# A NOTE ON FIBRATIONS AND ADJUNCTIONS

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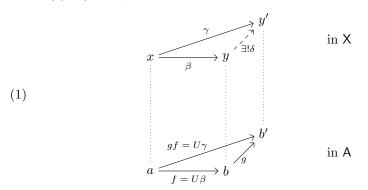
ABSTRACT. Fibrations and Adjunctions rock.

#### 1. Preliminaries

In this section, we present the necessary background for this paper and take this opportunity to set our notation and conventions. One convention we employ is the singular focus on opfibrations instead of their dual, fibrations. We specifically cover two flavors of opfibrations: Grothendieck and Street. We also present, for each type of opfibration, a helpful lemma that relates colimits in the fibres to colimits in the total category.

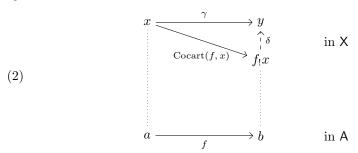
**Grothendieck Opfibrations.** We recall some basic material from the theory of (Grothendieck) opfibrations; standard references include [2, 4, 7].

Consider a functor  $U \colon \mathsf{X} \to \mathsf{A}$ . A morphism  $\beta \colon x \to y$  in  $\mathsf{X}$  over a morphism  $f = U(\beta) \colon a \to b$  in  $\mathsf{A}$  is called **cocartesian** if and only if, for all  $g \colon b \to b'$  in  $\mathsf{A}$  and  $\gamma \colon x \to y'$  in  $\mathsf{X}$  with  $U(\gamma) = g \circ f$ , there exists a unique  $\delta \colon y \to y'$  in  $\mathsf{X}$  such that  $U(\delta) = g$  and  $\gamma = \delta \circ \beta$ :



For any object  $a \in A$ , we denote by  $X_a$  the **fibre** of U over a, i.e. the subcategory of X which consists of objects x above a, namely U(x) = a, and vertical morphisms  $\beta$ , namely  $U(\beta) = 1_a$ . The functor  $U \colon X \to A$  is an **opfibration** if and only if, for all  $f \colon a \to b$  in A and  $x \in X_a$ , there is a cocartesian morphism  $\beta$  with domain x above f; it is called a **cocartesian lifting** of b along f. The category A is called the **base** of the opfibration, and X its **total category**. Of course, this is a dual notion to that of a **fibration**.

For any opfibration  $U: X \to A$ , assuming the axiom of choice we may select a cocartesian arrow over each  $f: a \to b$  in A and  $x \in X_a$ , denoted by  $\operatorname{Cocart}(f, x): x \to f_!(x)$ . Such a choice of cocartesian liftings is called a **cleavage** for U, which is then called a **cloven opfibration**; in this way, any opfibration can be assumed to be cloven. As a special case of the universal property, any arrow in the total category of an opfibration factorizes uniquely into a cocartesian morphism followed by a vertical one:



The choice of cocartesian liftings in a cloven opfibration induces a so-called **rein-dexing functor** between the fibre categories

$$(3) f_! \colon \mathsf{X}_a \to \mathsf{X}_b$$

for any  $f: a \to b$  in the base category. It can be verified by the cocartesian lifting property that  $(1_a)_! \cong 1_{\mathsf{X}_a}$  and  $(f \circ g)_! \cong f_! \circ g_!$ . If these isomorphisms are equalities, we have the notion of a **split** (op)fibration.

For what follows, it is necessary to clarify the relation between the existence of colimits in the total category of an opfibration to the existence of those colimits in the fibres in a coherent way. For more details, and a proof of the following result, see [5, Cor. 3.7].

**Lemma 1.1.** Suppose J is a small category and  $U: X \to A$  is an opfibration whose base A has J-colimits. The following are equivalent:

- (a) all fibres have J-colimits, and the reindexing functors preserve them;
- (b) the total category X has J-colimits, and U (strictly) preserves them.

Remark 1.2. In the above lemma, we do not require X to strictly preserve colimits when assuming the axiom of choice.

The above formulation and its dual version relate opfibrations specifically with colimits and fibrations with limits. Notice that condition (a) is the usual formal definition of when an arbitrary opfibration has *opfibred J-colimits*, which in principle does not require a J-cocomplete base. The strict preservation of colimits is discussed in detail in Appendix A.4.

Street Opfibrations. Typically, constructions in category theory should transport across equivalent categories. Opfibrations do not have this property. One can extend an opfibration  $U\colon \mathsf{X}\to\mathsf{A}$  along an equivalence of categories  $\mathsf{A}\to\mathsf{A}'$  and the result  $\mathsf{X}\to\mathsf{A}\to\mathsf{A}'$  is not necessarily an opfibration. The aspect that fails is, given a cocartesian lifting  $\beta\colon x\to y$  of an arrow  $f\colon a\to b$ , we require that Uy equals b, and this equality may weaken to an isomorphism through an equivalence of categories. Street opfibrations avoid this defect. They were originally defined internally to 2-categories [9]. The definition below is a special case when the 2-category is Cat.

**Definition 1.3.** A functor  $U: X \to A$  is a **Street opfibration** if, for any arrow  $f: a \to b$  in A and x above a, there exists a cocartesian arrow  $\theta: x \to y$  and an isomorphism  $h: b \cong Uy$  such that  $fh = U\theta$ .

There is no amended definition of "cocartesian arrow" here; it is the same as above. The isomorphism h, which replaces an equality in a Grothendieck opfibration, ensures that Street opfibrations satisfy the priciple of equivalence.

Because we generalize our results in Section 2 from Grothendieck fibrations to Street fibrations, we need a generalized version of Lemma 1.1. In order to do this, we present several standard results as lemmas and recall the definition of an isofibration.

**Definition 1.4.** A functor  $U: X \to A$  is an **isofibration** if, for every isomorphism  $f: Ux \to a$  in A, there is an isomorphism  $\hat{f}: x \to x'$  in X such that  $U\hat{f} = f$ .

**Lemma 1.5.** A functor that is a Street opfibration and isofibration is also a Grothendieck fibration.

*Proof.* Let  $U: X \to A$  be both a Street opfibration and isofibration. To an arrow  $f: Ux \to a$ , we associate an operatesian lifting  $\theta: x \to y$  and isomorphism  $h: a \to Uy$ . Let  $h^{-1}$  be the invertible arrow in X over  $h^{-1}$ . The cocartesian arrow lift of f is  $\theta h^{-1}$ .

**Lemma 1.6.** A Street opfibration can be decomposed into a Grothendieck opfibration followed by an equivalence of categories.

*Proof.* There is a model structure on  $\mathsf{Cat}$  whose weak equivalences are equivalences of categories and fibrations are isofibrations [8]. Hence, we can decompose a Street opfibration into an isofibration followed by a equivalence of categories. The isofibration is equivalent to a Street opfibration so is, itself, a Street opfibration and, therefore by Lemma 1.5, a Grothendieck opfibration.

**Lemma 1.7.** Suppose J is a small category and  $U: X \to A$  is a Street optibration. If A has J-colimits, the following are equivalent:

- (a) all fibres have J-colimits and the reindexing functors preserve them;
- (b) X has J-colimits and U preserves them.

*Proof.* Per Lemma 1.6, decompose U into HU' where U' is a Street optibration and H is an equivalence. The result follows by applying Lemma 1.1 to U'.

Coreflections and laris. The main result of this paper is a correspondence between fibrations and adjoints, under certain assumptions. The adjunctions involved are a stricter version of the well-known *coreflections*, whose definition we recall.

**Proposition 1.8** ([1, Prop. 3.4.1]). The following are equivalent for an adjunction  $L \dashv U : X \rightarrow A$ :

- (a) the left adjoint L is fully faithful;
- (b) the unit  $\eta: 1_A \Rightarrow UL$  is an isomorphism.

Under these conditions,  $U\epsilon$  and  $\epsilon_L$  are also isomorphisms.

The above clauses also imply that the induced comonad  $(LU, L\eta_G, \epsilon)$  on X is *idempotent*. Such an adjunction is called a **coreflection**, A is a **coreflective** subcategory of U and the right adjoint U is called the **coreflector**.

Connected to the issues of 'evilness' discussed already is a stricter version of a coreflector that actually appears in the desired correspondence between (Grothendieck) fibrations and adjoints. We use the acronym lari, originally introduced in [4], for the notion of a 'left adjoint, right inverse' functor. Explicitly, if  $U: X \to A$  has

a lari L, then  $L \dashv U$  and  $\eta \colon 1_A = UL$ . Equivalently, in this case we can say that U is a rali, namely it is a right adjoint, left inverse of some L — still the unit of the adjunction is the identity, with U now being a left inverse. Of course, under these conditions,  $U\epsilon$  and  $\epsilon_L$  are also identities and ULU = U.

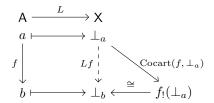
# 2. Grothendieck fibrations and ralis

In this section, we investigate the relationship between opfibrations and adjunctions. We start by finding conditions under which an opfibration is a right adjoint. We then find conditions under which a right adjoint is an opfibration. We conclude this section by exhibiting the correspondence by intersecting the respective conditions.

Initially we establish when an opfibration has a left adjoint. The following result is an answer to the question: when is an opfibration an adjoint? It is dual to a proposition of Gray [4, Prop. 4.4].

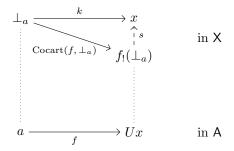
**Proposition 2.1.** Let  $U: X \to A$  be an opfibration. Then U is a rali if its fibres have initial objects which are preserved by the reindexing functors.

*Proof.* Denote by  $\perp_a$  the initial object in fiber  $X_a$ . Define a functor  $L: A \to X$  as shown in the diagram



where  $f_!(\perp_a) \xrightarrow{\perp}_b$  arises from  $f_!$  preserving initial objects. This assignment is functorial due to the universal properties of cocartesian liftings.

To show that L is left adjoint to U, it suffices to establish a natural bijection  $X(La, x) \cong A(a, Ux)$  for any  $a \in A$ ,  $x \in X$ . Indeed, each morphism in the total category  $k \colon La \to x$  above f = Uk, factorizes uniquely (cf. Diagram 2) as



but since  $f_!(\perp_a) \cong \perp_{Ux}$  is the initial object in the fibre above Ux, the morphism s is in fact unique. As a result, every k uniquely corresponds to some f in that way, and this isomorphism is natural.

Finally, U is a left inverse of L because the unit of the adjunction  $\eta\colon 1_{\mathsf{A}}\to UL$  is the identity natural transformation. Indeed,  $ULa=U\bot_a=a$  and moreover, applying the natural bijection coming from the adjunction to

$$X(\bot_a, \bot_a) = X(La, La) \cong A(a, ULa) = A(a, a)$$

ensures that the identity on  $\perp_a$  corresponds to the identity on a.

Notice that because U is a right adjoint, it is slightly surprising that the existence of U is related to U preserving initial objects. While this proposition uses reindexing functors to relate opfibrations and ralis, we can use Lemma 1.1 to use a property of U instead.

**Corollary 2.2.** An opfibration is a rali if the base category has and the functor strictly preserves the initial object.

Next, we examine when a rali is an opfibration. The relevant condition is that a rali U strictly preserves pushouts, choosen so that the pushouts strictly preserve identities. Strict preservation is a strong condition, but this can be safely assumed for a non-trivial class of functors. We discuss this further in Appendix A.

**Proposition 2.3.** Suppose that  $U: X \to A$  is a rali. Then U is an opfibration if X and A have chosen pushouts and U strictly preserves them.

*Proof.* Take a morphism  $f: a \to b$  in A and an object x above a, as in Define the cocartesian lifting of x along f to be the horizontal dashed arrow to the (chosen) pushout of the following diagram in X

$$LUx \xrightarrow{Lf} Lb$$

$$\downarrow^{\epsilon_a} \downarrow \qquad \qquad \downarrow^{\Gamma} \downarrow$$

$$x \xrightarrow{\text{Cocart}(f,x)} x +_{LUx} Lb$$

where  $\varepsilon \colon LU \Rightarrow 1_X$  is the counit of the adjunction  $L \dashv U$ . To verify this is a cocartesian lifting, first of all it must be mapped to f via U: if we apply U to the above square, using the facts that  $U\varepsilon_x = 1_a$ , ULf = f (by Proposition 1.8) and

$$U(x +_{LUx} Lb) = Ux +_{ULUx} ULb = a +_a b$$

since U strictly preserves pushouts, the resulting colimit diagram

Combining Propositions 2.1 and 2.3, we establish certain conditions under which a Grothendieck opfibration corresponds to a rali and vice versa.

**Theorem 2.4.** Suppose that X and A have chosen pushouts and initial objects, and a functor  $U: X \to A$  strictly preserves them. Then U is a right adjoint left inverse if and only if U is an optimization.

### 3. Street fibrations and coreflections

In this section, we trod the same path as in Section 2 but shed any strictness around preserving colimits by working with Street opfibrations instead of Grothendeick opfibrations and with reflectors instead of ralis

Generalising Proposition 2.1, we obtain the following.

**Proposition 3.1.** Let  $U: X \to A$  be a Street opfibration. Then U is a coreflector if its fibers have initial objects which are preserved by the reindexing functors.

*Proof.* We define L exactly as in Proposition 2.1.

The unit of the adjunction  $L \dashv U$  is the identity  $a \to ULa = U \perp_a = a$ . The counit is the initial map  $\perp_{Ux} = LUx \to x$ . The triangle identities are satisfied as well. The first is the composite

$$La \xrightarrow{L\eta_a} LULa \xrightarrow{\epsilon_{La}} La$$

which is given by  $\perp_a \rightarrow \perp_a \rightarrow \perp_a$ . The second is the composite

$$Ux \xrightarrow{\eta_{Ux}} ULUx \xrightarrow{U\eta_x} Ux$$

which is given by  $Ux \to Ux \to Ux$ .

Because the unit of the adjunction is an isomorphism, L is full and faithful [3, Prop. 1.3] making U a coreflector.

Applying Lemma 1.7, we can transport the assumptions on the fibers to the base category of our Street fibration.

Corollary 3.2. A Street opfibration is a reflector if the base category has and the functor preserves the (initial) terminal object.

Generalising Proposition 2.3, we obtain the following result.

**Proposition 3.3.** Suppose that  $U: X \to A$  is a coreflector. Then U is a Street opfibration if X has pushouts and U preserves them.

*Proof.* Fix an arrow  $f: a \to b$  in A and an object x in the fibre of a. We claim that  $\hat{f}$ , defined as the pushout of Lf along the counit  $\epsilon_x$ 

is the cocartesian lift. There is a string of isomorphisms

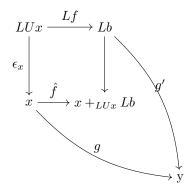
$$U(x +_{LUx} Lb) \cong Ux +_{ULUx} Lb \cong Ux +_{Ux} b \cong b$$

whose composite we call h. Then

$$\begin{array}{c|c}
U(x +_{LUx} Lb) \\
\downarrow u\hat{f} \\
\downarrow h \\
a & \xrightarrow{f} b
\end{array}$$

commutes, and so  $\hat{f}$  is an appropriate lift. It remains to show that  $\hat{f}$  is cocartesian.

Consider a X-arrow  $g: x \to y$  with a A-arrow  $\theta: U(x +_{LUx} Lb) \to Uy$  so that  $\theta U \hat{f} = Ug$ . Can we uniquely lift  $\theta$ ? Set up the diagram



where  $g' := \epsilon_y L\theta Lh^{-1}$ . To show the outer square commutes, it suffices to show that g'Lf and  $g\epsilon_x$  have the same image under the adjunction homset correspondence. We have

 $g' \circ Lf = \epsilon_y \circ L\theta \circ Lh \circ Lf \mapsto U\epsilon_y \circ UL\theta \circ ULh \circ ULf \circ \eta_{ux} = \theta \circ h \circ f = \theta \circ U \circ \hat{f} = Ug$  and

$$g\epsilon_x \mapsto Ug \circ \eta_{Ux} = Ug$$

We now intersect the hypothesis of Proposition 3.1 and Proposition 3.3 to provide the main result of this section.

**Theorem 3.4.** Let  $U: X \to A$  be a functor such that A and X have chosen initial objects and pushouts and U preserves them. Then U is a coreflector if and only if U is a Street optibration.

## APPENDIX A. CHOICE OF COLIMITS

In what follows, and in particular for our main Theorem 2.4, we often require that certain colimits must be *strictly* preserved. Although the strict preservation of colimits does not adhere to the principle of equivalence, it is required when working with Grothendieck fibrations. Moreover, in Section 3 we examine the non-strict context which then naturally matches to the notion of a Street fibration as discussed above.

In more detail, assuming the axiom of choice we can regard any category with colimits as having chosen ones, in the sense of choosing a specific adjoint (from all isomorphic ones) to the constant diagram functor:

$$C \xrightarrow[\lim_{J}]{\operatorname{colim}_{J}} [J, C]$$

Some categories, like Set, even have a canonical choice corresponding to well-known constructions of colimits of sets. In general, a functor  $F \colon \mathsf{C} \to \mathsf{D}$  between categories

with colimits, for example, preserves them when the following diagram

commutes up to natural isomorphism. The following two lemmas (due to Steve Lack) present two natural settings where functors between categories with chosen colimits strictly preserve them; evidently, such a thing is to be expected only when the colimits in the categories have been both previously constructed from chosen limits in some fixed category.

**Lemma A.1.** Suppose C is a category with chosen colimits of any class. Then for any two categories A and B and any functor  $F: A \to B$  between them, the pre-composition functor

$$F^* \colon [\mathsf{B},\mathsf{C}] \xrightarrow{} [\mathsf{A},\mathsf{C}]$$

$$\left(\mathsf{B} \xrightarrow{H} \mathsf{C}\right) \longmapsto \left(\mathsf{A} \xrightarrow{F} \mathsf{B} \xrightarrow{H} \mathsf{C}\right)$$

strictly preserves the chosen colimits.

*Proof.* This follows from the pointwise construction of colimits in functor categories.

As a particular case, the following result concerns our motivating example.

**Corollary A.2.** There is a canonical choice of colimits in Grph inherited from those in Set so that the domain and codomain functors  $Grph \rightarrow Set$  strictly preserve all colimits.

*Proof.* The domain and codomain functors, respectively  $1^*$  and  $2^*$ , are built from the functors  $1, 2: \mathbf{1} = \{\bullet\} \longrightarrow \{1 \Rightarrow 2\} = \mathbf{2}$ . Choosing the canonical colimits in Set, by Lemma A.1 we obtain two functors

$$\mathsf{Grph} = [\mathbf{2},\mathsf{Set}] \xrightarrow{\overset{1^*}{\longrightarrow}} [\mathbf{1},\mathsf{Set}] = \mathsf{Set}$$

that strictly preserve them.

The following case again follows from a construction of chosen limits in common ground; colimits adhere to a dual result.

**Lemma A.3.** Suppose C and D have chosen colimits and  $F: C \to D$  is an arbitrary functor. Then the comma category  $F \downarrow D$  can be equipped with colimits in such a way that both projections  $C \leftarrow F \downarrow D \to D$  strictly preserve them.

*Proof.* This follows from the canonical construction of colimits in comma categories (see  $[1, \S 2.16]$ ).

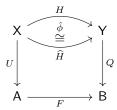
Finally, opfibrations form another class of functors that is notable when it comes to strictly preserving colimits. In more detail, we do not lose generality by assuming that a colimit preserving opfibration preserves strictly. Such a statement does not apply to arbitrary functors. This is due to the following lemma, which is a special case of a more general fact: the embedding of OpFib in the 2-category OpFib~ where

2-cells are squares filled with isomorphisms, is locally fully faithful and essentially surjective (also for fibs...references...[6]?). We thank Claudio Hermida for these observations.

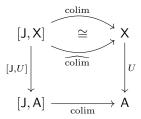
**Lemma A.4.** Suppose U and Q are optibrations, and there is a natural isomorphism

$$\begin{array}{ccc} \mathsf{X} & \xrightarrow{H} & \mathsf{Y} \\ U \Big\downarrow & \stackrel{\phi}{\cong} & \bigvee_{Q} \\ \mathsf{A} & \xrightarrow{F} & \mathsf{B} \end{array}$$

Then  $\phi$  factors as a commutative square composed by an isomorphism  $\hat{\phi}$ , as in



As a result, if U is an opfibration that preserves J-colimits for some small J, we can factor the natural isomorphism (4), where the left leg is also a fibration, as



essentially changing the choice of colimits in the total category and establishing that Q now strictly preserves them. In a dual way, we may assume that any fibration strictly preserves chosen limits, if it preserves limits in the ordinary sense.

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