

# Topology - X400416

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These notes are based on Topology (2<sup>nd</sup> edition) by James R. Munkres.

# 1 Topological Spaces

The motivation behind defining a topological space is to generalize the notion of a metric space. Recall that a metric on a set  $X$  is a map  $d : X \times X \rightarrow [0, \infty)$  that satisfies

1.  $d(x, y) = d(y, x)$
2.  $d(x, x) = 0$
3.  $d(x, y) > 0, x \neq y$
4.  $d(x, y) \leq d(x, z) + d(z, y)$

Then we say that a set  $U \subset X$  is open if for all  $x \in U$  and some  $r > 0$

$$B(x, r) := \{y \in X \mid d(x, y) < r\} \subset U.$$

In other words, around every point in  $U$  there is a "ball" that is contained in  $U$ . In Analysis I one learns about continuity using the classic  $\varepsilon$ - $\delta$  definition which requires a metric. As it turns out, we don't really need a metric to define a continuous function, only open sets:

**Definition.** *A function between metric spaces is continuous if and only if the preimage of an open set is open.*

Using this definition, different seeming metric can yield the same notions of which functions are continuous! We call the collection of open subsets of  $X$  defined by some metric  $d : X \times X \rightarrow [0, \infty)$  a *topology*. This open sets satisfy some important properties. Namely: (1)  $X$  and  $\emptyset$  are open, (2) arbitrary unions of open sets are open and (3) finite intersections of open sets is open. It turns out that a metric is not required to define a topology, only these three properties:

**Definition.** *Let  $X$  be a set. Then a topology on  $X$  is a set  $\mathcal{T} \subset \mathcal{P}(X)$  such that*

1.  $\emptyset \in \mathcal{T}, X \in \mathcal{T}$
2. *If  $\{U_\alpha\} \subset \mathcal{T}$  then  $\bigcup_\alpha U_\alpha \in \mathcal{T}$*
3. *If  $\{U_i\}_{i=0}^n \subset \mathcal{T}$  then  $\bigcap_{i=0}^n U_i \in \mathcal{T}$*

*A topological space is the pair  $(X, \mathcal{T})$*

Then we say that  $U \subset X$  is open if  $U \in \mathcal{T}$ . Note that which sets are open depends on the topology, which might conflict with your notion of open set as defined above. For example, in the topology  $\mathcal{P}(\mathbb{R})$  every subset of the real line is open, while in the topology  $\{\emptyset, \mathbb{R}\}$  only the empty set and  $\mathbb{R}$  are open. We often say that  $U$  is open in  $X$  without giving a specific topology, which simply

means that the statement that follows will hold for any topology we define on  $X$  and any element in that topology.

If  $\mathcal{T}$  and  $\mathcal{T}'$  are two topologies on  $X$  such that  $\mathcal{T} \subseteq \mathcal{T}'$  then we say that  $\mathcal{T}'$  is *finer* (or *strictly finer* if the containment is proper) than  $\mathcal{T}$ . We similarly say that  $\mathcal{T}$  is *coarser* (or *strictly coarser*) than  $\mathcal{T}'$ . It might also be the case that two topologies are not *comparable*.

## 2 Basis for a Topology

Specifying topologies directly is often not possible, due to the enormous size of many topologies. So we often define a topology using a smaller subset called a *basis*.

**Definition.** If  $X$  is a set, then a *basis* of a topology is a collection  $\mathcal{B}$  of subsets of  $X$  such that

1.  $\forall x \in X, \exists B \in \mathcal{B}$  such that  $x \in B$
2. If  $x \in B_1 \cap B_2$  with  $B_1, B_2 \in \mathcal{B}$  then there exists  $B_3 \in \mathcal{B}$  with  $x \in B_3 \subset B_1 \cap B_2$ .

If  $\mathcal{T}$  is a topology generated by a basis  $\mathcal{B}$  then  $U$  is open if for all  $x \in U$  there exists  $B \in \mathcal{B}$  such that  $x \in B \subset U$ . Also  $B \in \mathcal{T}$  for all  $B \in \mathcal{B}$ . The proof that  $\mathcal{T}$  is indeed a topology is not included. An alternative construction of a topology from a basis is given by the following lemma

**Lemma 2.1.** Let  $X$  be a set and  $\mathcal{B}$  a basis for a topology  $\mathcal{T}$ . Then  $\mathcal{T}$  equals the collection of all unions of elements in  $\mathcal{B}$ .

Using this lemma is sometime easier in practice; given a basis  $\mathcal{B}$  and  $U \subset X$ , if one can write  $U$  as a union of elements in  $\mathcal{B}$  then  $U$  is open.

We can also go in the reverse direction: from topology to a basis.

**Lemma 2.2.** Let  $X$  be a topological space. If  $\mathcal{C}$  is a collection of open sets such that for each open set  $U$  and each  $x \in U$  there is  $C \in \mathcal{C}$  such that  $x \in C \subset U$ , then  $\mathcal{C}$  is a basis.

When topologies are given in terms of basis, we can already determine which one is finer using the following criterion

**Lemma 2.3.** Let  $\mathcal{B}$  and  $\mathcal{B}'$  be bases for the topologies  $\mathcal{T}$  and  $\mathcal{T}'$  respectively. Then  $\mathcal{T} \subset \mathcal{T}'$  if and only if for each  $x \in X$  and  $B \in \mathcal{B}$  containing  $x$  there exists  $B' \in \mathcal{B}'$  such that  $x \in B' \subset B$ .

It might be tricky to remember the direction of the inclusion. One way to think about it is since  $\mathcal{T}'$  has more subsets of  $X$  it needs to have smaller basis elements.

Lastly, we define the notion of a *subbasis*.

**Definition.** A subbasis  $\mathcal{S}$  for a topology on  $X$  is a collection of subsets of  $X$  whose union equals  $X$ . The topology generated by  $\mathcal{S}$  is the collection of all unions of finite intersection of elements of  $\mathcal{S}$ .

To conclude this section we define 3 topologies on the real line using the notion of a basis:

1. The *standard topology* generated by the collection of all open intervals  $(a, b)$  with  $a < b$  (it is not a recursive definition. Here we use open in the familiar metric sense).
2. The *lower limit topology* is generated by half-open intervals  $[a, b)$ . When  $\mathbb{R}$  is given in the lower limit topology we denote it  $\mathbb{R}_l$ .
3. The  *$K$ -topology* is generated by open intervals and sets of the form  $(a, b) - K$  where  $K = \{1/n \mid n \in \mathbb{N}\}$ . When  $\mathbb{R}$  is given in this topology we denote it  $\mathbb{R}_k$ .

One maybe surprising property is that both  $\mathbb{R}_l$  and  $\mathbb{R}_k$  are finer than the standard topology, but are not comparable with one another.

### 3 The Product Topology on $X \times Y$

### 4 The Subspace Topology

If  $X$  is a topological space with topology  $\mathcal{T}_X$  and  $Y \subset X$  then the subspace topology on  $Y$  is defined as

$$\mathcal{T}_Y = \{Y \cap U \mid U \in \mathcal{T}_X\}.$$

The fact that the collection  $\mathcal{T}_Y$  has all the properties of a topology follows from the  $\mathcal{T}_X$  being a topology. Then if  $\mathcal{B}_X$  is a basis for a topology on  $X$ , the basis of the subspace topology on  $Y$  is given by

$$\mathcal{B}_Y = \{Y \cap B \mid B \in \mathcal{B}_X\}.$$

Open sets in the subspace topology on  $Y$  are not necessarily open in  $X$ . If  $A$  is an open set in  $Y$ , then  $A$  is open in  $X$  if  $Y$  is open in  $X$ .

### 5 Closed Sets and Limit Points

If  $X$  is a topological space and  $A \subset X$  then  $A$  is closed if  $X - A$  is open. Closed sets have similar properties to open sets:

**Theorem 5.1.** *Let  $X$  be a topological space. Then*

1.  $X$  and  $\emptyset$  are closed

*2. Arbitrary intersections of closed sets are closed*

*3. Finite unions of closed sets are closed.*

One can just as well define a topology in terms of closed sets, but the definition using open sets is much more common. Of course, mathematics wouldn't be fun if there wasn't any space for confusion. In a topology, a set can be open, closed, neither or both. So don't think of sets as doors.

If  $Y$  is a subspace of a topological space  $X$ , then a closed set in  $X$  is not necessarily closed in  $Y$ . A set  $A$  is closed in  $Y$  if and only if it equals the intersection of a closed set of  $X$  with  $Y$ . This is easy to verify since if  $A$  is closed in  $Y$  then  $Y - A$  is open in  $Y$  and so it equals  $U \cap Y$  for some open set  $U$  in  $X$ . Then  $X - U$  is closed and  $A = Y \cap (X - U)$ . The other direction is similarly proved.

## 6 Exercises

### 6.1 Basis for a topology

#### Exercise 13.1

Let  $X$  be a topological space; let  $A \subset X$ . Suppose that for each  $x \in A$  there is an open set  $U$  such that  $x \in U \subset A$ . Show that  $A$  is open.

*Proof.* For every  $x \in A$ , let  $U_x$  denote the open set containing  $x$  such that  $U_x \subset A$ . Then  $U = \bigcap_{x \in A} U_x \subset A$  since each  $U_x$  is contained in  $A$ . For the other inclusion, take  $x \in A$ . Then  $x \in U$  since  $x$  is in  $U_x$  by definition. Hence  $A \subset U$  and it follows that  $A = U$ . Since each  $U_x$  and arbitrary unions of open sets are open it follows that  $A$  is open.  $\square$

#### Exercise 13.4

1. If  $\{\mathcal{T}_\alpha\}$  is a family of topologies on  $X$ , how that  $\bigcap \mathcal{T}_\alpha$  is a topology on  $X$ . Is  $\bigcup \mathcal{T}_\alpha$  a topology on  $X$ ?
2. Let  $\{\mathcal{T}_\alpha\}$  be a family of topologies on  $X$ . Show that there is a unique smallest topology containing all the all the collection of  $\mathcal{T}_\alpha$  and a unique largest topology contained in all  $\mathcal{T}_\alpha$
3. If  $X = \{a, b, c\}$  let

$$\mathcal{T}_1 = \{\emptyset, X, \{a\}, \{a, b\}\} \quad \text{and} \quad \mathcal{T}_2 = \{\emptyset, X, \{a\}, \{b, c\}\}.$$

Find the smallest topology containing  $\mathcal{T}_1$  and  $\mathcal{T}_2$  and the largest topology contained in  $\mathcal{T}_1$  and  $\mathcal{T}_2$ .

*Solution.*

1. (a) Since  $\emptyset, X \in \mathcal{T}_\alpha$  for all  $\alpha$  it follows that  $\emptyset, X \in \bigcap \mathcal{T}_\alpha$ . (b) If  $U_\beta \in \bigcap \mathcal{T}_\alpha$ , then  $U_\beta \in \mathcal{T}_\alpha$  for all  $\alpha$  and so  $\bigcup U_\beta \in \mathcal{T}_\alpha$  for all  $\alpha$  since  $\mathcal{T}_\alpha$  is a topology. Hence  $\bigcup U_\beta \in \bigcap \mathcal{T}_\alpha$ . (c) If  $U_1, U_2 \in \bigcap \mathcal{T}_\alpha$  then  $U_1, U_2 \in \mathcal{T}_\alpha$  for all  $\alpha$  and so  $U_1 \cap U_2 \in \mathcal{T}_\alpha$  for all  $\alpha$ . Therefore  $U_1 \cap U_2 \in \bigcap \mathcal{T}_\alpha$ . It follows by induction that  $\bigcap \mathcal{T}_\alpha$  is closed under countable intersections. Hence an intersections of topologies is a topology.  
Let  $X = \{a, b, c\}$ . Then  $\mathcal{T}_1 = \{\emptyset, X, \{a\}\}$  and  $\mathcal{T}_2 = \{\emptyset, X, \{b\}\}$  are topologies on  $X$ . But  $\mathcal{T}_1 \cup \mathcal{T}_2 = \{\emptyset, X, \{a\}, \{b\}\}$  is not a topology since  $\{a\}, \{b\} \in \mathcal{T}_1 \cup \mathcal{T}_2$  but  $\{a\} \cup \{b\} = \{a, b\} \notin \mathcal{T}_1 \cup \mathcal{T}_2$ . Hence a union of topologies is, in general, not a topology.
2. Let  $\mathcal{S} = \bigcup_\alpha \mathcal{T}_\alpha$ . Then  $X \in \mathcal{S}$  since  $X$  is in each individual  $\mathcal{T}_\alpha$  as they are all topologies. It follows that  $X = \bigcup_{S \in \mathcal{S}} S$  and so  $\mathcal{S}$  is a subbasis. Let  $\mathcal{B}$  be the basis generated by  $\mathcal{S}$  and  $\mathcal{T}_s$  be the topology generated by  $\mathcal{B}$ . Fix some  $\mathcal{T}_\alpha$  and take  $U \in \mathcal{T}_\alpha$ . Then  $U \in \mathcal{S} \subset \mathcal{B} \subset \mathcal{T}_s$  by construction. Hence

$U \in \mathcal{T}_S$  and it follows that  $\mathcal{T}_\alpha \subset \mathcal{T}_S$  for all  $\alpha$ . Is it the smallest topology with such property? Let  $\mathcal{T}'$  be a topology on  $X$  such that  $\mathcal{T}_\alpha \subset \mathcal{T}'$  for all  $\alpha$  and take  $U \in \mathcal{T}_S$ . Then  $U$  is an arbitrary union of finite intersections of elements of  $\mathcal{S} = \bigcup \mathcal{T}_\alpha \subset \mathcal{T}'$ . Since  $\mathcal{T}'$  is a topology it is closed under arbitrary unions and finite intersections and so  $U \in \mathcal{T}'$ . Hence  $\mathcal{T}_S \subset \mathcal{T}'$  and it follows that  $\mathcal{T}_S$  is the smallest topology containing all  $\mathcal{T}_\alpha$ .

From part one we know that  $\bigcap \mathcal{T}_\alpha$  is a topology, and by definition it is contained in  $\mathcal{T}_\alpha$  for all  $\alpha$ . If  $\mathcal{T}' \subset \mathcal{T}_\alpha, \forall \alpha$  is a topology, then for every  $U \in \mathcal{T}'$ ,  $U \in \bigcap \mathcal{T}_\alpha$  and so  $\mathcal{T}' \subset \bigcap \mathcal{T}_\alpha$ . Therefore  $\bigcap \mathcal{T}_\alpha$  is the largest topology that is contained in all  $\mathcal{T}_\alpha$ .

3. Apply part (2).

### Exercise 13.5

Show that that topology  $\mathcal{T}$  on  $X$  generated by a basis  $\mathcal{B}$  is equal to the intersections of all the topologies on  $X$  that contain  $\mathcal{B}$ .

*Proof.* Let  $T = \{\mathcal{T}_\beta \mid \mathcal{B} \subset \mathcal{T}_\beta\}$  be the collection of all topologies on  $X$  that contain  $\mathcal{B}$ . Let  $u \in \mathcal{T}$ . Then  $U$  can be written as a union of element in  $\mathcal{B}$ , i.e.

$$U = \bigcup_{\alpha} B_{\alpha}, \quad B_{\alpha} \in \mathcal{B}$$

Since  $\mathcal{T}_\beta$  is a topology and  $\mathcal{B} \subset \mathcal{T}_\beta$  for all  $\mathcal{T}_\beta \in T$  it follows that  $U = \bigcup_{\alpha} B_{\alpha} \in \mathcal{T}_\beta$  for all  $\mathcal{T}_\beta \in T$  and so

$$\mathcal{T} \subset \bigcap_{\mathcal{T}_\beta \in T} \mathcal{T}_\beta.$$

Since  $\mathcal{B} \subset \mathcal{T}$  by definition of a basis it follows that  $\mathcal{T} \in T$  and so

$$\bigcap_{\mathcal{T}_\beta \in T} \mathcal{T}_\beta \subset \mathcal{T}.$$

Hence  $\bigcap_{\mathcal{T}_\beta \in T} \mathcal{T}_\beta = \mathcal{T}$ . □

### Exercise 13.7

*Solution.*

### Exercise 13.8

1. Show that the collection

$$\mathcal{B} = \{(a, b) \mid a < b, a, b \in \mathbb{Q}\}$$

generates the standard topology on  $\mathbb{R}$ .

2. Show that the collection

$$\mathcal{C} = \{[a, b) \mid a < b, a, b \in \mathbb{Q}\}$$

generates a topology different from the lower limit topology.

*Solution.*

1. Let  $\mathcal{T}$  be the standard topology on  $\mathbb{R}$  and  $\mathcal{T}_{\mathcal{B}}$  the topology generated by  $\mathcal{B}$ . Let  $x \in (a, b) \in \mathcal{T}$ . Since the rationals are dense in  $\mathbb{R}$ , there exist  $a', b' \in \mathbb{Q}$  such that  $x \in (a', b') \subset (a, b)$ . Hence  $\mathcal{T} \subset \mathcal{T}_{\mathcal{B}}$ . The other inclusion is trivial since every basis element  $(a, b) \in \mathcal{B}$  is a basis element of  $\mathcal{T}$ . We conclude that  $\mathcal{T} = \mathcal{T}_{\mathcal{B}}$ .
2. Let  $\mathcal{T}_c$  be the topology generated by  $\mathcal{C}$  and let  $\mathcal{B}$  be the basis of  $\mathcal{T}_l$ , the lower limit topology on  $\mathbb{R}$ . Then for any  $[a, b) \in \mathcal{C}$ ,  $[a, b) \in \mathcal{B}$ , and so  $\mathcal{T}_c \subset \mathcal{T}_l$ . To show that this inclusion is strict we need to prove the statement

$$\begin{aligned} & \neg(\forall B \in \mathcal{B} \forall x \in B \exists C \in \mathcal{C} : x \in C \subset B) \\ \iff & \exists B \in \mathcal{B} \exists x \in B \forall C \in \mathcal{C} : x \notin C \vee C \not\subset B \\ \iff & \exists B \in \mathcal{B} \exists x \in B \forall C \in \mathcal{C} : x \in C \implies C \not\subset B \end{aligned}$$

Let  $[x, b) \in \mathcal{B}$  with  $x \notin \mathbb{Q}$ . Then  $[a, c) \in \mathcal{C}$  can contain  $x$  only if  $a < x$  since  $x$  is irrational. Therefore there is no element in  $\mathcal{C}$  that contains  $x$  and is a subset of  $[x, b)$ . This proves that  $\mathcal{T}_c \subsetneq \mathcal{T}_l$ .

## 6.2 The Subspace Topology

### Exercise 16.1

Show that if  $Y$  is a subspace of  $X$ , and  $A$  is a subset of  $Y$  then the topology  $A$  inherits as a subspace of  $Y$  is the same as the topology it inherits as a subspace of  $X$ .

*Proof.* Let  $\mathcal{T}$  be a topology on  $X$ ,  $\mathcal{T}_Y$  subspace topology on  $Y$ . Let  $\mathcal{T}'_A$  be the topology  $A$  inherits as a subset of  $Y$ . Then

$$\begin{aligned} \mathcal{T}'_A &= \{A \cap U \mid U \in \mathcal{T}_Y\} \\ &= \{A \cap U \mid U \in \{Y \cap V \mid V \in \mathcal{T}\}\} \\ &= \{A \cap U \mid U = Y \cap V, V \in \mathcal{T}\} \\ &= \{A \cap (Y \cap V) \mid V \in \mathcal{T}\} \\ &= \{(A \cap Y) \cap V \mid V \in \mathcal{T}\} \\ &= \{A \cap V \mid V \in \mathcal{T}\} \end{aligned}$$

which is by definition the topology  $A$  inherits as a subset of  $X$ .  $\square$



**Exercise 16.3****Exercise 16.4**

Show that  $\pi_1 : X \times Y \rightarrow X$  is an open map.

*Proof.* Let  $U$  be open in  $X \times Y$  and take  $(x, y) \in U$ . Then there exists a basis element  $B_x \times B_y$  such that  $(x, y) \in B_x \times B_y \subset U$ . For any  $b \in B_x$ ,  $(b, y) \in B_x \times B_y \subset U$  and so  $b = \pi_1(b, y) \in \pi_1(U)$ . It follows that  $B_x \subset \pi_1(U)$ . Since the basis of a product topology is the the product of open sets,  $B_x$  is open in  $X$  which means that for every  $x \in \pi_1(U)$  there is an open set  $B_x \subset X$  such that  $x \in B_x \subset \pi_1(U)$ . From Exercise 13.1 it follows that  $\pi_1(U)$  is open in  $X$ .  $\square$

**6.3 Closed Sets and Limit Points**