

## Home assignment 4: quadratic lattices

**Definition 4.1** A *lattice* is a finitely generated torsion-free  $\mathbb{Z}$ -module. *Quadratic form* on a lattice is a function  $q : L \rightarrow \mathbb{Z}$ ,  $q(\ell) = B(\ell, \ell)$  where  $B$  is a bilinear symmetric pairing  $B : L \otimes_{\mathbb{Z}} L \rightarrow \mathbb{Z}$ . *Quadratic lattice* is a lattice equipped with a quadratic form. A quadratic form is *indefinite* if it takes positive and negative values, and *unimodular* if  $B$  is non-degenerate and defines an isomorphism  $L \xrightarrow{\sim} L^*$ .

**Exercise 4.1** Let  $(L, q)$  be a quadratic lattice,  $L_{\mathbb{Q}} := L \otimes_{\mathbb{Z}} \mathbb{Q}$ , and  $L^*$  the set of all  $x \in L_{\mathbb{Q}}$  such that  $q(x, L) \subset \mathbb{Z}$ .

- Prove that  $L^*$  is a lattice of the same rank as  $L$  and  $L \subset L^*$ .
- The *discriminant group* of  $L$  is  $\text{Disc } L := L^*/L$ . Prove that  $L$  is unimodular if and only if  $\text{Disc}(L) = \{0\}$ .
- Let  $G$  be an abelian group. Construct a lattice  $(L, q)$  such that  $\text{Disc}(L) = G$ .

*Solution.*

- Let  $\{a_i\}$  be a basis of  $L$ . Recall that the space of linear functionals on  $L$  is identified with  $L^*$  via the map  $x \mapsto q(x, \cdot)$ . Then the functionals given by  $a_i^\vee(a_j) = \delta_{ij}$  are a basis of  $L^*$ .

**Attempt to identify functionals with  $L^*$ .** We want to show that there is only one element  $a_i^\vee \in L_{\mathbb{Q}}$  such that  $q(a_i^\vee, a_j) = \delta_{ij}$ . Then suppose  $\tilde{a}_i^\vee$  is another such element. Then  $q(a_i^\vee - \tilde{a}_i^\vee, a_j) = 0$  so if  $q$  is nondegenerate I'm done, but if  $q$  is not nondegenerate, **how to prove  $a_i^\vee = \tilde{a}_i^\vee$ ?**

**Remark** In [Dolgachev](#), the definition of  $L^*$  is  $\text{Hom}(L, \mathbb{Z})$ . The discriminant is  $L^*/\text{img } i$  where  $i$  denotes interior multiplication, that is,  $i_x q = q(x, \cdot)$ .

- Implication  $\implies$  is trivial. Implication  $\impliedby$  is also straightforward since  $L^*/L = \{0\}$  means that every element of  $L^*$  is in  $L$ , so  $L = L^*$ .
- (Idea.) I think  $L$  is the free part of  $G$ . I want to show that there is an exact sequence  $L \xrightarrow{i} \text{Hom}(L, \mathbb{Z}) \xrightarrow{\psi} L \oplus T = G \rightarrow 0$  because this way I get that  $G$  is the cokernel of the interior multiplication  $i$ , which is the discriminant by definition.

□

**Exercise 4.2** Let  $(L, q)$  be a quadratic lattice, and  $L_1 \subset L$  a sublattice.

- Prove that  $L_1^* \supset L^*$ . Prove that any isometry  $\alpha \in O(L)$  takes  $L_1$  to another lattice  $L^* \subset \alpha(L_1) \subset L$ .
- Denote by  $\delta(L_1)$  the image of  $L_1$  in  $\text{Disc}(L)$ . Prove that an isometry  $\alpha \in O(L)$  which satisfies  $\delta(L_1) = \delta(\alpha(L_1))$  preserves  $L_1$ .

*Solution.*

- (Perhaps there is a typo in the question because  $L^* \subset L_1 \subset L$  would imply  $L^* = L$ .) However, the contention  $L_1^* \supset L^*$  holds; it is immediate from definition: if  $x^* \in L^*$  then  $q(x^*, L) \subseteq \mathbb{Z} \implies q(x^*, L_1) \subset \mathbb{Z}$ .

Since  $\alpha \in O(L)$ , we know that  $\alpha(L_1) \subset L$ .

To see  $\alpha(L_1)$  is lattice notice that a basis is  $\alpha(e_1), \dots, \alpha(e_n)$  where  $e_1, \dots, e_n$  is a basis of  $L_1$ . This is immediate from the definition of *orthogonal group* as found in [Dolgachev](#), where isometries are taken to be (bijective) *homomorphisms* of the abelian groups. This means that for any  $x \in L_1$

$$\alpha(x) = \alpha\left(\sum x^i e_i\right) = \sum x^i \alpha(e_i)$$

- We interpret  $\delta$  as the map

$$\begin{array}{ccccc} & & \delta & & \\ & \curvearrowright & & \searrow & \\ L & \hookrightarrow & L^* & \twoheadrightarrow & L^*/L = \text{Disc}(L) \end{array}$$

But since  $\alpha(L_1) \subset L$ , we always have that  $\delta(L_1) = \delta(\alpha(L_1))$  since both are the equivalence class of the identity in  $L^*/L = \text{Disc}(L)$ .

If instead we consider the projection onto  $\text{Disc}(L_1)$  we get

$$\begin{array}{ccccc} & & \delta_1 & & \\ & \curvearrowright & & \searrow & \\ L_1 & \hookrightarrow & L_1^* & \twoheadrightarrow & L_1^*/L_1 = \text{Disc}(L_1) \end{array}$$

and in this case the condition  $\delta_1(L_1) = \delta_1(\alpha(L_1))$  means that the equivalence class of  $\alpha(L_1)$  in  $\text{Disc}(L_1)$  is the identity class, meaning that  $\alpha(\ell_1) \in L_1$  for every  $\ell_1 \in L_1$ .

*This is very tautological... maybe I didn't understand the question correctly...*

□

**Definition 4.2** Two subgroups  $G_1, G_2 \subset \text{GL}(n, \mathbb{R})$  are called *commensurable* if  $G_1 \cap G_2$  has finite index in  $G_1$  and in  $G_2$ .

**Exercise 4.3** Let  $(L, q)$  be a quadratic lattice, and  $L_1 \subset L$  a sublattice. Prove that  $O(L_1, q) \cap O(L, q)$  has finite index in  $O(L, q)$ .

*Solution, first attempt.* We are looking at the equivalence classes of  $O(L_1)$  within  $O(L)$ .  $O(L_1)$  is the equivalence class of the identity. Two isometries  $b, c \in O(L)$  are in the same equivalence class when  $bc^{-1} \in O(L_1)$ . I'd like to use the criterion of last exercise but I don't see how...  $\square$

*Solution, after lecture on lattices.* Looks like the strategy is as follows:

**Claim 1** Let  $\Gamma_1 = SO(\Lambda_1)$  and  $\Gamma_2 \subset \Gamma_1$  be its subgroup consisting of all maps preserving  $\Lambda \supset \Lambda_1$ . I think this means that  $\Gamma_2 = SO(\Lambda_1) \cap SO(\Lambda) = \Gamma_1 \cap \Gamma$ . Then  $\Gamma_2$  is a group of all  $\gamma \in SO(\Lambda_1)$  such that  $\gamma$  preserves the image of  $\Lambda$  in  $\text{Disc}(\Lambda_1)$ . The point is that the intersection of the SO groups is the elements that preserve  $\Lambda$  in the quotient.}

*Proof of claim.* OK so what does it mean that an element  $\gamma \in \Gamma_2$  preserves the image of  $\Lambda$  in  $\text{Disc}(\Lambda_1)$ ? It means that for every  $x + \Lambda_1 \in a(\Lambda)$ , where  $a$  is the projection onto  $\text{Disc}(\Lambda_1)$ ,  $\gamma(a) + \Lambda_1 \in a(\Lambda)$ . Notice that  $a(\Lambda)$  is just the set of equivalence classes in  $\text{Disc}(\Lambda_1)$  that can be represented by an element in  $\Lambda$ .

Let  $\gamma \in \Gamma_2$  and  $x + \Lambda_1 \in a(\Lambda)$ . Then there is a representant of  $x + \Lambda_1$  with  $x \in \Lambda$ . Then  $\gamma(x) \in \Lambda$ , so  $\gamma(x) + \Lambda_1 \in a(\Lambda)$ .

Now suppose that  $\gamma \in SO(\Lambda_1)$  preserves the image of  $\Lambda$  in  $\text{Disc}(\Lambda_1)$ . I can show that  $\Lambda = a^{-1}(a(\Lambda))$ : if  $x \in a^{-1}(a(\Lambda)) \setminus \Lambda$ , then  $a(x) = x + \Lambda_1 \in a(\Lambda)$ , so we choose a representant  $\ell \in \Lambda$  of  $a(x)$  and we see that  $x - \ell \in \Lambda_1$ , but this is impossible since  $x \notin \Lambda$ . But, why that  $\gamma$  preserves  $a(\Lambda)$  means that it preserves  $a^{-1}(a(\Lambda))$ ?  $\square$

**Question** What is the relationship between all this and the dense space of planes that are orthogonal to any of the signature  $(x, x) = 4$ ? How to get there? What are the hyperbolas, the zeroes of  $q$ ? What is the action of the lattice on the hyperbolas?

Let me suppose I have the claim. Still, the proof of the exercise remains unclear...  $\square$

**Exercise 4.4** Let  $nL := \bigcup_{x \in L} nx$ . Prove that  $nL_1 \subset L$  for any integer lattices  $L, L_1$  and  $n$  sufficiently big. Prove that  $O(nL, q) = O(L, q)$ .

*Solution.* It is not clear what  $\bigcup_{x \in L} nx$  means. Perhaps it is the set  $\{nx : x \in L_1\}$ , in which case it is immediate that  $nL_1 \subset L$  if  $L_1 \subset L$  as in the previous exercises. For arbitrary lattices  $L$  and  $L_1$ , the statement  $nL_1 \subset L$  might not make sense ( $L = \mathbb{Z}, L_1 = \mathbb{Z} \oplus \mathbb{Z}$ ).  $\square$