



### Computational social choice

- ► Collective decision making
  - ▶ Voting
  - ► Preference aggregation

- Computational aspects
  - ► Algorithmic development of voting systems
  - ► Manipulability of voting systems

Suppose we have 3 alternatives, 11 voters and the following votes:

- 5 voters think: A > B > C
- 4 voters think: C > B > A
- 2 voters think: B > C > A

Which of the alternatives should be chosen?

Suppose we have 3 alternatives, 11 voters and the following votes:

5 voters think: A > B > C

4 voters think: C > B > A

2 voters think: B > C > A

A is the most preferred alternative

Suppose we have 3 alternatives, 11 voters and the following votes:

- 5 voters think: A > B > C
- 4 voters think: C > B > A
- 2 voters think: B > C > A

A is the most preferred alternative  $\rightarrow$  does not have the majority

- 5 voters think: A > B > C
- 4 voters think: C > B > A
- 2 voters think: B > C > A

- A is the most preferred alternative → does not have the majority
- B wins all the pairwise comparisons

- 5 voters think: A > B > C
- 4 voters think: C > B > A
- 2 voters think: B > C > A

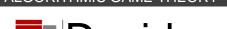
- A is the most preferred alternative  $\rightarrow$  does not have the majority
- **B** wins all the pairwise comparisons → is the less preferred alternative

- 5 voters think: A > B > C
- 4 voters think: C > B > A
- 2 voters think: B > C > A

- A is the most preferred alternative  $\rightarrow$  does not have the majority
- **B** wins all the pairwise comparisons → is the less preferred alternative
- C has the widest approval

- 5 voters think: A > B > C
- 4 voters think: C > B > A
- 2 voters think: B > C > A

- A is the most preferred alternative  $\rightarrow$  does not have the majority
- **B** wins all the pairwise comparisons → is the less preferred alternative
- C has the widest approval → does not win the pairwise comparisons



**Pareto criterion:** if all the individuals rank *x* above *y*, so does society.

**Pareto criterion:** if all the individuals rank x above y, so does society.

**Non-dictatorship:** there is no individual for which the outcome is always equal to the preference supplied by said individual

**Pareto criterion:** if all the individuals rank x above y, so does society.

**Non-dictatorship:** there is no individual for which the outcome is always equal to the preference supplied by said individual

**Independence on irrelevant alternatives:** if society prefers x to y, then this should not change when an individual changes their ranking of z.

### Notation

- $ightharpoonup N = \{1, 2, ..., n\}$  individuals, with  $n \ge 2$
- $ightharpoonup X = \{X_1, X_2, ..., X_m\}$  alternatives
- $\blacktriangleright$   $\mathcal{L}(X)$  is the set of permutations of X
- $ightharpoonup R = \{R_1, R_2, ..., R_n\} \in \mathcal{L}(X)^N$  is a preference profile
  - $ightharpoonup R_i \in \mathcal{L}(X)$  is the vector of preference of the i-th individual
- ▶ A social welfare function (SWF) is a function  $F: \mathcal{L}(X)^N \to \mathcal{L}(X)$

**Theorem** (Arrow, 1951): Any SWF for at least 3 alternatives that satisfies the Pareto condition and IIA must be a dictatorship.

ightharpoonup A coalition G is a subset of voters  $G \subseteq N$ 

- ightharpoonup A coalition G is a subset of voters  $G \subseteq N$
- ▶ G is decisive over (x, y) iff  $x >_i y \Rightarrow x > y$ ,  $i \in G$

- ightharpoonup A coalition G is a subset of voters  $G \subseteq N$
- ightharpoonup G is decisive over (x,y) iff  $x >_i y \Rightarrow x > y$ ,  $i \in G$
- ightharpoonup G is decisive for all ordered pairs

- ightharpoonup A coalition G is a subset of voters  $G \subseteq N$
- ightharpoonup G is decisive over (x,y) iff  $x >_i y \Rightarrow x > y$ ,  $i \in G$
- ightharpoonup G is decisive for all ordered pairs
- ▶ *G* is weakly decisive over (x, y) iff  $x >_i y, y >_j x \Rightarrow x > y$ ,  $i \in G, j \notin G$

**Theorem** (Arrow, 1951): Any SWF for at least 3 alternatives that satisfies the Pareto condition and IIA must be a dictatorship.

#### Proof plan:

- $\triangleright$  Pareto condition implies N is decisive for all pairs
- ▶ Lemma: if  $G \subseteq N$ ,  $|G| \ge 2$  is decisive for all pairs, then so is  $G' \subset G$
- ► Thus, by induction, there is a coalition of size 1 (i.e. a dictator)

Claim: G weakly decisive on  $(x, y) \Rightarrow G$  decisive on any pair (x', y')

Claim: G weakly decisive on  $(x, y) \Rightarrow G$  decisive on any pair (x', y')

Proof: suppose x, y, x', y' are all distinct. Consider the following:

- $\blacktriangleright$  Members of G: x' > x > y > y'
- $\blacktriangleright$  Others: x' > x, y > y', and y > x

Claim: G weakly decisive on  $(x, y) \Rightarrow G$  decisive on any pair (x', y')

Proof: suppose x, y, x', y' are all distinct. Consider the following:

- $\blacktriangleright$  Members of G: x' > x > y > y'
- ightharpoonup Others: x' > x, y > y', and y > x

G weakly decisive on  $(x, y) \Rightarrow$  society ranks x > y

Claim: G weakly decisive on  $(x, y) \Rightarrow G$  decisive on any pair (x', y')

Proof: suppose x, y, x', y' are all distinct. Consider the following:

- $\blacktriangleright$  Members of G: x' > x > y > y'
- ightharpoonup Others: x' > x, y > y', and y > x

G weakly decisive on  $(x, y) \Rightarrow$  society ranks x > y

Pareto  $\Rightarrow$  society ranks x' > x and y > y'

Claim: G weakly decisive on  $(x, y) \Rightarrow G$  decisive on any pair (x', y')

Proof: suppose x, y, x', y' are all distinct. Consider the following:

- $\blacktriangleright$  Members of G: x' > x > y > y'
- ightharpoonup Others: x' > x, y > y', and y > x

G weakly decisive on  $(x, y) \Rightarrow$  society ranks x > y

Pareto  $\Rightarrow$  society ranks x' > x and y > y'

From transitivity, society ranks x' > y'

Claim: G weakly decisive on  $(x, y) \Rightarrow G$  decisive on any pair (x', y')

Proof: suppose x, y, x', y' are all distinct. Consider the following:

- $\blacktriangleright$  Members of G: x' > x > y > y'
- ightharpoonup Others: x' > x, y > y', and y > x

G weakly decisive on  $(x, y) \Rightarrow$  society ranks x > y

Pareto  $\Rightarrow$  society ranks x' > x and y > y'

From transitivity, society ranks x' > y'

Hence, if G is weakly decisive on one pair, G is decisive on all pairs

Claim:  $G \subseteq N$ ,  $|G| \ge 2$  decisive for all pairs  $\Rightarrow G' \subset G$  decisive as well

Claim:  $G \subseteq N$ ,  $|G| \ge 2$  decisive for all pairs  $\Rightarrow G' \subset G$  decisive as well

<u>Proof:</u> Let  $G_1 \cup G_2 = G$ ,  $G_1 \cap G_2 = \emptyset$ . Consider the following:

- ▶ Members of  $G_1$ :  $x > y > z > \cdots$
- $\blacktriangleright$  Members of  $G_2$ :  $y > z > x > \cdots$

Claim:  $G \subseteq N$ ,  $|G| \ge 2$  decisive for all pairs  $\Rightarrow G' \subset G$  decisive as well

<u>Proof:</u> Let  $G_1 \cup G_2 = G$ ,  $G_1 \cap G_2 = \emptyset$ . Consider the following:

- ▶ Members of  $G_1$ :  $x > y > z > \cdots$
- $\blacktriangleright$  Members of  $G_2$ :  $y > z > x > \cdots$

As  $G_1 \cup G_2 = G$  is decisive, society ranks y > z

Claim:  $G \subseteq N$ ,  $|G| \ge 2$  decisive for all pairs  $\Rightarrow G' \subset G$  decisive as well

<u>Proof:</u> Let  $G_1 \cup G_2 = G$ ,  $G_1 \cap G_2 = \emptyset$ . Consider the following:

- ▶ Members of  $G_1$ :  $x > y > z > \cdots$
- ▶ Members of  $G_2$ :  $y > z > x > \cdots$

If society ranks x > z, exactly  $G_1$  ranks x > z. By IIA, in any profile where  $G_1$  ranks x > z, so does society. Hence,  $G_1$  is weakly decisive on (x, z). So (see previous slide)  $G_1$  is decisive on all pairs.

Claim:  $G \subseteq N$ ,  $|G| \ge 2$  decisive for all pairs  $\Rightarrow G' \subset G$  decisive as well

<u>Proof:</u> Let  $G_1 \cup G_2 = G$ ,  $G_1 \cap G_2 = \emptyset$ . Consider the following:

- ▶ Members of  $G_1$ :  $x > y > z > \cdots$
- ▶ Members of  $G_2$ :  $y > z > x > \cdots$

If society ranks z > x, exactly  $G_2$  ranks z > x. By IIA, in any profile where  $G_1$  ranks z > x, so does society. Hence,  $G_2$  is weakly decisive on (z, x). So  $G_2$  is decisive on all pairs.

Hence, one of  $G_1$  and  $G_2$  will always be decisive.

**Majority:** if a candidate is ranked first by a majority of people, then that candidate should be elected.

Condorcet winner criterion: if a candidate wins the majority vote in every head-to-head election against each other candidate, then that candidate should be elected

Many more...

## Voting systems

- ▶ Plurality voting
- ► Borda counting
- ► Instant-runoff voting
- ► Schulze Method

# Plurality voting

The candidate which is ranked first most often wins

5 voters think: A > B > C

4 voters think: C > B > A

2 voters think: B > C > A

Winner: A

# Borda counting

It is a positional voting system. Assigns m-1 to the candidate ranked first, m-2 to the second, and so on, for each voter's preference vector.

5 voters think: A > B > C

4 voters think: C > B > A

2 voters think: B > C > A

$$A: 5 \times 2 + 4 \times 0 + 2 \times 0 = 10$$

# Borda counting

It is a positional voting system. Assigns m-1 to the candidate ranked first, m-2 to the second, and so on, for each voter's preference vector.

5 voters think: A > B > C

4 voters think: C > B > A

2 voters think: B > C > A

$$A: 5 \times 2 + 4 \times 0 + 2 \times 0 = 10$$

 $B: 2 \times 2 + 4 \times 1 + 5 \times 1 = 13$ 

## Borda counting

It is a positional voting system. Assigns m-1 to the candidate ranked first, m-2 to the second, and so on, for each voter's preference vector.

5 voters think: A > B > C

4 voters think: C > B > A

2 voters think: B > C > A

$$A: 5 \times 2 + 4 \times 0 + 2 \times 0 = 10$$

 $B: 2 \times 2 + 4 \times 1 + 5 \times 1 = 13$ 

 $C: 4 \times 2 + 2 \times 1 + 5 \times 0 = 10$ 

## Borda counting

It is a positional voting system. Assigns m-1 to the candidate ranked first, m-2 to the second, and so on, for each voter's preference vector.

5 voters think: A > B > C

4 voters think: C > B > A

2 voters think: B > C > A

$$A: 5 \times 2 + 4 \times 0 + 2 \times 0 = 10$$

 $B: 2 \times 2 + 4 \times 1 + 5 \times 1 = 13$ 

 $C: 4 \times 2 + 2 \times 1 + 5 \times 0 = 10$ 

### **■**Instant-runoff voting

Extends the plurality method. Counts the times each candidate is ranked first. If the candidate with the highest number of votes has the absolute majority elects that candidate. Otherwise removes the last candidate, reassigns their votes and repeats the procedure.

Lab 07

## ■ Instant-runoff voting: example

5 voters think: A > B > C

4 voters think: C > B > A

A	5
В	2
С	4

Lab 07

# ■ Instant-runoff voting: example

5 voters think: A > B > C

4 voters think: C > B > A

2 voters think: B > C > A

**A** 5

ALGORITHMIC GAME THEORY

B 2

**C** | 4

## Instant-runoff voting: example

5 voters think: A > B > C

4 voters think: C > B > A

2 voters think: B > C > A

Α	5	5
В	2	
С	4	6

Winner: C

#### Schulze Method

A method base on pairwise matrix and graphs. Used by many organizations including Wikimedia, Debian, Ubuntu and Gentoo.

- ► Compute the pairwise matrix
- Find the all pairs widest path on the pairwise matrix
- Count how many times each candidate beats all the others on the all pairs widest path matrix

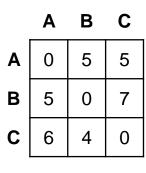
5 voters think: A > B > C

4 voters think: C > B > A

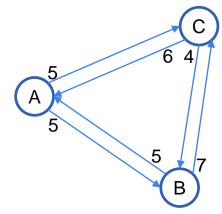
	Α	В	С
Α	0	5	5
В	5	0	7
С	6	4	0

5 voters think: A > B > C

4 voters think: C > B > A

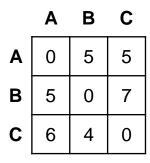


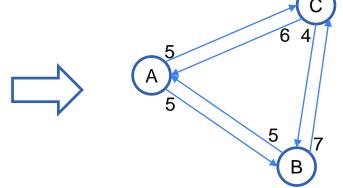




5 voters think: A > B > C

4 voters think: C > B > A



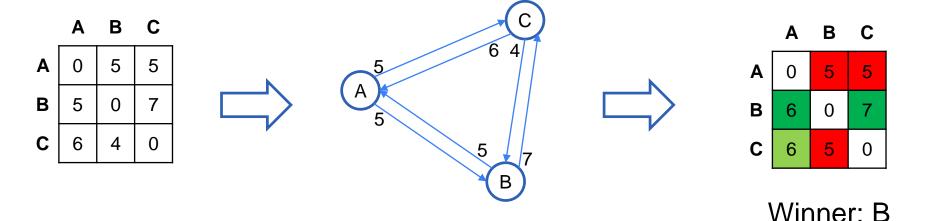




	Α	В	С
4	0	5	5
3	6	0	7
5	6	5	0

5 voters think: A > B > C

4 voters think: C > B > A



### ➡ All pairs widest path pseudocode

```
Input: d[i,j], the number of voters who prefer candidate i to candidate j.
Output: p[i,j], the strength of the strongest path from candidate i to candidate j.
for i from 1 to C
  for j from 1 to C
     if i ≠ j then
        if d[i,j] > d[j,i] then
           p[i,j] := d[i,j]
        else
           p[i,j] := 0
for i from 1 to C
  for j from 1 to C
     if i \neq j then
        for k from 1 to C
           if i \neq k and j \neq k then
              p[j,k] := max (p[j,k], min (p[j,i], p[i,k]))
```