

A new algorithm for on-line coloring bipartite graphs *

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June 7, 2007

Abstract

We first show that for any bipartite graph H with at most five vertices, there exists an on-line competitive algorithm for the class of H -free bipartite graphs. We then analyze the performance of an on-line algorithm for coloring bipartite graphs on various subfamilies. The algorithm yields new upper bounds for the on-line chromatic number of bipartite graphs. We prove that the algorithm is on-line competitive for P_7 -free bipartite graphs, i.e., that do not contain an induced path on seven vertices. The number of colors used by the on-line algorithm for P_6 -free and P_7 -free bipartite graphs is bounded by roughly twice, respectively roughly eight times the on-line chromatic number. In contrast, it is known that there exists no competitive on-line algorithm to color P_6 -free (or P_7 -free) bipartite graphs, i.e., for which the number of colors is bounded by any function only depending on the chromatic number.

Key words. on-line coloring, bipartite graph, (on-line) competitive

AMS subject classifications. 05C15, 05C85

1 Introduction

In static optimization problems one is often faced with the challenge of determining efficient algorithms that solve a particular problem (nearly) optimally for any given instance of the problem. This task is usually facilitated if the structure of the instances is pretty straightforward. As an example, it is a trivial exercise to determine an algorithm for finding a 2-coloring of a given bipartite graph.

*An extended abstract of some of the results in this paper was presented at the 6th Italian conference on Algorithms and Complexity 2006 [3].

In the area of dynamic optimization the situation gets more complicated. There, one often lacks the knowledge of the complete instances of the problems. As an illustration, compare the previous problem with the slightly changed situation in which the bipartite graph is presented on-line, i.e., vertex by vertex, and the algorithm has to assign a color irrevocably to a vertex as it comes in, i.e., only based on the knowledge of the subgraph that has been revealed so far. This slight change of the problem formulation makes it a lot more difficult: whereas the static problem was trivial, no algorithm for the dynamic problem can guarantee an optimal solution for every instance. In [12] it has been shown that the worst-case performance ratio between on-line and off-line coloring of a known input graph on n vertices is at least $2n/(\log_2 n)^2$. It is even questionable whether one can expect to determine an on-line algorithm that does reasonably well, in the sense that the number of colors used is bounded in some other reasonable way, e.g., as a function of some invariant of the input instances.

In this paper we will focus on particular questions of this type related to coloring bipartite graphs. This type of questions in a more general setting is at the heart of the areas of on-line algorithms and of approximation algorithms.

We first give a short historical excursion starting with a benchmark paper due to Gyárfás and Lehel [9]. They introduced the concept of on-line coloring as a general approach. This was motivated by their translation of a rectangle packing problem related to dynamical storage allocation appearing in [4] into an on-line coloring problem. The latter problem was to decide whether the on-line coloring algorithm known as *First-Fit* (*FF*) has a constant worst-case performance ratio on the family of interval graphs. We note that since [9] many papers on on-line (coloring) problems have appeared. We refer to [14] for a survey on on-line coloring and to [2] for more background on the general area of on-line algorithms.

In order to have some measure of the performance of on-line algorithms, the notion of competitive algorithms has been introduced in [20] and specifically for coloring in [9]. Intuitively, an on-line coloring algorithm is said to be competitive for a family of graphs \mathcal{G} , if for any graph $G \in \mathcal{G}$, the number of colors used by the algorithm on G is bounded from above by a function only depending on the chromatic number of G . The chromatic number of G is the smallest number of colors that is necessary to properly color the vertices of G (off-line), i.e., such that adjacent vertices receive different colors. In [13] it is shown that *FF* is competitive for interval graphs, with a bounding function that is linear in the chromatic number, and in [6] competitiveness of *FF* for geometric intersection graphs has been proven. In [5] it is shown that *FF* is competitive for graphs with a bounded independence number, including co-planar graphs.

It is well-known that *FF* is not competitive for P_6 -free bipartite graphs, i.e., bipartite graphs that do not contain an induced path on six vertices: if the vertices of a complete bipartite graph $K_{m,m}$ minus a perfect matching $\{u_1, v_1\}, \{u_2, v_2\}, \dots, \{u_m, v_m\}$ are presented in the ordering $u_1, v_1, u_2, v_2, \dots, u_m, v_m$, then *FF* uses m colors. In fact, there are many families of graphs for which it has been proved that no competitive algorithms exist: two examples given in [9] are the family of trees and the family of P_6 -free bipartite graphs. These negative results have led to the definition of a weaker form of competitiveness in [7], namely on-line competitiveness, although results of this type have been obtained before the term was formally introduced.

We can explain the notion of on-line competitiveness as follows. It is perhaps more natural to compare the number of colors that is needed to color a graph G by an on-line algorithm to the on-line chromatic number instead of the chromatic number. We will define the on-line chromatic number formally in Section 3. Intuitively, it is the number of colors used by the best performing

on-line algorithm for G , i.e., that gives the smallest number of colors in the worst-case ordering of the presented vertices of G . An on-line coloring algorithm is said to be on-line competitive if the number of colors is bounded from above by a function only depending on the on-line chromatic number of G . The main open problem is whether on-line competitive coloring algorithms exist for all graphs. A subproblem is to establish such algorithms for certain classes of graphs for which competitive algorithms do not exist. Solving this subproblem can be particularly useful if somebody must design an on-line coloring algorithm in the case that the input graphs are only known to be in a specified class of graphs. This also motivated our choice for considering special graph classes.

It is shown in [10] that FF is on-line competitive for trees; it is even optimal for trees, in the sense that if FF uses k colors, then the on-line chromatic number of the tree is also k . In [7] it is shown that FF is on-line competitive with an exponential bounding function for graphs with girth at least five.

In the context of algorithmic graph theory it has become rather fashionable to consider forbidden subgraph conditions. For instance, many NP-hard problems turn out to be solvable in polynomial time when restricted to H -free graphs for particular choices of H . Therefore, these graph classes are well-studied throughout a range of NP-hard problems. In the context of coloring, e.g., 3-colorability is polynomially solvable for P_6 -free graphs, while 4-colorability remains NP-hard for P_{12} -free graphs, and 5-colorability remains NP-hard for P_8 -free graphs. We refer the reader to the survey paper [19] for more details. Note that also well-studied graph classes like chordal graphs (or, more generally, perfect graphs) and line graphs (or, more generally, claw-free graphs) can be characterized by forbidden subgraph conditions.

2 Results of this paper

One of the main open problems concerning on-line competitive coloring algorithms [7] is to decide whether for every k there exists an on-line competitive coloring algorithm for the family of graphs with on-line chromatic number k . Perhaps surprisingly, this is even open for bipartite graphs for $k = 4$, whereas it has been solved for general graphs for $k \leq 3$: in both [8] and [17] it is proven that for the family of graphs with on-line chromatic number 3 at most 4 colors are needed. The open problem on bipartite graphs seems to be very hard and emphasizes how much on-line coloring differs from off-line coloring.

Our results are motivated by a number of open problems, but most strongly by the above open problem for bipartite graphs. We solve the problem for several subclasses of bipartite graphs which are defined by forbidding a certain fixed bipartite graph H as an induced subgraph. For a relatively small graph H this is an easy exercise, but for larger graphs this gets difficult, in correspondence with the fact that the class of H -free graphs contains the class of H' -free graphs if H' is a subgraph of H . By combining known results and dealing with a few cases ourselves, we show that for every bipartite graph H with at most 5 vertices there exists an on-line competitive coloring algorithm for the class of H -free bipartite graphs. Since for P_4 -free and P_5 -free graphs there even exists a competitive algorithm [9, 11], and since P_6 -free bipartite graphs do not admit a competitive algorithm [9], our natural starting point from there is the latter class. In [3] we proved that there exists an on-line competitive algorithm for the class of P_6 -free bipartite graphs; its bounding function is *linear* in the on-line chromatic number, namely roughly twice the on-line chromatic number. In fact, this gives a 2-approximation algorithm for on-line coloring P_6 -free bipartite graphs. In this paper we prove a similar result for the larger class of P_7 -free bipartite

graphs with a bounding function that is roughly eight times the on-line chromatic number. Note that the on-line chromatic number for both these graph classes can be arbitrarily high, so these classes are definitely no subclasses of the class of bipartite graphs with on-line chromatic number 4. In this sense, our results have a broader appeal than just solving the aforementioned problem with $k = 4$ for the restricted classes of P_6 -free and P_7 -free bipartite graphs. It might be possible that our algorithm or variations on it can be used to prove similar results for larger subclasses of bipartite graphs, although we have not been able to do so yet. We also note that our algorithm uses at most four colors (so is competitive) for the class of P_5 -free bipartite graphs.

The rest of the paper is organized as follows. Section 3 contains the basic notation and definitions. In Section 4 we start our exposition by proving the result on H -free bipartite graphs with $|V(H)| \leq 5$. Next we introduce the key algorithm of this paper called *BicolorMax*. We prove in Section 6 that it is on-line competitive for P_7 -free bipartite graphs. In Section 7 we deduce new upper bounds for the on-line chromatic number of bipartite graphs. We give some final remarks in Section 8 and conclusions in Section 9.

3 Preliminaries

Throughout we consider simple graphs $G = (V(G), E(G))$, where $V(G)$ is a set of vertices and $E(G)$ is a set of unordered pairs of vertices, called edges. For graph terminology not defined below we refer to [1].

A graph is called *bipartite* if its vertex set can be partitioned into two disjoint sets so that all edges are incident with vertices from both sets. If H is a *subgraph* of G , i.e., if H is a graph and $V(H) \subseteq V(G)$ and $E(H) \subseteq E(G)$, we write $H \subseteq G$. If $S \subseteq V(G)$, then $G[S]$ denotes the subgraph of G with vertex set S and edge set $\{\{x, y\} \mid x \in S, y \in S\}$. A graph is an *induced subgraph* of G if it is isomorphic to $G[S]$ for some nonempty $S \subseteq V(G)$. A graph is *H -free* if it does not contain the graph H as an induced subgraph. We call two vertex-disjoint graphs *remote* if there are no edges joining them. A maximal connected subgraph of a graph G is called a *component* of G . For any two vertices x, y of a connected graph G we denote by P_{xy} a path between x and y in G . We define the *distance* $d(x, y, G)$ between x and y in G as the number of edges of a shortest path between x and y . We use K_n , C_n and P_n to denote, respectively, the complete graph, the cycle and the path on n vertices, and we use $K_{m,n}$ to denote the complete bipartite graph with m vertices in one bipartition class and n vertices in the other. A *coloring* of a graph G is a function $c : V(G) \rightarrow \{1, 2, \dots\}$ such that $c(v) \neq c(w)$ whenever $\{v, w\} \in E(G)$. The smallest number of colors in a coloring of G is the *chromatic number* of G and denoted by $\chi(G)$. Clearly, a graph G is bipartite if and only if $\chi(G) \leq 2$.

We assume that the reader is familiar with the basic concept of an on-line coloring algorithm. For details we refer to [14]. Intuitively, an on-line coloring algorithm properly colors the vertices of a graph one by one, consistently using a fixed strategy, depending only on the subgraph induced by the revealed vertices and the colors that have been assigned to them by the algorithm, according to an externally determined ordering of the presented vertices.

A popular informative way of looking at on-line coloring is as a two-person game. In the two-person game, the *Drawer* reveals the vertices of G one by one together with their adjacencies to vertices already revealed. The *Painter* irrevocably assigns an admissible color to the currently revealed vertex, based on a strategy involving the graph induced by the already revealed vertices and their colors, and the adjacencies of the currently revealed vertex. The aim of Drawer is to

reveal the vertices in an order that forces Painter to use many colors. The aim of Painter is to invent a strategy that uses as few colors as possible. The order in which Drawer reveals the vertices of G is an ordering of the vertices of $V(G)$. Any strategy Painter chooses can be extended to some on-line coloring algorithm in the above sense that assigns Painter's coloring to G . The reverse is also true: a coloring prescribed by an on-line coloring algorithm can be simulated by a two-person game with a Drawer and Painter as described above.

We denote the (finite) set of all on-line coloring algorithms for a graph G by $AOL(G)$. Let $\Pi(G)$ denote the set of all permutations of the vertices of G . If $A \in AOL(G)$ and $\pi \in \Pi(G)$, we denote by $\chi_A(G, \pi)$ the number of colors used by A when the vertices of G are presented according to π . The largest number of colors used by the on-line algorithm A for G is called the *A-chromatic number* of G and denoted by $\chi_A(G)$. Hence

$$\chi_A(G) = \max_{\pi \in \Pi(G)} \chi_A(G, \pi).$$

The smallest number of colors used by an on-line algorithm for G is the *on-line chromatic number* of G , and denoted by $\chi_{OL}(G)$ [9]. Hence

$$\chi_{OL}(G) = \min_{A \in AOL(G)} \chi_A(G).$$

Let \mathcal{G} denote a (possibly infinite) family of graphs. If $A \in AOL(G)$ for every $G \in \mathcal{G}$, we say that A is an on-line coloring algorithm for \mathcal{G} and write $A \in AOL(\mathcal{G})$. An algorithm $A \in AOL(\mathcal{G})$ is said to be *competitive* for \mathcal{G} if there exists a function f such that $\chi_A(G) \leq f(\chi(G))$ for every $G \in \mathcal{G}$; it is *on-line competitive* if $\chi_A(G) \leq f(\chi_{OL}(G))$ for every $G \in \mathcal{G}$.

4 Small forbidden subgraphs

As stated before, it has been shown that there does not exist a competitive on-line coloring algorithm for P_6 -free bipartite graphs, but there exists a competitive on-line coloring algorithm for P_5 -free bipartite graphs. In fact, combining results from [7, 11, 15, 16], and analyzing a few cases ourselves, we can show there exists an on-line coloring algorithm that is on-line competitive for the class of H -free bipartite graphs for any fixed bipartite graph H on at most five vertices. We are not able to show such a result for any fixed graph H that is not bipartite. Since a bipartite graph does not contain a non-bipartite subgraph, this would come down to proving that there exists an on-line coloring algorithm that is on-line competitive for the class of bipartite graphs. This however is still a major open problem.

Before stating and proving our proposition below, let us first note that we are not aiming at obtaining nice performance ratios in our proof of this proposition. As we are primarily interested in the existence result here we took the freedom to use rather unsophisticated methods for reaching our goal.

Proposition 1 *Let H be a bipartite graph on at most five vertices. Then there exists an on-line coloring algorithm that is on-line competitive for the class of H -free bipartite graphs.*

Proof. We may restrict ourselves to bipartite graphs on exactly five vertices, noting that an F -free bipartite graph with F bipartite on at most four vertices is also H -free for some bipartite graph H on five vertices. We use $H + H'$ to denote the disjoint union of two graphs H and H' , and pH to denote the disjoint union of $p \geq 2$ copies of H . Before we make a case distinction we first make the following easy observation:

- (1) Let F be a graph and A an on-line coloring algorithm that is on-line competitive for the class of F -free bipartite graphs. Then there exists an on-line coloring algorithm A' that is on-line competitive for the class of $F + K_1$ -free bipartite graphs.

This claim can be seen as follows. Initially we use algorithm A to color the vertices of an $F + K_1$ -free bipartite graph G . If G does not contain an induced F , then we do not need any extra colors. Suppose G contains an induced subgraph G' that is isomorphic to F . We assume that G' is the first occurrence of F if G is revealed to A . Let v be the last vertex of G' presented to A . We color v with a new color c^* . Since G is $F + K_1$ -free, any vertex w that is presented after v must have a neighbor in G' . Let Q_1, \dots, Q_p be the components of G' . We note that these components are bipartite, since G is bipartite. For each component Q_i ($i = 1, \dots, p$) we define a set of two new colors $\{c_i, d_i\}$, each of which corresponds to one of the classes in the bipartition of Q_i . Now suppose Q_h is the component that has the smallest index h of all the components of G' , which w is adjacent to. Then we color w with c_h or d_h depending on which bipartite class of Q_h vertex w belongs to. This way we can finish the coloring of G with at most $2p + 1$ extra colors.

We now distinguish a number of cases depending on the value of $|E(H)| = m$.

Case I: $m = 0$. Then $H = 5K_1$ and consequently $|V(G)| \leq 8$. Then, since G is bipartite, $\Delta(G) \leq 4$. Hence we obtain $\chi_{FF} \leq \Delta(G) + 1 \leq 5$.

Case II: $m = 1$. Then $H = K_2 + 3K_1$. It is trivial to see that FF is on-line competitive for the class of K_2 -free graphs, i.e., graphs with only isolated vertices. After applying (1) three times we get the desired result.

Case III: $m = 2$. Then $H = P_3 + 2K_1$ or $2K_2 + K_1$. For the first subcase we can proceed similarly as in Case II. For the second subcase we use the following result from [11]:

- (2) If G is a P_5 -free graph without triangles, then $\chi_{FF}(G) \leq 3$.

Noting that $2K_2$ -free bipartite graphs are both P_5 -free and triangle-free, and combining (1) and (2), yields the result.

Case IV: $m = 3$. Then $H = P_4 + K_1$, $K_{1,3} + K_1$, or $P_3 + K_2$. Noting that P_4 -free bipartite graphs are both P_5 -free and triangle-free, and combining (1) and (2), yields the desired result for the first subcase. For the second subcase we first observe that $\chi_{FF}(G) \leq \Delta(G) + 1 \leq 3$ for any $K_{1,3}$ -free bipartite graph G and then we apply (1) to get the result. Since a $P_3 + K_2$ -free bipartite graph is a P_7 -free bipartite graph, we can of course immediately apply Theorem 10 (which will be presented later) for the third subcase. It is also not difficult to give a direct proof that our algorithm *BicolorMax* (which will be presented in the next section) is on-line competitive for this class of graphs.

Case V: $m = 4$. Then $H = K_{1,4}$, $C_4 + K_1$, P_5 , or the unique graph with degree sequence 3,2,1,1,1 which we denote by $K_{1,3}^+$. For the first subcase we easily get that $\chi_{FF}(G) \leq \Delta(G) + 1 \leq 4$. The *girth* of a graph G is the number of edges of a smallest cycle in G . For the second subcase we combine (1) with the following result from [7]:

- (3) If G has girth at least five, then $\chi_{FF}(G) \leq \binom{2^{\chi_{OL}(G)}}{2}$.

For the third subcase we use (2). The *radius* of a graph G is defined as the minimum of $\max_v d(u, v, G)$ over all vertices u in G . Since $K_{1,3}^+$ has radius 2, we can use the following result from [16]:

- (4) For every tree T with radius 2, there is an on-line coloring algorithm A that is on-line competitive for the class of T -free graphs.

Case VI: $m = 5$. Then $H = K_{2,3} - e$ for an edge e of $K_{2,3}$. We need a separate proof for this case. We first prove the following claim:

Claim: Let G be bipartite and H -free and let C be a component of G such that C_4 is an induced subgraph of C . Then $C = K_{s,t}$ for some integers $s, t \geq 2$.

We prove this claim as follows. If $C = C_4 = K_{2,2}$, then the claim trivially holds. If not, let $C_4 = uvwxu$, and let $N(p)$ denote the neighbors of vertex p in C . If $N(u) \not\subseteq N(w)$, then G contains H as an induced subgraph. So, by symmetry, $N(u) = N(w)$, and similarly $N(v) = N(x)$. Let $y \in N(u) \cap N(w)$. Then $uvwxyu$ is an induced C_4 , so as before $N(y) = N(v) = N(x)$. Hence all neighbors of u and w are adjacent to all neighbors of v and x , and vice versa. By repeating the arguments for all induced C_4 s, we obtain that $C = K_{s,t}$ for some $s, t \geq 2$.

A component in a bipartite graph that does not contain an induced C_4 has girth at least six. Since $\chi_{FF}(K_{s,t}) = 2$, the above claim together with (3) then implies that $\chi_{FF}(G) \leq \max\{\binom{2^{\chi_{OL}(G)}}{2}, 2\}$.

Case VII: $m = 6$. Then $H = K_{2,3}$. Kierstead and Penrice [15] showed that FF is on-line competitive for the class of H -free graphs. ■

We conclude that the first open question with respect to the (non)existence of on-line competitive coloring algorithms for H -free bipartite graphs concerns bipartite graphs H on 6 vertices, in particular $H = P_6$, which has radius 3. In the next section we present an on-line algorithm for coloring general bipartite graphs. We will show that it is on-line competitive for $(P_6$ -free and P_7 -free bipartite graphs.

5 The algorithm BicolorMax

Let G be a bipartite graph on n vertices denoted by $1, 2, \dots, n$. Let $A = \{a_1, a_2, \dots, a_p\}$ and $B = \{b_1, b_2, \dots, b_p\}$ be two disjoint ordered sets of colors. For a fixed positive integer $k \leq p$, let $A(k) = \{a_1, a_2, \dots, a_k\}$ and $B(k) = \{b_1, b_2, \dots, b_k\}$.

We first give the general idea of our on-line algorithm called *BicolorMax*. Suppose that G is presented to the algorithm. At some stage a new uncolored vertex v of G is revealed, together with its adjacencies to the set S of already colored vertices of G . If v is not adjacent to any previously revealed vertex of G , then v receives color a_1 . Otherwise, the choice of the color for v is based on the present colors in the bipartition classes of the component containing v of the subgraph of G induced by v and the vertices of S with colors in $A(k) \cup B(k)$ for some suitable $k \geq 1$. To make this choice explicit we first need to introduce some additional terminology.

If $F \subseteq V(G)$, then the *hue* of F , denoted by $H(F)$, is the set of all colors used on vertices in F . Let $\pi \in \Pi(G)$ for a bipartite graph G , and assume that $v = \pi(j)$. Let G_j^k denote the subgraph of $G[\{\pi(1), \dots, \pi(j)\}]$ induced by $v = \pi(j)$ and all the vertices in $\{\pi(1), \dots, \pi(j-1)\}$ that have been assigned colors from $A(k) \cup B(k)$. We denote by C_j^k the component of G_j^k containing v , and we write $C_j^k := (I_1, I_2)$ to indicate the bipartition of its vertex set. Note that (I_1, I_2) is the unique bipartition of C_j^k (up to renaming), because C_j^k is connected. We say that color a_k is *mixed* on $C_j^k = (I_1, I_2)$ if there exist at least two vertices $v \in I_1$ and $w \in I_2$ that have been colored with a_k . We then call (v, w) a *k-mixed pair*.

We are now ready to explain the choice of the color of a new vertex. The key idea is to prevent the mixing of colors as much as possible. Recall that in the worst-case FF uses m colors for a complete bipartite graph $K_{m,m}$ minus a perfect matching, because the worst-case ordering forces FF to assign the same color to each pair of the perfect matching, hence all m colors are used on both bipartite classes. In order to reduce the total number of colors we somehow need to avoid this as much as possible by changing the coloring strategy with respect to mixing colors.

The algorithm *BicolorMax* has been designed with this intuitive idea in mind. It is defined inductively. Vertex $\pi(1)$ is colored with a_1 . Suppose that vertices $\pi(1), \dots, \pi(j-1)$ have already been colored. Let $v = \pi(j)$ be the next vertex presented to the algorithm. The algorithm first computes the highest value of k such that a_k is mixed on C_j^k . We denote this value by m . In order to stop the mixing of colors, the algorithm colors v with a_{m+1} or with b_{m+1} . By definition of m , the color a_{m+1} is not mixed on C_j^{m+1} . The choice will fall on a_{m+1} if v is in the same bipartition class as a vertex with color a_{m+1} or if a_{m+1} has not been used before on C_j^{m+1} . Otherwise v receives color b_{m+1} . This way the mixing of color a_{m+1} within a component is prevented. We note that a_{m+1} might get mixed later on: if at a certain stage two components that both contain a vertex with color a_{m+1} are joined by a new vertex, then a_{m+1} becomes mixed if at least two vertices with color a_{m+1} belong to different bipartition classes.

Before we give a formal description of our algorithm we would like to make the following remark. The algorithm FF uses at most three colors on any path P_n . However, *BicolorMax* does not try to use the colors a_k or b_k for any $k < m$ if a_m is mixed on C_j^m . Hence, it is easy to see that *BicolorMax* is not on-line competitive for the family of paths. In Section 8 we return to this observation and show how we can combine FF and *BicolorMax* to obtain an on-line competitive algorithm for families of graphs for which FF is on-line competitive as well.

BicolorMax($G[\{\pi(1), \dots, \pi(j-1)\}], v$)

$m := \max(\{0\} \cup \{k : a_k \text{ is mixed on } C_j^k\})$.
if $a_{m+1} \notin H(V(C_j^{m+1}))$
 $C_j^{m+1} := (I_1, I_2)$ such that $v \in I_1$
else
 $C_j^{m+1} := (I_1, I_2)$ such that $a_{m+1} \in H(I_1)$.
if $v \in I_1$
 assign color a_{m+1} to v
else
 assign color b_{m+1} to v .

It is immediately clear that *BicolorMax* is not able to color a non-bipartite graph G . For instance, take $G = K_3$. Then $C_3^1 = C_3^2 = K_3$ can not be partitioned into two independent sets I_1 and I_2 . For bipartite graphs, *BicolorMax* does assign a coloring for each vertex permutation.

Observation 2 *BicolorMax* is an on-line coloring algorithm for bipartite graphs.

Proof. Let $\pi \in \Pi(G)$ for a bipartite graph G . Let $v = \pi(j)$ be the j^{th} vertex presented to *BicolorMax*. Let $m := \max(\{0\} \cup \{k : a_k \text{ is mixed on } C_j^k\})$.

Suppose $a_{m+1} \notin H(V(C_j^{m+1}))$. This means that v is not adjacent to a previously presented vertex with color a_{m+1} . Hence, *BicolorMax* may color v with color a_{m+1} in a proper vertex coloring.

Suppose $a_{m+1} \in H(V(C_j^{m+1}))$. By maximality of m , we find that a_{m+1} is not mixed on C_j^{m+1} . Then, a_{m+1} is only assigned to vertices in one bipartite class of C_j^{m+1} and we can indeed define $C_j^{m+1} = (I_1, I_2)$ with $a_{m+1} \in H(I_1)$ and $a_{m+1} \notin H(I_2)$. If v is in I_1 , then *BicolorMax* colors v with a_{m+1} . Since $a_{m+1} \notin H(I_2)$, vertex v is not adjacent to any previously presented vertex with color a_{m+1} . If v is in I_2 , then *BicolorMax* colors v with b_{m+1} . Suppose v is adjacent to a vertex $u = \pi(i)$ for some $i \leq j-1$ with color b_{m+1} . By definition of the algorithm, $C_i^{m+1} = (I'_1, I'_2)$ with $a_{m+1} \in H(I'_1)$ and $u \in I'_2$. Since $C_i^{m+1} \subseteq C_j^{m+1}$, we find that $I'_1 \subseteq I_1$ and $I'_2 \subseteq I_2$. This leads to a contradiction because the vertices u and v can not be adjacent if they are both in I_2 . ■

To illustrate how the choice of the color of $v = \pi(j)$ depends on the components of G_j^k we apply the algorithm to the following example.

Example. Let G be a $K_{4,4}$ without a perfect matching, i.e., with $V(G) = \{1, 2, 3, 4, 5, 6, 7, 8\}$, bipartition in $\{1, 3, 5, 7\}$ and $\{2, 4, 6, 8\}$, and only edges $\{1, 2\}$, $\{3, 4\}$, $\{5, 6\}$, and $\{7, 8\}$ omitted. If the vertices are revealed in the order of increasing numbers, the algorithm assigns colors $a_1, a_1, b_1, b_1, a_2, b_2, a_2, b_2$, respectively. The color b_2 is assigned to vertex 8 for the following three reasons. Firstly, a_1 is mixed on C_8^1 , i.e., the subgraph of G induced by $\{1, 2, 3, 4, 8\}$. Secondly, a_2 is not mixed on $C_8^2 = G$. Thirdly, a_2 has already been assigned to a vertex in $\{1, 3, 5, 7\}$, i.e., the bipartition class of C_8^2 not containing the vertex 8.

Suppose that G is extended and a new vertex 9 is revealed to the algorithm after presenting vertices $1, \dots, 8$. Suppose 9 is only adjacent to 7. Then a_1 is not mixed on $C_9^1 = (\{9\}, \emptyset)$ and a_2 is not mixed on $C_9^2 = G$. Hence *BicolorMax* colors 9 with a_1 . It is left to the reader to check that 9 is assigned color b_1 if 9 is adjacent to 1 and 7, color b_2 if 9 is adjacent to 1, 3 and 7, and color a_2 if 9 is adjacent to 2, 4 and 6.

For a $K_{n,n}$ without a perfect matching with $n \geq 5$ the algorithm will continue assigning a_2 and b_2 if the vertices are presented in an order alternating between the two classes of the bipartition, as in the above example for $n = 4$. In contrast, recall that *FF* uses n colors in this case.

Remark. In [18], the authors leave it as an “easy exercise” to construct an on-line coloring algorithm A such that $\chi_A(G) = O(\log_2 n)$ on the class of bipartite graphs (where G is a bipartite graph on n vertices). There are several choices for A to solve this exercise. One of these choices is the algorithm *BicolorMax* that we presented above and that will be analyzed in this paper. We are not aware of any publications that contain this algorithm *BicolorMax* (except for [3] of course). Clearly, our main purpose in this paper is to show that *BicolorMax* works particularly well in the sense of on-line competitiveness for P_7 -free bipartite graphs. As can be concluded from the next section this is not an easy exercise.

One might be inclined to think that *BicolorMax* coincides with other on-line algorithms that have been proposed to solve the “easy exercise” from [18], e.g., the algorithm from the survey paper of Kierstead (see [14], Theorem 2 on page 286) that is also known under the acronym *BFF* (Bipartite First-Fit). The formulation of *BFF* is very simple: when vertex v_i is presented, there is a unique partition (I_1, I_2) of the component to which v_i belongs such that $v_i \in I_1$. The algorithm *BFF* assigns to v_i the smallest color (in some ordering of the colors) that has not already been assigned to a vertex of I_2 . We do something more advanced, as can be seen from the above example. In this example, as we have argued, if the vertices 1 to 8 are presented in the order of increasing numbers, the algorithm *BicolorMax* assigns colors $a_1, a_1, b_1, b_1, a_2, b_2, a_2, b_2$, respectively. It can be checked that *BFF* also assigns these colors in the same order. Now suppose a new vertex 9 is presented which is only adjacent to vertex 7. Then *BicolorMax* assigns color a_1 to 9, as explained

in the previous example, whereas *BFF* assigns color b_2 to 9.

Although at first sight *BicolorMax* looks very similar to *BFF*, the subtle difference in the choice of colors to avoid mixing colors enables us to prove the on-line competitiveness of our algorithm for P_7 -free bipartite graphs. Our earlier attempts to use a simpler strategy, like in *BFF*, for such a competitiveness proof failed even for the more restricted class of P_6 -free bipartite graphs. In fact, finding a suitable variant for our competitiveness proof turned out to be rather tricky.

6 P_7 -free bipartite graphs

In this section we prove our main theorem showing that *BicolorMax* is a linear on-line competitive algorithm for the class of P_7 -free bipartite graphs. The proof is modelled along the following lines. We will define a class of tree-like bipartite graphs, show that the on-line competitive factor of any on-line coloring algorithm for these graphs is high, and show that whenever *BicolorMax* uses many colors on a P_7 -free bipartite input graph G , then G contains a large member of the class of tree-like graphs as an induced subgraph. More specifically, denote by $\chi_{BM}(G)$ the maximum number of colors used by *BicolorMax* for coloring a graph G .

By a series of lemmas and propositions we prove that $\chi_{BM}(G) \leq 8\chi_{OL}(G) + 8$ for any P_7 -free bipartite graph G . In the next two lemmas we first show that *BicolorMax* controls the mixing of color pairs. We note that these lemmas are valid for any bipartite graph.

Lemma 3 *Let G be a bipartite graph. Let *BicolorMax* color vertex $v = \pi(j)$ with a_m or b_m , $m \geq 2$. Let (x, y) be a k -mixed pair in C_j^k with $k \leq m - 1$. Then any path between x and y in C_j^k must pass through v .*

Proof. Let $x = \pi(r)$ and let $y = \pi(s)$ for some $r, s \leq j - 1$. We assume without loss of generality that y has been presented to *BicolorMax* after x , i.e., $s > r$. Suppose x belongs to C_s^k , implying that $a_k \in H(V(C_s^k))$. Since y is colored with a_k , color a_k is not mixed on C_s^k . Since (x, y) is a k -mixed pair, x (together with all the other vertices in C_s^k that have color a_k) and y are in different bipartite classes. So *BicolorMax* would have colored y with color b_k . Hence x does not belong to C_s^k .

Suppose there exists an index i with $s < i < j$ such that x and y belong to C_i^k . This means that a_k is mixed on C_i^k . Then *BicolorMax* would never use a color a_h or b_h with $h \leq k$ to color $\pi(i)$. We conclude that every path between x and y in C_j^k must pass through v . ■

Lemma 4 *Let G be a bipartite graph. Let *BicolorMax* color vertex $v = \pi(j)$ with a_m or b_m , $m \geq 2$. For some $k \leq m - 1$ let x and y be two vertices in C_j^k colored with a_k and b_k respectively such that $d(x, y, C_j^k)$ is even. Then any path between x and y in C_j^k must pass through v .*

Proof. Let $x = \pi(r)$ and let $y = \pi(s)$ for some $r, s \leq j - 1$. Suppose x belongs to C_s^k implying that y appeared after x . Since y is colored with b_k , color a_k is not mixed on C_s^k . Because G is bipartite and the distance $d(x, y, C_j^k)$ is even, also the distance between x (or any other vertex in C_s^k with color a_k) and y in C_s^k is even. Then *BicolorMax* would have colored y with color a_k . Hence x does not belong to C_s^k .

Suppose y belongs to C_r^k . Since x is colored with a_k , color a_k is not mixed on C_r^k . Then the distance between x and any vertex in C_r^k with color a_k is even. Since y has received color b_k , by definition of *BicolorMax*, there exists at least one vertex z in C_r^k with color a_k , which is in a

different bipartite class than y . Since the distance between x and y in C_j^k , and consequently in C_r^k , is even, this implies that the distance between x and z in C_r^k is odd. This is a contradiction. Hence, y does not belong to C_r^k .

In the remaining case there exists an index i with $\max(\{r, s\}) < i < j$ such that x and y belong to C_i^k . Since y is colored with b_k , by definition of *BicolorMax*, the component C_s^k , which is a subgraph of C_i^k , must contain a vertex w with color a_k and with odd distance to y . Since the distance between x and y is even, the distance between w and x is odd, and we find that a_k is mixed on C_i^k . Then *BicolorMax* would never use a color a_h or b_h with $h \leq k$ to color $\pi(i)$. We conclude that every path between x and y in C_j^k must pass through v . ■

In the following lemma we show that *BicolorMax* ensures that vertices of higher colors are very “close” to at least some vertices of lower colors.

Lemma 5 *Let G be a P_7 -free bipartite graph. Let *BicolorMax* color vertex $v = \pi(j)$ with a_m or b_m , $m \geq 3$. There exists an $(m-1)$ -mixed pair (z^*, z) in C_j^{m-1} with $d(v, z^*, C_j^{m-1}) = 1$ and $d(v, z, C_j^{m-1}) = 2$.*

Proof. By definition of *BicolorMax*, color a_{m-1} is mixed on C_j^{m-1} . So C_j^{m-1} contains at least one $(m-1)$ -mixed pair (z, z') . We assume without loss of generality that the distance between z and v is even and the distance between z' and v is odd. Since (z, z') is an $(m-1)$ -mixed pair, by Lemma 3, a shortest path $P_{zz'}$ from z to z' in C_j^{m-1} must be formed by joining shortest paths P_{zv} from z to v and $P_{vz'}$ from v to z' . First we show that $d(v, z, C_j^{m-1}) = 2$.

Suppose $d(v, z, C_j^{m-1}) \geq 4$. Then $d(v, z', C_j^{m-1}) = 1$, i.e., z' and v are adjacent. Otherwise, since z' has odd distance to v , the path $P_{zz'}$ contains an induced P_7 . Let $z' = \pi(s)$ for some $s < j$. Since *BicolorMax* has used color $a_{m-1} \neq a_1$ (due to our assumption that $m \geq 3$) on vertex z' , the component C_s^{m-2} contains an $(m-2)$ -mixed pair. This means that z' has a neighbor $w \neq v$ in $C_s^{m-2} \subseteq C_j^{m-1}$. Bipartiteness of G and Lemma 3 imply that w is not adjacent to any vertex in P_{zv} . This implies that G contains an induced P_7 , which is a contradiction. Hence $d(v, z, C_j^{m-1}) = 2$.

We now show that $d(v, z', C_j^{m-1}) \leq 3$. Suppose $d(v, z', C_j^{m-1}) \geq 5$. Then $P_{zz'}$ would contain an induced P_7 . Hence $d(v, z', C_j^{m-1}) = 1$ or $d(v, z', C_j^{m-1}) = 3$. In the first case we are done. In the second case, i.e., if $d(v, z', C_j^{m-1}) = 3$, we will show that there exists a vertex z^* on $P_{z'v}$ with color a_{m-1} that is adjacent to v .

Let y and z^* be vertices of G such that $P_{z'v} = z'y z^* v$. Note that the distance between z^* and z' is even. Then, due to Lemma 4, vertex z^* has not been colored with b_{m-1} . We will show that z^* has not been colored with any color from $A(m-2) \cup B(m-2)$ either. First note that any neighbor of z' in C_j^{m-1} is adjacent to z^* . Otherwise, we could extend the path $P_{zz'}$ on six vertices with one extra vertex, and C_j^{m-1} would contain an induced P_7 .

Let $r < j$ be chosen such that $z^* = \pi(r)$. Recall that $z' = \pi(s)$. We first consider the case $s > r$, i.e., vertex z' has appeared after z^* . Since z^* is adjacent to every neighbor of z' in $C_s^{m-2} \subseteq C_j^{m-1}$ and a_{m-2} is mixed on C_s^{m-2} , Lemma 3 prevents that z^* is in C_s^{m-2} . Otherwise, C_s^{m-2} would contain a path (using z^*) between two vertices u_1 and u_2 of an $(m-2)$ -mixed pair (u_1, u_2) in C_s^{m-2} not going through z' . We already noted that z^* has not received color b_{m-1} . Then, since z^* is in C_j^{m-1} , vertex z^* must have been colored with a_{m-1} .

Now assume $s < r$, i.e., vertex z^* has appeared after z' . Every neighbor of z' in C_r^{m-2} is adjacent to z^* . Hence a_{m-2} is not only mixed on C_s^{m-2} but also on C_r^{m-2} . Since b_{m-1} was not allowed and z^* is in C_j^{m-1} , *BicolorMax* must have colored z^* with a_{m-1} . ■

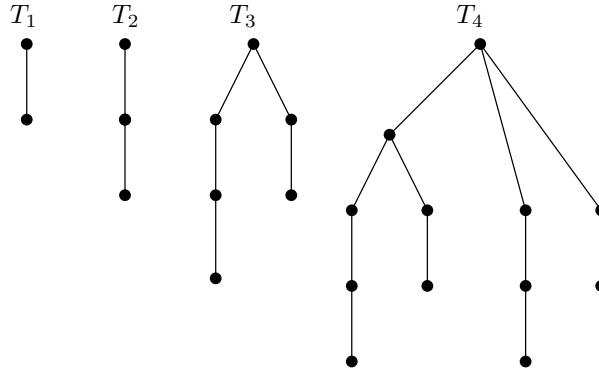


Figure 1: The trees T_1, T_2, T_3, T_4 .

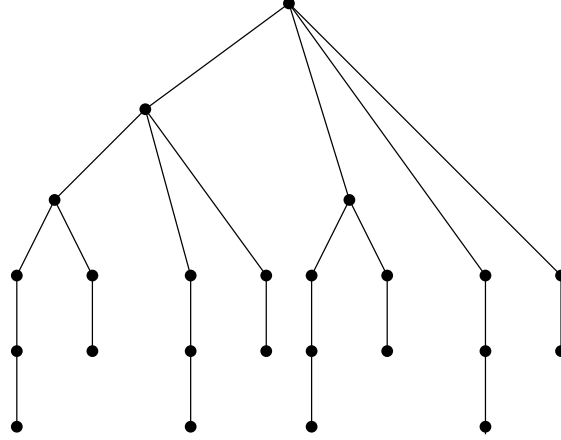


Figure 2: The tree T_5 .

We inductively define a class of trees (see Figures 1 and 2). Each tree T_k of the class has a root vertex $r(T_k)$, and:

- T_1 is a tree consisting of an edge, one of whose end vertices is the root vertex $r(T_1)$.
- T_2 is a path on three vertices, one of whose end vertices is the root vertex $r(T_2)$.
- T_k , $k \geq 3$ consists of a root vertex $r(T_k)$ that is adjacent to the root vertices of mutually disjoint copies of T_1, T_2, \dots, T_{k-1} (one copy of each of these trees). These copies are then called the *child trees* of T_k .

We denote a copy of a tree T_k with root vertex $v = r(T_k)$ by $T_k(v)$. The child trees of $T_k(v)$ are denoted by $T_1^v, T_2^v, \dots, T_{k-1}^v$. The following lemma turns out to be useful.

Lemma 6 *Let G be a P_7 -free bipartite graph. If BicolorMax uses color a_k or b_k on vertex $v = \pi(j)$ with $k \geq 2$, then C_j^{k-1} contains the tree $T_{k-1}(v)$ as a (not necessarily induced) subgraph in such a way that:*

- (i) *If there exists an edge in G between any two vertices x, y in $T_{k-1}(v)$ with $d(v, x, T_{k-1}(v)) \leq d(v, y, T_{k-1}(v))$, then x lies on the path from y to v in $T_{k-1}(v)$.*

(ii) The root of child tree T_i^v is colored with a_{i+1} or b_{i+1} for all $1 \leq i \leq k-2$.

Proof. By induction on k . Let $k = 2$, i.e., *BicolorMax* uses color a_2 or b_2 on vertex v . Then C_j^1 contains a 1-mixed pair. This implies that v has a neighbor in C_j^1 , and the conditions of the lemma are trivially satisfied.

Let $k = 3$, i.e., *BicolorMax* uses color a_3 or b_3 on vertex v . By Lemma 5, vertex v has a neighbor z^* in C_j^2 with color a_2 . Let $z^* = \pi(q)$ for some $q < j$. Then C_q^1 contains a 1-mixed pair. This implies that z^* has a neighbor not equal to v in C_j^2 . We conclude that the conditions of the lemma are satisfied.

Let $k \geq 4$. Since *BicolorMax* uses color a_k or b_k on vertex v , there exists a $(k-1)$ -mixed pair (x, y) in C_j^{k-1} . By Lemma 5, we may without loss of generality assume that $d(v, x, C_j^{k-1}) = 2$ and $d(v, y, C_j^{k-1}) = 1$. Assume $x = \pi(h)$ for some $h < j$ and $y = \pi(i)$ for some $i < j$. By the induction hypothesis, C_h^{k-2} contains the tree $T_{k-2}(x)$, and C_i^{k-2} contains the tree $T_{k-2}(y)$. Since (x, y) is a $(k-1)$ -mixed pair in C_j^{k-1} , every path from x to y in C_j^{k-1} must go through v due to Lemma 3. This implies that every path in C_j^{k-1} from a vertex in $C_h^{k-2} \subseteq C_j^{k-1}$ to a vertex in $C_i^{k-2} \subseteq C_j^{k-1}$ must go through v . Then we have also found that every path in C_j^{k-1} from a vertex in $T_{k-2}(x) \subseteq C_h^{k-2}$ to a vertex in $T_{k-2}(y) \subseteq C_i^{k-2}$ must go through v . We distinguish two cases: either C_h^{k-2} contains a common neighbor of v and x , or C_h^{k-2} does not contain any common neighbors of v and x .

Case 1. Component C_h^{k-2} contains a common neighbor w of x and v . Again we need to distinguish two cases: either w is in $T_{k-2}(x)$, or w is not in $T_{k-2}(x)$.

Case 1a. Vertex w is in $T_{k-2}(x)$. Then w is in a child tree T_p^x of $T_{k-2}(x)$ for some $1 \leq p \leq k-3$. If $k = 4$, then $T_{k-2}(x) = T_2(x)$, and w must be the root of T_1^x . By the induction hypothesis w is colored with a_2 or b_2 . Recall that every path in C_j^3 from a vertex in $T_2(x)$ to a vertex in $T_2(y)$ goes through v , and that y is colored with $a_{k-1} = a_3$. This implies that v is the root of a copy of T_3 satisfying (i) and (ii).

Suppose $k \geq 5$. Then $T_{k-2}(x)$ has a child tree T_q^x with root u for some $q \neq p$. Note that for all $k \geq 2$ any child tree of a tree T_k consists of at least two vertices. Let u' be a neighbor of u in T_q^x . Let y' be a neighbor of y in $T_{k-2}(y)$. Note that u', u, x, w, v, y, y' are seven different vertices of G . This implies that $P_{u'y'} = u'uxwvyy'$ is a path on seven vertices in C_j^{k-1} . Recall that every path from a vertex of $T_{k-2}(x)$ to a vertex of $T_{k-2}(y)$ goes through v . Then there are no edges between $\{u', u, x, w\}$ and $\{y, y'\}$. By the induction hypothesis T_p^x and T_q^x are remote. This implies that w is neither adjacent to u nor to u' . Then there must be an edge between u and v , otherwise $P_{u'y'}$ is an induced P_7 in G . Hence, we have found that v is adjacent to the root of all child trees of $T_{k-2}(x)$ that are not equal to T_p^x . However, also the root of T_p^x must be joined to v by an edge. This can be shown by using exactly the same arguments (in which vertex u takes over the role of vertex w).

From the above we conclude that v is adjacent to the roots of all child trees of $T_{k-2}(x)$. These trees together with tree $T_{k-2}(y)$ form the child trees of $T_{k-1}(v)$. Due to the fact that any path from a vertex in $T_{k-2}(x)$ to a vertex in $T_{k-2}(y)$ goes through v and our induction hypothesis, the child trees of $T_{k-1}(v)$ satisfy (i). Recall that the root vertex y of $T_{k-2}^v = T_{k-2}(y)$ is colored with a_{k-1} . The root vertices of the other child trees of $T_{k-1}(v)$ are colored with the desired colors due to the induction hypothesis. Hence, also condition (ii) of the lemma is satisfied.

Case 1b. Vertex w is not in $T_{k-2}(x)$. Since $k \geq 4$, there exists a vertex s with color a_{k-2} in C_h^{k-2} with $d(x, s, C_h^{k-2}) = 2$ due to Lemma 3. Since $d(x, w, C_h^{k-2}) = 1$, vertex s is not equal to vertex w .

Again, let y' be a neighbor of y in $T_{k-2}(y)$. We first show that we may without loss of generality assume that w is adjacent to s .

Suppose w is not adjacent to s . Since $d(x, s, C_h^{k-2}) = 2$, vertex s and x have a common neighbor t in C_h^{k-2} . Note that s, t, x, w, v, y, y' are seven different vertices of G . This implies that $P_{sy'} = stxwvyy'$ is a path on seven vertices in C_j^{k-1} . Recall that every path from a vertex in C_h^{k-2} to a vertex in $T_{k-2}(y)$ goes through v . Then there are no edges between $\{s, t, x, w\}$ and $\{y, y'\}$. This together with $\{w, s\} \notin E(G)$ implies that v must be adjacent to t , otherwise $P_{sy'}$ is an induced P_7 in G . Then, we can pick vertex t instead of vertex w . So from now on we assume that w is a common neighbor of s and x in C_h^{k-2} .

Let r be the root of child tree T_{k-3}^x of $T_{k-2}(x)$. Let r' be a neighbor of r in T_{k-3}^x . Note that r', r, x, w, v, y, y' are seven different vertices of G . This implies that $P_{r'y'} = r'rxwvyy'$ is a path on seven vertices in C_j^{k-1} . By the induction hypothesis r is colored with a_{k-2} or b_{k-2} .

Suppose r is colored with a_{k-2} . Since $d(r, s, C_h^{k-2})$ is odd and s is colored with a_{k-2} , the pair (r, s) is a $(k-2)$ -mixed pair in C_h^{k-2} . Lemma 3 implies that every path from s in C_h^{k-2} , and hence every path from w in C_h^{k-2} , to a vertex in $T_{k-3}^x \subseteq C_h^{k-2}$ goes through x . Then there are no edges between $\{r, r'\}$ and w . Furthermore, recall that every path in C_j^{k-1} from a vertex in C_h^{k-2} to a vertex in $T_{k-2}(y) \subseteq C_i^{k-2}$ goes through v . Then there are no edges between $\{r', r, x, w\}$ and $\{y, y'\}$ either. Since $P_{r'y'}$ may not be an induced P_7 , these observations imply that there must be an edge between v and r . Hence, using vertex r instead of w brings us back to Case 1a.

Suppose r is colored with b_{k-2} . By Lemma 5, C_h^{k-2} contains a vertex \hat{s} that has received color a_{k-2} and that is adjacent to x . Let $\hat{s} = \pi(\ell)$ for some $\ell < j$. By our induction hypothesis, vertex \hat{s} is the root of a tree $T_{k-3}(\hat{s})$ in C_ℓ^{k-3} .

Suppose every path in C_h^{k-2} from \hat{s} to a vertex in any child tree T_p^x for $1 \leq p \leq k-4$ goes through x . Then in $T_{k-2}(x)$ we can replace the child tree $T_{k-3}(r)$ by the child tree $T_{k-3}(\hat{s})$. Then we can repeat the argument above (replace r by \hat{s} , which is colored by a_{k-2}) in order to find that v is adjacent to \hat{s} , and we return to Case 1a.

Suppose C_h^{k-2} contains a path from \hat{s} to a child tree T_m^x for some $1 \leq m \leq k-4$ that does not go through x . Let z be the root of T_m^x and let z' be a neighbor of z in T_m^x . Note that z', z, x, w, v, y, y' are seven different vertices of G . This implies that $P_{z'y'} = z'zxwvyy'$ is a path on seven vertices in C_j^{k-1} . Recall that w is a neighbor of s . We then find that z' and w are not adjacent. Otherwise C_h^{k-2} would contain a path between s and \hat{s} , which are the vertices of a $(k-2)$ -mixed pair, not going through x (a contradiction due to Lemma 3). Then as before, in order not to have an induced P_7 , vertex v must be adjacent to z . We return to Case 1a.

Case 2 Component C_h^{k-2} does not contain a common neighbor of x and v . Since x has distance two from v in C_j^{k-1} , there exists a common neighbor v' of v and x in C_j^{k-1} . We first prove that v' has received color b_{k-1} .

Since $k \geq 4$, Lemma 5 implies that C_h^{k-2} contains a vertex s with color a_{k-2} at distance $d(x, s, C_h^{k-2}) = 2$ from x , and C_h^{k-2} contains a vertex t with color a_{k-2} that is a neighbor of x . Since $d(x, s, C_h^{k-2}) = 2$, vertex s and x have a common neighbor s' in C_h^{k-2} . Since $k \geq 4$, vertex t has a neighbor t' in C_h^{k-2} that is not equal to x . Let y' be a neighbor of y in $T_{k-2}(y)$. Note that $s, s', t, t', x, v', v, y, y'$ are nine different vertices in G . This implies that both $P_{sy'} = ss'xv'vyy'$ and $P_{t'y'} = t'txv'vyy'$ are paths on seven vertices in C_j^{k-1} . Any path in C_j^{k-1} from a vertex in $T_{k-2}(y)$ to a vertex in C_h^{k-2} or to v' goes through v . Otherwise there exists a path in C_j^{k-1} from y to x that does not use v , which is not possible due to Lemma 3. Hence, there are no edges between

$\{s, s', x, v'\}$ and $\{y, y'\}$, and there are no edges between $\{t, t', x, v'\}$ and $\{y, y'\}$ either. Since we assumed that C_h^{k-2} does not contain any common neighbor of v and x , there are no edges between v and $\{s', t\}$. Then v' must be adjacent to both s and t' , otherwise G contains an induced P_7 . Since v' is in C_j^{k-1} and adjacent to vertex x with color a_{k-1} , the color of v' is in $A(k-2) \cup B(k-1)$.

Suppose v' has not received color b_{k-1} but some color from $A(k-2) \cup B(k-2)$. Then v' must have appeared after x , due to our assumption that v' is not in C_h^{k-2} . However, in that case, v' has also appeared after s and t . Then (s, t) is a $(k-2)$ -mixed pair of $C_{\pi^{-1}(v')}^{k-2}$ implying that *BicolorMax* would never color v' with a color from $A(k-2) \cup B(k-2)$. Hence, v' must have received color b_{k-1} .

Recall that $y = \pi(i)$ is assigned color a_{k-1} . Then, by Lemma 5, component C_i^{k-2} contains a $(k-2)$ -mixed pair (z^*, z) such that $d(y, z^*, C_i^{k-2}) = 1$ and $d(y, z, C_i^{k-2}) = 2$. We first show that v is adjacent to z and every neighbor of z^* in C_i^{k-2} .

Since $d(y, z, C_i^{k-2}) = 2$, component C_i^{k-2} contains a common neighbor z' of y and z . Let x' be a neighbor of x in C_h^{k-2} . Since v' with color b_{k-1} and neighbor v with color a_{k-1} are neither in C_h^{k-2} nor in C_i^{k-2} , we find that z, z', y, v, v', x, x' are seven different vertices. This implies that $P_{zx'} = zz'yvv'xx'$ is a path on seven vertices in C_j^{k-1} . By Lemma 3, any path from y to x in C_j^{k-1} must go through v . This implies that there are no edges between vertices from $\{z, z', y\}$ and $\{v', x, x'\}$. Since we assume that v and x do not have a common neighbor in C_h^{k-2} , vertices x' and v are not adjacent. Then v must be adjacent to z . By the same arguments we find that v is adjacent to every neighbor of z^* in C_i^{k-2} .

Let $z^* = \pi(\ell^*)$ for some $\ell^* < j$. By our induction hypothesis, $C_{\ell^*}^{k-3}$ contains the tree $T_{k-3}(z^*)$ satisfying conditions (i) and (ii) of the lemma. Since v is adjacent to every neighbor of z^* in $C_i^{k-2} \supseteq C_{\ell^*}^{k-3}$, vertex v is adjacent to the roots of all child trees $T_{k-4}^{z^*}, T_{k-5}^{z^*}, \dots, T_1^{z^*}$.

We are also going to use our induction hypothesis with respect to vertex z , which has been assigned color a_{k-2} . Let $z = \pi(\ell)$ for some $\ell < j$. Then C_ℓ^{k-3} contains the tree $T_{k-3}(z)$ satisfying conditions (i) and (ii) of the lemma. Recall that z is adjacent to v . So v is adjacent to the root of a copy of a tree T_{k-3} . Due to Lemma 3, the trees $T_{k-3}(z)$ and $T_{k-3}(z^*)$ do not have a vertex in common.

Finally, we consider vertex v' with color b_{k-1} . Let $v' = \pi(\ell')$ for some $\ell' < j$. Again by our induction hypothesis, $C_{\ell'}^{k-2}$ contains the tree $T_{k-2}(v')$ satisfying conditions (i) and (ii) of the lemma. Recall that v is adjacent to v' . So v is adjacent to a copy of T_{k-2} that does not have any vertex in common with the trees $T_{k-3}(z)$ and $T_{k-3}(z^*)$ due to Lemma 3.

We conclude that C_j^{k-1} contains the tree $T_{k-1}(v)$ as a subgraph such that condition (ii) has been satisfied and that condition (i) will be satisfied as well if we can show the following statement: there are no edges between $T_{k-3}^v = T_{k-3}(z)$ and a child tree $T_i^v = T_i^{z^*}$ for all $1 \leq i \leq k-4$, and there are no edges between $T_{k-2}^v = T_{k-2}(v')$ and a child tree T_i^v for all $1 \leq i \leq k-3$. This claim can be seen as follows. If there is an edge between T_{k-2}^v and a child tree T_i^v for $1 \leq i \leq k-3$, then C_j^{k-1} contains a path from x to y that does not go through v . Since (x, y) is a $(k-1)$ -mixed pair in C_j^{k-1} , this is not possible due to Lemma 3. If there is an edge between T_{k-3}^v and a child tree T_i^v for $1 \leq i \leq k-4$, then C_i^{k-2} contains a path from z to z^* that does not go through y . Since (z^*, z) is a $(k-2)$ -mixed pair in C_i^{k-2} , this is not possible, again due to Lemma 3. ■

We also inductively define a class of P_6 -free bipartite graphs F_i (see Figures 3 and 4). Its purpose and its relation to the class of trees T_i will be made clear later on. Each graph F_i of the class has a root vertex $r(F_i)$, and:

- F_1 is a graph consisting of a single root vertex.

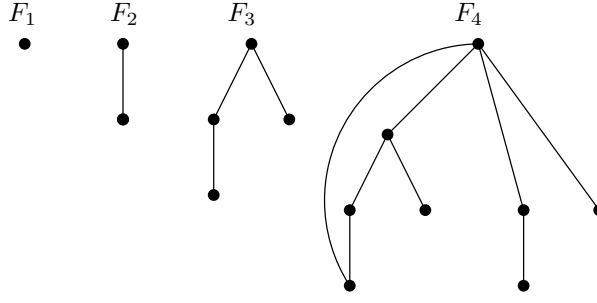


Figure 3: The graphs F_1, F_2, F_3, F_4 .

- F_2 is a graph consisting of an edge, one of whose end vertices is the root vertex.
- $F_k, k \geq 3$ consists of a root vertex $r(F_k)$ that is adjacent to the root vertices of disjoint copies of F_1, F_2, \dots, F_{k-1} (one copy of each of these graphs). These copies are then called the *child graphs* of F_k . For all $1 \leq j \leq k-1$, we join vertex $r(F_k)$ also to every vertex in F_j that has distance 2 to $r(F_j)$. This implies that every vertex of F_k is at distance at most 2 from $r(F_k)$. Hence, the maximum distance between two vertices in F_k is at most four and F_k is P_6 -free.

A graph F_k has the following useful properties (see also Figure 4).

Lemma 7 *Any graph F_k with $k \geq 4$ contains copies F_t^1 and F_t^2 of F_t for all $2 \leq t \leq k-2$ such that*

- (i) *For all $1 \leq i, j \leq 2$ and all $2 \leq s < t \leq k-2$, the graphs F_s^i and F_t^j are remote.*
- (ii) *For all $2 \leq t \leq k-2$, the vertices of the graph F_t^1 in the bipartite class containing the root vertex of F_t^1 are adjacent to $r(F_k)$.*
- (iii) *For all $2 \leq t \leq k-2$, the vertices of the graph F_t^2 in the bipartite class not containing the root vertex of F_t^2 are adjacent to $r(F_k)$.*

Proof. One easily checks that child graph F_{t+1} of graph F_k contains the desired copies F_t^1 and F_t^2 for $2 \leq t \leq k-2$. ■

The following proposition is a key ingredient for the proof of our main result. It also implies that there exists no competitive on-line coloring algorithm for the family of P_6 -free bipartite graphs (cf. [9], where this has been proven using a different subfamily of P_6 -free bipartite graphs).

Proposition 8 *For any $k \geq 1$, $\chi_{OL}(F_{2k}) \geq k$.*

Proof. By induction on k . The case $k = 1$ is trivial. Let $k \geq 2$. Consider F_{2k} and an on-line algorithm A for coloring F_{2k} . The first time the i^{th} color is used by A we identify it as color i . We choose an ordering on $V(F_{2k})$ such that the vertices of remote copies of $F_2, F_4, \dots, F_{2k-2}$ are presented until color i is used on F_{2i} ($i = 1, \dots, k-1$), i.e., as soon as color 1 is used on F_2 we start presenting vertices of F_4 , as soon as color 2 is used on F_4 we start presenting vertices of F_6 and so on, until color $k-1$ is used on F_{2k-2} . By the adjacency relations from the definition of F_{2k} and the properties of Lemma 7, the ordering of the presented vertices of $F_2, F_4, \dots, F_{2k-2}$ can

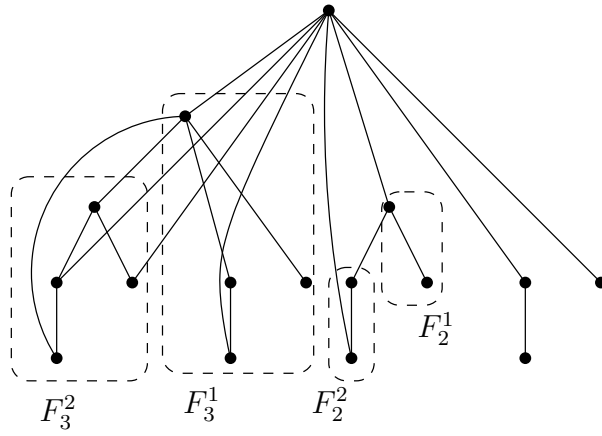


Figure 4: The graph F_5 which contains copies $F_2^1, F_2^2, F_3^1, F_3^2$.

be chosen in such a way that $r(F_{2k})$ is adjacent to the (not necessarily root) vertices that received colors $1, \dots, k-1$. Hence a new color k is forced upon A . ■

Below we denote a copy of a graph F_k with root vertex v by $F_k(v)$. The child graphs of $F_k(v)$ are denoted by $F_1^v, F_2^v, \dots, F_{k-1}^v$.

Lemma 9 *Let G be a P_7 -free bipartite graph. If BicolorMax uses color a_k or b_k with $k \geq 3$ on vertex $v = \pi(j)$, then C_j^{k-1} contains the graph $F_{\lfloor \frac{k-1}{2} \rfloor}(v)$ as an induced subgraph.*

Proof. Due to Lemma 6 the component C_j^{k-1} contains the tree $T_{k-1}(v)$ as a subgraph in such a way that:

- (i) If there exists an edge in G between any two vertices x, y in $T_{k-1}(v)$ with $d(v, x, T_{k-1}(v)) \leq d(v, y, T_{k-1}(v))$, then x lies on the path from y to v in $T_{k-1}(v)$.
- (ii) The root of child tree T_i^v is colored with a_{i+1} or b_{i+1} for all $1 \leq i \leq k-2$.

By induction on k we will show that the subgraph of G induced by $V(T_{k-1}(v))$ contains the graph $F_{\lfloor \frac{k-1}{2} \rfloor}(v)$ as an induced subgraph. The case $k = 3$ is trivial. Let $k \geq 4$.

Claim. The following is true for at least $k-3$ child trees T_i^v : vertex v is adjacent to every vertex in T_i^v that has distance 2 to $r(T_i^v)$.

We prove this claim by contradiction. Suppose there exist two child trees of $T_{k-1}(v)$ not satisfying the claim. Let T_h^v be a child tree of $T_{k-1}(v)$ for some $1 \leq h \leq k-2$ that contains a vertex x with $d(x, r(T_h^v), T_h^v) = 2$ and with $\{x, v\} \notin E(G)$. Let T_j^v be a child tree of $T_{k-1}(v)$ for some $1 \leq j \neq h \leq k-2$ that contains a vertex y with $d(y, r(T_j^v), T_j^v) = 2$ and with $\{y, v\} \notin E(G)$. Let y' be the common neighbor of y and $r(T_j^v)$ in T_j^v . Let x' be the common neighbor of x and $r(T_h^v)$ in T_h^v . Since $T_{k-1}(v)$ satisfies (i), G contains an induced $P_7 = xx'r(T_h^v)vr(T_j^v)y'y$, which is a contradiction. Hence the above claim has been proven.

By the induction hypothesis and condition (ii), for $2 \leq i \leq k-2$, the subgraph induced by $V(T_i^v)$ contains the graph $F_{\lfloor \frac{i}{2} \rfloor}(r(T_i^v))$ as an induced subgraph. This, together with the above claim and the fact that $T_{k-1}(v)$ satisfies condition (i), implies that C_j^{k-1} contains the graph $F_{\lfloor \frac{k-1}{2} \rfloor}(v)$ as an induced subgraph. ■

Theorem 10 *If G is a P_7 -free bipartite graph, then $\chi_{BM}(G) \leq 8\chi_{OL}(G) + 8$.*

Proof. Let k be the highest index such that *BicolorMax* uses color a_{4k+1} on a vertex in G . Note that it is possible that *BicolorMax* uses colors $a_{4k+2}, a_{4k+3}, a_{4k+4}$ or $b_{4k+2}, b_{4k+3}, b_{4k+4}$ to color G . Hence, every color used on a vertex of G is from $A(4k+4) \cup B(4k+4)$. Since *BicolorMax* only uses b_i if a_i has been used before, $\chi_{B<}(G) \leq 2(4k+4) = 8k+8$. For $k = 0$ the statement obviously holds. Suppose $k \geq 1$. Due to Lemma 9, G contains a copy of F_{2k} as an induced subgraph. Proposition 8 implies that $\chi_{OL}(G) \geq \chi_{OL}(F_{2k}) \geq k$. ■

7 New upper bounds on χ_{OL} for bipartite graphs

As we noted in Section 5, in [18], the authors leave it as an “easy exercise” to construct an on-line coloring algorithm A such that $\chi_A(G) = O(\log_2 n)$ on the class of bipartite graphs (where G is a bipartite graph on n vertices). There are several choices for A to solve this exercise. One of these choices is the algorithm *BicolorMax* that we presented and analyzed in this paper.

As a byproduct, using the derived properties of *BicolorMax*, below we give an upper bound on $\chi_A(G)$ for a bipartite graph G in terms of the independence number $\alpha(G)$ and in terms of the number of remote induced P_5 s in G . It is not possible to prove an upper bound in terms of induced subgraphs isomorphic to P_6 , since it has been proven in [9] (and also follows from Proposition 8) that no competitive algorithm exists for the family of P_6 -free bipartite graphs.

Theorem 11 *Let G be a bipartite graph in which each component has at most s remote induced subgraphs isomorphic to P_5 . If $s = 0$, then $\chi_{BM}(G) \leq 4$. If $s > 0$, then $\chi_{BM}(G) \leq 2\log_2(s) + 6$.*

Proof. We prove the theorem by showing that a component C of G contains at least 2^{k-3} remote induced subgraphs isomorphic to P_5 , if *BicolorMax* uses color a_k on C with $k \geq 3$. We use induction on k .

Let $k = 3$. It is easy to check that a component of G contains an induced P_5 , if *BicolorMax* uses color a_3 on a vertex of that component. Let $k \geq 4$. Suppose $v = \pi(j)$ is colored by a_k or b_k . Then there exists a $(k-1)$ -mixed pair (x, y) in C_j^{k-1} . By Lemma 3, x and y belong to two different components in $C_j^{k-1} - v$ both containing 2^{k-4} remote induced subgraphs isomorphic to P_5 . ■

Our next result gives an upper bound on the number of colors used by *BicolorMax* on a bipartite graph G in terms of its independence number $\alpha(G)$ (i.e., the largest number of vertices of G that are mutually nonadjacent in G).

Theorem 12 *Let G be a bipartite graph with independence number α . Then*

$$\chi_{BM}(G) \leq \begin{cases} \alpha + 1 & \text{if } 1 \leq \alpha \leq 3 \\ 4 & \text{if } \alpha = 4 \\ 2p & \text{if } 5 \cdot 2^{p-3} \leq \alpha \leq 5 \cdot 2^{p-2} - 1 \text{ for some } p \geq 3. \end{cases}$$

Proof. Let k be the highest index such that *BicolorMax* uses color a_k on a vertex v in G . If $\alpha = 1$, then $k = 1$, hence $\chi_{BM}(G) \leq 2$. If $\alpha = 2$, then $k \leq 2$ but color b_2 is never used, hence $\chi_{BM}(G) \leq 3$. Now assume that $\alpha \geq 3$.

Let $v = \pi(j)$. We write $C_j^{k-1} = (I_1^k, I_2^k)$ for the bipartition with $v \in I_1^k$. Clearly, $|I_1^2| \geq 2$ and $|I_2^2| \geq 2$. We will use induction to show that $|I_1^k| \geq 5 \cdot 2^{k-3}$ and $|I_2^k| \geq 5 \cdot 2^{k-3} - 1$ for $k \geq 3$.

For a fixed $k \geq 3$, by definition of *BicolorMax*, there exists a $(k-1)$ -mixed pair (x, y) in C_j^{k-1} with $x \in I_1^k$ and $y \in I_2^k$. By Lemma 3, x and y belong to two different components $D = (J_1, J_2)$ and $D' = (J'_1, J'_2)$ in $G_j^{k-1} - v$, say $x \in J_1 \subseteq I_1^k$ and $y \in J'_1 \subseteq I_2^k$. For $k = 3$, we get $|I_1^3| \geq |J_1| + |J'_1| + 1 = 2 + 2 + 1 = 5$, and $|I_2^3| \geq |J_2| + |J'_2| \geq 2 + 2 = 4$.

Now let $k \geq 4$. Then $|I_1^k| \geq |J_1| + |J'_1| + 1 = 5 \cdot 2^{k-4} + 5 \cdot 2^{k-4} - 1 + 1 = 5 \cdot 2^{k-3}$, and $|I_2^k| \geq |J_2| + |J'_2| \geq 5 \cdot 2^{k-4} - 1 + 5 \cdot 2^{k-4} = 5 \cdot 2^{k-3} - 1$. We conclude that $|I_1^k| \geq 5 \cdot 2^{k-3}$ and $|I_2^k| \geq 5 \cdot 2^{k-3} - 1$ for $k \geq 3$.

Assume $3 \leq \alpha \leq 4$. If $k = 3$, then $\alpha \geq |I_1^3| \geq 5$. Hence $k \leq 2$. Then $\chi_{BM} \leq 2k \leq 4$.

Finally assume $5 \cdot 2^{p-3} \leq \alpha \leq 5 \cdot 2^{p-2} - 1$ for some $p \geq 3$. If $k \geq p+1$, then $\alpha \geq |I_1^k| \geq 5 \cdot 2^{p-2}$. Hence $k \leq p$. Then $\chi_{BM} \leq 2p$. This completes the proof of Theorem 12. \blacksquare

The bounds of Theorem 12 improve a bound in [5] for bipartite graphs: the main result of [5] applied to bipartite graphs shows that for any bipartite graph G any Greedy on-line coloring algorithm uses at most $\alpha(G) + 1$ colors.

8 Final remarks

As we noted in Section 5, *BicolorMax* is not on-line competitive for the family of paths. This is in contrast to *FF*, which uses at most three colors on any path P_n . Below we show in a more general way how to combine two on-line competitive algorithms satisfying certain conditions.

For this purpose we need the following terminology. We call a family of graphs \mathcal{G} *decidable* if there exists a (finite) procedure to decide whether or not a graph G belongs to \mathcal{G} . Note that the family of H -free graphs is decidable for any fixed graph H . Also the family of paths is decidable. We call an on-line coloring algorithm A for a graph G *component-independent* if the color assigned by A to every revealed vertex $v = \pi(j)$ only depends on the component of $G[\{\pi(1), \dots, \pi(j)\}]$ that vertex v belongs to. For instance, *FF* and *BicolorMax* are component-independent. For two families of graphs \mathcal{F} and \mathcal{G} we define $\mathcal{F} + \mathcal{G} = \{L \mid L \in \mathcal{F} \cup \mathcal{G}, \text{ or } L = F + G \text{ with } F \in \mathcal{F} \text{ and } G \in \mathcal{G}\}$.

Theorem 13 *Let \mathcal{F} be a decidable family of graphs for which an on-line competitive coloring algorithm A_1 exists, and let \mathcal{G} be a decidable family of graphs for which an on-line competitive component-independent coloring algorithm A_2 exists. Then there exists an on-line coloring algorithm A_3 that is on-line competitive for $\mathcal{F} + \mathcal{G}$.*

Proof. We assume A_1 and A_2 use disjoint color sets $\{c_1, c_2, \dots\}$ and $\{d_1, d_2, \dots\}$ respectively. Let $\pi \in \Pi(L)$ for some graph $L \in \mathcal{F} + \mathcal{G}$.

We inductively define A_3 as follows. The vertex $\pi(1)$ is colored with d_1 . Suppose that vertices $\pi(1), \dots, \pi(j-1)$ have already been colored and let $v = \pi(j)$ be the next vertex of L presented to A_3 . For $i = 1, 2$, we let $L_j(A_i)$ be the subgraph of L that consists of v and all vertices in $\{\pi(1), \dots, \pi(j-1)\}$ colored by A_i . We let $C_j(A_2)$ be the component of $L_j(A_2)$ that contains v . If $C_j(A_2)$ is an induced subgraph of some graph in \mathcal{G} then color v with the color that A_2 would have used on v after the other vertices of $C_j(A_2)$ had been presented to A_2 in the suborder defined by π . Otherwise $L_j(A_1)$ is a subgraph of a graph in \mathcal{F} , and we color v with the color that A_1 would have used on v after the other vertices of $L_j(A_1)$ had been presented to A_1 in the suborder defined by π . It is easy to see that A_3 is on-line competitive on $\mathcal{F} + \mathcal{G}$. \blacksquare

9 Conclusions and future work

We have presented an on-line coloring algorithm *BicolorMax* for bipartite graphs. We have shown that the number of colors used by this algorithm on a bipartite graph G is bounded from above by the number of remote induced subgraphs of G isomorphic to P_5 and we gave a similar upper bound in terms of the independence number of G . As a consequence we improved known upper bounds for the on-line chromatic number of bipartite graphs given in [5, 18]. We showed in [3] that for any P_6 -free bipartite graph G , *BicolorMax* uses at most twice as many colors as any optimal on-line coloring algorithm for G . Here we showed that for any P_7 -free bipartite graph G , *BicolorMax* uses at most eight times as many colors as any optimal on-line coloring algorithm for G .

In a future continuation of this work, we would like to face the problem of deciding whether for any $n \geq 8$, a linear on-line competitive algorithm can be defined for the class of P_n -free bipartite graphs. We also consider analyzing *BicolorMax* and related algorithms for other classes of H -free bipartite graphs, in particular for bipartite graphs H with 6 vertices. A seemingly difficult and interesting open case is the (non)existence of an on-line competitive algorithm for the class of C_6 -free bipartite graphs.

Acknowledgments

We thank the anonymous referees for their useful comments on an earlier version of this paper.

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