

## Section 6.1 – Heaps

6.1-1 What are the minimum and maximum numbers of elements in a heap of height  $h$ ?

Minimum is  $2^h$ . Maximum is  $2^{h+1} - 1$ .

6.1-2 Show that an  $n$ -element heap has height  $\lfloor \lg n \rfloor$ .

A heap of height  $h + 1$  is a complete tree of height  $h$  plus one additional level with  $1 \leq k \leq 2^h$  nodes. This additional level does not count to the height of the heap, which then explain the height of  $\lfloor \lg n \rfloor$ .

6.1-3 Show that in any subtree of a max-heap, the root of the subtree contains the largest value occurring anywhere in that subtree.

Every node of the subtree has a path upwards to the root of the subtree. Therefore, the max-heap property assures that each of these nodes are no larger than the root of the subtree.

6.1-4 Where in a max-heap might the smallest element reside, assuming that all elements are distinct?

In the leaves. Note that, since the bottom level may be incomplete, in addition to the nodes on level zero, some of the nodes on level one may also be leaves.

6.1-5 Is an array that is in sorted order a min-heap?

Yes, since for each node  $i$ , we have  $A[\text{PARENT}(i)] \leq A[i]$ .

6.1-6 Is the array with values  $\langle 23, 17, 14, 6, 13, 10, 1, 5, 7, 12 \rangle$  a max-heap?

No. The element 6 is the parent of the element 7 and  $6 < 7$ , which violates the min-heap property.

6.1-7 Show that, with the array representation for storing an  $n$ -element heap, the leaves are the nodes indexed by  $\lfloor n/2 \rfloor + 1, \lfloor n/2 \rfloor + 2, \dots, n$ .

The parent of the last element of the array is the element at position  $\lfloor n/2 \rfloor$ , which implies that all elements after  $\lfloor n/2 \rfloor$  has no children and are therefore leaves. Also, since the element at position  $\lfloor n/2 \rfloor$  has at least one child (the element at position  $n$ ), the elements before  $\lfloor n/2 \rfloor$  also have and therefore can not be leaves.