath Development & Monitoring

Creation and management of an age-based asset allocation strategy for retirement plan participants that becomes more conservative over time.





Investment & Spending Policy Development

Assistance with the documentation of policies governing portfolio risk, investment selection, ongoing portfolio monitoring, and distributions.



Investment Menu Design

Identification and structuring of appropriate investment options to be included in a defined contribution plan.



Investment Policy Development & Review

Assistance with the creation and execution of documents governing selection of plan investments and their ongoing monitoring.



Liability-Driven Investing

Development of investment strategies designed to fund future pension plan liabilities while managing risk.



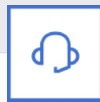
Manager Search & Selection

Identification of investment managers fitting a particular profile for inclusion in a retirement plan's investment menu or endowment's investment strategy.



Non-Discrimination & Other Compliance Testing

Comply with regulations while getting strategic advice to help ensure your plan delivers benefits in line with your objectives.



Nondiscretionary Investment Advice

Portfolio recommendations and changes implemented in collaboration with an investment committee.



Nonqualified Executive Benefits

Development of supplemental retirement savings plans to recruit,

reward, and retain key employees.



OCIO

Discretionary portfolio management that includes asset allocation, plus ongoing investment selection and monitoring for a pension plan.



Pension Risk Transfer Consulting

Analysis and support for sponsors looking to offload pension plan risk via lump sum or annuity payments.



Performance Reporting & Monitoring

Quarterly assessment of investment manager or portfolio returns and risk relative to peer groups and indexes.



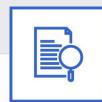
Plan Design Benchmarking

Analysis of key defined contribution plan features that drive costs, competitiveness, and employee retention.



Plan Financing & Security

Analysis and advice on the costs and benefits of investments offsetting nonqualified deferred compensation plan liabilities.



Recordkeeper Search

Process oversight and assistance with identifying and selecting a retirement plan recordkeeper.



Trustee/Custodian Search

Process oversight and assistance with identifying and selecting a trustee or custodian for endowment investment assets.

Grow with CAPTRUST.

Grow with advisors you trust.



[Learn more](#)

I

Investments



I. Investments

Whether you oversee a 401(k) or 403(b) investment lineup, a defined benefit portfolio, or an endowment's long-term asset pool, you need to have confidence that the investments you're using are performing well and priced appropriately.

With more than three decades of experience working with investment committees to select and monitor investments and an experienced research

team, CAPTRUST has the capabilities you are looking for in an investment advisor.

II

Fiduciary Process



II. Fiduciary Process

As an investment committee member, you are tasked with making prudent decisions and monitoring the results of your decisions.

CAPTRUST can help you establish the right committee structures, implement sound processes to document fiduciary decisions, and provide ongoing regulatory updates and fiduciary orientation to maintain committee continuity.

III

Vendor Management





III. Vendor Management

Our clients face a complex ecosystem of service providers—custodians, trustees, actuaries, recordkeepers, and investment managers—that can be hard to make sense of. It’s even harder for them to know if they’re getting a good deal.

The scope and scale of CAPTRUST’s client base means that we have relationships throughout the service provider community, and we can help ensure that the vendors you’re using are competitive and reasonably priced for the services being rendered.

IV

Employee Engagement



IV. Employee Engagement

For retirement plan sponsors, getting employees into their plans, getting them saving enough, and getting them invested well are key to putting them on the path to a successful retirement.

CAPTRUST provides financial wellness and advice to thousands of employees each year via one-on-one and group meetings, phone consultations, webinars, and monthly emails. Our proprietary Retirement Blueprint® tablet technology helps ensure that participants act on the advice they receive.

Our Blueprint Pro® service delivers financial wellness and advice to key employees—senior management, practice partners, and owners—to help them make sense of their complex financial lives.

V

Retirement Plan Design



V. Retirement Plan Design

An organization's retirement plan is a critical part of its benefit package and a well-designed plan can help attract and retain employees—but how do you know if your plan is competitive?

As an advisor to more than 2,000 defined contribution, defined benefit, and nonqualified plans across all industries, CAPTRUST has the perspective needed to design effective plans that will help you achieve your goals as an employer—and your employees' retirement needs.

Too many tasks? Not enough time?

We can help with a complimentary retirement plan review! This evaluation will focus on your plan's investment menu design, fund fees, investment performance, and high-level fund due diligence, helping ensure you are meeting your fiduciary duties.

[Request a review](#)

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Last Name

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How did you hear about us?

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Submit

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