

Universidade Federal de Alagoas  
Instituto de Computação  
Laboratório de Computação Científica e Análise Numérica

## **Research report**

Student: Danilo Fernandes Costa

Professor: Alejandro Frery

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# 1 Introduction

In this report, we show results of the analysis of samples from plantation regions observed over time. The first observation was made on 16 May 2016, which was followed by four others at time intervals of 24 days. Those regions consist of three soybeans crops, three wheat, two oats, and two canola and are shown in Figs. 2a to 2e. Those samples were obtained using the classification of the regions given in Fig. 1. These dataset and classification were disponibilized by Avik Bhattacharya and his research group.

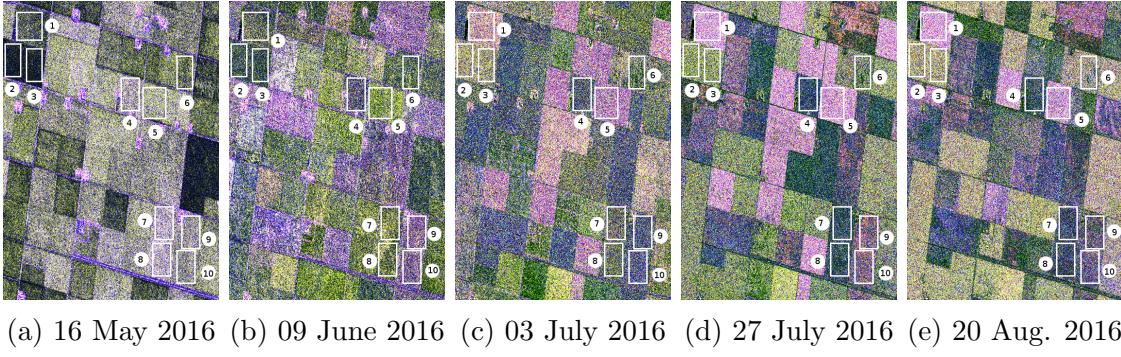
We fit the Beta distribution to histograms of the distances of the samples to the trihedral and random volume scatterers. In addition, we perfor the Kolmogorov-Smirnov good-of-fit test to verify the quality of the fit. These scatterers were chosen because they were more sensitive to vegetation variation in the analyzed regions, as can be verified in the Figs. 3a and 3b which contain, respectively, the pixel proportions in the sample that is more similar to the trihedral and the random volume as a function of time.



Figure 1: Classification of the regions on the PolSAR image

# 2 Fitting the Beta Distribution

Figs. 4 to 23 show the histograms of the Geodesic Distances between the scatterer (random or trihedral volume) and the pixels of the sample most similar to it. The number of those pixels are in Table 1, in which TR and RV indicate, respec-



(a) 16 May 2016 (b) 09 June 2016 (c) 03 July 2016 (d) 27 July 2016 (e) 20 Aug. 2016

Figure 2: Samples analyzed over time: 1 to 10 corresponding, respectively, to Canola 43, Soybeans 231, Soybeans 232, Wheat 225, Canola 224, Soybeans 101, Oats 102, Oats 103, Wheat 105 and Wheat 104

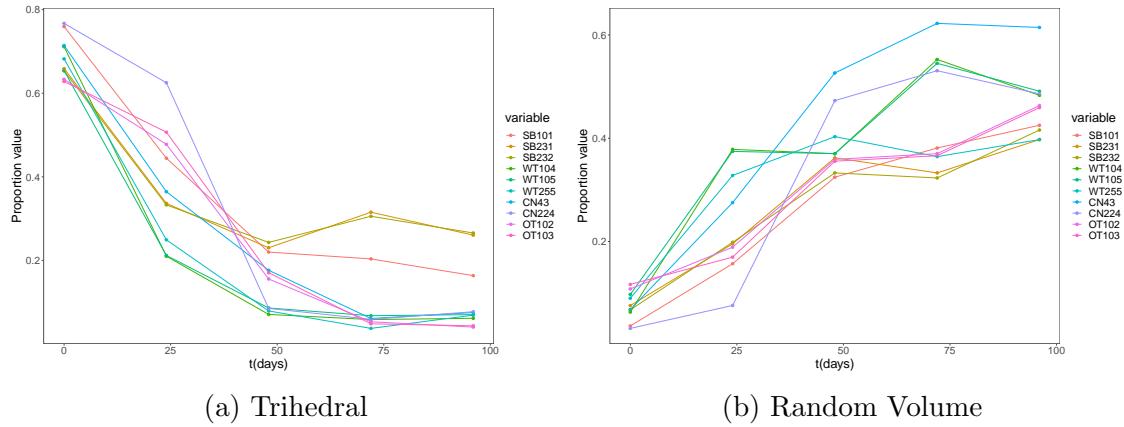
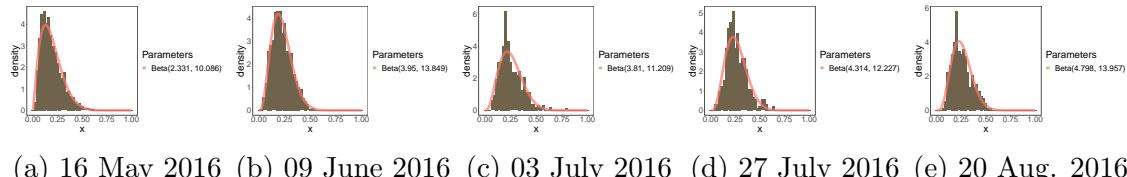


Figure 3: Pixel proportions on the analyzed regions more similar to the trihedral and random volume as function of time, where SB, WT, CN and OT indicate, respectively, Soybeans, Wheat, Canola and Oats

tively, trihedral and random volume. The parameters of the Beta distribution were estimated by maximum likelihood.

Table 2 shows the  $p$ -values of the goodness-of-fit as assessed by the Komolgorov-Smirnov test. Largest and smallest  $p$ -values are highlighted in bold.



(a) 16 May 2016 (b) 09 June 2016 (c) 03 July 2016 (d) 27 July 2016 (e) 20 Aug. 2016

Figure 4: Histograms of the Geodesic Distances between trihedral and the pixels of the sample extracted from Soybeans 101 most similar to trihedral

### 3 Parameters evaluation

When observing the regions referring to Soybeans 231 and 232 along its samples in the Fig. 2, which are respectively indexed by 2 and 3, it can be assumed that

Table 1: Number of pixels more similar to trihedral and random volume

	16 May 2016		09 June 2016		03 July 2016		27 July 2016		20 Aug. 2016	
	TR	RV	TR	RV	TR	RV	TR	RV	TR	RV
<b>SB 101</b>	1481	71	867	306	429	633	397	743	319	829
<b>SB 231</b>	1285	148	656	381	449	706	615	649	508	775
<b>SB 232</b>	1275	132	649	387	474	649	596	630	518	811
<b>WT 104</b>	1618	144	478	861	161	842	133	1257	140	1099
<b>WT 105</b>	1488	222	482	852	196	842	154	1240	160	1117
<b>WT 255</b>	1552	205	567	746	180	917	85	829	157	904
<b>CN 43</b>	1964	155	1002	625	485	1195	168	1413	207	1395
<b>CN 224</b>	2106	87	1716	209	234	1298	162	1457	212	1334
<b>OT 102</b>	1441	246	1087	430	354	817	121	842	92	1054
<b>OT 103</b>	1429	266	1153	386	388	806	110	833	99	1045

Table 2: *p*-values of the Kolmogorov-Smirnov goodness-of-fit test of the distances to trihedral an random volume

	16 May 2016		09 June 2016		03 July 2016		27 July 2016		20 Aug. 2016	
	TR	RV	TR	RV	TR	RV	TR	RV	TR	RV
<b>SB 101</b>	0.065	0.517	0.947	0.758	<b>0.059</b>	0.195	0.452	0.109	0.401	0.144
<b>SB 231</b>	0.775	0.242	0.573	0.166	0.314	0.275	0.239	0.114	0.416	0.070
<b>SB 232</b>	0.244	0.340	0.968	0.328	0.713	0.070	0.422	0.357	0.163	0.630
<b>WT 104</b>	0.178	0.715	0.421	0.094	0.514	0.779	0.062	0.369	0.602	0.919
<b>WT 105</b>	0.231	0.090	0.069	0.139	0.557	0.613	0.108	0.195	0.192	0.252
<b>WT 255</b>	0.235	0.513	0.270	0.375	0.628	0.279	0.653	0.069	0.437	<b>0.993</b>
<b>CN 43</b>	0.238	0.406	0.217	0.202	0.930	0.318	0.623	0.732	0.262	0.747
<b>CN 224</b>	0.184	0.116	0.128	0.333	0.298	0.714	0.813	0.409	0.305	0.391
<b>OT 102</b>	0.289	0.191	0.243	0.532	0.384	0.212	0.710	0.370	0.928	0.396
<b>OT 103</b>	0.096	0.139	0.139	0.186	0.265	0.079	0.936	0.079	0.989	0.489

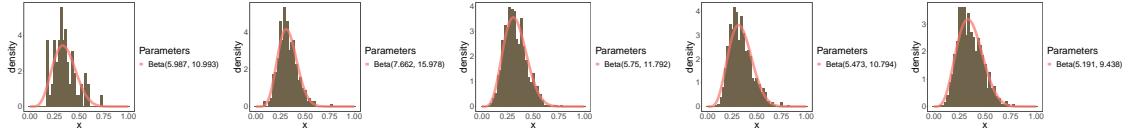
there was a gradual increase in the degree of vegetation of these regions.

In order to relate this to the variation of the information contained in the distances of the data to the trihedral scatterer, in Figs. 24a and 24b we show, for each observation, a boxplot of the means of the distances between trihedral and the sub-regions generated by dividing a region into 45 subregions of size  $7 \times 6$ . In addition, all boxplots were connected by the mean of their means. It can be observed that the median in the first two samples of both regions is different at the confidence level of 0.95.

We adjusted the mean as a function of time for both regions with the following function:

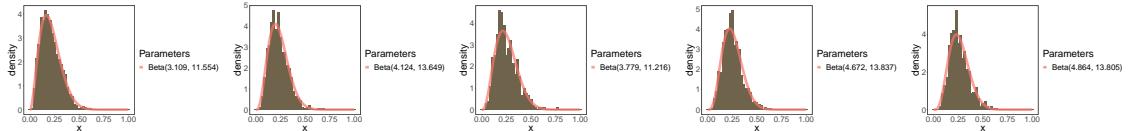
$$f(t) = -\frac{a}{bt + c} + d, \quad (1)$$

in which  $a = 4.741$ ,  $b = 2.415$ ,  $c = 67.565$ ,  $d = 0.276$  and  $t$  is the number of days since the first observation ( $t = 0$ ). We checked lack of fit with ANOVA; Tables 3 and 4 show the results. We conclude that the proposed model is acceptable at the



(a) 16 May 2016 (b) 09 June 2016 (c) 03 July 2016 (d) 27 July 2016 (e) 20 Aug. 2016

Figure 5: Histograms of the Geodesic Distances between random volume and the pixels of the sample extracted from Soybeans 101 most similar to random volume



(a) 16 May 2016 (b) 09 June 2016 (c) 03 July 2016 (d) 27 July 2016 (e) 20 Aug. 2016

Figure 6: Histograms of the Geodesic Distances between trihedral and the pixels of the sample extracted from Soybeans 231 most similar to trihedral

significance level of 0.1.

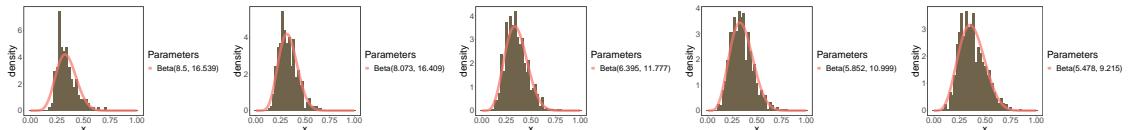
## 4 Classifier for vegetation regions

We propose a classifier based on results of the analysis of a subregion of Soybeans 231 with dimensions  $15 \times 15$  pixels. We fitted a Beta distribution to its geodesic distances to the left and right helices on the first and last observation of this subregion, which belong to the regions indicated by index 1 in the figures 2a and 2e, respectively.

Figs. 25a and 25a show the histograms and the fitted densities. In addition, the Komolgorov-Smirnov test for goodness-of-fit was performed and returned  $p$ -values are in the table 5.

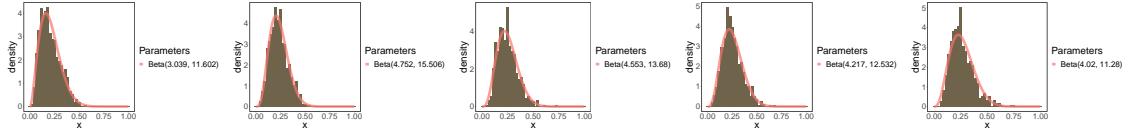
Since the distances to the left helix and right helix are independent, because these are orthogonal, it is possible to define  $d = 0.912$  as cutoff point for the densities Beta(21, 1.6) and Beta(10, 1.85) and obtain the joint probabilities shown in the table 6, where  $D_{lh}$  and  $D_{rh}$  are respectively the distance to left helix and right helix. These joint probabilities allow evaluate the error related in the separation of populations by this cutoff point.

Assume that the pixels in the first and last observation as poor and rich in



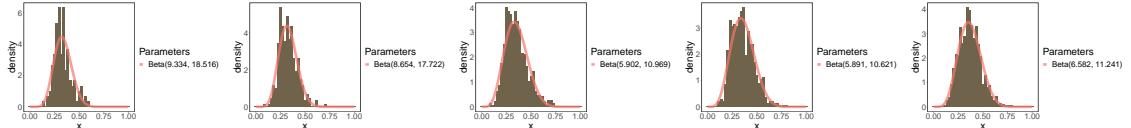
(a) 16 May 2016 (b) 09 June 2016 (c) 03 July 2016 (d) 27 July 2016 (e) 20 Aug. 2016

Figure 7: Histograms of the Geodesic Distances between random volume and the pixels of the sample extracted from Soybeans 231 most similar to random volume



(a) 16 May 2016 (b) 09 June 2016 (c) 03 July 2016 (d) 27 July 2016 (e) 20 Aug. 2016

Figure 8: Histograms of the Geodesic Distances between trihedral and the pixels of the sample extracted from Soybeans 232 most similar to trihedral



(a) 16 May 2016 (b) 09 June 2016 (c) 03 July 2016 (d) 27 July 2016 (e) 20 Aug. 2016

Figure 9: Histograms of the Geodesic Distances between random volume and the pixels of the sample extracted from Soybeans 232 most similar to random volume

vegetation, respectively. Then, it is possible classify pixels with  $d_{lh} > 0.912$  and  $d_{rh} > 0.912$  as poor in vegetation and those with  $d_{lh} \leq 0.912$  and  $d_{rh} \leq 0.912$  as rich in vegetation. By this rule, there is 0.09 probability that a poor pixel in vegetation be classified rich and vice-versa. However, this approach allows classifying only 56 % of both populations.

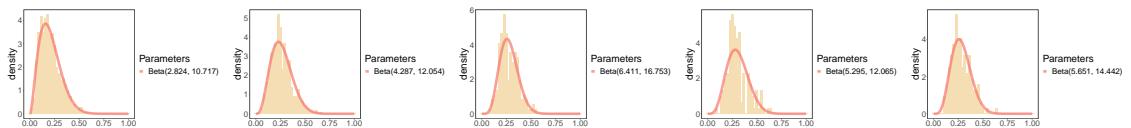
For classify the other 44 % of the population, the components of the data in the direction of these elementary scatterers are removed as follows:

$$v'_{data} = v_{data} - \frac{\langle v_{data}, v_{lh} \rangle}{\|v_{lh}\|^2} v_{lh} - \frac{\langle v_{data}, v_{rh} \rangle}{\|v_{rh}\|^2} v_{rh}, \quad (2)$$

where  $v_{data}$ ,  $v_{lh}$  and  $v_{rh}$  are respectively data, left helix and right helix in Kennaugh form. Then, we compute the followin distance:

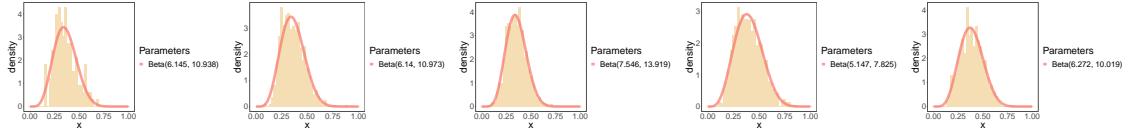
$$D'_d = \frac{1}{\pi} \cos^{-1} \frac{\langle v'_{data}, v_d \rangle}{\|v'_{data}\| \|v_d\|} = \frac{1}{2} GD(v'_{data}, v_d), \quad (3)$$

where  $D'_d \in [0, 1]$  and  $v_d$  is dihedral elementary scatterer in Kennaugh form. This distance was used because  $GD(v'_{data}, v_d) \in [0, 2]$  for analysed subregion. The histograms of this distance between dihedral and analysed samples are shown in the figure 26 and the  $p$ -values from Komolgorov-Smirnov goodness-of-fit test for first



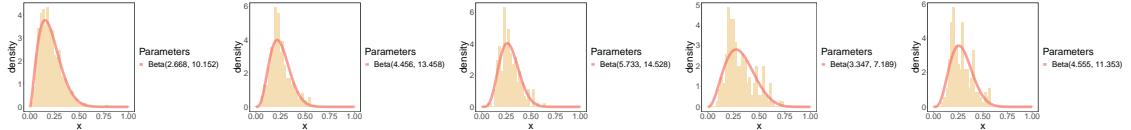
(a) 16 May 2016 (b) 09 June 2016 (c) 03 July 2016 (d) 27 July 2016 (e) 20 Aug. 2016

Figure 10: Histograms of the Geodesic Distances between trihedral and the pixels of the sample extracted from Wheat 104 most similar to trihedral



(a) 16 May 2016 (b) 09 June 2016 (c) 03 July 2016 (d) 27 July 2016 (e) 20 Aug. 2016

Figure 11: Histograms of the Geodesic Distances between random volume and the pixels of the sample extracted from Wheat 104 most similar to random volume



(a) 16 May 2016 (b) 09 June 2016 (c) 03 July 2016 (d) 27 July 2016 (e) 20 Aug. 2016

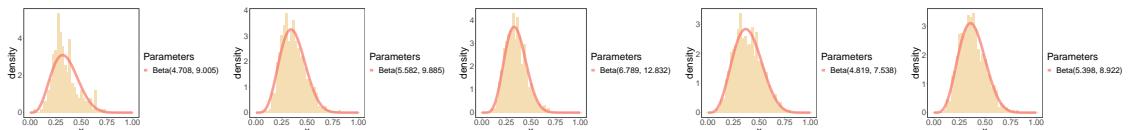
Figure 12: Histograms of the Geodesic Distances between trihedral and the pixels of the sample extracted from Wheat 105 most similar to trihedral

and last observation are respectively 0.127 and 0.105.

Since the procedure described makes  $D'_d$  independent of  $D_{lh}$  and  $D_{rh}$ , the fitted distributions can be used to classify the remaining population. For this, define  $d_d = 0.462$  and  $d_d = 0.512$ , which are insertions between densities, as cutoff points, whose related probabilities are shown in the table 7. With this, a pixel unclassified by  $d_{lh}$  and  $d_{rh}$  and with  $d'_d < 0.462$  or  $d'_d > 0.512$  can be classified as rich in vegetation and, in opposite case, as poor in vegetation. In this situation, the probability of classifying a poor pixel as rich is 0.13 and the opposite with probability 0.44.

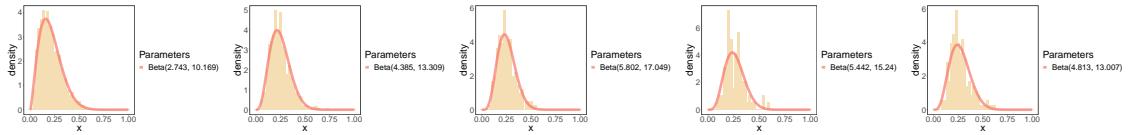
This classifier can be evaluated by analysing of your confusion matrix. The table 8 shows the theoretical confusion matrix (in percentage) for this model and the table 9 shows the corresponding values of accuracy, coverage and precision.

The tables 10 and 11 show respectively the confusion matrices (in percentage) obtained by applying the model to the samples from the analysed subregion and Soybeans 231 region. In addition, the tables 12 and 13 show their corresponding values of accuracy, coverage and precision. When comparing the theoretical values with the values obtained by applying the classifier to the data in these tables, the proximity between them is evident, which suggests that the model is suitable for the data.



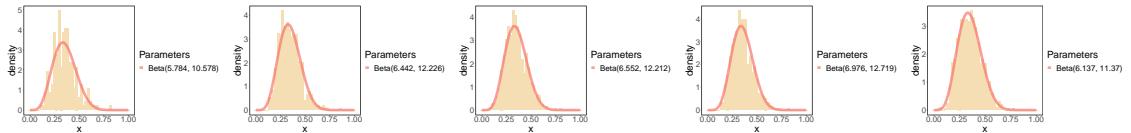
(a) 16 May 2016 (b) 09 June 2016 (c) 03 July 2016 (d) 27 July 2016 (e) 20 Aug. 2016

Figure 13: Histograms of the Geodesic Distances between random volume and the pixels of the sample extracted from Wheat 105 most similar to random volume



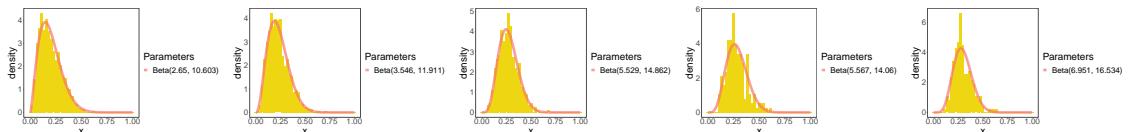
(a) 16 May 2016 (b) 09 June 2016 (c) 03 July 2016 (d) 27 July 2016 (e) 20 Aug. 2016

Figure 14: Histograms of the Geodesic Distances between trihedral and the pixels of the sample extracted from Wheat 225 most similar to trihedral



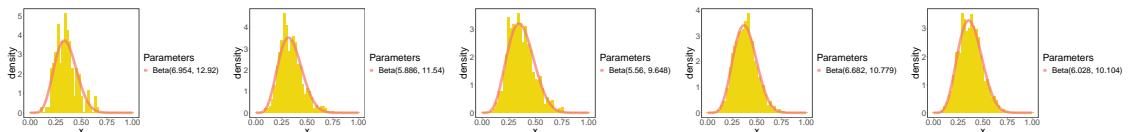
(a) 16 May 2016 (b) 09 June 2016 (c) 03 July 2016 (d) 27 July 2016 (e) 20 Aug. 2016

Figure 15: Histograms of the Geodesic Distances between random volume and the pixels of the sample extracted from Wheat 225 most similar to random volume



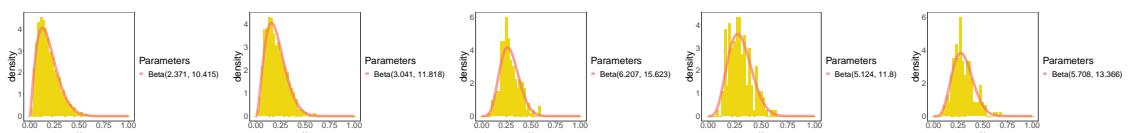
(a) 16 May 2016 (b) 09 June 2016 (c) 03 July 2016 (d) 27 July 2016 (e) 20 Aug. 2016

Figure 16: Histograms of the Geodesic Distances between trihedral and the pixels of the sample extracted from Canola 43 most similar to trihedral



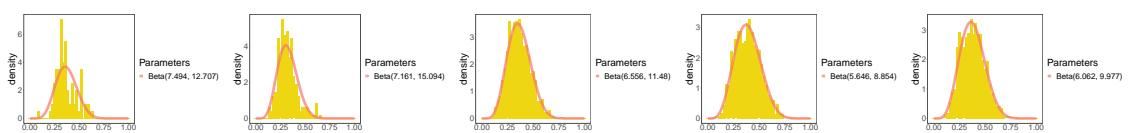
(a) 16 May 2016 (b) 09 June 2016 (c) 03 July 2016 (d) 27 July 2016 (e) 20 Aug. 2016

Figure 17: Histograms of the Geodesic Distances between random volume and the pixels of the sample extracted from Canola 43 most similar to random volume



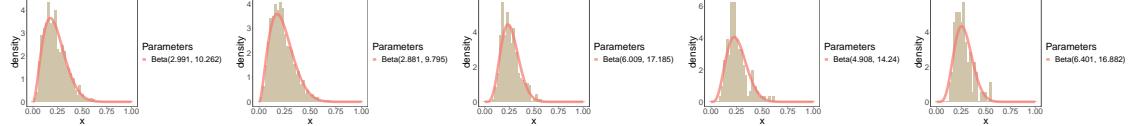
(a) 16 May 2016 (b) 09 June 2016 (c) 03 July 2016 (d) 27 July 2016 (e) 20 Aug. 2016

Figure 18: Histograms of the Geodesic Distances between trihedral and the pixels of the sample extracted from Canola 224 most similar to trihedral



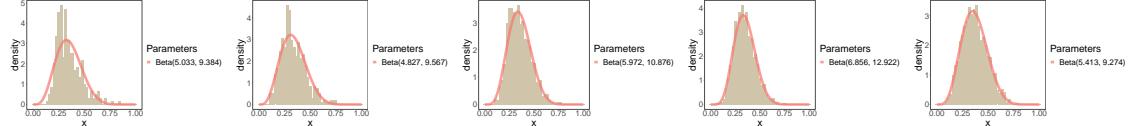
(a) 16 May 2016 (b) 09 June 2016 (c) 03 July 2016 (d) 27 July 2016 (e) 20 Aug. 2016

Figure 19: Histograms of the Geodesic Distances between random volume and the pixels of the sample extracted from Canola 224 most similar to random volume



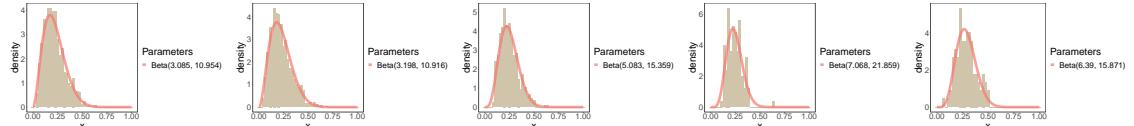
(a) 16 May 2016 (b) 09 June 2016 (c) 03 July 2016 (d) 27 July 2016 (e) 20 Aug. 2016

Figure 20: Histograms of the Geodesic Distances between trihedral and the pixels of the sample extracted from Oats 102 most similar to trihedral



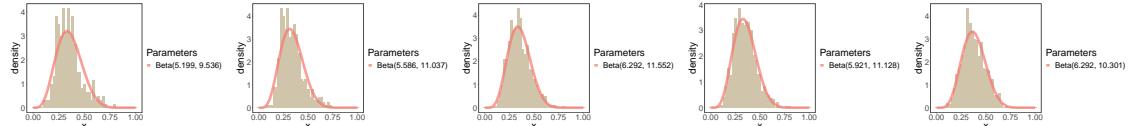
(a) 16 May 2016 (b) 09 June 2016 (c) 03 July 2016 (d) 27 July 2016 (e) 20 Aug. 2016

Figure 21: Histograms of the Geodesic Distances between random volume and the pixels of the sample extracted from Oats 102 most similar to random volume



(a) 16 May 2016 (b) 09 June 2016 (c) 03 July 2016 (d) 27 July 2016 (e) 20 Aug. 2016

Figure 22: Histograms of the Geodesic Distances between trihedral and the pixels of the sample extracted from Oats 103 most similar to trihedral



(a) 16 May 2016 (b) 09 June 2016 (c) 03 July 2016 (d) 27 July 2016 (e) 20 Aug. 2016

Figure 23: Histograms of the Geodesic Distances between random volume and the pixels of the sample extracted from Oats 103 most similar to random volume

Table 3: ANOVA for lack of fit on Soybeans 231

	Degree of freedom	Sum of squared errors	Mean squared error	Fisher statistics	p-value
<b>Residual</b>	223	0.1912	0.0008		
<b>Lack od fit</b>	3	0.0043	0.0014	1.7087	0.1661
<b>Pure error</b>	220	0.1859	0.0008		

Table 4: ANOVA for lack of fit on Soybeans 232

	Degree of freedom	Sum of squared errors	Mean squared error	Fisher statistics	p-value
<b>Residual</b>	223	0.1836	0.0008		
<b>Lack od fit</b>	3	0.0020	0.0007	0.7973	0.1965
<b>Pure error</b>	220	0.1819	0.0008		

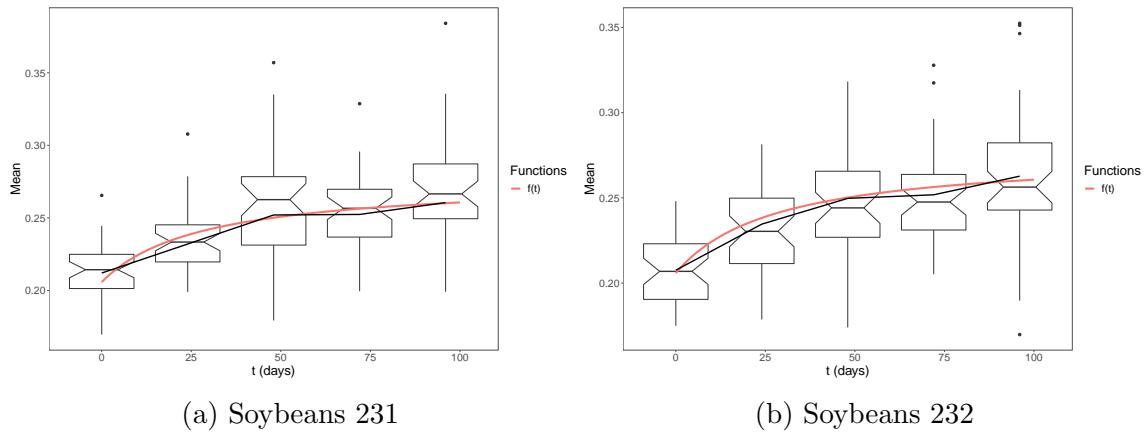


Figure 24: Mean of the distances between trihedral and samples extracted from Soybeans 231 and 232 over time

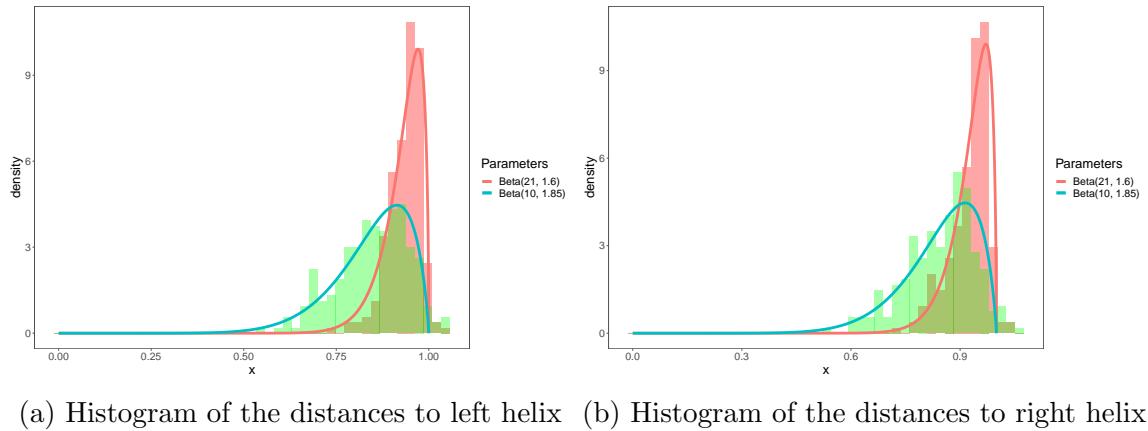


Figure 25: Histograms of the distances between subregion extracted from Soybeans 231 and elementary scatterers

Table 5:  $p$ -values of the Kolmogorov-Smirnov goodness-of-fit test of the distances to left and right helix

	Left helix	Right helix
<b>First sample</b>	0.406	0.172
<b>Last sample</b>	0.940	0.817

Table 6: Joint probabilities for distance to left and right helix

	$D_{rh} \leq 0.912$		$D_{rh} > 0.912$	
	$D_{rh} \leq 0.912$	$D_{rh} > 0.912$	$D_{rh} \leq 0.912$	$D_{rh} > 0.912$
<b>First sample</b>	<b>0.09</b>	0.21	0.21	<b>0.19</b>
<b>Last sample</b>	<b>0.19</b>	0.21	0.21	<b>0.09</b>

Table 7: Probabilities for modified geodesic distance to dihedral

	$D'_d < 0.462$	$0.462 \leq D'_d \leq 0.512$	$D'_d > 0.512$
<b>First sample</b>	0.05	0.87	0.08
<b>Last sample</b>	0.21	0.44	0.35

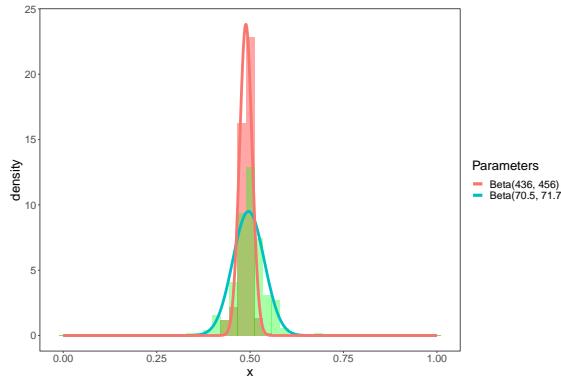


Figure 26: Modified geodesic distance between dihedral and samples

Table 8: Theoretical confusion matrix

	Poor in vegetation	Rich in vegetation
Poor in vegetation	0.855	0.145
Rich in vegetation	0.275	0.725

Table 9: Theoretical accuracy, coverage and precision

	Accuracy	Coverage	Precision
Poor in vegetation	0.790	0.855	0.757
Rich in vegetation	0.790	0.725	0.833

Table 10: Confusion matrix obtained by applying the model to the analysed subregion of Soybeans 231

	Poor in vegetation	Rich in vegetation
Poor in vegetation	0.827	0.173
Rich in vegetation	0.333	0.667

Table 11: Confusion matrix obtained by applying the model to the Soybeans 231 region

	Poor in vegetation	Rich in vegetation
Poor in vegetation	0.788	0.212
Rich in vegetation	0.333	0.667

Table 12: Accuracy, coverage and precision for the model applied to the analysed subregion

	Accuracy	Coverage	Precision
Poor in vegetation	0.747	0.827	0.713
Rich in vegetation	0.747	0.667	0.794

Table 13: Accuracy, coverage and precision for the model applied to the Soyebeans 231 region

	Accuracy	Coverage	Precision
<b>Poor in vegetation</b>	0.727	0.788	0.703
<b>Rich in vegetation</b>	0.727	0.667	0.759