

## Communication Theory (5ETB0) Module 7.2

Alex Alvarado  
a.alvarado@tue.nl

Information and Communication Theory Lab  
Signal Processing Systems Group  
Department of Electrical Engineering  
Eindhoven University of Technology, The Netherlands

[www.tue.nl/ictlab/](http://www.tue.nl/ictlab/)

## Module 7.2

### Presentation Outline

Part I Nonbinary Orthogonal Signaling

Part II A Channel Capacity Result

# Orthogonal Signal Structures: Definition

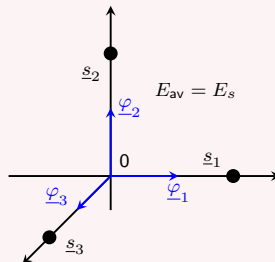
## Orthogonal Signal set: Definition

Consider  $|\mathcal{M}|$  signals  $s_m(t)$  with a-priori probabilities  $1/|\mathcal{M}|$  for  $m \in \mathcal{M}$ . All signals in an orthogonal set are assumed to have equal energy and are orthogonal i.e.,

$$\underline{s}_m \triangleq \sqrt{E_s} \underline{\varphi}_m \text{ for } m \in \mathcal{M},$$

where  $\underline{\varphi}_m$  is the unit-vector corresponding to dimension  $m$ . There are as many building-block waveforms  $\varphi_m(t)$  and dimensions in the signal space as there are messages.

Example:  $|\mathcal{M}| = 3$ ,  $\underline{\varphi}_1 = (1, 0, 0)$ ,  
 $\underline{\varphi}_2 = (0, 1, 0)$ ,  $\underline{\varphi}_3 = (0, 0, 1)$



# Orthogonal Signal Structures: Optimum Receiver

## Optimum Receiver

Given  $\underline{r} = (r_1, r_2, \dots, r_{|\mathcal{M}|})$ , the optimum receiver is:

$$\begin{aligned}\hat{m} &= \operatorname{argmin}_{m \in \mathcal{M}} \{\|\underline{r} - \underline{s}_m\|^2\} \\ &= \operatorname{argmin}_{m \in \mathcal{M}} \{\|\underline{r}\|^2 + \|\underline{s}_m\|^2 - 2(\underline{r} \cdot \underline{s}_m)\} \\ &= \operatorname{argmax}_{m \in \mathcal{M}} \{(\underline{r} \cdot \underline{s}_m)\} \\ &= \operatorname{argmax}_{m \in \mathcal{M}} \{(\underline{r} \cdot \sqrt{E_s} \underline{\varphi}_m)\} \\ &= \operatorname{argmax}_{m \in \mathcal{M}} \{r_m\}\end{aligned}$$

Chose  $\hat{m} = i$ , where  $i$  is the index of the largest component in  $\underline{r}$ .

## Error Probability (1/2)

Model:

$$r_1 = \sqrt{E_s} + n_1, \quad r_m = n_m, \text{ for } m = 2, 3, \dots, |\mathcal{M}|,$$

$$p_{\underline{N}}(\underline{n}) = \prod_{m=1}^{|\mathcal{M}|} \frac{1}{\sqrt{\pi N_0}} \exp\left(-\frac{n_m^2}{N_0}\right).$$

Correct Prob.:

$$P_c = \int_{-\infty}^{\infty} P_{R_1}(\alpha | M = 1) \Pr\{\hat{M} = 1 | M = 1, R_1 = \alpha\} d\alpha$$

$$P_{R_1}(\alpha | M = 1) = p_N\left(\alpha - \sqrt{E_s}\right) = \frac{1}{\sqrt{\pi N_0}} \exp\left(-\frac{(\alpha - \sqrt{E_s})^2}{N_0}\right)$$

$$\Pr\{\hat{M} = 1 | M = 1, R_1 = \alpha\} = \left(\int_{-\infty}^{\alpha} p_N(\beta) d\beta\right)^{|\mathcal{M}|-1}$$

## Error Probability (2/2)

We use  $\alpha = \mu \sqrt{N_0/2}$ , and thus, the correct probability is

$$P_c = \int_{-\infty}^{\infty} \frac{1}{\sqrt{2\pi}} \exp\left(-\frac{(\mu - \sqrt{2E_s/N_0})^2}{2}\right) \left( \int_{-\infty}^{\mu \sqrt{N_0/2}} \frac{1}{\sqrt{\pi N_0}} \exp\left(-\frac{\beta^2}{N_0}\right) d\beta \right)^{|\mathcal{M}|-1} d\mu$$

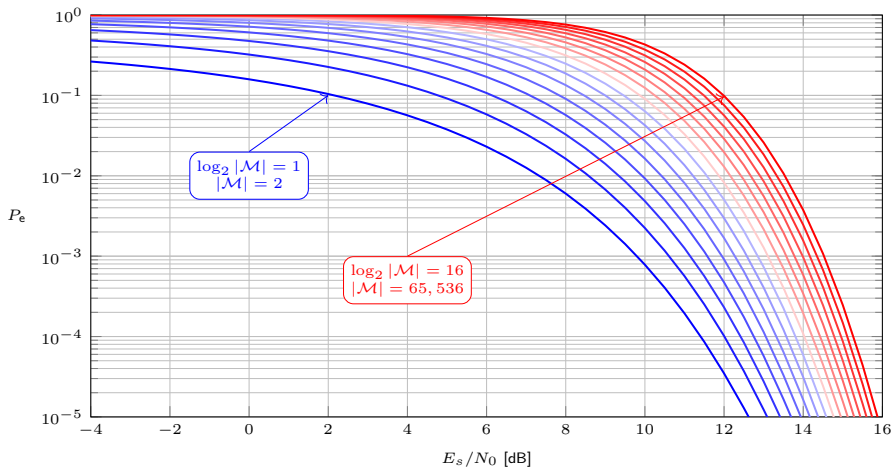
But the inner integral looks familiar... (use  $\beta = \lambda \sqrt{N_0/2}$ )

$$\int_{-\infty}^{\mu \sqrt{N_0/2}} \frac{1}{\sqrt{\pi N_0}} \exp\left(-\frac{\beta^2}{N_0}\right) d\beta = \int_{-\infty}^{\mu} \frac{1}{\sqrt{2\pi}} \exp\left(-\frac{\lambda^2}{2}\right) d\lambda$$

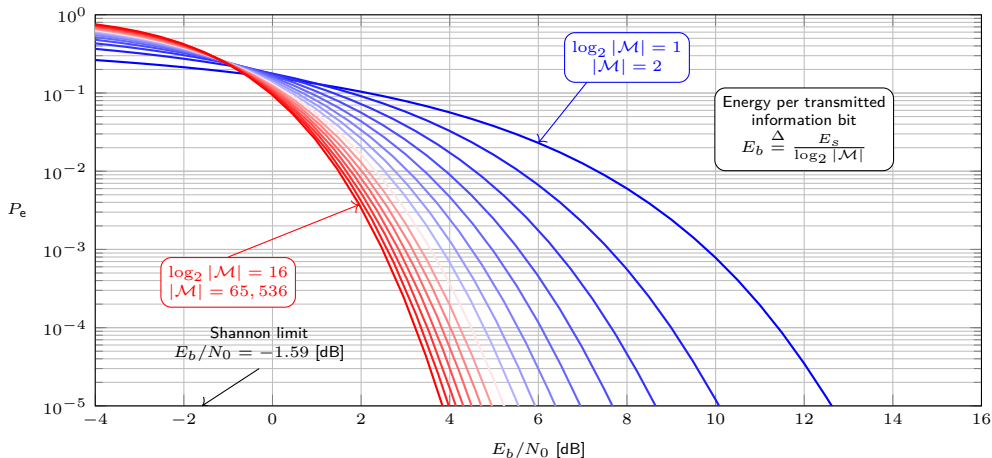
The correct probability is then:

$$P_c = \int_{-\infty}^{\infty} \frac{1}{\sqrt{2\pi}} \exp\left(-\frac{(\mu - \sqrt{2E_s/N_0})^2}{2}\right) (Q(-\mu))^{|\mathcal{M}|-1} d\mu$$

## Error Probability Orthogonal Signals



## Error Probability Orthogonal Signals





## Module 7.2

### Presentation Outline

Part I Nonbinary Orthogonal Signaling

Part II A Channel Capacity Result

## A Channel Capacity Result

### Error Probability and a Capacity Result

The error probability for orthogonal signaling satisfies

$$P_e \leq \begin{cases} 2 \exp(-[\sqrt{E_b/N_0} - \sqrt{\ln 2}]^2 \log_2 |\mathcal{M}|) & \ln 2 \leq E_b/N_0 \leq 4 \ln 2, \\ 2 \exp(-[E_b/(2N_0) - \ln 2] \log_2 |\mathcal{M}|) & 4 \ln 2 \leq E_b/N_0. \end{cases}$$

If  $E_b > N_0 \ln 2$ : (i) Both arguments of the exponentials are negative, and (ii) reliable communication (arbitrarily low error probability) is therefore possible if bit energy is higher than a threshold and  $|\mathcal{M}| \rightarrow \infty$

### Wideband Capacity (Chapter 9)

Reliable transmission of a bit requires at least energy  $N_0 \ln 2$ , and thus,

$$R = \frac{P_s}{E_b} \leq \frac{P_s}{N_0 \ln 2} \left[ \frac{\text{bits}}{\text{seconds}} \right] = C$$

where  $P_s$  is the transmitter power.

## Energy of Orthogonal Signals

### Are Orthogonal Signals Optimal?

Average Energy:

$$E_{av} = E[\|\underline{S}\|^2] = \sum_{m \in \mathcal{M}} \Pr\{M = m\} \|\underline{s}_m\|^2 = E_s$$

Center of gravity:

$$E[\underline{S}] = \left( \frac{1}{|\mathcal{M}|}, \frac{1}{|\mathcal{M}|}, \dots, \frac{1}{|\mathcal{M}|} \right) \sqrt{E_s}$$

But in the limit

$$\lim_{|\mathcal{M}| \rightarrow \infty} E[\underline{S}] = \mathbf{0}$$

Which means:

- Suboptimal in general
- Asymptotically zero loss

## Summary Module 7.2

### Take Home Messages

- Nonbinary orthogonal signaling: detection and error probability
- Bit energy vs. symbol energy
- Nonbinary orthogonal signaling leads to a capacity result

## Communication Theory (5ETB0) Module 7.2

Alex Alvarado  
a.alvarado@tue.nl

Information and Communication Theory Lab  
Signal Processing Systems Group  
Department of Electrical Engineering  
Eindhoven University of Technology, The Netherlands

[www.tue.nl/ictlab/](http://www.tue.nl/ictlab/)