Targeting Retirement Plan Confidence

Christian & Small, LLP

Lee Applebaum, CFP, CRPC, AIF

Senior Vice President

Michael M. Kane, CLU, ChFC, AIFA®

Managing Director

1-103673

Extraordinary

It is simple. We are here to deliver value.

Value to our client's Pension Committees, through our clear analysis guidance and investment fiduciary support.

Value to your employees so they can focus on their jobs and families, not on their retirement balances.

No consultant speak.

No technical mumbo jumbo.

No complicated explanations… just simple, accountable results.

Let us show you the difference.

Overview of Plan Sponsor Consultants

We provide independent advice for retirement plans, assisting sponsors with their fiduciary process and helping participants to pursue their retirement goals

- Retirement plan consulting is all we do and we've been doing it for over 20 years
- We provide unbiased and objective advice. We sign on to be an investment fiduciary to your plan.
- We deliver comprehensive, one-on-one education for your participants
- We are based locally and can respond quickly to your evolving needs.

A Closer Look at Plan Sponsor Consultants

- Strong financials
  - Founded in 1988
- Consistent growth
  - 20% in the last 5 years
  - 25 new clients nationally in the last 3 years
- Team credentials
  - 20 years average retirement experience
  - 100% of team members have advanced degrees or designations
  - Finalist for the ASPPA/NAPA 401K Leadership Award for 2013

Comprehensive Investment & Consulting Services for Plan Sponsors (Qualified Plans)

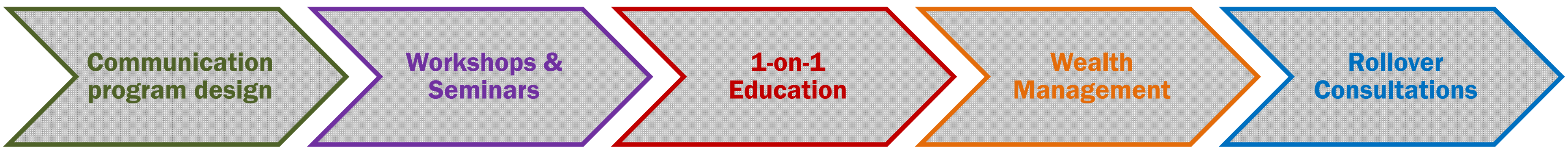
Plan Sponsor Consultants
a Michael M. Kane Company

Investment Consulting → **Plan Design Support** → **Investment Fiduciary Support** → **Provider Management**

- **Investment Consulting**
  - Investment policy development
  - Investment menu design
  - Investment monitoring/committee meetings
  - Investment replacement and manager searches
  - Asset/liability studies
- **Plan Design Support**
  - Technical support
  - Audit and compliance coordination
  - Compliance
  - Retirement income adequacy studies
- **Investment Fiduciary Support**
  - Annual fiduciary reviews
  - Fiduciary education
  - Fiduciary coverage
- **Provider Management**
  - Annual fee and service reviews
  - Provider search/selection
  - Transition management
  - Contract negotiations

One-on-one Support for Participants

Plan Sponsor Consultants
a Michael M. Kane Company



Participant Services

- Communication Program Design
- Workshops & Seminars
  - Comprehensive curriculum
- One-on-One Education
  - One-on-one and group-based education programs
- Wealth Management
  - Key executive programs
  - Advice and Managed accounts
- Rollover Consultations
  - Distribution and pre-retiree guidance