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### Assignment 12: Generative AI and RAG Pipeline

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**Program**: DATA and AI

Date: July 29, 2025

#### Introduction

This notebook implements a Retrieval-Augmented Generation (RAG) pipeline to process a PDF document, extract relevant information, and generate context-aware answers using a generative model. The pipeline uses langchain for document loading and chunking, sentence-transformers for embeddings, faiss-cpu for vector storage, and transformers (FLAN-T5) for answer generation. The goal is to demonstrate how retrieval enhances generative question-answering compared to generic answers.

### Step 1: Set Up the Environment

Install Required Libraries:

langchain: Framework for chaining NLP components. sentence-transformers: For generating embeddings.

faiss-cpu: Efficient vector similarity search.

pypdf: PDF text extraction.

Import Libraries:

# Step 2: Load and Preprocess the PDF

Load the PDF:

Split into Chunks:

chunk\_size=500: Split text into 500-character segments.

chunk\_overlap=50: Ensures context continuity between chunks.

## Step 3: Create Embeddings and Vector Store

Initialize Embeddings:

Uses the lightweight all-MiniLM-L6-v2 model for sentence embeddings.

**Build FAISS Vector Store:** 

FAISS enables fast similarity search for retrieval.

#### Step 4: Initialize the Generative Model

Load FLAN-T5 (Text-to-Text Model):

FLAN-T5 is a powerful open-source model for generative tasks.

# Step 5: Implement the RAG Pipeline

Define the Query Function:

Test the Pipeline:

```
# ## Step 1: Install Required Packages
# Uninstall conflicting packages, install numpy first, and restart runtime to avoid binary incompatibilities.
#!pip uninstall -y faiss-cpu faiss-gpu numpy transformers tokenizers huggingface hub sentence-transformers langchain langch
!pip install --upgrade pip
!pip install numpy==1.26.4 packaging>=24.1 # Pin numpy and upgrade packaging
# After restart, run installations again
!pip install faiss-cpu # Install latest prebuilt faiss-cpu
!pip install pydantic==2.9.2 langsmith==0.1.13 # Use compatible pydantic version
!pip install sentence-transformers==2.2.2 huggingface_hub==0.23.0 # Use compatible huggingface_hub
!pip install -q langchain==0.1.13 langchain-community==0.0.29
!pip install -q transformers==4.41.1 pypdf==3.17.4 tenacity # Add tenacity, let pip select tokenizers
        Uninstalling nvidia-cuda-cupti-cu12-12.5.82:
\rightarrow
          Successfully uninstalled nvidia-cuda-cupti-cu12-12.5.82
      Attempting uninstall: nvidia-cublas-cu12
        Found existing installation: nvidia-cublas-cu12 12.5.3.2
        Uninstalling nvidia-cublas-cu12-12.5.3.2:
          Successfully uninstalled nvidia-cublas-cu12-12.5.3.2
      Attempting uninstall: nvidia-cusparse-cu12
        Found existing installation: nvidia-cusparse-cul2 12.5.1.3
        Uninstalling nvidia-cusparse-cu12-12.5.1.3:
          Successfully uninstalled nvidia-cusparse-cu12-12.5.1.3
      Attempting uninstall: nvidia-cudnn-cu12
        Found existing installation: nvidia-cudnn-cu12 9.3.0.75
        Uninstalling nvidia-cudnn-cu12-9.3.0.75:
          Successfully uninstalled nvidia-cudnn-cu12-9.3.0.75
      Attempting uninstall: huggingface_hub
        Found existing installation: huggingface-hub 0.34.1
        Uninstalling huggingface-hub-0.34.1:
          Successfully uninstalled huggingface-hub-0.34.1
      Attempting uninstall: tokenizers
        Found existing installation: tokenizers 0.21.2
        Uninstalling tokenizers-0.21.2:
          Successfully uninstalled tokenizers-0.21.2
      Attempting uninstall: nvidia-cusolver-cu12
        Found existing installation: nvidia-cusolver-cu12 11.6.3.83
        Uninstalling nvidia-cusolver-cu12-11.6.3.83:
          Successfully uninstalled nvidia-cusolver-cu12-11.6.3.83
      Attempting uninstall: transformers
        Found existing installation: transformers 4.54.0
        Uninstalling transformers-4.54.0:
          Successfully uninstalled transformers-4.54.0
      Attempting uninstall: sentence-transformers
        Found existing installation: sentence-transformers 4.1.0
        Uninstalling sentence-transformers-4.1.0:
          Successfully uninstalled sentence-transformers-4.1.0
                                               · 14/14 [sentence-transformers]
    ERROR: pip's dependency resolver does not currently take into account all the packages that are installed. This behav
    diffusers 0.34.0 requires huggingface-hub>=0.27.0, but you have huggingface-hub 0.23.0 which is incompatible.
    gradio 5.38.2 requires huggingface-hub>=0.28.1, but you have huggingface-hub 0.23.0 which is incompatible.
    datasets 4.0.0 requires huggingface-hub>=0.24.0, but you have huggingface-hub 0.23.0 which is incompatible.
    peft 0.16.0 requires huggingface_hub>=0.25.0, but you have huggingface-hub 0.23.0 which is incompatible.
    Successfully installed huggingface_hub-0.23.0 nvidia-cublas-cu12-12.4.5.8 nvidia-cuda-cupti-cu12-12.4.127 nvidia-cuda
                                               - 810.5/810.5 kB 24.6 MB/s eta 0:00:00
                                              - 1.8/1.8 MB 54.3 MB/s eta 0:00:00
                                              - 10/10 [langchain]
    ERROR: pip's dependency resolver does not currently take into account all the packages that are installed. This behave
    db-dtypes 1.4.3 requires packaging>=24.2.0, but you have packaging 23.2 which is incompatible.
    google-cloud-bigquery 3.35.1 requires packaging>=24.2.0, but you have packaging 23.2 which is incompatible.
    diffusers 0.34.0 requires huggingface-hub>=0.27.0, but you have huggingface-hub 0.23.0 which is incompatible.
    gradio 5.38.2 requires huggingface-hub>=0.28.1, but you have huggingface-hub 0.23.0 which is incompatible.
    datasets 4.0.0 requires huggingface-hub>=0.24.0, but you have huggingface-hub 0.23.0 which is incompatible.
    thinc 8.3.6 requires numpy<3.0.0,>=2.0.0, but you have numpy 1.26.4 which is incompatible.
    xarray 2025.7.1 requires packaging>=24.1, but you have packaging 23.2 which is incompatible.
    - 2/2 [transformers]
    ERROR: pip's dependency resolver does not currently take into account all the packages that are installed. This behav
    peft 0.16.0 requires huggingface_hub>=0.25.0, but you have huggingface-hub 0.23.0 which is incompatible.
# # Assignment 12: Generative AI and RAG Pipeline
# **Name**: [Your Name]
# **Program**: [Your Program Details]
# **Date**: July 29, 2025
# ## Introduction
# This notebook implements a Retrieval-Augmented Generation (RAG) pipeline to process a PDF document and generate context-a
# ## Step 2: Import Libraries
# Import libraries for document loading, chunking, embeddings, vector storage, text generation, and retries.
```

https://colab.research.google.com/drive/1zsEG-91l15QoE76E4Ph2vaKPiV8\_7KLZ#scrollTo=e9971c19-f2a2-40b4-b2af-4a826f687d5d&print...

```
from langchain.document_loaders import PyPDFLoader
from langchain.text_splitter import RecursiveCharacterTextSplitter
from langchain.embeddings import HuggingFaceEmbeddings
from langchain.vectorstores import FAISS
from transformers import AutoTokenizer, AutoModelForSeq2SeqLM, pipeline
import os
import requests
from tenacity import retry, stop_after_attempt, wait_exponential, retry_if_exception_type
# ## Step 3: Load and Preprocess PDF
# Load the PDF and split it into chunks. Download from Google Drive if not present.
def load_and_chunk_pdf(pdf_path="document.pdf"):
    if not os.path.exists(pdf path):
       print("Downloading document from Google Drive...")
        try:
           # Replace with your actual Google Drive file ID
           file_id = "1s-oE5l0p8j8PDYn0nC64iufbGeh14q4c"
           url = f"https://drive.google.com/uc?export=download&id={file_id}"
           session = requests.Session()
           response = session.get(url, stream=True, timeout=30)
           response.raise_for_status()
           for key, value in response.cookies.items():
               if 'download_warning' in key:
                   response = session.get(url, stream=True, timeout=30)
           with open(pdf_path, 'wb') as f:
               for chunk in response.iter_content(chunk_size=8192):
                       f.write(chunk)
           print(f"Downloaded document saved as {pdf_path}")
       except Exception as e:
           print(f"Failed to download document: {e}")
           return None
   try:
       loader = PyPDFLoader(pdf_path)
       docs = loader.load()
       splitter = RecursiveCharacterTextSplitter(
           chunk_size=500,
           chunk overlap=50.
           separators=["\n\n", "\n", " ", ""]
        )
       chunks = splitter.split_documents(docs)
       print(f"Loaded {len(docs)} pages, split into {len(chunks)} chunks.")
       return chunks
    except Exception as e:
       print(f"Error processing PDF: {e}")
        return None
# ## Step 4: Create Embeddings and Vector Store
# Create embeddings with retry logic for model download.
@retry(
    stop=stop_after_attempt(3),
    wait=wait_exponential(multiplier=1, min=4, max=10),
    retry=retry_if_exception_type(Exception)
def create_vector_store(chunks):
    if chunks is None:
       print("Error: No chunks to process. Check the PDF loading step.")
        return None
    embeddings = HuggingFaceEmbeddings(
       model\_name="sentence-transformers/all-MiniLM-L6-v2",\\
       model_kwargs={'device': 'cpu'}
    vectorstore = FAISS.from_documents(chunks, embeddings)
    print("Vector store created successfully.")
    return vectorstore
# ## Step 5: Initialize LLM
# Load FLAN-T5 with retry logic for model download.
    stop=stop_after_attempt(3),
    wait=wait_exponential(multiplier=1, min=4, max=10),
    retry=retry_if_exception_type(Exception)
```

```
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   def initialize llm(model name="google/flan-t5-large"):
       tokenizer = AutoTokenizer.from_pretrained(model_name)
       model = AutoModelForSeq2SeqLM.from_pretrained(model_name)
       pipeline_obj = pipeline(
           "text2text-generation",
           model=model.
           tokenizer=tokenizer,
           device=-1 # Use CPU
       print(f"Loaded {model_name} model.")
       return pipeline_obj
   # ## Step 6: Implement RAG Query Function
   # Retrieve relevant chunks and generate a context-aware answer.
   def query_rag(question, vectorstore, llm_pipeline, k=3):
       if vectorstore is None:
           print("Error: Vector store is not initialized.")
           return None
       relevant_docs = vectorstore.as_retriever().get_relevant_documents(question)[:k]
       context = "\n".join([doc.page_content for doc in relevant_docs])
       prompt = f"""Answer the question using only the following context. Provide a clear, concise, and complete response in f
   Context:
   {context}
   Question: {question}
   Answer:"""
       response = llm_pipeline(
           prompt.
           max_new_tokens=200,
           temperature=0.9,
           top_k=50,
           top_p=0.9,
           do_sample=True
       return response[0]['generated_text']
   # ## Step 7: Main Execution
   # Execute the pipeline and compare RAG vs. generic answers.
   if __name__ == "__main__":
       # 1. Load and chunk PDF
       chunks = load_and_chunk_pdf("document.pdf")
       # 2. Create vector store
       vectorstore = create_vector_store(chunks)
       # 3. Initialize LLM
       llm_pipeline = initialize_llm()
       # 4. Test RAG pipeline
       question = "Summarize the key points of this document in a paragraph of 100 words."
       answer = query_rag(question, vectorstore, llm_pipeline)
       if answer:
           print("\n" + "="*50)
           print("Question:", question)
           print("-"*50)
           print("Answer:", answer)
           print("="*50 + "\n")
       # 5. Compare with generic answer
       generic answer = llm pipeline(
           generic prompt,
           max_new_tokens=200,
           temperature=0.9,
           top_k=50,
           top_p=0.9
           do sample=True
       )[0]['generated_text']
       print("\n" + "="*50)
       print("Generic Answer (No Context):")
       print("-"*50)
       print("Answer:", generic_answer)
       print("="*50 + "\n")
```

→ Loaded 1 pages, split into 5 chunks. Vector store created successfully. Loaded google/flan-t5-large model.

Question: Summarize the key points of this document in a paragraph of  $100 \ \text{words}$ .

Answer: Daniel Muthama believes that LEYSCO offers a collaborative and innovative work environment. He is excited abou

Generic Answer (No Context):

Answer: There are a number of ways to make this happen:

Start coding or <u>generate</u> with AI.