

Blown-up toric surfaces with non-polyhedral effective cone

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Motivation Understand birational geometry of $\overline{\mathcal{M}}_{0,n}$, the moduli space of stable rational curves with n markings.

$$M_{0,n} = \{*\text{some diagram involving } \mathbb{P}^{1*}\} / \mathrm{PGL}(2)$$

is a smooth affine variety of dimension $n = 3$.

$\overline{M}_{0,n} \supset M_{0,n}$ smooth projective variety.

Universal families

$$\begin{array}{ccc}
 U_n & \text{something in } \mathbb{P}^1 & \text{drawing} \\
 \downarrow & \downarrow & \downarrow \\
 \overline{M}_{0,n} & M_{0,n} & \partial \overline{M}_{0,n}
 \end{array}$$

- simple normal crossings divisor.
- Stable rational curve = tree of \mathbb{P}^1 with n different & smooth points.
- Nodal singularities $(xy = 0) \subset \mathbb{C}^2$.
- Every compact component should have at least 3 "special" points.

Lemma. $U_n \cong \overline{M}_{0,n+1}$,

$$\overline{M}_{0,n+1} \longrightarrow \overline{M}_{0,n}$$

is a forgetful map + stabilizer.

Let's talk a bit about $M_{0,4}$.

$$M_{0,4} = \{0, 1, \infty, x\} = \mathbb{P}^1 - \{0, 1, \infty\}$$

There's only one compactification of this: $\overline{M}_{0,4} = \mathbb{P}^1$. What are the fibers? Drawings of fibers at $0, 1, \infty$.

\mathbb{P}^1 = pencil of conics through $p_1, p_2, p_3, p_4 \in \mathbb{P}^2$. So in this case

$$\begin{array}{c}
 U_q = \text{Bl}_4 \mathbb{P}^2 \text{ del Pezzo surface of degree } 5 \\
 \downarrow \\
 \overline{M}_{0,5}
 \end{array}$$

1 A normal \mathbb{Q} -factorial projective variety

Let X be a normal \mathbb{Q} -factorial projective variety.

$$\begin{array}{c}
 \text{Pic}(X) \subset \text{Cl}(X) \\
 \text{Cartier divisors} \quad \text{Weil divisors}
 \end{array}$$

Definition. \mathbb{Q} -factorial: every $D \in \text{Cl}(X)$ is \mathbb{Q} -Cartier (in $D \in \text{Pic}(X)$ for some $m > 0$).

$$D \in \text{Cl}(X) \quad C \subset X \text{ integral curve,} \quad D \cdot C \in \mathbb{Q}$$

2 Neron-Severi spaces

$$N'(X) = \left\{ \sum_{\alpha_i \in \mathbb{R}} \alpha_i D_i \right\} / \equiv \quad D \equiv 0 \text{ if } D \cdot C = 0 \forall C \subset X$$

$$N_1(X) = \left\{ \sum_{\alpha_i \in \mathbb{R}} \alpha_i C_i \right\} / \equiv \quad C \equiv 0 \text{ if } D \cdot C = 0 \forall D \subset X$$

- $N'(X)$ and $N_1(X)$ are dual (intersection pairing) finite-dimensional vector spaces.
- **Pseudo-effective cone** $\text{Eff} \subset N^1(X)$ closure of the cone spanned by numerical classes of effective divisors.
- **Cone of curves (Mori cone):** $\text{NE}(X) \subset N_1(X)$ closure of the cone spanned by numerical classes of effective curves.

3 Nef cone

$$\begin{aligned} \text{Nef}(X) &= \text{NE}(X)^\vee \\ &= \{D : D \cdot C \geq 0 \forall C \in \text{NE}(X)\} \end{aligned}$$

4 Linear system

$$D \in \text{Cl}(X) \quad |D| = \mathbb{P}H^0(X, \mathcal{O}_X(D)) = \{D \geq 0 : D \sim D\}$$

where $\mathcal{O}_X(D)$ is the divisorial sheaf. Notice that this is nonempty iff D is effective.

Now consider a rational map

$$\begin{aligned} \varphi_D : X &\xrightarrow{\text{rat}} |D|^\vee \\ x &\longmapsto \{D^1 \in |D| : x \in D^1\} \end{aligned}$$

$$\text{Base locus: } \text{BS } |D| = \bigcap_{D^1 \in |D|} D^1$$

$$D = \text{Fix}(D) + M$$

on the left, divisorial part of the base locus, on the right mobile part.

$$\varphi_D = \varphi_M$$

$\text{BS}(D) = \emptyset$ then D is called **free** for globally generated.

D is a pullback by a hyperplane:

$$\begin{aligned} \varphi_D : X &\longrightarrow \mathbb{P}^r = |D| \\ \mathcal{O}(D) &= \varphi_D^* \mathcal{O}(1) \implies D \text{ is Cartier} \end{aligned}$$

5 Stable base locus

$$\mathbb{B}(D) = \bigcap_{m>0} \text{Bs } |mD|$$

D is called *semiample* if $\mathbb{B}(D) = \emptyset$ iff mD is free for some $m > 0$.

D semiample implies D is nef.

6 Semi-ample Fibration Theorem

Theorem. D semiample $\implies \varphi_{|mD|} : X \rightarrow Y$ does not depend on $m \gg 0$ and divisible. Connected fibers, Y normal (not necessarily \mathbb{Q} -factorial).

Theorem (Zariski). $D \in \text{Pic}(X)$, $\text{Bs } |D|$ is finite $\implies D$ is semiample.

Corollary. $\dim X = 2$, $D \in \text{Pic}(X)$ effective, $\text{Fix}(D) = \emptyset \implies D$ is semiample.

- If $\varphi_{|D|}$ is a closed embedding, then D is called *very ample*.
- $D \in \text{Cl}(X)$ is called ample if mD is very ample for some $m > 0$.
- $D \in \text{Cl}(X)$ is *big* if $\dim \varphi_{|mD|}(X) = \dim X$ for some $m > 0 \iff h^0(X, mD) \sim_m \dim X$ if $m \gg 0$ and divisible, $\xLeftrightarrow{\text{Itaka}} \varphi_{|mD|}$ is birational.

7 Kleimen Criterion

Even though ampleness and bigness are defined using linear system, they are numerical properties.

Theorem (Kleimen Criterion). $D \in \text{Cl}(X)$ is ample iff $D \in \text{Interior Nef}(X)$. This implies that $\text{Nef}(X) \subset \text{Eff}(X)$

$$\begin{aligned} D \in \text{Cl}(X) = D \in \text{Interior Eff}(X) \\ \iff mD = \text{Effective and ample for some } m > 0 \end{aligned}$$

And what you need for that is

Lemma (Kodaira's lemma). D big, A effective, then $mD - A$ is also effective for some $m > 0$

$$0 \rightarrow \mathcal{O}(mD - A) \rightarrow \mathcal{O}(mD) \rightarrow \mathcal{O}(mD)|_A \rightarrow 0$$

this implies $mD - A$ is effective.

This is the end of the review.

8 Some questions asked by Fulton

$\partial \overline{M}_{0,n}$ components. There's some stratification of this space by their divisors. There are some things called *F-curves*.

Example. In the surface case, $\overline{M}_{0,5} = \text{Bl}_4 \mathbb{P}^2$ there are five $\binom{5}{10} = 10$ of them. They are called *(-1)-curves*. If you look at the effective cone of this blow up, it is generated by these 10 curves.

Conjecture (Fulton-Fuber). $\text{NE}(\overline{M}_{0,n})$ is generated by F-curves. Still open

Conjecture (Fulton, it is wrong). $\text{Eff}(\overline{M}_{0,n})$ is generated by boundary divisors.

There are many other extremal rays (Guttrvet-Jenia 2013), also because it is not a rational polyhedral cone (not finitely generated) (2023 paper).

9 Some strategies for proving these sort of things

Let's go back to the setting where X is a normal \mathbb{Q} -factorial projective variety. Look at a birational (and \mathbb{Q} -factorial) maps $f : X \xrightarrow{\text{bir}} Y$ and f is regular in codimension 1. Then $D \subset X$ is called *f-exceptional* if $\dim f(D) < \dim D$, equivalently, f is not an isomorphism at a generic point $\eta \in D$. f (or Y) is called a *birational contraction* if there are no f^{-1} -exceptional divisors.

Question (Misha). Is contraction always regular? No.

f (or Y) is called *small \mathbb{Q} -factorial modification* if there are no f or f^{-1} exceptional divisors. So basically this means that f is an isomorphism in codimension 1.

And finally, a rational map $f : X \xrightarrow{\text{rat}} Y$ of \mathbb{Q} -factorial varieties is called *rational contraction* if f is a composition of birational contractions and morphisms with connected fibers (between \mathbb{Q} -factorial varieties).

Principle The birational geometry of X is the study of birational contractions of X .

10 Application of this to the study of effective cones

If $f : X \xrightarrow{\text{bir}} Y$ is a birational contraction, then its exceptional divisors are extremal rays of $\text{Eff}(X)$.

11 Some examples

11.1 Hassett spaces

These are the simplest examples of birational contractions.

(I talk about genus zero but most of this can be extended).

Choose some positive numbers $a_1, \dots, a_n > 0$ with $\sum_i a_i > 2$. They are called **rational weights**. The birational contraction is

$$\overline{M}_{0,n} \longrightarrow \overline{M}_{0,n;\bar{a}} = \text{moduli space of } \bar{a}\text{-stable rational curves}$$

where the bar over a should be an arrow like a vector.

$$\begin{array}{ccc} \overline{M}_{0,n} & \xrightarrow{\quad} & \overline{M}_{0,n;\bar{a}} \\ & \nwarrow \quad \nearrow & \\ & M_{0,n} & \end{array}$$

- Semi-log canonical.
- $\omega_c(\sum_i a_i p_i)$ ample $\iff p_i$ are not at the nodes.
- # of nodes on $R + \sum_{p_i \in R} a_i > 2$.
- $I \subset \{1, \dots, n\}, p_i = p_j, i, j \in I \implies \sum_{i \in I} a_i \leq 1$

Example.

- Choose

$$(1, \dots, 1) \longrightarrow \overline{M}_{0,n}$$

where $(1, \underbrace{x, \dots, x}_{n-1})$ so that $1 + (n-1)x = 2 + \epsilon$ with $\epsilon \ll 1$. So there is a heavy point, the first one.

So for example stable curve $\mathbb{P}^1, p_1, \dots, p_n \in \mathbb{P}^1$ with $a = 1, p_i \neq p_1$. So not all p_i with $i > 1$ are equal. Now do

$$\begin{aligned} p_1 &\rightarrow \infty \\ p_2 &\rightarrow 0 \end{aligned}$$

and the rest of the points $p_3, \dots, p_n \in \mathbb{C}$. What happens is that not all of them are zero. So what is the moduli. It's very simple: $\overline{M}_{0,n;\bar{a}} = \mathbb{P}^{n-3}$. The map $\overline{M}_{0,n} \rightarrow \mathbb{P}^{n-3}$ is called the **Kapranov map**.

Then there's a drawing of to curves that intersect in a curve, and we map them to $\overline{M}_{0,n;\bar{a}}$, which is only one of the original lines (the one where p_1 lives) and the second one has been contracted to a point. So there is a contraction:

$$\begin{aligned} |I^c| \geq 3 &\implies \Delta_I \text{ is contracted} \\ &\implies \text{Every } \Delta_I \text{ is contracted} \end{aligned}$$

Exercise. • Take a bunch of triangles and see how many vertices they cover. Show that $|\bigcup_{i \in I} \Gamma_i| \geq |I| + 2$. If $|I| = 1$ or $|I| = n - 2$ we have stric equality. Here $|I|$ is the number of triangles that you chose and Γ_i is a triangle which is just three vertices. In fact, there are $n - 2$ black triangles when you have a black-and-white triangulation of n vertices. This is the **hypertree condition**

- Strict inequality for $1 < |I| < n - 2$ unless the triangulation is a *connected sum*. This is the *irreducible hypertree condition*.

So what does that have to do. So an *irreducible hypertree* is given by those inequalities. We have: $\Gamma_1, \dots, \Gamma_n$ irreducible hypersurface. and then: hypertree curve

$$\begin{aligned}\Gamma_i &= \{a, b, c\} \\ \Gamma_j &= \{a, x, y\}\end{aligned}$$

If it is not an octahedron there will surely be vertices with more than two black triangles.

Question. Is this curve the union of the two lines that pass through $\{a, b, c\}$ and $\{a, x, y\}$

So the curve is locally the union of coordinate axes.

$$M_{0,n} \subset \text{Morphisms}(\mathcal{C}_\Gamma, \mathbb{P}^1)$$

which is linear on every component of \mathcal{C}_Γ .

$$\begin{array}{c} \text{drawing of 4 lines} \\ \text{the components of the curve} \\ \text{with intersections numbered} \end{array} \longrightarrow \mathbb{P}^1$$

So the intersection points go to some 6 points in \mathbb{P}^1 . The choice of these 6 points is (the moduli space?).

And then we do

$$M_{0,n} \subset \text{Morph}(\mathcal{C}_\Gamma, \mathbb{P}^1) \rightarrow \text{Pic}(\mathcal{C}_\Gamma)$$

We have done

$$\mathcal{C}_\Gamma \xrightarrow{\varphi} \mathbb{P}^1 \longrightarrow \varphi^* \mathcal{O}(1)$$

So we have

$$M_{0,n} \longrightarrow (\mathbb{C}^*)^{n-3}$$

which is birational by Riemann-Roch.

So what is the exceptional locus D_Γ ? A general point of D_Γ .

We do a map $\mathcal{C}_\Gamma \rightarrow \mathbb{P}^2$. Choose a point $x \in \mathbb{P}^2$ and project from X and get n points on \mathbb{P}^1 .

Theorem (Castoret-Jenia). Γ is irreducible hypertree $\implies D_\Gamma \subset M_{0,n}$ is an irreducible

divisor. $\overline{D}_\Gamma \subset \overline{M}_{0,n}$ is an exceptional divisor

$$\begin{array}{ccc}
 D_\Gamma & \longrightarrow & \text{contracted} \\
 \downarrow & & \downarrow \\
 M_{0,n} & \longrightarrow & (\mathbb{C}^*)^{n-3} \\
 \downarrow & & \downarrow \\
 \overline{M}_{0,n} & \xrightarrow{\text{bir. contraction!}} & \text{compactified Jacobian} \\
 \uparrow \text{contained} & & \\
 \overline{D}_\Gamma & &
 \end{array}$$

So D_Γ is an exceptional ray of $\text{Eff}(\overline{M}_{0,n})$.

Theorem (Castravet, Laface, Jenia, Ugagic). $\text{Eff}(\overline{M}_{0,n})$ is infinitely generated for $n \geq 10$.

Lemma. Let $f : X \xrightarrow{\text{rat}}$ be a rational contraction. Then

$$\text{Eff}(X) \text{ f. g.} \implies \text{Eff}(Y) \text{ f.g.}$$

Other properties preserved by rational contractions are: being a MDS, having a SQS with nef but not semiample divisor, etc.

Proof. **Case 1** When f is a birational contraction. In this case you just notice that the effective cone of Y is going to be a pushforward of the effective cone of X :

$$\text{Eff}(Y) = f_* \text{Eff}(X)$$

for

$$f_* : N^1(X) \rightarrow N^1(Y)$$

Case 2 f is a morphism. There is no pushforward of divisors! But we still have a push-forward, but for cycles:

$$f_* : N_1(X) \rightarrow N_1(Y)$$

And then there is the $\text{Mov}_1(X)$ = cone spanned by *movable* curves on X , where movable means that the curve moves in a family that covers X . \square

Theorem (Bookson Demaria PP).

$$\text{Eff}(X) = \text{Mov}_1(X)$$

Proof. If the effective cone is polyhedral (finitely generated) then $\text{Mov}_1(X)$ is polyhedral. Then if you look at

$$f_* : \text{Mov}_1(X) \rightarrow \text{Mov}_1(Y)$$

is surjective, which implies that $\text{Mov}_1(Y)$ is also polyhedral. And then using duality we conclude that $\text{Eff}(Y)$ is polyhedral. \square

Goal To find a rational contraction of $\overline{M}_{0,1}$ with a non-polyhedral effective cone.

Step 1 This requires going back to Hassett spaces. So take the weights $(1 = 0, 1 = \infty, \varepsilon, \dots, \varepsilon$ with $\varepsilon \ll 1$. This means that the stable curves are chains of \mathbb{P}^1 .

Drawing of many curves (little arcs) one after the other with 0 in the left most
and ∞ in the rightmost.

So Permutahedron $= \mathbb{P} = \overline{M}_{0,n;\vec{a}} = \text{LM} = \text{Losev-Manin Space}$.

And the permutahedron is the convex hull $\{\sigma(1), \sigma(2), \dots, \sigma(k)\}_{\sigma \in S_k} \subset \mathbb{R}^k$. So for $k = 3$ it is a hexagon.

Universality property (This is their lemma with Anna Maria) Every projective toric variety $\mathbb{P}(\Delta)$ is a rational contraction of the toric variety associated to the permutahedron, and therefore, $\overline{M}_{0,n}$ for $n \gg 0$.

Unfortunately, this is not what you want because $\text{Eff}(\mathbb{P}(\Delta))$ is polyhedral (generated by toric boundary divisors).

Step 2 Now choose another Hassett space (the last one of this talk). Now choose $(1, 1, \underbrace{x, \dots, x}_k)$

with $kx = 1 + \varepsilon$ $\varepsilon \ll 1$. So it looks like this

$$\overline{M}_{0,n;\vec{a}} = \text{Bl}_e \text{LM}$$

and there is a drawing of how the exceptional divisor E looks like in this blow-up.

Theorem (Universality theorem 2). (and therefore the blow up of a toric variety at only one point is...) Every $\text{Bl}_e \mathbb{P}(\Delta)$ is a rational contraction of $\text{Bl}_e \mathbb{P}$ (permutahedron) (and also $\overline{M}_{0,n}$.)

Remark. $\Delta \subset \mathbb{R}^2$ lattice polygon. Then $\text{Bl}_e \mathbb{P}(\Delta)$ can be wild!

There is a drawing of a polygon made up from joining some specific points on a 6×6 square lattice. In this example $\text{Bl}_e \mathbb{P}_\Delta$ has a non-polyhedral effective cone. (Misha: it is singular because it contains integer points inside.) There is an elliptic curve inside this surface $C \subset \text{Bl}_e \mathbb{P}_\Delta$ given by $C : y^2 + y = x^3 - x^2 - 24x + 54$. And then

$$\text{Nef}(X) \subset \text{LC } X = \{D : S^2 \geq 0 \text{ is very ample}\} \subset \text{Eff } X$$

and C is away from singularities and has intersection 0, that is, $C^2 = 0$. Also $\mathcal{O}(C)|_C = \text{Pic}^0(C)$ and in fact $\mathcal{O}(C)|_C = (1, 5)$ has infinite order, so $h^0(mC) = 1 \forall m > 0$. And what this means is that C is not the fiber of an elliptic curve. But any multiple of C is just C . So C is not on a facet of $\text{Eff}(X)$.

Theorem (Nikulin). $\text{Eff}(\text{Surface})$ is polyhedral \implies Eff is generated by hsf curves. So Eff is polyhedral $\implies C$ is on the facet.

$$mC \sim xA + yB \text{ for some } x \text{ and } y$$

$$\implies h^0(mC) > 1$$

contradiction.

11.2 Two more anomalies

Theorem (Goto-Nishida-Watamabe). There exists $\mathbb{P}(a, b, c)$ such that $X = \text{Bl}_e \mathbb{P}(a, b, c)$ (in characteristic 0) has a nef, big, not semi-ample divisor.

Conjecture (Conjectural anomaly). If you take $\text{Bl}_e \mathbb{P}(9, 10, 13)$ then the effective cone looks like this: drawing of two lines intersecting at a point. One of the lines is E and the other is $D^2 = 0$. All you have to prove is that nothing outside of the shaded area (acute angle region) is effective. This is equivalent to $\mathbb{P}(9, 10, 13)$ has an irrational Seshadri constant.

The conjecture is that almost every surface has a point with an irrational Seshadri constant, but no example is known.

This would imply Nagata conjecture for $9 \cdot 10 \cdot 13$ points on \mathbb{P}^2 .

There is this world of blown up toric surfaces whose geometry is very