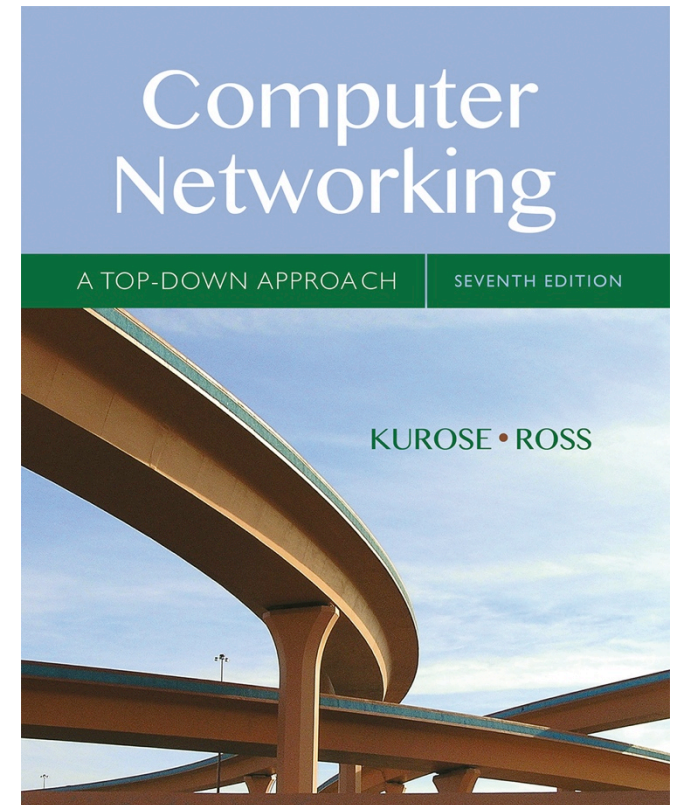


# Chapter 4

## Network Layer: The Data Plane

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## *Computer Networking: A Top Down Approach*

7<sup>th</sup> edition

Jim Kurose, Keith Ross

Pearson/Addison Wesley

April 2016

# Chapter 4: outline

## 4.1 Overview of Network layer

- data plane
- control plane

## 4.2 What's inside a router

## 4.3 IP: Internet Protocol

- datagram format
- fragmentation
- IPv4 addressing
- network address translation
- IPv6

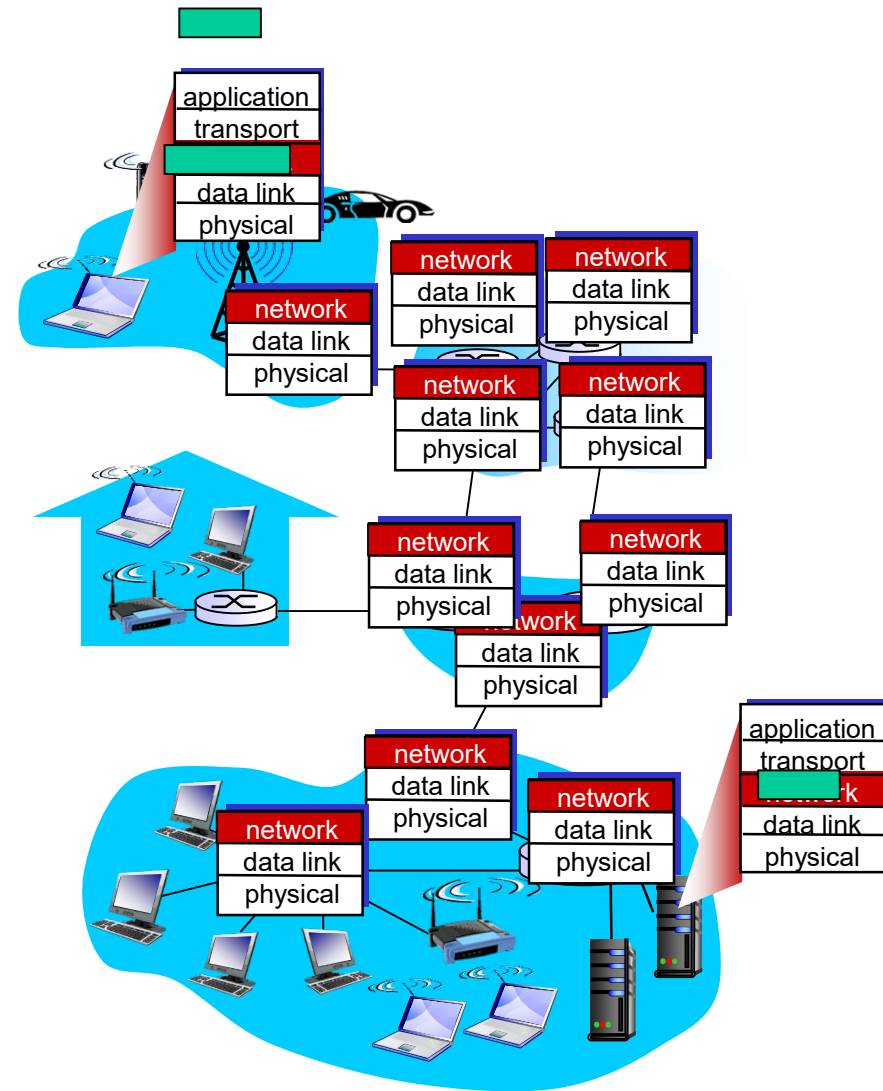
# Chapter 4: network layer

## *chapter goals:*

- understand principles behind network layer services, focusing on data plane:
  - network layer service models
  - forwarding versus routing
  - how a router works
  - generalized forwarding
- instantiation, implementation in the Internet

# Network layer

- transport segment from sending to receiving host
- on sending side encapsulates segments into datagrams
- on receiving side, delivers segments to transport layer
- network layer protocols in *every* host, router
- router examines header fields in all IP datagrams passing through it



# Two key network-layer functions

## *network-layer functions:*

- *forwarding*: move packets from router's input to appropriate router output
- *routing*: determine route taken by packets from source to destination
  - *routing algorithms*

## *analogy: taking a trip*

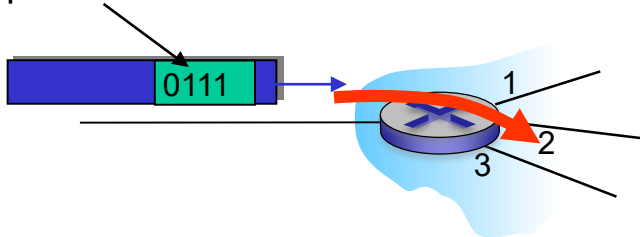
- *forwarding*: process of getting through single interchange
- *routing*: process of planning trip from source to destination

# Network layer: data plane, control plane

## *Data plane*

- local, per-router function
- determines how datagram arriving on router input port is forwarded to router output port
- forwarding function

values in arriving  
packet header

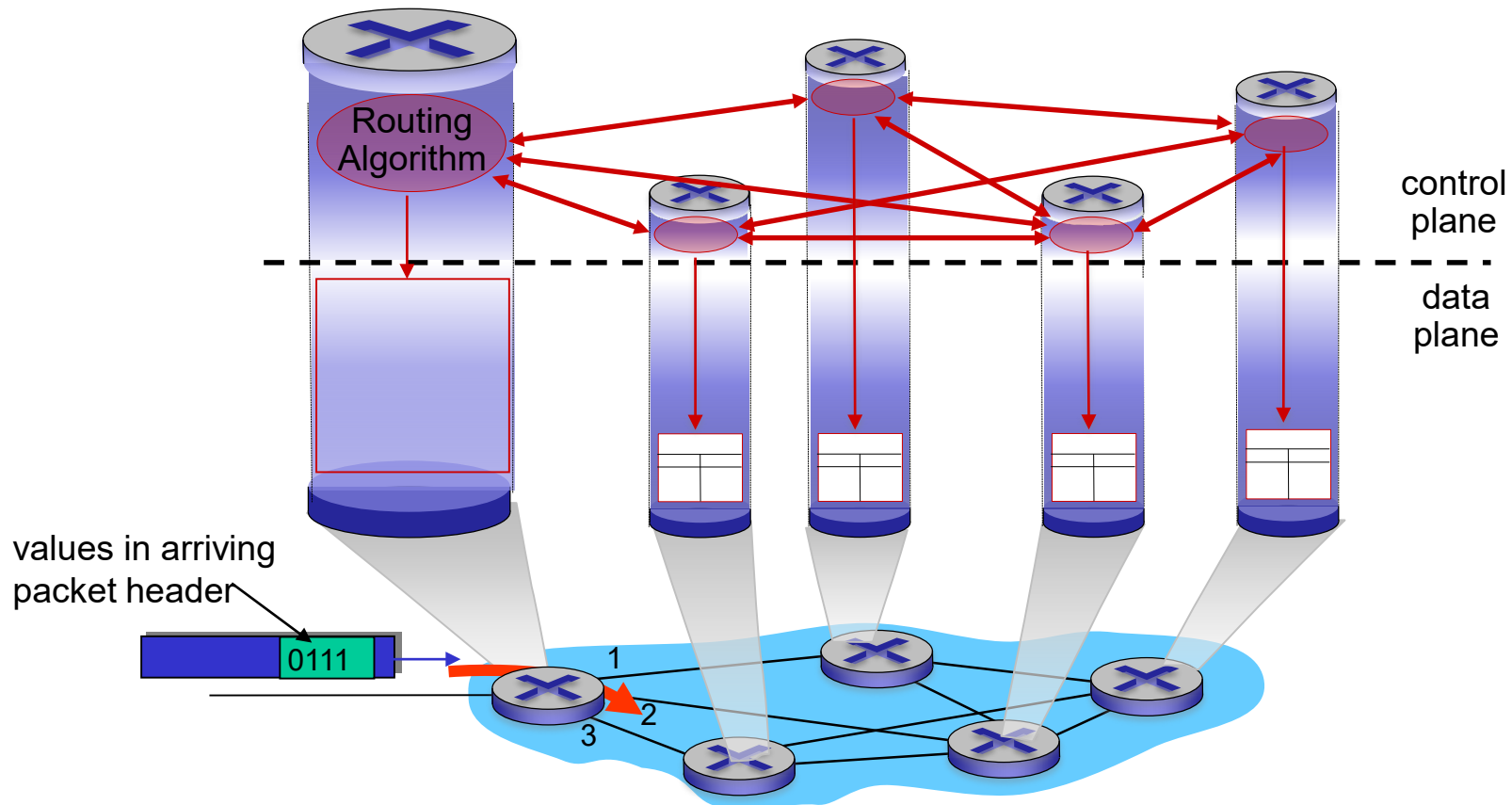


## *Control plane*

- network-wide logic
- determines how datagram is routed among routers along end-end path from source host to destination host
- two control-plane approaches:
  - *traditional routing algorithms*: implemented in routers
  - *software-defined networking (SDN)*: implemented in (remote) servers

# Per-router control plane

Individual routing algorithm components *in each and every router* interact in the control plane



# Network service model

*Q:* What *service model* for “channel” transporting datagrams from sender to receiver?

*example services for individual datagrams:*

- guaranteed delivery
- guaranteed delivery with less than 40 msec delay

*example services for a flow of datagrams:*

- in-order datagram delivery
- guaranteed minimum bandwidth to flow
- restrictions on changes in inter-packet spacing



# Network layer service models:

Network Architecture	Service Model	Guarantees ?				Congestion feedback
		Bandwidth	Loss	Order	Timing	
Internet	best effort	none	no	no	no	no (inferred via loss)
ATM	CBR	constant rate	yes	yes	yes	no congestion
ATM	VBR	guaranteed rate	yes	yes	yes	no congestion
ATM	ABR	guaranteed minimum	no	yes	no	yes
ATM	UBR	none	no	yes	no	no

ATM: asynchronous transfer mode

CBR: Constant bit rate

VBR: Variable Bit Rate

ABR: Available Bit Rate

UBR: Unspecified Bit Rate

# Chapter 4: outline

## 4.1 Overview of Network layer

- data plane
- control plane

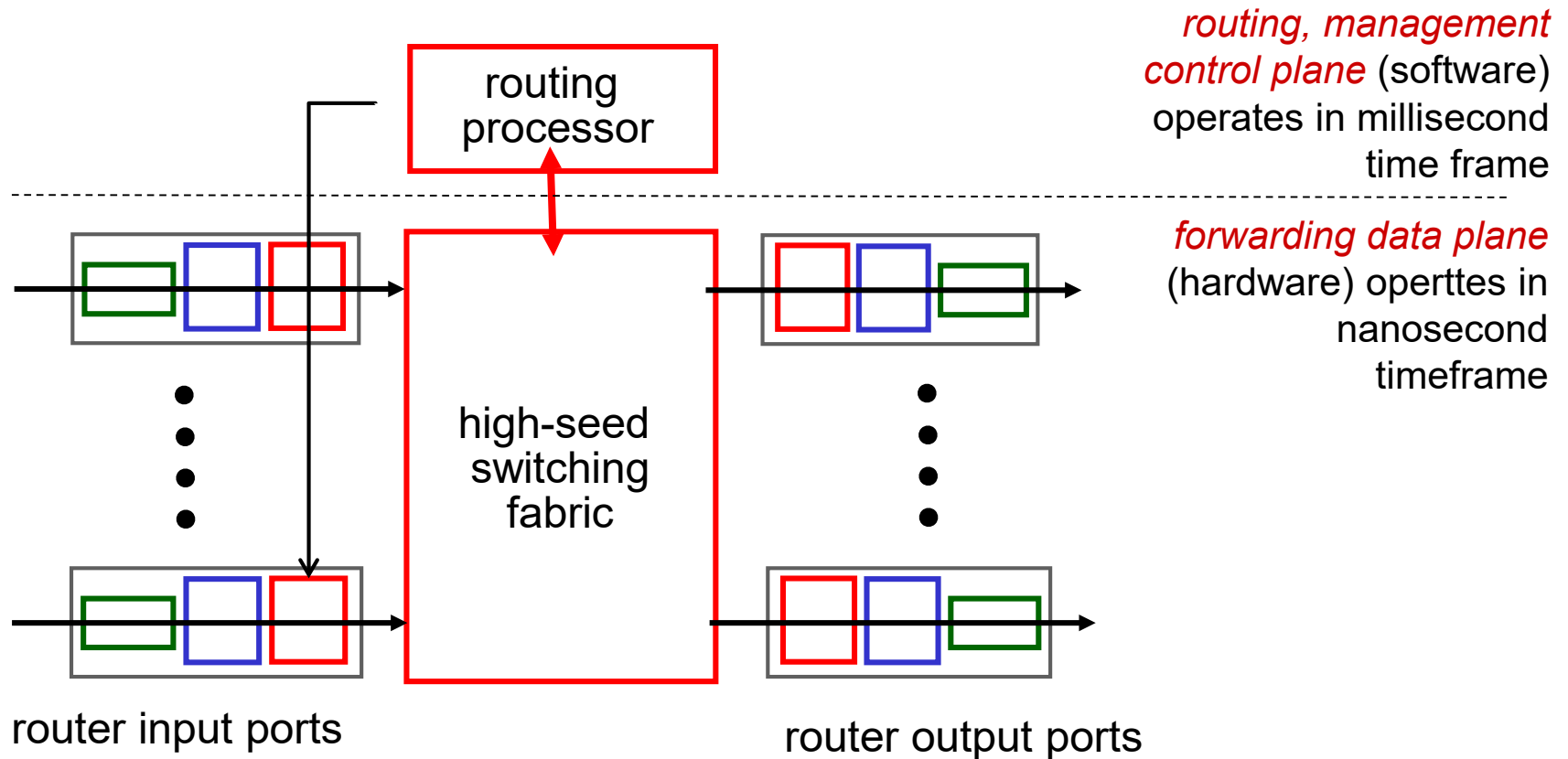
## 4.2 What's inside a router

## 4.3 IP: Internet Protocol

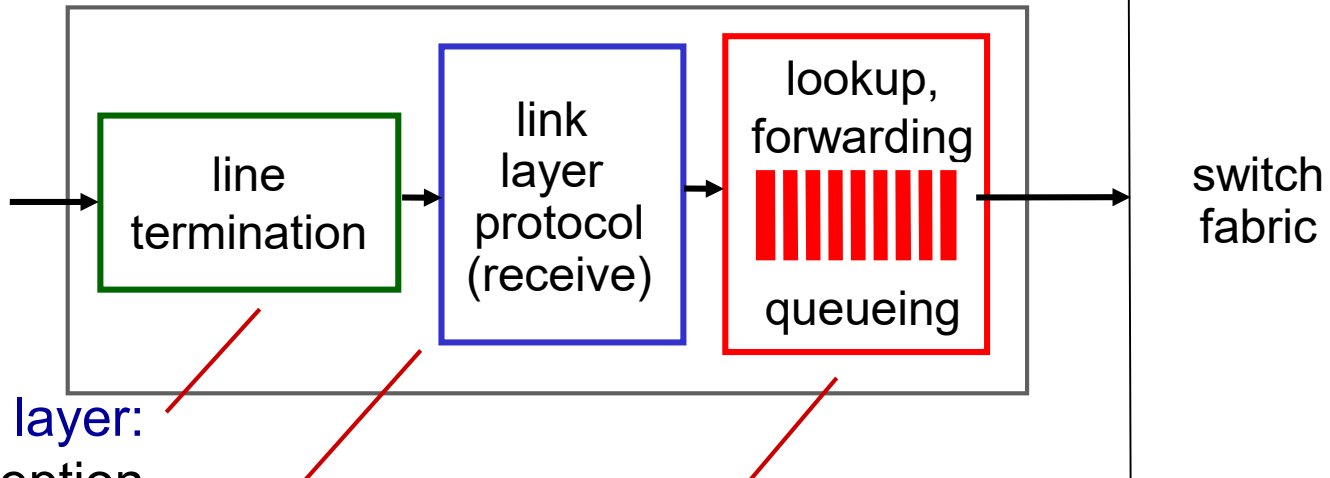
- datagram format
- fragmentation
- IPv4 addressing
- network address translation
- IPv6

# Router architecture overview

- high-level view of generic router architecture:



# Input port functions



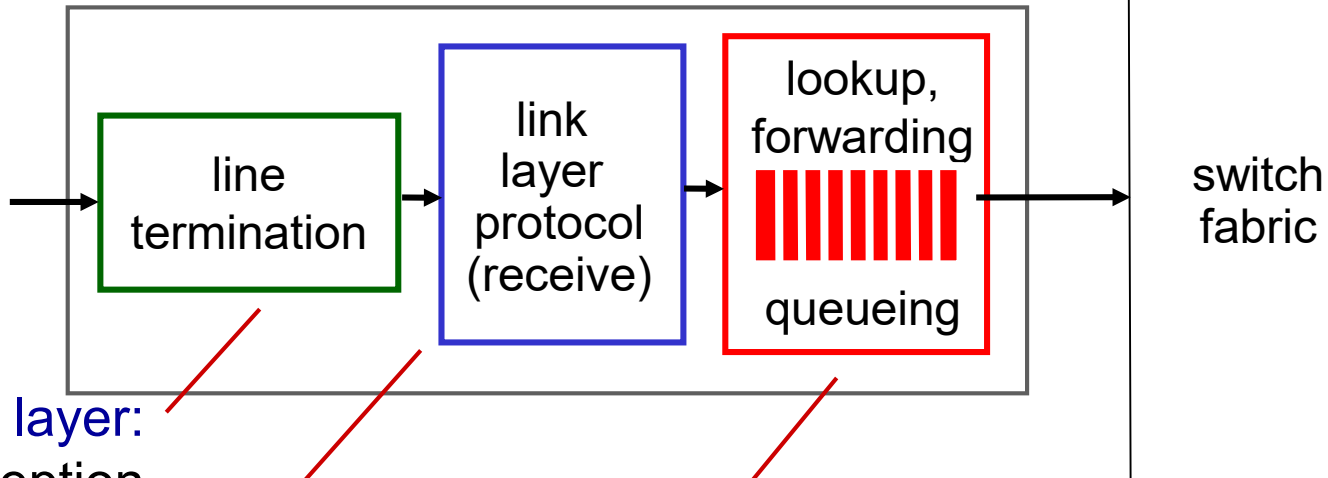
physical layer:  
bit-level reception

data link layer:  
e.g., Ethernet  
see chapter 5

## decentralized switching:

- using header field values, lookup output port using forwarding table in input port memory (“*match plus action*”)
- goal: complete input port processing at ‘line speed’
- queuing: if datagrams arrive faster than forwarding rate into switch fabric

# Input port functions



physical layer:  
bit-level reception

data link layer:  
e.g., Ethernet  
see chapter 5

## decentralized switching:

- using header field values, lookup output port using forwarding table in input port memory (“*match plus action*”)
- **destination-based forwarding:** forward based only on destination IP address (traditional)
- **generalized forwarding:** forward based on any set of header field values

# Destination-based forwarding

*forwarding table*

Destination Address Range	Link Interface
11001000 00010111 00010000 00000000 through 11001000 00010111 00010111 11111111	0
11001000 00010111 00011000 00000000 through 11001000 00010111 00011000 11111111	1
11001000 00010111 00011001 00000000 through 11001000 00010111 00011111 11111111	2
otherwise	3

# Longest prefix matching

## *longest prefix matching*

when looking for forwarding table entry for given destination address, use *longest* address prefix that matches destination address.

Destination Address Range	Link interface
11001000 00010111 00010*** *****	0
11001000 00010111 00011000 *****	1
11001000 00010111 00011*** *****	2
otherwise	3

examples:

1. DA: 11001000 00010111 00010110 10100001 *which interface?*

2. DA: 11001000 00010111 00011000 10101010 *which interface?*

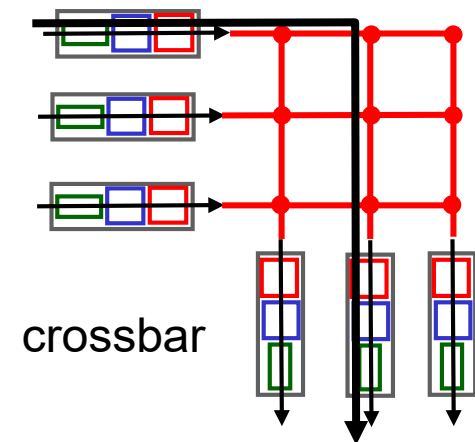
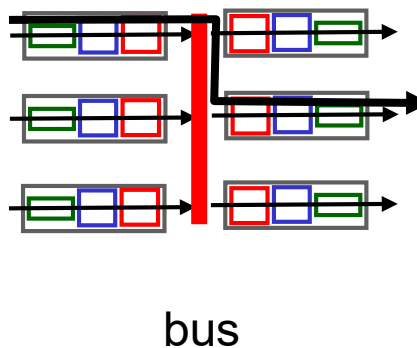
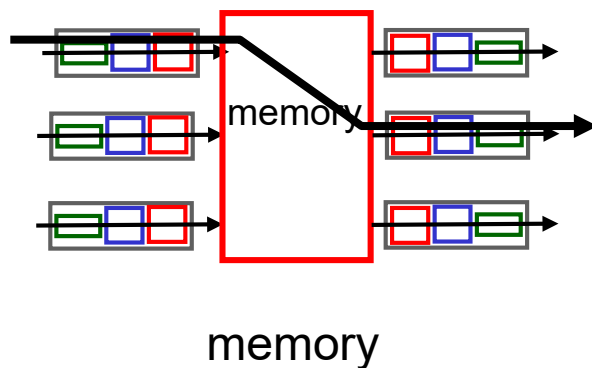
# Longest prefix matching

- we'll see *why* longest prefix matching is used shortly, when we study addressing
- longest prefix matching: often performed using ternary content addressable memories (TCAMs)
  - *content addressable*: present address to TCAM: retrieve address in one clock cycle, regardless of table size
  - Cisco Catalyst: can up ~1M routing table entries in TCAM



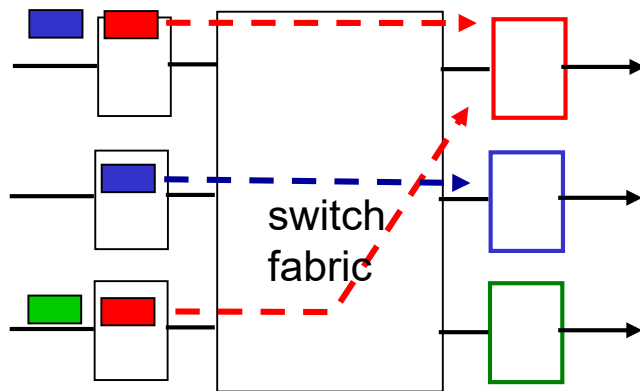
# Switching fabrics

- transfer packet from input buffer to appropriate output buffer
- switching rate: rate at which packets can be transfer from inputs to outputs
  - often measured as multiple of input/output line rate
  - N inputs: switching rate N times line rate desirable
- three types of switching fabrics

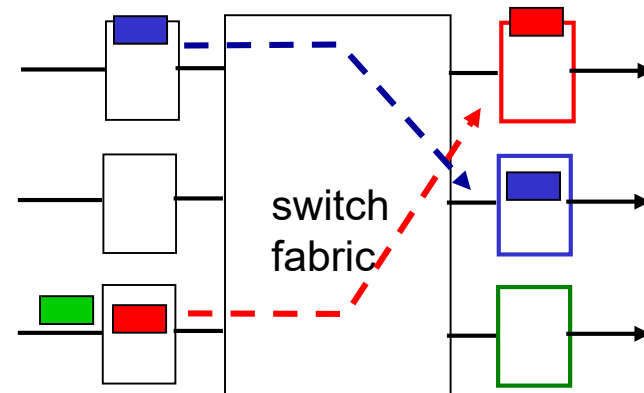


# Input port queuing

- fabric slower than input ports combined -> queueing may occur at input queues
  - *queueing delay and loss due to input buffer overflow!*
- **Head-of-the-Line (HOL) blocking:** queued datagram at front of queue prevents others in queue from moving forward

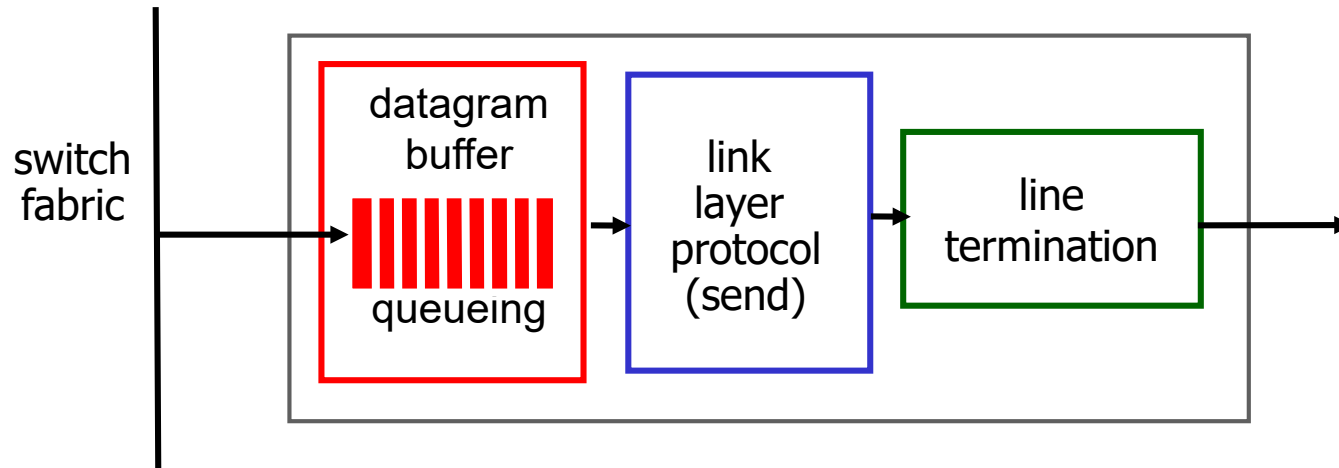


output port contention:  
only one red datagram can be  
transferred.  
*lower red packet is blocked*



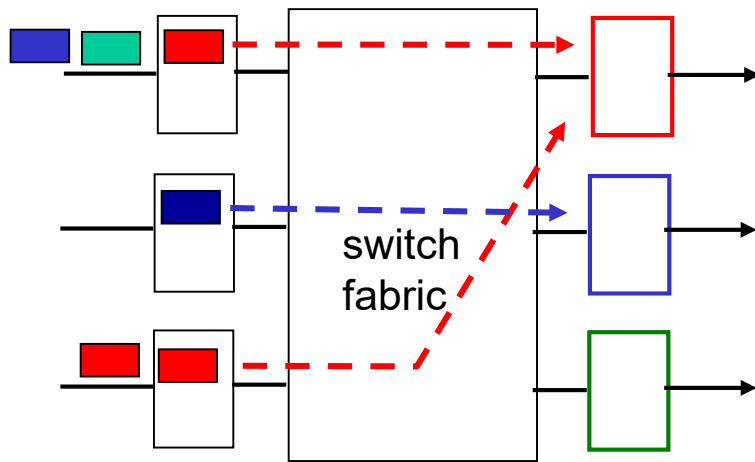
one packet time later:  
green packet  
experiences HOL  
blocking

# Output ports

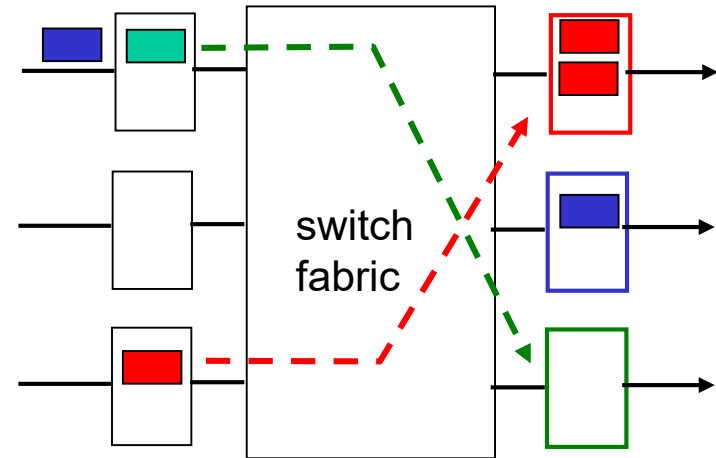


- *buffering* required from fabric faster rate  
Datagram (packets) can be lost due to congestion, lack of buffers
- *scheduling* datagrams  
Priority scheduling – who gets best performance, network neutrality

# Output port queueing



at  $t$ , packets move  
from input to output



one packet time later

- buffering when arrival rate via switch exceeds output line speed
- *queueing (delay) and loss due to output port buffer overflow!*

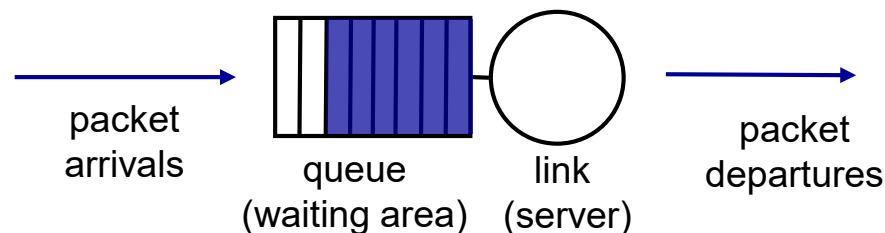
# How much buffering?

- RFC 3439 rule of thumb: average buffering equal to “typical” RTT (say 250 msec) times link capacity  $C$ 
  - e.g.,  $C = 10$  Gpbs link: 2.5 Gbit buffer
- recent recommendation: with  $N$  flows, buffering equal to

$$\frac{RTT \cdot C}{\sqrt{N}}$$

# Scheduling mechanisms

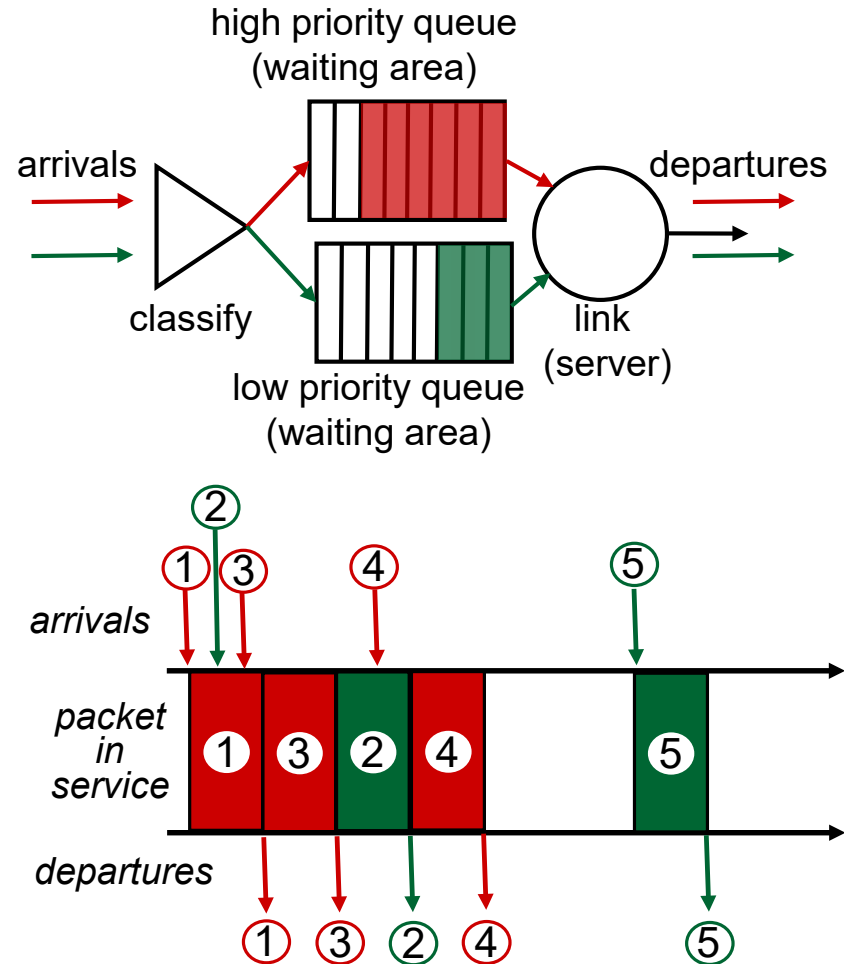
- *scheduling*: choose next packet to send on link
- *FIFO (first in first out) scheduling*: send in order of arrival to queue
  - real-world example?
  - *discard policy*: if packet arrives to full queue: who to discard?
    - *tail drop*: drop arriving packet
    - *priority*: drop/remove on priority basis
    - *random*: drop/remove randomly



# Scheduling policies: priority

*priority scheduling*: send highest priority queued packet

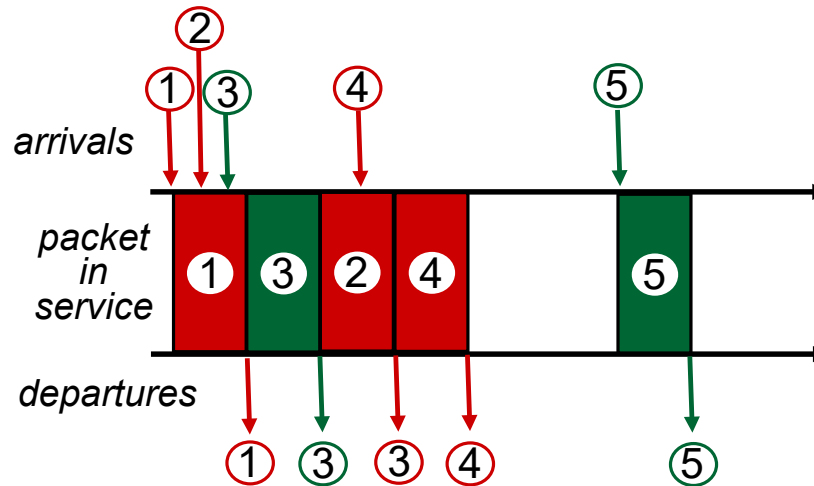
- multiple *classes*, with different priorities
  - class may depend on marking or other header info, e.g. IP source/dest, port numbers, etc.
  - real world example?



# Scheduling policies: still more

## *Round Robin (RR) scheduling:*

- multiple classes
- cyclically scan class queues, sending one complete packet from each class (if available)
- real world example?

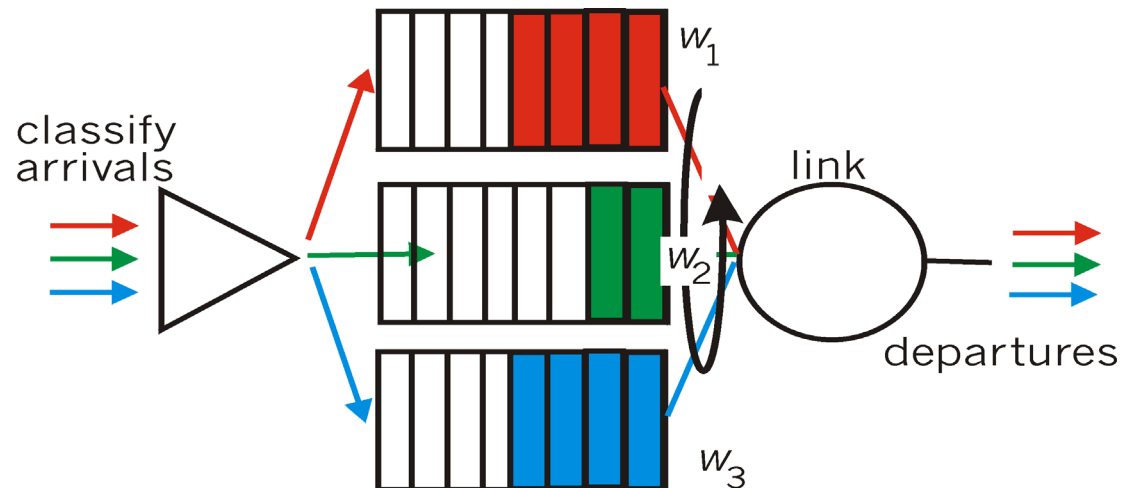




# Scheduling policies: still more

## *Weighted Fair Queuing (WFQ):*

- generalized Round Robin
- each class gets weighted amount of service in each cycle
- real-world example?



# Chapter 4: outline

## 4.1 Overview of Network layer

- data plane
- control plane

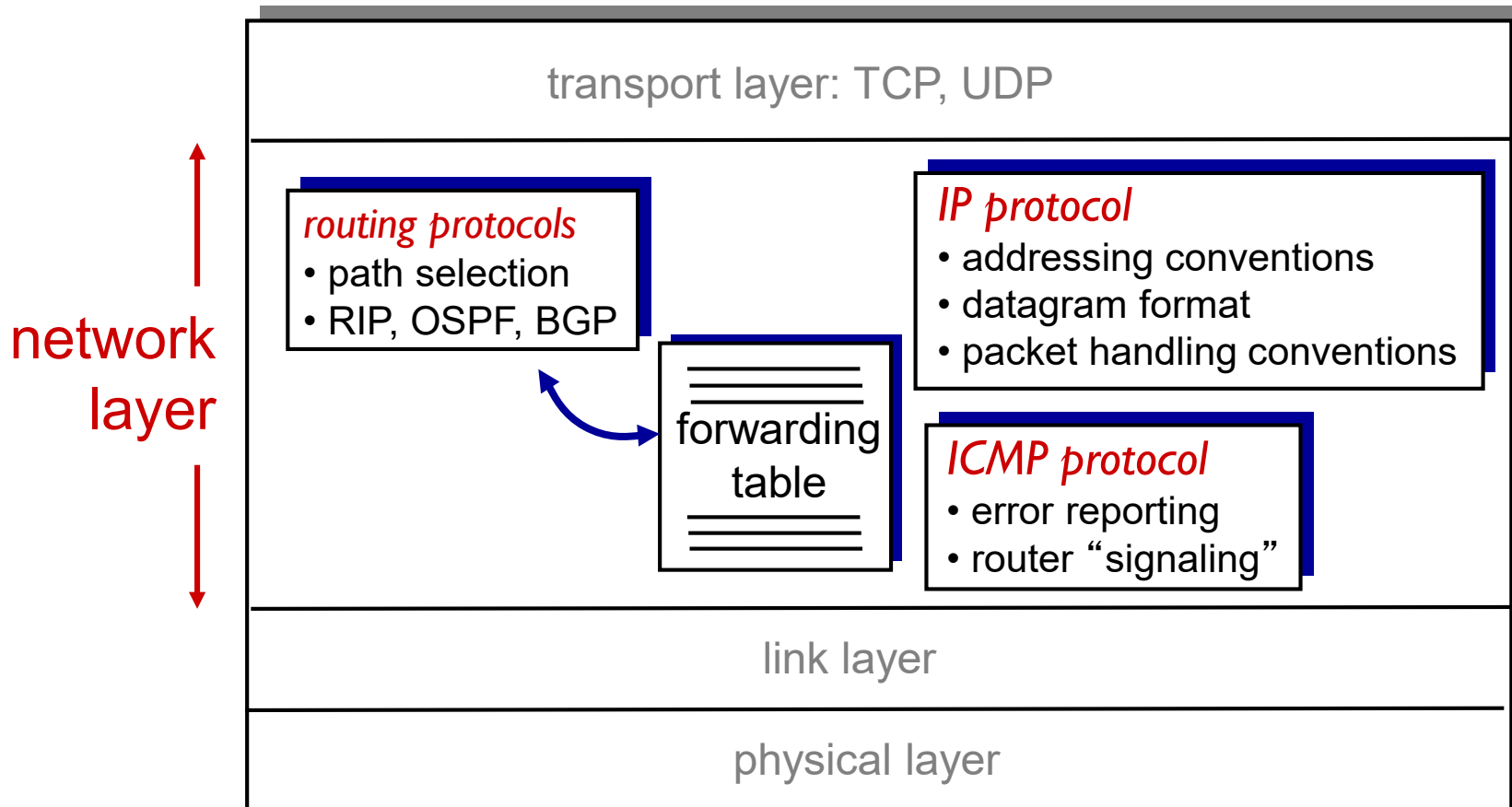
## 4.2 What's inside a router

## 4.3 IP: Internet Protocol

- datagram format
- fragmentation
- IPv4 addressing
- network address translation
- IPv6

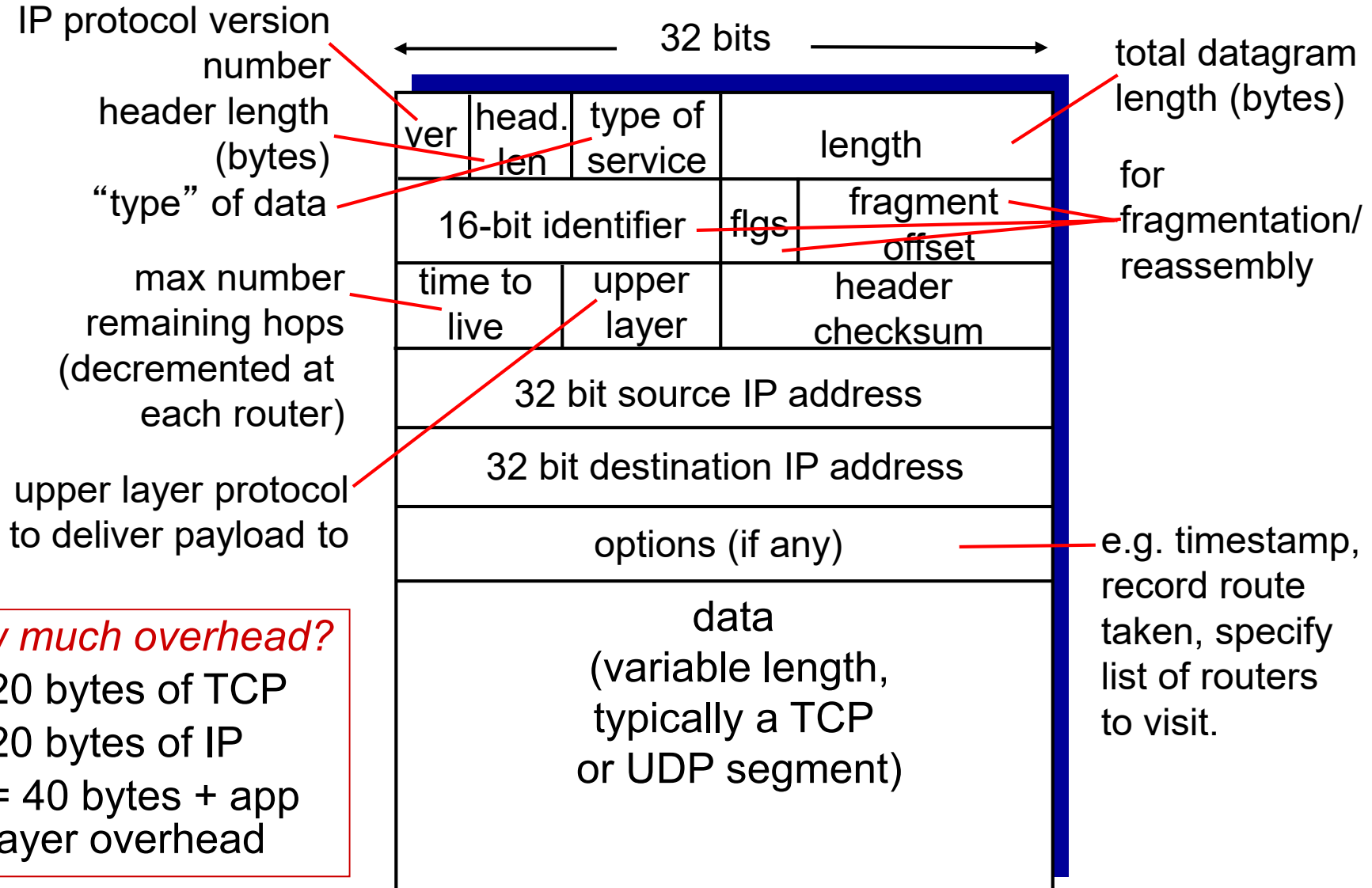
# The Internet network layer

host, router network layer functions:



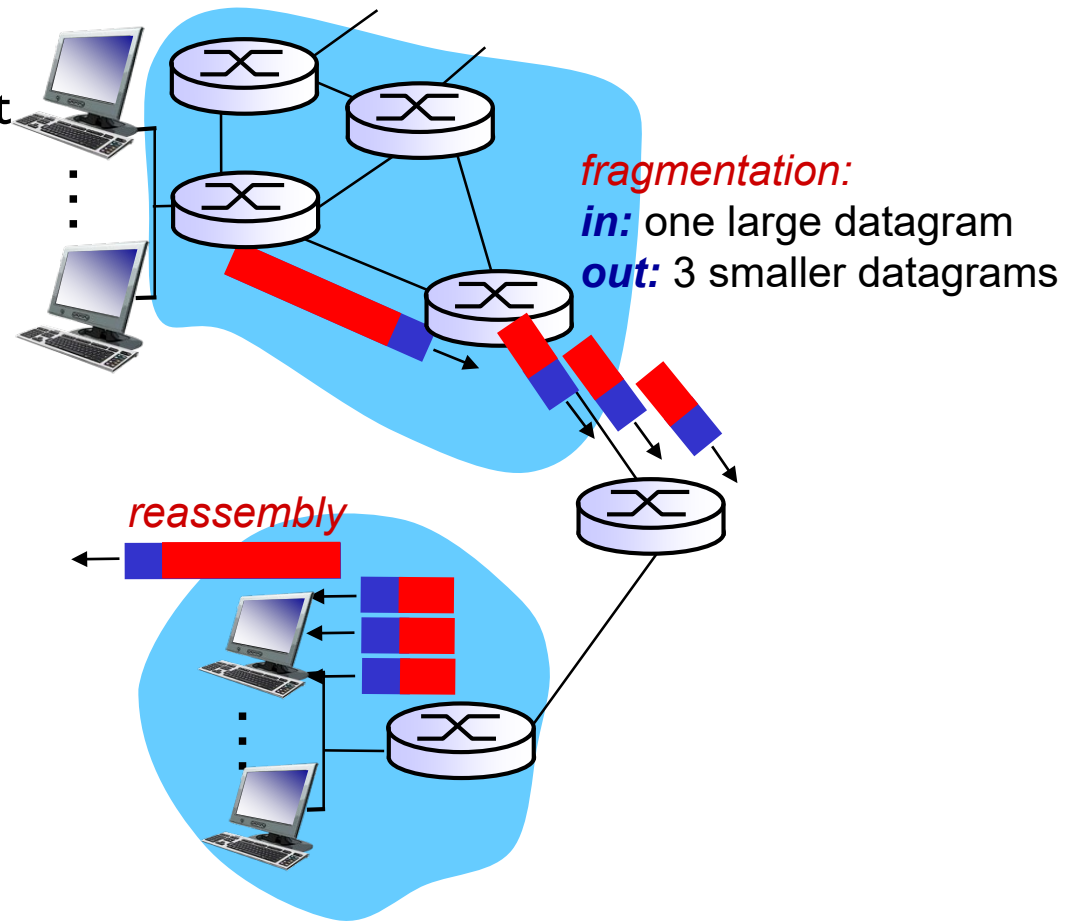
RIP: Routing Information Protocol  
OSPF: Open Shortest Path First  
BGP: Border Gateway Protocol  
IP: Internet Protocol  
ICMP: Internet Control Message Protocol

# IP datagram format



# IP fragmentation, reassembly

- network links have MTU (max.transfer size) - largest possible link-level frame
  - different link types, different MTUs
- large IP datagram divided (“fragmented”) within net
  - one datagram becomes several datagrams
  - “reassembled” only at final destination
  - IP header bits used to identify, order related fragments



# IP fragmentation, reassembly

*example:*

- ❖ 4000 byte datagram
- ❖ MTU = 1500 bytes

	length	ID	fragflag	offset	
	=4000	=x	=0	=0	

*one large datagram becomes  
several smaller datagrams*

1480 bytes in  
data field

	length	ID	fragflag	offset	
	=1500	=x	=1	=0	

offset =  
 $1480/8$   
= 185

	length	ID	fragflag	offset	
	=1500	=x	=1	=185	

offset =  
 $(1480*2)/8$   
= 370

	length	ID	fragflag	offset	
	=1040	=x	=0	=370	

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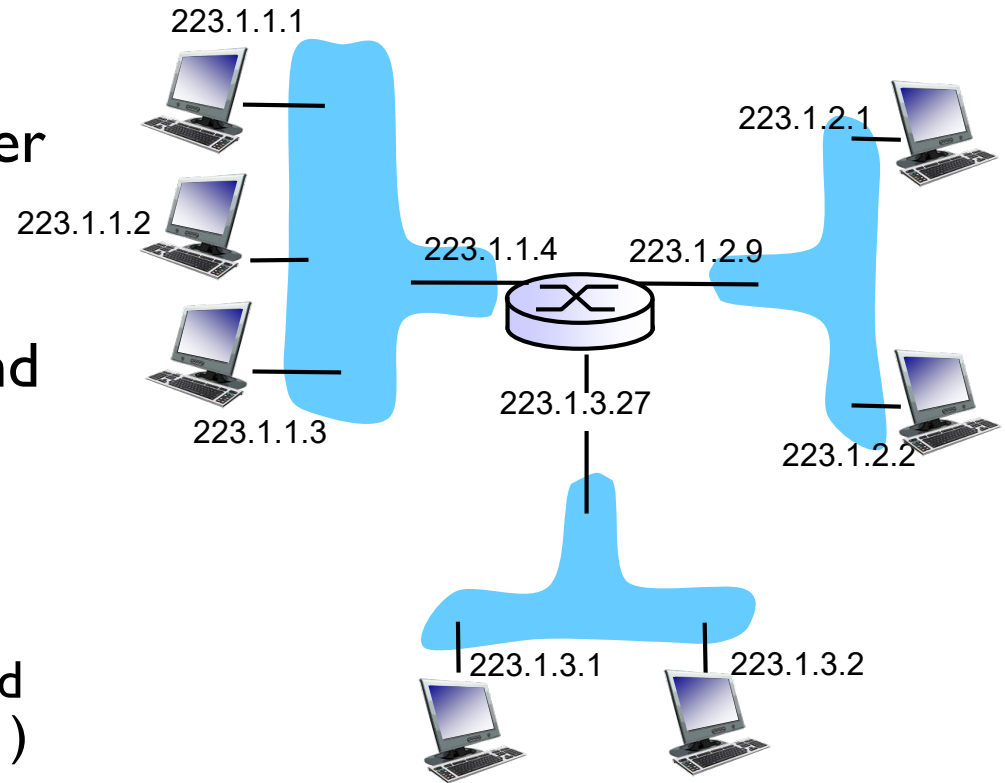
## 4.3 IP: Internet Protocol

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# IP addressing: introduction

- **IP address:** 32-bit identifier for host, router interface
- **interface:** connection between host/router and physical link
  - router's typically have multiple interfaces
  - host typically has one or two interfaces (e.g., wired Ethernet, wireless 802.11)
- **IP addresses associated with each interface**



$$223.1.1.1 = \underbrace{11011111}_{223} \underbrace{00000001}_1 \underbrace{00000001}_1 \underbrace{00000001}_1$$

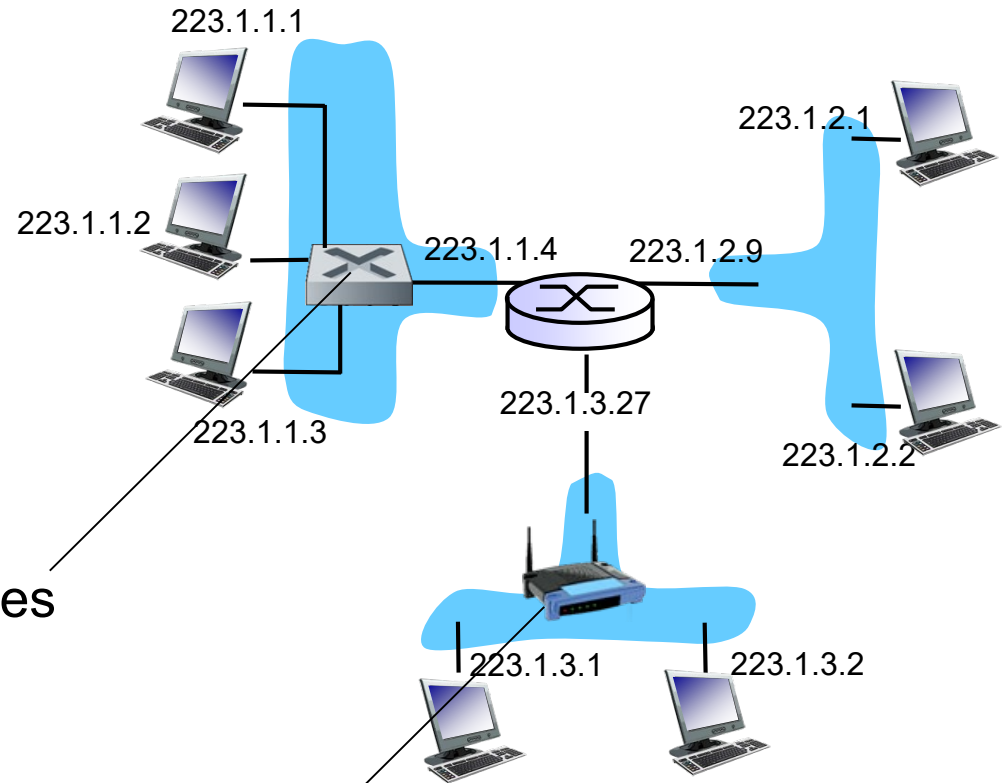
# IP addressing: introduction

*Q: how are interfaces actually connected?*

*A: we'll learn about that in chapter 5, 6.*

*A: wired Ethernet interfaces connected by Ethernet switches*

*For now:* don't need to worry about how one interface is connected to another (with no intervening router)



*A: wireless WiFi interfaces connected by WiFi base station*

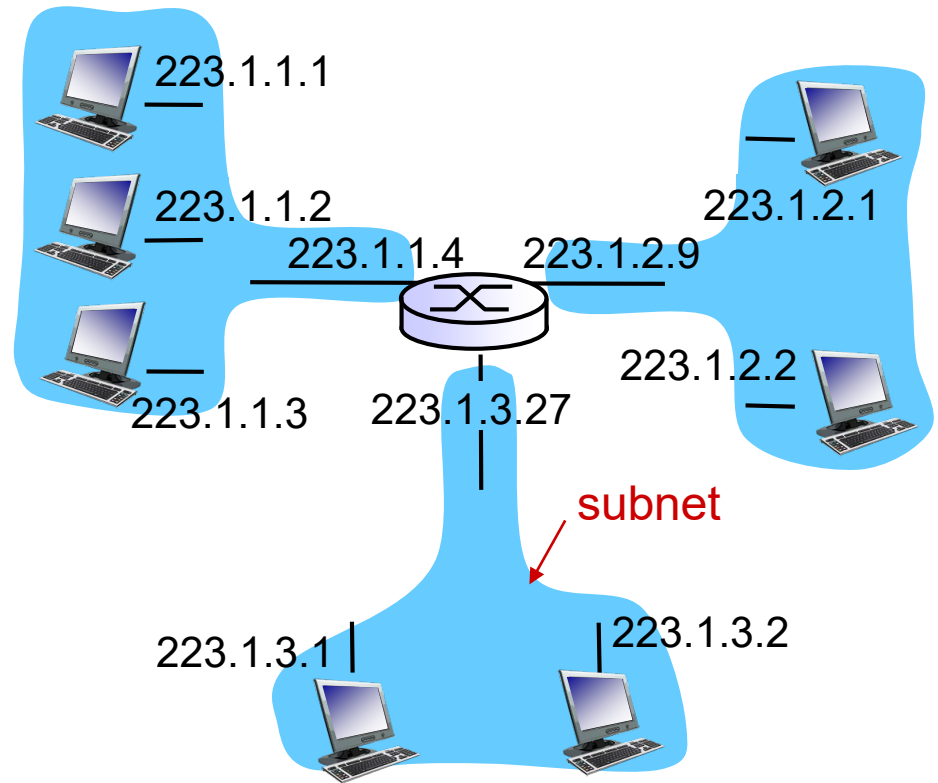
# Subnets

## ■ IP address:

- subnet part - high order bits
- host part - low order bits

## ■ *what 's a subnet ?*

- device interfaces with same subnet part of IP address
- can physically reach each other *without intervening router*

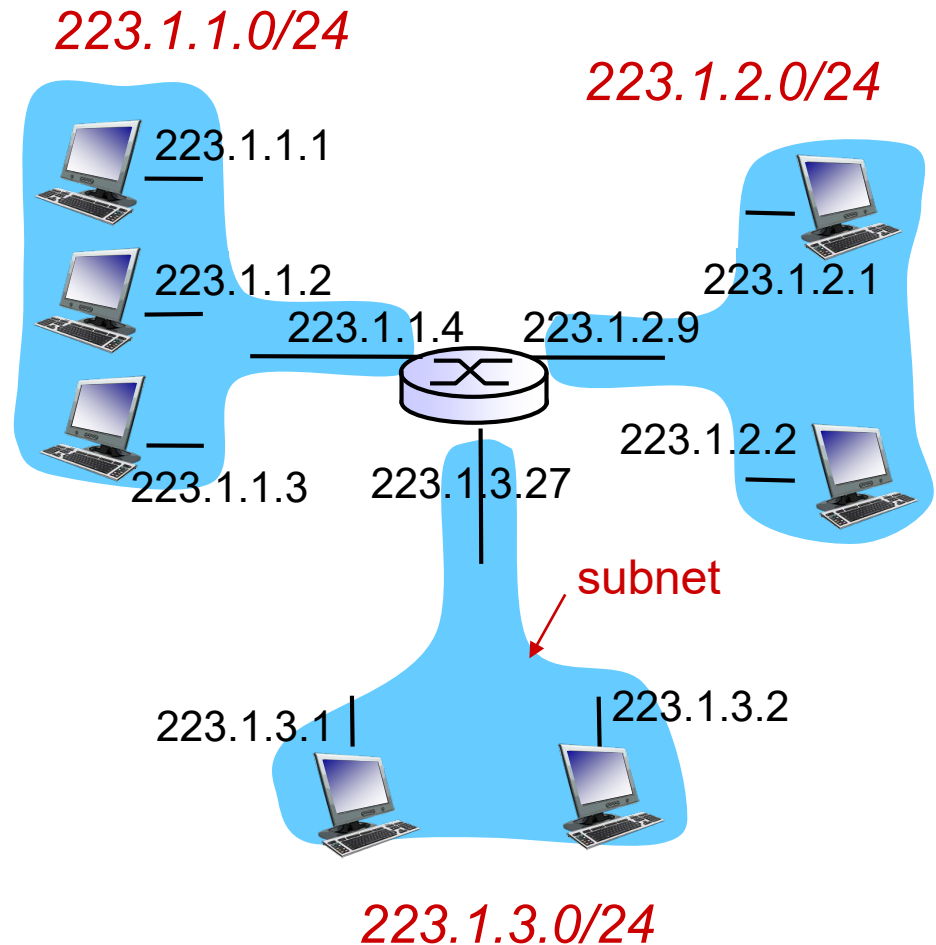


network consisting of 3 subnets

# Subnets

## *recipe*

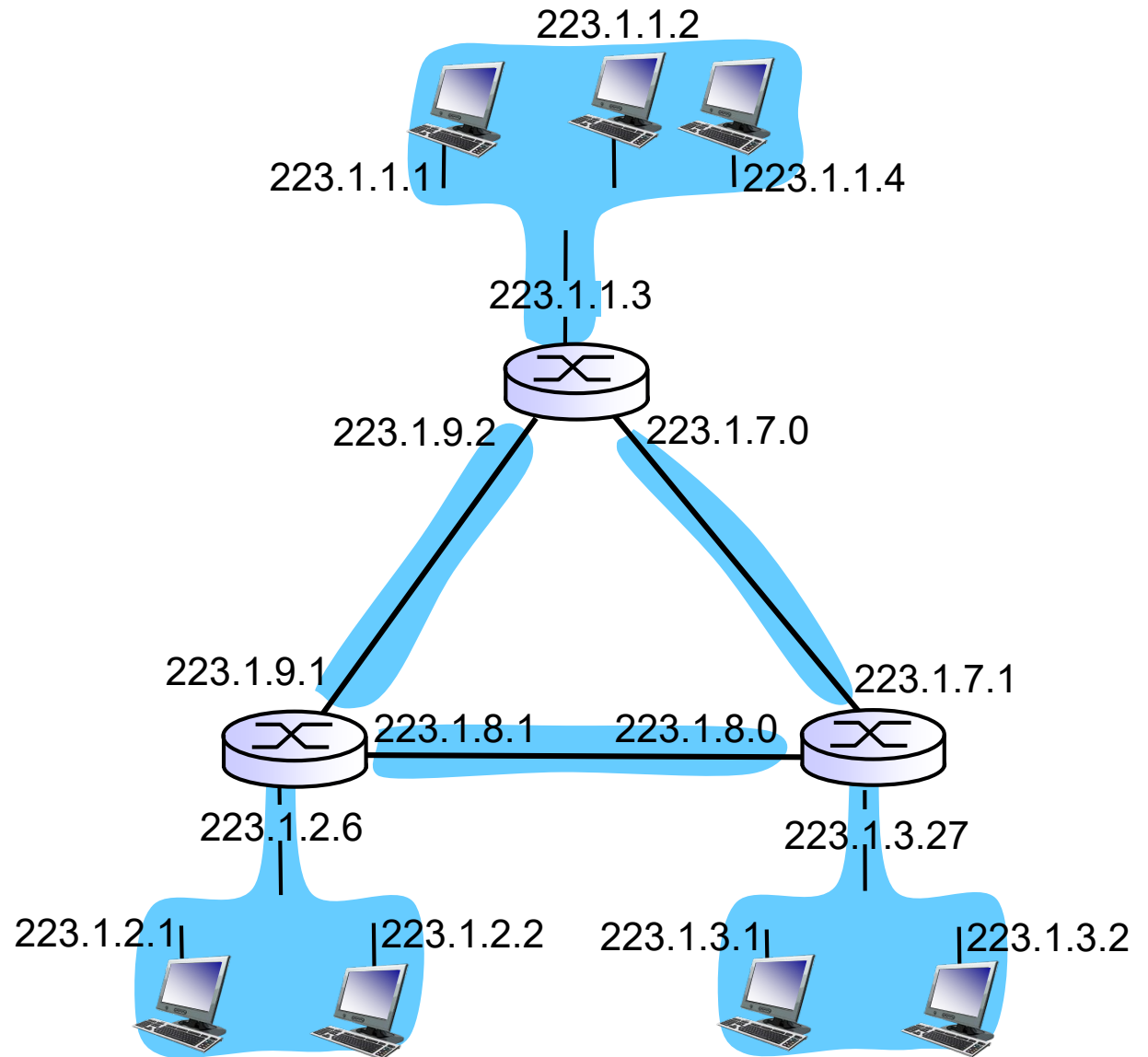
- to determine the subnets, detach each interface from its host or router, creating islands of isolated networks
- each isolated network is called a *subnet*



subnet mask: /24

# Subnets

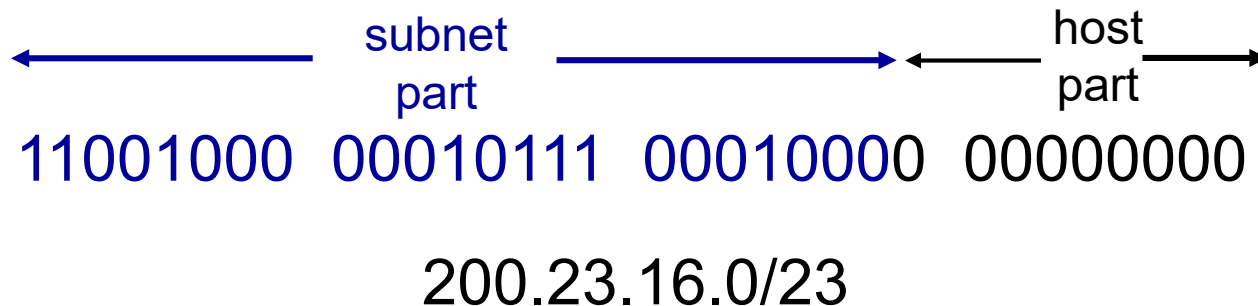
how many?



# IP addressing: CIDR

## CIDR: Classless InterDomain Routing

- subnet portion of address of arbitrary length
- address format: **a.b.c.d/x**, where x is # bits in subnet portion of address



# IP addresses: how to get one?

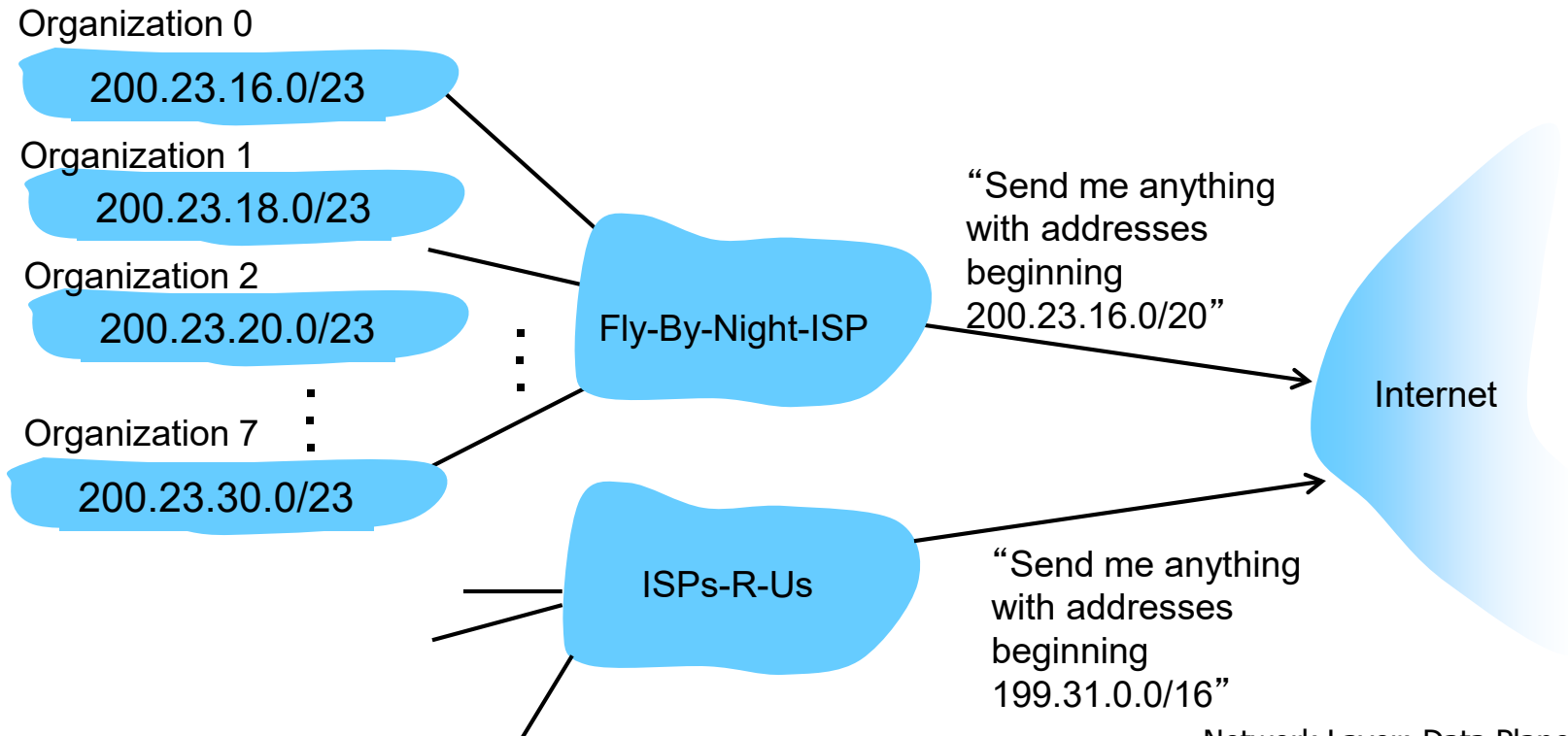
**Q:** how does *network* get subnet part of IP addr?

**A:** gets allocated portion of its provider ISP's address space

ISP's block	<u>11001000</u>	<u>00010111</u>	<u>00010000</u>	00000000	200.23.16.0/20
Organization 0	<u>11001000</u>	<u>00010111</u>	<u>00010000</u>	00000000	200.23.16.0/23
Organization 1	<u>11001000</u>	<u>00010111</u>	<u>00010010</u>	00000000	200.23.18.0/23
Organization 2	<u>11001000</u>	<u>00010111</u>	<u>00010100</u>	00000000	200.23.20.0/23
...	.....			....	....
Organization 7	<u>11001000</u>	<u>00010111</u>	<u>00011110</u>	00000000	200.23.30.0/23

# Hierarchical addressing: route aggregation

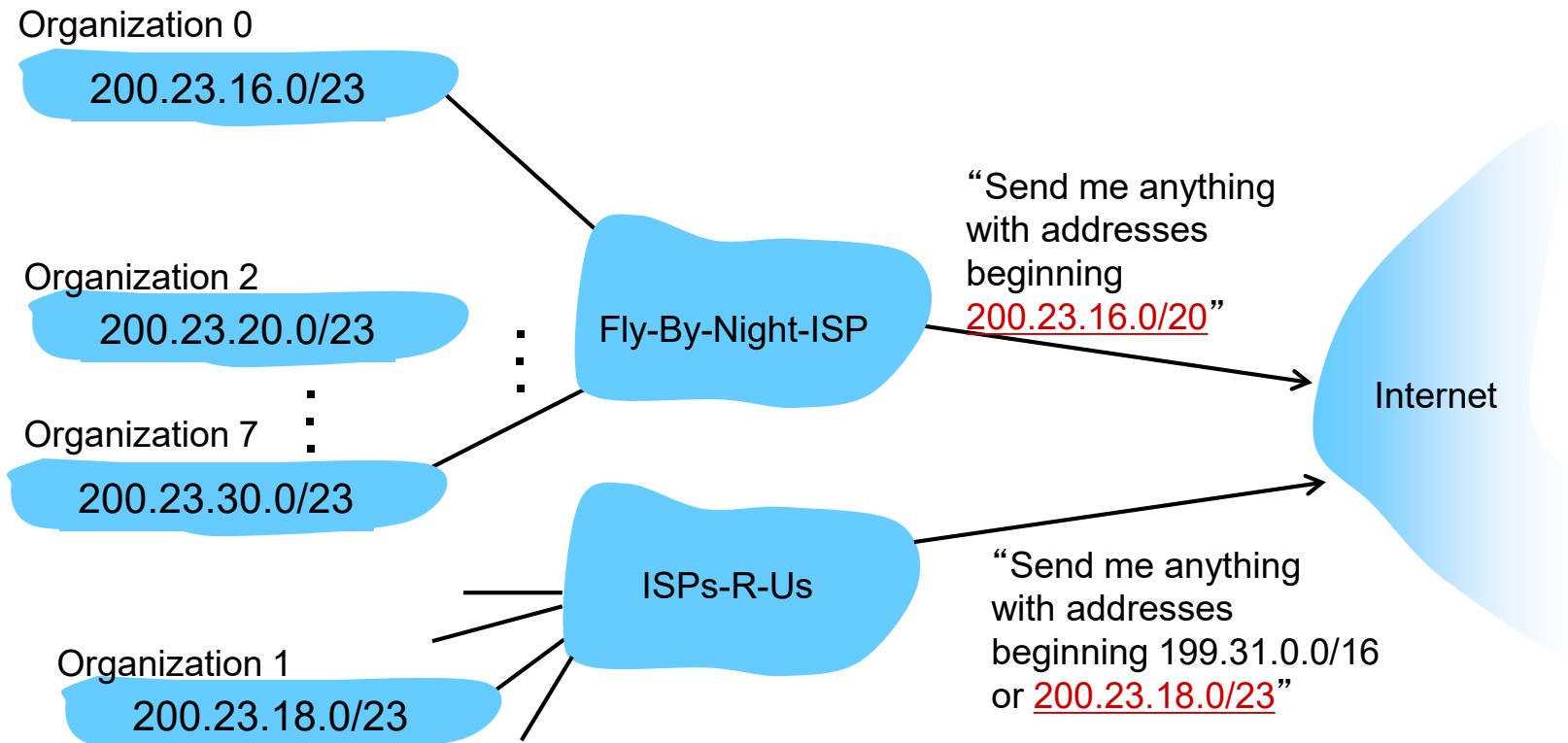
- **hierarchical** addressing allows efficient advertisement of routing information:
  - This ability to use a single prefix to advertise multiple networks is often referred to as address aggregation (also route aggregation or route summarization)





# Hierarchical addressing: more specific routes

ISPs-R-U has a more specific route to Organization 1



it owns the address block of 199.31.0.0/16

# IP addressing

**Q:** how does an ISP get block of addresses?

**A: ICANN:** Internet Corporation for Assigned Names and Numbers <http://www.icann.org/>

- allocates addresses
- manages DNS (root servers)
  - assigns domain names, resolves disputes

# IP addresses: how to get one?

**Q:** How does a *host* get IP address?

- hard-coded by system admin in a file
  - Windows: control-panel->network->configuration->tcp/ip->properties
  - UNIX: /etc/rc.config
- **DHCP: D**ynamic **H**ost **C**onfiguration **P**rotocol:  
dynamically get address from as server
  - “plug-and-play”

# DHCP: Dynamic Host Configuration Protocol

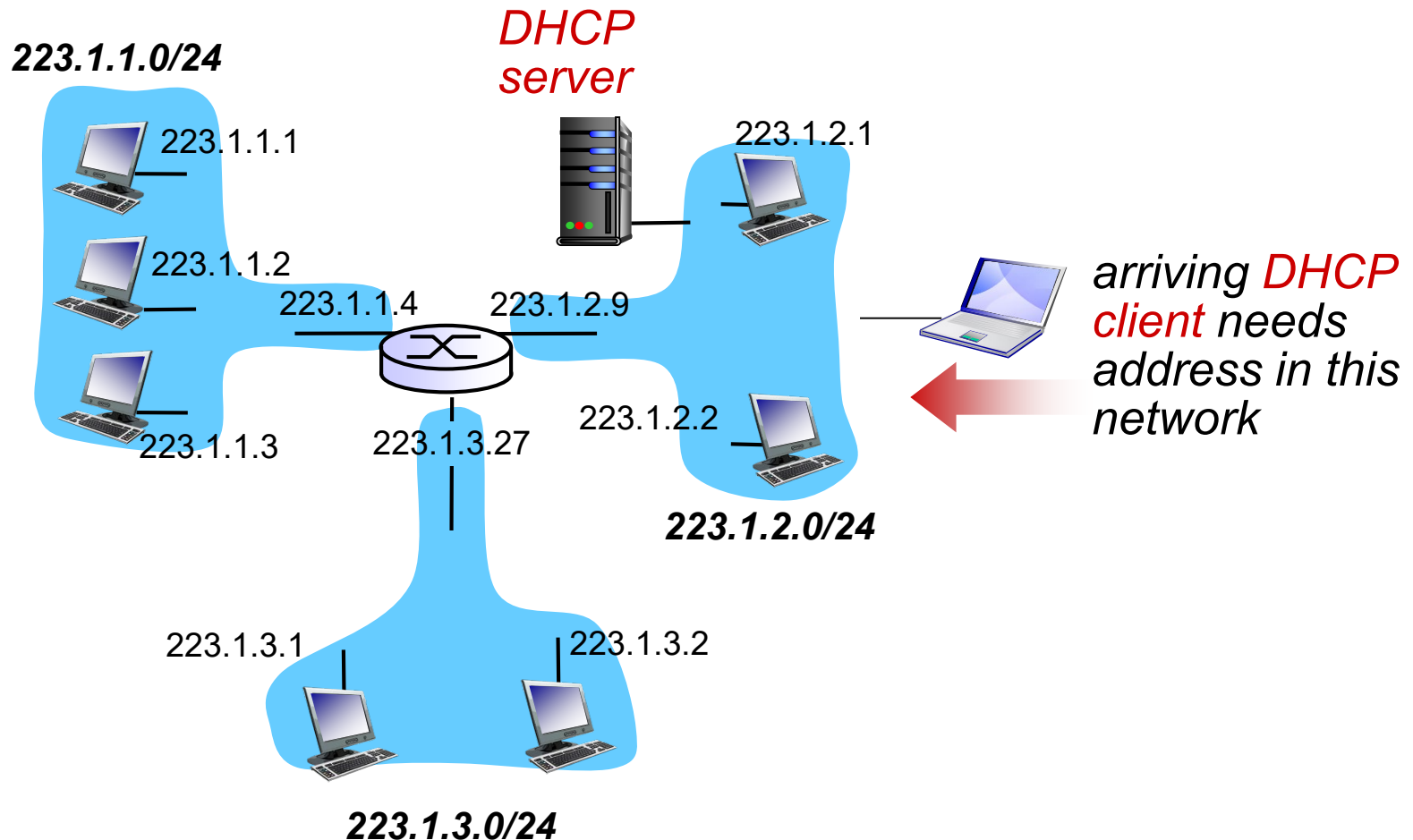
*goal:* allow host to *dynamically* obtain its IP address from network server when it joins network

- can renew its lease on address in use
- allows reuse of addresses (only hold address while connected/“on”)
- support for mobile users who want to join network (more shortly)

## *DHCP overview:*

- host broadcasts “DHCP discover” msg [optional]
- DHCP server responds with “DHCP offer” msg [optional]
- host requests IP address: “DHCP request” msg
- DHCP server sends address: “DHCP ack” msg

# DHCP client-server scenario



# DHCP client-server scenario

DHCP server: 223.1.2.5

DHCP discover

arriving  
client



Broadcast: is there a  
DHCP server out there?

DHCP offer

Broadcast: I'm a DHCP  
server! Here's an IP  
address you can use

DHCP request

Broadcast: OK. I'll take  
that IP address!

DHCP ACK

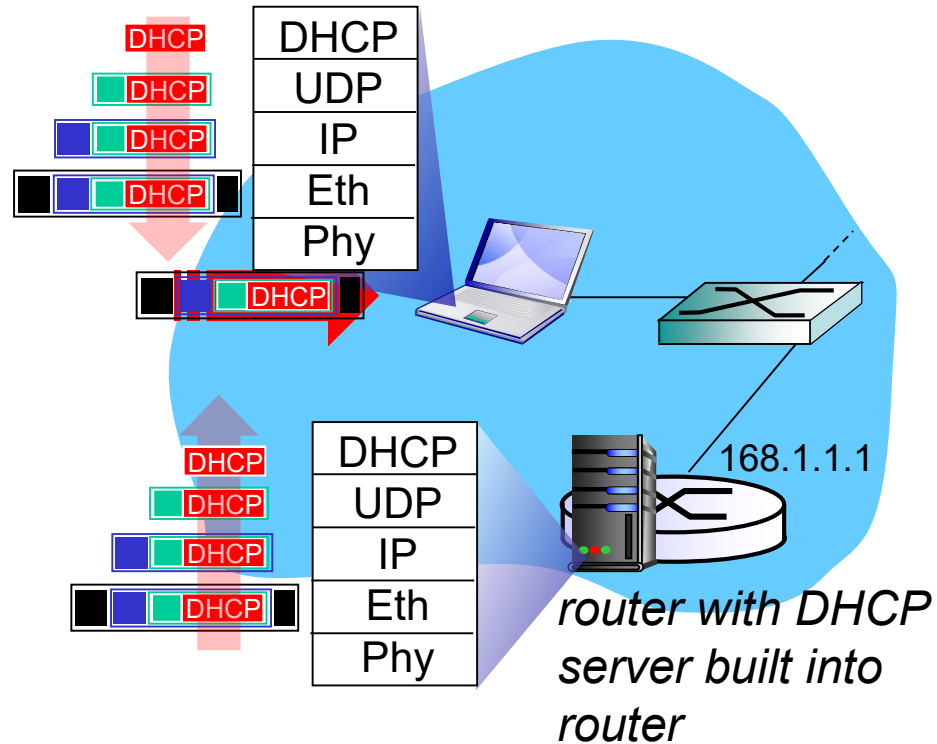
Broadcast: OK. You've  
got that IP address!

# DHCP: more than IP addresses

DHCP can return more than just allocated IP address on subnet:

- address of first-hop router for client
- name and IP address of DNS sever
- network mask (indicating network versus host portion of address)

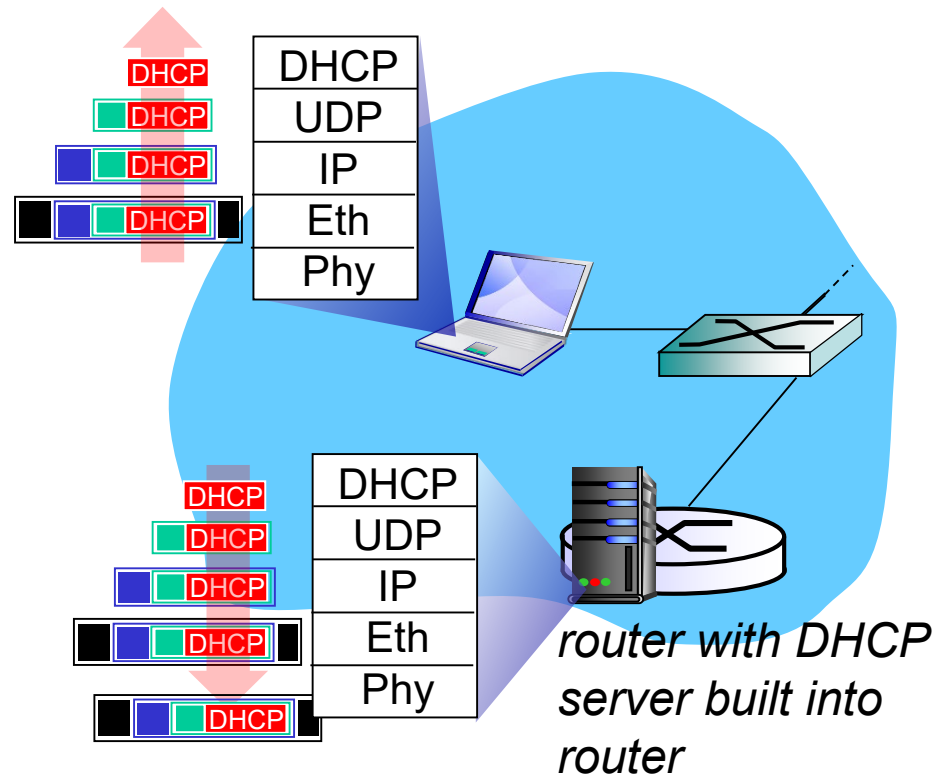
# DHCP: example



- connecting laptop needs its IP address, addr of first-hop router, addr of DNS server: use DHCP
- DHCP request encapsulated in UDP, encapsulated in IP, encapsulated in 802.1 Ethernet
- Ethernet frame broadcast (dest: FFFFFFFF) on LAN, received at router running DHCP server
- Ethernet demuxed to IP demuxed, UDP demuxed to DHCP



# DHCP: example



- DCP server formulates DHCP ACK containing client's IP address, IP address of first-hop router for client, name & IP address of DNS server
- encapsulation of DHCP server, frame forwarded to client, demuxing up to DHCP at client
- client now knows its IP address, name and IP address of DSN server, IP address of its first-hop router

# Chapter 4: outline

## 4.1 Overview of Network layer

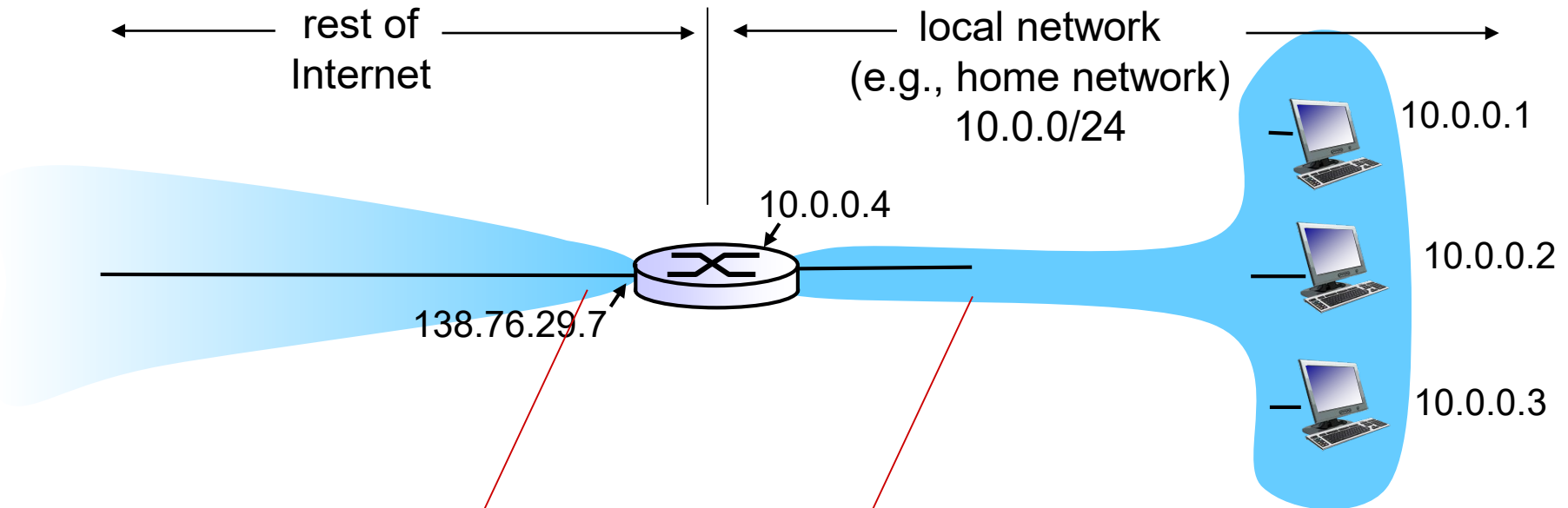
- data plane
- control plane

## 4.2 What's inside a router

## 4.3 IP: Internet Protocol

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# NAT: network address translation



*all* datagrams *leaving* local network have *same* single source NAT IP address: 138.76.29.7, different source port numbers

datagrams with source or destination in this network have 10.0.0/24 address for source, destination (as usual)

# NAT: network address translation

*motivation:* local network uses just one IP address as far as outside world is concerned:

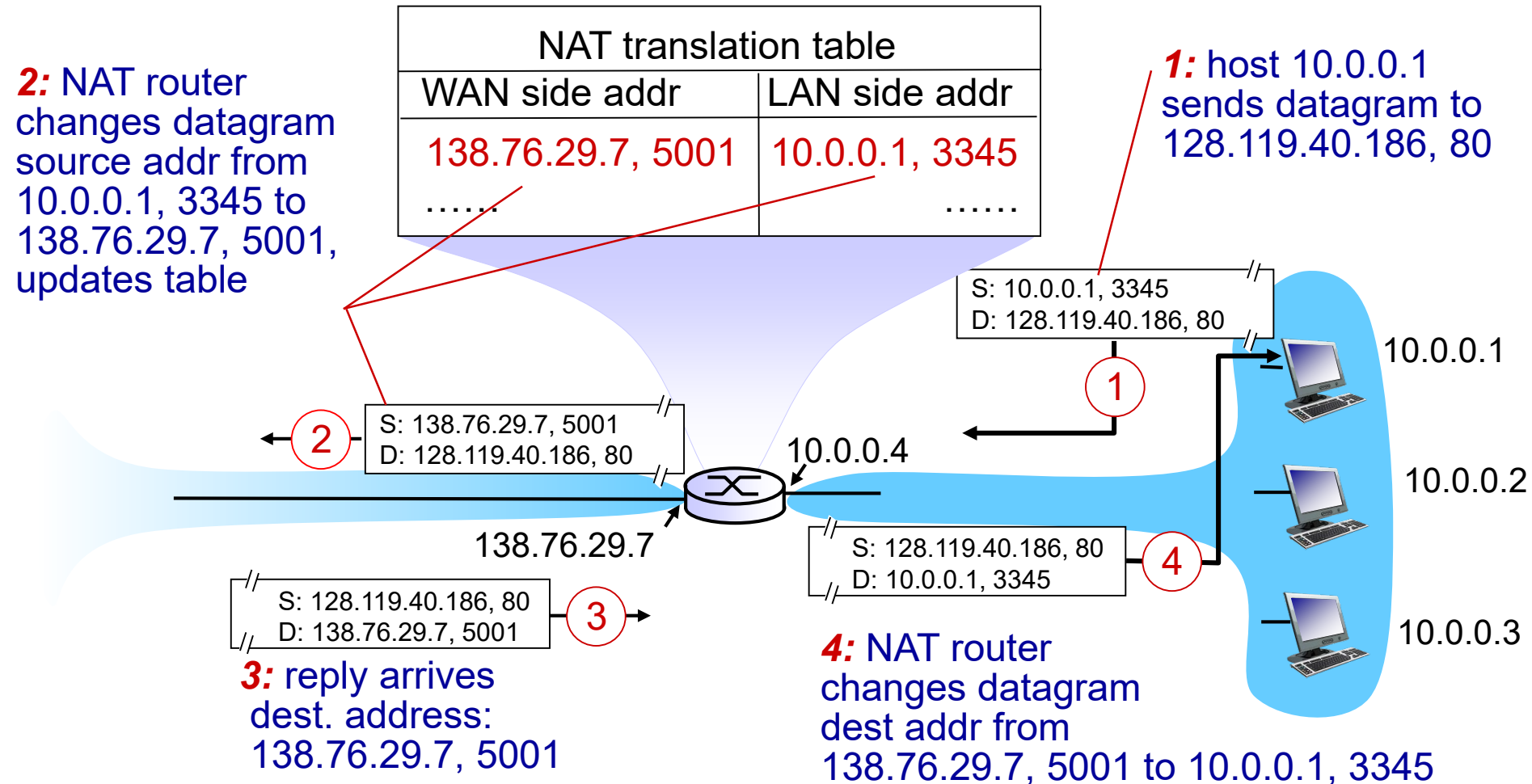
- range of addresses not needed from ISP: just one IP address for all devices
- can change addresses of devices in local network without notifying outside world
- can change ISP without changing addresses of devices in local network
- devices inside local net not explicitly addressable, visible by outside world (a security plus)

# NAT: network address translation

*implementation:* NAT router must:

- *outgoing datagrams: replace* (source IP address, port #) of every outgoing datagram to (NAT IP address, new port #)  
... remote clients/servers will respond using (NAT IP address, new port #) as destination addr
- *remember (in NAT translation table)* every (source IP address, port #) to (NAT IP address, new port #) translation pair
- *incoming datagrams: replace* (NAT IP address, new port #) in dest fields of every incoming datagram with corresponding (source IP address, port #) stored in NAT table

# NAT: network address translation



\* Check out the online interactive exercises for more examples: [http://gaia.cs.umass.edu/kurose\\_ross/interactive/](http://gaia.cs.umass.edu/kurose_ross/interactive/)

# NAT: network address translation

- 16-bit port-number field:
  - 60,000 simultaneous connections with a single LAN-side address!
- NAT is controversial:
  - routers should only process up to layer 3
  - address shortage should be solved by IPv6
  - violates end-to-end argument
    - NAT possibility must be taken into account by app designers, e.g., P2P applications
  - NAT traversal: what if client wants to connect to server behind NAT?
    - allows traffic to get to the specified destination when a device does not have a public address

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## 4.4 Generalized Forward and SDN

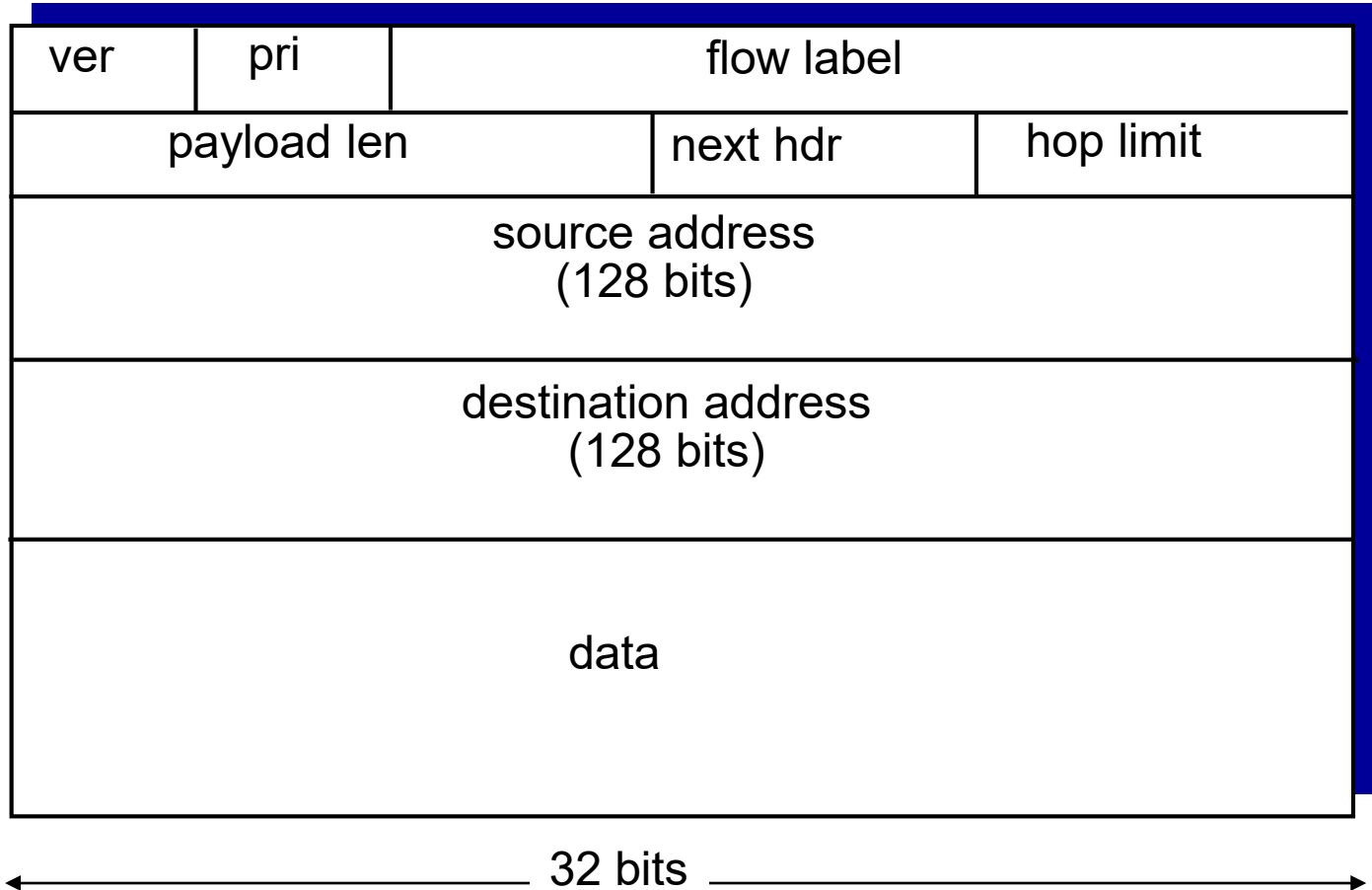
- match
- action
- OpenFlow examples of match-plus-action in action



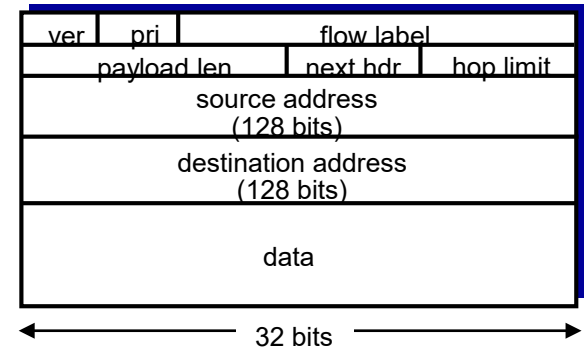
# IPv6: motivation

- *initial motivation*: 32-bit address space soon to be completely allocated.
- additional motivation:
  - header format helps speed processing/forwarding
  - header changes to facilitate QoS

# IPv6 datagram format

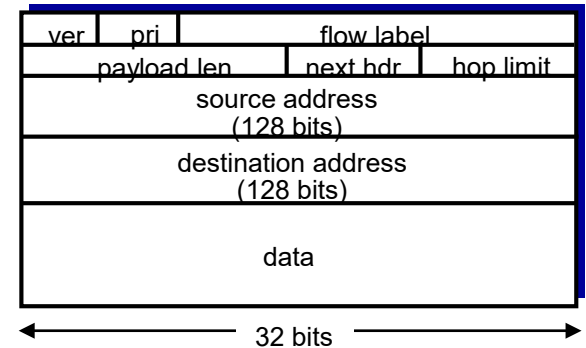


# IPv6 datagram format



- Version: 5 bit field identifies the IP version
  - IPv6: a value of 6
- Traffic class (pri): 8 bit traffic class field
  - give priority to certain datagrams within a flow or to datagrams from certain applications
    - for example, voice-over-IP over datagram from other applications (e.g., SMTP e-mail)
- Flow label: 20 bit field
  - Identify a flow of datagrams
- Payload length:
  - 16 bit value, unsigned integer giving the number of bytes in the IPv6 datagram

# IPv6 datagram format



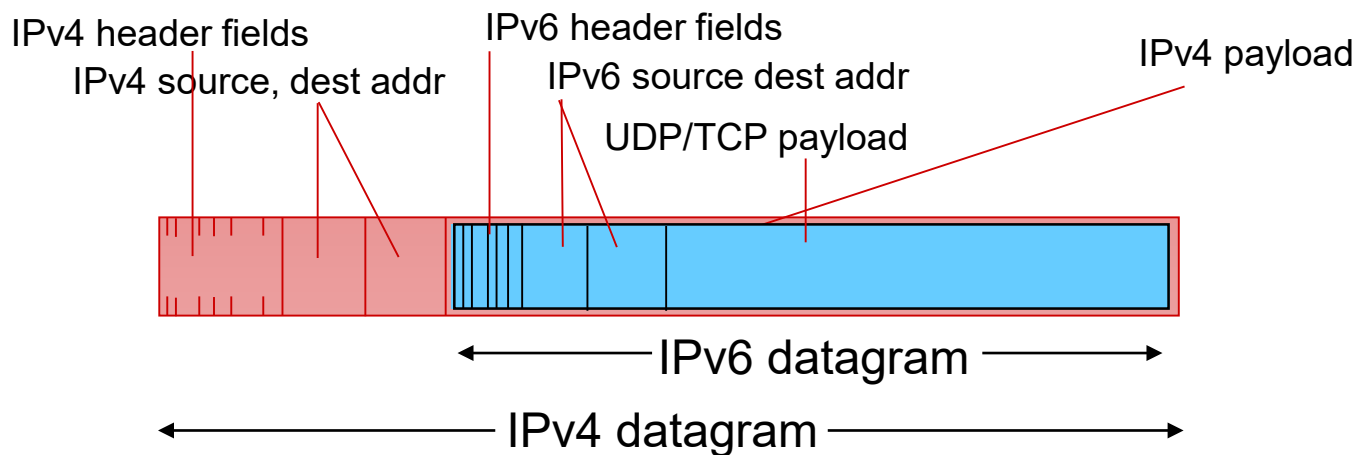
- Next header:
  - identify upper layer protocol for data (e.g. TCP, UDP)
- Hop limit:
  - The contents of this field are decremented by one by each router that forwards the datagram.
  - If the hop limit count reaches zero, the datagram is discarded.
- Source and destination addresses
  - 128 bit-bit address
- Data
  - The payload portion of the IPv6 datagram

# Changes from IPv4

- *no fragmentation/reassembly allowed at intermediate routers:*
  - These operations can be performed only the source and destination.
- *ICMPv6:*
  - new version of ICMP
  - additional message types, e.g. “Packet Too Big”
  - multicast group management functions
- *Header checksum:*
  - removed entirely to reduce processing time at each hop
    - Since the IPv4 header contains a TTL field, the IPv4 header checksum needed to be recomputed at every router; a costly operation
- *options:*
  - No longer a part of the standard IP header.
  - cf. IPv4 option: used for network testing, debugging, security, and more. This field is usually empty.

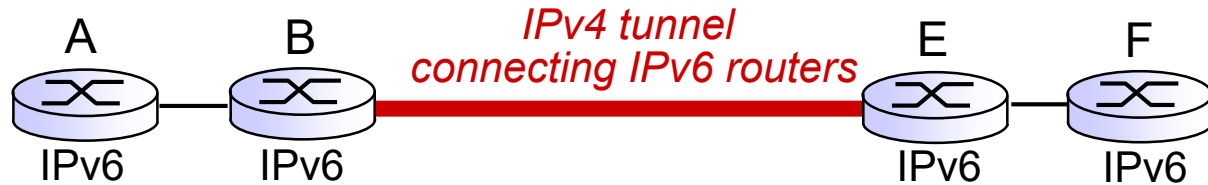
# Transition from IPv4 to IPv6

- not all routers can be upgraded simultaneously
  - no “flag days”
  - how will network operate with mixed IPv4 and IPv6 routers?
- **tunneling**: IPv6 datagram carried as *payload* in IPv4 datagram among IPv4 routers

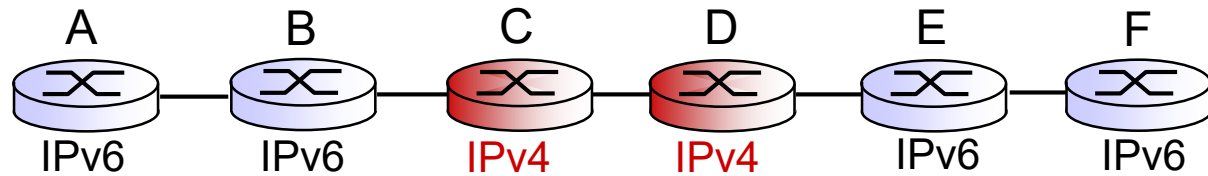


# Tunneling

logical view:

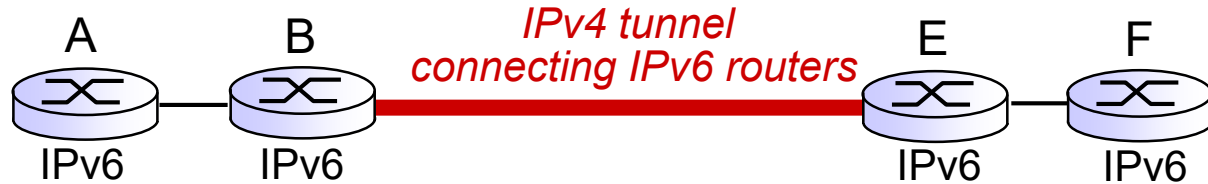


physical view:

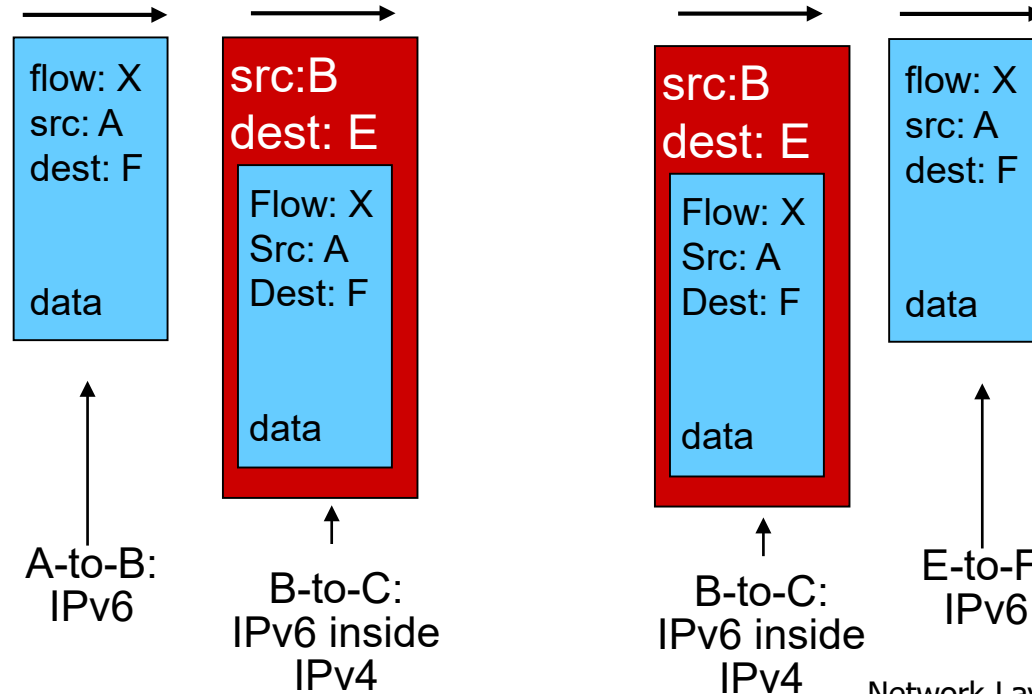
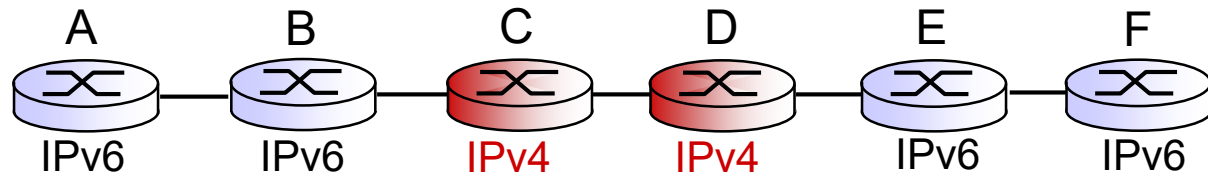


# Tunneling

logical view:



physical view:





# IPv6: adoption

- Google: 8% of clients access services via IPv6
- NIST: 1/3 of all US government domains are IPv6 capable
- *Long (long!) time for deployment, use*
  - 20 years and counting!
  - think of application-level changes in last 20 years: WWW, Facebook, streaming media, Skype, ...
  - *Why?*

# Chapter 4: *done!*

4.1 Overview of Network layer: data plane and control plane

4.2 What's inside a router

4.3 IP: Internet Protocol

- datagram format
- fragmentation
- IPv4 addressing
- NAT
- IPv6