1. Consider the execution of the following set of transactions

 $\begin{array}{ll} T_1 \colon & W_1(x) \\ T_2 \colon & R_2(x), \, R_2(y) \\ T_3 \colon & W_3(y) \\ T_4 \colon & R_4(x), \, R_4(y) \end{array}$ 

on a distributed database with data replicated over two sites as follows:

Site 
$$A = \{x, y\}$$
, Site  $B = \{x, y\}$ 

Suppose that the local schedules for the execution are as follows:

$$\begin{array}{ll} S_A\colon & W_1(x_A),\,W_3(y_A),\,R_2(x_A) \\ S_B\colon & R_2(y_B),\,W_3(y_B),\,W_1(x_B),\,R_4(x_B),\,R_4(y_B) \end{array}$$

Is the above schedule one-copy serializable?

# Solution:

- Since T2 read x from T1, T1 must precede T2 in an equivalent serial 1C schedule.
- Since T4 read x from T1, T1 must precede T4 in an equivalent serial 1C schedule.
- Since T4 read y from T3, T3 must precede T4 in an equivalent serial 1C schedule.
- Since T2 read y from the initial database state, T2 must precede T3 in an equivalent serial 1C schedule.
- Hence, the RD schedule is equivalent to the serial 1C schedule  $(T_1, T_2, T_3, T_4)$  and it is 1SR.
- 2. Consider the execution of the following set of transactions

 $T_1$ :  $W_1(x)$   $T_2$ :  $R_2(x)$ ,  $R_2(y)$   $T_3$ :  $W_3(y)$  $T_4$ :  $R_4(x)$ ,  $R_4(y)$ ,  $W_4(x)$ 

on a distributed database with data replicated over two sites as follows:

Site 
$$A = \{x, y\}$$
, Site  $B = \{x, y\}$ 

Suppose that the local schedules for the execution are as follows:

$$\begin{array}{lll} S_A\colon & W_1(x_A),\,W_3(y_A),\, R_2(x_A),\,W_4(x_A) \\ S_B\colon & R_2(y_B),\,R_4(x_B),\,W_1(x_B),\,R_4(y_B),\,W_4(x_B),\,W_3(y_B) \end{array}$$

Is the above schedule one-copy serializable?

### Solution:

- Since T4 read x from the initial database state, T4 must precede T1 in an equivalent serial 1C schedule.
- However, if  $T_4$  were to precede  $T_1$  in a serial 1C schedule, the final write on x in the serial 1C schedule would be performed by  $T_1$ , but this is inconsistent with the RD schedule where the final write on both  $x_A$  and  $x_B$  was performed by  $T_4$ .
- Hence, the RD schedule is not 1SR.

3. Consider the execution of the following two transactions

$$T_1$$
:  $R_1(x)$ ,  $R_1(y)$ ,  $W_1(x)$   
 $T_2$ :  $R_2(x)$ ,  $R_2(y)$ ,  $W_2(y)$ 

on a replicated database distributed across 4 sites:

Site A = 
$$\{x\}$$
, Site B =  $\{x, y\}$ , Site C =  $\{x, y\}$ , Site D =  $\{y\}$ 

The local schedules are as follows:

```
\begin{array}{ll} S_A: & R_1(x_A), W_1(x_A), C_1 \\ S_B: & R_1(y_B), W_1^r(x_B), C_1^r, W_2^r(y_B), C_2^r \\ S_C: & R_2(x_C), W_2^r(y_C), C_2^r, W_1^r(x_C), C_1^r \\ S_D: & R_2(y_D), W_2(y_D), C_2 \end{array}
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- (a) Which of the replication protocols (eager centralized, eager distributed, lazy centralized, lazy distributed) could have been used for the above execution?
- (b) Is the schedule one-copy serializable?

#### Solution:

- (a) Since the schedules include refresh transactions, the RD schedule is produced by a lazy protocol. Since reads are always performed on local copies whenever available,  $T_1$  must be issued at  $S_A$  (due to  $R_1(x_A)$  and  $R_1(y_B)$ ) and  $T_2$  must be issued at  $S_D$  (due to  $R_2(y_D)$  and  $R_2(x_C)$ ). The protocol could be the lazy centralized protocol with  $S_A$  as the master site for x and  $S_D$  as the master site for y. The protocol could also be the lazy distributed protocol.
- (b) No. In a 1SR schedule, either  $T_1$  read y from  $T_2$  or  $T_2$  read x from  $T_1$ . Since neither  $T_1$  nor  $T_2$  is reading from each other in the RD schedule, the schedule is not 1SR.
- 4. Consider the execution of the following two transactions

```
T_1: R_1(x), R_1(y), W_1(x)

T_2: R_2(x), R_2(y), W_2(y)
```

on a replicated database distributed across 4 sites:

Site A = 
$$\{x\}$$
, Site B =  $\{x,y\}$ , Site C =  $\{x,y\}$ , Site D =  $\{y\}$ 

The local schedules are as follows:

```
\begin{array}{ll} S_A: & R_1(x_A), W_1^r(x_A), C_1^r \\ S_B: & R_1(y_B), W_2^r(y_B), C_2^r, W_1^r(x_B), C_1^r \\ S_C: & R_2(x_C), W_2(y_C), C_2, W_1(x_C), C_1 \\ S_D: & R_2(y_D), W_2^r(y_D), C_2^r \end{array}
```

- (a) Which of the replication protocols (eager centralized, eager distributed, lazy centralized, lazy distributed) could have been used for the above execution?
- (b) Is the schedule one-copy serializable?

# Solution:

- (a) Since the schedules include refresh transactions, the RD schedule is produced by a lazy protocol. Since reads are always performed on local copies whenever available,  $T_1$  must be issued at  $S_A$  and  $T_2$  must be issued at  $S_D$ . Since  $W_1(x)$  is performed at  $S_C$  and not at  $S_A$ , the protocol can't be a lazy distributed protocol. Hence, the protocol is the lazy centralized protocol with  $S_C$  as the master site for both x and y.
- (b) No. Similar reasoning as the previous question.
- 5. For each of the following applications, discuss the suitability of using each of the four replication protocols (eager centralized, eager distributed, lazy centralized, lazy distributed).
  - (a) Consider a bank application with its database fully replicated across 20 branches within the same country. The database consists of the following four relations:
    - Customers relation stores information about customers (e.g., name, address),
    - Savings relation stores information about customers' savings accounts (e.g., customer id, account id, balance), and
    - Checking relation stores information about customers' checking accounts (e.g., customer id, account id, balance).
  - (b) Consider an online shopping application with its database fully replicated across 20 sites in different countries. The database consists of the following four relations:
    - Product relation stores information about products (e.g., product description and price),
    - Customer relation stores information about customers (e.g., name, shipping address, billing information),
    - ShopBasket relation stores information about products that customers have selected for purchase but have not yet ordered (e.g., product id, quantity), and
    - Order relation stores information about customers' orders (e.g., customer id, order date, amount paid).
  - (c) Consider a sales database that is fully replicated across one server and 20 laptops. Each laptop belongs to a salesperson and the laptop may not be always connected to the server (e.g., while the salesperson is travelling). The database consists of the following three relations:
    - Customer relation stores information about customers (e.g., name, address, contact number),
    - Order relation stores information about customers' orders (e.g., customer id, order date, sales amount), and
    - CustomerVisit relation stores information about the customer visits made by each salesperson (e.g., salesperson id, customer id, date of visit, visit report).

### Solution:

- (a) eager centralized/distributed.
- (b) lazy centralized/distributed.
- (c) lazy centralized/distributed.