

# Face Recognition II

CSE 40537/60537 Biometrics

**Daniel Moreira**  
Spring 2020

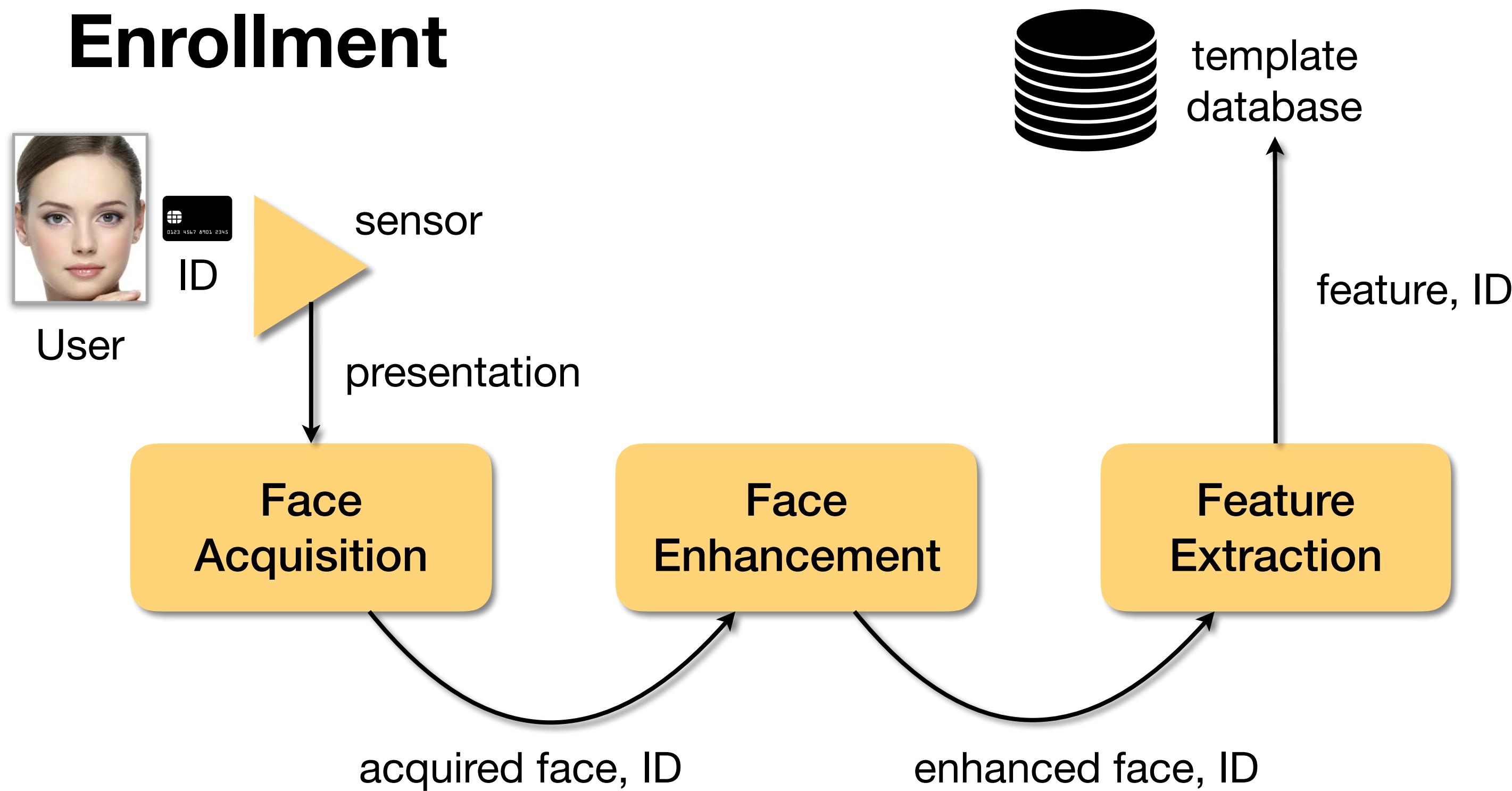


# Today you will...

*Get to know*  
Face acquisition and enhancement.

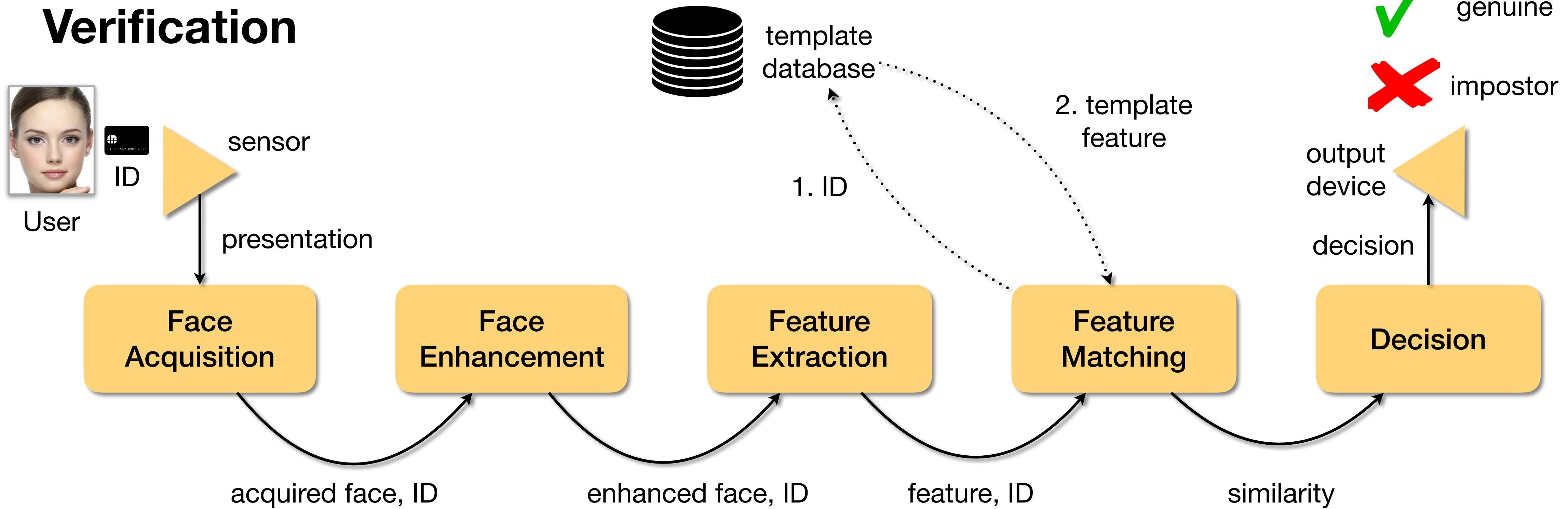
# Face Recognition

## Enrollment



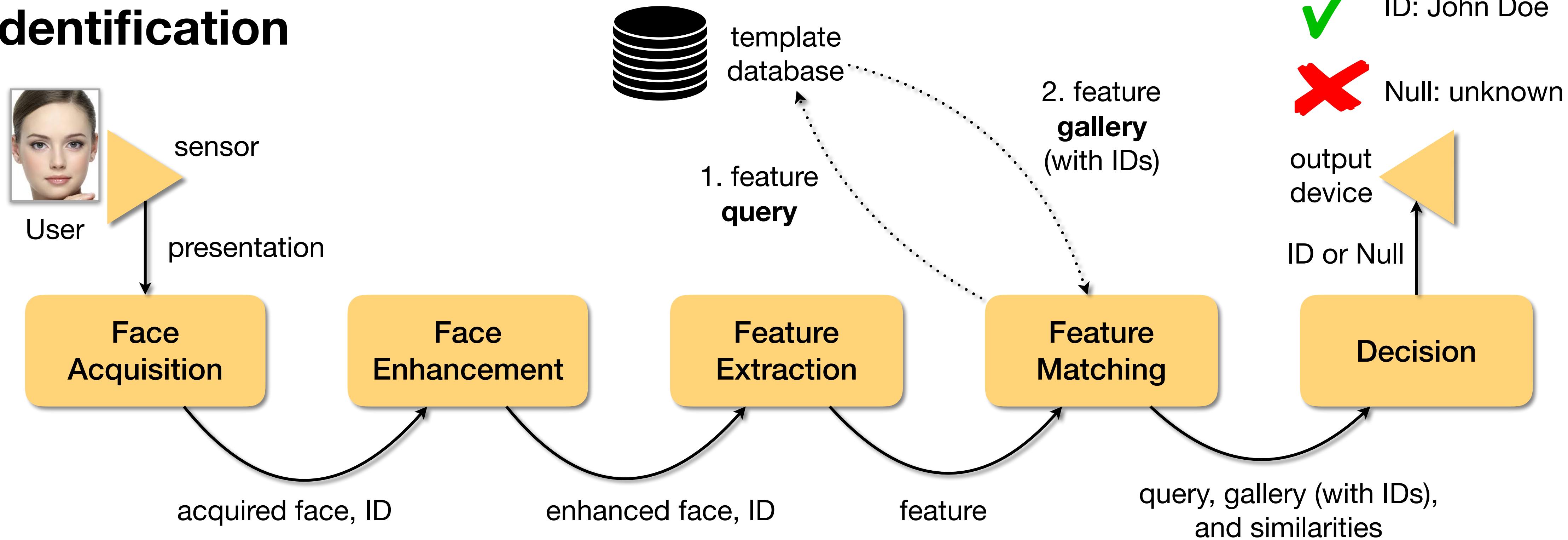
# Face Recognition

## Verification

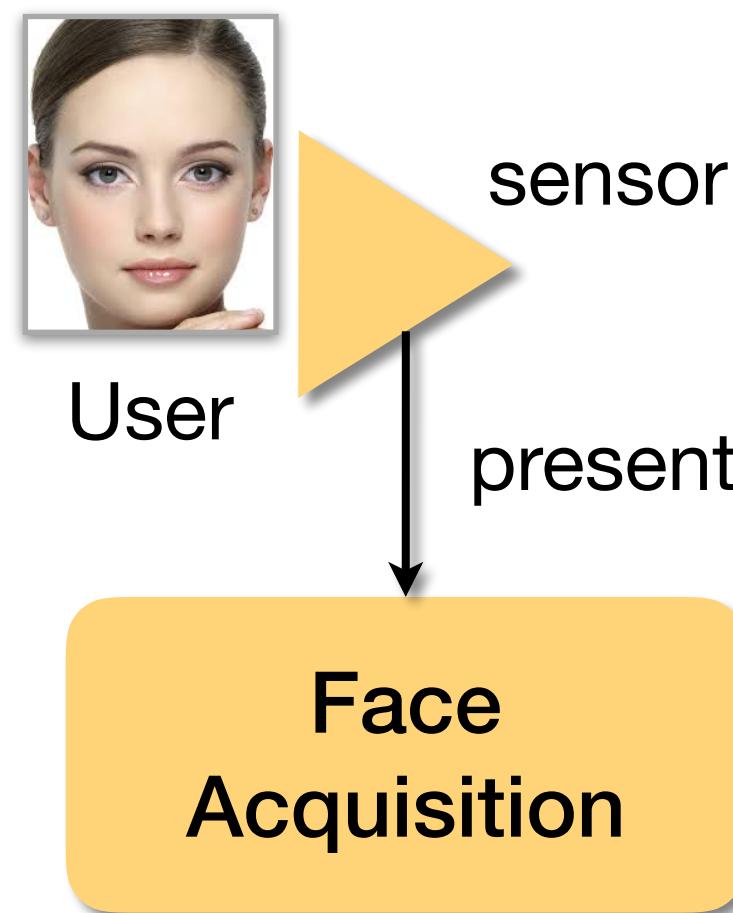


# Face Recognition

## Identification

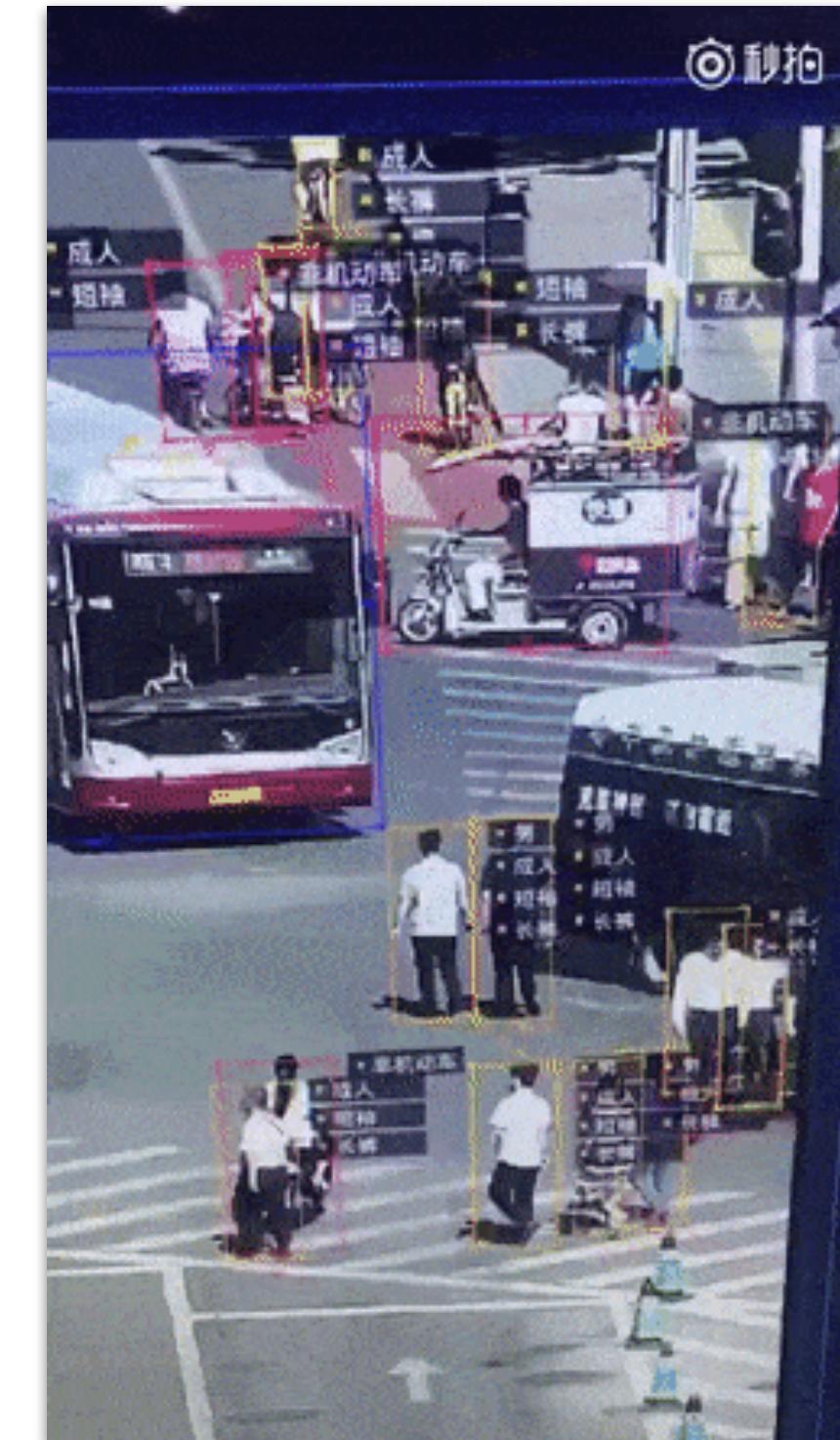


# Face Recognition



# Acquisition

## On-line versus Off-line



[https://www.youtube.com/watch?v=BYN4oF\\_bi4c](https://www.youtube.com/watch?v=BYN4oF_bi4c)

# Acquisition

## Controlled Acquisition

Right pose, distance and illumination.



[https://www.youtube.com/watch?v=BYN4oF\\_bi4c](https://www.youtube.com/watch?v=BYN4oF_bi4c)



<https://www.youtube.com/watch?v=-cjoJR3oWcQ>

# Acquisition

## Controlled Acquisition

Different light wavelengths.

Jain, Ross, and Nadakumar  
*Introduction to Biometrics*  
Springer Books, 2011



Sony infrared camera.

Captures at visible and near-infrared spectra.

# Acquisition

## Controlled Acquisition 3D Information

Source:  
Dr. Walter Scheirer



Minolta Vivid 900/910

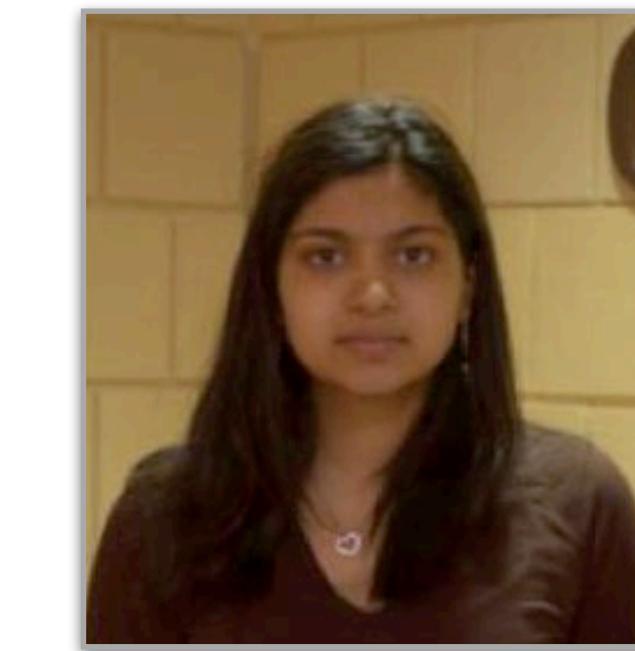
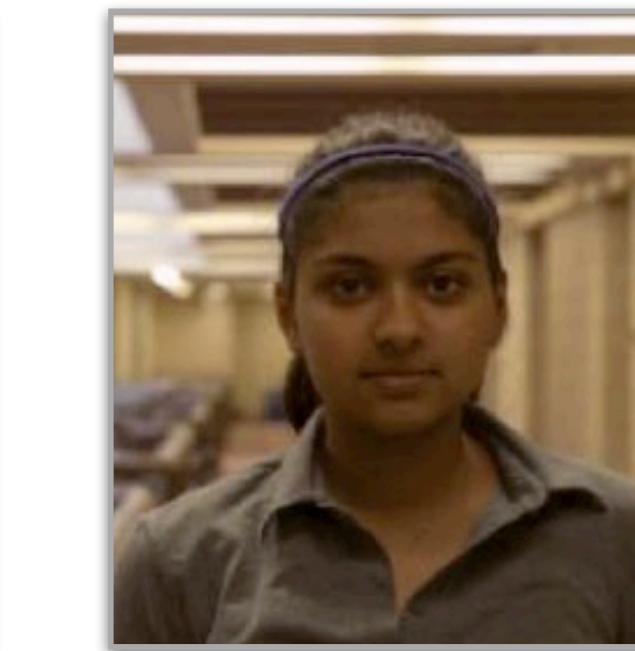
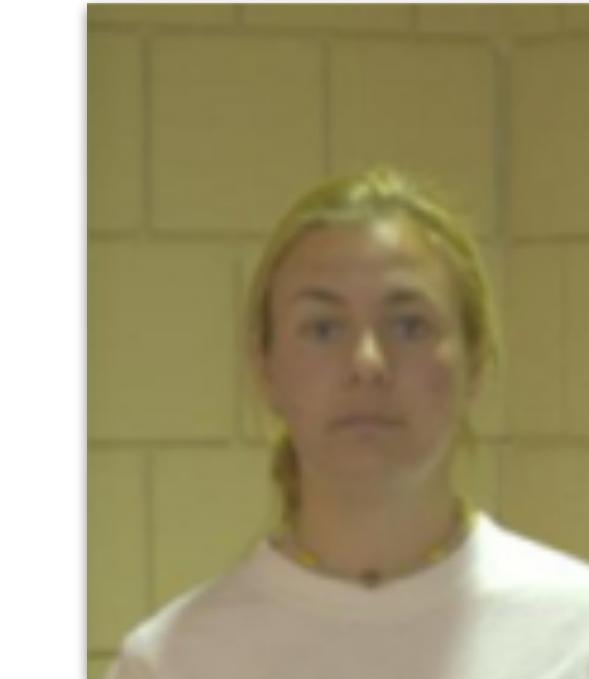
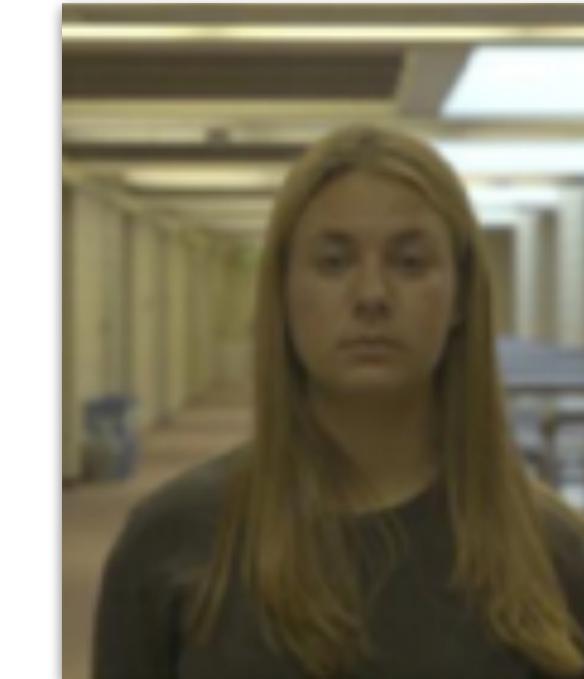
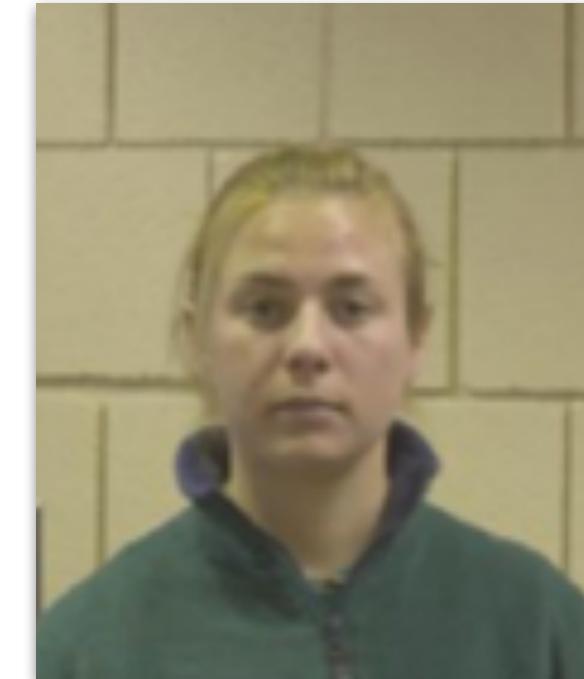


3DMD "Qlonerator"

# Acquisition

## Unconstrained Acquisition No illumination control.

<https://www.nist.gov/system/files/documents/itl/iad/ig/05771424.pdf>

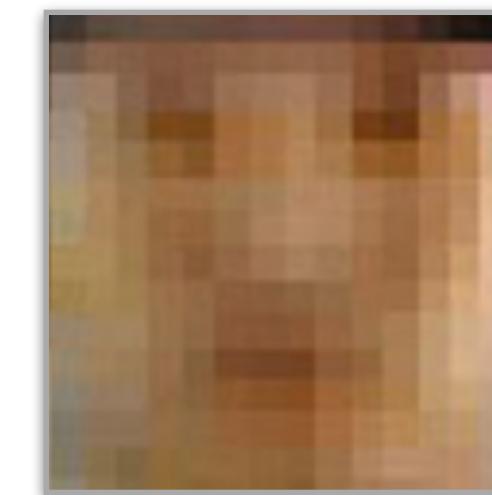
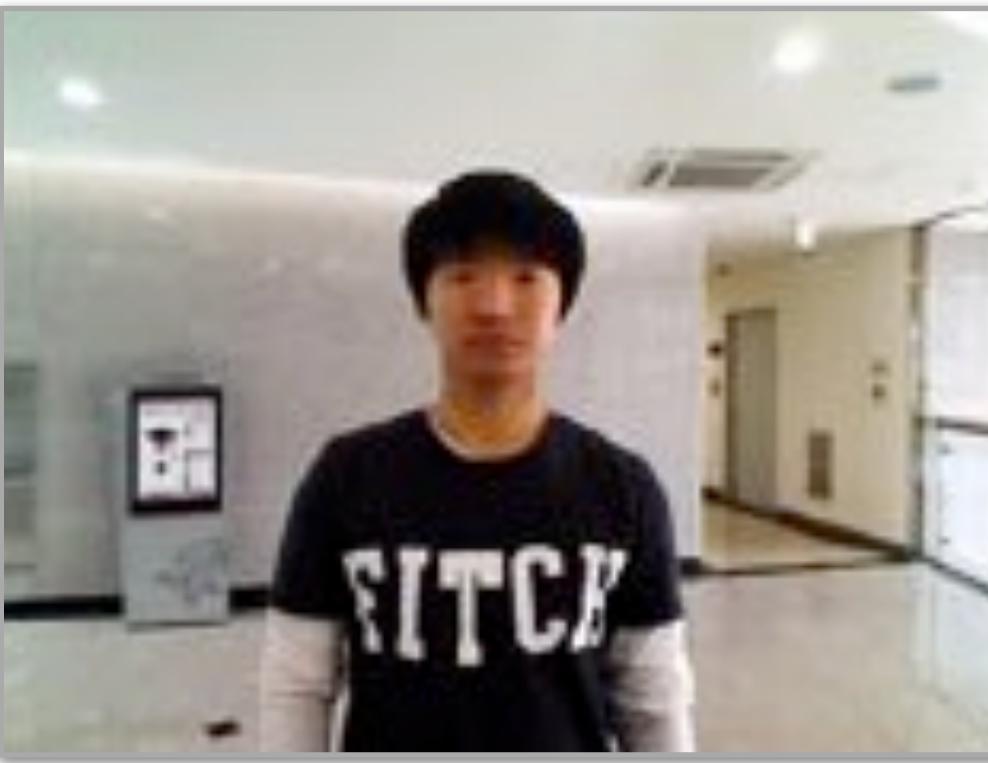


# Acquisition

## Unconstrained Acquisition

No distance control.

Jain, Ross, and Nadakumar  
*Introduction to Biometrics*  
Springer Books, 2011



1m

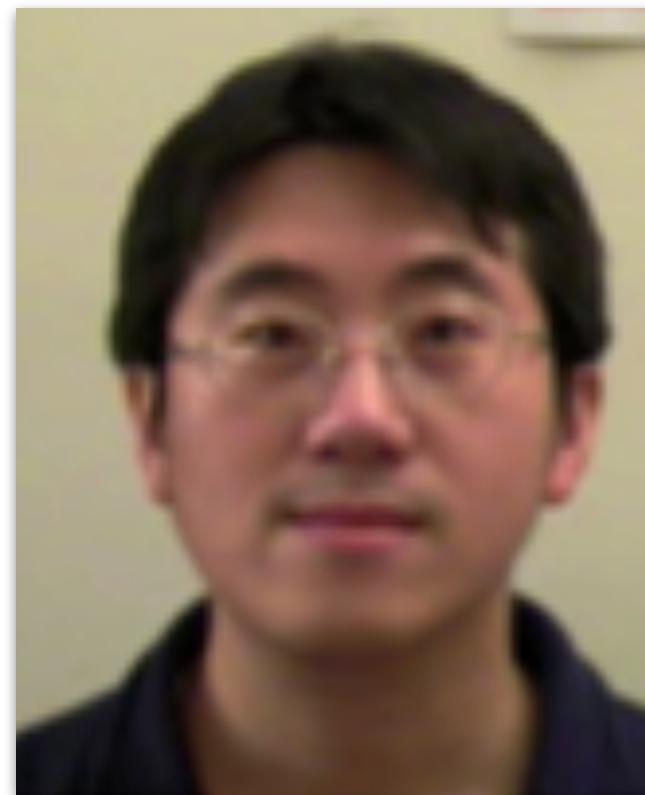
3m

5m

# Acquisition

## Unconstrained Acquisition

No pose control.



Hsu

*Face detection and  
modeling for recognition*  
PhD Thesis, MSU, 2002.

# Acquisition

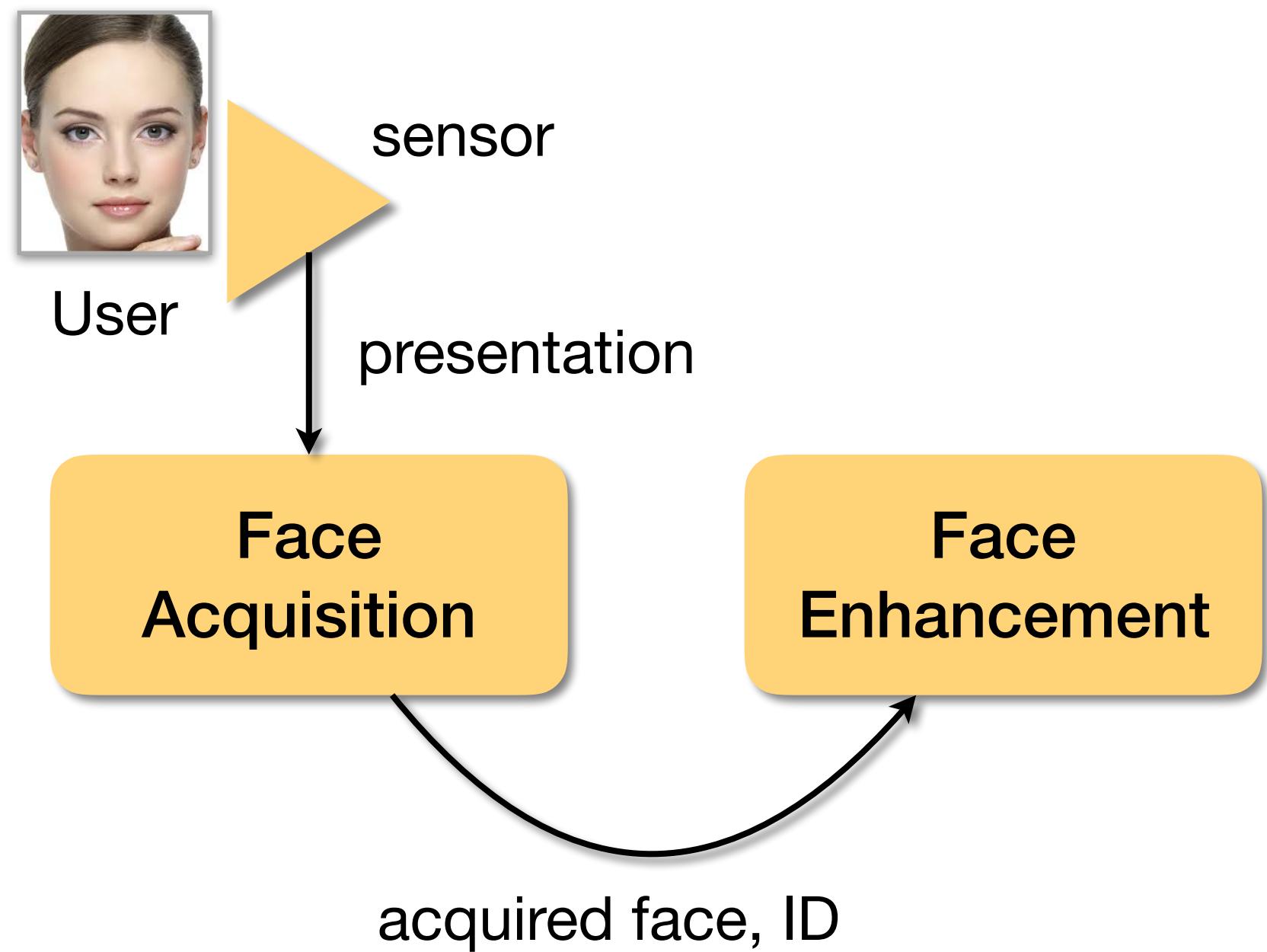
## Problems

### Presentation Attack



<https://www.youtube.com/watch?v=BGgQ9woZQOg>

# Face Recognition

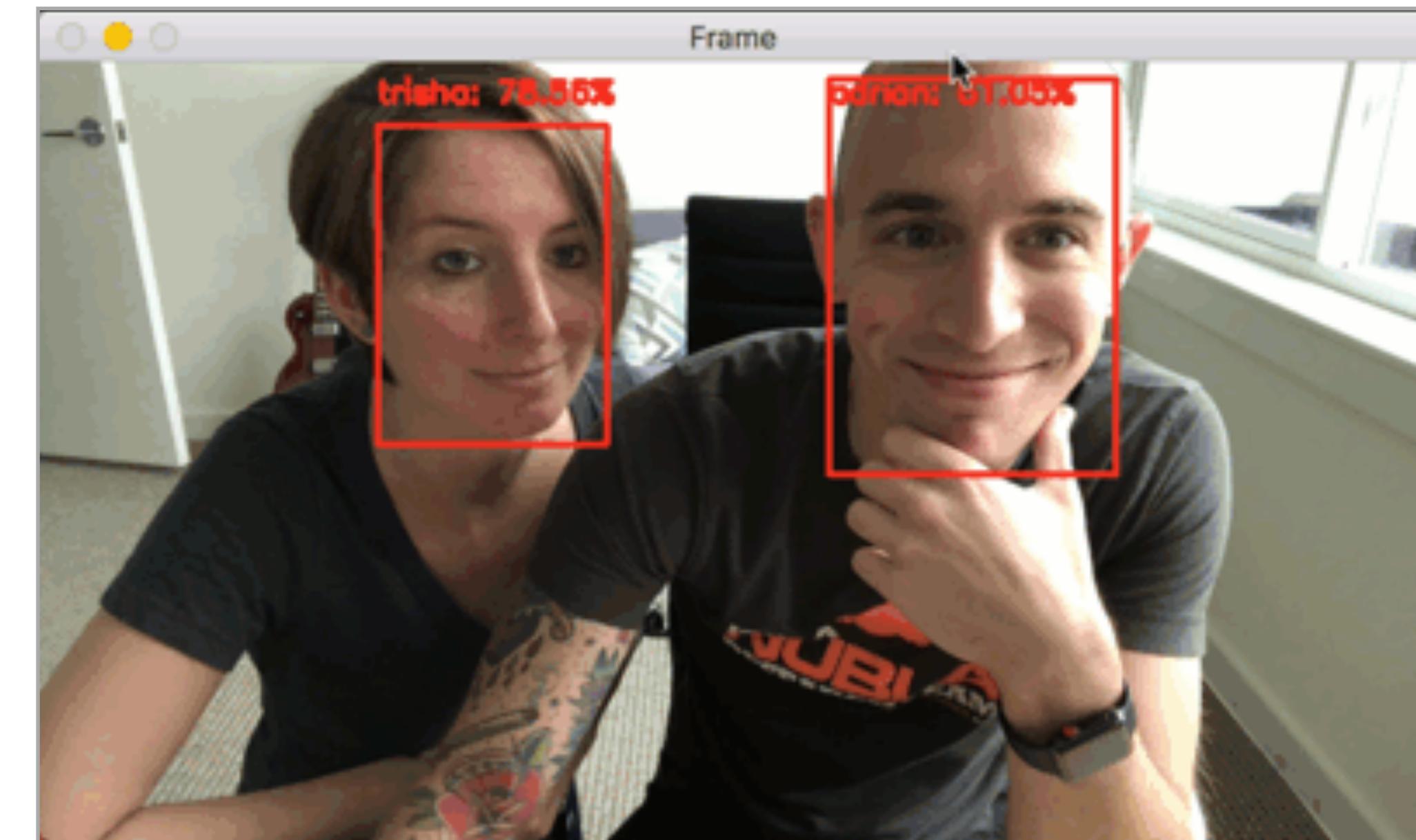


# Enhancement

## Face Detection

### Goal

Localize faces for segmentation and further recognition.



<https://www.pyimagesearch.com/2018/09/24/opencv-face-recognition/>

# Enhancement

## Face Detection

### Challenges

*Megapixel image*

Nearly millions of possible locations and scales combined.

False positives should be below 1 in 1 million.



Source: Hu et al., *Finding Tiny Faces*, 2016 (<https://arxiv.org/abs/1612.04402>)

# Enhancement

## Face Detection

### Methods

Either based on *sliding windows*  
or on *regions of interest*.



# Enhancement

## Face Detection

### Sliding Windows

Scans of the image with windows of different scales.



# Enhancement

## Face Detection

### Sliding Windows

Scans of the image with windows of different scales.

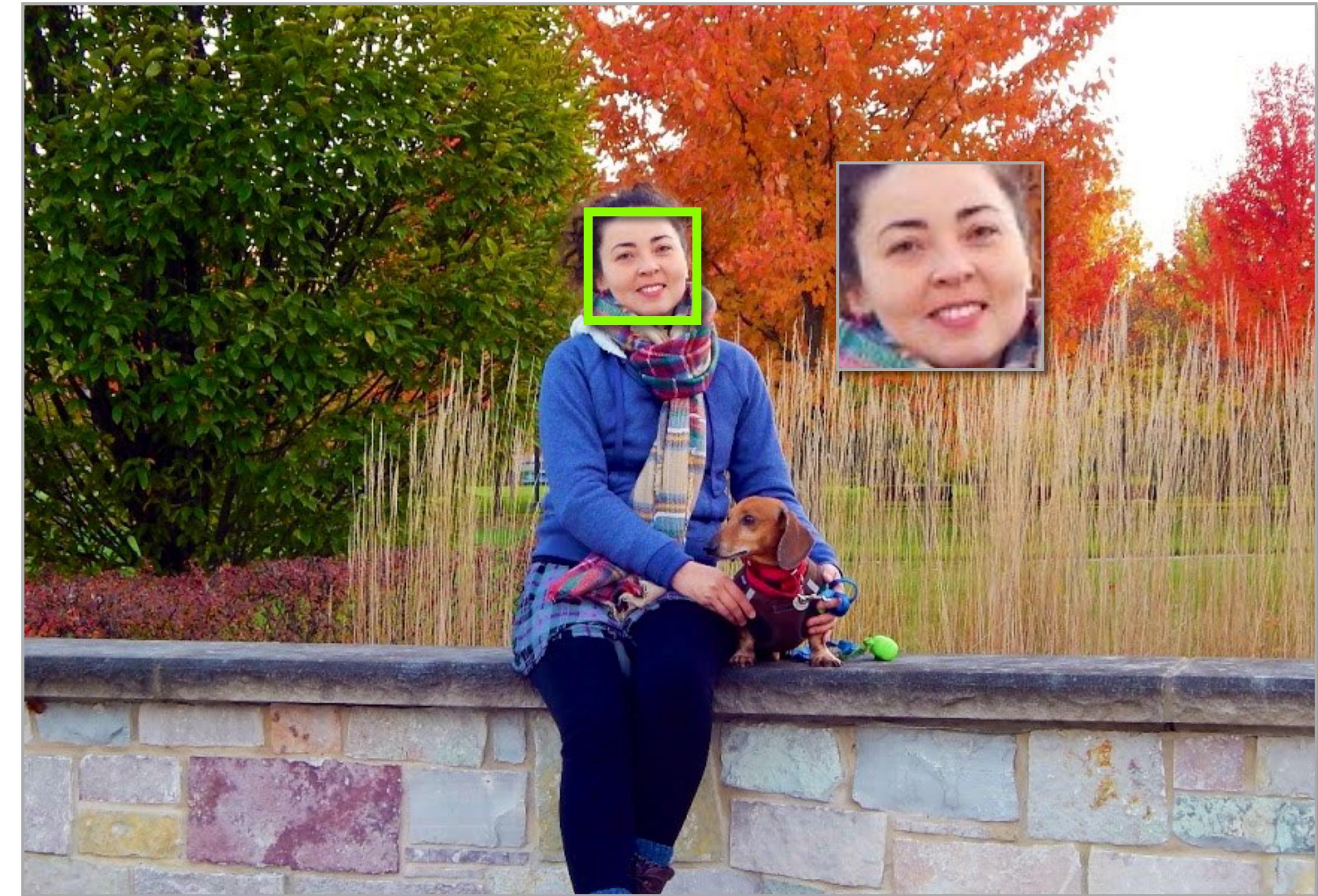


# Enhancement

## Face Detection

### Sliding Windows

Scans of the image with windows of different scales.



# Enhancement

## Face Detection

### Regions of Interest

Techniques from Computer Vision or Machine Learning to segment regions.

E.g., Maximally Stable Extremal Regions (MSER<sup>1</sup>) or Deep Local Features (DELF<sup>2</sup>).



1. Matas et al. *Robust Wide Baseline Stereo from Maximally Stable Extremal Regions*. BMVC 2002.

2. Noh et al. *Large-Scale Image Retrieval with Attentive Deep Local Features*. ICCV 2017.

# Enhancement

## Face Detection

### Regions of Interest

Techniques from Machine Learning to classify each region as *face or non-face*.

E.g., Support Vector Machines (SVM).



# Enhancement

## Face Detection

### Viola-Jones Detector

First real-time face detector.  
Based on sliding windows.

### Key Ideas (4)

Haar-like features.  
Integral image.  
Boosting for feature selection.  
Attentional Cascade to reject non-faces.

SECOND INTERNATIONAL WORKSHOP ON STATISTICAL AND COMPUTATIONAL THEORIES OF  
VISION – MODELING, LEARNING, COMPUTING, AND SAMPLING

VANCOUVER, CANADA, JULY 13, 2001.

### Robust Real-time Object Detection

Paul Viola

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Compaq CRL

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# Enhancement

## Face Detection

### Viola-Jones Detector

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# Enhancement

## Viola-Jones Detector

### Haar-Like Features (1/4)

Binary rectangle filters used to extract features from the sliding window.

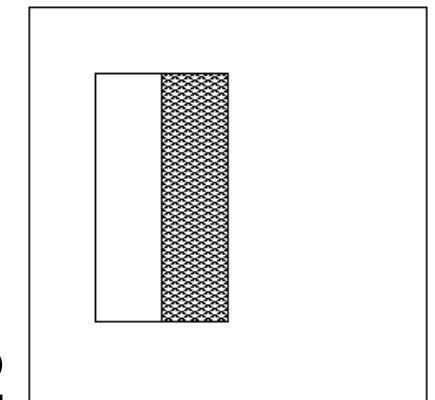
$$value = \sum \text{pixels in white area} - \sum \text{pixels in black area}$$

#### Filter types

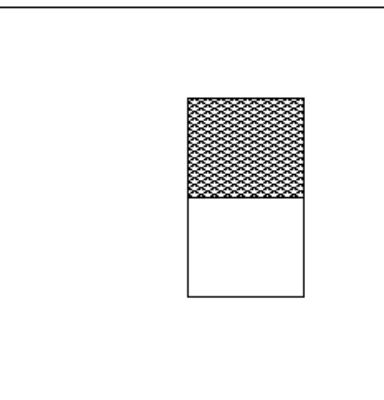
2, 3, and 4 rectangles.



2

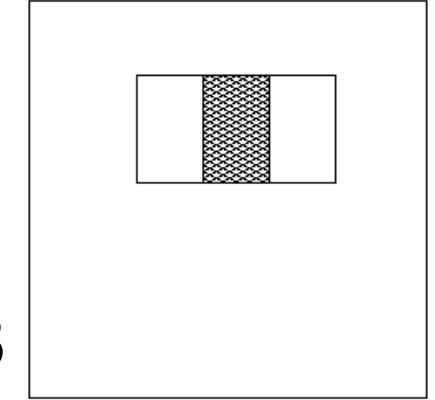


2

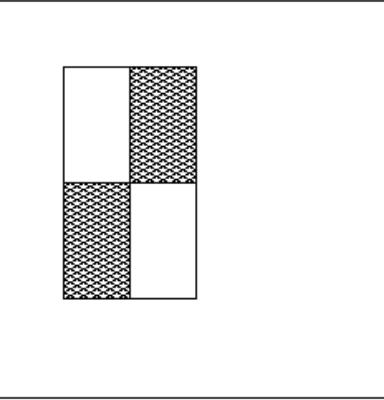


2

3



4



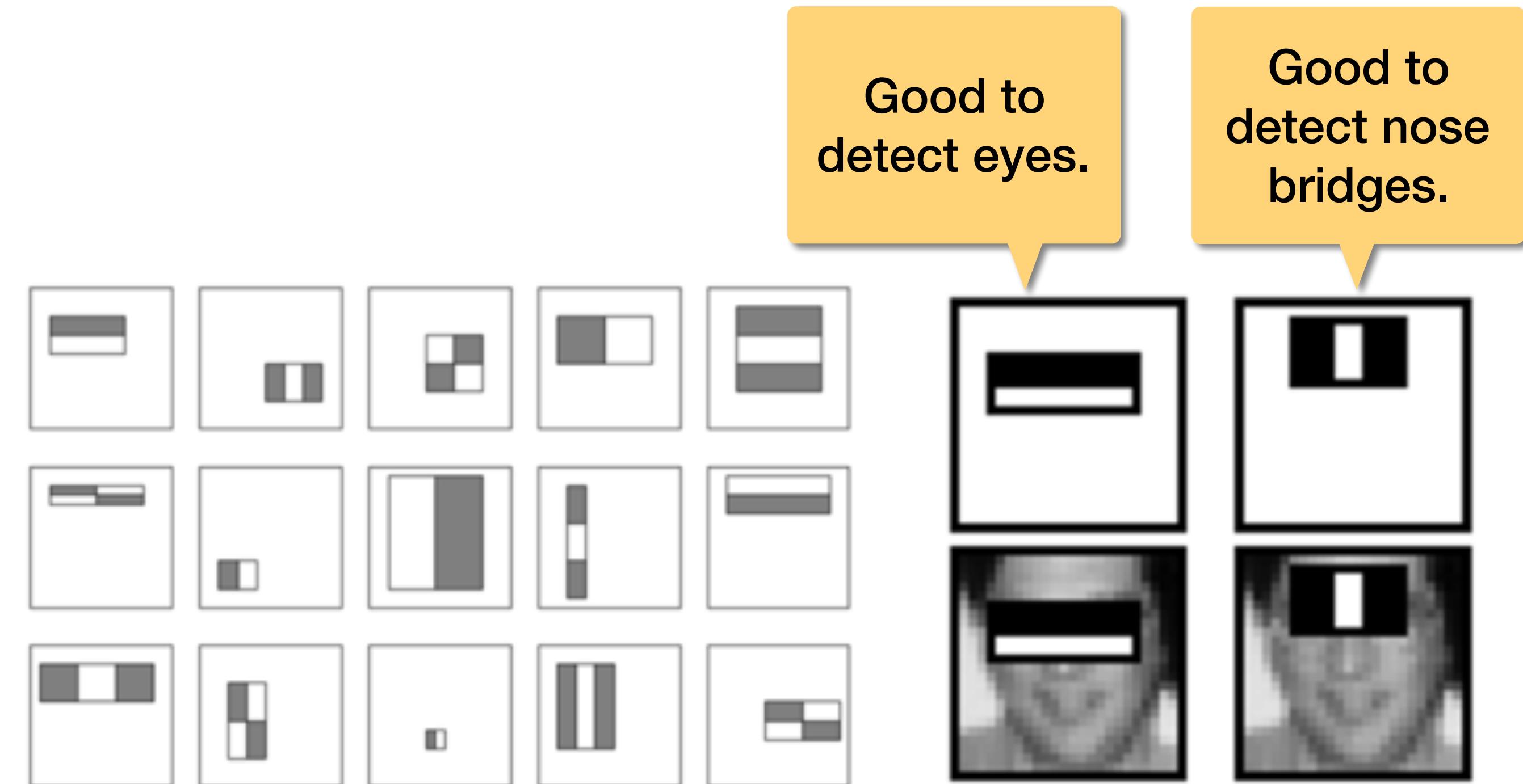
# Enhancement

## Viola-Jones Detector

### Haar-Like Features (1/4)

Take a 24-by-24-pixels window.

The number of possible features is nearly 160,000.



**How to apply and how to select features fast?**

# Enhancement

## Face Detection

### Viola-Jones Detector

First real-time face detector.  
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### Key Ideas (4)

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### Integral image.

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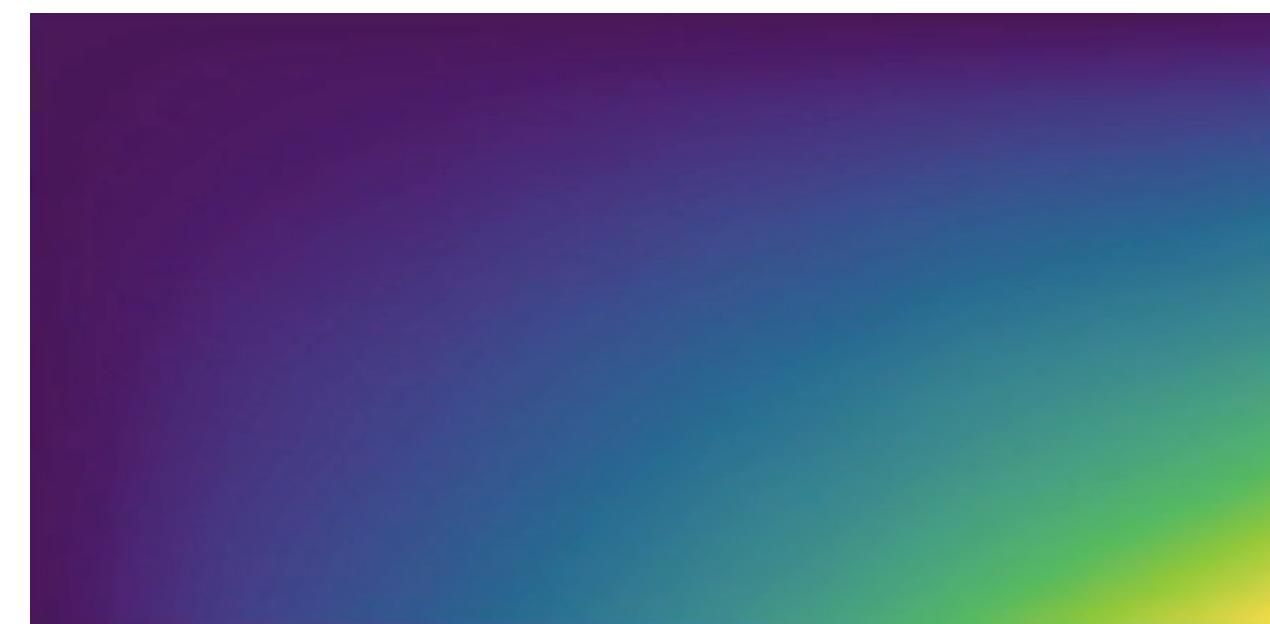
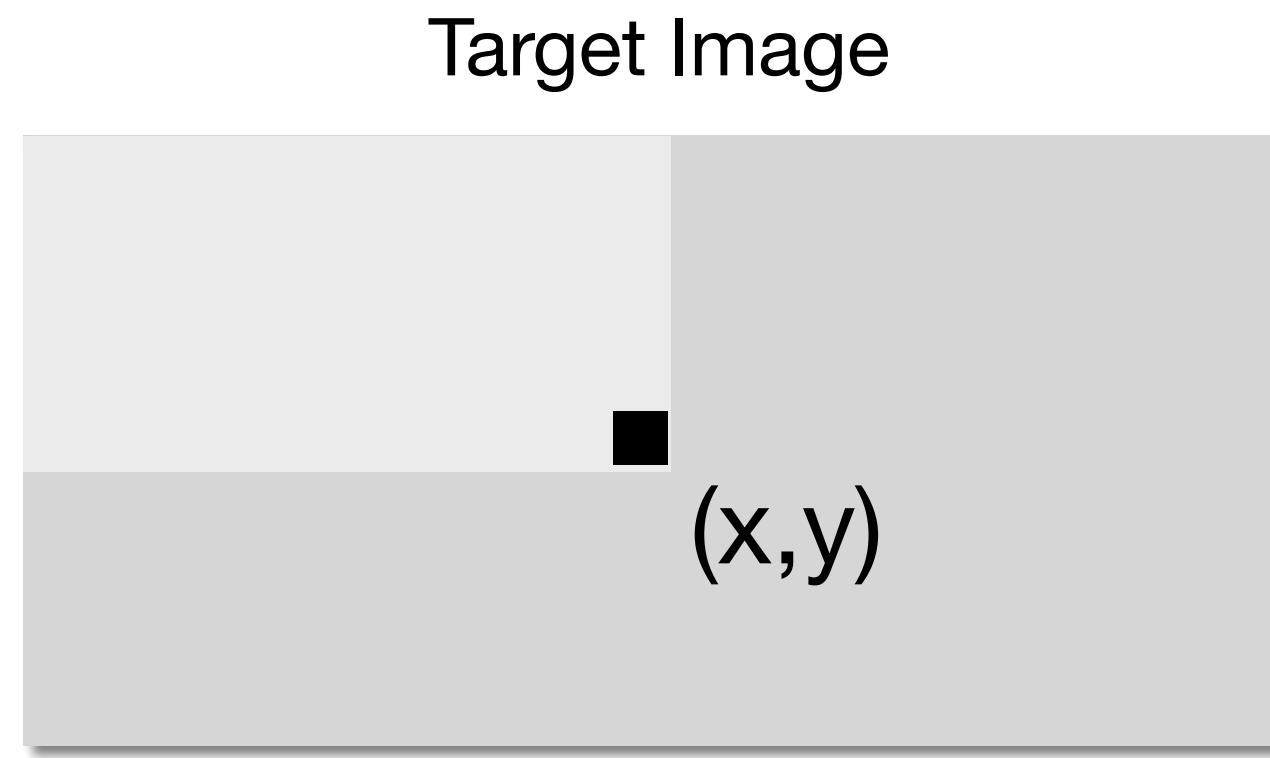
# Enhancement

## Viola-Jones Detector

### Integral Image (2/4)

Solution to apply Haar-like features fast.

Precomputed data structure with the same dimensions of the target image.



Integral Image

# Enhancement

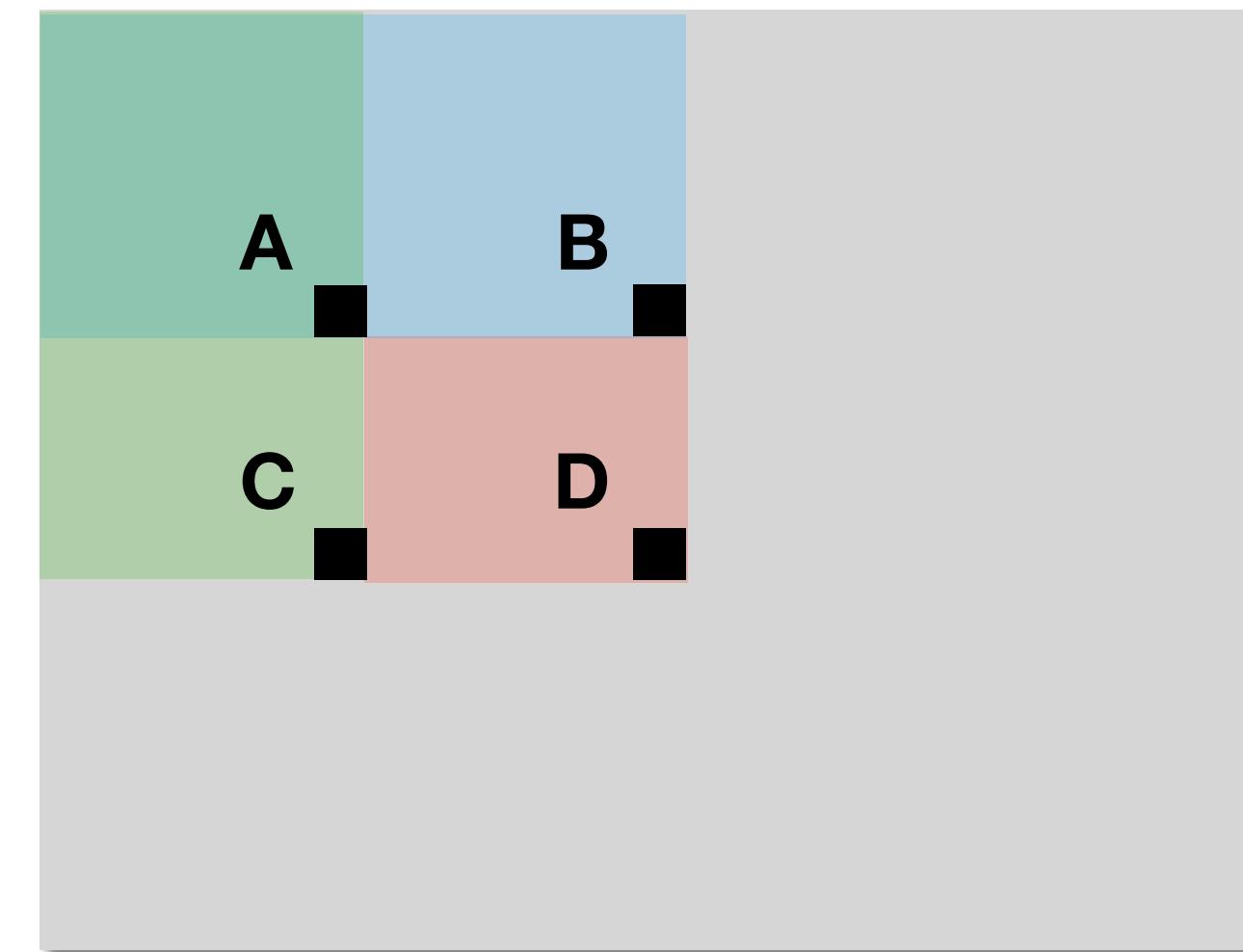
## Viola-Jones Detector

### Integral Image (2/4)

Remember Haar feature *value*:

$$value = \sum \text{pixels in white area} - \sum \text{pixels in black area}$$

Integral images allow the computation of the sum of pixel values in any target area in constant time, regardless of the size of the area.



**Sum of pixels in red area**  
 $content = D - B - C + A$

Only and always 4 accesses.

# Enhancement

## Face Detection

### Viola-Jones Detector

First real-time face detector.  
Based on sliding windows.

### Key Ideas (4)

Haar-like features.  
Integral image.

### Boosting for feature selection.

Attentional Cascade to reject non-faces.

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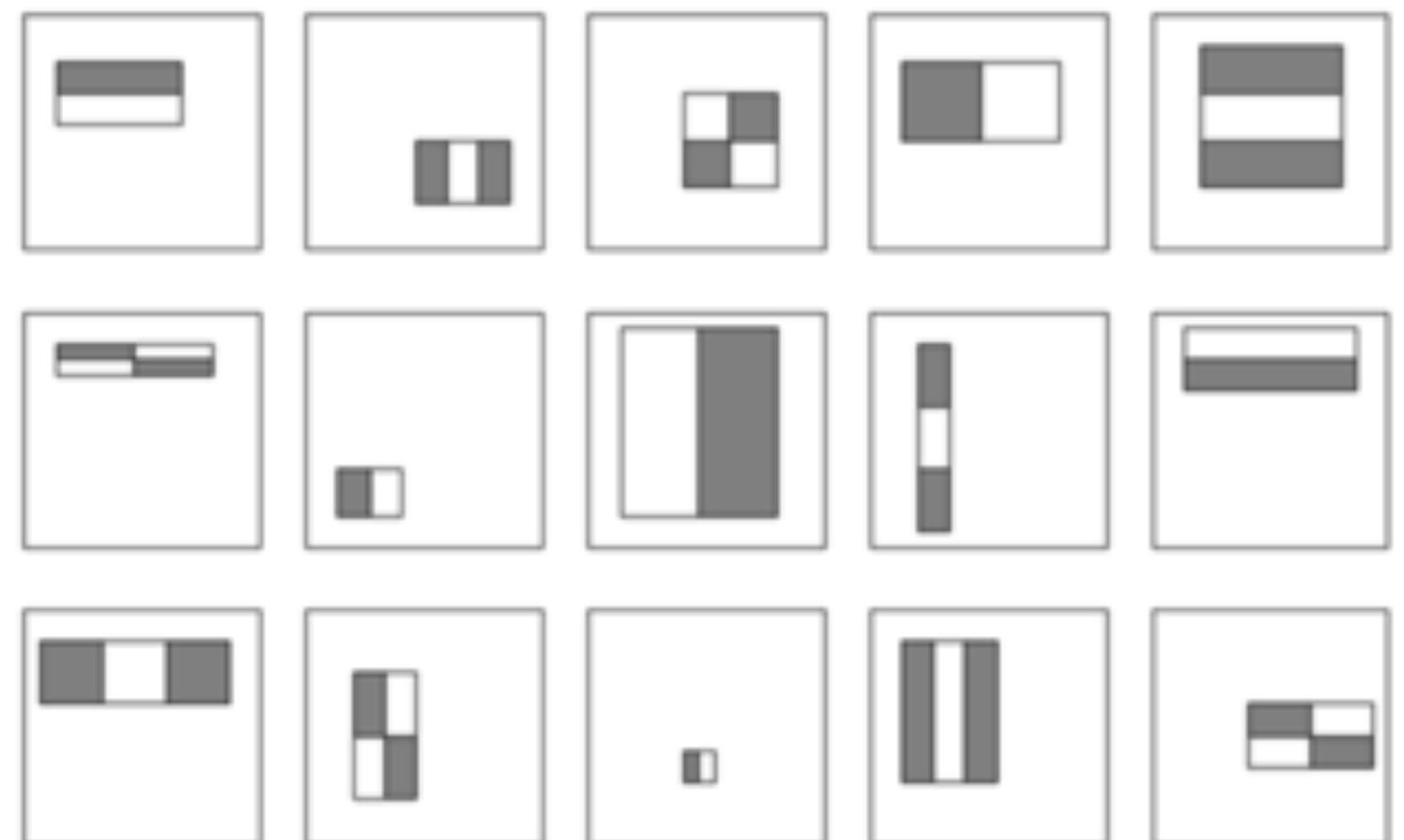
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# Enhancement

## Viola-Jones Detector

### Boosting for Feature Selection (3/4)

Goal: select combinations of Haar-like features that are useful for face detection.



# Enhancement

## Viola-Jones Detector

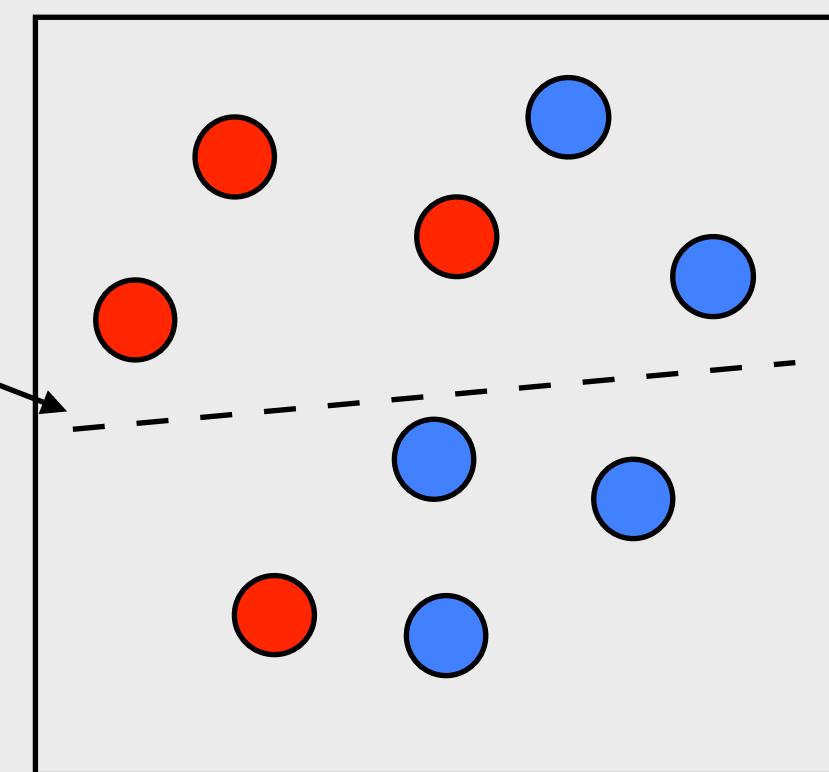
### Boosting for Feature Selection (3/4)

Solution: *boosting*, a combination of weak classifiers that when learned in sequence and applied together, lead to better final classification.

#### Training Illustration

Weak Learner 1

- Faces
- Non-faces



Source: Dr. Walter Scheirer

# Enhancement

## Viola-Jones Detector

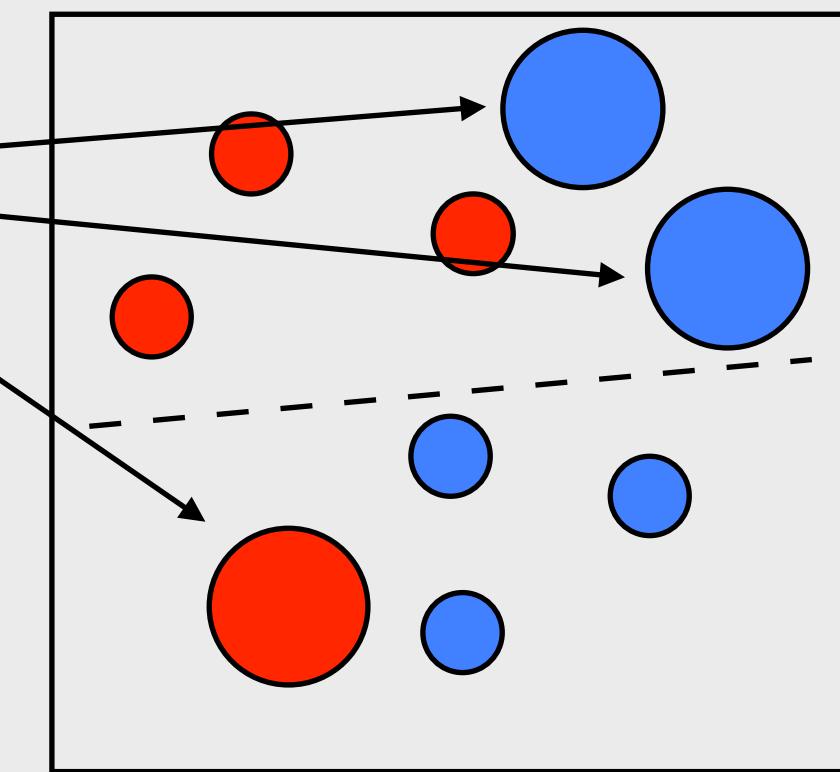
### Boosting for Feature Selection (3/4)

Solution: *boosting*, a combination of weak classifiers that when learned in sequence and applied together, lead to better final classification.

#### Training Illustration

Importance  
Increased

- Faces
- Non-faces



Source: Dr. Walter Scheirer

# Enhancement

## Viola-Jones Detector

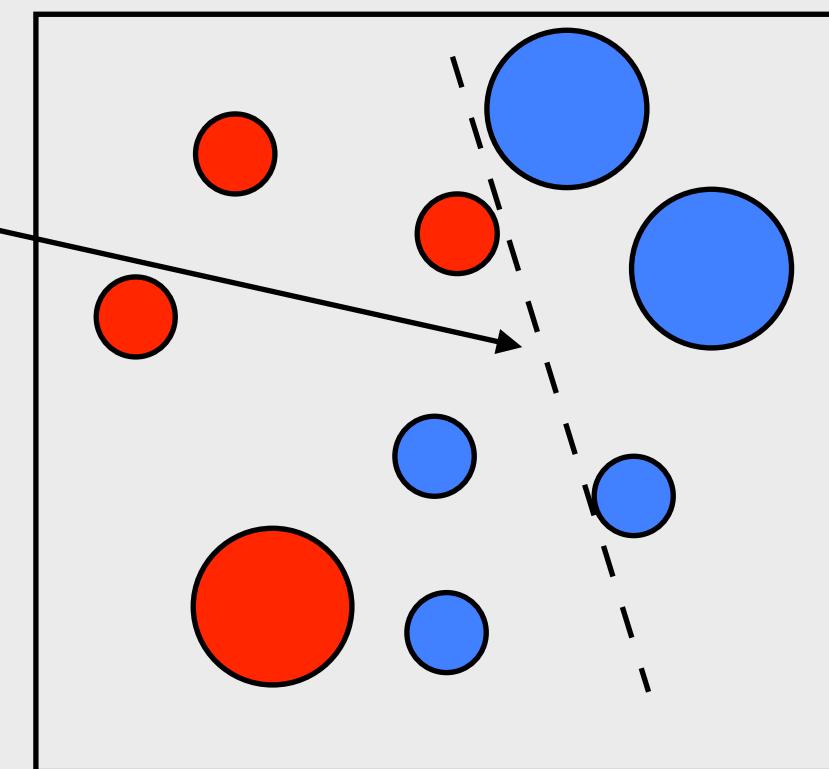
### Boosting for Feature Selection (3/4)

Solution: *boosting*, a combination of weak classifiers that when learned in sequence and applied together, lead to better final classification.

#### Training Illustration

Weak Learner 2

- Faces
- Non-faces



Source: Dr. Walter Scheirer

# Enhancement

## Viola-Jones Detector

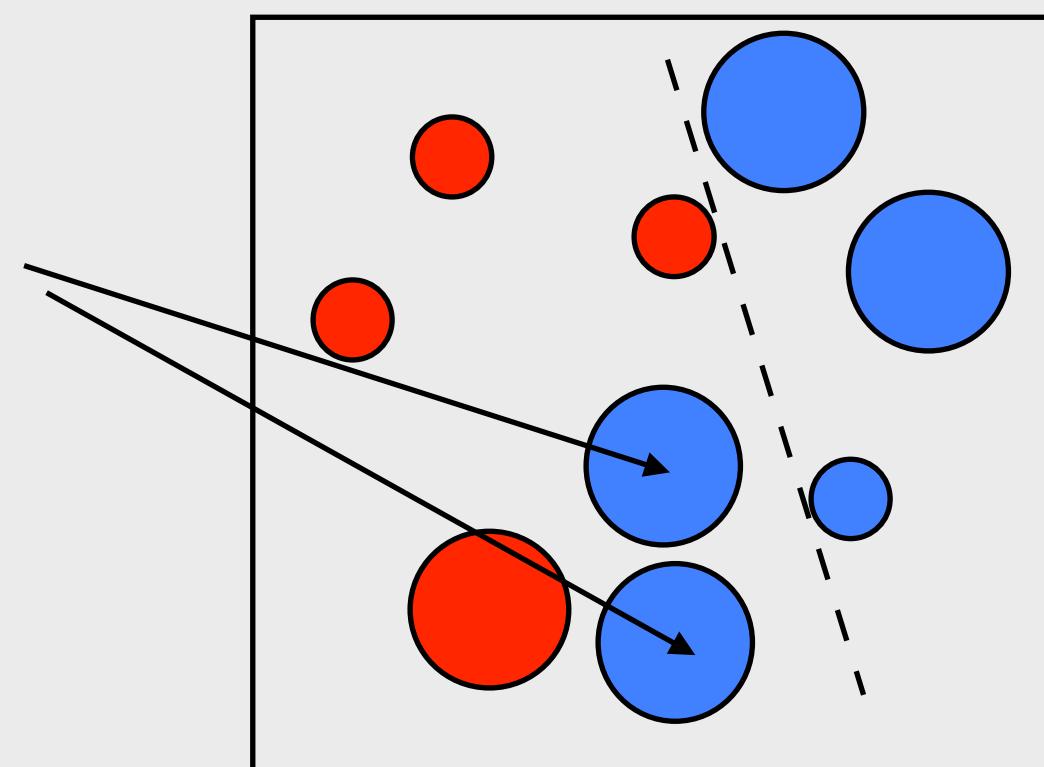
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Solution: *boosting*, a combination of weak classifiers that when learned in sequence and applied together, lead to better final classification.

#### Training Illustration

Importance Increased

- Faces
- Non-faces



Source: Dr. Walter Scheirer

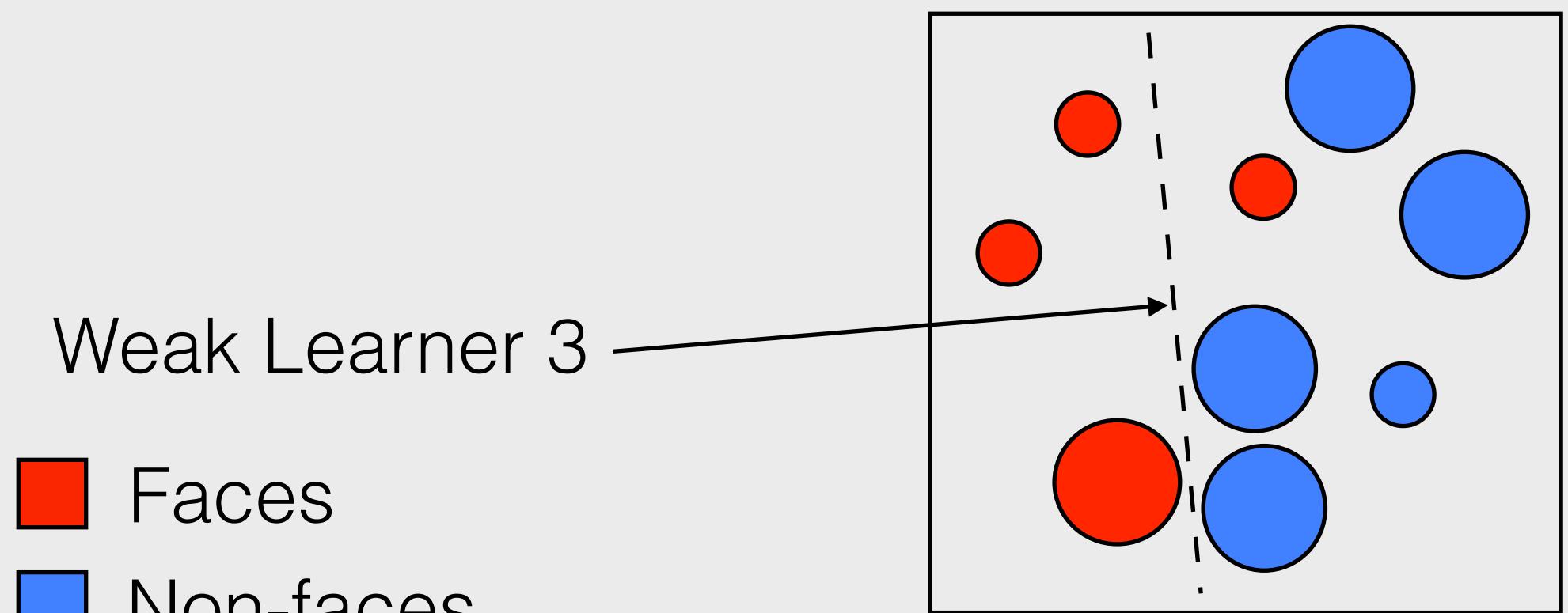
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## Viola-Jones Detector

### Boosting for Feature Selection (3/4)

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Training Illustration



Source: Dr. Walter Scheirer

# Enhancement

## Viola-Jones Detector

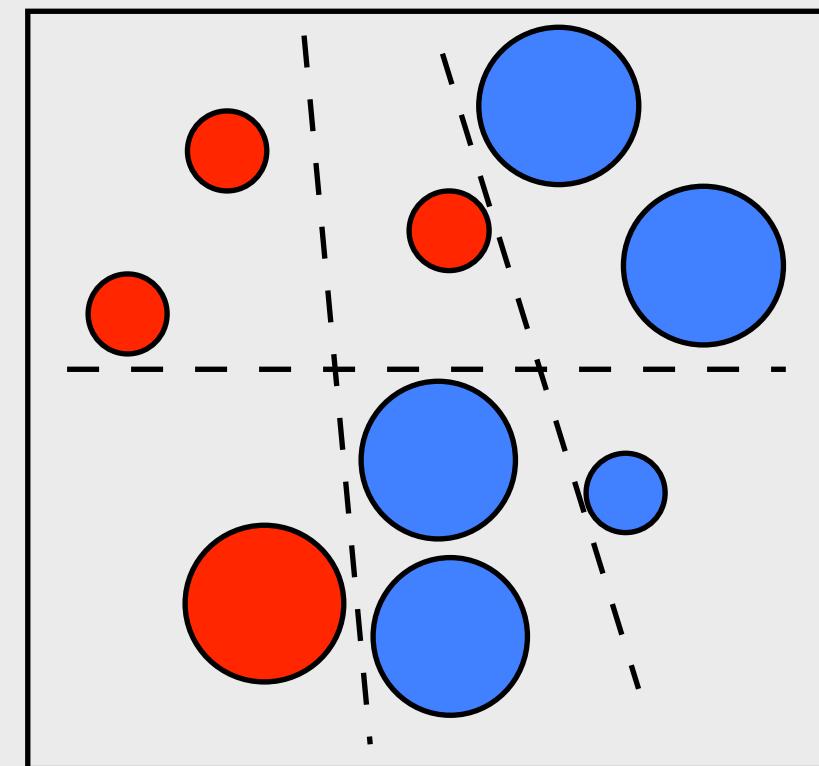
### Boosting for Feature Selection (3/4)

Solution: *boosting*, a combination of weak classifiers that when learned in sequence and applied together, lead to better final classification.

#### Training Illustration

Final classifier is a combination of 3 weaker classifiers.

- Faces
- Non-faces



Source: Dr. Walter Scheirer

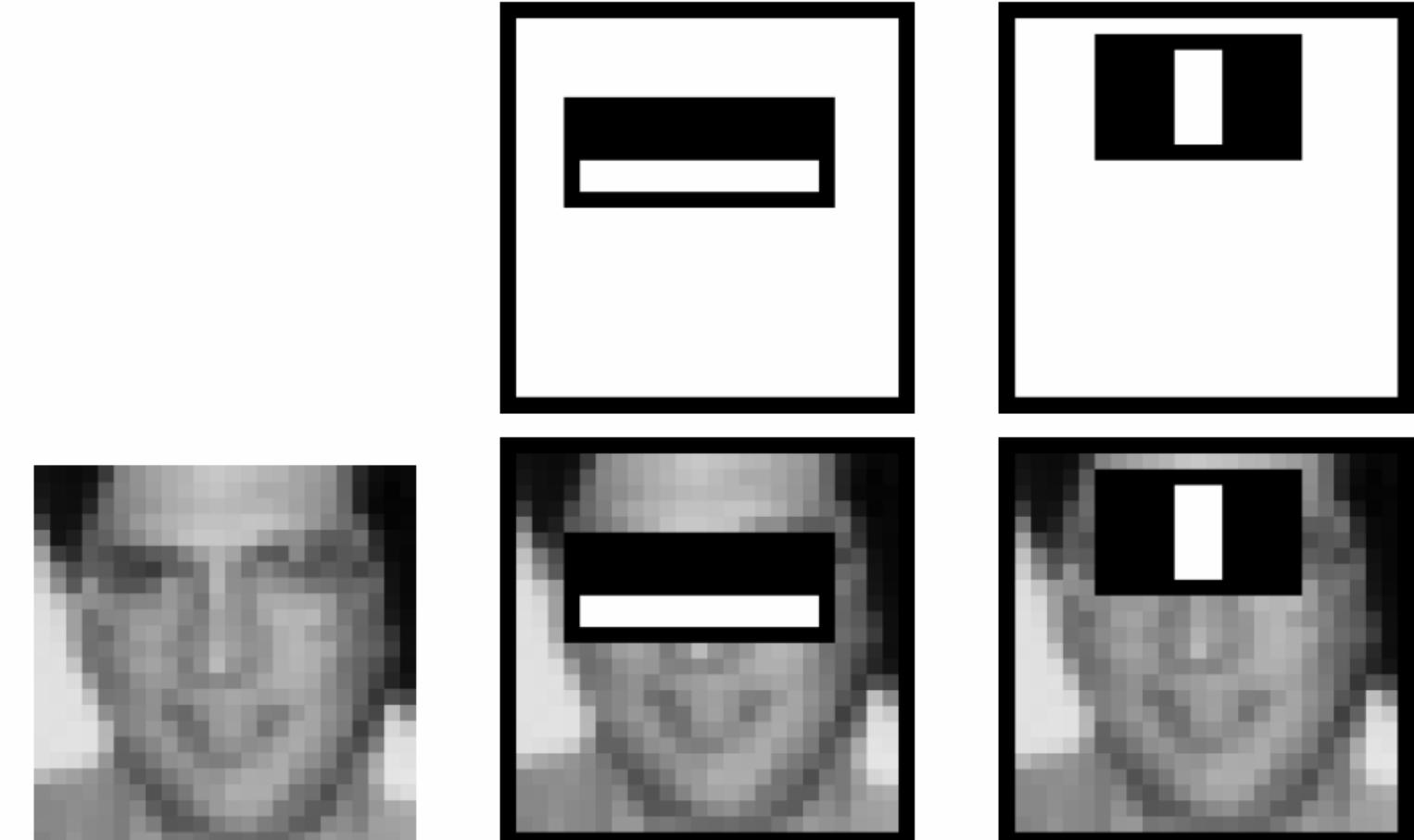
# Enhancement

## Viola-Jones Detector

**Boosting for  
Feature Selection (3/4)**  
Possible outcome.

This combination is enough  
to lead to perfect True Positive Rate,  
but poor False Positive Rate.

All faces are detected as positive, but many  
non-faces are detected as positive too.



First two selected features.

Whenever this classifier says an  
object is not a face (rejection),  
it is probably right.

# Enhancement

## Face Detection

### Viola-Jones Detector

First real-time face detector.  
Based on sliding windows.

### Key Ideas (4)

Haar-like features.  
Integral image.  
Boosting for feature selection.

**Attentional Cascade to reject non-faces.**

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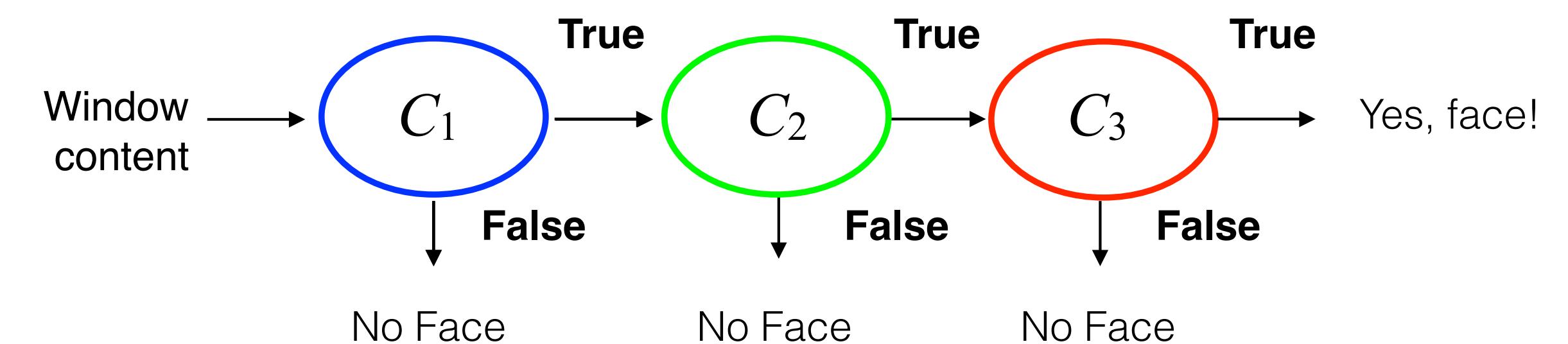
# Enhancement

## Viola-Jones Detector

### Attentional Cascade (4/4)

Make a cascade of different classifiers that are good at rejecting faces.

Start with simpler and faster classifiers.



# Enhancement

## Viola-Jones Detector

### Results

Jain, Ross, and Nandakumar  
*Introduction to Biometrics*  
Springer Books, 2011



clean background



cluttered background



tilted head

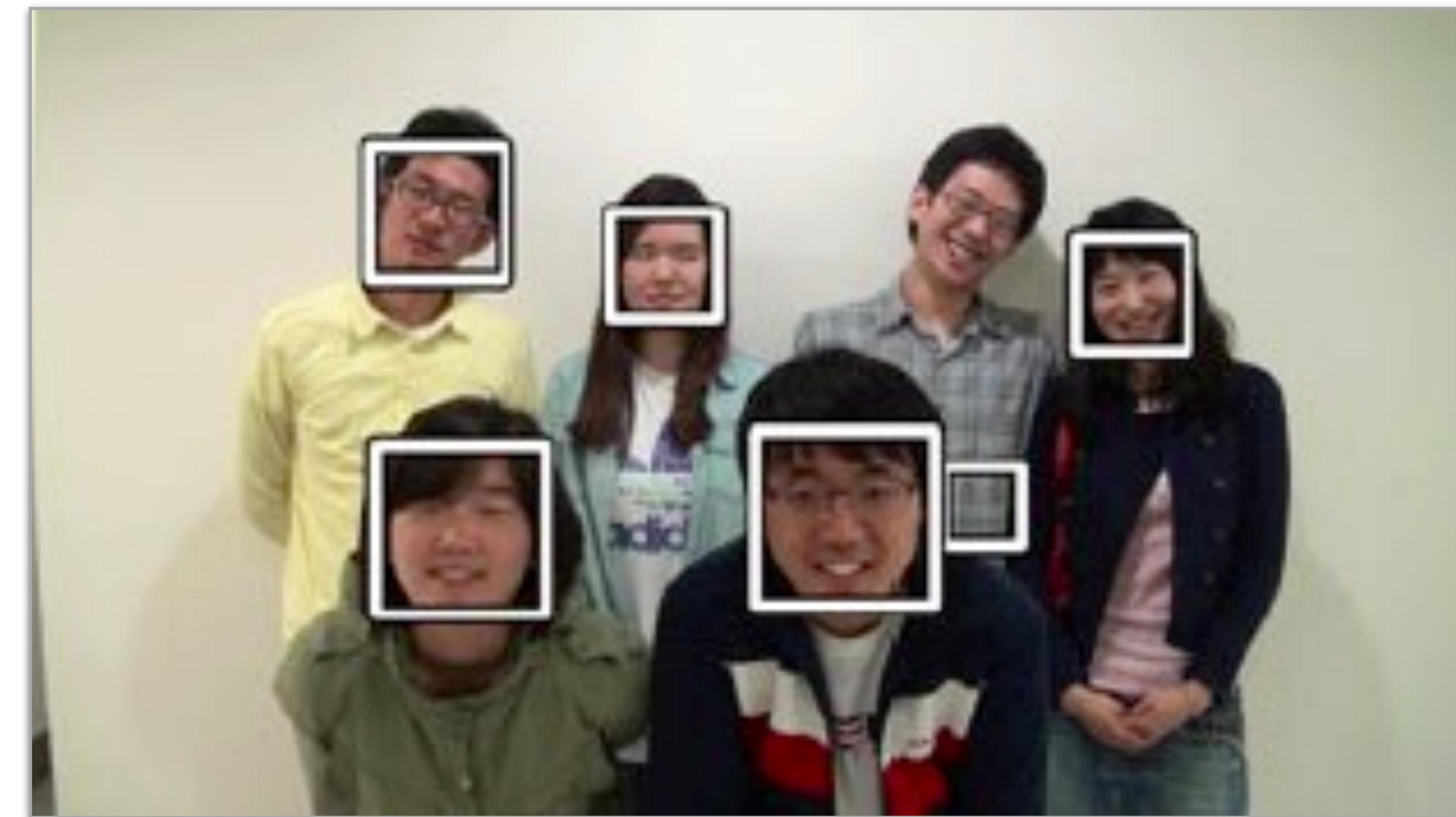


upside down

# Enhancement

## Viola-Jones Detector

### Results



Jain, Ross, and Nadakumar  
*Introduction to Biometrics*  
Springer Books, 2011

# Enhancement

## Face Detection

### Attack

Non-live faces and some special patterns may be used to trigger the face detector on purpose.

If it happens too often, it will flood the system.



The screenshot shows a news article from The Guardian. At the top, there are buttons for 'Support The Guardian' (with 'Contribute' and 'Subscribe' options), a 'Sign in' link, and the 'The Guardian' logo. Below the header is a navigation bar with links for 'News', 'Opinion', 'Sport', 'Culture', and 'Lifestyle'. Underneath the navigation, there's a 'World' section with links to Europe, US, Americas, Asia, Australia, Middle East, Africa, Inequality, and More. A red 'Surveillance' category is highlighted. A note indicates the article is 'more than 6 months old'. The main headline reads: 'The fashion line designed to trick surveillance cameras'.

<https://www.theguardian.com/world/2019/aug/13/the-fashion-line-designed-to-trick-surveillance-cameras>



# Enhancement

## Face Detection

### Attack

Make-up can be used to hinder detection.

[https://twitter.com/glichfield/  
status/925425702194810882](https://twitter.com/glichfield/status/925425702194810882)

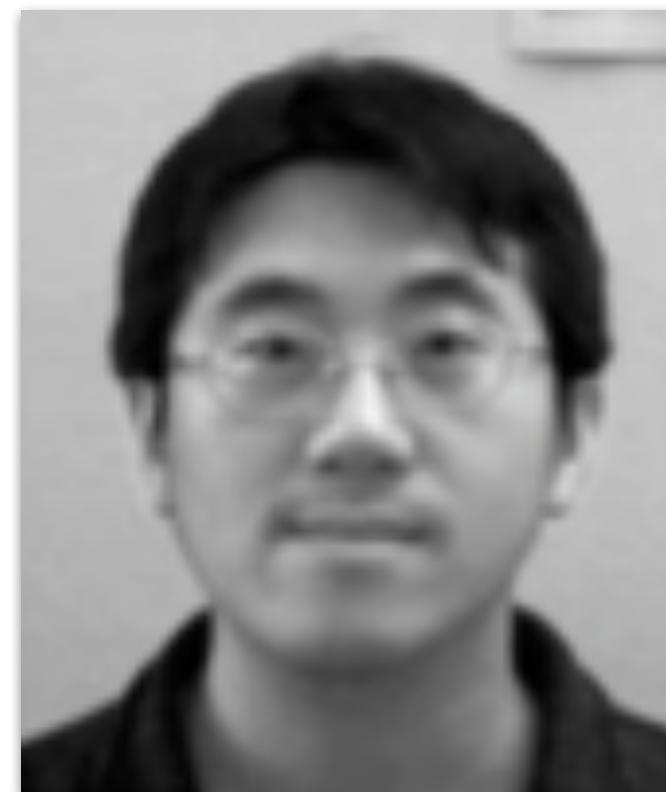


# Enhancement

## Face Alignment

### Goal

Make template and sample faces be in similar poses, to make further description and matching easier.



template



sample

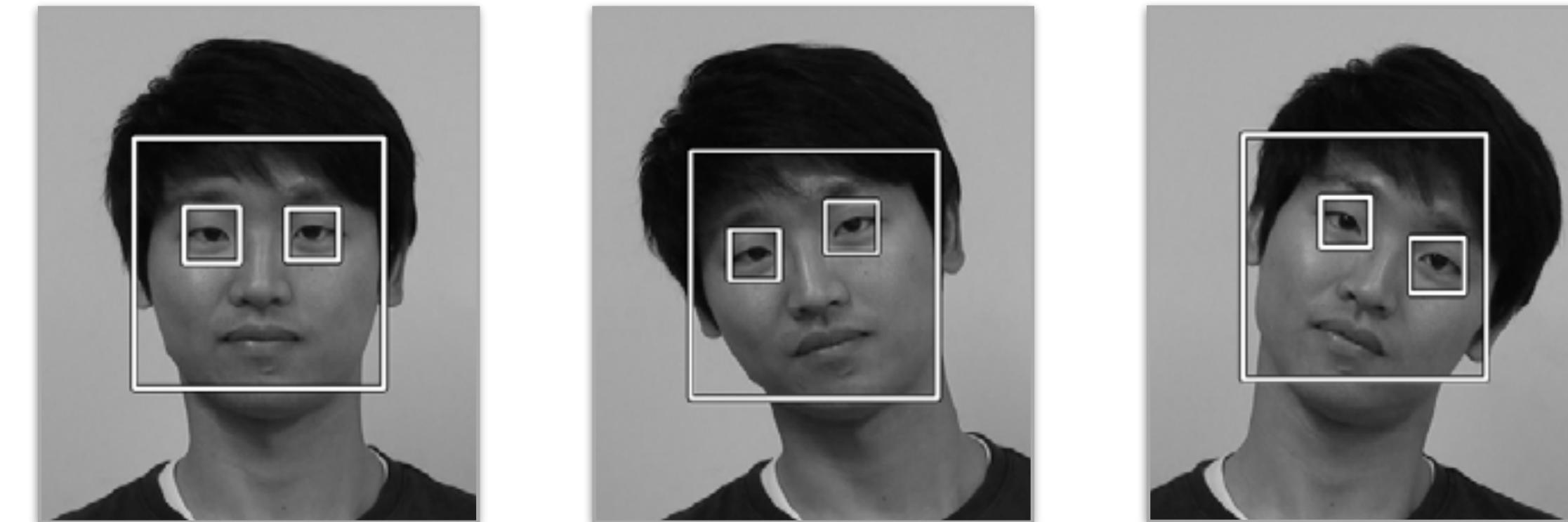
# Enhancement

## Face Alignment

### Detection of Face Landmarks

E.g., position of eyes.

Jain, Ross, and Nandakumar  
*Introduction to Biometrics*  
Springer Books, 2011



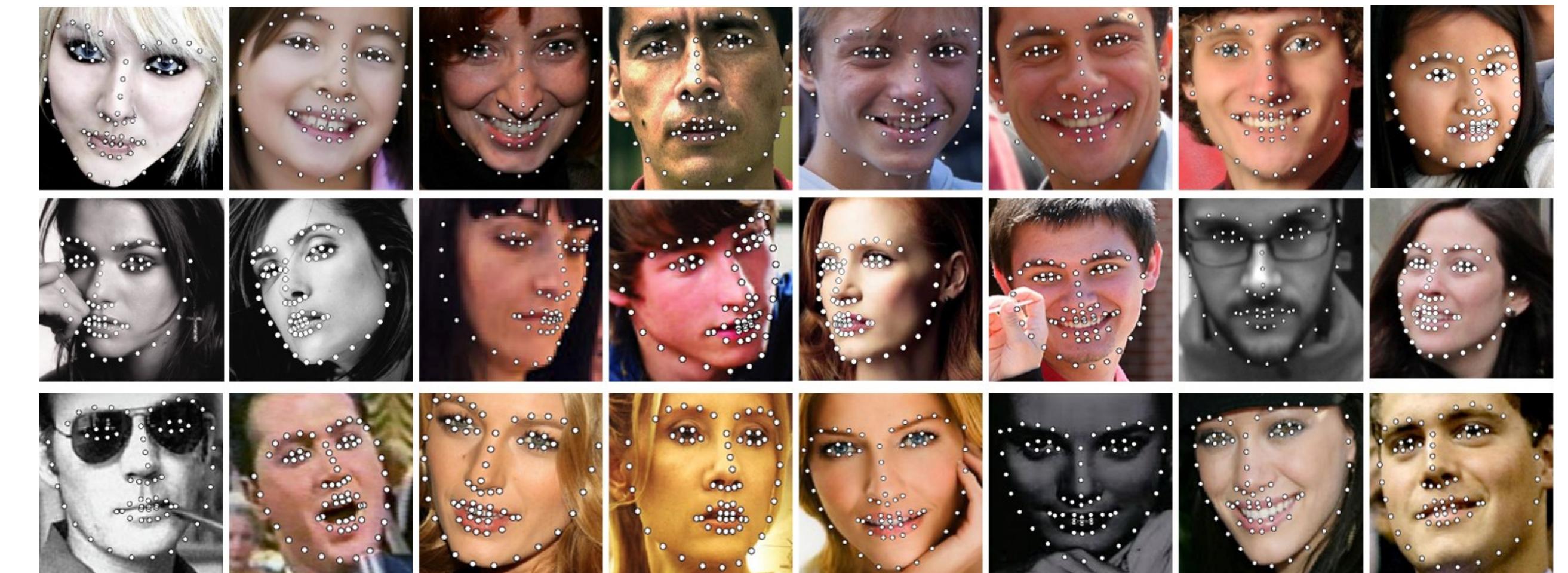
Possible solution: eye detection using Viola-Jones approach.

# Enhancement

## Face Alignment

### Detection of Face Landmarks

There are better solutions in the literature, using deep neural networks, for instance.



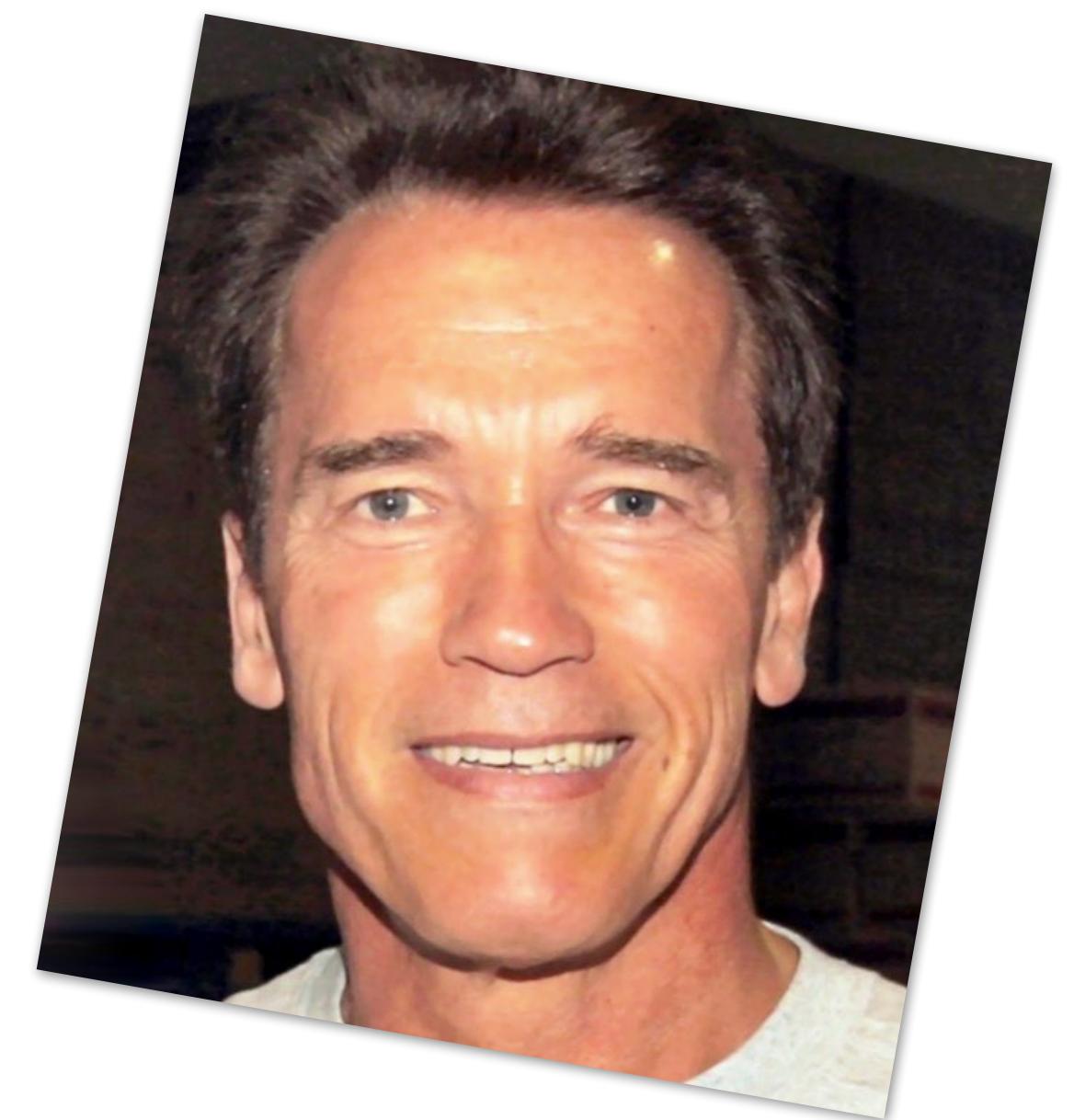
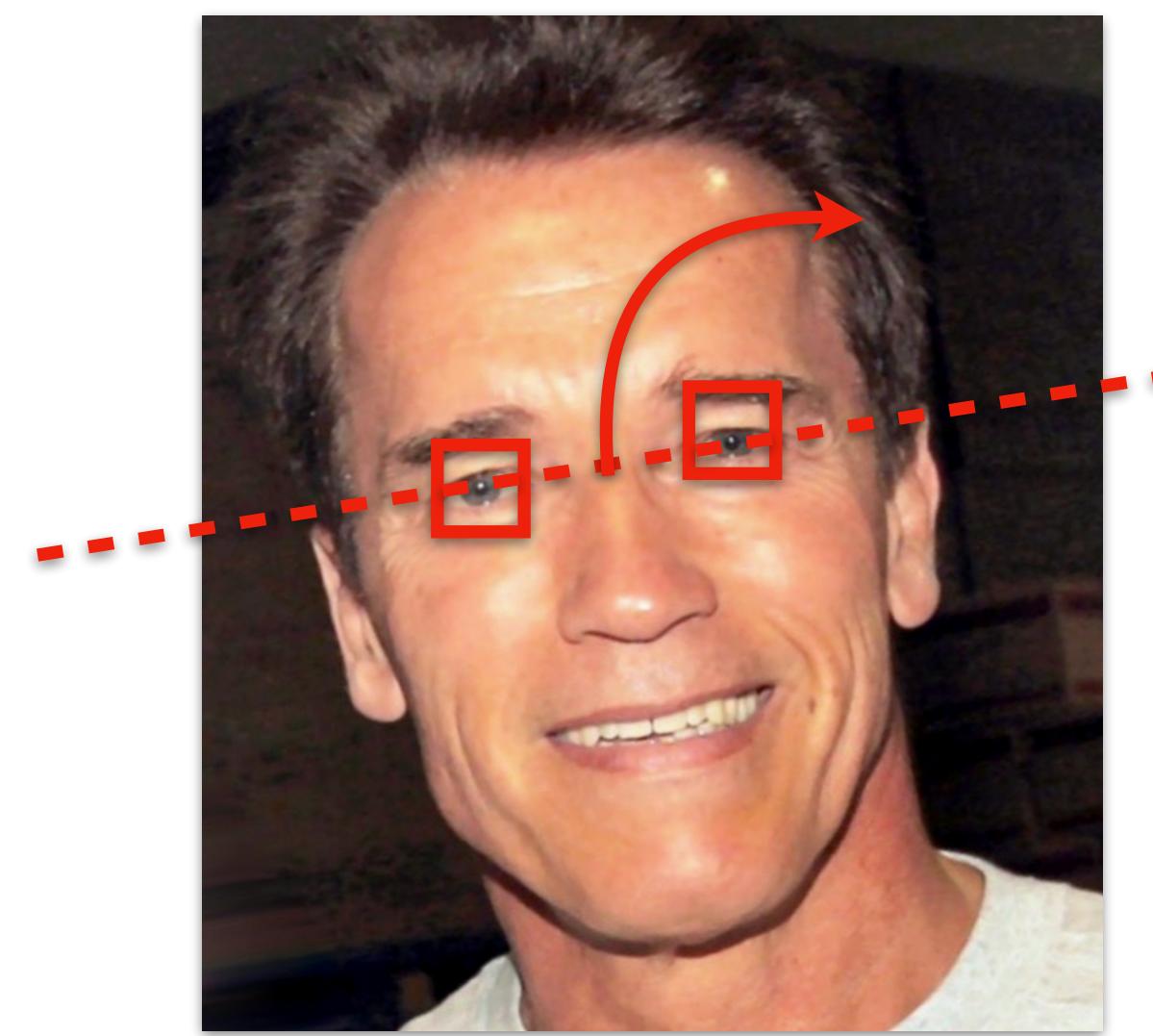
Zhang et al.  
*Facial Landmark Detection by Deep Multi-task Learning*  
ECCV 2014

# Enhancement

## Face Alignment

### Landmark Alignment

E.g., make the positions of the eyes horizontally aligned, by rotating the face image.



[http://www.bytefish.de/blog/aligning\\_face\\_images/](http://www.bytefish.de/blog/aligning_face_images/)

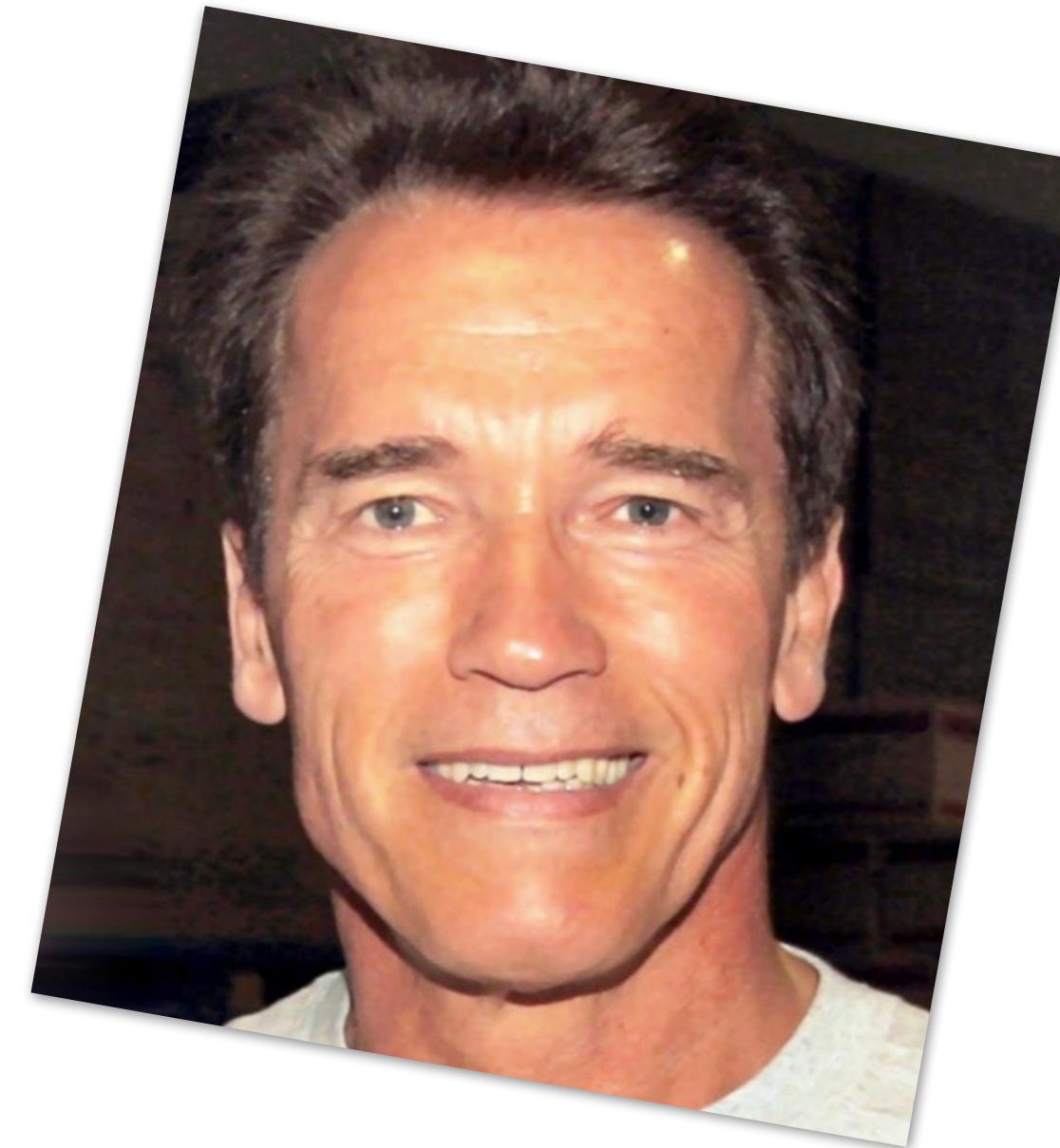
# Enhancement

## Face Alignment

### Cropping

Make a tight crop of the face, to remove background.

Keep eyes, nose, and mouth.



[http://www.bytefish.de/blog/aligning\\_face\\_images/](http://www.bytefish.de/blog/aligning_face_images/)

# Enhancement

## Face Alignment

**More Severe  
Pose Variations**

Naïve approach will not work.



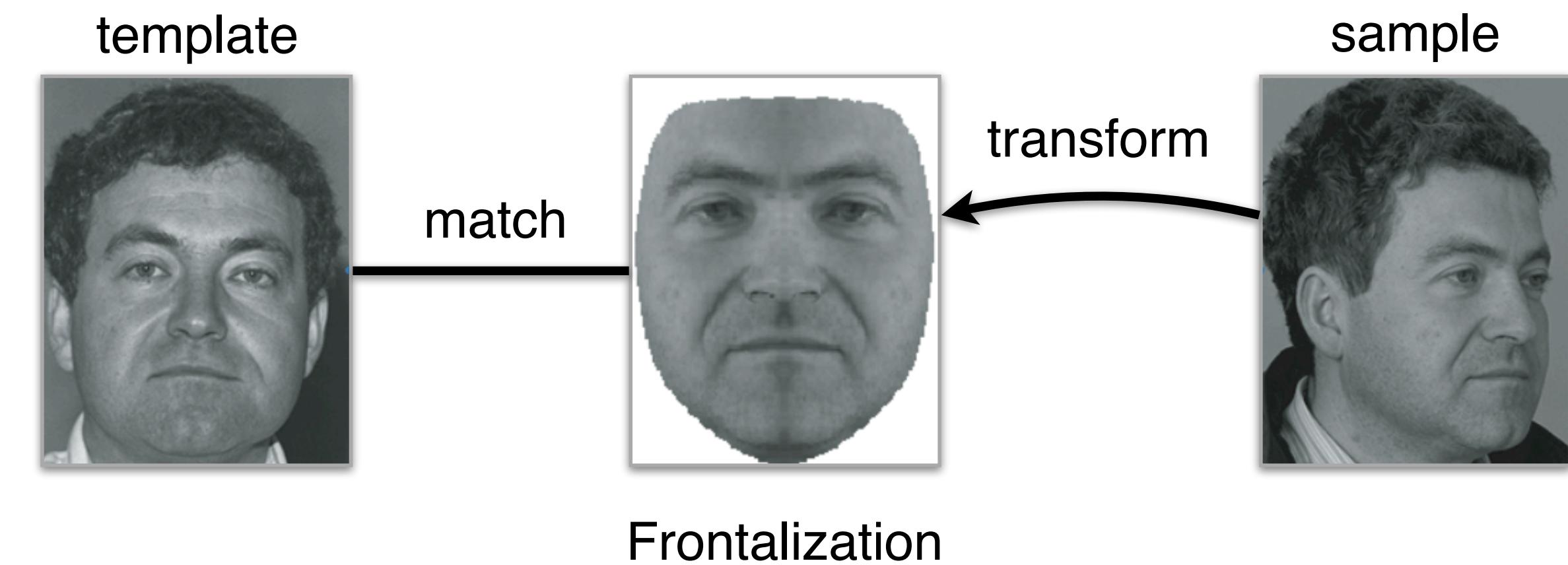
# Enhancement

## Face Alignment

### More Severe Pose Variations

Alternative approaches.  
3D information will help  
to do frontalization.

Yi et al.  
*Towards Pose Robust Face Recognition*  
CVPR 2013



# Enhancement

## Illumination Correction

### Simplest Solution

Color histogram  
equalization.

### Alternatives

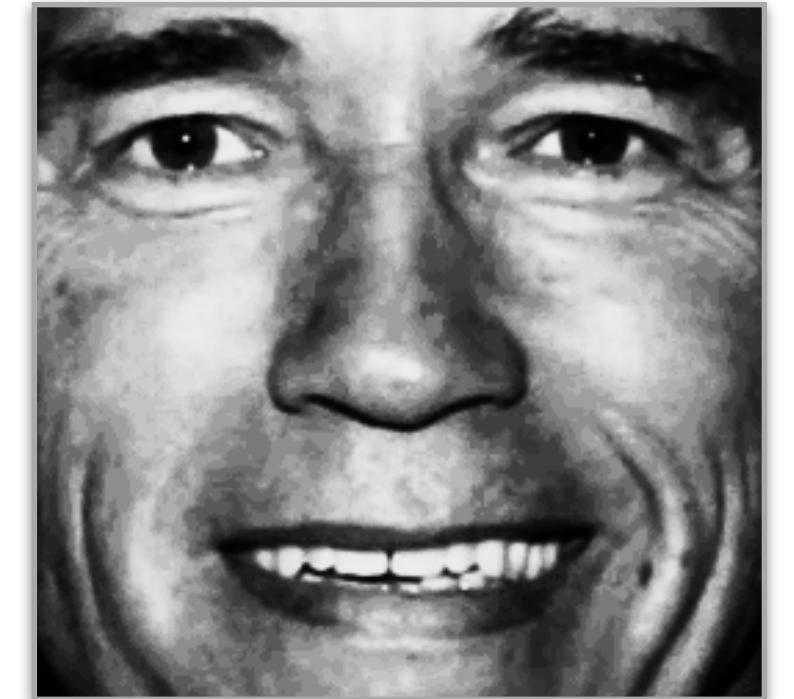
Photometric normalization,  
illumination modeling, etc.



Original



Grayscale



Equalized

# S'up Next?

## Face Description and Matching



## **Acknowledgments**

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Thank you, professors, for kindly allowing me to use your material.

<https://engineering.nd.edu/profiles/aczajka>  
<https://www.wjscheirer.com/>