

6CCS3PRJ Final Year Lispish to JavaScript compilation

Final Project Report

Author: Daniel Marian Zurawski

Supervisor: Christian Urban

Student ID: 1015180

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Abstract

To those familiar with JavaScript, there is a widely spread opinion that the language itself although very powerful and extensible, contains many quirks and can be difficult to master. It is, however, present on most (if not all) modern Internet-enabled devices and can be used as a target language for compilers of other languages for the sole purpose of making them portable.

This paper is going to define a new language Lispish, which is going to be a subset language of Clojure, a modern dialect of Lisp. It will also propose a way in which Lispish can be translated to JavaScript.

Originality Avowal

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Daniel Marian Zurawski May 1, 2013

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Chapter 1

Introduction

Invention of high performance JavaScript compilers, such as the Google V8 JavaScript Engine, raised interest in creating programming language compilers that target JavaScript. It enables applications written in other languages, very often higher level languages, to be run on any modern web browser.

1.1 Motivation

There are two categories of motivations behind this project. The first category is strictly theoretical, as it touches upon interesting topics in computer science, such as programming language design and the involved compilation. The second is much more practical and covers the engineering aspects of the project – the design and implementation of a translator in a functional language and the different applications of using a higher level language to simplify program creation. These two categories are outlined in the section below.

1.1.1 Theoretical

This report is going to investigate an implementation of a translator that allows for a programming language shift.

Preceding the implementation of the translator, I will design a small Lispbased language called Lispish and I will investigate how it can be translated to executable JavaScript. Lispish is going to implement a subset of the Clojure programming language.

1.1.2 Practical

From an engineering perspective, Lispish will provide a way to write programs in Lisp that can execute in any modern web browser. Lispish could also allow for simple interaction with the DOM elements of web pages, as long as any arbitrary JavaScript function call can be invoked from within Lispish.

Another practical aspect of this project will also involve exploring how a functional Lisp language can be used for compilation as the implementation language that will be used to implement the compiler will be Clojure – a modern dialect of Lisp running on the JVM.

This project offers a good opportunity to deepen the understanding of functional programming using Lisp and JavaScript and how the later can enable the former to be portable across multiple devices.

As several somewhat similar projects already exist, I will investigate the different solutions and approaches in the background section of the report (section 2.4).

I will also evaluate the complete Lispish translator implementation against the different implementations in the evaluation section of the report 5.3.

1.2 Report Structure

Chapter 2 will provide the background research and rationale behind this project.

Section 2.1 aims to explain the differences between functional and imperative programming paradigms.

Section 2.2 goes into the details of the two main programming languages involved in the project (Clojure and JavaScript) and tries to summarize the differences and similarities between them.

Section 2.3 briefly explains the reasoning for choosing JavaScript as a target

language for the Lispish language.

Section 2.4 introduces the different existing implementations of Lisp to JavaScript compilers.

Section 2.5 briefly describes the ethical and professional issues that need to be taken into account.

Chapter 3 defines the requirements of the project and describes each requirement in detail. It also provide the grammar of Lispish and the evaluation relations using Big-Step semantics. Section 3.3 describes the development methodology undertaken in the project. Section 3.4 describes the main concepts of the translator.

Chapter 4 describes the test suite and showcases the functioning compiler using test cases as examples.

Section 4.3 illustrates how Lispish can be used to write programs that manipulate content of a browser using native JavaScript functions and how Lispish can interact with JavaScript libraries, such as jQuery.

Throughout the paper, I will refer to the program responsible for converting the Lispish source code to Javascript both as compiler and translator. In certain cases, it is more appropriate to refer to the project as a translator, as it builds up on top of the already existing Clojure language and the tools it offers - especially the reader which takes care of parsing the input code to a typed symbols and expressions.

Chapter 2

Background

This section provides throughout background research of the domain of functional programming, Lisp and JavaScript that lead me to the rational behind Lispish design decisions.

It also covers existing implementations of Lisp to JavaScript translators and covers the professional issues that need to be taken into consideration in this project.

2.1 Bridging the gap between functional and imperative paradigm

2.1.1 A Comparison Between the Functional and Imperative Programming Paradigms

Functional programming is a programming paradigm that differs from imperative programming in a way that it focuses solely on evaluating functions, where one input always results in the same output for a given input (referential transparency). In imperative programming, this notion is not always true, as imperative programming focuses a lot more on modifying the state of the application as it runs. To make referential transparency, pure functional languages try to avoid using state and mutability, by ensuring that side effects

that could introduce state changes are not possible.

An example of state is preserving results in variables for later access by other parts of the program. A side effect may result from many different operations such as variable assignments, input or output operations and anything that allows two parts of the program to access the same resource at the same time.

Due to the increase of the demand for parallelisation, as more processing cores are added to modern CPUs, it is therefore essential that the software we write can be parallelised easily and without the risk of errors that could be caused by race conditions or deadlocks - which are all caused by the notion of mutability that is present in imperative languages.

The notion of pure functions may sound very impractical for a general purpose programming language, therefore functional languages used by practitioners such as Clojure allow state, but lexically scoped to its own function. When state is absolutely necessary in order to improve the performance of an application or expose variable to other parts of the program, Clojure allows for so called "atoms", that improve on the classical notion of a variable, as it is still immutable, but instead an atomic swap operation of the content is performed whenever want to override the original state.

The property of immutability is also preserved for data structures, as each time a data structure is modified, a new copy of such structure is retained therefore leaving the old one in tact. This allows for much better parallelisation, as one part of the program may never modify the same data as the other part of the program, which would lead to inconsistent state.

2.2 Programming languages involved

In order to complete this project, it is necessary not only to understand the two different programming paradigms, but also the specific features of each of the languages involved - Clojure and JavaScript. We will use Clojure as an example of a functional language, as Lispish is a subset of Clojure and the

compiler itself is also programmed in Clojure.

2.2.1 Clojure

Clojure [10] is a functional language, which is implemented as a dialect of Lisp and primarily targets the Java Virtual Machine. It can also target Microsoft's Common Language Runtime, which is the virtual machine for the .NET Framework through Clojure's sub-project **clojure-clr** [12]. It also targets JavaScript by means of ClojureScript [13], which is a subset of Clojure that compiles to JavaScript.

Clojure is a powerful abstraction over standard Java, which as of today does not provide lambdas and any of the functional constructs that Clojure does, including immutability and treating code as data.

Lisp

Lisp is amongst one of the worlds oldest family of programming languages, that has developed several dialects since the original Lisp was published in 1958-1960 by John McCarthy [16]. Lisp languages differ from other programming languages by a few original concepts, notably treating code as data, s-expressions, parenthesized Polish prefix notation and lambda expressions.

The exact expansion of the Lisp acronym is List Processing, which has its practical reasons – Lisp source code is written as lists, formally known as S-expressions [18][20].

To illustrate how a valid s-expression would look like compared to an equivalent Java expression, figure 2.1 shows an excerpt from Peter Norvig's A Retrospective on Paradigms of AI Programming [6]. The figure illustrates the comparison of complexity in defining a lambda function in Lisp and in Java. As we can see, Lisp is not only a lot clearer syntactically, but it is also shorter and accomplishes the same goal.

The article also shows an interesting view on the recent advancements of other languages compared to the ancient Lisp and it's a retrospective to the Paradigms of Artificial Intelligence Programming: Case Studies in Common

"[...] Java has anonymous classes, which serve some of the purposes of closures, although in a less versatile way with a more clumsy syntax. In Lisp, we can say (lambda (x) (f (g x))) where in Java we would have to say

```
new UnaryFunction() {
  public Object execute(Object x) {
    return (Cast x).g().f();
  }
}
```

where Cast is the real type of x. This would only work with classes that observe the UnaryFunction interface (which comes from JGL and is not built-in to Java). [...]"

Figure 2.1: Excerpt from Peter Norvig's Paradigms of Artificial Intelligence Programming: Case Studies in Common Lisp. Comparison of a Lisp and Java lambda function declaration.[17]

Lisp [17]. This is Peter Norvig's book about Aritficial Intelligence where all of the examples have been programmed in Common Lisp.

The simplicity and conciseness of Lisp syntax has been the main motivating factor to choose Clojure (being a functional language of the Lisp dialect) as the basis for the Lispish language.

Portability

Due to the fact that Clojure targets the Java Virtual Machine, programs written in this language can be executed in any environment where the Java Runtime Environment is installed by means of executing Clojure programs packaged as JAR files, given that they have been packaged to include Clojure itself.

Clojure programs can co-operate with Java applications due to its great interoperability. They can be imported into Java programs as aforementioned JAR files.

Clojure can also access all of the core Java static classes/methods, making it a very powerful abstraction over Java. It is not only because it's a very portable functional language that works with immutable data structures, but also because it gives access to the wealth of Java libraries and the entire JVM

eco-system.

2.2.2 JavaScript

JavaScript is an interpreted, dynamically typed, prototyped, functional programming language that originated from the ECMAScript language in 1995. It was originally intended as a client side scripting language for web browsers, but it has since evolved to an extent where well-known corporations such as Microsoft support it as a language of choice for deploying web applications on their cloud-service offerings [5]. This is due to its rich support for multiple programming styles, including functional programming. More on this below in section 2.2.2 (JavaScript: Portability).

JavaScript Performance

The creation of the V8 Google JavaScript Engine made JavaScript stand out from other dynamic languages by making it significantly faster than for e.g. Python [1] or Ruby [2].

Due to the fact that Lispish compiles to JavaScript, the generated code can be treated with various optimisation techniques (such as the Google Closure compiler that minimises and optimises the code) by compiling the humanreadable to unreadable but highly optimised JS code.

Portability

JavaScript interpreters are present on majority of consumer devices and are present in all of the modern web browsers. It is the basis of Rich Internet Applications and is now not only present on the front-end of the web browser, but also serves as a language of choice on the server-side.

Most notable examples include Microsoft's adoption of node.js for their cloud platform Windows Azure [5], as the basis for producing highly concurrent web applications. It enables developers to write server-side applications that operate using JavaScript both on the front-end and back-end using a single programming language.

2.2.3 Compiling Lispish Using a Dialect of Lisp

The decision to use Clojure to write a compiler for my Lisp language comes from the fact that there are large advantages to using Lisp to compile Lisp. The nature of Lisp and its s-expressions allow us to build efficient recursive descent parsers that can take advantage of already present functions in our implementation language, Clojure.

There is a number of complexities that we would encounter when trying to implement a Lisp compiler using a non-Lisp imperative language such as C. These include having to determine if a given expression is an s-expression (list) or a symbol, breaking the input down into its atomic form of tokens, building a Parse Tree (ST) or an Annotated Syntax Tree (AST). In our case, our input s-expressions in their prefix notations can be treated as a parse tree and thanks to the in-built functions we can greatly simplify the compiler.

For example, any s-expression can be essentially type-checked using the in-built (symbol?) or (list?) to determine if the given s-expression yields a symbol or a list of expressions. If an input is a list, that means we have encountered another s-expression and each element in the list has to be separately evaluated.

Modern dialects of Lisp, such as Clojure, target the Java Virtual Machine making them very portable and pluggable into an existing Java applications. Other Lisp languages are very often compiled to another target language, such as C or JavaScript that can be then run on a variety of machines. Notable examples of Lisp to C compilers include Stalin [7] (STAtic Language ImplementatioN) and Chicken [3].

2.3 JavaScript as the Target Language for the Lispish Language

The rationale behind selecting JavaScript as the target language is the fact that JavaScript can be executed on almost all Internet-enabled devices.

Our small dialect of Lisp (Lispish) language will allow generating pluggable

JavaScript code. From this follows that applications written in Lispish can be executed in environments where the JVM or Clojure is not present as the generated code will be standard JavaScript. In theory, our language could even be used as a Domain Specific Language (DSL) for JavaScript applications, as long as the code would be evaluated by our compiler in a Clojure JVM environment.

JavaScript offers a great opportunity as a target language for any highlevel programming language primarily due to two reasons - its portability and performance.

2.3.1 Similarities

Similarly to other Lisp languages, JavaScript supports the functional paradigm and provides full support for lambda expressions. It also provides a great deal of flexibility with its support for prototype-based object-orientation which could be compared to Lisp macros used for extending the language.

```
// Attach event listener to the argument
var assign-event-listener = function(x) {
   x.addEventListener("load", function() {
      alert("All done");
   }, false)
};
```

Figure 2.2: JavaScript event listener function

Figure 2.2 illustrates a stored function that takes a reference to a web browser's window as an argument and attaches an event listener to it. The listener then takes two arguments, a string describing the event (here "load") and the callback function (here an anonymous function that displays an alert "All done") that gets displayed when the desired event is triggered.

Similarly, the equivalent expressions written in Lisp could look like on figure 2.3, which is arguably a lot more readable. Nonetheless, both expressions look alike.

Both expressions make use of nested functions and thus, take advantage of lambda calculus.

```
(defn assign-event-listener [window]
  "Attach event listener to the argument"
  (addEventListener
      window
      "load"
      (fn [x] (alert "All done"))))
```

Figure 2.3: Possible Lisp equivalent of event listener function

As lambda functions are inherent to programming applications in a functional language, the design and implementation of the translator will make an extensive use of lambda functions.

2.4 Existing Lisp to JS Compilers

There exists a number of similar projects, each of which tries to solve the problem in a slightly different way. Nevertheless, there exists only one mature compiler that provides a complete support of all of its source language constructs, which is ClojureScript.

2.4.1 ClojureScript

ClojureScript [13] is a Clojure to JavaScript compiler that generates code that can be executed in the browser. Although there are examples of companies using ClojureScript for their production applications, it is difficult to operate as it requires to execute a chain of operations, including starting a JavaScript program before the Clojure code can be compiled.

ClojureScript also takes the idea further and utilises the Google Closure compiler to optimise the code but this approach suffers from the possibility of breaking the JavaScript code that was compiled from Clojure. However, the ClojureScript project page claims, that the JavaScript generated is compatible with the advanced mode of the Google Closure compiler.

2.4.2 Outlet

Outlet [15] is a Lisp-like programming language that compiles to JavaScript. Its compilation is interesting in that the compiler itself is written in Outlet, only after it is bootstrapped by a JavaScript interpreter. The bootstrapping interpreter is implemented using grammar rules similar to Backus-Naur Form context-free grammars [9], thus ensuring that the initial interpretation of the main constructs is correct. This approach provides a solid foundation for then implementing rest of the constructs of the Outlet language, in Outlet.

Outlet does not provide the ability to define macros, thus there is no way to dynamically extend the language without modifying the compilers source, which is a big feature of a Lisp language.

2.4.3 LiScript

LiScript [8] is yet another Lisp language that compiles to JavaScript. It supports roughly 20 forms, 13 of which replace the regular binary and arithmetic operations of >, < etc. and the remaining 7 are forms such as if, anonymous function fn and iterating constructs such as iter and while.

LiScript is implemented in JavaScript and it is surprisingly lightweight. The entire implementation is around 100 lines of code. Nonetheless, it can generate a readable and, most importantly, executable JavaScript code.

LiScript allows defining new language constructs by means of macros, a special form defmacro. This allows for building new forms from arbitrary strings, as input to the defmacro form first modifies the code and only then evaluates it.

2.4.4 clojurejs

ClojureJS [14] is a small subset of Clojure to JavaScript compiler. ClojureJS takes on an approach different to the preceding implementations, as the compiler is written in Clojure. It is a hand-written recursive descent parser that requires a running Clojure environment in order to evaluate the input source

code.

ClojureJS is perhaps the second best implementation of Clojure to JavaScript compilation, with its support for macros and a lot larger subset of Clojure than, for instance, LiScript. It is, arguably, a non extensible implementation of a translator due to its not strictly idiomatic code base. From usability perspective, it does not provide any ease-of-use features, such as being able to generate an output .js file out of a given source input.

2.5 Professional and ethical issues

Throughout the report, I will make sure that I am not violating the British Computer Society Code of Conduct and Code of Good Practice and that I have applied their principles throughout the project.

All possible efforts shall be made to properly state and reference communicating someone else's ideas.

Chapter 3

Design & Specification

As previously described, the project aims to create an extensible Lispish to JavaScript compiler. In the section below, I will briefly discuss the requirements that have been identified and set for the project.

3.1 Requirements

The main requirements of the project have been listed below:

3.1.1 Formal definitions of the Lispish grammar rules and evaluation relations

In order to clearly define the possible constructs for writing programs in Lispish, we need to formalise our input language. As Lispish defines a subset of an existing language, it is therefore even more important to be clear on what is possible and what is not. Thus, we provide the formal definitions of the language by producing the grammar rules, as well as the big-step semantic evaluations.

3.1.2 Implementation of the Lispish to JavaScript translator

For Lispish to be practically useful, we aim to translate it to a language that can be executed on most of the modern devices. Therefore, JavaScript has been selected as the target language for the compiler and a recursive descent translator will be implemented.

3.1.3 Test Coverage for Regression Testing

As the compiler grows in size, is it crucial that regression testing is performed. Writing tests for the compiler as it is developed will ensure that adding support for new language constructs will not break existing features.

3.1.4 Command Line Interface

The compiler needs to provide means for entering Lispish source code in a userfriendly manner. These could include processing data from standard input, accepting data from command line arguments and reading files.

Analogously, means of output need to be provided and could include writing to files or sending data to standard error (diagnostic messages) and output (code).

3.2 Designing the Lispish language

Lispish is a dynamically typed, functional language that implements a call-byvalue strategy just as its superset Clojure.

The formal description of Lispish behaviour will be described using transition systems.

3.2.1 Grammar of Lispish

Figure 3.1 contains the Lispish grammar. The grammar of the language formally defines the legal operators and operations that the language provides

Figure 3.1: Lispish grammar

List

for writing programs in Lispish.

::=

()

3.2.2 Evaluation relations (Big-Step Semantics)

Figure 3.2 describes the evaluation relations of Lispish. These relations therefore describe the constructs that can be used when writing Lispish programs. They, however, do not relate to the evaluation relations of the generated JavaScript code.

$$bop \frac{E_1, s \downarrow b_1, s' E_2, s' \downarrow b_2, s''}{(bop E_1 E_2) \downarrow b, s'', if (= b (bop b_1 b_2))}$$

$$op \frac{E_1, s \downarrow n_1, s' E_2, s' \downarrow n_2, s''}{(op E_1 E_2) \downarrow b, s'', if (= b (op n_1 n_2))}$$

Atomic:

String
$$s \downarrow s$$

Integer $n \downarrow n$

List $n \downarrow v$

Forms (F):

Recur emits all of its arguments and provides it as arguments to JavaScript arguments.callee(args*) function.

The (s) form that uses a string as a function, allows for invoking named function recursively, as well as any in-built JavaScript functions and library (e.g. jQuery) functions, as described in 4.3.

Figure 3.2: Lispish evaluation relations (Big-Step Semantics)

3.3 Development methodology

In order to streamline the process of developing the compiler, I have decided to use the Test Driven Development (TDD) methodology that emphasizes building small units of functionalities that can be individually tested by unit tests.

Clojure allows developers to create programs using the REPL (Read Evaluation Print Loop), which is a characteristic feature in modern dynamic programming languages. It allows you to write your functions, evaluate them and get an instant result from an interpreter that interacts with your code. This reduces the amount of unit tests that have to be implemented for trivial functions in a TDD project.

A REPL is a great resource not only for the rapid development and function prototyping, but also ensuring that each functions evalutes to the correct result before it becomes part of the compiler.

3.4 Compiling Lispish to JavaScript

3.4.1 Compilation Pipeline

The compiler in its simplest form will perform a one-to-one translation from Lispish to JavaScript.

The input text will be treated by the Clojure reader for the purpose of converting into a Clojure data structure. The compiler will then pass it down the pipeline to its respective emitters as illustrated in figure 3.4.1. Code will be treated as data and each of the nested s-expressions shall be treated as a separate node in a parse tree.

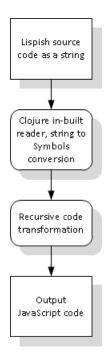


Figure 3.3: Abstract compilation of Lispish to JavaScript.

3.4.2 Clojure Homoiconicity and clojure.reader as a Parser

Clojure is a homoiconic language, meaning that the code itself is described in terms of data structures that the language understands (s-expression forms, lists and in-built data structures). It comes bundled with a Clojure reader [11] that can parse the text source file into objects of specific Clojure type. Those objects are essentially Clojure data structures that then are treated by the Clojure compiler and similarly in case of Lispish will be treated by the translator program, to generate corresponding JavaScript code.

As pointed out in the referenced [11] section of the Clojure documentation, the Clojure reader is represented by the (reader) function, that takes text as an input and produces the object represented by that text. This is also the entry point of our translator, as illustrated on 3.4.1 as the entry block in the flowchart. The translator will then generate different JavaScript constructs based on the type of the object that it comes across when recursively evaluating the type of each nested expression.

Chapter 4

Implementation and

Testing

Following the formal definition of the Lispish language and briefly describing the operations of the compiler, we shall dive into its construction. Along the way, I will explain the concepts behind most of the mechanics of the compiler.

In the following sections, we will also test the implementation by means of examples of an actual compilation. At the end, we will look into automating the tests by using a Clojure testing API.

4.1 Building the Compiler

This section will describe the operations of the compiler and the fundamental concepts behind how the compiler translates the input Lispish code to JavaScript.

4.1.1 Compiler Architecture

A common issue with compiler design is deciding whether the compiler should be a single or multi-pass.

Depending on the circumstances, both approaches can be appropriate and a decision has to be made, as to which approach should be taken. The main advantage of the single pass solution is lower complexity, as the code only goes through a single processing phase. However, there are certain language features which are almost impossible to implement in a single pass, as they require knowing the entire source in advance.

Another reason for choosing a multi-pass approach is the fact that the functionalities relevant to the different aspects of the compilation process can be divided into separate phases, which makes the transformation more extensible. This is due to the fact that introducing new features (such as various optimisations) into the compiler boils down to creating a new phase and does not necessarily require changes to the existing pieces.

After prototyping the initial implementation, the potential benefits of the multi-pass approach did not justify the additional complexity that would have to be introduced. This is because Lispish in its current form does not have features that would require several processing stages. Notwithstanding that, it is reasonable to expect that a demand or need for new features, requiring multiple passes, would arise. Thus, the compiler was designed with this in mind and could easily serve in its current form as the final output-emitting phase even if preceding stages were to be introduced.

4.1.2 Abstract Structural Binding

Abstract Structural Binding allows for de-structuring any data structure to a corresponding argument in function parameters or a let form, creating locally scoped bindings. For example, if we define a let as follows:

```
(let [[x1 x2] [1 2]])
```

x1 will yield 1 and x2 will yield 2. The same principle is true for a function. If our function accepts one parameter which is a collection:

```
(defn test [[x1 x2]] (println x1 x2))
(test [1])
```

and it binds the first two elements of the collection to x1 x2, in the above case, x1 will yield 1 and x2 null.

Lispish uses de-structuring for generating all of its forms. Take for instance the signature of a (emit-defn) function responsible for expanding and generating the equivalent JavaScript named function code:

```
(defn emit-defn [type [defn name [arg & more] & rest]] )
```

In order to split the provided input source code (defn) form into its respective elements, the performs a structural binding of the function arguments.

The bindings are then used to generate the equivalent JavaScript code.

As we can see, the function takes 4 arguments and 2 optional tail arguments that can be a list of an arbitrary length. The type argument is simply a convenience placeholder for the head of the whole expression. The actual expression begins to bind from the [defn name [arg & more] & rest] arguments.

```
(defn emit-defn [type [defn name [arg & more] & rest]]
    (str "function " (if (= "~" name) "" name) "("

    ;; Output the argument names
        (if (nil? more) arg (str arg ", " (clojure.string/join ", " more)))
        ") {return "

    ;; Emit body of the function
        (emit rest)

"}")))
```

Figure 4.1: Emit-defn function

Figure 4.1 depicts the body of the (emit-defn) function and how the bindings acquired upon the function call are used to then generate the corresponding JavaScript quite. At first, we are checking if the name binding is a character, which is a special case meaning that the function is anonymous (we are passing it from the emit-fn with the rest of the expression, to decrease code count) and an empty string in place of the function name needs to be generated. The function then outputs the argument names. In the case when there are multiple arguments, it will output argument strings with the arguments separated by commas. At the end it emits the body (emit rest) of

the function.

The optional more in the arguments list allows for an arbitrary length of the function arguments and the optional rest is for the expression that follows the named function.

4.1.3 Recursive Expansion

The main idea behind the Lispish compiler's implementation is recursive expansion. The compiler breaks down each s-expression that it comes across into its primitives until there is no more work to be done. It then builds up the result in layers as the recursion folds upwards.

Figure 4.2 presents a flow chart of the compiler's execution. It covers most of the operations of the compiler, except for the details on how multi-arity s-expressions are handled.

To illustrate how in practice the recursive expansion is performed, lets consider how a single form gets expanded and how its equivalent JavaScript code is generated.

Figure 4.3 is the top level function of the recursive translator. It is responsible for determining the s-expression's type. This is possible as whenever the translator gets executed and a source file is provided as its input, the Clojure Reader is used to read the input source and as a result, it outputs the code as data, and more precisely, as lists. These lists can be then checked for type, as the Clojure Reader is responsible for parsing and giving each symbol its corresponding type.

As shown in the 4.2 flowchart, there are indeed two cases for the emit function. The argument is either a list and it therefore needs to be expanded or it is one of the generic types, e.g. Integer or String and therefore needs to be outputted as a string already at this point.

4.1.4 Forms with multiple arity

In order to solve the multiple arity problem, where for instance a (cond) form can take multiple condition/true-form expression tuples and each one of

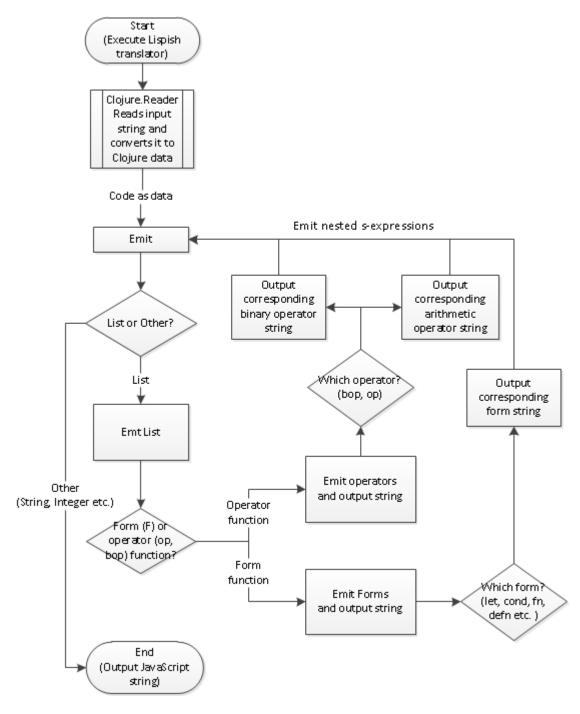


Figure 4.2: Flow chart of Lispish to JavaScript compilation.

Figure 4.3: Top level function of the recursive expansion

them has to be compiled to a JavaScript string, map and reduce constructs have been used.

Map

The idea behind the map operation is to apply a function that takes one argument, to all of the elements in a collection and return a new collection with results of each application of the aforementioned function. A simple example of Map is

```
(map (fn [x] (+ x 1))
[0 1 2 3 4 5])
that yields
[1 2 3 4 5 6]
as a result.
```

Reduce

Reduce is a function that takes a function, an optional value (or an s-expression) and a collection as an argument. It reduces or in other words folds a given collection (and an optional value) through the application of a function to a collection, to a single result.

```
(reduce
str
1
[1 2 3])
that yields
```

as a result. The collection of numbers has been reduced to a string, as each number was converted to a string and then a string of the collection has been produced. If we would to map a str function over the collection of [1 2 3], it would result in a new collection containing all of the elements of the old collection converted to a string, namely the list ("1" "2" "3").

To now put the map reduce constructs into perspective with Lispish, figure 4.4 illustrates how a multiple arity cond (allowing practically unbound list of tests) is implemented.

```
(defn emit-cond [head [name & rest]]
  (let [rev (reverse (partition 2 rest))]
    (reduce
      (fn [a b] (str "(" (emit (first b)) "?" (emit (second b)) ":" a ")"))
            (str (emit (second (first rev))))
            (drop 1 rev))))
```

Figure 4.4: emit-cond source code

Given an arbitrary number of (test) result tuples for the input (cond), the (emit-cond) form first partitions the input into test and expression tuples, then reverses the tuples, so that the originally last one appears at the front, allowing us to perform a right reduce (right fold) and then binds it to a local rev variable. For example, if (emit-cond) is invoked with the following arguments:

```
(< 5 2) false (> 3 2) true :else false
```

the content of the locally scoped rev will be

```
((:else false) ((> 3 2) true) ((< 5 2) false))
```

The reduce function then applies the anonymous function to the first value, which is the result of (str (emit (second (first rev)))), which in this example happens to be the false symbol, as it is grabbed from the first tuple (:else false) as the second element. Reduce is then applied to the second, third etc. element of the collection, in this case the ((> 3 2) true) ((< 5 2) false), whilst the overall result is accumulated in a.

Figure 4.5: Reduction of a cond with multiple arguments

Figure 4.5 presents a table of how each reduction step is performed in terms of the two arguments of the function passed to reduce. Variable a accumulates the overall result, whilst b is the current element of the (cond) that is being converted to JavaScript ternary expression.

4.1.5 Implementing (recur) with JavaScript's arguments.callee

One of the peculiar implementation decisions was to implement the (recur) form in terms of an arguments.callee invocation from within the JavaScript. This implementation allows us to generate a JavaScript recursive invocation call whenever the (recur) form has been used. It is true, however, that the arguments.callee is disallowed in JavaScript strict mode and the EC-MAScript specifications as it impacts how much the JavaScript compiler can optimise the JavaScript code. When arguments.callee is used, the compiler cannot perform tail-recursive optimisations to reduce the overheads of normal recursive calls.

At the same time there are certain advantages when deciding to use arguments.callee in case of Lispish. Most importantly, invoking a recursive call to an anonymous function is not possible in JavaScript, unless the arguments.callee is used. When a function that needs to evaluate to a value needs to be recursively

called, it needs to be named. However, Lispish allows for anonymous functions that can be invoked recursively.

To implement recur otherwise, the higher level s-expression function name would have to be passed to the function responsible for emitting the (recur) form, or a multi-pass compilation, which would in-line the function name wherever a recur is present, would have to be performed. In both cases, the translator implementation would increase in its complexity.

Another argument for using the arguments.callee call, is that in the initial research conducted, it seems that in some older JavaScript versions, it was not possible to invoke a function by its name from within a ternary expression of the form (3<x ? true : someFunction(x)).

Lispish allows for using either (recur) as syntactic sugar for the straight (someFunction) function name invocation, therefore if the arguments.callee cannot be used due to performance reasons or wanting to comply with the EC-MAScript standard for validations, a normal function invocation can be used.

4.2 Testing

The previous section described the operations that are part of the compilation, but they did not provide any examples of an actual compilation. In this section we will take a look at some examples of how our Lispsh to JavaScript compiler works.

To illustrate the compilation, I will demonstrate the output of the recursive expansion that the compiler performs on the given Lispish program string. Each line of the compilation trace will correspond to a level in the recursion. The recursive folding will be done implicitly, therefore it does not appear in the compilation traces.

The examples are invoked from the interactive REPL, but later in this section I will illustrate how Lispish translator can be used as a standalone Java JAR file, that takes Lispish (.lispish) source file as an input and produces an equivalent JavaScript code in another file (.js).

Let's begin our tests by a simple nested arithmetic expression, illustrated in figure 4.6.

```
lispish.core> (lisp-to-js "(+ 2 (* 3 4))")
(+ 2 (* 3 4))
Emit Lispish: (+ 2 (* 3 4))
Emit-list head: + , tail: (2 (* 3 4))
Emit-op, head: + , tail: (2 (* 3 4))
Emit Lispish: 2
Emit Lispish: (* 3 4)
Emit-list head: * , tail: (3 4)
Emit-op, head: * , tail: (3 4)
Emit Lispish: 3
Emit Lispish: 4
"(2+(3*4))"
```

Figure 4.6: Emit simple arithmetics example

As we can see from the recursive trace shown in figure 4.6, our recursion begins with passing the Lispish source code to the initial (emit) form, which then begins the recursion. At first, our s-expression is of the form (+ 2 (* 3 4)), which as a whole is a list (an s-expression). This means the compiler has to expand the list and emit each individual expression within it. It begins by evaluating the head of the list, which happens to be an op operator, in this case the + sign. Therefore, it passes the head of the previous s-expression (the + sign), as well as the remaining part of the expression (2 (* 3 4)) to emit-op. Emit-op outputs the corresponding JavaScript by first mapping the top-level recursion emit function to each element inside of the tail list (2 (* 3 4)) which reaches the bottom of the recursion in one step for the first (2) and in multiple steps for the second (* 3 4) as it again invokes the same recursive steps and reduces the second list to a string. It then reduces the result of both to a final string concatenated with the two operators as follows "(2+(3*4)), producing an equivalent JavaScript code.

The same procedure is repeated for all of the op, as well as bop type of expressions.

In order to test the usability of the translator, we need to test it with more complex examples of programs that could be written in Lispish, given its grammar and the forms that it supports.

Figure 4.7: Lispish naive primality checking source file

The program listed in figure 4.7 is an implementation of a naive primality checking written in Lispish.

```
function is_prime(num) {return (function(prime_over_two) {
  return ((num<2)?false:((2==num)?true:((0==(num%2))?false:
  prime_over_two(num, 3)))) })(function (num, factor) {
  return ((factor>Math.sqrt((num))) ? (true):
  (((0==(num%factor)) ? (false):(arguments.callee(num, (2+factor))))))))})
```

Figure 4.8: Naive primality testing Lispish to JavaScript output

The output code (presented in figure 4.8 should be parsable by a JavaScript interpreter (provided that the input Lispish code was valid). To test this, we will use the Google Chrome JavaScript console.

Figure 4.9 shows the testing of the naive primality test program as it is fed into the Google Chrome's JavaScript console. At the moment of pasting the translator's output to the console, the console yields undefined, meaning that a function has been successfully parsed and defined as is_prime(num).

The is_prime function is then tested for the first three natural numbers: 1, 2, 3. 1 evaluate to false, whereas the first two prime numbers, 2 and 3 evaluate to true. We then test the prime 997, which also correctly evaluates to true and 1024 which correctly evaluates to false. As this paper does not intend to prove the correctness of the results of the JavaScript programs that

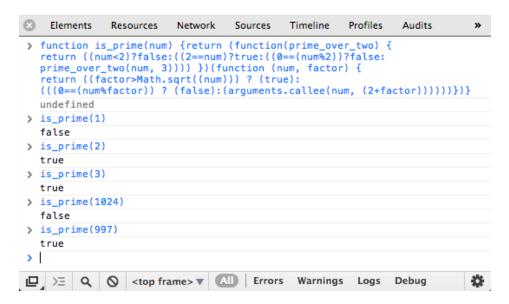


Figure 4.9: Compiled Lispish program for naive primality checking, being tested in Google Chrome JavaScript console.

the users of the translator writes, we can therefore conclude this test by saying that the translator produced correct JavaScript code.

4.2.1 Deploying and Using Lispish

The end goal of this project was to be able to compile a source Lispish program to an equivalent JavaScript program. It is, however, not ideal to have to perform compilation in an interactive REPL, where Clojure environment is set up.

To solve this problem, the Lispish compiler is compiled as a standalone JAR file that can be executed in any environment equipped with the Java Runtime Environment. This is possible as the JAR file bundles the Clojure language itself, as well as all of its dependencies and our Lispish compiler. It exposes the application through a simple static main method, which serves as an entry point to programs execution, similarly to standard Java applications.

There are three simple ways to compile a Lispish program to JavaScript.

The first method is to execute the Lispish jar file and provide simple source code as a command line argument.

Code as an Argument

```
sh$ java -jar lispish-1.0.jar "(+ 2 2)"
Emit Lispish: (+ 2 2)
Emit-list head: + , tail: (2 2)
Emit-op, head: + , tail: (2 2)
Emit Lispish: 2
Emit Lispish: 2
(2+2)
```

Given as an input a prefix s-expression of (+ 2 2), the program yields an expected result, which is an equivalent to the infix (2+2).

This approach is fine for trivial examples that do not span across multiple lines. It is, however, not optimal when we want to compile a more complex Lispish program. It, therefore, only supports compiling programs made of a single s-expression like in the example above, meaning it will not compile two defn forms or two separate s-expressions that are not part of the same list.

Source (Lispish) and Destination (JavaScript) File as Argument

In order to compile a Lispish source file to an equivalent JavaScript source file, our compiler accepts two command line options:

```
["-in" "--input" "REQUIRED: Path to Lispish source code."]
["-out" "--output" "OPTIONAL: Path to JavaScript output file."]
```

-in or equivalently --input, that should be followed with a path to a Lispish source file, as well as an optional -out or equivalently --output, that should be followed with the name of the output source file.

Performing compilation of one source file to another is presented in figure 4.7. It shows the test.lispish file containing the same example of naive primality checking program written in Lispish, used in the implementation section.

We can then execute the compiler passing in the --input and --output arguments, as follows.

sh\$ java -jar lispish-1.0.jar --input test.lispish --out test.js

The --input argument specifies the path to the test.lispish file and the --output argument is the path of the file to be generated. The compiler will print out all of the computation steps to the console, but the final result, that is, the JavaScript output, will be written to the file specified in the --output path.

Figure 4.8 presents the contents of the generated test.js file with the JavaScript equivalent of the previously shown Lispish, naive primality testing, program.

I have purposely omitted the recursive trace of the compilation, as the recursion is invoked multiple times and it produces a very long output. This recursive trace can be found in Appendix A. It gives a very good idea of how a more complex program gets translated by outputting each of the forms as a string, also providing the name of the function responsible for translating it.

As we can see, the Google Chrome web browser's console can evaluate the function and when executed with appropriate parameters, it yields correct results.

4.2.2 Automating Tests with the clojure.test API

In order to maintain the correctness of the compiler as it is expanded, regression tests are performed whenever a new language construct is added. The tool I used to support me in the task of TDD was the clojure.test API. The clojure.test API[19] is a unit testing framework that provides a set of in-built forms, particularly the (is) macro that allows to perform boolean assertions on arbitrary expressions.

```
(deftest factorial-example
  (is (=
          "function factorial(n) {return
```

```
((n<2) ? (1):((n*factorial(((n-1))))))}"
(lisp-to-js
  "(defn factorial [n]
        (if (< n 2) 1 (* n (factorial (- n 1)))))"))))</pre>
```

The above snippet is just one out of many tests included in Appendix B.

4.2.3 Writing Lispish that Interacts with JavaScript Functions

As a consequence of Lispish's design, the language allows for forms that begin with an arbitrary string as a function name. During the translation, the expression with an arbitrary string as a function name is translated to a JavaScript function call with the same name. This allows the user of the language to use JavaScript built-in function names in their Lispish source code. This has been illustrated in figure 4.7, where at some point of the computation, the Math.sqrt has been invoked.

```
(defn emit-call [head [name args & rest]]
  (println "Emit-call, name: " name ", args: " args ", rest: " rest)
  (str name "("
        (if (nil? rest)
            (str "(" (emit args) ")")
        (str (str (emit args)) ", "
            (clojure.string/join ", " (map emit rest)))) ")"))
```

Figure 4.10: emit-call source code

Figure 4.4 presents the emit-call function that is responsible for generating JavaScript code for recursive calls, as well as functions with arbitrary names, used for interacting with the browser and in-built JavaScript functions. As with the function responsible for generating code for JavaScript functions, it concatenates the optional function name, used here for invoking in-built JavaScript functions, with the emitted arguments to that function. In case if a Lispish form begins with a recursive form (recur), the JavaScript arguments.callee is passed as a function name to the above emit-call. When generated, it outputs JS of the form of arguments.callee(x, y, z),

which tells the JS interpreter to recursively invoke the function with arguments x, y, z.

At first, the emit-call might seem like a flaw, but it is a powerful feature, as it allows for invoking JavaScript functions responsible for interacting with the browser.

4.3 Writing Lispish Programs Using jQuery Functions to Interact with the Browser's Document Object Model (DOM)

The in-built JavaScript constructs that provide an interface for manipulating content of the Document Object Model are inherently imperative. For example, modifying a content of a <div id=content>test</div> element requires us to use an assignment operator that will modify the state of the DOM.

```
document.getElementById("test").innerHTML = "some text";
```

The JavaScript that is generated out of Lispish does not allow for imperative assignments, as an assignment is done by means of an an argument passed to an anonymous function, which binds to the functions argument, as in the following example:

```
(function(element) { element.innerHTML("some text") })(".test")
```

This code would fail in JavaScript, as the .innerHTML is an object's property, and not a function. Lispish being a non object-oriented, functional language, does not provide this functionality and therefore for it to work, innerHTML would have to be a function.

Conincidentally, there exist JavaScript libraries, that are in fact very popular and provide wrappers over the standard JavaScript constructs. An example of such library is the widely popular jQuery[4]. One of the many function-

alities that jQuery provides, is the possibility to modify content of a DOM element by passing the new content to a function. Similarly to the standard JS .innerHTML property, we can use jQuery's .html() function, that takes the new content as an argument and performs the DOM update internally.

```
(function(x) { return x.html(("test")) })($((".someDiv")))
```

The above code snippet has been generated from the following Lispish code:

```
(let [x ($(".someDiv"))] (x.html "test"))
```

The JavaScript functions takes a jQuery \$(".someDiv") DOM node object and passes it as an argument to the preceding anonymous function. It then invokes the .html() function with the text test as an argument, which replaces the content of the DIV with the text.

Chapter 5

Evaluation

The implementation of the Lispish to JavaScript translation was supposed to serve as an example of how a programming language translator can be implemented using a functional language.

The parsing of the language is greatly simplified and inherently free of error, as the translator takes an advantage of the inherent feature of the Clojure language, which is its homoiconity and the Clojure reader that is responsible for parsing the input source files and producing the corresponding objects, as described in the design section of the report.

5.1 Specification Requirements

Sections below summarise whether the goals of the projects outlined in section 3.1 have been met:

5.1.1 Formal Definitions of the Lispish Grammar Rules and Evaluation Relations

Chapter 3 provided well-defined descriptions of the Lispish grammar and its evaluation relations. The rest of the implementation remained true to these formal definitions of Lispish and it provided the facility to generate the equivalent JavaScript code.

5.1.2 Implementation of the Lispish to JavaScript Translator

As showcased throughout the Implementation chapter of the report, the translator has been completed with a great success, as it is capable of translating non-trivial Lispish programs into its equivalent JS code that successfully executed. Partially by coincidence, it also allows for manipulating DOM documents, which makes for a great additional feature.

5.1.3 Test Coverage for Regression Testing

Appendix B provides a set of unit tests that include multiple real applications of our translator by translating small Lispish programs including Fibonacci sequences, factorial, Ackermann and naive primality checking to its equivalent JavaScript programs, as well as smaller tests that check the correctness of single forms.

The unit tests included in the above mentioned appendix all successfully pass.

5.1.4 Command Line Interface

The requirement of providing a CLI has been successfully met. Implementation allows for feeding the input source files as a CLI argument or alternatively by providing the --input and --output paths to file. Deploying and using Lispish has been discussed in section 4.2.1.

As a result of design decisions, Lispish is not strictly a subset of Clojure, as there is a small number of cases, where certain form inputs are not allowed. These exceptions include:

Lispish/Clojure inconsistencies:

- The (let) form only supports 1 argument, but it can be anything, including an anonymous function just like in strict Clojure.
- Strict Clojure allows for (cond) with an optional :else argument at the end. Due to a design decision, :else is strictly required.

The JavaScript code that is generated by the translator does not provide any optimisations and it makes a heavy use of recursion, which is of course not optimal for any real applications.

It is not certain how severely this implementation affects the performance of the translated code, as the JavaScript V8 compiler could, in theory, optimise all of the arguments.callee recursive calls using tail recursion. This paper does not provide any benchmarks as to how the generated code performs compared to code for programs that would be hand written in JavaScript.

The grammar of Lispish allows for identifier names separated with the – dash symbol, which after translation, results in an invalid JavaScript, as the dash character cannot be used as part of an identifier in JavaScript.

5.2 Missing parts

5.2.1 Error handling

The compiler does not provide any facility for error reporting during the compilation.

The compiler does not have any means of validating the JavaScript code. This could be incorporated by means of bundling a JavaScript validator that could simply analyse the code before it is saved to an output file. This was, however, not part of the initial design and due to time constraints has not been implemented.

The biggest issue with the compiler is that it does not actually parse the input string before the compilation is performed. This caveat removes the possibility of determining if the input source, that is Lispish, is actually valid. Providing an invalid Lispish source code would still result in a JavaScript output, but the generated code would be malformed and would not execute in a browser. This is both true for semantical, as well as syntactical errors.

5.3 Evaluating Lispish against other Lisp-to-JavaScript Compilers

5.3.1 ClojureScript

Lispish does not try to compete with other compilers such as ClojureScript (as they are community-driven, mature and production ready) that not only provide a much larger language support, but also the very crucial optimisations that a toy implementation such as Lispish does not provide. In its current version,

ClojureScript does not define a subset of Clojure that it can compile, therefore it is implied that it provides a full language coverage. In contrast, Lispish defines limited language constructs that can be used to build simple programs and therefore, it is nowhere as powerful as ClojureScript.

5.3.2 Outlet

Outlet just like LiScript defines its own language that then gets translated to its equivalent JavaScript. However, it does not provide a formal definition of the allowed forms, nor the evaluation relations. Lispish provides both—the big-step evaluation relations and grammar definition are in the design & specification section 3.2.

In contrast with Outlet, Lispish takes advantage of the popular Clojure language and proposes translating a small subset of the language to JavaScript.Outlet defines it's own original Lisp-like syntax that must be understood by a Clojure or Lisp programmer, before even a trivial program can be implemented. One of the advantages of Outlet is that it allows the programmer to extend the language using macro functions, whereas Lispish does not provide macros and thus has a much lower flexibility compared to Outlet.

5.3.3 LiScript

LiScript, similarly to Lispish, takes an advantage of JavaScripts functional nature and as quoted from its project page "it does not try to make it a completely different language - which is the root of many common problems such as messy generated code, overheads, painful compilation and hard debugging - all of which compensate for the theoretical benefits." [8].

Similarly to Outlet it does not take an advantage of an existing Lisp language and instead, it defines its own Lisp-like language.

5.3.4 ClojureJS

ClojureJS proposes an approach that differs from all of the above mentioned languages, except for the vaguely similar in its nature ClojureScript. Similarly to ClojureScript, the ClojureJS generates a more imperative JavaScript and provides a better layer of interaction over JavaScript, allowing for imperative constructs such as loops. Due to its more imperative nature, all of ClojureJS forms have an implicit return, which as identified during the implementation of Lispish causes serious problems when defining programs with nested expressions.

In retrospect, Lispish has been designed to provide a solid, but restricted amount of functionalities, that have been all formally documented and it accomplishes its goal.

Chapter 6

Conclusions and Future

Work

Functional compilers provide an elegant alternative to compilers written in imperative languages. Nevertheless, it is not trivial to implement one given the relative unpopularity of functional programs.

The translator in its current state could be a good foundation for initiating a collaboration with the open source community that could have interest in extending it.

It was not in the scope of this project to provide any formal proofs of the correctness of the translations. This, however, would be a very achievable target, mainly due the functional properties of the compiler – namely the one-input/one-output property. Providing formal proofs for the correctness of the translator and even further, of the generated translations could be a good project for a Master's Thesis.

6.1 Future work

6.1.1 Macros

It is typical for Lisp languages to provide a way to define new constructs in terms of already existing language constructs. For this to happen, a language needs to support macros which provide a way to extend the language at compile time. Due to time constraints, I was unable to perform sufficient research into how to provide the flexibility of macros, while still being able to parse the code correctly.

6.1.2 Parser

In order for the Lispish translator to be a true compiler, it would need a parser that can decide whether the input string, that is the Lispish program, is in fact valid. As mentioned in the previous chapter, the current compiler does not provide any error detection facilities and this therefore, would be the first step to proper error handling.

6.1.3 Supporting a Greater Subset of Clojure

Lispish implements a fair subset of Clojure. Adding support for a bigger subset (if not the entirety of the language) is a reasonable next step should further development occur. This would be facilitated by the project's design which allows for extending the functionality in this respect.

6.1.4 Code Optimisation

One possible improvement, that was outside of the scope of this project, would be to optimise the input that the compiler receives before transforming it into JavaScript. Possible optimisations could include removing dead code and computing the value of constant expressions.

6.1.5 JavaScript Strict Mode Compliance

Due to the use of the arguments.callee function used to facilitate the implementation of the (recur) form (see the relevant implementation section 4.1.5 on page 30), the compiler's output is not Strict mode compliant and thus, might not run should that be enabled. Creating an alternative solution that would bring the output code into compliance would be a worthwhile pursuit.

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