

MUMFORD-TATE GROUPS IN HODGE THEORY

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1. PLAN

- (1) Motivation: cohomology of algebraic varieties.
- (2) Definition. Hodge structures, Mumford-Tate group.
- (3) Characterizations of the MT groups and relations with representation theory.
- (4) Variations of Hodge structures and moduli spaces.
- (5) Dichotomy: abelian vs non-abelian HS.
- (6) The Kuga-Satake construction.

2. INTRODUCTION

$X \subset \mathbb{C}P^N$ smooth complex subvariety, $\dim_{\mathbb{C}} = n$. First recall we have singular cohomology, $H^k(X, \mathbb{C})$, which is isomorphic to the cohomology of the constant sheaf $\underline{\mathbb{C}}_X$. This cohomology is nonzero for $0 \leq k \leq 2n$.

Recall. $U \subset X$ open, $\Gamma(U, \mathbb{C}) = \{f : U \rightarrow \mathbb{C} : f \text{ is locally constant}\} = \prod_{\pi_0(U)} \mathbb{C}$.

Example 2.1. (1) $X = \mathbb{C}P^n$,

$$H^k(\mathbb{C}P^n, \mathbb{C}) = \begin{cases} \mathbb{C} & k = 2m, 0 \leq m \leq n \\ 0 & \text{otherwise.} \end{cases}$$

A way to prove this is using the CW decomposition of $\mathbb{C}P^n$.

- (2) $X \subset \mathbb{C}P^2$ hypersurface of degree d ; X a Riemann surface of genus $g = \frac{(d-1)(d-2)}{2}$. Then $H^0(X, \mathbb{C}) = \mathbb{C}$, $H^1(X, \mathbb{C}) = \mathbb{C}^{2g}$, $H^2(X, \mathbb{C}) = \mathbb{C}$.

We also have the following additional data (a Hodge structure) on $H^k(X, \mathbb{C})$:

- A lattice $H^k(X, \mathbb{Z})/\text{torsion} \subset H^k(X, \mathbb{C})$,

- A (p, q) -decomposition, $H^k(X, \mathbb{C}) = \bigoplus_{p+q=k} H^{p,q}(X)$.

Why is it useful?

- It gives restrictions on possible Betti numbers of algebraic varieties. (So it may tell us that certain complex variety cannot be algebraic, for example.)
- It $f : X \rightarrow Y$ is a morphism of algebraic varieties, then $f^* : H^k(Y, \mathbb{C}) \rightarrow H^k(X, \mathbb{C})$ preserves the Hodge structure.

As an example of the latter statement,

Example 2.2. Let $X \subset \mathbb{C}P^4$ be a “general” hypersurface of degree 5. Then there exists no abelian variety (i.e. a projective variety that is biholomorphic to $\mathbb{C}P^N/\Lambda$ where Λ is a lattice; so, a complex torus that is also a projective variety) A that admits a dominant birational map onto X $f : A \dashrightarrow X$.

3. THE P, Q DECOMPOSITION

We use the de Rham complex. Let Ω_X^k be the sheaf of holomorphic k -forms. The de Rham complex is

$$\Omega_{dR}^\bullet = (0 \rightarrow \mathcal{O}_X \rightarrow \Omega_X^1 \xrightarrow{d} \cdots \rightarrow \Omega_X^n \rightarrow 0)$$

Ω_{dR}^\bullet is a resolution of \mathbb{C}_X . Therefore $H^k(X, \mathbb{C}) \cong H^k(X, \Omega_{dR}^\bullet)$.

Let's define a subcomplex:

$$F^p \Omega_{dR}^\bullet = (0 \rightarrow \cdots \rightarrow \Omega_X^p \rightarrow \Omega_X^{p+1} \rightarrow \cdots \rightarrow \Omega_X^n \rightarrow 0)$$

It's a subcomplex $F^p \Omega_{dR}^\bullet \subset \Omega_{dR}^\bullet$ since the sheaves coincide when $F^p \Omega_{dR}$ are nonzero and they inject otherwise (because it's the zero sheaf).

This gives $H^k(X, F^p \Omega_{dR}^\bullet) \xrightarrow{(*)} H^k(X, \Omega_{dR}^\bullet) = H^k(X, \mathbb{C})$.

Definition 3.1. The *Hodge filtration* is $F^p H^k(X) = \text{Im}(*).$

Hodge theory tells us the map $(*)$ is in fact injective.

Let $\Lambda_X^{p,q}$ be the sheaf of C^∞ -forms on X of type (p, q) . They are given locally by $\sum_{|I|=p, |J|=q} \alpha_{IJ} dz_I \wedge d\bar{z}_J$, for $\alpha_{IJ} \in C_X^\infty$.

Then we have an acyclic resolution of Ω_X^p :

$$0 \rightarrow \Omega_X^p \hookrightarrow \Lambda_X^{p,0} \xrightarrow{\bar{\partial}} \Lambda_X^{p,1} \xrightarrow{\bar{\partial}} \cdots \rightarrow \Lambda_X^{p,n} \rightarrow 0.$$

$\Lambda_X^{\bullet,\bullet}$ is a fine resolution of Ω_X^\bullet .

Then $H^k(X, \mathbb{C}) \cong H^k(X, \text{Tot} \Lambda_X^{\bullet,\bullet})$, which can be computed by a spectral sequence. The first page of such spectral sequence is given by $E_1^{p,q} = H^q(X, \Omega_X^p)$. This converges to $H^k(X, \mathbb{C})$. This is the Hodge-to-de Rham spectral sequence.

Since our manifolds are projective they admit a Kähler metric ω induced by the inclusion $X \xrightarrow{i} \mathbb{C}P^N$, that is, $\omega = i^*(\text{Fubini-Study metric on } \mathbb{C}P^N)$.

Then $\Lambda_X^k = \bigoplus_{p+q=k} \Lambda_X^{p,q}$, Λ_X^\bullet becomes an elliptic complex.

We have

$$\cdots \rightarrow \Lambda_X^{k-1} \xrightarrow{d} \Lambda_X^k \rightarrow \cdots, \quad \cdots \rightarrow \Lambda_X^k \xrightarrow{d^*} \Lambda_X^{k-1} \rightarrow \cdots$$

where d^* is the adjoint of d w.r.t. ω . Then $\Lambda = dd^* + d^*d$ is an elliptic operator. $\mathcal{H}^k = \text{Ker}(\Lambda|_{\Lambda_X^k}) = \text{Ker}(d|_{\Lambda_X^k}) \cap \text{Ker}(d^*|_{\Lambda_X^k})$ are the harmonic forms.

Consider a natural map from the harmonic forms of type (p, q) to the cohomology:

$$\mathcal{H}^{p,q} = \mathcal{H}^k \cap \Lambda_X^{p,q} \xrightarrow{(**)} H^q(X, \Omega_X^p).$$

Fact: since $d\omega = 0$ (X is Kähler), $(**)$ is an isomorphism.

This means that for Kähler manifolds

$$\dim H^k(X, \mathbb{C}) \leq \sum_{p+q=k} \dim H^q(X, \Omega_X^p) \underbrace{\leq}_{(**)} \dim H^k(X, \mathbb{C})$$

This implies that the Hodge-to-de Rham spectral sequence degenerates at E_1 . Therefore,

$$H^k(X, \mathbb{C}) = \mathcal{H}^k = \bigoplus_{p+q=k} \mathcal{H}^{p,q} \cong H^q(X, \Omega_X^p).$$

The Hodge filtration is

$$F^p H^k(X, \mathbb{C}) = \bigoplus_{\substack{p'+q'=k \\ p' \geq p}} H^{p',q'}(X).$$

4. SYMMETRIES OF THE P, Q DECOMPOSITION

- (1) Since $\overline{\Lambda_X^{p,q}} = \Lambda_X^{q,p}$, we have $\mathcal{H}^{q,p} = \overline{\mathcal{H}^{p,q}}$. This means that if $k \equiv 1 \pmod{2}$, $H^k(X, \mathbb{C}) = \mathcal{H}^{k,0} \oplus \dots \oplus \mathcal{H}^{\frac{k+1}{2}, \frac{k-1}{2}} \oplus \mathcal{H}^{0,k} \oplus \dots \oplus \mathcal{H}^{\frac{k-1}{2}, \frac{k+1}{2}}$. This means that the k -th Betti number is even, $b_k(X) \equiv 0 \pmod{2}$.
- (2) (Poincaré duality.) We have a perfect pairing

$$H^k(X, \mathbb{C}) \otimes H^{2n-k}(X, \mathbb{C}) \longrightarrow \mathbb{C}$$

$$[\alpha] \otimes [\beta] \longmapsto \int_X \alpha \wedge \beta$$

Notice that if $\alpha \in \mathcal{H}^{p,q}$ then $\beta \in \mathcal{H}^{n-p, n-q}$. This induces a perfect pairing

$$\mathcal{H}^{p,q} \otimes \mathcal{H}^{n-p, n-q} \rightarrow \mathbb{C}.$$

- (3) (Polarization and the Lefschetz operator.) The polarization is the Kähler class of the Kähler form. By X being projective we have that the Kähler class is integral. Moreover, it is the Poincaré dual of the hyperplane section class. That is, let $h \in H^2(\mathbb{C}P^n, \mathbb{Z})$ be the class of a hyperplane, then $i^*h = [\omega] \in H^2(X, \mathbb{Z})$. We have $\omega \in \mathcal{H}^{1,1}$ and $[\omega] \in H^2(X, \mathbb{Z}) \cap H^{1,1}(X)$. The Lefschetz operator is

$$L_\omega : H^{p,q}(X) \longrightarrow H^{p+1, q+1}(X)$$

$$[\alpha] \longmapsto [\alpha \wedge \omega] = [\alpha] \cup [\omega].$$

Lefschetz theorem says

- (a) $L_\omega^k : H^{n-k}(X, \mathbb{Q}) \xrightarrow{\sim} H^{n+k}(X, \mathbb{Q})$ is an isomorphism for $0 \leq k \leq n$
- (b) The dual of L_ω is

$$\Lambda_\omega : H^{p,q}(X) \longrightarrow H^{p-1, q-1}(X)$$

$$[\alpha] \longmapsto [i_\alpha \omega].$$

$$[L_\omega, \Lambda_\omega] = \Theta \in \text{End}(H^\bullet(X, \mathbb{Q}))$$

$$\Theta|_{H^k(X, \mathbb{Q})} = (k - n)\text{Id}$$

Then L_ω, Λ_ω and Θ span a subalgebra of $\text{End}(H^\bullet(X, \mathbb{Q}))$ isomorphic to \mathfrak{sl}_2 . This allows us to use what we know about the representation theory of \mathfrak{sl}_2 . Let $H_{\text{prim}}^k(X, \mathbb{Q}) = (\Lambda|_{H^k(X, \mathbb{Q})})$. Then $H^m(X, \mathbb{Q}) = \bigoplus_{i \geq 0} L_\omega^i H_{\text{prim}}^{m-2i}(X, \mathbb{Q})$ for $0 < m \leq n$. (I think this corresponds to the usual weight space decomposition.)

[Picture of Hodge diamond. Reflection by vertical axis is complex conjugation, 180-degree rotation is Poincar'e duality, $p+q=\text{constant}$ is a horizontal line, reflection along horizontal axis is Lefschetz theorem. Warning! This depends on conventions of how we draw the diamond.]

5. THE HODGE-RIEMANN RELATIONS

For all $[\alpha] \in H_{\text{prim}}^k(X, \mathbb{C}) \cap H^{p,q}(X)$ we have

$$i^{p-q}(-1)^{\frac{k(k-1)}{2}} \int_X \alpha \wedge \bar{\alpha} \wedge \omega^{n-k} > 0.$$

(Here i is the imaginary unit.)

Define a pairing on $H_{\text{prim}}^k(X, \mathbb{C})$:

$$\psi([\alpha], [\beta]) = (2\pi i)^{-k} (-1)^{\frac{k(k-1)}{2}} \int_X \alpha \wedge \beta \wedge \omega^{n-k}$$

which is $(-1)^k$ -symmetric.

Definition 5.1. The *Weil operator* $C \in \text{End}(H^k(X, \mathbb{C}))$ is given by $C|_{H^{p,q}(X)} = (i)^{p-q} \text{Id}$.

Let $Q([\alpha], [\beta]) = (2\pi i)^k \psi(C[\alpha], [\beta])$.

Then Q is symmetric (exercise) and positive on $H^k(X, \mathbb{R})$. Positive is just the Hodge-Riemann relation.

6. HODGE STRUCTURES AND MUMFORD-TATE GROUPS

Definition 6.1. A *rational Hodge structure* (\mathbb{Q} -HS) of weight $k \in \mathbb{Z}$ is a finite-dimensional \mathbb{Q} -vector space V and a decomposition $V \otimes_{\mathbb{Q}} \mathbb{C} = \bigoplus_{p+q=k} V^{p,q}$ such that $V^{q,p} = \overline{V^{p,q}}$ for all p, q .

A \mathbb{Q} -HS (without fixed weight) is a \mathbb{Q} -vector space V with a decomposition $V = V_{k_1} \oplus \dots \oplus V_{k_n}$ where V_{k_i} is a \mathbb{Q} -HS of weight k_i .

Analogously, define \mathbb{Z} -HS, \mathbb{R} -HS, etc (i.e. take V to be a finitely generated \mathbb{Z} -module, \mathbb{R} -vector space, etc.)

Example 6.2. (1) $X \subset \mathbb{CP}^N$ smooth subvariety, then $H^k(X, \mathbb{Z})$ is a \mathbb{Z} -HS of weight k .

(2) The *Tate HS* is $\mathbb{Z}(1) := 2\pi i \mathbb{Z} = \text{Ker}(\mathbb{C} \xrightarrow{\exp} \mathbb{C}^*, \text{ since } \mathbb{C} = \mathbb{Z}(1) \otimes_{\mathbb{Z}} \mathbb{C} = \mathbb{Z}(1)^{-1,1}, \text{ so this is a } \mathbb{Q}\text{-HS of weight } -2.$

Note that $H^2(\mathbb{CP}^1, \mathbb{Z}) = \mathbb{Z}$ sits inside $H^2(\mathbb{CP}^1, \mathbb{C} = \mathbb{Z} \otimes \mathbb{C} \cong \mathbb{C} = H^{1,1}(\mathbb{CP}^1))$.

Analogously, $\mathbb{Q}(1) = 2\pi i \mathbb{Q} \subset \mathbb{C}$ and $\mathbb{Q}(1) \otimes \mathbb{C} = \mathbb{Q}(1)^{-1,-1}$ is of weight -2 .

7. THE DELIGNE TORUS

Definition 7.1. \mathbb{S} is the algebraic group such that $\mathbb{S}(\mathbb{R})$ is the $\mathbb{C}^* = \mathbb{C} \setminus \{0\}$.

The group of real points $\mathbb{S}(\mathbb{R})$ is a real Lie group.

Note that

$$\begin{aligned}\mathbb{C}^* &= \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 \neq 0\} \\ &= \{(x, y, t) \in \mathbb{R}^3 : (x^2 + y^2)t = 1\},\end{aligned}$$

which allows to see \mathbb{C}^* as the vanishing locus of the polynomial $(x^2 + y^2)t - 1$, so to see it as an algebraic variety. Now

$$\begin{aligned}\mathbb{S}(\mathbb{C}) &= \{(x, y, t) \in \mathbb{C}^3 : (x^2 + y^2)t = 1\} \\ &= \{(x, y, t) \in \mathbb{C}^3 : \underbrace{(x + iy)}_z \underbrace{(x - iy)}_w t = 1\} \\ &= \{(z, w, t) \in \mathbb{C}^3 : zwt = 1\} \\ &= \{(z, w) \in \mathbb{C}^2 : z \neq 0, w \neq 0\} \cong \mathbb{C}^* \times \mathbb{C}^*.\end{aligned}$$

Let V be a \mathbb{Q} -HS of weight k . Define a representation over \mathbb{R} $\rho : \mathbb{S}(\mathbb{R}) \rightarrow \mathrm{GL}(V \otimes \mathbb{R})$ as follows: $\forall z \in \mathbb{C}^* \forall v \in V^{p,q}$ $\rho(z) \cdot v = z^p \bar{z}^q v$ if $v \in V \otimes \mathbb{R}$, then $v = \sum_{p+q=k} v^{p,q}$, $v^{q,p} = \overline{v^{p,q}}$, and then $\overline{\rho \cdot v} = \sum_{p+q=k} z^p \bar{z}^q = \sum_{p+q=k} \bar{z}^p z^q v^{q,p} = \rho(z) \cdot v$, so it is in fact a representation. But why? Why is this equality what we need to make sure it is a representation?

Observe that $z \in \mathbb{R}^* \subset \mathbb{S}(\mathbb{R}) = \mathbb{C}^*$, the eigenvalue is $\rho(z) \cdot v = z^{p+q} \cdot v = z^k \cdot v$. This motivates the following:

Conversely, given a \mathbb{Q} -vector space V and a representation $\rho : \mathbb{S}(\mathbb{R}) \rightarrow \mathrm{GL}(V \otimes \mathbb{R})$ of \mathbb{R} -groups, such that $\forall r \in \mathbb{R}^* \rho(r) = r^k \cdot \mathrm{Id}$, we have $V \otimes \mathbb{C} = \bigoplus_{p,q} V^{p,q}$ where $v \in V^{p,q}$, $\rho(z, w) = z^p w^q \cdot v$. Since ρ is a representation of \mathbb{R} -groups we have $V^{q,p} = \overline{V^{p,q}}$, so by the computation above we have $V^{p,q} = 0$ when $p + q \neq k$, then V becomes a \mathbb{Q} -HS of weight k .

In conclusion, a \mathbb{Q} -HS is the same thing as a \mathbb{Q} -vector space V and a representation of \mathbb{R} -groups $\rho : \mathbb{S}(\mathbb{R}) \rightarrow \mathrm{GL}(V \otimes \mathbb{R})$ such that $\rho|_{\mathbb{R}^*}$ is defined over \mathbb{Q} .

(We may also replace \mathbb{Q} with \mathbb{Z} , etc. in this construction.)

Back to the Tate group $\mathbb{Q}(1) = 2\pi i \mathbb{Q} \subset \mathbb{C}$, define for all $z \in \mathbb{C}^*$ and $v \in \mathbb{Q}(1) \otimes \mathbb{C}$ by $\rho(z) \cdot v = |z|^{-2} \cdot v$.

We have operations on HS: $V_1 \oplus V_2, V_1 \otimes V_2, \mathrm{Hom}(V_1, V_2)$. The \mathbb{Q} -HS form an abelian category. Let $\mathbb{Q}(m) = \mathbb{Q}(1)^{\otimes m}$ when $m \geq 0$, $\mathbb{Q}(-1) = \mathbb{Q}(1)^*$ and $\mathbb{Q}(-m) = \mathbb{Q}(-1)^{\otimes m}$ for $m \geq 0$.

If V is a \mathbb{Q} -HS, then $V(m) = V \otimes \mathbb{Q}(m)$ is the *Tate twist*.

Example 7.2. (1) $X \subset \mathbb{C}P^n$ subvariety. Notice that while \mathbb{C} is the algebraic closure of \mathbb{R} , such a closure can be obtained by choosing the imaginary unit i or $-i$, so this is not canonical.

However, the first Chern class is canonical:

$$0 \longrightarrow \mathbb{Z}(1) \longrightarrow \mathbb{C} \xrightarrow{\exp} \mathbb{C}^* \longrightarrow 0$$

gives the connecting homomorphism $H^1(X, \mathbb{C}^*) \xrightarrow{c_1} H^2(X, \mathbb{Z}(1))$ which is in fact a HS of weight 0 (because H^2 has weight 2 and $\mathbb{Z}(1)$ has weight -2).

Analogously, the p -th chern class is $c_p(A \text{ coh. sheaf}) \in H^{2p}(X, \mathbb{Q}(p))$.

(2) $cl : CH_{\mathbb{Q}}^p(X) \rightarrow H^{2p}(X, \mathbb{Q})$ the cycle class map, where

$$CH_{\mathbb{Q}}^p(X) = \left\{ \sum_{\substack{Z_i \subset X \\ \text{subvar.}}} \alpha_i [Z_i] : \alpha_i \in \mathbb{Q} \right\} / \text{rational equiv.}$$

Consider

$$\begin{array}{ccc} Z & \xrightarrow{i} & X \\ \uparrow & \nearrow j & \\ \tilde{Z} & & \end{array}$$

where Z is a subvariety of codimension p and \tilde{Z} is a resolution of singularities. Then we have the pushforward of the fundamental class, $j_*[\tilde{Z}] \in H_{2n-2p}(X, \mathbb{Q})$ where $[\tilde{Z}] \in H_{2n-2p}(\tilde{Z}, \mathbb{Q}) \cong H^{2n-2p}(\tilde{Z}, \mathbb{Q})^*$. Then the Poincare dual of $j_*[\tilde{Z}] := d[Z] \in H^{2p}(X, \mathbb{Q}) \cap H^{p,p}(X)$. So $H_{2n-2p}(\tilde{Z}, \mathbb{Q})$ is a HS of weight $2(p-n)$ and $[\tilde{Z}] \in H_{p-n, p-n}$.

Definition 7.3. The space of Hodge classes is $H_{\text{Hdg}}^{2p}(X) = H^{2p}(X, \mathbb{Q}) \cap H^{p,p}(X)$

Then we have $cl : CH_{\mathbb{Q}}^p(X) \rightarrow H_{\text{Hdg}}^{2p}(X) \cong \text{Hom}_{\mathbb{Q}\text{-HS}}(\mathbb{Q}(-p), H^{2p}(X, \mathbb{Q}))$. The Hodge conjecture is that cl is surjective onto the space of Hodge classes.

8. POLARIZATIONS

Let V be a \mathbb{Q} -HS of weight k and $\rho : \mathbb{S}(\mathbb{R}) \rightarrow \text{GL}(V \otimes_{\mathbb{Q}} \mathbb{R})$ the corresponding representation of the Deligne torus. The Weil operator in terms of ρ is $C = \rho(i)$.

Definition 8.1. A *polarization* on V is a morphism of \mathbb{Q} -HS is a $(-1)^k$ -symmetric morphism $\psi : V \otimes V \rightarrow \mathbb{Q}(-k)$ such that the bilinear form $Q : V_{\mathbb{R}} \otimes V_{\mathbb{R}} \rightarrow \mathbb{R}$ given by $Q(x, y) = (2\pi i)^k \psi(Cx, y)$ is symmetric and positive definite.

Example 8.2. $V = H_{\text{prim}}^k(X, \mathbb{Q})$ with ψ the Hodge-Riemann pairing.

Observe: if V is polarizable (i.e. it admits a polarization; not every HS admits a polarization e.g. HS on nonprojective varieties) then V is semisimple $V = V_1 \oplus \dots \oplus V_m$, V_i is simple.

Assume $W \subset V$ is a sub-HS, then $W^{\perp_{\psi}} \subset V$ is a sub-HS. Then $0 \subsetneq W \subsetneq W^{\perp_{\psi}} \subsetneq V$

$\underbrace{\quad}_{\substack{Q \\ \text{positive} \\ \text{def.}}}$

$W^{\perp_{\psi}} \subset V$, Then $V = W \oplus W^{\perp_{\psi}}$.

9. THE MUMFORD-TATE GROUP

Let V be a \mathbb{Q} -HS and $\rho : \mathbb{S}(\mathbb{R}) \rightarrow \text{GL}(V \otimes \mathbb{R})$ a representation of \mathbb{R} -groups.

Consider $G \subset \text{GL}(V)$ such that $\text{Im}(\rho) \subset G(\mathbb{R})$.

(The idea is that the Mumford-Tate group will recover the rational structure of V , because the representation ρ knows nothing about this rational structure, which must exist since V is a rational vector space.)

Definition 9.1. The *Mumford-Tate group* is

$$MT(V) = \bigcap_{\substack{G \subset \text{GL}(V) \\ \text{subgroup s.t.} \\ \text{Im}(\rho) \subset G(\mathbb{R})}} G = \text{smallest } Q\text{-subgroup of } \text{GL}(V) \text{ containing } \text{Im} \rho.$$

Remark 9.2. We can also consider $U(1) \subset \mathbb{S}(\mathbb{R})$ and $\rho' := \rho|_{U(1)} : U(1) \rightarrow \mathrm{GL}(V \otimes \mathbb{R})$. Then the *Hodge group* is $\mathrm{Hdg}(V) =$ smallest Q -subgroup of $\mathrm{GL}(V)$ such that $\mathrm{Im}(\rho') \subset \mathrm{Hdg}(V)(\mathbb{R})$.

$\mathrm{Hdg}(V)$ is always smaller than $MT(V)$.

Example 9.3. (1) $MT(\mathbb{Q}(1)) = \mathbb{Q}^* \mathbb{Q}(1) = 2\pi i \mathbb{Q} \subset \mathbb{C}$, $\mathbb{Q}(1) \otimes \mathbb{R} = 2\pi i \mathbb{R} \subset \mathbb{C}$, $\mathrm{GL}(\mathbb{Q}(1) \otimes \mathbb{R}) = \mathbb{R}^*$, since $z \in \mathbb{S}(\mathbb{R})$ acts as $|z|^{-2} \cdot \mathrm{Id}$.
 (2) $\mathbb{Q}(0) = \mathbb{Q} \subset \mathbb{C}$, $MT(\mathbb{Q}(0)) = \{1\}$. In general, if V is of weight k , then $\mathbb{Q}^* =$ center of $\mathrm{GL}(V) \subset MT(V)$ and $MT(V)$ is generated by \mathbb{Q}^* and $\mathrm{Hdg}(V)$.

10. TENSOR CONSTRUCTION

Let V be a \mathbb{Q} -HS with $MT(V) \subset \mathrm{GL}(V)$ and $\rho : \mathbb{S}(\mathbb{R}) \rightarrow MT(V)(\mathbb{R})$. Then

$$T^\bullet(V) = \bigoplus_{e, f \geq 0} V^{\otimes e} \otimes (V^*)^{\otimes f}$$

is a $MT(V)$ -representation.

Proposition 10.1. *A finite-dimensional subspace $W \subset T^\bullet(V)$ is a sub-HS if and only if W is a $MT(V)$ -subspace.*

Proof. (\Leftarrow). If W is a $MT(V)$ -subrepresentation, then from ρ we can compose with the representation that $MT(V)$ is to obtain $\rho' : \mathbb{S}(\mathbb{R}) \rightarrow \mathrm{GL}(W \otimes \mathbb{R})$. Note that $\rho|_{\mathbb{R}^*}$ is defined over Q , We get that W is a sub-HS.

(\Rightarrow). Assume that $W \subset T^\bullet(V)$ is a sub-HS, $G = \mathrm{Stab}(W) \subset \mathrm{GL}(V)$ is a \mathbb{Q} -subgroup. Since W is a sub-HS, the action of $\mathbb{S}(\mathbb{R})$ on $T^\bullet(V)$ preserves W , then $\mathrm{Im}(\rho) \subset G(\mathbb{R})$ and thus $MT(V) \subset G$, which implies that W is a $MT(V)$ -subrepresentation. \square

As a corollary,

Lemma 10.2. *$x \in T^\bullet(V)$ is $MT(V)$ -invariant if and only if X is a $(0, 0)$ Hodge element.*

Proposition 10.3. *Assume that V is a \mathbb{Q} -HS of weight k and $\psi : V \otimes V \rightarrow \mathbb{Q}(-k)$ is a polarization. Then*

$$\mathrm{Hdg}(V) \subset \begin{cases} SO(V, \psi) & \text{if } k \equiv 0 \pmod{2} \\ Sp(V, \psi) & \text{if } k \equiv 1 \pmod{2} \end{cases}$$

Proof. $\psi : V \otimes V \rightarrow \mathbb{Q}(-k)$, where the $\mathbb{Q}(-k)$ is a trivial $\mathrm{Hdg}(V)$ -module, so that ψ is a morphism of $\mathrm{Hdg}(V)$ -modules. The action of $\mathrm{Hdg}(V)$ preserves the symmetric form ψ if $k \equiv 0 \pmod{2}$ and the antisymmetric form ψ if $k \equiv 1 \pmod{2}$. \square

Example 10.4. Let $V = H^1(E, \mathbb{Q})$ where E is an elliptic curve and ψ the Hodge-Riemann pairing. Then $V \otimes \mathbb{C} = V^{1,0} \oplus V^{0,1}$ and $\dim V^{1,0} = 1$. $\mathrm{Hdg}(V) \subset Sp(V, \psi) \cong \mathrm{SL}_2(\mathbb{Q})$.

Observe that, in general, if V is polarizable, then $V = V_1 \oplus \dots \oplus V_m$, V_i are irreducible $MT(V)$ -representations, so $MT(V)$ is reductive.

Back to our elliptic curve example, $\mathrm{Hdg}(V) \subset \mathrm{SL}_2(\mathbb{Q})$ is reductive.

There are two possibilities:

- (1) $\mathrm{Hdg}(V) = \mathrm{SL}_2(\mathbb{Q})$, which implies that $MT(V) = \mathrm{GL}_2(V)$. This happens when E is generic in the moduli space.

- (2) $Hdg(V)$ is properly contained in $SL_2(\mathbb{Q})$. Then $Hdg(V)$ is a 1-dimensional torus. Then $\text{End}_{\mathbb{Q}-HS}(V) \neq \mathbb{Q}$, which implies that E has complex multiplication.

Definition 10.5. A HS V is of *CM-type* if $MT(V)$ is abelian (such HS defines a special point in the moduli space of HS.)