

## PRI 2022/23

## Exam 1

Duration: 2h

## **Part I** (141pts)

Consider a static collection *D* with the following 3 documents after stop word removal, term normalization, and term selection:

- d1: "grass stone ocean stone"
- d2: "ocean grass ocean ocean"
- d3: "unicorn stone grass ocean"
- 1. [14pts] Draw the positional inverted index.

  Use gap-based encoding on the positional part of the inverted index.

  Annotate terms with both document frequency and collection frequency.
- **2.** [14pts] Consider the drawn positional index. Assume pointers are stored using 3 bytes and efficient typing for integers. What is the size of the posting lists (ignore the dictionary part)?
- **3.** An expert ordered the documents by relevance d1 (relevant) < d2 (relevant) < d3 (irrelevant).
  - a. A user posted a query q that returned  $\{d2,d3\}$  documents.
    - i. [10pts] Compute the confusion matrix and identify the balanced F-measure.
    - ii. [6pts] Knowing that coverage is 0.5, is d1 known to the user? Show your calculus
  - b. An IR system assigned the following relevance score (i.e. the higher, the better) per document: s(d1)=0.4, s(d2)=0.2, s(d3)=0.6.
    - i. [12pts] Calculate interpolated MAP, i.e. values taken from the interpolated PR curve.
    - ii. [8pts] Assess agreement against reference truth using Kendall.
- **4.** Given  $q = \{stone, ocean\}$ 
  - a. [8pts] Identify the selected documents using 2NN with Jaccard-based similarity. Show all calculus
  - b. [11pts] Rank document d1 using TF-IDF under the nnn.ntc scheme (note: if you do not recall nnn.ntc use another schema to get 50% of grading)
  - c. [11pts] Rank d1 using BM25.
- **5.** [8pts] Considering the pairwise document distances d(d1,d2)=0.2, d(d1,d3)=0.5, d(d2,d3)=0.4. Identify the distance at which the three documents are aggregated in a single cluster using agglomerative clustering with: i) min (single) linkage, and ii) max (complete) linkage.
- **6.** Consider a 100% quality in concept analysis, and concept  $B = (\{d1, d2, d3\}, \{grass, whale, ocean\})$ .
  - a. [8pts] Is B a coherent concept? If yes, under which coherence assumptions? If not, justify.
  - b. [7pt] Can B be formal concept? If yes, identify the range of valid binarization thresholds.
- **7.** Consider that the query  $q = \{whale\}$  returned d1 and d2, after which the user interactively selected d1 as relevant and d2 as non-relevant. SMART Rocchio (under  $\alpha = 1$ ,  $\beta = 1$ )
  - a. [11pts] After modification,  $q' = \{grass = 0.5, whale = 3, ocean = -0.5\}$ . Is positive feedback more relevant than negative feedback? Justify with calculus.
  - b. [4pts] The modified queries can hamper efficiency and interpretability of IR systems. Briefly indicate the underlying unique reason for this.

**8.** [9pts] Consider the document links  $\{d1\rightarrow d2, d2\rightarrow d3, d2\rightarrow d1\}$ , compute the normalized hub and authority scores for each document given by the HITS algorithm after one iteration.

## Part II (59pts)

*Important note*: the open questions in this part are *objective*. Provide *clear* and *compact* answers.

- **1.** [10pts] Consider the following four data structures: i) trees, ii) variable-length arrays, iii) linked lists, and v) hash tables. Which of them are generally used to represent: a) *dictionaries*, and b) *posting lists*? For each assignment, identify one advantage.
- **2.** [7pts] If classifiers provide a deterministic output (e.g. relevant or non-relevant), how can they be used to rank documents?
- **3.** [3pts] Identify one source of indirect relevance feedback: \_\_\_\_\_
- **4.** [8pts] Consider an IR system that upon being queried with "aircraft" is unable to return documents with "airplane".
  - In the absence of feedback, identify two strategies to handle this problem.
- **5.** Consider a clustering solution with two clusters, \{d7\} and \{d1,d2,d3,d4,d5,d6,d8,d9,d10\}.
  - a) [3pts] Select which agglomerative algorithm more probably return this division:
    - ☐ minimum/single linkage ☐ average linkage
  - b) [6pts] Knowing that {d1, d2} belong to a specific class and the remaining documents to another. Identify the purity of the clustering solution.
- **6.** [22pts] Annotate each statement as **True** or **False** (+1.7pt correct, -0.3pt wrong)
  - 1) Ranking searches are susceptible to the "feast and famine" problem: queries often result in either too few or too many results
  - 2) In a classic TF-IDF stance, "John is quicker than Mary" and "Mary is quicker than John" are seen as identical statements.
  - 3) IDF has no effect on ranking documents against single term queries
  - 4) In latent Dirichlet allocation (topic modelling), topic-term density can be controlled
  - 5) A thesaurus commonly stores near-synonym terms using their grammar relationships
  - 6) Pearson coefficient is generally preferred over Spearman to assess non-linear correlations
  - 7) The distance between *orta* and *hortas* is 2 using Levenshtein edit distance, considering both classic and Damerau variant
  - 8) The noun phrase associated with "London to Washington" is a bi-word
  - The use of positional indexes to answer lengthy phrase queries is susceptible to false positives
  - 10) Page Rank outputs a single score for each document in the base set
  - 11) Page Rank is sensitive to the term content of a document
  - 12) DNS resolution has a significant impact on crawling speed
  - 13) A back queue in crawlers is generally implemented as FIFO (first in, first out)