

# BIRATIONAL MAPS FROM THE COMMUTATIVE ALGEBRA VIEWPOINT

Minicourse by Hamid Hassanzadeh, OPEGA 2026, UFPE.

Notes at [github.com/danimalabares/stack](https://github.com/danimalabares/stack)

O estudo da equivalência de variedades algébricas a partir da biracionalidade é um dos temas centrais da Geometria Algébrica. Nessa direção, a classificação de variedades pode ser vista como parte da chamada geometria biracional. De modo análogo, podemos concentrar-nos na estrutura dos mapas racionais que definem biracionalidades entre variedades — este será o ponto de partida do minicurso. Apresentarei aplicações de métodos de Álgebra Comutativa, como o uso de syzygies no estudo dos ideais de base de mapas racionais, e discutirei critérios algébricos que fornecem ferramentas computacionais para verificar a biracionalidade de tais mapas. Introduziremos também alguns métodos no Macaulay2 para testar biracionalidade. Além disso, veremos como diferentes teorias de multiplicidades — como mixed multiplicity e j-multiplicity — desempenham um papel importante na abordagem algébrica dos mapas racionais.

## 1. PLAN

- A. Simis, A. Doria, - 2012 (Adv. Math) Criterio de Biracionalidade
- Simis, - 2012: Plane Cremona.
- Simis, -, 2017. Bounds for degrees of Birational maps with CM graph.
- Simis, Chardin 2021: degree of rational map versus syzygy.
- Mostafazadeh, 2024: loci de  $\text{Bir}(X)$ .
- RationalMaps.m2

## 2. INTRODUÇÃO

Let  $R$  be a ring. We are interested in  $\text{Mod}_R$  and  $R$ -algebras categories. The first one is abelian, while the second one isn't. There are other differences.

We are interested in discussing flat  $R$ -algebras and flat  $R$ -modules.

**Theorem 2.1** (Grothendieck Generic freeness theorem). *Let  $R$  be noetherian domain,  $\varphi : R \rightarrow S$ ,  $S$  a finitely generated  $R$ -algebra. Then there exists  $f \in R$  such that  $\varphi : R_f \rightarrow S_{\varphi(f)}$ ,  $S_{\varphi(f)}$  is a free  $R_f$ -module.  $S_{\varphi(f)}$  is a flat  $R_f$ -module.*

**Theorem 2.2** (Dimension of fibers theorem (Matsumura 15.1)).  *$\varphi : R \rightarrow S$ ,  $R$  Noetherian,  $S$  Noetherian,  $Q \in \text{Spec}(S)$ ,  $p = \varphi^{-1}(Q) \in \text{Spec}(R)$ ,*

- (1)  $htQ \leq htp + \dim \frac{S_Q}{pS_Q}$  [Shafarevich section 3].
- (2) If  $\varphi$  is flat then  $htQ = htp + \dim \frac{S_Q}{pS_Q}$ .

If  $Q \not\ni \varphi(f) \implies S_Q$  is a free  $R_p$ -module (by Grothendieck's theorem).  $\dim S_Q = \dim R_p + \dim \frac{S_Q}{pS_Q}$ .

Topologia de Zariski.

$$\text{Spec}(S) = \{Q : Q \text{ primo}\}$$

$$\text{fechado } = V(I) = \{Q : Q \supseteq I\}.$$

$S$  is a domain,  $\text{Spec}(S)$  is an irreducible space,  $\implies$  open nonempty sets are dense.

$k$  field. An algebraic set is  $V(I) = Z(I)$  for an ideal  $I \subset k[x_1, \dots, x_n]$ .  $I$  is prime if and only if  $X := V(I)$  is irreducible. Recall that a polynomial map is given by polynomial functions in the entries. Any such map yields in the category of algebras a map

$$k[Y] = A[Y] = \mathcal{O}_Y = \frac{k[y_1, \dots, y_m]}{J} \xrightarrow{\varphi^*} \frac{k[x_1, \dots, x_n]}{I}$$

$$y_i \mapsto \overline{f_i(x)}$$

where  $Y := V(J)$ .  $\varphi^*(g) = 0 \forall g \in J, g \in J \iff g(f_1, \dots, f_m) \in I$  where  $f_i$  are the coordinate polynomial functions of  $\varphi$ .

$\varphi$  is an isomorphism if it has a right and left inverse morphism.  $\varphi : X \rightarrow X$  is an automorphism if it is an isomorphism.

Question: determine the automorphism group  $\text{Aut}(X)$ .

If  $X = \mathbb{A}^1$ , a morphism

$$\begin{aligned} \varphi : k[x] &\longrightarrow k[x] \\ x &\longmapsto f(x) \end{aligned}$$

has an inverse if and only if there exists  $f(x)$  such that  $f(g(x)) = x$ . [This forces  $f$  to have degree 1 since composition  $f \circ g$  must have degree  $\deg f \cdot \deg g = \deg x = 1$ .] So  $f(x) = ax + b, a \neq 0$ . Thus, we can parametrize the automorphism by two numbers  $a, b$  with  $a \neq 0$ . This is a quasi-affine variety.

For  $X = \mathbb{A}^2$  the situation is a little more involved. de Jonequeres, Triangular group. Hierzch Jung 1942, chre. Wout Van der Kulk, 1953, chr arbitrary.

$$\text{Aut}(\mathbb{A}^2) = \langle \text{linear, triangular} \rangle$$

For  $\text{Aut}(\mathbb{A}^3)$ , the question if whether  $\text{Aut}(\mathbb{A}^3) = \langle \text{linear, triangular} \rangle$ . This is false in general

**Definition 2.3.** An auotomorphism  $\sigma \in \text{Aut}(\mathbb{A}^3)$  is called *tame* if  $\sigma \in \langle \text{linear, triangular} \rangle$  and *wild* otherwise.

Nagata's conjecture is that a  $\sigma : k[x, y, z] \rightarrow k[x, y, z], (x, y, z) \mapsto (x + (x^2 - yz)z, y + 2(x^2yz)x + (x^2 - yz)^2z, z)$  is wild.

Shiguro Kuroda 2014. Introduce a monomial order  $\leq$  in  $k[x, y, z]$ . [The following is not a criterion; this just says "if this happens, then the morphism is wild", but not an iff.] Let

$$\begin{aligned} \sigma : k[x, y, z] &\longrightarrow k[x, y, z] \\ &\longmapsto (f_1, f_2, f_3) \end{aligned}$$

$\sigma$  is wild if

- (1)  $\text{int}(f_1), \text{int}(f_2), \text{int}(f_3)$  are linearly dependent over  $\mathbb{Z}$ , pairwise linear over  $\mathbb{Z}$ .
- (2)  $\text{int}(f_{i_1}) \neq p\text{int}(f_{i_2}) + q(\text{int}(f_{i_3}))$  for  $p, q \in \mathbb{Z}_{\geq 0}$ .

Jacobian conjecture: a polynomial map  $\varphi : \mathbb{C}^n \rightarrow \mathbb{C}^n$  [with coordinate functions]  $(f_1(\mathbf{x}), \dots, f_n(\mathbf{x}))$  is an automorphism if and only if  $\det \text{Jac}(\varphi) = \text{constant} \neq 0$ .

The forward implication is clear: if  $\varphi\psi = \text{id}$  then  $\text{Jac}(\varphi)(\psi)\text{Jac}(\psi) = \text{Jac}(\text{id}) = 1$ .

[Bass-Conell-Wright, 1982] Let  $\varphi : \mathbb{C}^n \rightarrow \mathbb{C}^n$  a polynomial map with  $\det \text{Jac} \varphi = \text{constant}$ . Then  $\varphi$  is invertible (its inverse is a polynomial map) [note that by the holomorphic inverse function theorem we already know that there exists a local inverse that is a series, but here we see it's actually polynomial] if and only if  $\varphi$  is injective if and only if  $\varphi$  is proper ( $\varphi^{-1}(\text{compact}) = \text{compact}$ ).

**Theorem 2.4.** *If the Jacobian conjecture is valid for all  $n$  and for any polynomial of degree  $\leq 3$ , then it's valid.*

**Theorem 2.5.** *If the Jacobian conjecture is valid over  $\mathbb{C}$  then it is valid over any domain.*