# On the Feasibility of Creating Double-Identity Fingerprints

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Abstract—A double-identity fingerprint is a fake fingerprint created by combining features from two different fingers, so that it has a high chance to be falsely matched with fingerprints from both fingers. This paper studies the feasibility of creating double-identity fingerprints by proposing two possible techniques and evaluating to what extent they may be used to fool the state-of-the-art fingerprint recognition systems. The results of systematic experiments suggest that existing algorithms are highly vulnerable to this specific attack (about 90% chance of success at FAR = 0.1%) and that the fingerprint patterns generated might be realistic enough to fool human examiners.

Index Terms—Double-identity fingerprints, presentation attacks, ABC systems, minutiae, ridge-line orientations and frequencies.

## I. Introduction

OCUMENT fraud has always been a key enabler for organized crime and terrorism. In the last decade, the security of e-MRTD (i.e., electronic Machine Readable Travel Documents) have been greatly improved by embedding electronic features (i.e. chips and encryption) that makes forgery very hard. Further, biometric modalities such as face and fingerprints have been adopted to link a document (i.e., a passport) to its legitimate owner [1].

While the high uniqueness of biometrics traits should ensure a 1-1 link between a document and an individual, recent studies demonstrated the feasibility of enrolling a double-identity biometrics in e-MRTD with the aim to link a single documents to two subjects. The attack described in [2] consists of enrolling a face image which is the result of a two persons morphing, so that at verification time (i.e. transit through an Automatic Border Control gate) the two persons can share the same document. Enrolling a double–identity face image is highly achievable since: i) in several countries face acquisition is not live but relies on printed face photo provided by the citizens; ii) officers cannot easily detect morphed faces (see experiments reported in [3]).

This work is aimed at studying the feasibly of creating double-identity fingerprints to carry out an attack similar to that described in [2]. In fact, even if (unlike face) fingerprint enrolment is always live (i.e., fingerprints are collected at the

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enrollment station), a well manufactured fake fingertip may be presented to the scanner if the enrolment procedure is not carefully supervised by an officer (often for logistic reasons the fingerprint scanner is beyond a glass and is not directly visible to the officer).

An inspiring work on this topic was carried out by Günter Schumacher, Jan Löschner and Javier Galbally at the European Commission Joint Research Center in Ispra, who first proved the feasibility of creating double-identity fingerprints<sup>1</sup>; however, since the creation approach used was manual, their conclusions are based on a small number of samples, and more systematic evaluations are necessary.

The idea of fingerprint combination was also explored by Othman and Ross in [4] with the aim of creating new virtual identities useful to preserve user privacy (by partially obscuring information) and to generate cancellable templates. It is worth noting that the aim of our technique is exactly the contrary: in fact, our double-identity fingerprint should produce a high comparison score with the native fingers, while Othman and Ross mixed fingerprints are designed to yield a low similarity score when compared with the original biometrics.

A double-identity fingerprint should meet two requirements: i) features should be combined in such a way that state-of-the-art fingerprint recognition algorithm wrongly attribute the resulting fingerprint to both subjects; ii) it should be visually realistic (i.e., without evident artifacts) to deceive the officer attending the enrolment (who normally has a live visual feedback of the user fingerprint).

Two different approaches (both addressed in this study) could be used to combine fingerprints:

- Feature-level: starts by local orientations, frequencies and minutiae derived from original fingerprints and then generates a synthetic fingerprint image.
- *Image-level*: directly blends portion of original fingerprint images.

The main contributions of this work are:

- Development of novel fully automatic approaches to combine two fingerprints at feature level and image-level;
- Comparison of feature- and image-level approaches;
- Systematic evaluation of the double-identity fingerprint attack in a verification scenario typical of an Automatic Border Control (ABC) system.

The rest of this paper is organized as follows. Section II describes the procedures to create double-identity finger-prints; Section III reports and comments the experimental

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<sup>&</sup>lt;sup>1</sup>The outcome of this research is described in a technical report that is not publicly available.

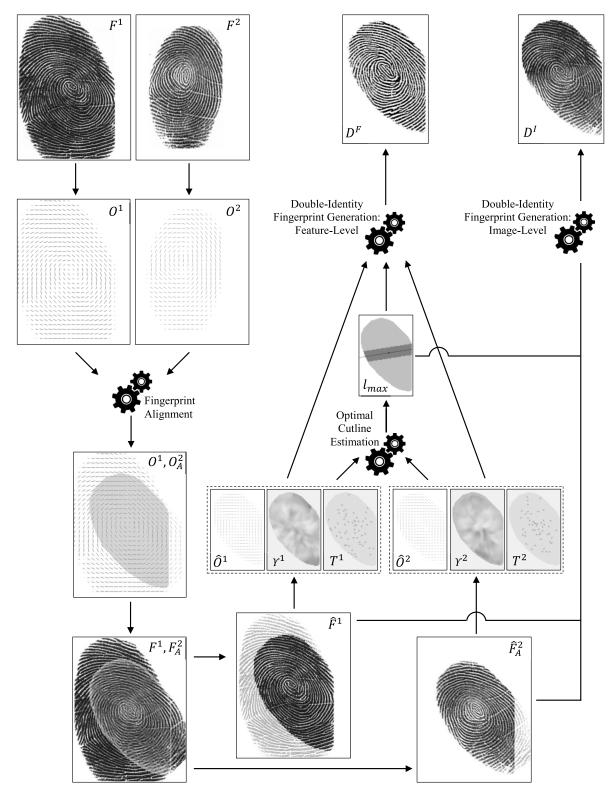


Fig. 1. Functional schema of the double-identity fingerprint creation process.

results obtained; finally Section IV draws some concluding remarks.

# II. DOUBLE-IDENTITY FINGERPRINT CREATION PROCESS

The aim of this process is to create a new fingerprint that includes features (i.e., minutiae, local orientations and frequencies) of two different fingers.

Given two fingerprints  $F^1$  and  $F^2$  from two different fingers, the following steps are carried out to produce the new fingerprint (Fig. 1):

- A. The two fingerprints are superimposed and the best alignment is computed.
- B. The optimal cutline is determined by maximizing the ridge pattern similarity nearby the cut.

C. The new double-identity fingerprint is generated.

The above steps are described in detail in the following sections. Table III in the Appendix provides a summary of the symbols used throughout this paper.

# A. Fingerprint Alignment

The proposed technique aligns the two fingerprints by maximizing, for any reasonable translation and rotation, the ridge orientation similarity in their intersections.

The local orientations  $O^1$  and  $O^2$  (Fig. 1) of the two fingerprints are estimated blockwise (in steps of  $b_{size}$  pixels), along horizontal and vertical axes, by applying the gradient-based technique proposed in [5] with an averaging window of  $w_{size} \times w_{size}$  pixels. Each orientation element  $o_{i,j} = (\theta_{i,j}, r_{i,j})$  consists of an angle  $\theta_{i,j} \in [0, \pi[$  and a value  $r_{i,j} \in [0, 1]$ , denoting the reliability of the estimation  $(r_{i,j}]$  is zero for elements belonging to the background region).

In general, the similarity between two local orientation images can be computed as follow:

$$S\left(O^{1}, O^{2}\right) = \frac{\sum_{(i,j)\in\left(V_{O^{1}}\cap V_{O^{2}}\right)}\left(r_{i,j}^{1} + r_{i,j}^{2}\right) \cdot \psi\left(\theta_{i,j}^{1}, \theta_{i,j}^{2}\right)}{\sum_{(i,j)\in\left(V_{O^{1}}\cap V_{O^{2}}\right)}\left(r_{i,j}^{1} + r_{i,j}^{2}\right)},$$
(1)

where  $\psi(\theta_1, \theta_2)$  is the similarity between two orientation angles  $\theta_1, \theta_2$ :

$$\psi(\theta_1, \theta_2) = 1 - \frac{2 \cdot |\theta_1 - \theta_2|}{\pi},$$
 (2)

and  $V_O$  contains the coordinates of foreground orientation elements of the local orientation image O.

$$V_O = \{ (i, j) | o_{i,j} \in O \land r_{i,j} > 0 \}.$$
 (3)

In order to find the best alignment, all possible translations (in steps of  $b_{size}$  pixels) and rotations (in steps of  $\delta_{\gamma}$ ) of  $O^2$  with respect  $O^1$  are evaluated (Fig. 1) by maximizing the similarity (Eq. (1)) between  $O^1$  and the aligned  $O^2$ . Moreover, to discard alignments with very small overlapping, the following condition is enforced:

$$\frac{\left|V_{O^{1}} \cap V_{O^{2}}\right|}{\max\left(\left|V_{O^{1}}\right|, \left|V_{O^{2}}\right|\right)} \ge \min_{VR}.\tag{4}$$

# B. Optimal Cutline Estimation

The optimal cutline is determined by attempting to simultaneously achieve the following objectives:

- 1. maximize the similarity of ridge pattern in the neighborhood of the cutline itself,
- 2. preserve a sufficient number of minutiae from both the original fingerprints.

The former objective is aimed at generating a fingerprint pattern that looks realistic to the human eye near the cutline (i.e., the most critical region). The latter helps to create a fingerprint that has a high chance to be matched with fingerprints from both the fingers.

Let  $(dx^*, dy^*, \gamma^*)$ , be the best translation and rotation parameters computed as described in the previous section, then:

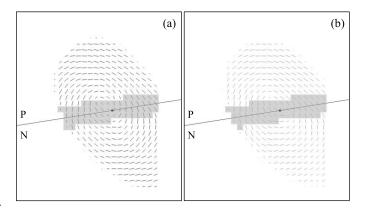


Fig. 2. Detail of the intersection regions between the two aligned local orientations  $O^1$  (a) and  $O^2_A$  (b) in Fig. 1. The orientation elements used to compute  $S_O$  are highlighted. P and N represent the positive and the negative side with respect to the cutline  $l_{max}$ .

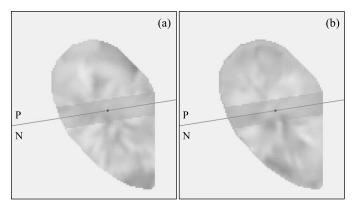


Fig. 3. Detail of the local ridge-line frequencies  $\Upsilon^1$  (a) and  $\Upsilon^2$  (b) estimated from the intersection regions in Fig. 1. Light blocks denote higher frequencies. The elements used to compute  $S_{\nu}$  are highlighted. P and N represent the positive and the negative side with respect to the cutline  $l_{max}$ .

- $F_A^2$  and  $O_A^2$  are obtained by aligning fingerprint  $F^2$  and its local orientations  $O^2$  according to  $(dx^*, dy^*, \gamma^*)$ , respectively (Fig. 1).
- $\hat{F}^1$  and  $\hat{F}^2$  are the intersection regions of  $F^1$  and  $F_A^2$ , respectively (Fig. 1).
- $\hat{O}^1$  and  $\hat{O}^2$  are the intersection regions of  $O^1$  and  $O_A^2$ , respectively (Fig. 2).

The local ridge-line frequencies  $\Upsilon^1$  and  $\Upsilon^2$  of  $\hat{F}^1$  and  $\hat{F}^2$  are estimated as described in [5] (Fig. 3). Each frequency element is a value  $v_{i,j} \in R$ , denoting the inverse of the average ridge-line period estimated in a neighborhood.

Minutiae templates  $T^1$  and  $T^2$  are extracted from

Minutiae templates  $T^1$  and  $T^2$  are extracted from  $\hat{F}^1$  and  $\hat{F}^2$ , respectively, using the algorithm described in [6] (Fig. 4). Each minutia m is a quadruple  $m = \{x_m, y_m, \theta_m, t_m, where <math>x_m$  and  $y_m$  are the minutia location,  $\theta_m$  is the minutia direction and  $t_m$  is the minutia type (i.e., termination or bifurcation).

Let  $\rho = (\rho_x, \rho_y)$  be the barycenter of the intersection region; the line l passing through p with angle  $\beta$  is defined as:

$$a_{l} \cdot x + b_{l} \cdot y + c_{l} = 0$$

$$a_{l} = \sin(\beta), \quad b_{l} = \cos(\beta),$$

$$c_{l} = -\rho_{x} \cdot \sin(\beta) - \rho_{y} \cdot \cos(\beta).$$
(5)

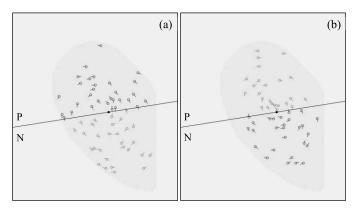


Fig. 4. Detail of the minutiae extracted  $T^1$  (a) and  $T^2$  (b) from the intersection regions in Fig. 1. P and N represent the positive and the negative side with respect to the cutline  $I_{max}$ . The dark and gray minutiae are those used in Eq. (11) to compute  $\zeta_m(T^1, T^2)$  and  $\zeta_m(T^2, T^1)$ , respectively.

For each angle  $\beta \in [0, \pi[$  (in steps of  $\delta_{\beta}$ ), the following score is computed:

$$S_c = \omega_o \cdot S_o + \omega_v \cdot S_v + \omega_m \cdot S_m, \tag{6}$$

where:

- $S_o$  and  $S_v$  measure the similarity of the ridge orientations and frequencies, respectively, nearby the cutline l (with the aim of generating a pattern realistic to the human eye);
- *S<sub>m</sub>* is a score derived from the two minutiae templates (described in the following paragraphs) with the aim of generating a fingerprint that matches with both fingers;
- $\omega_o, \omega_v, \omega_m \in [0, 1], \omega_o + \omega_v + \omega_m = 1$  are three weighting factors.

$$S_o = \frac{\sum_{(i,j)\in C} \left(r_{i,j}^1 + r_{i,j}^2\right) \cdot \psi\left(\theta_{i,j}^1, \theta_{i,j}^2\right)}{\sum_{(i,j)\in C} \left(r_{i,j}^1 + r_{i,j}^2\right)},\tag{7}$$

$$S_{\nu} = \frac{\sum_{(i,j)\in C} \left(1 - \frac{\left|v_{i,j}^{1} - v_{i,j}^{2}\right|}{(max_{F} - min_{F})}\right)}{|C|}.$$
 (8)

C contains the element coordinates whose distance from l is less or equal to  $d_{max}$  and where both local orientation images present non-null elements (see the highlighted regions in Fig. 2 and Fig. 3):

$$C = \{(i, j) | (i, j) \in (V_{\hat{O}^1} \cap V_{\hat{O}^2_A}) \land dist_l(i, j) \le d_{max}\},\$$
(9)

$$dist_{l}(x, y) = \frac{|a_{l} \cdot x + b_{l} \cdot y + c_{l}|}{\sqrt{a_{l}^{2} + b_{l}^{2}}},$$
(10)

$$S_m = \max\left(\zeta_m\left(T^1, T^2\right), \zeta_m\left(T^2, T^1\right)\right),\tag{11}$$

$$\zeta_m(A, B) = \frac{Z(|A|_l^P, \mu_m, \tau_m) + Z(|B|_l^N, \mu_m, \tau_m)}{2}, \quad (12)$$

where  $|T|_l^P$  and  $|T|_l^N$  denote the cardinalities of the minutiae in T that fall in the positive or negative side of line l,

respectively (see Fig. 4):

$$|T|_l^P = \left| \left\{ m \in T | \phi_l \left( m_x, m_y \right) \ge 0 \right\} \right|, \tag{13}$$

$$|T|_l^N = \left| \left\{ m \in T | \phi_l \left( m_x, m_y \right) < 0 \right\} \right|. \tag{14}$$

Z is a sigmoid function, controlled by two parameters  $(\mu_m \text{ and } \tau_m)$ , that limits the contribution of the cardinality operator  $(|\cdot|)$ , and ensures that the final value is in the range [0, 1]. The sigmoid function is defined as:

$$Z(v, \mu, \tau) = \frac{1}{1 + e^{-\tau(v - \mu)}}.$$
 (15)

Finally, the line  $l_{max}$  with the maximum score  $S_c$  is selected as the cutline.

## C. Double-Identity Fingerprint Generation

Two different approaches for generating double-identity fingerprints are described. Starting from the information computed in Sections II-A and II-B, the former approach creates a new synthetic fingerprint starting from combined local orientations, frequencies and minutiae, while the latter produces a new fingerprint by directly blending the two original fingerprints.

1) Feature-Level Approach: As described in [7] and [8], a realistic fingerprint can be synthetically reconstructed starting from the information available in a standard minutiae template and attempting to estimate various aspects of the original unknown fingerprint (i.e., fingerprint area, local orientations and frequencies).

Given the cutline  $l_{max}$ , the fingerprint information used to reconstruct the positive (p) and the negative (n) portions of the new image is selected, on the basis of the resulting number of minutiae (see Eq. (12)), as follows:

$$(p,n) = \begin{cases} (1,2) & \text{if } \zeta_m \left( T^1, T^2 \right) \ge \zeta_m \left( T^2, T^1 \right) \\ (2,1) & \text{otherwise.} \end{cases}$$
 (16)

The double-identity local orientations  $\tilde{O}$ , frequencies  $\tilde{\Upsilon}$  and minutiae template  $\tilde{T}$  (see Fig. 5) are then computed by merging the corresponding positive and negative portions:

$$\tilde{O}(x,y) = w_{x,y}^{l_{max}} \cdot \hat{O}^{p}(x,y) + \left(1 - w_{x,y}^{l_{max}}\right) \cdot \hat{O}^{n}(x,y),$$
(17)

$$\tilde{\Upsilon}(x,y) = w_{x,y}^{l_{max}} \cdot \Upsilon^{p}(x,y) + \left(1 - w_{x,y}^{l_{max}}\right) \cdot \Upsilon^{n}(x,y),$$
(18)

$$\tilde{T} = \left\{ m \in T^p, \phi_{l_{max}} \left( m_x, m_y \right) \ge 0 \right\}$$

$$\cup \left\{ m \in T^n, \phi_{l_{max}} \left( m_x, m_y \right) < 0 \right\}, \quad (19)$$

where  $w_{x,y}^{l_{max}} \in [0, 1]$  is a weighting factor to balance the blending nearby the cutline  $l_{max}$ :

$$w_{x,y}^{l_{max}} = \begin{cases} 1 - \max\left(0, \frac{d_{max} - dist_{l_{max}}(x, y)}{2 \cdot d_{max}}\right) \\ \text{if } a_{l_{max}} \cdot x + b_{l_{max}} \cdot y + c_{l_{max}} \ge 0 \\ \max\left(0, \frac{d_{max} - dist_{l_{max}}(x, y)}{2 \cdot d_{max}}\right) \text{ otherwise.} \end{cases}$$
(20)

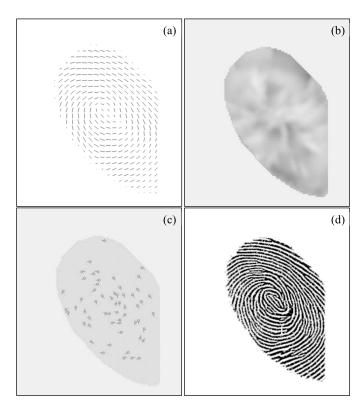


Fig. 5. Local orientations  $\tilde{O}$  (a), frequencies  $\tilde{\Upsilon}$  (b) and minutiae  $\tilde{T}$  (c), derived from the fingerprints in Fig. 1 used to synthetically generate the double-identity fingerprint  $D^F$  (d).

Note that, to avoid angle circularity problems [9], the computation of angles  $\tilde{O}$  (17) is actually performed as explained in [10] (i.e., by doubling the angles and summing x and y components separately).

Finally, the double-identity fingerprint  $D^F$  (see Fig. 5.d) is synthetically generated by the method proposed in [7], using  $\tilde{O}$ ,  $\tilde{\Upsilon}$  and  $\tilde{T}$  as input.

2) Image-Level Approach: The double-identity fingerprint is generated by fusing  $\hat{F}^1$  and  $\hat{F}^2$  according to the cutline  $l_{max}$ . Let  $\hat{F}^p$  and  $\hat{F}^n$  be the positive and the negative aligned original fingerprints (with respect to  $l_{max}$ ), respectively, selected on the basis of the resulting number of minutiae (see Eq. (16)), the double-identity fingerprint  $D^I$  (see Fig. 6) is then generated

$$D^{I}(x, y) = w_{x, y}^{l_{max}} \cdot \hat{F}^{p}(x, y) + \left(1 - w_{x, y}^{l_{max}}\right) \cdot \hat{F}^{n}(x, y).$$
(21)

## III. EXPERIMENTAL EVALUATION

This section describes the experiments carried out to evaluate the possibility of success of the proposed attack by estimating the behaviour of automatic fingerprint recognition in presence of double-identity fingerprints.

# A. Database and Testing Protocol

The experiments have been carried out on the FVC2002 DB1 database [11], containing 800 fingerprints from 100 fingers (8 impressions per finger) captured at 500dpi using the optical scanner "TouchView II" by Identix. This dataset has been selected because of its clean background, that is typical of high quality scanners used for electronic document enrolment.



Fig. 6. Double-identity fingerprint  $D^I$  generated starting from the fingerprints in Fig. 1 using the image-level approach.

For each generation approach, 100 double-identity fingerprints (see examples reported in Fig. 7) have been produced as follows:

- 1) The first impression  $F_i$  of each finger i is aligned (see Section II-A) with the first impression of 10 other randomly chosen fingers and the optimal cutline is computed as described in Section II-B. The first impression  $F_j$  of finger j ( $j \neq i$ ) presenting the maximum ridge pattern similarity score  $S_c$  with  $F_i$  (see Eq. (6)) is selected as the optimal companion for fusion. We limited to 10 the size of the search set to prove that finding a reasonably good companion fingerprint for creating an effective double-identity fingerprint is quite simple.
- 2)  $F_i$  and  $F_j$  are fused into a new fingerprint  $(D_{i,j}^F)$  or  $D_{i,j}^I$  following the procedures described in Section II-C.

Finally, the following comparisons are performed:

- genuine each fingerprint is compared against the remaining ones of the same finger. If fingerprint  $F_A$  is compared against  $F_B$ , the symmetric comparison is not executed to avoid correlation in the scores. The total number of genuine comparisons is 2800.
- impostor the first impression of each finger is compared against the first impression of the remaining fingers. If fingerprint  $F_A$  is compared against  $F_B$ , the symmetric comparison is not executed to avoid correlation in the scores. The total number of impostor comparisons is 4950.
- double-identity each double-identity fingerprint  $(D_{i,j}^F \text{ or } D_{i,j}^I)$  is compared against all other seven impressions of fingers i and j. The total number of double-identity comparisons is 1400 for each generation approach.

Table I reports the parameter values used; all parameters have been calibrated on a separate data set containing 80 fingerprints from 10 fingers (8 impressions per finger). The calibration procedure consisted in an exhaustive search over a reasonable range of values.

# B. Automatic Fingerprint Recognition SDKs Evaluated

The experiments have been conducted using two stateof-the-art fingerprint recognition SDKs: the Neurotechnology

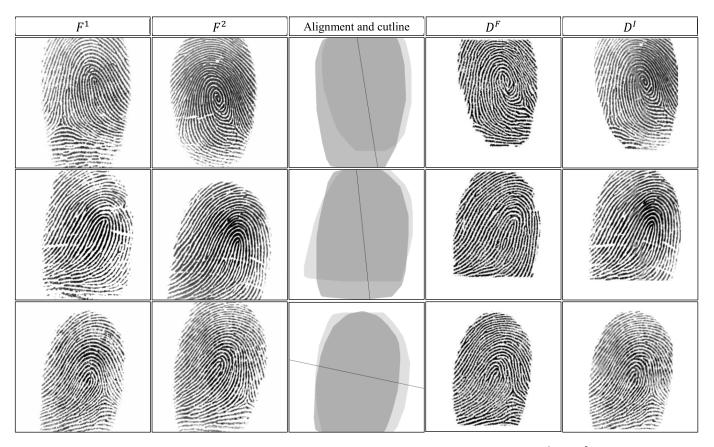


Fig. 7. Double-identity fingerprints: the results obtained with three fingerprint couples. Two input images (columns  $F^1$  and  $F^2$ ), the corresponding best alignment and the optimal cutline, and the resulting feature- and image-level double-identity fingerprints (columns  $D^F$  and  $D^I$ ) are reported for each row.

 $\label{eq:TABLE} \textbf{I}$  Parameter Values Used in the Experimentation

| Parameter(s)                         | Description  | Value   |
|--------------------------------------|--|---|
| $b_{size}$ , $w_{size}$              | Block and window size used in local orientation and frequency estimation (in pixel)              | 4, 23   |
| $\delta_{\gamma}$                    | Rotation step used when searching for the best alignment   | $\frac{\pi}{36}$                              |
| $min_{VR}$                           | Minimum overlapping between two local orientation images to be a candidate for a valid alignment | 0.6   |
| $\delta_{eta}$                       | Rotation step used during the optimal line estimation  | $\frac{\pi}{60}$                              |
| $\omega_o$ , $\omega_v$ , $\omega_m$ | Weight parameters in (6)   | $\frac{1}{3}$ , $\frac{1}{3}$ , $\frac{1}{3}$ |
| $min_F, max_F$                       | Minimum and maximum frequency in (8)   | $\frac{1}{15}$ , $\frac{1}{5}$                |
| $d_{max}$                            | Maximum distance used to define the neighborhood of the cutline (in pixel)                       | 30  |
| $\mu_m$ , $\tau_m$                   | Sigmoid parameters in (12)   | $15, \frac{3}{10}$                            |

VeriFinger SDK v6.0 (VF) [12] and the Minutia Cylinder-Code SDK v2.0 (MCC) [13], [14]. Since the MCC SDK works directly with minutiae templates, the minutiae extraction algorithm described in [6] has been used to create minutiae templates.

THRESHOLDS TO ACHIEVE DIFFERENT VALUES OF FAR FOR BOTH SDKs

| SDK |        | FAR (%) |        |
|-----|--------|---------|--------|
| SDK | 1      | 0.1     | 0.01   |
| VF  | 24     | 36      | 48     |
| MCC | 0.1083 | 0.1205  | 0.1329 |

In order to simulate a realistic attack to an ABC system, the operational thresholds of both fingerprint recognition software have been set according to the FRONTEX guidelines [15], [16]. In particular, for ABC systems operating in verification mode, the fingerprint verification algorithm has to ensure a False Acceptance Rate (FAR) equal to 0.1% and a False Rejection Rate (FRR) lower than 3%. VF provides the corresponding score thresholds in its documentation, whereas for MCC, the score thresholds have been computed on the basis of about 110000 impostor comparisons performed on a disjoint database.

Table II reports score thresholds for both SDKs and different values of FAR.

# C. Results

Fig. 8 and Fig. 9 show the score distribution graphs for VF and MCC, respectively. Fig. 10 and Fig. 11 report the values of Double-identity Acceptance Rate (DAR) and FRR at different values of FAR for VF and MCC SDKs, respectively. It is quite evident that for both the SDK the majority of attack

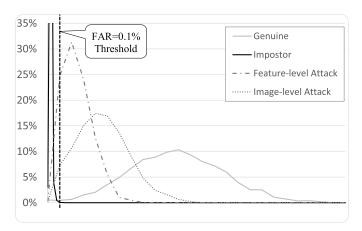


Fig. 8. The VF score distribution graph on the FVC2002 DB1 dataset.

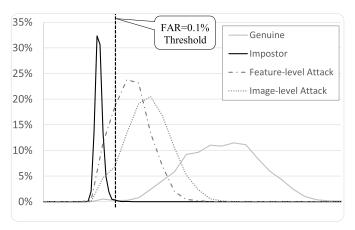


Fig. 9. The MCC score distribution graph on the FVC2002 DB1 dataset.

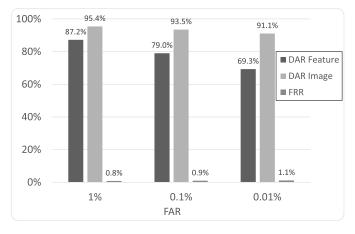


Fig. 10. DAR and FRR values computed at different levels of FAR for VF SDK on the FVC2002 DB1 dataset.

scores are higher than the FRONTEX recommended thresholds corresponding to FAR = 0.1%.

While MCC seems to be slightly more robust than VF (compare DAR's in Fig. 10 and Fig. 11), both algorithms demonstrate high vulnerability to double-identity fingerprint attacks.

The image-level approach proved to be more effective than feature-level approach in terms of percentage of successful attacks. This behavior is probably due to the particular nature

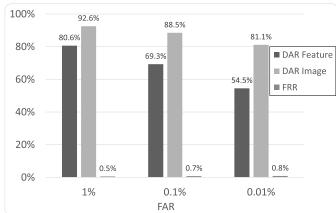


Fig. 11. DAR and FRR values computed at different levels of FAR for MCC SDK on the FVC2002 DB1 dataset.

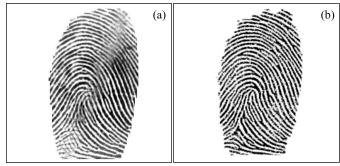


Fig. 12. Example of a double-identity fingerprint where the cutline and the blending region are more evident in the image-level result (a), than in the feature-level one (b). In particular, note the presence of orthogonal ridge lines in the bottom left corner of (a).

of the synthetic generation technique used in the feature-level approach [7]. In fact, in order to generate a realistic pattern, it involves an iterative filtering procedure that may cause some original minutiae to be slightly shifted or completely removed, or false minutiae to be introduced. On the other hand, the image-level approach exactly preserves the positions of all the minutiae that are not close to the cutline, often resulting in a higher chance of successful attacks. Furthermore, the image-level approach allows to generate more realistic images (compare the last two columns of Fig. 7), even if in a few cases  $D_{i,j}^I$  presents more artifacts than  $D_{i,j}^F$  (see Fig. 12).

# IV. CONCLUSION

In this paper we introduced two automated approaches to create double-identity fingerprints by combining two real ones. As discussed in [2] and [3], two individuals, a criminal and an accomplice (with no criminal record) could combine their fingerprints and introduce the resulting double-identity fingerprint in an electronic document to be used (by both persons) to pass Automatic Border Control gates. We proved that two state-of-the-art fingerprint recognition algorithms are highly vulnerable to this specific attack (about 90% chance of success at FAR = 0.1%) and we guess that the majority of existing fingerprint recognition algorithms are vulnerable as well.

TABLE III
DESCRIPTIONS OF SYMBOLS USED IN THIS PAPER

| Symbol   | Description   | First introduced in Section |
|--|---|-----------------------------|
| $F^1, F^2$                                     | The two fingerprints (from two different fingers) to be mixed   | II                          |
| $0^1, 0^2$                                     | Local orientation maps of $F^1$ and $F^2$   |                             |
| $b_{size}$                                     | Block size used in local orientation and frequency estimation   |                             |
| $w_{size}$                                     | Window size for local orientation and frequency estimation  |                             |
| $o_{i,j} = \left(\theta_{i,j}, r_{i,j}\right)$ | An orientation element $[i,j]$ with ridge orientation $\theta_{i,j}$ and reliability $r_{i,j}$  |                             |
| $S(O^1, O^2)$                                  | Similarity between two local orientation images   | II.A                        |
| $\psi(\theta_1,\theta_2)$                      | Similarity between two orientation angles $\theta_1, \theta_2$  |                             |
| $V_{O}$  | Coordinates of foreground orientation elements of <i>O</i>  |                             |
| $\delta_{\gamma}$                              | Rotation step used when searching for the best alignment  |                             |
| $min_{VR}$                                     | Minimum allowed overlapping between two orientation images  |                             |
| $(dx^*, dy^*, \gamma^*)$                       | Best translation and rotation parameters  |                             |
| $F_A^2$  | Result of aligning $F^2$ according to $(dx^*, dy^*, \gamma^*)$ parameters   |                             |
| $O_A^2$  | Result of aligning $O^2$ according to $(dx^*, dy^*, \gamma^*)$ parameters   |                             |
| $\widehat{F}^1$                                | Portion of $F^1$ that lies in the intersection with $F_A^2$   |                             |
| $\widehat{O}^1$ , $Y^1$                        | Orientations and frequencies of $\hat{F}^1$ , respectively  |                             |
| $\widehat{F}^2$                                | Portion of $F_A^2$ that lies in the intersection with $F^1$   |                             |
| $\hat{O}^2$ , $\Upsilon^2$                     | Orientations and frequencies of $\hat{F}^2$ , respectively  |                             |
| $v_{i,j}$                                      | Average ridge-line frequency estimated in $[i,j]$   |                             |
| $T^1, T^2$                                     | Minutiae templates extracted from $\hat{F}^1$ and $\hat{F}^2$   |                             |
| $m = \{x_m, y_m, \theta_m, t_m\}$              | A minutia with location $(x_m, y_m)$ , direction $\theta_m$ , and type $t_m$  |                             |
| $\rho = (\rho_x, \rho_y)$                      | Barycenter of the intersection region   |                             |
|  | Angle that line $l$ forms with the horizontal axis  |                             |
| eta $l$  | Line passing through $\rho$ with angle $\beta$ , as in Eq. (5)  |                             |
| $\delta_{eta}$                                 | Rotation step used during the optimal line estimation   | II.B                        |
|  | Similarity of the ridge orientations nearby line $l$ as in Eq. (7)  |                             |
| $S_o$<br>$S_{ u}$                              | Similarity of the ridge orientations hearby line $l$ as in Eq. (7)<br>Similarity of the ridge frequencies nearby line $l$ as in Eq. (8) |                             |
| $min_F, max_F$                                 | Minimum and maximum ridge frequency   |                             |
| $S_m$  | Score derived from the number of minutiae in $T^1$ and $T^2$ as in Eq. (11)   |                             |
| $\omega_o, \omega_v, \omega_m$                 | Three weighting factors in Eq. (6)  |                             |
| $S_c$  | Score maximized to select the optimal cutline   |                             |
| C C  | Coordinates of foreground neighborhood elements of line <i>l</i>  |                             |
| $d_{max}$                                      | Parameter controlling neighborhood size of line <i>l</i>  |                             |
| $dist_l(x,y)$                                  | Euclidean distance of point $(x, y)$ from line $l$  |                             |
| $ T _l^P,  T _l^N$                             | Number of minutiae in $T$ that fall in the positive (P) or negative (N) side of line $l$  |                             |
| $Z(v,\mu,\tau)$                                | Sigmoid function, see Eq. (15)  |                             |
| $\mu_m, \tau_m$                                | Sigmoid parameters in Eq. (12)  |                             |
| $l_{max}$                                      | Cutline selected by maximizing $S_c$  |                             |
| $\widetilde{O},\widetilde{Y},\widetilde{T}$    | Double-identity orientations, frequencies, and minutiae   |                             |
| $w_{x,y}^{l_{max}}$                            | Blend weighting factor in Eq. (20)  | II.C.1                      |
| $D^F$  | The double-identity fingerprint generated using the feature-level approach  | 11.0.1                      |
| $D^{I}$  |   | псэ                         |
| υ.   | The double-identity fingerprint generated using the image-level approach  | II.C.2                      |

To mitigate the risk of this attack, particular care should be taken by officers in charge of the enrolment process to avoid the possibility that a citizen provides fake fingerprints. Presentation attack detection techniques (hardware/software) are being continuously improved but nowadays they are still far to be perfect [17] so visual inspection of the finger surface still remains the preferred option.

Developing software countermeasures, to detect if a given fingerprint is a double-identity fingerprint or not, seems feasible, and probably not too hard if the input were a digital image such as those printed in Fig. 7. However, we believe

that the approach in reality is much more complex because the digital double-identity fingerprint is used only as starting point to fabricate a fake finger whose surface will be acquired by a live scanner, thus loosing much of the digital traces that could have been detected.

Finally, fingerprint recognition algorithms to be used in ABC could be extended/improved to detect "anomalies" (i.e., atypical partial matches) during unattended fingerprint recognition. We plan to dedicate some of our future research to investigate this option, to explore its efficacy and evaluate the risk of FRR increase. For instance, a feasible approach

could be based on the analysis of minutiae correspondence groups, looking for suspicious partial matches with respect to the foreground intersection.

## APPENDIX

See Table III.

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