

An application of numerical techniques to rigorous proof in special holonomy

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Abstract: Approximations of Calabi-Yau metric are a popular tool to produce heuristics, but so far have not been leveraged to rigorously prove theorems in geometry. I present one work in progress, in which we prove that the real loci of certain Calabi-Yau manifolds admit harmonic nowhere vanishing 1-forms, which are needed for an application in G2-geometry. I will explain the proof strategy, which consists of two parts: first, I formulate an estimate for the difference between approximate metric and true Calabi-Yau metric in terms of the Ricci curvature of the approximate metric which is of independent interest. Second, I explain the connection between nowhere vanishing 1-forms with respect to the two different metrics. This is joint work with Rodrigo Barbosa, Michael Douglas, and Yidi Qi.

Pure maths and machine learning

- ▶ Use machine learning for **conjecture generation**
e.g. [Davies et al., 2021]: conjecture connecting algebraic and geometric properties of knots
- ▶ Machine learning applied to **pure mathematics datasets**
e.g. [He, 2017]: inputs are Calabi-Yau manifolds, outputs are their Hodge numbers (previously computed exactly)
- ▶ **Numerical verification** methods for PDE solutions
e.g. [Nakao et al., 2019]: proof there exists smooth solution near a finite element solution to Navier-Stokes equation

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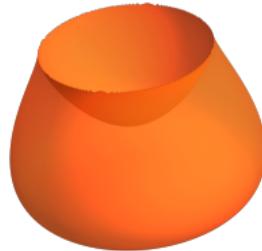
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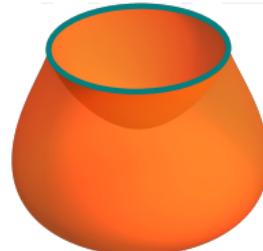
Background

- ▶ Let Y be Calabi-Yau 3-fold with **Calabi-Yau metric g_{CY}**
- ▶ $\sigma : Y \rightarrow Y$ anti-holomorphic involution, $L := \text{fix}(\sigma)$
example: quintic with real coefficients in \mathbb{CP}^4 and $\sigma([z_0 : \dots : z_4]) = [\bar{z}_0 : \dots : \bar{z}_4]$
- ▶ $S^1 \times Y$ has dimension 7 and holonomy $SU(3)$. Problem: want holonomy G_2
- ▶ Define $\widehat{\sigma} : S^1 \times Y \rightarrow S^1 \times Y$ as $(x, y) \mapsto (-x, \sigma(y))$

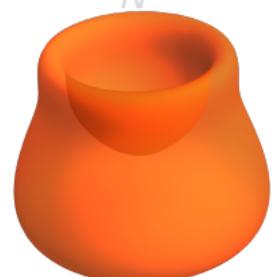
$$(S^1 \times Y)/\langle \widehat{\sigma} \rangle$$



$$\frac{\{0, \frac{1}{2}\} \times L}{\widehat{\sigma}}$$



$$N^7$$



Theorem ([Joyce and Karigiannis, 2017])

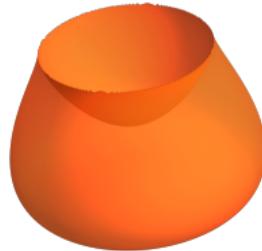
If there exists $\lambda \in \Omega^1(L)$ harmonic w.r.t. $g_{CY}|_L$ that is nowhere 0, then there exists a resolution $N^7 \rightarrow (S^1 \times Y)/\langle \widehat{\sigma} \rangle$ with holonomy equal to G_2 .

- ▶ Goal: check if such a 1-form exists
- ▶ First Betti number \rightarrow harmonic 1-forms. Nowhere 0? Must know the metric!

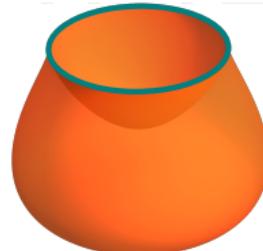
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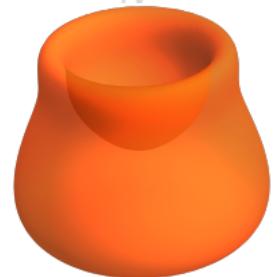
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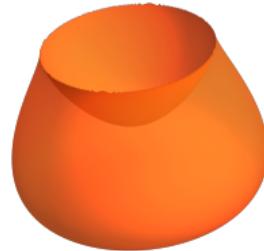
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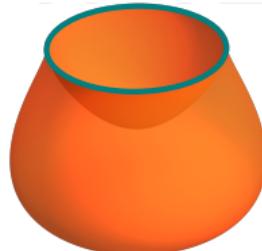
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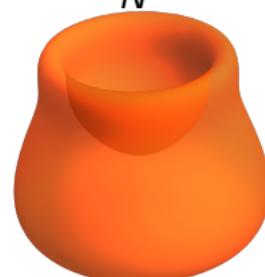
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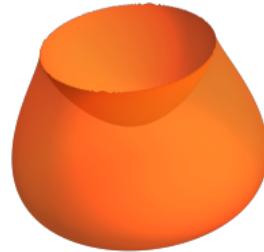
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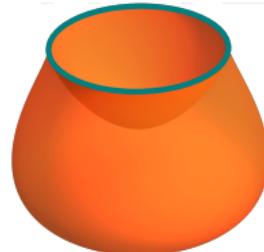
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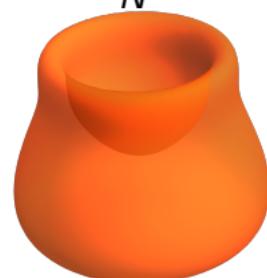
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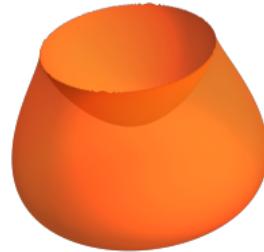
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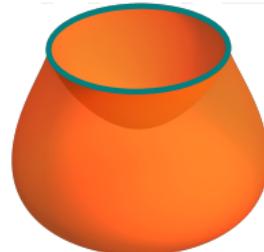
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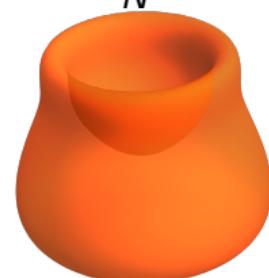
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Strategy for checking if nowhere zero harmonic $\lambda \in \Omega^1(L)$ exists

Goal: Check if L admits **harmonic nowhere zero 1-form**

Step 1: Approximate g_{CY} by g_{approx}

Step 2: Prove: for all $\epsilon_1 > 0$ exists $\delta_1 > 0$ such that:

if $\|Ric(g_{approx})\|_{C^0} < \delta_1$, then $\|g_{CY} - g_{approx}\|_{C^1} < \epsilon_1$.

Step 3: Find $\lambda \in \Omega^1(L)$ harmonic w.r.t. g_{approx} and compute $\min_{x \in L} |\lambda(x)|$.

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 $\eta \in \Omega^0(L)$ s.t. $\lambda + d\eta$ is nowhere 0 and harmonic w.r.t. g_{CY} .

Result:

- ▶ Compute g_{approx}
- ▶ Check that $\|Ric(g_{approx})\|_{C^0}$ is small
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- ▶ Holomorphic volume form locally $\Omega = dz^1 \wedge dz^2 \wedge dz^3 \rightsquigarrow \text{vol}_\Omega := \Omega \wedge \bar{\Omega} \in \Omega^6(Y)$
- ▶ Ample line bundle $L \rightarrow Y$ and $I \in \mathbb{N}$ such that $L^{\otimes I}$ very ample
Example: $Y \subset \mathbb{CP}^4$ quintic, $(O(1)|_Y)^{\otimes I}$
- ▶ $s_1, \dots, s_N \in H^0(L^{\otimes I})$ basis of holomorphic sections
 \Rightarrow embedding $s = (s_1, \dots, s_N) : Y \rightarrow \mathbb{CP}^{N-1}$
- ▶ h positive definite Hermitian form on $H^0(L^{\otimes I}) \rightsquigarrow$ Fubini-Study metric
Kähler potential: $\log \sum_{i,j} h_{i,j} s^i \bar{s}^j$. Volume form: $\text{vol}_h \in \Omega^6(Y)$
- ▶ [Donaldson, 2009]: choose h cleverly to approximate CY metric
(Ignoring a constant) If $\frac{\text{vol}_h}{\text{vol}_\Omega} = 1$, then Ricci-flat
- ▶ Choose $x_1, \dots, x_k \in Y$ and find

$$\min_h \int_{\{x_1, \dots, x_k\}} \left(\frac{\text{vol}_h}{\text{vol}_\Omega} - 1 \right)^2$$

- ▶ Convenient: there is fast machine learning software to find local minima
[Douglas et al., 2022]

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- ▶ $s_1, \dots, s_N \in H^0(L^{\otimes I})$ basis of holomorphic sections
 \Rightarrow embedding $s = (s_1, \dots, s_N) : Y \rightarrow \mathbb{CP}^{N-1}$
- ▶ h positive definite Hermitian form on $H^0(L^{\otimes I}) \rightsquigarrow$ Fubini-Study metric
Kähler potential: $\log \sum_{i,j} h_{i,j} s^i \bar{s}^j$. Volume form: $\text{vol}_h \in \Omega^6(Y)$
- ▶ [Donaldson, 2009]: choose h cleverly to approximate CY metric
(Ignoring a constant) If $\frac{\text{vol}_h}{\text{vol}_\Omega} = 1$, then Ricci-flat
- ▶ Choose $x_1, \dots, x_k \in Y$ and find

$$\min_h \int_{\{x_1, \dots, x_k\}} \left(\frac{\text{vol}_h}{\text{vol}_\Omega} - 1 \right)^2$$

- ▶ Convenient: there is fast machine learning software to find local minima
[Douglas et al., 2022]

Step 1: approximate g_{CY} by g_{approx}

- ▶ Holomorphic volume form locally $\Omega = dz^1 \wedge dz^2 \wedge dz^3 \rightsquigarrow \text{vol}_\Omega := \Omega \wedge \bar{\Omega} \in \Omega^6(Y)$
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Theorem (Yau's theorem. [Yau, 1978] and p.105-107 in [Joyce, 2000])

(Y, ω, g) Kähler manifold of cx. dimension m with holomorphic volume form $\Omega \in \Omega^m(Y, \mathbb{C})$. Then there exists a unique $K \in \Omega_{mean=0}^0(Y)$ s.t.

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Step 3: Find $\lambda \in \Omega^1(L)$ harmonic w.r.t. g_{approx}

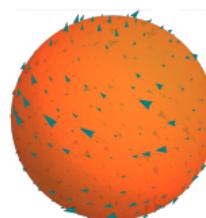
► \mathcal{T} simplicial complex, triangulation of L

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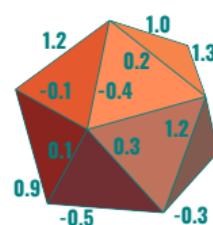
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Have $d_{\mathcal{T}}, d_{\mathcal{T}}^*, \Delta_{\mathcal{T}}$ on \mathcal{T}

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Conjecture ([Schulz and Tsogtgerel, 2020])

$$\|R\eta - \eta_{\mathcal{T}}\|_{C_T^1} = \mathcal{O}(\text{diam}(\mathcal{T})^2)$$

- Remark: in FEM get L^2 -estimates; on space $\Omega^k(\mathcal{T})$ all norms equivalent $\rightsquigarrow C^1$
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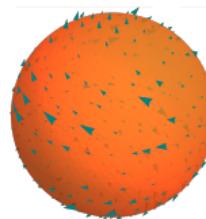
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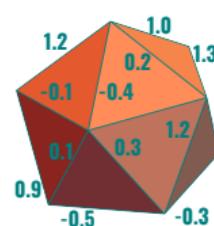
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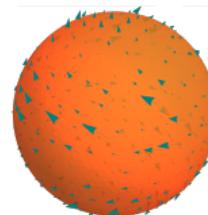
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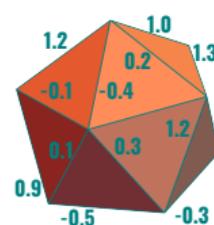
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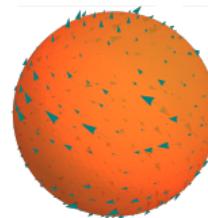
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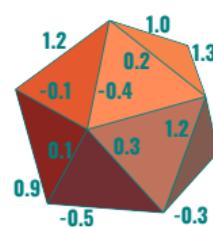
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$$\|R\eta - \eta_{\mathcal{T}}\|_{C_T^1} = \mathcal{O}(\text{diam}(\mathcal{T})^2)$$

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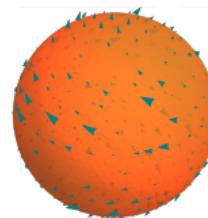
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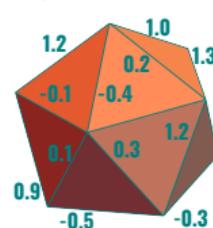
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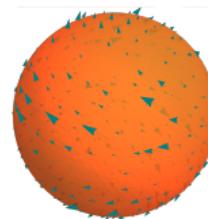
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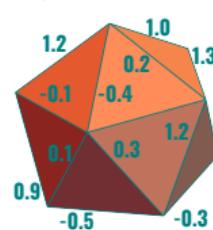
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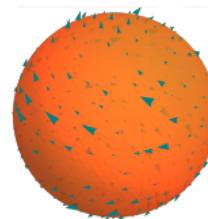
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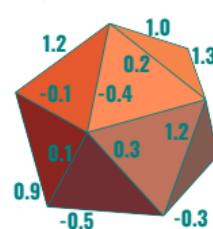
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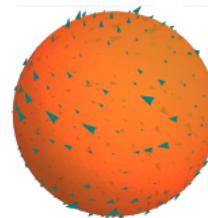
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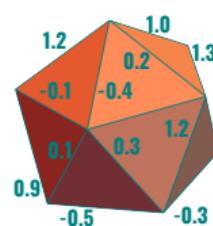
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Step 4: Perturb g_{approx} -harmonic to g_{CY} -harmonic

Theorem

For all $\epsilon_2 > 0$ exists $\delta_2 > 0$ such that:

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by Sobolev embedding and elliptic regularity (to do: C^1 -estimate)

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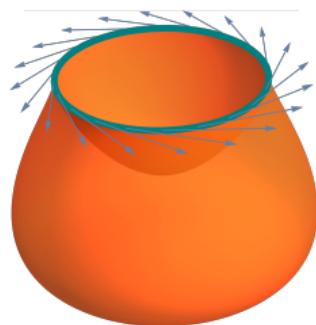
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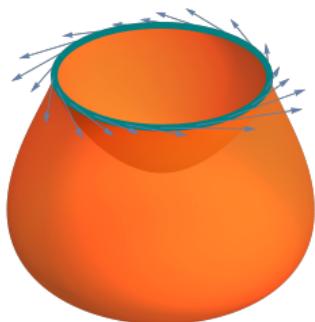
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Result

1. Compute g_{approx} and compute $\left\| \frac{\text{vol}_\Omega}{\text{vol}_\omega} - 1 \right\|_{L^\infty}$ and $\left\| \frac{\text{vol}_\omega}{\text{vol}_\Omega} - 1 \right\|_{L^\infty}$, hopefully small
2. $\xrightarrow{\text{step 2}}$ $\|g_{CY} - g_{approx}\|_{C^1}$ small
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Harmonic 1-form w.r.t. g_{approx}

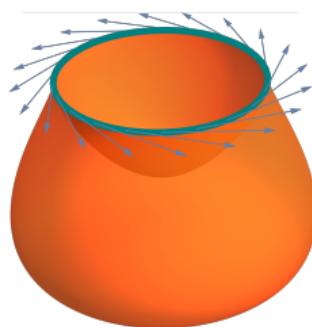


Perturbed 1-form, it has a worse bound from below w.r.t.

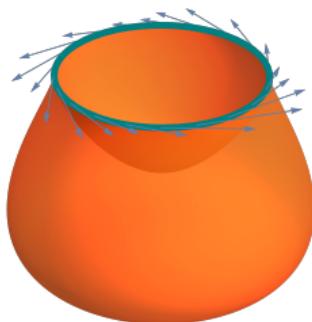
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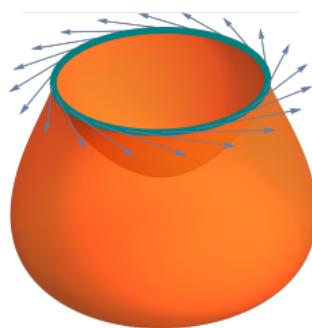


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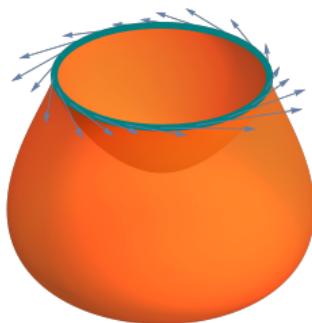
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4. $\xrightarrow{\text{step 4}}$ exists nowhere 0 harmonic 1-form w.r.t. g_{CY}



Harmonic 1-form w.r.t. g_{approx}

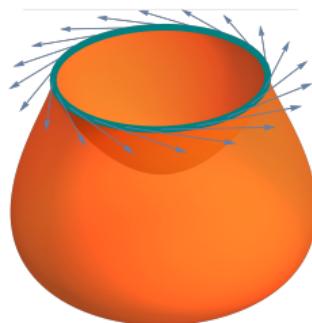


Perturbed 1-form, it has a worse bound from below w.r.t.

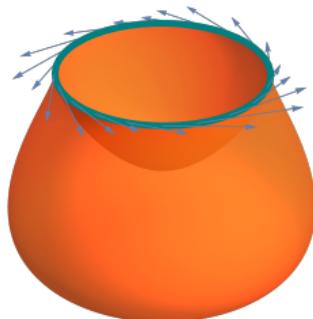
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Result

1. Compute g_{approx} and compute $\left\| \frac{\text{vol}_\Omega}{\text{vol}_\omega} - 1 \right\|_{L^\infty}$ and $\left\| \frac{\text{vol}_\omega}{\text{vol}_\Omega} - 1 \right\|_{L^\infty}$, hopefully small
2. $\xrightarrow{\text{step 2}}$ $\|g_{CY} - g_{approx}\|_{C^1}$ small
3. Find $\lambda \in \Omega^1(L)$ harmonic w.r.t. g_{approx} , hopefully large bound from below
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Harmonic 1-form w.r.t. g_{approx}



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Example 0: [Joyce and Karigiannis, 2017, Example 7.6]

- ▶ Singular Calabi-Yau

$$Y_0 := \{([w_0 : w_1], [x_0 : x_1], [y_0 : y_1], [z_0 : z_1]) \in \mathbb{CP}^1 \times \mathbb{CP}^1 \times \mathbb{CP}^1 \times \mathbb{CP}^1 : (w_0 x_0 y_0 z_0)^2 + (w_1 x_1 y_1 z_1)^2 = 0\}$$

- ▶ Real locus $Y_0(\mathbb{R}) \equiv T^3$ smooth

⇒ small perturbation Y is smooth, still has $Y(\mathbb{R}) = T^3$

- ▶ Conjecture: metric on T^3 is close to flat metric. ⇒ exist nowhere zero 1-forms

- ▶ Proof idea (by Yang Li):

- ▶ Y_0 admits singular Calabi-Yau metric g_0 [Eyssidieux et al., 2009]]
- ▶ Y_0 has complex T^3 symmetry ⇒ isometric T^3 -action w.r.t. g_0
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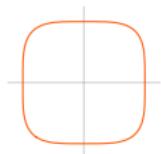
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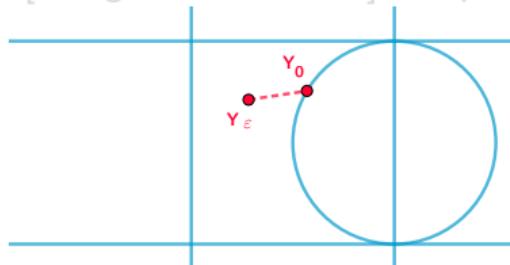
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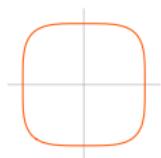


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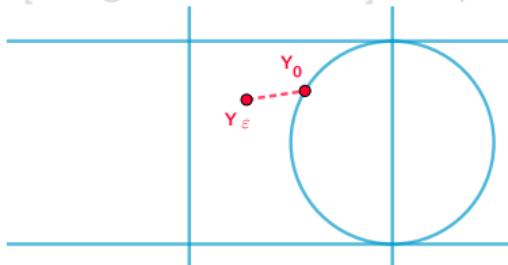
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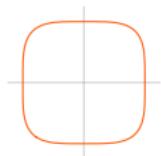


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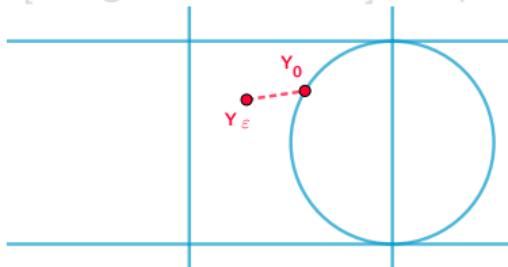
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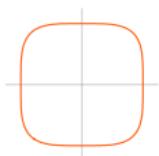


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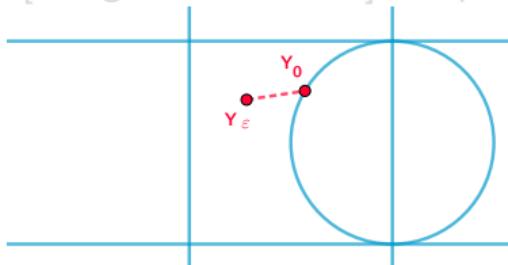
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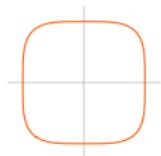


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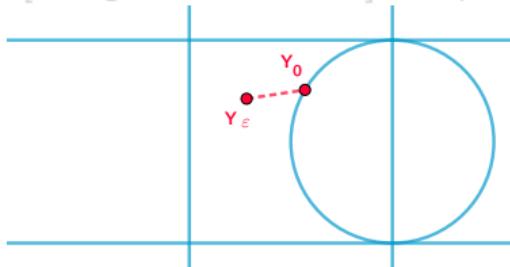
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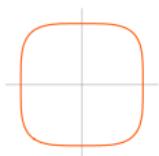


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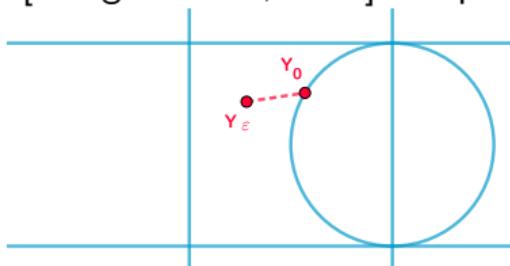
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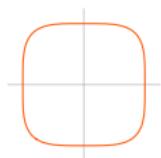


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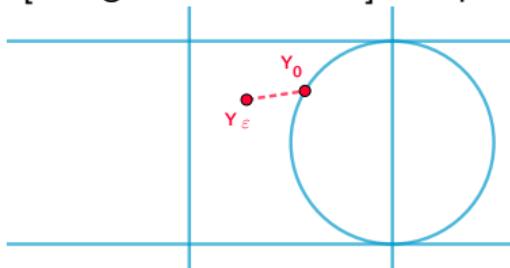
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Example 2: from real cubics

- ▶ Diffeomorphism type of **real cubics** $C \subset \mathbb{RP}^4$ classified in [Krasnov, 2009]:
Possible are $\mathbb{RP}^3 \# (S^1 \times S^2) \# \dots \# (S^1 \times S^2)$ with 0, 1, 2, 3, 4, 5 handles
(plus $\mathbb{RP}^3 \cup S^3$ and one exotic possibility that is not understood)
- ▶ Then $Q = Z(C \cdot (x_0^2 + \dots + x_4^2))$ quintic
- ▶ Smooth in \mathbb{RP}^4 , **perturb** to be smooth in $\mathbb{CP}^4 \rightsquigarrow Y_\epsilon$
- ▶ If C has harmonic nowhere zero 1-form \Rightarrow **closed** nowhere zero 1-form
 \Rightarrow Tischler's theorem: C is a **fibration over S^1**
- ▶ Topological condition, not satisfied for these diffeomorphism types
- ▶ In that case: $\lambda \in \Omega^1(C)$ must have zeros; use steps 1-4 to check how many
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Possible are $\mathbb{RP}^3 \# (S^1 \times S^2) \# \dots \# (S^1 \times S^2)$ with 0, 1, 2, 3, 4, 5 handles
(plus $\mathbb{RP}^3 \cup S^3$ and one exotic possibility that is not understood)
- ▶ Then $Q = Z(C \cdot (x_0^2 + \dots + x_4^2))$ quintic
- ▶ Smooth in \mathbb{RP}^4 , **perturb** to be smooth in $\mathbb{CP}^4 \rightsquigarrow Y_\epsilon$
- ▶ If C has harmonic nowhere zero 1-form \Rightarrow **closed** nowhere zero 1-form
 \Rightarrow Tischler's theorem: C is a **fibration over S^1**
- ▶ Topological condition, not satisfied for these diffeomorphism types
- ▶ In that case: $\lambda \in \Omega^1(C)$ must have zeros; use steps 1-4 to check how many
- ▶ Conjecture: resolution construction for **1-forms with zeros** yields orbifolds with
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Thank you for the attention!

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