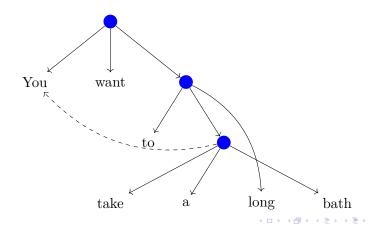
# A Transition-Based Directed Acyclic Graph Parser for Universal Conceptual Cognitive Annotation

Daniel Hershcovich, Omri Abend and Ari Rappoport



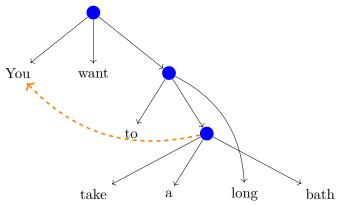
ACL 2017

1. Non-terminal nodes — entities and events over the text



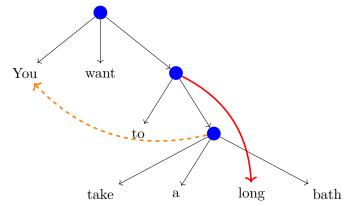
# The **first parser** to support the combination of three properties:

- 1. Non-terminal nodes entities and events over the text
- 2. Reentrancy allow argument sharing



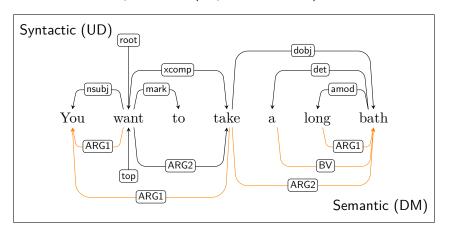
The **first parser** to support the combination of three properties:

- 1. Non-terminal nodes entities and events over the text
- 2. Reentrancy allow argument sharing
- 3. Discontinuity conceptual units are split
- needed for many semantic schemes (e.g. AMR, UCCA).



#### Introduction

- Syntactic dependencies (Nivre, 2005)
- Semantic dependencies (Oepen et al., 2016)



Bilexical dependencies.



## Linguistic Structure Annotation Schemes

- Syntactic dependencies (Nivre, 2005)
- Semantic dependencies (Oepen et al., 2016)
- AMR (Banarescu et al., 2013)
- UCCA (Abend and Rappoport, 2013)
- Other semantic representation schemes<sup>1</sup>

Abstract away from syntactic detail that does not affect meaning:

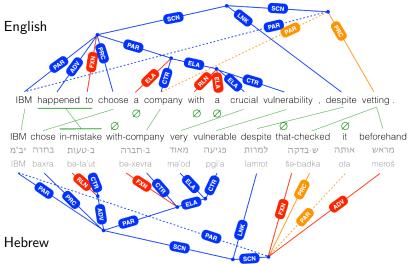
$$...$$
 bathed  $=$   $...$  took a bath

<sup>&</sup>lt;sup>1</sup>See recent survey (Abend and Rappoport, 2017) ←□ → ←② → ←② → ←② → →② → ◆② ←

## The UCCA Semantic Representation Scheme

## Universal Conceptual Cognitive Annotation (UCCA)

Cross-linguistically applicable (Abend and Rappoport, 2013). Stable in translation (Sulem et al., 2015).



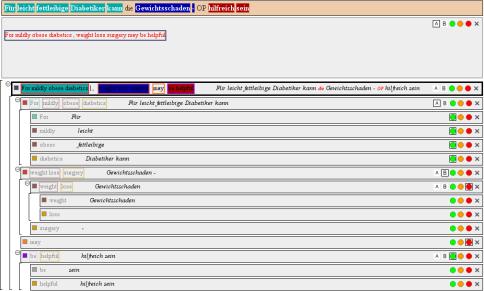
## **UCCAApp**

Rapid and intuitive annotation interface (Abend et al., 2017). Usable by non-experts. http://ucca-demo.cs.huji.ac.il



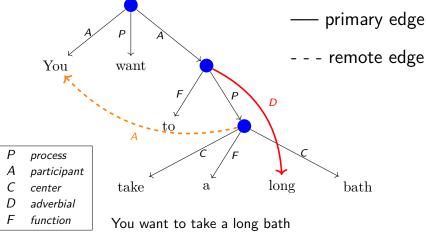
#### HUME

UCCA facilitates semantics-based human evaluation of machine translation (Birch et al., 2016). http://ucca.cs.huji.ac.il/mteval



#### Graph Structure

UCCA generates a directed acyclic graph (DAG): **no parser yet**. Text tokens are terminals, complex units are non-terminal nodes. *Remote edges* enable reentrancy for argument sharing. Phrases may be discontinuous (e.g., multi-word expressions).



Transition-based UCCA Parsing

#### Transition-Based Parsing

First used for dependency parsing (Nivre, 2004). Parse text  $w_1 \dots w_n$  to graph G incrementally by applying transitions to the parser state: stack, buffer and constructed graph.

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#### Initial state:

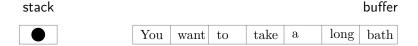
stack buffer

You want to take a long bath

#### Transition-Based Parsing

First used for dependency parsing (Nivre, 2004). Parse text  $w_1 \dots w_n$  to graph G incrementally by applying transitions to the parser state: stack, buffer and constructed graph.

#### Initial state:

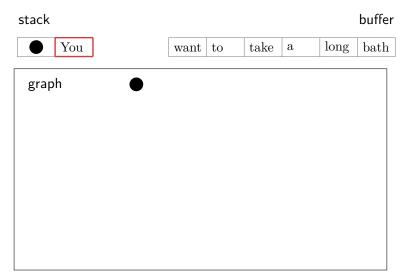


#### TUPA transitions:

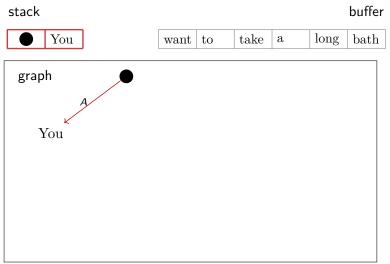
{SHIFT, REDUCE, NODE<sub>X</sub>, LEFT-EDGE<sub>X</sub>, RIGHT-EDGE<sub>X</sub>, LEFT-REMOTE<sub>X</sub>, RIGHT-REMOTE<sub>X</sub>, SWAP, FINISH}

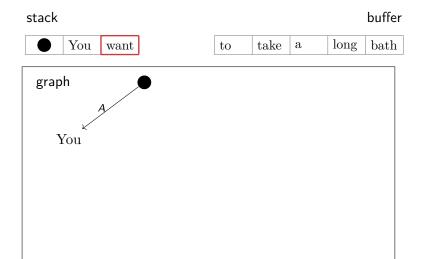
Support non-terminal nodes, reentrancy and discontinuity.



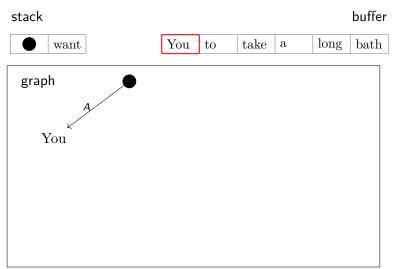


 $\Rightarrow$  RIGHT-EDGE<sub>A</sub>

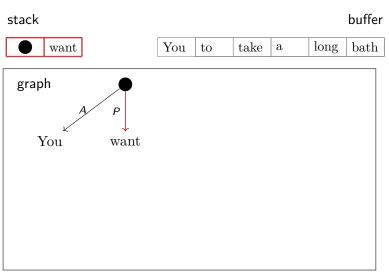




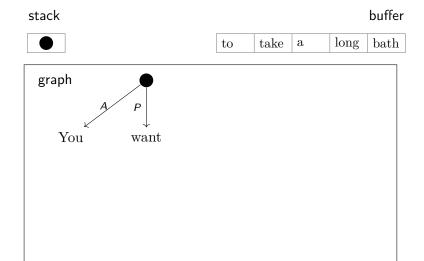
 $\Rightarrow$  SWAP

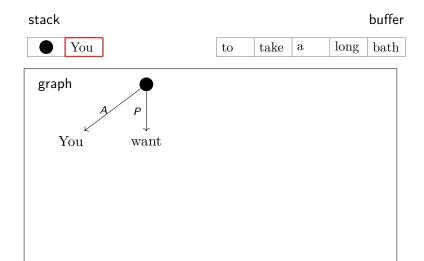


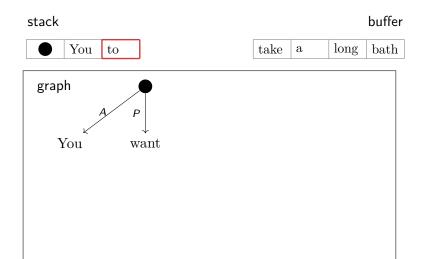
 $\Rightarrow$  RIGHT-EDGE<sub>P</sub>



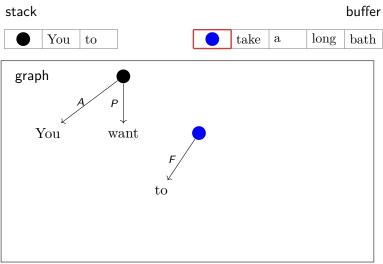
#### $\Rightarrow$ Reduce



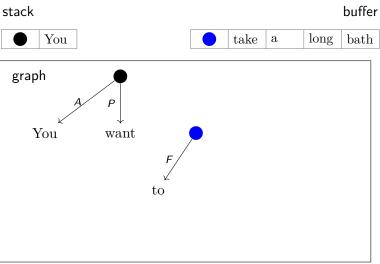


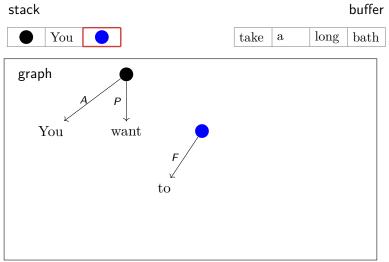


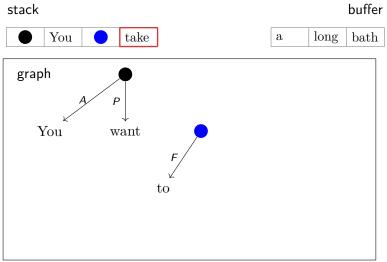
 $\Rightarrow \text{Node}_{F}$ 



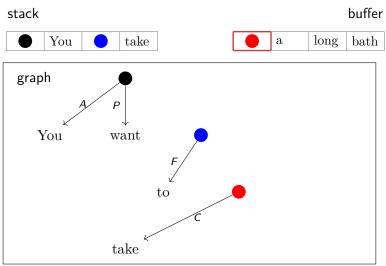
#### $\Rightarrow$ Reduce



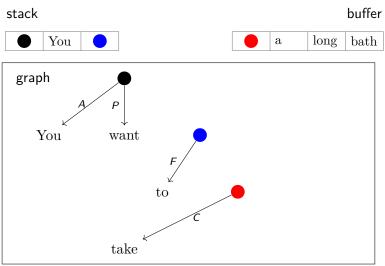


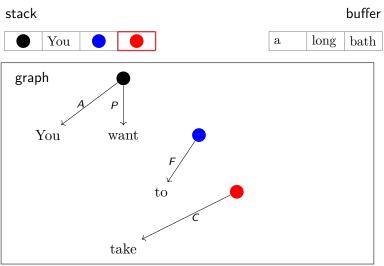


 $\Rightarrow \text{Node}_{C}$ 

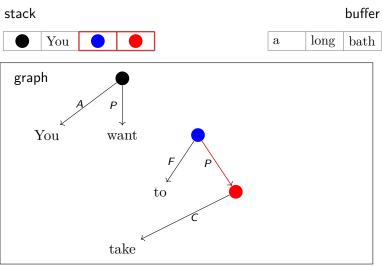


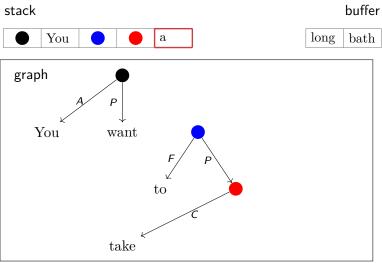
 $\Rightarrow$  Reduce



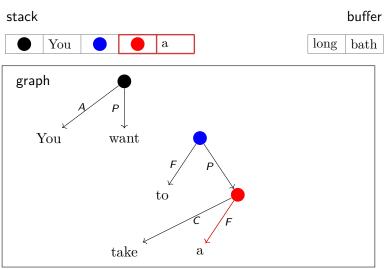


 $\Rightarrow$  RIGHT-EDGE<sub>P</sub>

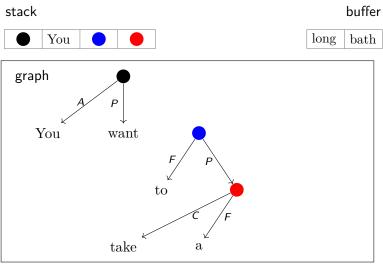


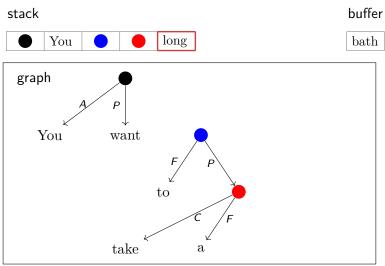


 $\Rightarrow$  Right-Edge<sub>F</sub>

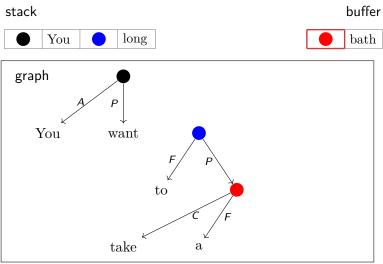


#### $\Rightarrow$ Reduce

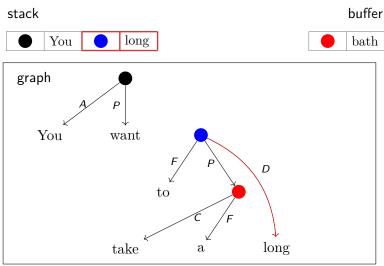




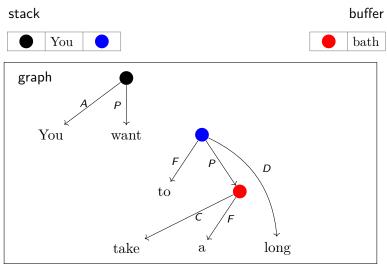
 $\Rightarrow$  Swap



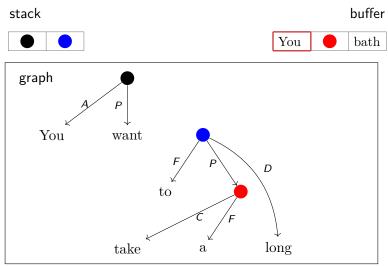
 $\Rightarrow$  RIGHT-EDGE<sub>D</sub>



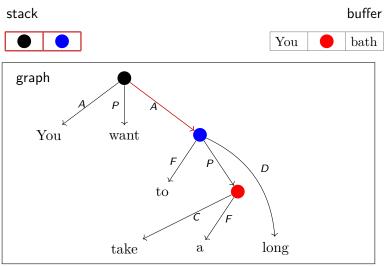
 $\Rightarrow$  Reduce



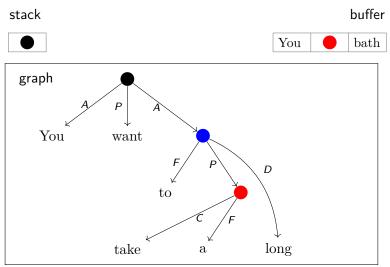
 $\Rightarrow$  SWAP



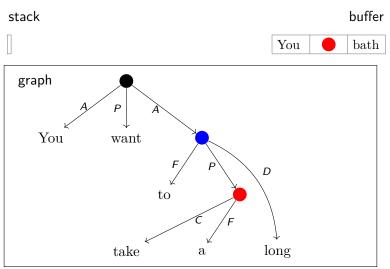
 $\Rightarrow$  RIGHT-EDGE<sub>A</sub>



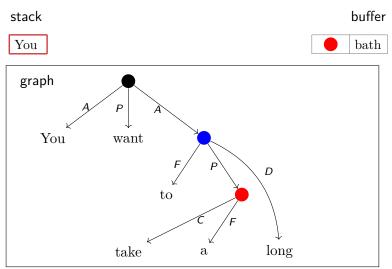
#### $\Rightarrow$ Reduce



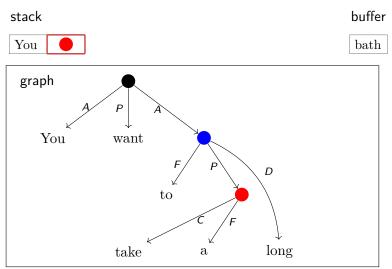
 $\Rightarrow$  Reduce



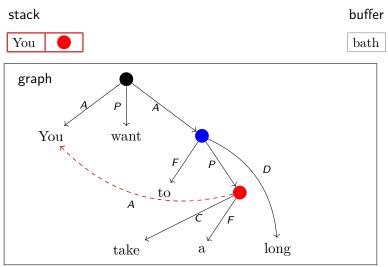
 $\Rightarrow$  Shift



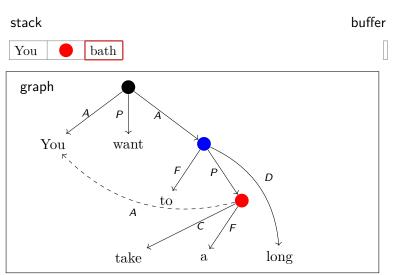
 $\Rightarrow$  Shift



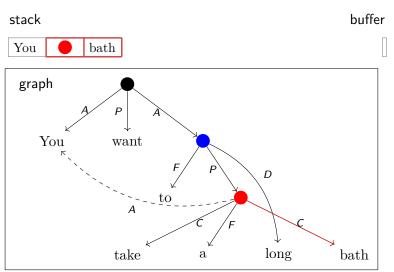
### $\Rightarrow$ Left-Remote<sub>A</sub>



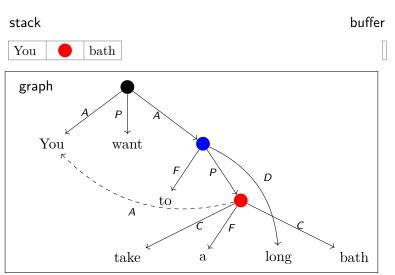
 $\Rightarrow$  Shift



 $\Rightarrow \text{Right-Edge}_{C}$ 

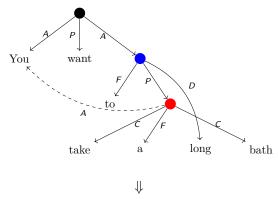


 $\Rightarrow$  Finish



## **Training**

An *oracle* provides the transition sequence given the correct graph:



SHIFT, RIGHT-EDGE<sub>A</sub>, SHIFT, SWAP, RIGHT-EDGE<sub>P</sub>, REDUCE, SHIFT, SHIFT, NODE<sub>F</sub>, REDUCE, SHIFT, SHIFT, NODE<sub>C</sub>, REDUCE, SHIFT, RIGHT-EDGE<sub>P</sub>, SHIFT, RIGHT-EDGE<sub>F</sub>, REDUCE, SHIFT, SWAP, RIGHT-EDGE<sub>D</sub>, REDUCE, SWAP, RIGHT-EDGE<sub>A</sub>, REDUCE, REDUCE, SHIFT, SHIFT, LEFT-REMOTE<sub>A</sub>, SHIFT, RIGHT-EDGE<sub>C</sub>, FINISH

Learn to greedily predict transition based on current state. Experimenting with three classifiers:

**Sparse** Perceptron with sparse features.

 $\begin{tabular}{ll} \textbf{MLP} & Embeddings + feedforward NN classifier. \end{tabular}$ 

 $\textbf{BiLSTM} \quad \text{Embeddings} + \text{deep bidirectional LSTM} + \text{MLP}$ 

(Kiperwasser and Goldberg, 2016).

Features: words, POS, syntactic dependencies, existing edge labels from the stack and buffer + parents, children, grandchildren; ordinal features (height, number of parents and children)

stack buffer



Learn to greedily predict transition based on current state. Experimenting with three classifiers:

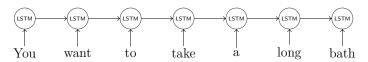
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Effective "lookahead" encoded in the representation.



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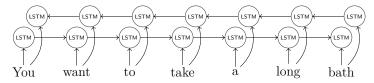
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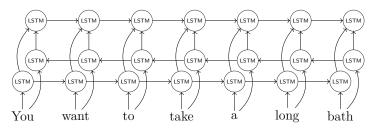
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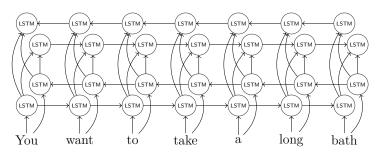
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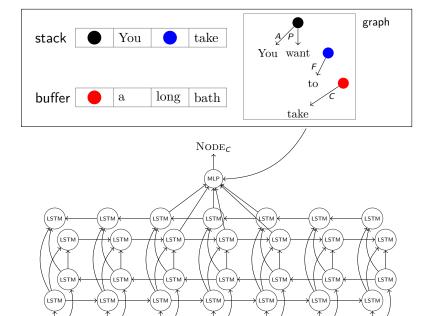
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(Kiperwasser and Goldberg, 2016).





take

to

You

want

long

## **Experiments**

## **Experimental Setup**

- UCCA Wikipedia corpus (4268 + 454 + 503 sentences).
- Out-of-domain: English part of English-French parallel corpus, Twenty Thousand Leagues Under the Sea (506 sentences).



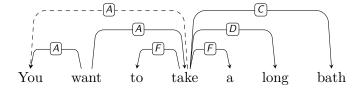
#### **Baselines**

No existing UCCA parsers  $\Rightarrow$  conversion-based approximation. Bilexical DAG parsers (allow reentrancy):

- DAGParser (Ribeyre et al., 2014): transition-based.
- TurboParser (Almeida and Martins, 2015): graph-based.

Tree parsers (all transition-based):

- MaltParser (Nivre et al., 2007): bilexical tree parser.
- Stack LSTM Parser (Dyer et al., 2015): bilexical tree parser.
- UPARSE (Maier, 2015): allows non-terminals, discontinuity.

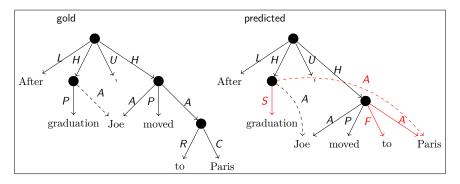


UCCA bilexical DAG approximation (for tree, delete remote edges).

### **Evaluation**

Comparing graphs over the same sequence of tokens,

- Match edges by their terminal yield and label.
- Calculate labeled precision, recall and F1 scores.
- Separate primary and remote edges.



Primary: 
$$\frac{LP}{\frac{6}{9} = 67\%} \frac{6}{10} = 60\% 64\%$$

Remote: 
$$\frac{\text{LP}}{\frac{1}{2} = 50\%} \frac{\text{LR}}{\frac{1}{1} = 100\%} \frac{\text{LF}}{67\%}$$

### Results

TUPA<sub>BiLSTM</sub> obtains the highest F-scores in all metrics:

	Primary edges			Remote edges		
	LP	LR	LF	LP	LR	LF
TUPA <sub>Sparse</sub>	64.5	63.7	64.1	19.8	13.4	16
TUPA <sub>MLP</sub>	65.2	64.6	64.9	23.7	13.2	16.9
$TUPA_{BiLSTM}$	74.4	72.7	73.5	47.4	51.6	49.4
Bilexical DAG			(91)			(58.3)
DAGParser	61.8	55.8	58.6	9.5	0.5	1
TurboParser	57.7	46	51.2	77.8	1.8	3.7
Bilexical tree			(91)			_
MaltParser	62.8	57.7	60.2	_	_	_
Stack LSTM	73.2	66.9	69.9	_	_	_
Tree			(100)			_
UPARSE	60.9	61.2	61.1	_	_	_

Results on the Wiki test set.

### Results

### Comparable on out-of-domain test set:

	Primary edges			Remote edges		
	LP	LR	LF	LP	LR	LF
TUPA <sub>Sparse</sub>	59.6	59.9	59.8	22.2	7.7	11.5
TUPA <sub>MLP</sub>	62.3	62.6	62.5	20.9	6.3	9.7
$TUPA_{BiLSTM}$	68.7	68.5	68.6	38.6	18.8	25.3
Bilexical DAG			(91.3)			(43.4)
DAGParser	56.4	50.6	53.4	_	0	0
TurboParser	50.3	37.7	43.1	100	0.4	8.0
Bilexical tree			(91.3)			_
MaltParser	57.8	53	55.3	_	_	_
Stack LSTM	66.1	61.1	63.5	_	_	_
Tree			(100)			_
UPARSE	52.7	52.8	52.8	_	_	_

Results on the 20K Leagues out-of-domain set.



#### Conclusion

- UCCA's semantic distinctions require a graph structure including non-terminals, reentrancy and discontinuity.
- TUPA is an accurate transition-based UCCA parser, and the first to support UCCA and any DAG over the text tokens.
- Outperforms strong conversion-based baselines.

Code: https://github.com/danielhers/tupa

Demo: http://bit.ly/tupademo

Corpora: http://www.cs.huji.ac.il/~oabend/ucca.html



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#### Future Work:

- More languages (German corpus construction is underway).
- Parsing other schemes, such as AMR.
- Text simplification, MT evaluation and other applications.

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Thank you!



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# Backup

# **UCCA** Corpora

		20K					
	Train	Dev	Test	Leagues			
# passages	300	34	33	154			
# sentences	4268	454	503	506			
# nodes	298,993	33,704	35,718	29,315			
% terminal	42.96	43.54	42.87	42.09			
% non-term.	58.33	57.60	58.35	60.01			
% discont.	0.54	0.53	0.44	0.81			
% reentrant	2.38	1.88	2.15	2.03			
# edges	287,914	32,460	34,336	27,749			
% primary	98.25	98.75	98.74	97.73			
% remote	1.75	1.25	1.26	2.27			
Average per non-terminal node							
# children	1.67	1.68	1.66	1.61			

Corpus statistics.

#### **Evaluation**

Mutual edges between predicted graph  $G_p = (V_p, E_p, \ell_p)$  and gold graph  $G_g = (V_g, E_g, \ell_g)$ , both over terminals  $W = \{w_1, \dots, w_n\}$ :

$$M(G_p, G_g) = \{(e_1, e_2) \in E_p \times E_g \mid y(e_1) = y(e_2) \wedge \ell_p(e_1) = \ell_g(e_2)\}$$

The yield  $y(e) \subseteq W$  of an edge e = (u, v) in either graph is the set of terminals in W that are descendants of v.  $\ell$  is the edge label.

Labeled precision, recall and F-score are then defined as:

$$\mathsf{LP} = \frac{|M(G_p, G_g)|}{|E_p|}, \quad \mathsf{LR} = \frac{|M(G_p, G_g)|}{|E_g|},$$

$$\mathsf{LF} = \frac{2 \cdot \mathsf{LP} \cdot \mathsf{LR}}{\mathsf{LP} + \mathsf{LR}}.$$

Two variants: one for primary edges, and another for remote edges.



## Bilexical Graph Approximation

- 1. Convert UCCA to bilexical dependencies.
- 2. Train bilexical parsers and apply to test sentences.
- 3. Reconstruct UCCA graphs and compare with gold standard.

