Section 4.1 – The maximum-subarray problem

4.1-1 What does FIND-MAXIMUM-SUBARRAY return when all elements of A are negative?

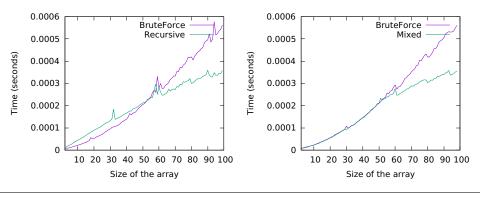
```
A subarray with only the largest negative element of A.
```

4.1-2 Write pseudocode for the brute-force method of solving the maximum-subarray problem. Your procedure should run in $\Theta(n^2)$ time.

```
The pseudocode is stated below.
   FindMaximumSubarray-BruteForce(A)
       low = 0
 1
       high = 0
 2
       sum = -\infty
 3
       for i = 1 to A.length do
          cursum = 0
 5
          for j = i to A.length do
 6
              cursum = cursum + A[j]
 7
              if cursum > sum then
 8
 9
                 sum = cursum
                 low = i
10
                 high = j
11
       return low, high, sum
12
```

4.1-3 Implement both the brute-force and recursive algorithms for the maximum-subarray problem on your own computer. What problem size n_0 gives the crossover point at which the recursive algorithm beats the brute-force algorithm? Then, change the base case of the recursive algorithm to use the brute-force algorithm whenever the problem size is less than n_0 . Does that change the crossover point?

Figure below in the lhs illustrates the crossover point between the BruteForce and Recursive solutions in my machine. In that comparison, $n_0 \approx 52$. Figure below in the rhs illustrates the crossover point between the BruteForce and Mixed solutions in my machine. The crossover point does not change but the Mixed solution becomes as fast as the BruteForce solution when the problem size is lower than 52.



4.1-4 Suppose we change the definition of the maximum-subarray problem to allow the result to be an empty subarray, where the sum of the values of an empty subarray is 0. How would you change any of the algorithms that do not allow empty subarrays to permit an empty subarray to be the result?

The BruteForce algorithm (stated above in Question 4.1-2) can be updated just by modifying line 3 to sum = 0, instead of $sum = -\infty$. In that case, if there is no subarray whose sum is greater than zero, the algorithm will return a invalid subarray (low = 0, high = 0, sum = 0), which will denote the empty subarray.

The Recursive algorithm (stated in Section 4.1) can be updated as follows. In the Find-Max-Crossing-Subarray routine, update lines 1 and 8 to initialize left-sum and right-sum to 0, instead of $-\infty$. Also initialize max-left (after line 1) and max-right (after line 8) to 0. In the Find-Maximum-Subarray routine, surround the return statement of line 2 with a conditional that verifies if A[low] is greater than zero. If it is, return the values as it was before. If it is not, return a invalid subarray (denoted by low = 0 and high = 0) and the sum as zero.

4.1-5 Use the following ideas to develop a nonrecursive, linear-time algorithm for the maximum-subarray problem. Start at the left end of the array, and progress toward the right, keeping track of the maximum subarray seen so far. Knowing a maximum subarray of $A[1,\ldots,j]$, extend the answer to find a maximum subarray ending at index j+1 by using the following observation: a maximum subarray of $A[1,\ldots,j+1]$ is either a maximum subarray of $A[1,\ldots,j]$ or a subarray $A[i,\ldots,j+1]$, for some $1 \leq i \leq j+1$. Determine a maximum subarray of the form $A[i,\ldots,j+1]$ in constant time based on knowing a maximum subarray ending at index j.

```
The pseudocode is stated below.
   FindMaximumSubarray-Linear(A)
       low = 0
 1
       high = 0
 \mathbf{2}
       sum = 0
 3
       current-low = 0
 4
       current-sum = 0
 5
       for i = 1 to A.length do
 6
           current-sum = max(A[i], current-sum + A[i])
 7
          if current-sum == A[i] then
              current-low = i
 9
10
           if current-sum > sum then
              low = current-low
11
              high = i
12
13
              sum = current-sum
       return low, high, sum
14
We can make it a little faster (twice as fast on my machine) by avoiding executing lines 7, 8, and 10 when not necessary.
   FindMaximumSubarray-Linear-Optimized(A)
       low = 0
 1
       high = 0
 2
 3
       sum = 0
       current-low = 0
 4
 5
       current-sum = 0
 6
       for i = 1 to A.length do
          if current-sum + A[i] \le 0 then
 7
              current-sum = 0
 8
 9
           else
              current-sum = current-sum + A[i]
10
              if current-sum == A[i] then
11
                  current-low = i
12
              if current-sum > sum then
13
                  low = current\text{-}low
14
                  high = i
15
16
                  sum = current-sum
       return low, high, sum
17
```

Section 4.2 – Strassen's algorithm for matrix multiplication

4.2-1 Use Strassen's algorithm to compute the matrix product

$$\begin{bmatrix} 1 & 3 \\ 7 & 5 \end{bmatrix} \begin{bmatrix} 6 & 8 \\ 4 & 2 \end{bmatrix}.$$

Show your work.

Let

$$A = \begin{bmatrix} 1 & 3 \\ 7 & 5 \end{bmatrix}, B = \begin{bmatrix} 6 & 8 \\ 4 & 2 \end{bmatrix},$$

and $C = A \cdot B$. To compute C using Strassen's algorithm, we start by computing the S_i matrices:

$$S_{1} = B_{12} - B_{22} = 8 - 2 = 6,$$

$$S_{2} = A_{11} + A_{12} = 1 + 3 = 4,$$

$$S_{3} = A_{21} + A_{22} = 7 + 5 = 12,$$

$$S_{4} = B_{21} - B_{11} = 4 - 6 = -2,$$

$$S_{5} = A_{11} + A_{22} = 1 + 5 = 6,$$

$$S_{6} = B_{11} + B_{22} = 6 + 2 = 8,$$

$$S_{7} = A_{12} + A_{22} = 3 - 5 = -2,$$

$$S_{8} = B_{21} + B_{22} = 4 + 2 = 6,$$

$$S_{9} = A_{11} - A_{21} = 1 - 7 = -6,$$

$$S_{10} = B_{11} + B_{12} = 6 + 8 = 14.$$

Then we compute the P_i matrices:

Using matrices S_i and P_i , we compute C:

$$C = \begin{bmatrix} (P_5 + P_4 - P_2 + P_6) & (P_2 + P_2) \\ (P_3 + P_4) & (P_5 + P_1 - P_3 - P_7) \end{bmatrix} = \begin{bmatrix} 18 & 14 \\ 62 & 66 \end{bmatrix}.$$

4.2-2 Write pseudocode for Strassen's algorithm.

```
The pseudocode is stated below.
    Square-Matrix-Multiply-Strassen (A, B)
        n = A.rows
        let C be a new n \times n matrix
        if n == 1 then
 3
            c_{11} = a_{11} \cdot b_{11}
 4
 5
        else
             partition A, B, and C as into n/2 \times n/2 submatrices
 6
             let S_1, S_2, \ldots, S_{10} be new n/2 \times n/2 matrices
 7
             let P_1, P_2, \ldots, P_7 be new n/2 \times n/2 matrices
             S_1 = B_{12} - B_{22}
 9
             S_2 = A_{11} + A_{12}
10
             S_3 = A_{21} + A_{22}
11
             S_4 = B_{21} - B_{11}
12
             S_5 = A_{11} + A_{22}
13
             S_6 = B_{11} + B_{22}
14
             S_7 = A_{12} - A_{22}S_8 = B_{21} + B_{22}
15
16
             S_9 = A_{11} - A_{21}
17
             S_{10} = B_{11} - B_{12}
18
             P_1 = \text{Square-Matrix-Multiply-Strassen}(A_{11}, S_1)
19
             P_2 = \text{Square-Matrix-Multiply-Strassen}(S_2, B_{22})
20
             P_3 = \text{Square-Matrix-Multiply-Strassen}(S_3, B_{11})
21
             P_4 = \text{Square-Matrix-Multiply-Strassen}(A_{22}, S_4)
22
             P_5 = \text{Square-Matrix-Multiply-Strassen}(S_5, S_6)
23
             P_6 = \text{Square-Matrix-Multiply-Strassen}(S_7, S_8)
24
             P_7 = \text{Square-Matrix-Multiply-Strassen}(S_9, S_{10})
25
             C_{11} = P_5 + P_4 - P_2 + P_6
26
             C_{12} = P_1 + P_2
27
28
             C_{21} = P_3 + P_4
             C_{22} = P_5 + P_1 - P_3 - P_7
29
        \mathbf{return}\ C
30
```

4.2-3 How would you modify Strassen's algorithm to multiply $n \times n$ matrices in which n is not an exact power of 2? Show that the resulting algorithm runs in time $\Theta(n^{\lg 7})$.

Pad each input $n \times n$ matrix (rows and columns) with m-n zeros, resulting in an $m \times m$ matrix, where $m=2^{\lceil \lg n \rceil}$. After computing the final matrix, cut down the last m-n rows and m-n columns (which will be zeros).

Padding the matrix with zeros is done once, in the root of the recursion tree, and takes $O(m^2)$. Since we now have an $m \times m$ matrix, the algorithm runs in $\Theta(m^{\lg 7}) + O(m^2) = \Theta(m^{\lg 7})$. We have that $n \leq m < 2^{(\lg n) + 1} = 2^{\lg n} \cdot 2 = 2n$. Thus, the algorithm runs in $\Theta((2n)^{\lg 7}) = \Theta(n^{\lg 7})$.

4.2-4 What is the largest k such that if you can multiply 3×3 matrices using k multiplications (not assuming commutativity of multiplication), then you can multiply $n \times n$ matrices in time $o(n^{\lg 7})$? What would the running time of this algorithm be?

If we modify the SQUARE-MATRIX-MULTIPLY-RECURSIVE algorithm to partition the matrices into $n/3 \times n/3$ submatrices, we would have the following recurrence:

$$T(n) = \Theta(1) + 27T(n/3) + \Theta(n^2) = 27T(n/3) + \Theta(n^2).$$

Let's proceed to understand a little more about the above recurrence. Let A and B be the two input matrices in each node of the above recursion tree. Like in the original SQUARE-MATRIX-MULTIPLY-RECURSIVE algorithm, our modified version will take $\Theta(1)$ to partition A and B into $n/3 \times n/3$ submatrices. In each node of the tree, the product of A and B is recursively computed by the products of their submatrices. Since the number of recursive (submatrices) products to compute $A \cdot B$ in each node of the recursion tree is 27 and each of these submatrices is 3 times smaller than A and B, the 27 recursive products takes 27T(n/3). Finally, the number of summations to compute the final matrix is $\Theta(3 \cdot 9 \cdot n^2/3) = \Theta(n^2)$.

If after partitioning A and B into $n/3 \times n/3$ submatrices we can compute their product with k multiplications (instead of 27), we would have the following recurrence:

$$T(n) = \Theta(1) + kT(n/3) + \Theta(n^2) = kT(n/3) + \Theta(n^2),$$

We can solve the above recurrence using the master method. We have $f(n) = n^2$ and $n^{\log_b a} = n^{\log_3 k}$. Using the first case of the master method, we have

$$\forall k \mid \log_3 k > 2, \ n^2 = O(n^{(\log_3 k) - \epsilon}), \ 0 \le \epsilon \le \log_3 k - 2,$$

which implies

$$T(n) = \Theta(n^{\log_3 k}).$$

Since $\log_3 21 < \lg 7 < \log_3 22$, the largest value for k is 21. Its running time would be $n^{\log_3 21} \approx n^{2.7712}$.

4.2-5 V. Pan has discovered a way of multiplying 68×68 matrices using 132,464 multiplications, a way of multiplying 70×70 matrices using 143,640 multiplications, and a way of multiplying 72×72 matrices using 155,424 multiplications. Which method yields the best asymptotic running time when used in a divide-and-conquer matrix-multiplication algorithm? How does it compare to Strassen's algorithm?

The algorithms would take:

- $n^{\log_{68} 132,464} \approx n^{2.795128}$
- $n^{\log_{70} 143,640} \approx n^{2.795122}$.
- $n^{\log_{72} 155,424} \approx n^{2.795147}$

The fastest is the one that multiplies 70×70 matrices, but all of them are faster then the Strassen's algorithm.

4.2-6 How quickly can you multiply a $kn \times n$ matrix by an $n \times kn$ matrix, using Strassen's algorithm as a subroutine? Answer the same question with the order of the input matrices reversed.

Let A and B be $kn \times n$ and $n \times kn$ matrices, respectively. We can compute $A \cdot B$ as follows:

- (a) Partition A and B into k submatrices A_1, \ldots, A_k and B_1, \ldots, B_k , each one of size $n \times n$.
- (b) Compute the desired submatrices C_{ij} of the result matrix C by the product of $A_i \cdot B_j$. Use the Strassen's algorithm to compute each of those products.

Since each of the k^2 products takes $\Theta(n^{\lg 7})$, this algorithm runs in $\Theta(k^2 n^{\lg 7})$.

We can compute $B \cdot A$ as follows:

- (a) Partition A and B into k submatrices A_1, \ldots, A_k and B_1, \ldots, B_k , each one of size $n \times n$.
- (b) Compute the tresult matrix $C = \sum_{i=1}^{k} A_i \cdot B_i$. Use the Strassen's algorithm to compute each of those products.

Since each of the k products takes $\Theta(n^{\lg 7})$ and the k-1 summations takes $\Theta((k-1)n^2/k) = O(n^2)$, this algorithm runs in $\Theta(kn^{\lg 7}) + O(n^2) = \Theta(kn^{\lg 7})$.

4.2-7 Show how to multiply the complex numbers a + bi and c + di using only three multiplications of real numbers. The algorithm should take a, b, c, and d as input and produce the real component ac - bd and the imaginary component ad + bc separately.

The pseudocode is stated below.

Complex-Product
$$(a, b, c, d)$$

1 $x = a \cdot c$

- $y = b \cdot d$
- $y = 0 \cdot a$ real-part = x - y
- 4 $imaginary-part = (a+b) \cdot (c+d) x y$
- 5 return real-part, imaginary-part

Section 4.3 – The substitution method for solving recurrences

4.3-1 Show that the solution of T(n) = T(n-1) + n is $O(n^2)$.

Our guess is

$$T(n) \le cn^2 \ \forall n \ge n_0,$$

where c and n_0 are positive constants. Substituting into the recurrence yields

$$T(n) \le c(n-1)^2 + n$$

$$= cn^2 - 2cn + c + n \quad (c=1)$$

$$= n^2 - 2n + n + 1$$

$$= n^2 - n + 1$$

$$\le n^2,$$

where the last step holds as long as $n_0 \ge 1$.

4.3-2 Show that the solution of $T(n) = T(\lceil n/2 \rceil) + 1$ is $O(\lg n)$.

Our guess is

$$T(n) \le c \lg n - d \ \forall n \ge n_0,$$

where c, d, and n_0 are positive constants. Substituting into the recurrence yields

$$T(n) \le c \lg(\lceil n/2 \rceil) - d + 1$$

$$\le c \lg n - d + 1$$

$$\le c \lg n,$$

where the last step holds as long as $d \geq 1$.

4.3-3 We saw that the solution of $T(n) = 2T(\lfloor n/2 \rfloor) + n$ is $O(n \lg n)$. Show that the solution of this recurrence is also $\Omega(n \lg n)$. Conclude that the solution is $\Theta(n \lg n)$.

Our guess is

$$T(n) \ge cn \lg n \ \forall n \ge n_0,$$

where c and n_0 are positive constants. Substituting into the recurrence yields

$$\begin{split} T(n) &\geq 2(c \lfloor n/2 \rfloor \lg \lfloor n/2 \rfloor) + n \\ &\geq 2c(n/4)\lg(n/4) + n \\ &= c(n/2)\lg n - c(n/2)\lg 4 + n \\ &= c(n/2)\lg n - cn + n \\ &\geq cn\lg n, \end{split}$$

where the last step holds as long as c < 1.

Thus, we have

$$c_1 n \lg n \le T(n) \le c_2 n \lg n$$
,

with $c_1 \leq 1$ and $c_2 \geq 1$, which implies

$$T(n) = \Theta(n \lg n).$$

4.3-4 Show that by making a different inductive hypothesis, we can overcome the difficulty with the boundary condition T(1) = 1 for recurrence (4.19) without adjusting the boundary conditions for the inductive proof.

Our new guess is

$$T(n) \le cn \lg n + n \ \forall n \ge n_0,$$

where c, d, and n_0 are positive constants. Substituting into the recurrence yields

$$T(n) \le 2(c\lfloor n/2\rfloor \lg\lfloor n/2\rfloor + \lfloor n/2\rfloor) + n$$

$$\le cn \lg(n/2) + 2(n/2) + n$$

$$= cn \lg n - cn \lg 2n + 2n$$

$$= cn \lg n - cn + 2n$$

$$\le cn \lg n + n,$$

where the last step holds as long as $c \geq 1$.

Now on the boundary condition, we have

$$T(1) \le c(n \lg n) + n = c1 \lg 1 + 1 = 0 + 1 = 1.$$

4.3-5 Show that $\Theta(n \mid g n)$ is the solution to the "exact" recurrence (4.3) for merge sort.

First, we verify if (4.3) is $O(n \lg n)$. Our guess is

$$T(n) \le c(n-d)\lg(n-d) \ \forall n \ge n_0,$$

where c, d, and n_0 are positive constants. Substituting into the recurrence yields

$$\begin{split} T(n) & \leq c(\lceil n/2 \rceil - d) \lg(\lceil n/2 \rceil - d) + c(\lfloor n/2 \rfloor - d) \lg(\lfloor n/2 \rfloor - d) + en \\ & \leq c(n/2 + 1 - d) \lg(n/2 + 1 - d) + c(n/2 - d) \lg(n/2 - d) + en \\ & \leq c \left(\frac{n - d}{2}\right) \lg\left(\frac{n - d}{2}\right) + c\left(\frac{n - d}{2}\right) \lg\left(\frac{n - d}{2}\right) + en \\ & = c(n - d) \lg\left(\frac{n - d}{2}\right) + en \\ & = c(n - d) \lg(n - d) - c(n - d) + en \\ & = c(n - d) \lg(n - d) - cn + en + cd \\ & \leq c(n - d) \lg(n - d), \end{split}$$

where the last step holds as long as c > e and $n_0 \ge cd$.

Then we verify if (4.3) is $\Omega(n \lg n)$. Our guess is

$$T(n) > c(n+d)\lg(n+d) \ \forall n > n_0$$

where c, d, and n_0 are positive constants. Substituting into the recurrence yields

$$T(n) \ge c(\lceil n/2 \rceil + d) \lg(\lceil n/2 \rceil + d) + c(\lfloor n/2 \rfloor + d) \lg(\lfloor n/2 \rfloor + d) + en$$

$$\ge c(n/2 + d) \lg(n/2 + d) + c(n/2 - 1 + d) \lg(n/2 - 1 + d) + en \qquad (d \ge 2)$$

$$\ge c \left(\frac{n+d}{2}\right) \lg\left(\frac{n+d}{2}\right) + c\left(\frac{n+d}{2}\right) \lg\left(\frac{n+d}{2}\right) + en$$

$$= c(n+d) \lg\left(\frac{n+d}{2}\right) + en$$

$$= c(n+d) \lg(n+d) - c(n+d) + en$$

$$= c(n+d) \lg(n+d) - cn + en - cd$$

$$\ge c(n+d) \lg(n+d),$$

where the last step holds as long as e > c and $n_0 \ge cd$.

4.3-6 Show that the solution to $T(n) = 2T(\lfloor n/2 \rfloor + 17) + n$ is $O(n \lg n)$.

Our guess is

$$T(n) \le c(n-d)\lg(n-d) \ \forall n \ge n_0,$$

where c, d, and n_0 are positive constants. Substituting into the recurrence yields

$$T(n) \leq 2c(\lfloor n/2 \rfloor - d + 17) \lg(\lfloor n/2 \rfloor - d + 17) + n$$

$$\leq 2c(n/2 - d + 17) \lg(n/2 - d + 17) + n \qquad (d \geq 34)$$

$$\leq 2c \left(\frac{n - d}{2}\right) \lg\left(\frac{n - d}{2}\right) + n$$

$$= c(n - d) \lg\left(\frac{n - d}{2}\right) + n$$

$$= c(n - d) \lg(n - d) - c(n - d) + n$$

$$= c(n - d) \lg(n - d) - cn + n + cd$$

$$\leq c(n - d) \lg(n - d),$$

where the last step holds as long as $c \geq 2$ and $n_0 \geq cd$.

4.3-7 Using the master method in Section 4.5 you can show that the solution to the recurrence T(n) = 4T(n/3) + n is $T(n) = \Theta(n^{\log_3 4})$. Show that a substitution proof with the assumption $T(n) \le cn^{\log_3 4}$ fails. Then show how to subtract off a lower-order term to make a substitution proof work.

The initial guess is

$$T(n) \le c n^{\log_3 4} \ \forall n \ge n_0,$$

where c, and n_0 are positive constants. Substituting into the recurrence yields

$$T(n) \le 4c \left(\frac{n}{3}\right)^{\log_3 4} + n$$

= $4c \frac{n^{\log_3 4}}{4} + n$
= $cn^{\log_3 4} + n$

which does not imply $T(n) \leq c n^{\log_3 4}$ for any choice of c.

Our new guess is

$$T(n) \leq c n^{\log_3 4} - dn \ \forall n \geq n_0,$$

where c, d, and n_0 are positive constants. Substituting into the recurrence yields

$$T(n) \le 4 \left(c \left(\frac{n}{3} \right)^{\log_3 4} - d \frac{n}{3} \right) + n$$

$$= 4c \frac{n^{\log_3 4}}{4} - 4d \frac{n}{3} + n$$

$$< c n^{\log_3 4},$$

where the last step holds as long as $d \geq 3/4$.

4.3-8 Using the master method in Section 4.5, you can show that the solution to the recurrence T(n) = 4T(n/2) + n is $T(n) = \Theta(n^2)$. Show that a substitution proof with the assumption $T(n) \le cn^2$ fails. Then show how to subtract off a lower-order term to make a substitution proof work.

The initial guess is

$$T(n) \le cn^2 \ \forall n \ge n_0,$$

where c, and n_0 are positive constants. Substituting into the recurrence yields

$$T(n) \le 4c \left(\frac{n}{2}\right)^2 + n$$
$$= cn^2 + n$$

which does not imply $T(n) \leq cn^2$ for any choice of c.

Our new guess is

$$T(n) \le cn^2 - dn \ \forall n \ge n_0,$$

where c, d, and n_0 are positive constants. Substituting into the recurrence yields

$$T(n) \le 4\left(c\left(\frac{n}{2}\right)^2 - d\frac{n}{2}\right) + n$$
$$= cn^2 - 2dn + n$$
$$\le cn^2,$$

where the last step holds as long as $d \ge 1/2$.

4.3-9 Solve the recurrence $T(n) = 3T(\sqrt{n}) + \log n$ by making a change of variables. Your solution should be asymptotically tight. Do not worry about whether values are integral.

Renaming $m = \log n$ yields

$$T(10^m) = 3T(10^{m/2}) + m.$$

Now renaming $S(m) = T(2^m)$ yields

$$S(m) = 3S(m/2) + m.$$

With the master method, we have $f(n) = m = \log n$ and $n^{\log_b a} = n^{\lg 3} \approx n^{1.585}$. Using the first case, we have

$$f(n) = \log n = O(n^{\log 3 - \epsilon}), \ (\epsilon = 0.5)$$

which implies

$$S(m) = \Theta(m^{\lg 3}).$$

We can double-check if $S(m) = O(m^{\lg 3})$ using the substition method. Our guess is

$$S(m) \le cm^{\lg 3} - dm \ \forall \, m \ge m_0,$$

where c, d, and n_0 are positive constants. Substituting into the recurrence yields

$$\begin{split} T(n) &\leq 3 \left(c \left(\frac{m}{2} \right)^{\lg 3} - d \frac{m}{2} \right) + m \\ &= 3c \frac{m^{\lg 3}}{3} - 3d \frac{m}{2} + m \\ &\leq c m^{\lg 3} + d m \end{split}$$

where the last step holds as long as $d \geq 2/3$.

Now verifying if $S(m) = \Omega(m^{\lg 3})$ with the substitution method. Our guess is

$$S(m) > cm^{\lg 3} \ \forall m > m_0,$$

where c, and n_0 are positive constants. Substituting into the recurrence yields

$$T(n) \ge 3c \left(\frac{m}{2}\right)^{\lg 3} + m$$
$$= 3c \frac{m^{\lg 3}}{3} + m$$
$$\ge cm^{\lg 3}.$$

Finally, we have

$$T(n) = T(10^m) = S(m) = \Theta(m^{\lg 3}) = \Theta(\log^{\lg 3} n).$$