

# Graph Theory

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# Chapter 1

## Graph Basics

**Definition** (Spanning Subgraph). *Let  $G = (V, E)$  be a graph. Let  $H = (W, F)$  be a subgraph of  $G$ . We say that  $H$  is **spanning** if  $W = V$ . i.e., if  $H$  contains all vertices of  $G$ .*



## Chapter 2

# Trees

### 2.1 Definitions

**Definition** (Spanning Tree). *Let  $G = (V, E)$  be a graph. Let  $H = (W, F)$  be a subgraph of  $G$ . We say that  $H$  is a **spanning tree** if  $H$  is a spanning subgraph of  $G$  and is a tree.*

### 2.2 Properties

**Proposition 2.2.1.** *A graph is connected if and only if it has a spanning tree.*





## Chapter 3

# Graph Isomorphism

### 3.1 Definitions

**Definition** (Isomorphism). *Let  $G$  and  $H$  be two graphs. We define an **isomorphism** from  $G$  to  $H$  to be a function  $f$  from  $V(G)$  to  $V(H)$  such that*

- *$f$  is bijective, and that*
- *for any pair of vertices  $v, w \in V(G)$ ,  $f(v)f(w) \in E(H)$  if and only if  $vw \in E(G)$ .*

*i.e., a bijective function that both itself and its inverse preserve adjacency.*

**Definition** (Isomorphic). *Let  $G$  and  $H$  be two graphs. We say that  $G$  and  $H$  are **isomorphic**, denoted by  $G \simeq H$ , if there exists an isomorphism from  $G$  to  $H$ .*

**Proposition 3.1.1.** *The relation  $\simeq$  of isomorphism is an equivalence relation. That is, it is reflexive, symmetric, and transitive.*

### 3.2 Properties

**Proposition 3.2.1.** *Let  $G$  and  $H$  be isomorphic graphs with isomorphism  $f$ . Then for any vertex  $v \in V(G)$ , we have  $\deg_G(v) = \deg_H(f(v))$ .*



## Chapter 4

# Matchings and Covers

### 4.1 Matching

**Definition** (Matching). Let  $G = (V, E)$  be a graph. Let  $M$  be a subset of  $E$ . We say that  $M$  is a **matching** in  $G$  if every vertex in the spanning subgraph  $(V, M)$  has degree at most one.

**Definition** (Saturated). Let  $G = (V, E)$  be a graph. Let  $M$  be a subset of  $E$ . Let  $v$  be a vertex of  $G$ . We say that  $v$  is  **$M$ -saturated** if  $\deg(v) = 1$  in  $(V, M)$ .

**Definition** (Maximal Matching). Let  $G = (V, E)$  be a graph. Let  $M$  be a subset of  $E(G)$ . We say that  $M$  is a **maximal matching** if it is a matching in  $G$  and any other matching is not a superset of it.

**Definition** (Maximum Matching). Let  $G = (V, E)$  be a graph. Let  $M$  be a subset of  $E(G)$ . We say that  $M$  is a **maximum matching** if it is a matching in  $G$  and any other matching contains edges no more than  $M$ .

**Definition** (Perfect Matching). Let  $G = (V, E)$  be a graph. Let  $M$  be a subset of  $E(G)$ . We say that  $M$  is a **perfect matching** if it matches all vertices of the graph. i.e., any vertex in  $G$  is incident to some edge in  $M$ .

**Proposition 4.1.1.** Every maximum matching is maximal.

**Proposition 4.1.2.** Every perfect matching is maximum.

**Proposition 4.1.3.** Let  $G = (V, E)$  be a graph. Let  $A$  and  $B$  be two maximal matchings of  $G$ . Then both  $|A| \leq 2|B|$  and  $|B| \leq 2|A|$ .

### 4.2 Cover

**Definition** (Cover). Let  $G = (V, E)$  be a graph. Let  $C$  be a subset of  $V$ . We say that  $C$  is a **cover** of  $G$  if any edge has an end in  $C$ .

### 4.3 Relations Between Matchings and Covers

**Proposition 4.3.1.** *Let  $G = (V, E)$  be a graph. Let  $M$  be a matching of  $G$ . Let  $C$  be a cover of  $G$ . Then  $|M| \leq |C|$ .*

## Chapter 5

# Bipartite Graphs

### 5.1 Definitions

**Definition** (bipartition). Let  $G = (V, E)$  be a graph. Let  $A$  and  $B$  be two subsets of  $V$ . We say the pair  $(A, B)$  is a **bipartition** if

**Definition** (Bipartite). Let  $G = (V, E)$  be a graph. We say that  $G$  is bipartite if there exists a bipartition of  $G$ .

### 5.2 Characterizations

**Proposition 5.2.1.** A graph is bipartite if and only if it has no odd cycles.



## Chapter 6

# Planar Graphs

### 6.1 Definitions

**Definition** (Plane Embedding). *Let  $G(V, E, B)$  be an undirected multigraph. A **plane embedding** of  $G$  is a pair of sets  $(\mathcal{P}, \Gamma)$  such that*

### 6.2 Properties

**Proposition 6.2.1.** *Every subgraph of a planar graph is planar.*

**Proposition 6.2.2.** *A multigraph is planar if and only if its simplification is planar.*

**Proposition 6.2.3.** *Let  $G$  be a multigraph and  $e$  be an edge in  $G$ . Then  $G$  is planar if and only if  $G \bullet e$  is planar.*

**Theorem 1.** *A multigraph is planar if and only if it does not contain a repeated subdivision of  $K_5$  or  $K_{3,3}$  as a subgraph.*

### 6.3 Numerology

**Definition** (Footprint). *Let  $G(V, E, B)$  be a planar multigraph. Let  $(\mathcal{P}, \Gamma)$  be a plane embedding of the graph. We define the **footprint** of  $G$ , denoted by  $fp(G)$ , to be the union of the points and curves in  $\mathbb{R}^2$  representing the vertices and edges in  $G$ .*

**Definition** (Face). *Let  $G(V, E, B)$  be a planar multigraph. Let  $(\mathcal{P}, \Gamma)$  be a plane embedding of the graph. We define a **face** of  $(\mathcal{P}, \Gamma)$  to be a connected component of the set  $\mathbb{R}^2 \setminus fp(G)$ .*

**Definition** (Degree). *Let  $G(V, E, B)$  be a planar multigraph. Let  $(\mathcal{P}, \Gamma)$  be a plane embedding of the graph. We define the **degree** of a face to be the sum of the number of edges and the number of bridges in its boundary.*

**Proposition 6.3.1.** *An edge  $e$  in a planar multigraph is a bridge if and only if the two faces on either side of the curve  $\gamma_e$  are the same.*



## Chapter 7

# Duality

### 7.1 Definitions

**Definition** (Dual Graph). Let  $G = (V, E, B)$  be a multigraph. Let  $(\mathcal{P}, \Gamma)$  be a plane embedding of  $G$ . Let  $\mathcal{F}$  be the set of faces of  $G$ . We define the **dual graph** of this embedding to be the multigraph  $G^* = (V^*, E^*, B^*)$  where  $V^* = \mathcal{F}$  and  $E^* = \{e^* : e \in E\}$ .

**Proposition 7.1.1.** Let  $G = (V, E, B)$  be a multigraph. Let  $(\mathcal{P}, \Gamma)$  be a plane embedding of  $G$ . Let  $(G^* = (V^*, E^*, B^*))$  be the dual graph of  $G$ . Then for any face  $f \in \mathcal{F}$ , the degree of  $f$  as a face of  $\mathcal{P}, \Gamma$  equals the degree of  $f$  as a vertex of  $G^*$ .

**Proposition 7.1.2.** If  $G$  is a connected multigraph embedded in the plane, then  $G^{**}$  is isomorphic with  $G$ .



## Chapter 8

# Graph Coloring

### 8.1 Chromatic Number

**Definition** ((Proper) Coloring). Let  $G = (V, E)$  be a graph. Let  $X$  be a finite set of colors. We define a **(proper)  $X$ -coloring** of  $G$  to be a function  $f : V \rightarrow X$  such that if  $vw \in E$ , then  $f(v) \neq f(w)$ .

**Definition** (Chromatic Number). Let  $G = (V, E)$  be a graph. Let  $X$  be a finite set of colors. We define the **chromatic number** of  $G$ , denoted by  $\chi(G)$ , to be the smallest natural number  $k \in \mathbb{N}$  for which  $G$  has a (proper)  $k$ -coloring.

**Proposition 8.1.1.** The chromatic number exists and  $\chi(G) \leq |V|$ .

*Proof.* Take  $X = V$ . ■

**Proposition 8.1.2.**  $G$  is complete if and only if  $\chi(G) = |V(G)|$ .

**Proposition 8.1.3.** The only graph with chromatic number zero is the empty graph.

**Proposition 8.1.4.** A graph has chromatic number one if and only if it has no edges and at least one vertex.

**Proposition 8.1.5.** A graph has chromatic number two if and only if it is bipartite and has at least one edge.

**Proposition 8.1.6.** Let  $G$  be a graph. Let  $d_{\max}(G)$  be the maximum degree of a vertex in  $G$ . Then  $\chi(G) \leq 1 + d_{\max}(G)$ .

### 8.2 5-color Theorem

**Theorem 2.** Every planar graph is 5-colorable.

*Proof.* (1890)

True for  $|V| \leq 5$ .

Inductively, suppose the theorem holds for planar graphs on  $n - 1$  vertices for  $n \geq 5$ . Suppose  $G$  is a planar graph on  $n$  vertices.

Let  $v$  be a vertex of degree  $\leq 5$  in  $G$ . This exists by a lemma in our lectures.

Since  $G$  is a planar,  $G - v$  is planar. By the induction hypothesis,  $G - v$  has a 5-coloring.

If some color does not appear on any neighbor of  $v$ , we can extend the coloring to a coloring of  $G$ .

Otherwise,  $v$  has exactly 5 neighbors with different colors.

For each pair  $i, j$  of colors, let  $G_{ij}$  be the subgraph of  $G - v$  induced by the vertices colored  $i$  or  $j$ .

If the component  $H$  of  $G_{ij}$  containing  $x_i$  does not contain  $x_j$ , then we can switch the colors of all vertices in  $H$  between  $i$  and  $j$  to get a coloring of  $G - v$  that assigns only 4 colors to neighbors of  $v$ , and thus extends to a coloring of  $G$ .

So  $G_{ij}$  contains a path from  $x_i$  to  $x_j$ .

Because  $G_{2,5}$  and  $G_{1,4}$  have disjoint vertex sets, this contradicts the planarity of  $G$ .

■

**Definition** (Near-triangulation). *Planar drawing of  $G$  where the infinite face is bounded by a cycle, and every other face is bounded by a triangle*

**Theorem 3.** *Every planar near-triangulation has a 5-coloring.*

Theorem 3  $\implies$  Theorem 2.

**Definition** (List Assignment). *A **list assignment**  $L$  of  $G$  is a function that assigns a set  $L(v)$  of colors to each  $v \in V$ .*

**Definition** ( $L$ -coloring). *An  $L$ -coloring of  $G$  is a choice of a color in  $L(v)$  for each  $v \in V$  such that adjacent vertices get different colors.*

**Definition** (5-list-colorable). *A graph is **5-list-colorable** if for every list assignment  $L$  of  $G$  with  $|L(v)| \geq 5$ ,  $G$  is  $L$ -colorable.*

**Theorem 4.** *Every planar near-triangulation is 5-list-colorable.*

Theorem 4  $\implies$  Theorem 3 because coloring is a special case of list coloring.

**Theorem 5** (Carsten Thomassen, 1993). *If  $G$  is a near-triangulation and  $L$  is a list assignment such that*

(1)  $|L(v)| = 5$  for every non-boundary vertex,

(2)  $|L(v)| = 3$  for every boundary vertex.

*Then  $G$  has an  $L$ -coloring even if two adjacent boundary vertices have their colors arbitrarily decided in advance.*

*Proof.*

Case 1. There is a "chord" between two boundary vertices.

Let  $G_1$  and  $G_2$  be subgraph of  $G$  obtained by "cutting"  $G$  along the chord, where  $G_1$  contains the pre-colored vertices.

By applying the inductive hypothesis to  $G_1$ , and then applying it to  $G_2$  with the two ends of the chord pre-colored according to the coloring of  $G_1$ , we get a coloring of  $G_1$ .

Case 2. There is no chord.

Let  $u$  and  $u'$  be the pre-colored vertices.

Let  $x, y$  be the next two vertices occuring in order around the boundary.

■

Theorem 5  $\implies$  Theorem 4.



## Chapter 9

# Probability and Edge Density

Q: Let  $G$  be a graph on  $n$  vertices with no triangles. How many edges can  $G$  have?

**Theorem 6** (Mantel). *If  $G$  is triangle-free and has  $n$  vertices, then*

$$|E| \leq \frac{n^2}{4}.$$

*Proof.*

Let  $P_{2,1}$  denote the probability that a pair of distinct vertices chosen uniformly at random, are adjacent.

$$P_{2,1} = |E| / \binom{n}{2}.$$

Let  $P_{3,2}$  denote the probability that a randomly chosen triple of vertices contains exactly two edges.

Let  $P_{3,1}$  denote ... one edge.

Let  $P_{3,0}$  denote ... no edges.

Notice  $P_{3,2} + P_{3,1} + P_{3,0} = 1$ .

**claim** (1).

$$P_{2,1} = \frac{2}{3}P_{3,2} + \frac{1}{3}P_{3,1}.$$

*Proof.* Notice that the graph is triangle-free. So  $P_{3,3} = 0$ . Choosing a pair at random is the same as choosing a triple at random, then choosing a pair at random within that triple. ■

For a fixed vertex  $v$ , let  $Q_{v,1}$  denote the probability that a randomly chosen vertex  $u \neq v$  is adjacent to  $v$ .

$$Q_{v,1} = \frac{\deg(v)}{n-1}.$$

Let  $Q_{v,2}$  denote the probability that two distinct randomly chosen vertices other than  $v$  are both adjacent to  $v$ .

$$Q_{v,2} = \binom{\deg(v)}{2} / \binom{n-1}{2}.$$

**claim (2).**

$$Q_{v,1}^2 \approx Q_{v,2}.$$

*Proof.* Both give (essentially) the probability that a pair  $x, y$  of vertices other than  $v$  are both adjacent to  $v$ . The LHS allows  $x = y$ . The RHS does not. But  $x = y$  occurs with negligible probability. ■

**claim (3).**

$$P_{2,1} = \frac{1}{n} \sum_v Q_{v,1}.$$

*Proof.* Both the RHS and LHS are just the probability of an edge between two vertices. The RHS calls the first chosen vertex  $v$ . ■

**claim (4).**

$$\frac{1}{3} P_{3,2} = \frac{1}{n} \sum_v Q_{v,2}.$$

*Proof.* Both sides give the probability that, if we choose 3 vertices at random, and then choose one among those 3 and call it  $v$ , that  $v$  is adjacent to both the others. ■

**claim (Cauchy–Schwarz Inequality).**

$$\langle x, y \rangle \leq \|x\| \|y\|.$$

**Proof of the theorem.**

Now

$$\begin{aligned} P_{2,1} &= \frac{2}{3} P_{3,2} + \frac{1}{3} P_{3,1} \geq \frac{2}{3} P_{3,2} \\ &= 2 \left( \frac{1}{n} \sum_v Q_{v,2} \right) \approx 2 \left( \frac{1}{n} \sum_v Q_{v,1}^2 \right) \\ &\geq 2 \left( \frac{1}{n} \sum_v Q_{v,1} \right)^2 = 2P_{2,1}^2. \end{aligned}$$

So  $P_{2,1} \leq \frac{1}{2}$ . So  $|E| \leq \frac{n^2}{4}$ . ■

Q: If  $G$  has  $n$  vertices, no  $K_{t+1}$ -subgraph, how many edges can  $G$  have?



**Theorem 7** (Turan). *If  $G$  is a graph on  $n$  vertices with no  $K_{t+1}$ -subgraph, then*

$$|E| \leq \frac{n^2}{2} \left(1 - \frac{1}{t}\right).$$

**Theorem 8** (Erdos-Stone). *If  $H$  is a graph and  $G$  is a graph on  $n$  vertices without  $H$  as a subgraph, then*

$$|E| \leq \frac{n^2}{2} \left(1 - \frac{1}{\chi(H) - 1} + \varepsilon(n)\right)$$

*where  $\varepsilon(n) \rightarrow 0$  as  $n \rightarrow \infty$  and  $\chi(H)$  is the chromatic number of  $H$ , the fewest number of colors needed to properly color the vertices of  $H$ .*