## Practice exercises on smooth manifolds

Fourth meeting, 21 of January

Plan for today: some exercises on partition of unity and discussion of homework 1 from complex surfaces course.

**Definition 2.15** A cover  $\{U_{\alpha}\}$  of a topological space M is called *locally finite* if every point in M possesses a neighbourhood that intersects only a finite number of  $U_{\alpha}$ .

Exercise 2.27 Let  $\{U_{\alpha}\}$  be a locally finite atlas on M, and  $U_{\alpha} \xrightarrow{\varphi_{\alpha}} \mathbb{R}^n$  homeomorphisms. Consider a cover  $\{V_i\}$  of  $\mathbb{R}^n$  given by open balls of radius n centered in integer points, and let  $\{W_{\beta}\}$  be a cover of M obtained as union of  $\varphi_{\alpha}^{-1}(V_i)$ . Show that  $\{W_{\beta}\}$  is locally finite.

Solution. The result follows from the local finiteness of both  $\{U_{\alpha}\}$  in M and  $\{V_i\}$  in  $\mathbb{R}^n$  as follows. (Local finiteness of  $\{V_i\}$  follows from definition of  $\{V_i\}$ .)

Since  $\{U_{\alpha}\}$  is locally finite, for a given point x of M there is a neighbourhood  $U_0$  which intersects only a finite number of the  $U_{\alpha}$ . Moreover, since  $\{V_i\}$  is locally finite, each  $\varphi_{\alpha}(x)$  has a neighbourhood intersecting only finitely many  $V_i$ . Then there's only finitely many of the  $W_{\beta}$  intersecting  $U_0$  (for any  $\alpha$  and i).

**Exercise 2.28** Let  $\{U_{\alpha}\}$  be an atlas on a manifold M.

- (a) Construct a refinement  $\{W_{\beta}\}$  of  $\{U_{\alpha}\}$  such that a closure of each  $W_{\beta}$  is compact in M.
- (b) Prove that such a refinement can be chosen locally finite if  $\{U_{\alpha}\}$  is locally finite.

**Hint.** Use the previos exercise.

Solution.

- (a) The refinement is the cover  $\{W_\beta\}$  from Exercise 2.27. The closure of  $W_\beta = \varphi_\alpha^{-1}(V_i)$  is mapped by  $\varphi_\alpha$  to the closure of its image,  $\varphi_\alpha(U_\alpha) \cap V_i$ . (This is because  $\varphi_\alpha$  is a homeomorphism; by Exercise 1.6 limit points of the domain map to limit points of the image.) The closure of  $\varphi_\alpha(U_\alpha) \cap V_i$  is compact (since it is closed and bounded), and thus its image under  $\varphi^{-1}$  is also compact.
- (b) This is immediate from Exercise 2.27.

Exercise 2.29 Let  $K_1$ ,  $K_2$  be non-intersecting compact subsets of a Hausdorff topological space M. Show that there exist a pair of open subsets  $U_1 \supset K_1$ ,  $U_2 \supset K_2$  satisfying  $U_1 \cap U_2 = \emptyset$ .

Solution. (With some help from ChatGPT). Fix a point  $y \in K_2$ . Since M is Hausdorff, for every  $x \in K_1$  there are disjoint neighbourhoods  $U_{xy} \ni x$  and  $V_{xy} \ni y$ . This means that  $\{U_{xy}\}_{x \in X}$  is an open cover of  $K_1$ , which must have a finite subcover  $U_{x_1y}, \ldots, U_{x_{n_y}y}$ . These open sets correspond to open sets  $V_{x_1y}, \ldots, V_{x_{n_y}y}$ , the intersection of which is a neighbourhood of y disjoint from  $\bigcup_{i=1}^{n_y} U_{x_iy}$ .

Denote this intersection by  $V_y := \bigcap_{i=1}^{n_y} V_{x_iy}$ . Then  $\{V_y\}_{y \in Y}$  is an open cover of Y, which must have a finite subcover  $V_{y_1}, \ldots, V_{y_m}$ . Each  $V_{y_j}$  is associated to an open cover of  $K_1$ , from which it is disjoint. The intersection of (the unions of) these m covers of  $K_1$  is an open set containing  $K_1$ , and it is disjoint from  $\bigcup_{j=1}^m V_{y_j} \supset K_2$ .

**Upshot** You have pairs of disjoint sets. The intersection of one family is disjoint from the union of the other.

Exercise 2.30 (!) Let  $U \subset M$  be an open subset with compact closure, and  $V \supset M \setminus U$  another open subset. Prove that there exists  $U' \subset U$  such that the closure of U' is contained in U, and  $V \cup U' = M$ .

**Hint.** Use the previous exercise.

*Solution.* (Using ChatGPT.) Define the *boundary*  $\partial A$  of a set A in a topological space X to be the set of points  $x \in X$  such that every neighbourhood of x contains a point of A and a point of  $X \setminus A$ .

The boundary  $\partial U$  of our open set with compact closure U is compact: it is contained in the closure of U (since all its points are limit points of U), and it is closed: every point in its complement has a neighbourhood that stays inside its complement; whether it is in U, or in  $M \setminus \bar{U}$ .

Now let's use Exercise 2.29. We can separate  $K_1 := \partial U$  and  $K_2 := U \setminus V$ . Both are compact, and they are disjoint because the boundary of U is disjoint from U. Then there are disjoint neighbourhoods  $U_1$  and  $U_2$  of  $K_1$  and  $K_2$ .

Now let's show that  $U_2 \cap U := U'$  is the open set we are looking for, that is, that its closure is contained in U and  $V \cup U' = M$ . If a point in the closure of U' was outside U, then such a limit point would be in the boundary of U: any open neighbourhood must contain a point of U since it is a limit point of U, and also a point outside it, the limit point itself! But the boundary of U is disjoint from  $U_2$ . This shows that the closure of U' is inside U.

To show that  $V \cup U' = M$  pick a point in  $M \setminus V$ . Then  $U' := U_2 \cap U \supset K_2 := U \setminus V$  contains it.

Exercise 2.31 (!) Let  $\{U_{\alpha}\}$  be a countable locally finite cover of a Hausdorff topological space, such that a closure of each  $U_{\alpha}$  is compact. Prove that there exists another cover  $\{V_{\alpha}\}$  indexed by the same set, such that  $V_{\alpha} \subseteq U_{\alpha}$ .

**Hint.** Use induction and the previous exercise.

*Solution.* In order to use Exercise 2.30 consider for every  $\alpha$  the set  $W_{\alpha} = \bigcup_{\beta \neq \alpha} U_{\beta}$ . Then  $W_{\alpha} \supset M \setminus U_{\alpha}$ , so that there exists  $U_{\alpha}' \subseteq U_{\alpha}$  and  $W_{\alpha} \cup U_{\alpha}' = M$ . It remains to show that  $\{U_{\alpha}'\}$  is a cover. Let  $x \in M$  be any point. but how?

That's why the hint says use induction. We go one by one: consider  $U_1$ , an open set. The rest of the cover yields an open set like V from the last exercise, which contains the complement of U. Then that exercise yields a set  $U_1' \subseteq U$  st  $V \cup U_1' = M$ .

Now take n=2. But don't use the original open cover: *substitute*  $U_1$  *by*  $U_1'$ . Obviously. (It works basically because of the second condition, explaining why we went through so much hustle to construct the set  $U_1'$ , anyway moving on.) The point is that now we get a set  $U_2' \subseteq U_2$  which covers M along with  $U_1'$  and the rest of the  $U_{\alpha}$ .

This works for all  $\alpha$ : there is  $U_{\alpha}' \subseteq U_{\alpha}$  such that  $U_{\alpha}' \cup U_{\alpha-1}' \cup \ldots \cup U_1' \cup \bigcup_{i>\alpha} U_i$  covers M.

Let's show that  $\{U'_{\alpha}\}$  is a cover. Suppose there's a point x outside  $U'_{\alpha}$  for all  $\alpha$ . Then it is in  $\bigcup_{i>\alpha}U_i$  for all  $\alpha$ , meaning x is in a infinite ammount of open sets of the locally finite cover  $U_i$ .