

## Communication Theory (5ETB0) Module 9.1

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## Module 9.1

### Presentation Outline

Part I Motivation

Part II Channel Capacity of AGN Vector Channel

Part III Channel Capacity Baseband AWGN Channel

# Motivation and Objective

## Motivation

- **Dimensionality Theorem:**  $\approx 2W$  dimensions per second
- **Block-orthogonality signaling:**
  - Requires a lot of dimensions per second
  - Can give  $P_e \rightarrow 0$
- **Bit-by-bit signaling:**
  - Requires as many dimensions as there are bits to be transmitted
  - Can only made reliable ( $P_e \rightarrow 0$ ) by increasing the transmitter power  $P_s$  or by decreasing the rate  $R$

## Module Objective

Can we achieve reliable transmission ( $P_e \rightarrow 0$ ) at certain **rate**  $R$  by increasing  $T$ , when both the bandwidth  $W$  and available power  $P_s$  are fixed?

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## Channel Capacity of AGN Vector Channel (1/2)

### Capacity of AGN Vector Channel

For the AGN vectorial channel, there exist for  $N$  large enough, sets of  $|\mathcal{M}|$  vectors,  $\underline{s}_1, \underline{s}_2, \dots, \underline{s}_{|\mathcal{M}|}$  where  $\|\underline{s}_m\|^2 \approx NE_N$  for all  $m = 1, 2, \dots, |\mathcal{M}|$  and where  $P_e \approx 0$  as long as

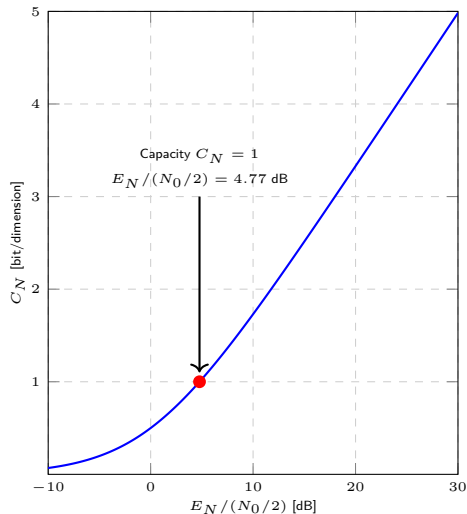
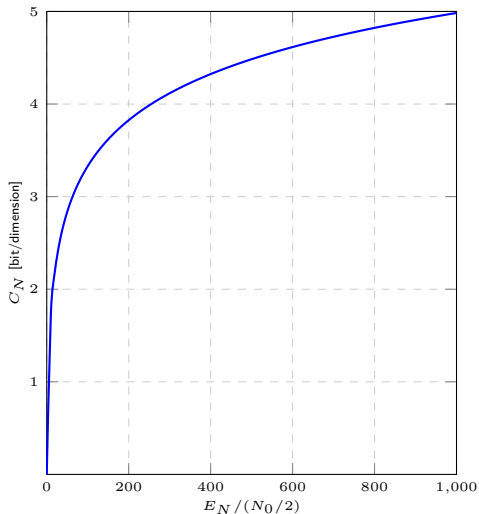
$$R_N = \frac{\log_2 |\mathcal{M}|}{N} < C_N \triangleq \frac{1}{2} \log_2 \left( \frac{E_N + N_0/2}{N_0/2} \right) \left[ \frac{\text{bit}}{\text{dimension}} \right]$$

where all vectors have length  $N$ , are equiprobable, and where  $E_N$  is the available energy per dimension.

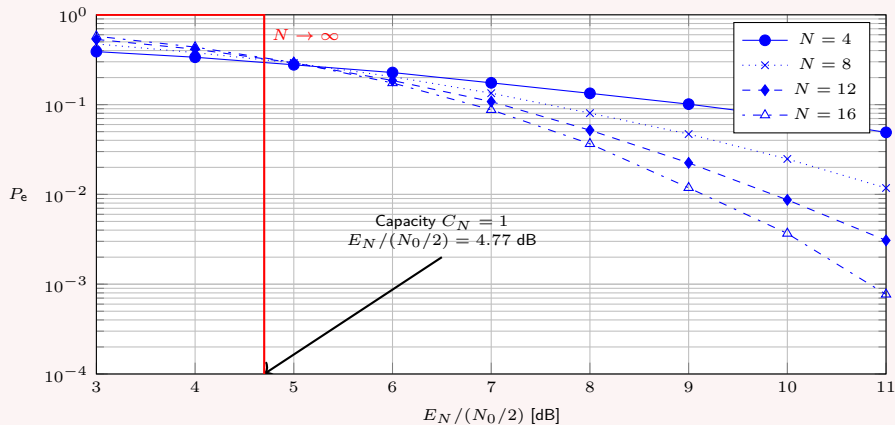
### Comments

- Fundamental limit in terms of rate
- Elements in the vectors (codewords) are i.i.d. Gaussian random variables
- Vectors (codewords) are on the shell of a multidimensional sphere
- Converse: It can also be shown that  $P_e$  cannot be small if the rate per dimension  $R_N > C_N$

## Channel Capacity of AGN Vector Channel (2/2)



## Example: Randomly Generated Codes



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# Channel Capacity of Baseband AWGN Channel

## Capacity of Baseband AWGN Channel

For a waveform channel with spectral noise density  $N_0/2$ , frequency bandwidth  $W$ , and available transmitter power  $P_s$ , the capacity in bit per second is

$$C \triangleq W \log_2 \left( 1 + \frac{P_s}{WN_0} \right) \left[ \frac{\text{bit}}{\text{second}} \right].$$

Thus reliable communication ( $P_e \rightarrow 0$ ) is possible for rates  $R$  in bit per second smaller than  $C$ , while rates larger than  $C$  are not realizable with  $P_e \rightarrow 0$ .

## Questions and Comments

Q1: What is more beneficial: Bandwidth or power?

Answer: Linear growth with bandwidth. Use bandwidth when available

C1: The argument of the logarithm is  $1 + \text{SNR}$

C2: We will study two extreme cases:  $\text{SNR} \ll 1$  and  $\text{SNR} \gg 1$

## Vectorial AGN vs. Baseband AWGN Channels

Are they the same?

$$C = W \log_2 \left( 1 + \frac{P_s}{WN_0} \right) \left[ \frac{\text{bit}}{\text{second}} \right], \text{ vs. } C_N = \frac{1}{2} \log_2 \left( \frac{E_N + N_0/2}{N_0/2} \right) \left[ \frac{\text{bit}}{\text{dimension}} \right]$$

$$\begin{aligned} \frac{C}{2W} &= \frac{1}{2} \log_2 \left( 1 + \frac{P_s}{WN_0} \right) \left[ \frac{\text{bit}}{\text{dimension}} \right] \\ &= \frac{1}{2} \log_2 \left( 1 + \frac{E_s}{TW N_0} \right) \left[ \frac{\text{bit}}{\text{dimension}} \right] \end{aligned}$$

But  $E_N = E_s/N$  and  $T \approx N/(2W)$ , and thus,

$$\frac{E_s}{TW N_0} = \frac{NE_N}{N/2N_0}$$

$$\frac{C}{2W} = \frac{1}{2} \log_2 \left( 1 + \frac{E_N}{N_0/2} \right) \left[ \frac{\text{bit}}{\text{dimension}} \right] = \frac{1}{2} \log_2 \left( \frac{N_0/2 + E_N}{N_0/2} \right) \left[ \frac{\text{bit}}{\text{dimension}} \right]$$

## Channel Capacity of the Wideband AWGN Channel

### Capacity of the Wideband AWGN Channel (Power-Limited)

The capacity  $C_\infty$  of the **wideband AWGN channel** with power spectral density  $N_0/2$ , when the transmitter power is  $P_s$ , is given by

$$C_\infty = \frac{P_s}{N_0 \ln 2}$$

### Derivation of Wideband AWGN Channel Capacity

$$\begin{aligned} \lim_{W \rightarrow \infty} W \log_2 \left( 1 + \frac{P_s}{W N_0} \right) &= \lim_{W \rightarrow \infty} \frac{\log_2 \left( 1 + \frac{P_s}{W N_0} \right)}{1/W} \\ &= \lim_{W \rightarrow \infty} \frac{P_s}{N_0} \frac{-1/W^2}{\left( 1 + \frac{P_s}{W N_0} \right) \ln(2)} \frac{1}{-1/W^2} \end{aligned}$$

where we used  $\frac{d}{dx} \log_2(x) = \frac{1}{x \ln(2)}$ .

## Relations between Capacities and SNR

### Capacity of AWGN Channel at High-SNRs (Bandwidth-Limited)

The capacity of the AWGN is  $C = W \log_2(1 + \text{SNR})$ , where  $\text{SNR} \triangleq P_s/(WN_0)$ . When  $\text{SNR} \gg 1$ , the capacity can be approximated as  $W \log_2(\text{SNR})$ .

### Power-limited and Bandwidth-limited Regimes

We can distinguish between two cases.

$$C \approx \begin{cases} P_s/(N_0 \ln 2) & \text{if } \text{SNR} \ll 1, \\ W \log_2(\text{SNR}) & \text{if } \text{SNR} \gg 1 \end{cases}$$

- The case  $\text{SNR} \ll 1$  is called the **power-limited** regime. There is enough bandwidth.
- When  $\text{SNR} \gg 1$  we speak about **bandwidth-limited** channels.

## Summary Module 9.1

### Take Home Messages

- Capacity of the AGN vector channel
- Capacity of the baseband AWGN channel
- Power-limited and bandwidth-limited regimes
- Performance of random codes

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