

SEMINARS

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1. A PRIMER ON SYMPLECTIC GROUPOIDS

Camilo Angulo, Jilin University. Geometric Structures Seminar, IMPA. February 13, 2025.

Abstract. In the late 17th century, Simeon Denis Poisson discovered an operation that helped encoding and producing conserved quantities. This operation is what we now know as a Lie bracket, an infinitesimal symmetry, but what is its global counterpart? Symplectic groupoids are one possible answer to this question. In this talk, we will introduce all the basic concepts to define symplectic groupoids, and their role in Poisson geometry. We will discuss key examples, and applications. The talk will be accessible to those familiar with differential geometry, but no prior knowledge of groupoids will be assumed.

Part 1. Poisson geometry.

Hamiltonian formalism. Recall that being a conserved quantity $f \in C^\infty(X)$ is the same thing as $\{H, f\} = 0$.

- We have seen that it is always possible to take quotient of a symplectic manifold with a group action to obtain a Poisson manifold.

- Then we have found a way to produce a symplectic foliation from a 2-vector $\pi \in \mathfrak{X}^2(M) := \Lambda^2(TM)$.

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Remark 1.1.

$$\{\text{Lie algebra on } \mathfrak{g}\} \xrightarrow{1-1} \{\text{Linear Poisson bracket on } \mathfrak{g}^*\}$$

- We saw very nice examples of foliation that have to do with Lie algebras. So \mathfrak{b}_3^* which gives the “open book foliation”, and \mathfrak{e}^* that gives a foliation by cylinders.

Part 2. Symplectic realizations.

Consider

$$(\Sigma, \omega) \xrightarrow{\mu} (M, \pi)$$

So that

$$\pi^\# = d_p\mu \circ \omega^{-1} \circ (d\mu)^*$$

Lemma 1.2. $\dim(\Sigma) \geq 2 \dim(M) - \text{rk}(\pi_x)$ for all $x \in M$.

Proof. Done in seminar. □

Example 1.3. $(\mathbb{R}^2, 0)$. So the map

$$\begin{aligned} (\mathbb{R}^4, dx \wedge du + dy \wedge dv) &\longrightarrow \mathbb{R}^2 \\ (x, y, u, v) &\longmapsto (x, y) \end{aligned}$$

Exercise 1.4. Find the symplectic realization ω in $(\mathbb{R}^4, \omega) \xrightarrow{\mu} (\mathbb{R}^2, (x^2 + y^2)\partial_x \wedge \partial_y)$

$$\begin{aligned} (\mathbb{R}^4, \omega) &\longrightarrow (\mathbb{R}^2, (x^2 + y^2)\partial_x \wedge \partial_y) \\ (x, y, z, w) &\longmapsto (x, y) \end{aligned}$$

Also find the symplectic realization of aff^* with $\{x, y\} = x$.

Part 3: Groupoids

Motivation

- (1) Fundamental groupoid: objects are points in the manifold and arrows are paths.
- (2) $S^1 \curvearrowright S^2$ by rotation does not give a nice quotient because there are two singular points. Consider the groupoid $S^1 \times S^2$ of orbits. These are the arrows. The points are just the points of S^2 .
- (3) Consider a foliation (like Möbius foliation of circles; where there is a singular circle, the soul). You can do the same thing as in fundamental groupoid leafwise. Arrows then are equivalence classes of paths that live inside leaves. This is called monodromy of a foliation. Again objects are points.
- (4) You can take a quotient of monodromy using a connection given by the foliation. This allows to identify certain paths between the leaves. This is called *holonomy (of a foliation)*. (So you can make this notion match the usual holonomy given by riemannian connection.)

Upshot. So the point is taking some sort of function space on these groupoids you can gather the information given by the non-smooth quotient (like in the case of the sphere rotating). So this groupoid motivation says how to get some structure that resembles a non smooth quotient.

- (5) Last motivation: the grid of squares has a tone of symmetries. If you restrict to just a few squares you lose so many symmetries. But there's a groupoid hidden in there that tells you what your intuition knows about this finite grid of squares.

Definition 1.5. A *groupoid* is a category where all morphisms are invertible.

So there is a kind of product among the objects, given by composition but: not every two pair of objects can be multiplied!—only those whose source and target match. So that's the lance about groupoids.

Just so you make sure you understand: the groupoid G is the morphisms of the category. The objects are points (of a manifold).

Definition 1.6. *Lie groupoid* is when the following diagram is inside category of smooth manifolds and s, t (source and target maps) are submersions:

$$G^{(2)} \xrightarrow{m} G \xrightarrow[t]{s} M \xrightarrow{u} G$$

Proposition 1.7 (Properties of Lie groupoids). • m is also a submersion.

- i (inversion) is a diffeomorphism.
- u (unit=identity) is an embedding.

Definition 1.8. Consider $x \in M$ and the inverse image of source map: $s^{-1}(x) = \{\text{arrows that start at } x\}$. Now if you act with t on this set you get *the orbit* of x : $\{y \in M \text{ such that there is an arrow from } x \text{ to } y\}$.

And there also *an isotropy* $G_x = \{g \in G : g \text{ goes from } x \text{ to } x\}$

Example 1.9. (1) $G = M$, $M = M$.

- (2) Lie groups.
- (3) Lie group bundles.
- (4) $G = M \times M$, $M = M$.
- (5) Fundamental groupoid. Isotropy group is fundamental group! And orbit is...

universal cover!

- (6) Subgroupoids.
- (7) Foliations.
- (8) If you have a normal group action $G \curvearrowright M$ you construct a groupoid action with groupoid $G \times M$ and objects M , with product given on the group part of the product. Orbits are orbits. Isotropy group is isotropy group.
- (9) Principal bundles.

Back to Poisson.

There's also a notion of Lie algebroid. Which is strange. But the point is that to every Poisson manifold there is a Lie algebroid.

So the question is whether there is a Lie groupoid associated to that Lie algebroid. Not always.

Big question[Fernandez and ?] When a symplectic manifold is integrable?

(Remember that integrating means go from algebra(oid) to group(oid).)

And the point is that:

When you *can* go back, you get a *symplectic groupoid*.

Remark 1.10. Look for Kontsevich's notes on Weinstein!

Remark 1.11. History: Weinstein did this intending to do quantization (geometric?) on Poisson manifolds. (That involves a C^* algebra coming from the symplectic groupoid.)

Definition 1.12. A *symplectic groupoid* is a groupoid G, M together with $\omega \in \Omega^2(M)$ such that ω is symplectic and multiplicative, meaning that $\partial\omega = 0$, that is, $\iff m^*\omega = \text{pr}_1^*\omega + \text{pr}_2^*\omega \in \Omega^2(G^{(2)}) \iff$ take two vectors $X_k, Y_k \in TG$, and $\omega(X_0 \star Y_0, X_1 \star Y_1) = \omega(X_0, Y_0) + \omega(X_1, Y_1)$

Theorem 1.13. If (G, ω) is a symplectic groupoid, then

- (1) $\exists!$ poisson structure on M
- (2) for which $t : G \rightarrow M$ is a symplectic realization,
- (3) Leaves are connected components of orbits,
- (4) $\text{Lie}(G) \cong T^*M$ via $X \mapsto -u^*(i_X\omega)$.

Remark 1.14. Look for Alejandro Cabrera, Kontsevich. There are two things one is de Rham and the other... from the future: simplicial?

Upshot. The obstruction to knowing when symplectic groupoid exists is "variation of symplectic form $\omega = (1 + t^2)\omega_{S^2}$ ". So how does the symplectic group vary from leaf to leaf. So there are two situations in which the thing doesn't work.

2. NEUTRINOS

Hiroshi Nunokawas, PUC-Rio. Friday Seminar, Seminar Name. June 27, 2025.

Abstract. Hiroshi will come and tell us everything we (not) wanted to know about these mysterious particles, and are not going to be afraid to ask. In particular, about the neutrino oscillation, and the great matrices.

Protons and neutrons have very similar mass of $m_p \approx 940$ MeV, while electrons have mass of $m_e \approx 0.5$ MeV. MeV is 10^6 electronvolts, where one eV is approximately 1.6×10^{-19} J. This is standard in high energy physics, they use electronvolts instead of Joules. Recall that $2J=1N \times 1m$.

Most of the things we see are protons since they are so much larger than electrons. But protons nor neutrons are elementary particles.

Here's the standard model:

Quarks	$\begin{bmatrix} u \\ d \end{bmatrix}_L$	$\begin{bmatrix} c \\ s \end{bmatrix}_L$	$\begin{bmatrix} t \\ b \end{bmatrix}_L$
Leptons	$\begin{bmatrix} \nu_e \\ e^- \end{bmatrix}_L$	$\begin{bmatrix} \nu_\mu \\ \mu^- \end{bmatrix}_L$	$\begin{bmatrix} \nu_\tau \\ \tau^- \end{bmatrix}_L$
Generation	1st	2nd	3rd
Bosons	g, γ, ω^\pm, z		
Higgs Boson	H		

It is very particular that nature repeats itself three times. The L in those matrix actually means left-handed, and accounts for chirality. Only left-handed fermions have weak interaction. Right-handed have electromagnetic interaction, gravitational interaction, but not weak interaction.

And then there's neutrinos. They have negative helicity (chirality). Being left-handed, mathematically, means to have helicity -1 . I think this means that the spin is left-handed. But chirality and helicity are not the same: helicity is observer-dependent, and chirality is not. Almost all neutrinos we can see (% 99.99999...) have negative helicity, but not all of them.

Consider the following:

$$n + \nu_e \leftrightarrow p + e^-$$

But it's not completely correct: we'd better put d instead of n , and u instead of p : the d and u quarks, instead of the neutrons and protons.

Now consider the following reaction: a neutron decays into a proton, an electron and an antineutrino:

$$n \rightarrow p + e^- + \bar{\nu}_e$$

Protons is very stable, that's why we are here. But neutron decays in only 15 minutes.

By experimental data, we can conclude that neutrinos' mass is consistent with zero. But if they have mass, it should be much smaller than the electron's $m_e \leq 0.5$ eV. And the electron is already the lightest fermion!

If the mass of the neutrino was zero, i.e. $m_\nu = 0$, then $v_\nu = c$ in vacuum, which would imply that

$$\nu_e \xrightarrow{L} \nu_e \longrightarrow \nu_e$$

$$0 : 00 \quad 0 : 00 \quad 0 : 00$$

meaning: time doesn't pass! And this means the state of the particle cannot change.

3. SPHERES WITH MINIMAL EQUATORS

Lucas Ambrozio, IMPA. Differential Geometry Seminar, IMPA. June 24, 2025.

Abstract. We will discuss the connection between Riemannian metrics on the sphere with respect to which all equators are minimal hypersurfaces, and algebraic curvature tensors with positive sectional curvatures.

Definition 3.1. An $(n - k)$ -equator orthogonal to Π is

$$\Sigma_\Pi := \{p \in \mathbb{S}^n : \langle p, x \rangle = 0 \forall x \in \Pi\}$$

for Π a k -dimensional linear subspace of \mathbb{R}^{n+1} .

Remark 3.2. Equators are totally geodesic hypersurfaces with the usual sphere metric, which implies they are minimal hypersurfaces.

Problem. Characterize the set $\mathcal{M}_k(U)$ of metrics g on an open set $U \subset \mathbb{S}^n$ such that all k -equators Σ_Π with $\Sigma \cap U \neq \emptyset$ yield are minimal hypersurfaces $\Sigma \cap U$ on (U, g) .

Remark 3.3. This problem can be thought of as a problem of finding metrics on \mathbb{R}^n such that k -planes are minimal. To see why project the k -equators to $T_p \mathbb{S}^n$ and pullback those metrics to the sphere.

Let $g \in \mathcal{M}_k(U)$ for $U \subset \mathbb{S}^n$ open and $n \geq 2$.

Theorem 3.4 (Beltrami, Schafli). *If $k = 1$ then g has constant sectional curvature.*

Theorem 3.5 (Hongan). *If $1 < k < n - 1$ then g has constant sectional curvature.*

Then Hongan also managed to produce a classification of these metrics for $k = n - 1$.

Remark 3.6. If $T \in \text{GL}(n + 1, \mathbb{R})$, then

$$\begin{aligned} \varphi : \mathbb{S}^n &\longrightarrow \mathbb{S}^n \\ x &\longmapsto \frac{Tx}{|Tx|} \end{aligned}$$

is a diffeomorphism that maps k -equators into k -equators. Thus if $g \in \mathcal{M}_k(\mathbb{S}^n)$ then so is $\varphi(T)^*g$.

Theorem 3.7. *There exists a $\text{GL}(n + 1, \mathbb{R})$ equivariant bijection*

$$\mathcal{M}_{n-1}(\mathbb{S}^n) \leftrightarrow \text{Curv}_+(\mathbb{R}^{n+1})$$

where the set on the right-hand-side is the set of algebraic curvature tensors (also called curvature-like, i.e. with the same symmetries as the Riemannian curvature tensor) on \mathbb{R}^{n+1} with positive sectional curvature.

The group action is given as follows for $T \in \text{GL}(n + 1, \mathbb{R})$:

$$(R \cdot T)(x, y, z, w) = \frac{1}{|\det(T)|^{\frac{1}{n+1}}} R(Tx, Ty, Tz, Tw)$$

The point is that $\text{Curv}_+(\mathbb{R}^{n+1})$ is an open cone on a linear space. Here are two simple corollaries:

Lemma 3.8. (1) $\mathcal{M}_{n+1}(\mathbb{S}^n)$ is in bijection with an open positive cone of an $\frac{n(n+2)(n+1)^2}{12}$ -dimensional real vector space.

(2) Every metric on $\mathcal{M}_{n-1}(\mathbb{S}^n)$ is invariant by the antipodal map.

Algorithm. From any $R \in \text{Curv}_p(\mathbb{R}^{n+1})$ we obtain a symmetric positive definite (positive-definiteness comes from the positiveness of the curvature of R) 2-tensor k_R satisfying

$$(k_R)_p(v, v) = R(pv, pv) > 0$$

Also, k_R has the *Killing property*, i.e. that $\bar{\nabla}k(X, X, X) = 0$ for all $X \in \mathfrak{X}(\mathbb{S}^n)$.

Then we define a positive function on \mathbb{S}^n by

$$(3.8.1) \quad D_R := \left(\frac{d\text{Vol}_{k_R}}{dV_g} \right)^{\frac{4}{n-1}}$$

and finally a Riemannian metric on \mathbb{S}^n in $\mathcal{M}_{n-1}\mathbb{S}^n$ by

$$g_R = \frac{1}{D_R} k_R$$

And to go back, for $g \in \mathcal{M}_{n-1}(\mathbb{S}^n)$ define a positive function on \mathbb{S}^n

$$F_g := \left(\frac{dV_g}{dV_{\bar{g}}} \right)^{\frac{4}{n-1}}$$

Then let $k_g := \frac{1}{F_g}g > 0$, which is a positive definite Killing 2-tensor, from which we may define $R_g \in \text{Curv}_+(\mathbb{R}^{n+1})$ with $R_g(pv, pv) = (k_g)_p(v, v)$ for all $p, v \in T\mathbb{S}^n$.

More corollaries:

Lemma 3.9. (1) $g \in \mathcal{M}_{n-1}(\mathbb{S}^n)$ is analytic because it is a Killing tensor on \mathbb{S}^n , which are well-known.

(2) If g is left-invariant on \mathbb{S}^3 , seen as unit quaternions, then $g \in \mathcal{M}_2(\mathbb{S}^3)$. Moreover, for $a \geq b \geq c > 0$,

$$aL_i \odot L_i + bL_j \odot L_j + cL_k \odot L_k = k$$

is Killing, $k > 0$, D_k constant and thus $g = \frac{1}{\text{const.}}k \in \mathcal{M}_2(\mathbb{S}^3)$.

(3) R curvature tensor of $(\mathbb{C}P^2, g_{FS})$. We may not remember what's the curvature tensor, but we know the sectional curvature is $1 \leq \text{sec}(R) \leq 4$,

$$(k_R)_p(v, w) = \bar{g}(v, w) + 3\bar{g}(Jp, v)\bar{g}(Jp, w)$$

and $D_R = 4^{\frac{4}{3-1}} = 4$, so that by 3.8.1 we obtain $g_R = \frac{1}{4}k_R$, which is a Berger metric on \mathbb{S}^3 with scalar curvature 0.

Now define

$$\Sigma_V = \{p \in \mathbb{S}^n : \langle p, v \rangle = 0\} = V^{-1}(0)$$

where $V(x) := \langle x, v \rangle$ for all $x \in \mathbb{S}^n$. Then the normal vector field is $\nabla V/|\nabla V|_g$, and the second fundamental form is given by

$$A = \frac{1}{|\nabla V|_g} \text{Hess}_g V$$

and its mean curvature by

$$(3.9.1) \quad H = \frac{1}{|\nabla V|_g} \left(\Delta_g V - \text{Hess}_g V \left(\frac{\nabla V}{|\nabla V|}, \frac{\nabla V}{|\nabla V|} \right) \right)$$

For every $v \in \mathbb{S}^n$ and $p \in \Sigma_V$, we see that $H_{\Sigma_V} = 0$ iff

$$|\nabla V|_g^2(p) \Delta_g V(p) - \text{Hess}_g V(\nabla V(p), \nabla V(p)) = 0$$

And for \bar{g} ,

$$\text{Hess}_{\bar{g}} V + V\bar{g} = 0 \implies \text{Hess}_{\bar{g}} V(X, X) = 0$$

for all $X \in T_p\mathbb{S}^n$ and $p \in \Sigma_v$. Then

$$J_g(X, Y, Z) = g(\nabla_X Y - \bar{\nabla}_X Y, Z)$$

$$J_g(X, Y, \nabla V) = \text{Hess}_{\bar{g}} - \text{Hess}$$

Problems.

- (1) Similar story for $\mathbb{C}P^n, \mathbb{H}P^n$?
- (2) Complete metrics on \mathbb{R}^n with minimal hyperplanes.
- (3) Find geometric invariants of metrics on $\mathcal{M}_{n-1}(\mathbb{S}^n)$ (may be useful to study (M^n, g) , $n \geq 4$, $\text{sec} > 0$).

4. SMOOTHABLE COMPACTIFIED JACOBIANS OF NODAL CURVES

Nicola Pagani, University of Liverpool and Bologna. Seminar of Algebraic Geometry UFF. August 20, 2025.

Abstract. Building from examples, we introduce an abstract notion of a 'compactified Jacobian' of a nodal curve. We then define a compactified Jacobian to be 'smoothable' whenever it arises as the limit of Jacobians of smooth curves. We give a complete combinatorial characterization of smoothable compactified Jacobians in terms of some 'vine stability conditions', which we will also introduce. This is a joint work with Fava and Viviani.

Let C be a smooth curve and $d \in \mathbb{Z}$. Define

$$J_C^d = \{L : L \text{ is a line bundle of degree } d\} / \sim$$

which is a smooth projective variety of dimension $g(C)$.

If C is nodal we still can consider J_C^d .

- (1) One connected component. Then the Jacobian is \mathbb{P}^1 minus two points. This is not universally closed, so it is not proper.
- (2) Two components intersecting at one point. The pullback of the normalization splits the degree in infinitely many ways, giving that J_C^{-1} is an infinite set of points. This is not of finite type, so it is not proper.
- (3) The curve has two components intersecting at two points. This gives J_C^{-2} , which is a mixture of the two former items. (Probably not proper too.)

Now consider

$$\text{TF}_C^d = \{\mathcal{F} : \text{coherent on } C, \text{ torsion-free, rank-1 on } C\} / \sim$$

This satisfies the existence part of the valu point of properness.

Now we consider the moduli. Now we consider the ideal sheaf of the (singular?) point(s?):

- (1) One component. The stack is proper!
- (2) Two components intersecting once. Now we get stacky points, $x = [\bullet / \mathbb{G}_m]$. These points have generic stabilizer. The resulting stack is not separated because a morphism of a curve, say \mathbb{P}^1 minus a point ... there are infinitely many ways to extend a morphism from this thing to a line bundle. So you cannot include any of these stacky points. Recall that a sheaf is *simple* if its automorphism group is \mathbb{G}_m .
- (3) The ideal sheaf of both nodes $\mathcal{I}(N_1, N_2)$ has a positive dimensional automorphism group. The stack is not proper.

Definition 4.1. A *fined compactified Jacobian* of C is an open connected substack of $\text{TF}^d(C)$ that is also proper.

Remark 4.2. This thing is automatically an algebraic space.

Definition 4.3. A *compactified Jacobian* is an open connected of $\mathrm{TF}^d(C)$ that admits a proper, good moduli space.

Consider the Artin stack $\mathfrak{X} \xrightarrow{\Gamma} X$ [...] is a *good moduli space* if

- (1) Every moduli factors

$$\begin{array}{ccc} \mathfrak{X} & \longrightarrow & \mathcal{I} \text{ (ACC. space)} \\ & \searrow & \\ & & X \end{array}$$

- (2) $\pi_* \mathcal{O}_{\mathfrak{X}} = \mathcal{O}_X$.

We expect to find a notion of stability condition to produce these things [...] $[\bullet/\mathbb{G}_m]$ would be the polystable representative.

Definition 4.4. A compactified Jacobian \overline{J}_C is *smoothable* if all smoothings $\mathcal{C} \rightarrow \Delta = \{0, \eta\}$ (with $\mathcal{C}_0 = C$),

$$J_{\mathcal{C}_\eta}^d \cup C \rightarrow \overline{J}_C$$

is proper.

Definition 4.5. Let X be a curve.

$$\mathrm{BCON}(X) = \{Y \subseteq X \text{ s.t. } Y, Y^c \text{ are connected}\}$$

Definition 4.6. A *v-curve* is a generalization of items (2) and (3) in the lists above [it looks like two long snakes \sim that intersect several times, and t is the number of nodes]. A *v-condition* is a pair $n = (n_1, n_2)$ such that

$$n_1 + n_2 = \begin{cases} d + 1 - t & \text{we say the s.c. is nondegenerate} \\ d - t & \text{degenerate} \end{cases}$$

\mathcal{F} on X is *n-(semi)stable* if $\deg \mathcal{F}_{X_i} > n_i$ ($\deg \mathcal{F}_{X_i} \geq n_i$) for $i = 1, 2$.

$$\mathcal{F}_{X_i} = \mathcal{F}|_{X_i} \text{ torsion.}$$

$$\deg(\mathcal{F}_{X_1}) + \deg(\mathcal{F}_{X_2}) = d - |\mathrm{sing}(F)|.$$

Then

$$\overline{J}_C(n) = \{\mathcal{F} \text{ is semistable}\},$$

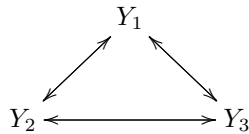
a smooth compact Jacobian.

Definition 4.7. A *degeneration* of *v-stab.* on X is $n : \mathrm{BCON}(X) \rightarrow \mathbb{Z}$ such that

- (1)

$$n_Y + n_{Y^c} + |Y \cap Y^c| = \begin{cases} d + 1 & \text{we say } Y \text{ is } n\text{-nondegenerate} \\ d & Y \text{ is } n\text{-degenerate} \end{cases}$$

- (2) Y_i no pa. common component $n_{Y_1} + n_{Y_2} + \dots$



Theorem 4.8 (-, et al). (*bijection between stability conditions and nodal curves*)
The map

$$\begin{aligned} \left\{ \begin{smallmatrix} sm. \text{ comp.} \\ Jac \text{ of } X \end{smallmatrix} \right\} &\rightarrow \left\{ \begin{smallmatrix} v\text{-stab.} \\ cond. \text{ of } X \end{smallmatrix} \right\} \\ n &\mapsto \overline{J_X}(n) = \{n\text{-semistable sheaves}\} \end{aligned}$$

is a bijection. (The arrow should be from right to left!)

F. Viviani had proved it for fine compact Jacobians.

5. EQUIVARIANT SPACES OF MATRICES OF CONSTANT RANK

Ada Boralevi, France. Algebraic Geometry Seminar, IMPA. August 27, 2025.

Abstract. A space of matrices of constant rank is a vector subspace V , say of dimension $n+1$, of the set of matrices of size $a \times b$ over a field k , such that any nonzero element of V has fixed rank r . It is a classical problem to look for different ways to construct such spaces of matrices. In this talk I will give an introduction up to the state of the art of the topic, and report on my latest joint project with D. Faenzi and D. Fratila, where we give a classification of all spaces of matrices of constant corank one associated to irreducible representation of a reductive group.

We are interested in vector spaces $U \subset \text{Mat}_{m,n}(\mathbb{C})$, with $m \leq n$, of *constant rank*, i.e. such that for all $f \in U$, $r := \text{rank } f$ is the same.

Let $\ell(r, m, n) := \max \dim U : U \text{ is of rank } r$.

Questions.

- (1) $\ell(r, m, n) = ?$ In general not known.
- (2) Find relations among ℓ, r, m and n .
- (3) Construction of examples and classification.

Example 5.1. (1) $\ell(1, m, n) = n$,

$$\begin{pmatrix} x_1 & x_2 & \cdots & x_n \\ & & & \end{pmatrix}$$

- (2) $\text{rank} = 2$? There are two cases ([Atkinson '83], [Eisenbud-Haus '88])

- Compression spaces,

$$\begin{pmatrix} * & * & \cdots & * \\ * & 0 & \cdots & 0 \\ \vdots & & & \\ * & 0 & \cdots & 0 \end{pmatrix}$$

- Skew-symmetric matrices of 3×3 .

- (3) $\ell(r, m, n) \geq n - r + 1$. Because you can put a matrix of $m \times n$ (with the first r rows that can have nonzero entries):

$$\begin{pmatrix} x_1 & x_2 & \cdots & & x_{n-r+1} \\ & x_1 & x_2 & \cdots & \\ & & & & \end{pmatrix}$$

Theorem 5.2 (Westwick '86). (1) $n - r + 1 \leq \ell(r, m, n) \leq 2n - 2r + 1$.

$$(2) \text{ If } n - r + 1 \nmid \frac{(m-1)!}{(r-1)!} \implies \ell$$

We can see these spaces as (subvarieties?) of determinantal varieties $M_n = \{f \in \text{Mat}_{m,n}(\mathbb{C}) : \text{rank}(f) \leq r\}$. [Interpretation via secant varieties inside Segre embedding.]

Consider a map $\varphi : U \rightarrow \text{Hom}(V, W)$. Then $\varphi \in U^* \otimes V^* \otimes W$. We get that φ is of constant rank if and only if some kernel, image and cokernel are vector bundles.

Focus of today. What happens when U, V, W are irreducible representations of a complex reductive group G ?

Question. What is the natural equivariant morphism

$$U \rightarrow \text{Hom}(V, W) = V^* \otimes W$$

of constant rank?

Consider the case of $G = \text{SL}_2$. All the irreducible representations (which are self-dual) are given by $V(m-1) \cong \mathbb{C}[x, y]_{\deg=m-1}$.

Recall the Clebsh-Gordon decomposition ($m \leq n$)

$$V(m-1) \otimes V(n-1) = \bigoplus_{i=0}^{m-1} V(n-m+2i)$$

Theorem 5.3 (B-Faenzi-Lella '22).

$$V(n+m-2) \hookrightarrow \text{Hom}(V(m-1), V(n-1))$$

is of constant rank (corank 1) if and only if

$$n - m + 2i \mid m - 1$$

Theorem 5.4 (B. Faenzi, Fratila '25). Let $V(\nu)$, $V(\mu)$ and $V(\lambda)$ be irreducible representations of a complex reductive group G , with

$$\dim(V(\mu)) \leq \dim(V(\lambda)) -$$

If there exists a morphism of representations

$$\varphi : V(\nu) \rightarrow \text{Hom}(V(\lambda), V(\mu))$$

then φ is of constant corank 1 if and only if there exists a simple root α_i such that

- (1) $\lambda = \mu + \nu - \alpha_i$,
- (2) ν is a multiple of ν ,
- (3) ν is a multiple of ω_i

6. ON WRAPPED FLOER HOMOLOGY BARCODE ENTROPY AND HYPERBOLIC SETS

Rafael Fernandes, UC Santa Cruz. Differential Geometry Seminar, IMPA. September 4, 2025.

Abstract. In this talk, we will discuss the interplay between the wrapped Floer homology barcode and topological entropy. The concept of barcode entropy was introduced by Cineli, Ginzburg, and Gürel and has been shown to be related to the topological entropy of the underlying dynamical system in various settings. Specifically, we will explore how, in the presence of a topologically transitive, locally maximal hyperbolic set for the Reeb flow on the boundary of a Liouville domain, barcode entropy is bounded below by the topological entropy restricted to the hyperbolic set.

Let M^n be a manifold. $\omega \in \Omega^2(M)$ is *symplectic* if $d\omega = 0$ and it is nondegenerate.

Example 6.1. \mathbb{R}^n is symplectic with canonical Darboux form.

Recall the definition of Hamiltonian vector field associated to a function $H \in C^\infty(M)$.

Definition 6.2. A diffeomorphism $\varphi : M \rightarrow M$ is called *non-degenerate* if $\Phi(\varphi) \cap \Delta \subset M \times M$ (pitchfork, i.e. transversal intersection!).

Let M^{2n} be a closed symplectic manifold. Arnold's conjecture says

- (1) If $\varphi = \varphi_H$ (Hamiltonian flow) is nondegenerate, then

$$\#\text{Fix}(\varphi_H) \geq \sum_{i=0}^{2n} \dim H_i(M, k) = \dim H_*(M, k)$$

- (2) If $\varphi = \varphi_H$ is degenerate, then

$$\#\text{Fix}(\varphi) \geq \text{Cl}(M) + 1$$

where $\text{Cl}(M)$ is the maximum number of homology classes we can add before getting to zero.

Why do we care? Because

$$\#\text{Fix}(\varphi_H) \leftrightarrow \{\text{1-periodic orbits of } X_H\}$$

Idea by Floer. Construct an invariant that would say something about periodic orbits.

Question. Can Floer theory capture other “dynamical information”? (Other than the periodic orbits.)

A *persistence module* is a pair (V, Π) , where $V = \{V_t\}_{t \in \mathbb{R}}$ is a family of \mathbb{F} -vector spaces and $\Pi = \{\Pi_{st}\}_{s \leq t}$ is a family of maps such that

- (1) $\Pi_{ss} = \text{id}, \Pi_{ts} \circ \Pi_{rt} = \Pi_{rs}$.
- (2) $\exists s \subset \mathbb{R}$ such that Π_{st} is an isomorphism for s, t in the same connected component of $\mathbb{R} \setminus S$.
- (3) Π_{st} have finite rank.
- (4) $\exists s_0$ $V_s = \{0\}$, $s \leq s_0$.
- (5) $V_t = \lim_{s \rightarrow t} V_s$ (lower limit!!)

Theorem 6.3. Any persistence module is a sum of integral persistence modules,

$$(V, \Pi) \cong \bigoplus_{I \in B(V)} F(I).$$

Example 6.4. Heart and sphere. There is a noise in the persistence module of the heart due to an unnecessary critical point.

(M^{2n}, ω) a *Liouville domain* is a compact symplectic manifold and $X \in \mathfrak{X}(M)$ with $X \cap \partial M$ (pitchfork, i.e. transversal intersection!) pointing outwards and preserved by the symplectic form, i.e. $\mathcal{L}_X \omega = \omega$ ($\omega = d\alpha$).

When we restrict ω to the boundary, we obtain a contact form and get some interesting dynamics.

A Lagrangian $(L, \partial L) \subset (M, \partial M)$ is *asymptotically conical* if

- (1) $\partial L \subset \partial M$ is Legendrian.

$$(2) \ L \cap [1 - \varepsilon, 1] \times \partial M = [1 - \varepsilon, 1] \times \partial L.$$

Remark 6.5. Take a Hamiltonian $H : \hat{M} \rightarrow \mathbb{R}$ such that

$$\begin{cases} H(r, x) = h(r) & r = 1 \\ H(r, x) = rT - B \end{cases}$$

then $X_H = h'(r)R_\alpha$.

For $L_0, L, A \subset \text{Lagrangians}$, H linear at infinite, then $A_H^{L_0 \rightarrow L_1}$,

$$A_H^{L_0 \rightarrow L_1} : P_{L_0 \rightarrow L_1} \longrightarrow \mathbb{R}$$

$$\gamma \longmapsto \int_0^1 \gamma^* \alpha - \int_0^1 H(x(t)) dt$$

where $P_{L_0 \rightarrow L} = \{\gamma : [0, 1] \rightarrow \hat{M} : \gamma(0) \in L_0, \gamma(1) \in L_1\}$ is the set of chords.

Remark 6.6. $\text{crit}(A_H^{L_0 \rightarrow L_1}) = \{1\text{-chords of } X_H \text{ from } L_0 \text{ to } L_1\}$.

Putting a metric on $P_{L_0 \rightarrow L_1}$ we can consider $\varphi : \mathbb{R} \times [0, 1] \rightarrow \hat{M}$, solutions of some PDE which is some kind of generalization of a gradient, $-\nabla A_H^{L_0 \rightarrow L_1}$. These solutions can be put in a moduli space

$$\tilde{\mathcal{M}}(x_-, x_+, H, J) = \{\varphi \text{ solutions s.t. } \dots\}$$

Then we define a boundary operator ∂ .

Theorem 6.7. $\partial^2 = 0$

So that we have a homology, called *wrapped Floer homology* $HW^t(H, L_0, L_1, J)$

Remark 6.8. We have $H \leq K \rightsquigarrow HW^t(H, L_0, L_1, J) \rightarrow HW^t(K, L_0, L_1, K)$.

Definition 6.9. For $t \geq 0$

$$HW^t(M, L_0, L_1) = \varinjlim_H HW^t(H, L_0, L_1, J)$$

(Where we have taken direct limit.)

Taking direct limit of the homology, we make sure the homology theory is independent of the choice of objects (I think, complex structure and Hamiltonian) we used to construct it.

Proposition 6.10. $t \rightarrow HW^t(M, L_0, L_1)$ is a persistence module $B(M, L_0, L_1)$.

Finally we can define *barcode entropy*. Fix $\varepsilon > 0$, $t \geq 0$,

$$b_\varepsilon(M, L_0, L_1, t) = \#\{\text{of bars in } B(M, L_0, L_1) \text{ with length } \geq \varepsilon \text{ and start before } t\}$$

Then

$$\bar{h}^{HW}(M_0, L_0, L_1) = \lim_{\varepsilon \rightarrow 0} \limsup_{t \rightarrow \infty} \frac{\log^+(b_\varepsilon(M, L_0, L_1, t))}{t}$$

Consider a contact manifold $(\Sigma, \lambda, L_0, L_1)$ and A the Lagrangians. (Example raising question of filling.)

Theorem 6.11 (M '24). \bar{h}^{HW} is independent of the filling.

Theorem 6.12 (M '24). $\bar{h}^{HW}(M, L_0, L_1) \leq h_{top}(\alpha)$.

Theorem 6.13 (M '25). *Consider (M, L_0, L_1) . Let K be a compact topologically transitive hyperbolic set for the Reeb flow α . Assume $W_\delta^s(q) \subset \partial L_0$, $W_\delta^s(p) \subset \partial L_1$. Then*

$$\bar{h}^{HW}(M, L_0, L_1) \geq h_{\text{top}}(\alpha|_K) > 0.$$

Which says it captures dynamics beyond unconditional phenomena. In lower dimensions these tend to coincide, but in higher dimension we don't know. This is related to “the sup over hyperbolic sets [...]”

Here's a conjecture:

$$\sup_{L_0, L_1} \bar{h}^{HW}(M, L_0, L_1) = h_{\text{top}}(\alpha).$$

Extra comments. One of the aims is to describe topological entropy h_{top} using Floer theory. Theorems by Çineli-Ginzburg-Gürel show bounds of topological entropy and barcode entropy (one of which is for hyperbolic sets).

There is a notion of *admissible Hamiltonian and Reeb vector fields* which is related to some asymptotical behaviour “linear at infinity”. I understand that admissible vector fields give the interesting chords for the Floer homology construction.

7. REVISITING COTANGENT BUNDLES

Mieuguel Cueca, KU Leuven. Symplectic Geometry Joint Seminar, IMPA. September 5, 2025.

Abstract. Cotangent bundles provide key examples of symplectic manifolds. On the other hand, one can think of Lie groupoids as generalizations of manifolds. In this context, Alan Weinstein constructed their cotangent bundles and proved that they are so-called symplectic groupoids. In this talk, I will recall this construction and explain what happens when one replaces a Lie groupoid with a Lie 2 (or n)-groupoid. If time permits, I will exhibit some of their main applications. This is joint work with Stefano Ronchi.

Recall the basic properties of the cotangent bundle T^*M for a symplectic manifold:

- (1) It's a vector bundle.
- (2) $\langle \cdot, \cdot \rangle : TM \otimes T^*M \rightarrow \mathbb{R}_M$ is the dual pairing.
- (3) $\omega_{\text{can}} \in \Omega^2(T^*M)$ is symplectic.
- (4) $\mathcal{L}_\varepsilon \omega_{\text{can}} = \omega_{\text{can}}$, ε Euler vector field.

Main goal. Reproduce the above for Lie n -groupoids.

For $n = 0$ we get the above situation. For $n = 1$ [Duzud-Weinstein], [Prezun], for $n \geq 2$? and we care about $n = 2$.

Definition 7.1. A Lie n -groupoid $\mathcal{G} : \Delta^{\text{op}} \rightarrow \text{Man}$ such that

$$P_{e,j} : \mathcal{G}_\ell \rightarrow \Lambda_j^\ell \mathcal{G}$$

are surjective submersions $\forall \ell, j$ are diffeomorphisms for $\ell > n$.

Remark 7.2. This sort of manifolds-valued presheaf category is generated by

$$\begin{aligned} d_i^\ell : \mathcal{G}_\ell &\rightarrow \mathcal{G}_{\ell-1} \text{ face maps } 0 \leq i, j \leq \ell \\ s_j^\ell : \mathcal{G}_\ell &\rightarrow \mathcal{G}_{\ell+1} \quad \text{degeneracies} \end{aligned}$$

The tangent is a functor, it satisfies

$$T_{\bullet}(\mathcal{G}) = T_k(\mathcal{G}) = T\mathcal{G}_k$$

(It looks like T preserves diagrams.)

Dold-Kan. The category \mathbf{SVect} of simplicial vector spaces has objects

$$\mathbb{V}_{\bullet} \quad \mathbb{V}_n \longrightarrow \cdots \longrightarrow \mathbb{V}_2 \xrightarrow{3 \text{ arrows}} \mathbb{V}_1 \xrightarrow{2 \text{ arrows}} \mathbb{V}_0$$

where the \mathbb{V}_i are vector spaces.

There is a functor

$$\begin{aligned} \mathbf{SVect} &\xrightarrow{N} \{\text{chain complexes } \geq 0\} \\ \mathbb{V}_{\bullet} &\rightarrow N\mathbb{V} = (N_{\ell}\mathbb{V} \text{ Ker } P_{\ell,\ell}, \partial = d_{\ell}) \end{aligned}$$

Theorem 7.3 (Dold-Kan). *That's an equivalence of categories. [Confirm this!]*

Those categories are monoidal:

$$\begin{aligned} (\mathbf{SVect}, \otimes), \quad (\mathbb{V}_{\bullet} \otimes \mathbb{W})_{\ell} &= \mathbb{V}_{\ell} \otimes \mathbb{W}_{\ell} \\ (\text{ch}_{\geq 0}, \otimes), \quad (V \otimes W)_i &= \bigoplus_{\ell+k=i} V_{\ell} \otimes W_k \end{aligned}$$

And N is Lax monoidal with Lax structure given by the Eilenberg-Zilber map, though we won't explain the details of this.

There are duals given by internal Hom:

$$\mathbb{V}^{n*} = \underline{\text{Hom}}(\mathbb{V}, B^n\mathbb{R})$$

Where the internal Hom is given by

$$\underline{\text{Hom}}(\mathbb{V}, B^n\mathbb{R})_{\ell} = \text{Hom}_{\mathbf{SVect}}(\mathbb{V} \otimes \Delta_n[\ell], B^n\mathbb{R})$$

for an object $\Delta[\ell] = \mathbb{R}[\Delta[\ell]]$.

Properties.

- (1) \mathbb{V}^{n*} is a simplicial vector bundle.
- (2) $N(V^{n*})$ and $N(\mathbb{V})^*[n]$ is a quasi isomorphism.
- (3) $\langle \cdot, \cdot \rangle : \mathbb{V} \otimes \mathbb{V}^{n*} \rightarrow B^n\mathbb{R}$ is non-degenerate on homology.
- (4) $\mathbb{V} \hookrightarrow (\mathbb{V}^{n*})^{n*}$ Mont. a eq.

The vector bundle case.

$$\text{Maps}(\Delta[i], \mathcal{G})_k = \text{Hom}_{\mathbf{Set}}(\Delta[i] \times \Delta[k], \mathcal{G})$$

Proposition 7.4. *Let \mathcal{G} be a Lie n -groupoid.*

- (1) $\text{Maps}(\Delta[i], \mathcal{G})$ Lie n -groupoids ME \mathcal{G} .
- (2) $\text{Maps}(\Delta[i], \mathcal{G})_0 = \mathcal{G}_i$.
- (3) $ev : \Delta[i] \times \text{Maps}(\Delta[i], \mathcal{G}) \rightarrow \mathcal{G}$.

[Staircase looking diagram.]

Definition 7.5. \mathcal{G}_{\bullet} .

$$\begin{aligned} T_i^{n*}\mathcal{G} &= \text{Hom}_{\mathbf{SVect}}(1^*\Pi_{\Delta[i]}(T\mathcal{G}), B^n\mathbb{R}_{\mathcal{G}_i}) \\ (d_j, F)_{K|d_j\mathcal{G}}(x^a) &= (F_k)|_{\mathcal{G}}(x^{\delta_j a}). \end{aligned}$$

Proposition 7.6. \mathcal{G} Lie n -groupoid, then $T^{n*}\mathcal{G}$ satisfy

- (1) is a vector bundle n -groupoid

(2) dual to $T\mathcal{G}$

$$\langle \cdot, \cdot \rangle : T\mathcal{G} \otimes T^{n*}\mathcal{G} \rightarrow B^n\mathbb{R}_{\mathcal{G}}$$

non-degenerate on homology.

(3) n -shifted symplectic

$$T_n^{n*}\mathcal{G} \xrightarrow{p} T^*\mathcal{G}_n$$

and $p^*\omega_{can}$.

[More computations I missed]

8. A THEOREM ON COMPLEXIFICATIONS OF LIE GROUPS

9. HOLOMORPHIC EXTENSIONS OF S-PROPER LIE GROUPOIDS

Rui L. Fernandes, ?. Symplectic Geometry Seminar, IMPa. September 17, 2025.

Abstract. Every smooth manifold admits a compatible analytic structure, and a classical result of Whitney–Bruhat states that any analytic manifold has a holomorphic extension. Lie groups also admit compatible analytic structures, and another classical result, due to C. Chevalley, shows that any compact Lie group has a holomorphic extension to a complex Lie group. D. Martínez Torres has shown that any proper Lie groupoid admits a compatible analytic structure. I will discuss an extension of the classical results of Whitney–Bruhat and Chevalley, establishing that any s-proper Lie groupoid has a holomorphic extension. This talk is based on recent joint work with Ning Jiang (arXiv:2508.18036).

Theorem 9.1 (Whitney–Bruhat). *If M is analytic there exists a complex manifold $M_{\mathbb{C}}$ together with an analytic map $i : M \rightarrow M_{\mathbb{C}}$ which is totally real ($T_H M_{\mathbb{C}} = TM \oplus J(TM)$) and*

- (1) *For every complex manifold X and every analytic map $\phi : N \rightarrow X$ there exists $\phi^* : U \rightarrow X$ holomorphic contained open $M \subset U \subset M_{\mathbb{C}}$.*
- (2) *If $\psi : V \rightarrow X$ holomorphic on $M \subset V \subset M_{\mathbb{C}}$ then $\psi = \phi^*$ where $\phi = \psi \circ i$ on a possibly smaller open contained in $U \cap V$.*

Theorem 9.2 (Chevalley). *If G is a Lie group, there exists a complex Lie group $G_{\mathbb{C}}$ and a morphism $i : G \rightarrow G_{\mathbb{C}}$ satisfying the following universal property. For every complex Lie group H and morphism $\phi : G \rightarrow H$ there exists a unique holomorphic map $\phi^* : G_{\mathbb{C}} \rightarrow H$ such that $\phi = \phi^* \circ i$.*

Here's the construction of $G_{\mathbb{C}}$:

$$\begin{array}{ccc} N \subset \tilde{G} & \longrightarrow & G^* \\ \downarrow & & \downarrow \\ \tilde{G}/N \simeq G & \xrightarrow{i} & G_{\mathbb{C}} = G^*/\overline{i^*N} \end{array}$$

where \tilde{G} is the universal cover group of G , G^* is the group that integrates to the complexification of the Lie algebra of G , i.e. $\text{Lie}(G^*) = \mathfrak{g}_{\mathbb{C}}$ and $\overline{i^*(N)}$ is the smallest closed normal complex Lie subgroup of G^* containing $i^*(N)$.

Example 9.3.

$$\begin{array}{ccc}
\widehat{\mathrm{SL}_2(\mathbb{R})} & & \\
\downarrow & \searrow & \\
\mathrm{SL}_2(\mathbb{R}) & \hookrightarrow & \mathrm{SL}_2(\mathbb{C})
\end{array}$$

which is a simple case, but consider instead $\widehat{\mathrm{SL}_2(\mathbb{R})} \times \mathbb{R}$ and the normal subgroup $N = \langle a \rangle \times \langle \lambda \rangle$ for irrational λ . Then we obtain

$$\begin{array}{ccc}
\widehat{\mathrm{SL}_2(\mathbb{R})} \times \mathbb{R} & \longrightarrow & \mathrm{SL}_2(\mathbb{C}) \times \mathbb{C} \\
\downarrow & & \downarrow \\
\widehat{\mathrm{SL}_2(\mathbb{R})} \times \mathbb{R} / N \simeq G & \longrightarrow & G_{\mathbb{C}}
\end{array}$$

and $G_{\mathbb{C}}$ is 3 complex dimensions! It is not $\mathrm{SL}_2(\mathbb{C}) \times \mathbb{C}$.

10. EVERYTHING YOU ALWAYS WANTED TO KNOW ABOUT POLYGONS BUT WERE TOO AFRAID TO ASK

Alessia Mandini, UFF. GAAG, IMPA. September 22 and 23, 2025.

Abstract. Moduli spaces of polygons form a family of Kähler manifolds that can be constructed as a Kähler reduction of coadjoint orbits. These spaces have deep connections to various areas of mathematics, including symplectic and algebraic geometry as well as representation theory. In this talk, I will define these spaces and explore their connections. I will then discuss wall-crossing phenomena in these spaces and demonstrate how it can be used to determine their cohomology rings. Finally, I will introduce the hyperkähler analogue of these spaces, known as hyperpolygon spaces, and describe some of their generalizations.

Plan of the talk

- (1) Polygons in \mathbb{R}^3 and relations with other moduli spaces.
- (2) Polygons in other spaces.

Let $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{R}_{>0}^n$. Consider S^2 with its usual symplectic, Kähler form. Also consider the product of several spheres. There's a Hamiltonian action of $\mathrm{SO}(3)$ by rotations. The moduli space of polygons is the symplectic reduction obtained with this Hamiltonian action,

$$M(\alpha) = \prod S_{\alpha_i}^2 // \mathrm{SO}(3).$$

Why is it called the space of polygons? We think that

$$[v_1, \dots, v_n] \in M(\alpha) \iff \sum_{i=1}^n v_i = 0$$

are polygons.

When smooth, $M(\alpha)$ is a $(n-3)$ -complex-dimensional Kähler manifold. Consider

$$\varepsilon_I(\alpha) = \sum_{i \in I} \alpha_i - \sum_{j \in I^c} \alpha_j$$

for some index set $I \subseteq \{1, \dots, n\}$. $M(\alpha)$ is *smooth* if $\varepsilon_I(\alpha) \neq 0$ for all $I \subseteq \{1, \dots, n\}$. If so, we say α is *generic*.

Theorem 10.1 (Kapovich-Millson). *$M(\alpha)$ is a complex analytic space with (eventually) isolated singularity (homogeneous quadratic cones).*

Example 10.2. (1) ($n = 3$.) Then $M(\alpha)$ is either empty or a point.
 (2) ($n = 4$.) $M(\alpha)$ is either empty or a sphere.

Remark 10.3 (Hausmann-Knutson). Let M_n be the space of all n -gons modulo rigid motions. Then M_n can be equipped with a Poisson structure for which $M(\alpha)$ are the symplectic leaves.

Polygons as quiver varieties. Consider the star-shaped quiver, which is a distinguished point with some points around it, and arrows from every point to the distinguished one. Let the distinguished point be $V_0 = \mathbb{C}^2$ and the rest \mathbb{C} . Then a representation of this quiver is

$$\text{Rep}Q = \bigoplus_{i=1}^n \text{Hom}(\mathbb{C}, \mathbb{C}^2) = \mathbb{C}^{2n}.$$

Now put

$$K = (U(2) \times U(1)^n) / \Delta$$

where Δ is the diagonal S^1 . This gives a Hamiltonian action on \mathbb{C}^{2n} as follows. For

$$(A, \lambda_1, \dots, \lambda_n) \cdot (q_1, \dots, q_n)$$

we map $q_i \mapsto A^{-1}q_i\lambda_i$. That is

$$\begin{aligned} \mu : \mathbb{C}^{2n} &\longrightarrow K^* \\ (q_1, \dots, q_n) &\longmapsto \left(\sum_{i=1}^n (q_i q_i^*), \dots, \frac{1}{2} |q_i|^2 \dots \right) \end{aligned}$$

Theorem 10.4 (Hausmann-Knutson).

$$\mathbb{C}^{2n} //_{(0, \alpha)} K = M(\alpha)$$

Proof. Uses

$$\begin{aligned} \mathbb{R}^3 &\xrightarrow{\sim} \mathfrak{su}(2)^* \\ v_i &\longmapsto (q_i q_i^*)_0 \end{aligned}$$

□

$$\begin{array}{ccc} & \mathbb{C}^{2n} & \\ //_{\alpha U(1)^n} \swarrow & & \searrow //_{U(2)} \\ \prod S^2 & & \text{Gr}(2, \mathbb{C}^n) \\ //_{\alpha \text{SO}(3)} \searrow & & \swarrow //_{\alpha U(1)^n} \\ & M(\alpha) & \end{array}$$

So we have (I think former symplectic reduction)

$$\mu_{U(1)^n} : \text{Gr}(2, \mathbb{C}^n) \rightarrow \mathbb{R}^n$$

Walls and wall crossing. The walls are

$$W_I = \{\alpha \in \mathbb{R}_{>0}^n : \varepsilon_I(\alpha) = 0\}$$

for $I \subseteq \{1, \dots, n\}$.

To understand wall crossing suppose we have a wall W_I , with a^c in the wall, α^+ on one side and α^- on the other.

Not that

$$\varepsilon_I(\alpha^+) > 0 \iff \sum_{k \in I} \alpha_k^+ > \sum_{j \in I^c} \alpha_j^+$$

Define

$$\begin{aligned} M_{I^c}(\alpha^+) &= \{(v_1, \dots, v_n) \in M(\alpha^+) : v_i = \lambda v_j \forall i, j \in I^c, \lambda > 0\} \\ &= M(\tilde{\alpha}), \quad \tilde{\alpha} = \left(\alpha_{i_1}, \dots, \alpha_{i_k}, \sum_{j \in I^c} \alpha_j \right) \end{aligned}$$

Then $\varepsilon_I(\alpha^+) < 0$ implies $M_I(\alpha^-) \subseteq M(\alpha^-)$.

$$\begin{array}{ccc} & \tilde{M} \subseteq E = M_I \times M_{I^c} & \\ & \downarrow \text{blow up} & \\ M(\alpha^+) & & M(\alpha^-) \\ & \searrow \quad \swarrow & \\ & M(\alpha^c) & \end{array}$$

Remark 10.5. • $M(\alpha) \cong M(\sigma(\alpha))$ for σ a permutation on the order of sets.

• $M(\alpha)$ is conformally symplectomorphic to $M(\lambda\alpha)$ for all $\lambda > 0$.

Definition 10.6. Let $\alpha \in \mathbb{R}_{>0}^n$. $I \subseteq \{1, \dots, n\}$ is *short* if $\varepsilon_I(\alpha) < 0$ and *long* if $\varepsilon_I(\alpha) > 0$.

Example 10.7. We did an example of wall crossing. The relevant manifolds $M_{I^c}(\alpha)$ and $M_I(\alpha)$ were projective spaces.

Now recall our first quotient

$$\begin{array}{c} \mu_{U(1)}^{-1}(\alpha) \subseteq \text{Gr}(2, \mathbb{C}^n) \\ \downarrow // U(1)^n \\ M(\alpha) \end{array}$$

Let c_i be the first Chern classes associated to the n S^1 -bundles above (by taking reduction in stages).

Theorem 10.8 (Haussmann-Knutson, M.). *The c_i generate the cohomology of $M(\alpha)$.*

Theorem 10.9 (Guillemin-Stenberg). *In this setup, for α generic,*

$$H(M) = \mathbb{C}[c_1, \dots, c_n] / \text{Ann}(\text{Vol}(M(\alpha)))$$

i.e., $Q(c_1, \dots, c_n) \in \text{Ann}(\text{Vol}(M(\alpha))) \iff Q\left(\frac{\partial}{\partial \alpha_i}, \dots, \frac{\partial}{\partial \alpha_1}\right) \text{Vol}(M(\alpha)) = 0$

Theorem 10.10 (Takakura, The Koi).

$$\text{Vol}(M(\alpha)) = -\frac{(2\pi)^{n-3}}{(n-3)!} \sum_{I \text{ long}} (-1)^{n-|I|} \varepsilon_I(\alpha)^{n-3}.$$

Example 10.11. According to Example 10.7 (which I did not copy) we find that $\alpha_1 \in \Delta_1$ gives $\text{Vol}(M(\alpha_1)) = 2\pi^3(1 - 2\alpha_3)^2$ and

$$H(M(\alpha_1)) = \mathbb{C}[c_3]/(c_3^3)$$

Polygon game. Let G be a Lie group and \mathfrak{g} its Lie algebra. Then the co-adjoint orbits $\mathfrak{g}^* \supseteq \mathcal{O}_{\xi_i}$ are symplectic manifolds with the KKS form. Then

$$\begin{aligned} G \curvearrowright \Pi \mathcal{O}_{\xi_i} &\longrightarrow \mathfrak{g}^* \\ (A_i, \dots, \alpha_n) &\longmapsto \sum A_i \end{aligned}$$

Then the quotient

$$M(\xi) = \Pi \mathcal{O}_{\xi_i} //_0 G$$

generalizes the previous construction, which we get with $G = \text{SU}(2)$.

It could be interesting to investigate which of the next constructions can be generalized to other Lie groups.

Theorem 10.12 (Sotillo-Florentins-Gadihl). *Wall-crossing for $SU(m)$.*

Bending action. We consider a polygon of n sides and put some diagonals that don't intersect. We introduce some notion of "bending" that allows to define a Hamiltonian function. In turn, this defines a torus action on $M(\alpha)$ and a moment map.

For $n = 2$ we obtain the moment map

$$\begin{aligned} \mu : M(\alpha) &\longrightarrow \mathbb{R}^2 \\ p &\longmapsto (\ell_1(p), \ell(p)) \end{aligned}$$

and we can see the moment polytope in \mathbb{R}^2 .

Remark 10.13. Any system of $(n-3)$ non-vanishing and non-intersecting diagonals determine a torus action $T^{n-3} \curvearrowright M(\alpha)$.

Relations of polygon spaces to other moduli spaces. Representations of the fundamental group of the punctured sphere in $SU(2)$, i.e.

$$\text{Rep}(\pi_1(S^2 \setminus \{p_1, \dots, p_n\}, \text{SU}(2))/\text{SU}(2) \cong M(\alpha)$$

This can be put very explicitly:

$$\begin{aligned} &\text{Rep}(\pi_1(S^2 \setminus \{p_1, \dots, p_n\}, \text{SU}(2)) \\ &= \{(g_1, \dots, g_n) \in \text{SU}(2)^n : g_1 \cdot \dots \cdot g_n = \text{Id}, t_r g_i = 2 \cos \pi \alpha_i\} \end{aligned}$$

See [Agapito-Godinho]. That's all we will say about this example.

Now consider the moduli space of parabolic bundles. Consider a holomorphic bundle of rank 2 over \mathbb{CP}^2 . Choose some points $D = \{x_1, \dots, x_n\}$ in \mathbb{CP}^2 and a flag $E_{x_i} = E^{x_i,1} \supseteq E^{x_i,2} \supseteq \{0\}$ for every $x_i \in D$. Each of the $E_{x_i,j}$ is isomorphic to \mathbb{C} (1-dimensional). A *quasi-parabolic bundle* is an holomorphic bundle E with such a choice of flag. A *parabolic bundle* is a quasi-parabolic bundle with a choice of parabolic weights $0 < \beta_1(x_i) < \beta_2(x_i) < 1$.

There is also a notion of stability:

$$\begin{aligned} \text{pdeg} E &= \deg E + \sum_{i=1}^n (\beta_1(x_i) + \beta_2(x_i)) \\ \mu(E) &= \frac{\text{pdeg}(E)}{\text{rank}(E)} \end{aligned}$$

We say E is *(semi)stable* if $\mu(E) > \mu(L)$ ($\mu(E) \geq \mu(L)$) for any $L \subseteq E$ parabolic subbundle.

Then we obtain that $\mathcal{M}_{\pi,d}(\beta)$ is the moduli space of (semi)-stable parabolic bundles on \mathbb{P}^1 of rank r and degree d . In particular $\mathcal{M}_{2,0}(\beta)$ is the moduli space of (semi)-stable parabolic bundles on \mathbb{P}^1 of rank 2 and degree 0 holomorphically trivial.

Theorem 10.14 (Jeffrey, Godinho, M.). *For generic α , $M(\alpha)$ is diffeomorphic to $\mathcal{M}_{2,0}(\beta)$ whenever $\alpha_i = \beta_2(x_i) - \beta_1(x_i)$.*

Idea of proof. The correspondence can be made quite explicit as a map

$$\begin{aligned} M(\alpha) &\longrightarrow \mathcal{M}_{2,0}(\beta) \\ [q_1, \dots, q_n] &\longmapsto \begin{matrix} E = \mathbb{C}P^1 \times \mathbb{C}^2 \\ E_{x_i} \supset E_{x_i,1} \supset X_{x_i,2} \supset \{0\} \end{matrix} \end{aligned}$$

□

Now consider the quiver variety we described above:

$$\text{Rep} Q = \bigoplus_{i=1}^n \text{Hom}(\mathbb{C}, \mathbb{C}^2) = \mathbb{C}^{2n}.$$

Now put

$$K = (U(2) \times U(1)^n) / \Delta$$

where Δ is the diagonal S^1 .

But this time put

$$\text{Rep} \tilde{Q} = \bigoplus_{i=1}^n \text{Hom}(\mathbb{C}, \mathbb{C}^2) \oplus \bigoplus_{i=1}^n \text{Hom}(\mathbb{C}^2, \mathbb{C})$$

We also have an action of K , and we get

$$K \curvearrowright \text{Rep} \tilde{Q} = T^* \mathbb{C}^{2n},$$

a so-called *hyperHamiltonian action*. The quotient

$$X(\alpha) = //_{(0,\alpha)}^{(0,0)} K = \frac{\mu_{\mathbb{R}} 1(0, \alpha) \wedge \mu_{\mathbb{C}}^{-1}(0, 0)}{K}$$

called *hyperpolygon space*.

Here

$$\begin{aligned} \mu_{\mathbb{R}} &: T^* \mathbb{C}^{2n} \rightarrow \mathcal{K}^* \\ \mu_{\mathbb{C}} &: T^* \mathbb{C}^{2n} \rightarrow \mathcal{K}_{\mathbb{C}}^* \\ (q_1, \dots, q_n, p_1, \dots, p_n) &\mapsto \left(\sum_{i=1}^n (p_i, q_i)_0, \dots \right) \end{aligned}$$

It turns out that $X(\alpha)$ is smooth if and only if $\varepsilon_1(\alpha) = 0$ for all $I \subseteq \{1, \dots, n\}$. When smooth, $X(\alpha)$ is a hyperkähler manifold (non-compact, unfortunately), $M(\alpha) = \{[0_{1i}, 0] \in X(\alpha)\}$.

Theorem 10.15 (Boalch). $X(\alpha)$ is the moduli space of polygons for $GL(2, \mathbb{C})$.

Parabolic Higgs bundles. Let E be a parabolic bundle as before, i.e. $E \in \mathcal{M}_{0,2}(\beta)$. A Higgs field on E is

$$\phi \in H^0(\mathbb{P}^1, \text{SPEnd}(E) \otimes K_{\mathbb{P}^1}(D))$$

where a *strongly parabolic endomorphism* is $f : E \rightarrow E$ such that $f(E_{x_i}) \subseteq E_{x_{i+1}}$ for all i . (Fix details!)

A *parabolic Higgs bundle* is (probably) the pair (E, ϕ) . A parabolic Higgs bundle (E, ϕ) is *(semi)stable* if $\mu(E) > \mu(L)$ ($\mu(E) \geq \mu(L)$) for all L parabolic Higgs subbundle.

Then $\mathcal{N}_{r,a}^{0,1}(\beta)$ is the moduli space of parabolic Higgs bundles over \mathbb{P}^1 with rank r and degree d (with fixed determinant, and traceless; two notions that we will not define here).

Theorem 10.16 (Goldinho, M.; Biswar, Florentino, Godinho, M.). *Let α be generic, let β be such that $\alpha_i = \beta_2(x_i) - \beta_1(x_i)$. Then the hyperpolygon space $X(\alpha)$ is symplectomorphic to the moduli space of parabolic Higgs bundles over \mathbb{P}^1 , β -stable, holomorphically trivial (with fixed determinant and trace-free).*

Idea of proof. We can define a correspondence

$$\begin{aligned} X(\alpha) &\longrightarrow \mathcal{H}(\beta) \\ [p, q] &\longmapsto \begin{matrix} E = \mathbb{C}P^1 \times \mathbb{C}^2 \\ E_{x_i} \simeq E_{x_i,1} \supset E_{x_i,2} \supset \{0\} \end{matrix} \end{aligned}$$

No we can use the moment map condition that the sum of the residues is zero, where $\text{Res}_{x_i} \phi = (p_i, q_i)$ where ϕ is the unique function that satisfies the last equality by the residue theorem. \square

Wall-crossing for moduli spaces of parabolic bundles. See “Translation” from Thaddeus. What happens is that there is an S^1 -action on $X(\alpha)$ given by

$$\lambda \cdot [p, q] = [\lambda p, q].$$

(This can be paralleled with the S^1 -action on $\mathcal{H}(\beta)$, given by $\lambda \cdot [E, \phi] = [E, \lambda \phi]$.)

This action has a moment map

$$\mu_{S^1}([p, q]) = \frac{1}{2} \sum_i |p_i|^2$$

Fixed points are [Konno]

$$X_S = \{[p, q] \in X(\alpha) : S, S^c \text{ are straight, } p_j = 0 \forall j \in S\}$$

for all $|S| \geq 2$ short. Here $S \subseteq \{1, \dots, n\}$ is *straight* if $q_i = \lambda_j q_j$ for $\lambda_j > 0 \forall i, j \in S$ (which in the case of polygons means the edges are aligned).

Let U_S be the flow down from X_S . Then, crossing a wall W_I as defined above, i.e. $W_I = \{\alpha \in \mathbb{R}_{>0}^n : \varepsilon_S(\alpha) = 0\}$, “replaces” U_S by U_{S^c} .

Let α and $\tilde{\alpha}$ be generic, then $X(\alpha)$ is diffeomorphic to $X(\tilde{\alpha})$. The wall crossing for $M(\alpha)$ involves

$$\begin{aligned} M_S(\alpha^+) &= U_S \cap M(\alpha^+) \\ M_{S^c}(\alpha^-) &= U_{S^c} \cap M(\alpha) \end{aligned}$$

This is thought of as a Mukai transform.

Generalization. See [Florentino, Godinho, Sotillo] for wall crossing. See also [Fisher] PhD thesis, [Fisher, Rayan]. [Hausel et al], [Rayan-Schopnick].

11. VERTEX ALGEBRAS AND SPECIAL HOLONOMY ON QUADRATIC LIE ALGEBRAS

Mario García Fernández, ICMAT. GAAG, IMPA. September 24, 2025.

Abstract.

The chiral de Rham complex (CDR) is a sheaf of vertex algebras on any smooth manifold, introduced by Malikov, Schechtman and Vaintrob, which provides a formal quantization of the non-linear sigma model in mathematical physics. Motivated by the algebra of chiral symmetries in two-dimensional superconformal field theories, vertex algebra embeddings on the CDR have been studied for special holonomy Riemannian manifolds, thanks mainly to the work of Heluani, Zabzine, and collaborators, with interesting applications to the elliptic genus. In these lectures, we will discuss extensions of some of these results to the case of special holonomy manifolds with skew-torsion. The presence of torsion typically allows for continuous symmetries in the geometry, with an enhanced interplay with Lie theory and algebra, as well as the application of techniques from generalized geometry.

Based on joint work with Luis Álvarez Cónsul, Andoni De Arriba de la Hera. arXiv:2012.01851 (IMRN '24).

Motivation. Let (M^n, g) be a Riemannian spin manifold with parallel spinor $\nabla^g \varphi = 0$. Then $\text{hol}(g) \subset G_\varphi \subseteq \text{SO}(n)$, and $\text{Ric}(g) = 0$.

There is a construction by Markov-S-V that puts a sheaf of vertex algebras $\mathcal{V} \rightarrow M^n$. How to construct special embeddings of trivial vertex algebras in the cohomology of \mathcal{V} , i.e. $\mathcal{V}_\varphi \hookrightarrow H^*(\mathcal{V})$ by Heluani et al.

Applications. Construction of topological invariants. Elliptic genus, [Borisov-L]. How to understand mirror symmetry using vertex algebras [Borisov]. Holography [Witten].

Geometry in algebra. We shall do geometry in quadratic Lie algebras. Recall that a *quadratic Lie algebra* is $(\mathfrak{g}, [\cdot, \cdot], (\cdot, \cdot))$ where $(\mathfrak{g}, [\cdot, \cdot])$ is a Lie algebra (in this course we use \mathbb{R} as base field) and (\cdot, \cdot) is a symmetric bilinear invariant form.

Example 11.1. Let R be a Lie algebra with $\langle \cdot, \cdot \rangle_R : R \otimes R \rightarrow \mathbb{R}$. Take $\mathfrak{g} = R \oplus R^*$, and pick $H \in \Lambda^3 R^*$. Put $H(a, b, c) = \langle [a, b], c \rangle$, and define

$$[v + \alpha, w + \varphi] = [v, w] - \varphi([v, -]) + \alpha([v, -]) + H(v, w, -)$$

for $v, w \in R$ and $\varphi, \alpha \in R^*$. This turns out to be a bracket.

If you like geometry you can pick K compact, $\text{Lie} K = R$, this is “Generalized geometry on $TK \oplus T^*K$ ” à la Hitchin.

QLA:

- Courant algebroids $/\{\ast\}$.

- Symplectic supermanifolds.

Definition 11.2. (1) A *(generalized) metric* on $(\mathfrak{g}, (\cdot, \cdot))$ is $G \in \text{End}(\mathfrak{g})$ with $G^2 = \text{Id}$, $(G, G) = (\cdot, \cdot)$,

This gives $\mathfrak{g} = V_+ \oplus V_-$ where G acts as identity on the first term and as $-\text{Id}$ on the second one. $(G_-, -)$ is a non-degenerate pairing. $(-, -)_{V_I}$ non-degenerate tensor.

- (2) A divergence $\varphi \in \mathfrak{g}^*$.
 (3) A *connection* is

$$D : \mathfrak{g} \rightarrow \mathfrak{g}^* \otimes \mathfrak{g}$$

such that $(D_a b, c) + (b, D_a c) = 0$. So that $D \in \mathfrak{g}^* \otimes \Lambda^2 \mathfrak{g}$ (where we identify \mathfrak{g} with its dual using the pairing).

D is *compatible* with G if $[D_a, G] = 0$. This condition says that D splits into four operators:

$$\begin{array}{ccc} D_+^+ & & D_+^- \\ & \nwarrow \quad \nearrow & \\ & D & \\ & \swarrow \quad \searrow & \\ D_-^+ & & D_-^- \end{array}$$

- (4) Let $a \in \mathfrak{g}$, $a = a_+ + a_-$. A *generalized connection* satisfies $D_a^+ = [a_-, b_+]_+ + [a_+, b_-]_-$. (This will happen to things living in \mathcal{D}^0 , see below.)

Definition 11.3. Given D define

- (1) $\varphi_D(a) = -\text{tr} D a$.
 (2) $\text{Torsion}(AX) : T_D \in \Lambda^2 \mathfrak{g}^*$,

$$T_D(a, b, c) = (D_a b - D_b a - [a, b], c) + (D_c a, b).$$

(Just copying the definition of torsion.)

Lemma 11.4. Define $\mathcal{D}^0(G, \varphi) = \{D : G\text{-compatible}, \varphi_D = \varphi\}$. Then this is non-empty, but is not a point. Furthermore, $\forall D \in \mathcal{D}^0(G, \varphi)$,

$$D_{a_-} b_+ = [a_-, b_+]_+, \quad D_{a_+} b_- = [a_+, b_-]_-.$$

(Checking non-emptiness is just writing out the equations.)

Given G consider $Cl(V_+)$, $a_+ \cdot a_+ = (a_+, a_+)$ for $a_+ \in V_+$.

Fix an irreducible representation for $Cl(V_+)$: S_+ . Given $D \in \mathcal{D}^0(G, \varphi)$:

- (1) $D_-^{\delta+} \in V_-^* \otimes \text{End}((S_+) \supset V_-^* \otimes \Lambda^2 V_+ \ni D_-^+)$.
 (2) An operator like Dirac operator:

$$\begin{aligned} \underline{D}^+ : S_+ &\longrightarrow S_+ \\ \zeta &\longmapsto \sum_{j=1}^{\dim V} e_j^+ \cdot D_{e_j^+} \zeta. \end{aligned}$$

Lemma 11.5. D^{S_+} and \underline{D}^+ are independent of $D \in \mathcal{D}^0(G, \varphi)$.

Definition 11.6. (G, φ, ζ) , $\varphi \in S_+$ satisfies *Killing spinor equations* if

$$KSE \quad \underbrace{D_-^{S+} \zeta = 0}_{\text{Gravitino Eq.}} \quad \underbrace{\underline{D}^+ \varphi = 0}_{\text{Dilatino Eq.}}$$

Expectation.

- (1) $\mathcal{M} = \{(G, \varphi, \zeta) : KSE\} / \mathfrak{g}$ special metric.
- (2) Given a solution (G, φ, ζ) then

$$V_{(g, \varphi, \zeta)} \hookrightarrow V^k(\mathfrak{g}).$$

(Where I think $V^k(\mathfrak{g})$ is the Kac-Moody affinization.)

Remark 11.7. In the case $TK \oplus T^*K : \mathcal{M}$ 2-stack. See [Bursztyn].

Lemma 11.8. *Associated to (G, φ) there are well-defined “Ricci tensors” $Ric^+ \in V_- \otimes V_+$, $Ric^- \in V_+ \otimes V_-$.*

$$Ric^+(a_-, b_+) = \text{Tr}(C_+ \rightarrow R_D(c_+, a_-)b_+)$$

$$R_D(a, b) = [D_a, D_b]c - D_{[a, b]}c, \quad D \in \mathcal{D}^0(G, \varphi).$$

Remark 11.9. In geometric setup, the vanishing of the Ricci corresponds to the motion equations of some physical supersymmetry theory.

Proposition 11.10. *If (G, φ, ζ) is solution of KSE, then $Ric_{G, \phi}^+ = 0$. If $[\varphi, G] = 0 \implies Ric_{G, \varphi}^- = 0$.*

Proof. $Ric^+(a_-, -) \cdot \varphi = [\underline{D}^+, D_{a_-}^{S+} \zeta - D_{D_+ a_-}^{S+} \zeta = 0$. $[\varphi, G] = 0 \implies Ric^+(a_-, b_+) = Ric^-(b_+, a_-)$. \square

Generalized Ricci flow. Finding solutions to these equations. Evaluating by

$$G_t^{-1} \partial_t G_t = -2(Ric^+ - Ric^-)$$

$$\text{Hom}(V_+, V_-) \oplus \text{Hom}(V_-, V_+). \quad GG + G - G = 0, \quad G = \text{Id}_{V_+} - \text{Id}_{V_-}.$$

Exercise 11.11. (1) Prove STE for GRF.

- (2) Assuming there exists a solution of KSE, prove long time existence and convergence. (See theorem by Streets, Jordan, GF; and a book by Streets, GF.)

Remark 11.12. For physicists the generalized Ricci flow (GRF) is the GRF of a $2d$ σ -model with target a compact Lie group K , $\mathfrak{g} = R \oplus R^*$

Let's explain something in this situation. consider a compact Lie group K and $\mathfrak{g} = R \oplus R^*$. A generalized metric: $\mathfrak{g} = V_+ \oplus V_-$, $(-, -)|_{V_{\pm}}$ non-degenerate, $(-, -)|_{V_+} > 0$. $V_+ = \mathcal{C}^b\{X + g(X)\}$, $X \in R$, $g \in S^2(R^*)$, $b \in \Lambda^2 R^*$, $H = H_0 + \bar{\partial}$.

Case $\dim V_+ = 2n$. Complex pure spinor φ on V_+ is equivalent $V_+ \otimes \mathbb{C} = \ell \oplus \bar{\ell}$, where $\ell = \{0 \in V_+ \otimes \mathbb{C} : 0 \cdot \zeta = 0\}$. $(\ell, \ell) = 0$, $(\bar{\ell}, \bar{\ell}) = 0$.

This gives a complex structure $J_{\zeta} : V_+ \rightarrow V_+$, $J_{\zeta} = i\text{Id}_{\ell} - i\text{Id}_{\bar{\ell}}$.

Lemma 11.13. *(G, φ, ζ) with ζ pure satisfies KSE if and only if*

- (1) $[\ell, \ell] \subset \ell$.
- (2) Take bars $\{\varepsilon_j, \bar{\varepsilon}_j\}_{j=0}^n$, $\varepsilon_j \in \ell$, $\bar{\varepsilon}_j \in \bar{\ell}$ $(\varepsilon_j, \bar{\varepsilon}_j) = s_{j,k}$. $\sum_{j=1}^n [\varepsilon_j, \bar{\varepsilon}_j] = -J_{\zeta} \varphi_+$.

(This sum is a moment map condition.)

Consider $\mathcal{L} = \{\ell \subset \mathfrak{g} \otimes \mathbb{C} : \dim \ell = n, \ell \cap \bar{0}, (-, -)|_{\ell \oplus \bar{\ell}} \text{non-degenerate}\}$. This is a complex manifold. $T_\ell \mathcal{L} \cong \text{Hom}(\ell, \bar{\ell} \oplus V_- \oplus \mathbb{C})$, $V_- \otimes \mathbb{C} = (\ell \oplus \bar{\ell})^\perp$. Pick a vector $\dot{\ell} = \dot{J} + \dot{G} \in \text{Hom}(\ell, \bar{\ell} \oplus V_- \oplus \mathbb{C})$. That is, $\dot{J} \in \text{Hom}(\ell, \bar{\ell})$, $\dot{J} : V_+ \rightarrow V_+$, $\dot{J}\dot{J} + \dot{J}\dot{J} = 0$. (Deformations of the complex structure.) $\dot{G} \in \text{Hom}(V_+, V_-) \oplus \text{Hom}(V_-, V_+)$.

Proposition 11.14 (Romero). \mathcal{L} has a pseudo-Kähler structure preserved by the \mathfrak{g} -action and there exists a moment map

$$\mu : \mathcal{L} \longrightarrow \mathfrak{g}^*$$

$$\ell \longmapsto \frac{i}{2} \sum_{j=1}^n [\varepsilon_j, \bar{\varepsilon}_j], -),$$

which is the quantity we mentioned in Lemma above.

Proof. Use the natural complex structure on the space of complex structures (found by Fujiki),

$$\text{tr}_{V_+}(J\dot{G}_2, \dot{G}_1) - \text{tr}_{V_+}(J\dot{J}_\varepsilon \dot{J}_1).$$

□

Problem: prove that $\mathcal{M} = \{(G, \varphi, \zeta) : \zeta \text{ puse}\} / \mathfrak{g}$ is a pseudo-Kähler manifold.

- (1) Pseudo-Kähler.
- (2) Shifter symplectic stuff.

Example 11.15. Take $K = \text{SU}(2) \times \text{U}(1) \cong S^3 \times S^1$ and $\mathfrak{g} = R \oplus R^*$. Take generators v_1, v_2, v_3 of $\text{SU}(2)$ and v_4 of $\text{U}(1)$. We have $[v_2, v_3] = -v_1$, $[v_3, v_1] = -v_2$, $[v_1, v_2] = -v_3$. Put $H_\ell = \ell v^{123}$. $\ell \in \mathbb{R}$. $x, a \in \mathbb{R}_{>0}$.

$$g_{x,a} = \frac{a}{x} \left(\sum_{i=1}^3 \omega^{\otimes 2} + x^2 (v^4)^{\otimes 2} \right)$$

$$V_+ = \{x + g_{xa}\} \subset \mathfrak{g}$$

$$I_X v_4 = x v_1, \quad U_X v_2 = v_3, \quad \varphi = -x v_4$$

Exercise 11.16. If $\ell = \frac{a}{x} \implies$ solution of KSE.

Furthermore $[\varphi_+, \ell] \subset \ell$ (holomorphic divergence).

The a parameter is naturally complexified by $b = y v^{23}$. $y + ia = z$.

$$V = \log \left(\frac{\omega_{x,n}^2}{v^{1234}} \right) = \log a.$$

KSV hyperbolic metric, metric on \mathbb{H} .

$\dim V_+ = 7$. A real spinor on V_+ is equivalent to $\phi \in (\Lambda^3 V_+^*)_{>0}$. The space $\text{GL}(\mathbb{R}^7) \curvearrowright \Lambda^3(V_+^*)$. The space of spinors $S_+ = \mathbb{R}^8 = \mathbb{R}^7 \oplus \mathbb{R} \langle \varphi \rangle$. $\phi(x, y, z) = \langle x \cdot y \cdot z \cdot \zeta, \zeta \rangle$.

Remark 11.17. $v^1, \dots, v^7 \cong \mathbb{R}^6 \oplus \mathbb{R}$. $\phi = (v^{12} + v^{34} + v^{56}) \wedge v^7 + \text{Re}((v^1 + iv^2) \wedge (v^3 + iv^4) \wedge (v^5 + iv^6))$.

Example 11.18. Take $R = \text{SU}(2) \oplus \text{SU}(2) \oplus \mathbb{R}$. $\mathfrak{g} = R \oplus R^*$. $e, s \in \mathbb{R}$, $H = s v^{123} + \ell v^{456}$. $\phi = \omega \wedge \zeta + \Omega^+$, $\zeta = \sqrt{\varepsilon/\ell} v^7$, $\omega = \sqrt{s\ell}(v^{14} + v^{25} - v^{36})$, $\Omega^+ = \sqrt{s^3} v^{123} + \ell \sqrt{s} v^{156} - \ell \sqrt{s} v^{345}$.

12. NON-KÄHLER HODGE LEFSCHETZ THEORY AND THE BIANCHI IDENTITY

Arpan Saha, UNICAMP. Geometric Structures Seminar, IMPA. September 25, 2025.

Abstract.

Being Kähler imposes severe constraints on the cohomology of compact complex manifolds such as the Hard Lefschetz property, and the question of how far this generalises beyond the class of Kähler manifolds has been of great interest for a while. In this talk, I shall report on ongoing joint work with Mario García Fernández and Raúl González Molina that abstracts out the definition of a variation of Hodge–Lefschetz structure and provides evidence that, under certain natural assumptions, such a structure exists more generally on distinguished subspaces within moduli spaces of Bismut–Ricci-flat metrics that are pluriclosed up to source terms. In particular, these distinguished subspaces may be regarded as replacements for the Kähler cone, with affine structure modelled on a subspace of the $(1,1)$ Aeppli cohomology of the compact complex manifold.

Broad motivation. As we move on the parameter space associated to a CY manifold we encounter walls. The Kähler cone is contained in this parameter space, and we may cross its walls. When we cross a wall we obtain a birational transformation (I think this means that the moduli on either side are birational). But the Kähler condition is not a birational invariant.

Hodge-Lefschetz theory. For (X, J, ω) Kähler, the cohomology $H^\bullet(X)$ comes with:

- the Lefschetz operator L , given by $[\omega] \wedge -$.
- Hodge star operator.
- $\Lambda = *L*$ satisfying the \mathfrak{sl}_2 relations:

$$[L, \Lambda] = H, \quad [H, L] = 2L, \quad [H, \Lambda] = -2\Lambda.$$

These relations are equivalent to the Hard Lefschetz property that

$$L^q : H^{d-q} \rightarrow H^{d+q}.$$

- The Poincaré pairing (which is a different pairing from Lefschetz) of the form

$$H^{d-q} \cong (H^{d+q})$$

given by integration.

- Hodge-Riemann bilinear relations which is a positive definite form given by $\int_X \cdot \wedge * \cdot$.

Moving about on the Kähler cone gives a variation of Hodge-Lefschetz structure.

Definition 12.1. A *variation of Hodge Lefschetz structure (VHLS)* of weight d over a manifold K consists of

- a graded real vector bundle $E = \bigoplus_{q=0}^d E^q \rightarrow K$ with $\mathrm{rk}_{\mathbb{R}} E^0 = 1$.
- An isomorphism $E^0 \otimes TK \xrightarrow{\sim} E^1$ (this is reminiscent of the usual definition of variations of Hodge structures, and we will not use it in this talk).
- Endomorphism fields $L, \Lambda, H \in \mathcal{A}^0(K, \mathrm{End}(E))$ satisfying the \mathfrak{sl}_2 relations that

$$[L, \Lambda] = H, \quad [H, L] = 2L, \quad [H, \Lambda] = -2\Lambda.$$

and that

$$H|_{E_q} = (2q - d)|_{\text{id}_{E^q}}.$$

- An involution $*$ in $\mathcal{A}^0(K, \text{End}(E))$ such that $*L* = \Lambda$.
- A nondegenerate symmetric pairing $P \in \mathcal{A}^0(K, E^* \otimes E^*)$ w.r.t. L and $*$ are self-adjoint.
- (like the Gauss-Manin connection) a flat connection $D : \mathcal{A}^0(K, E) \rightarrow \mathcal{A}^1(K, E)$ such that $DH = 0 = DP$.

The VHLS is said to be *positive* if $P(*-, -)$ is positive definite.

Calabi's dream beyond Kähler manifolds. Let (X, Ω) be a compact Calabi-Yau manifold, where Ω is the volume form. In general such a manifold is not Kähler.

Example 12.2 (Non-Kähler manifolds). These manifolds are not Kähler: Heisenberg algebra quotiented by some lattice, torus bundle, Hopf surface.

By [Yau], the Kähler cone is the moduli space of Kähler Ricci-flat metrics.

We look for PDEs on X such that

- Assumption C (Calabi). Moduli space of alutions is an open set K of an affine space modelled on a subspace \mathbb{V} of $(1, 1)$ -Aeppli cohomology group, which is given by

$$H_A^{1,1}(X) = \frac{\text{Ker } \partial\bar{\partial}|_{\Omega^{1,1}}}{\text{Im } \partial + \text{Im } \bar{\partial}}$$

- Assumption D (dilaton). A volume function $v : K \rightarrow \mathbb{R}_{>0}$ such that $\underline{d}\log V$ is nowhere zero (where \underline{d} denotes Aeppli differential), and the bilinear form $g_K := -D\underline{d}\log V$ is nondegenerate (basically the Hessian metric). (Ideally this would be positive definite.)
- Assumption V (vector field). $Z = g_K^{-1}\underline{d}\log V$ is such that DZ is invertible (when thought of as an endomorphism) $g_K(Z, Z) = d \in \mathbb{Z}_{>0}$,

$$(D_{(DZ)^{-1}})^{d+1}V = 0,$$

Remark 12.3.

$$(D_{(DZ)^{-1}})^dV = \int_X \wedge \wedge \wedge.$$

Theorem 12.4 (García Fernández-González Molina-AS). *If assumptions C, D and V all hold with $d \leq 3$, then K admits a VHLS of weight d .*

Idea of proof for $d = 3$.

$$E = \underbrace{\mathbb{R}_K}_{E^0} \oplus \underbrace{TK}_{E^1} \oplus \underbrace{T^*K}_{E^2} \oplus \underbrace{\mathbb{R}_K^*}_{E^3}.$$

Define L in each of the terms of the direct sum as

$$L1 = Z, \quad Lv = D_v^2V, \quad L_\alpha = i_Z\alpha$$

and $*$ as

$$*1 = V1^\vee, \quad *v = VD_0^2\log V = Vg_K(v, -).$$

□

Recall the Hull-Strominger system, which is given by two conditions on the connection and the metric

$$d \left(\|\Omega\|_\omega \frac{\omega^{n-1}}{(n-1)!} \right) = 0, \quad F_\theta \wedge \frac{\omega^{n-1}}{(n-1)!} = 0.$$

This determines $dd^c\omega = \alpha \langle F_\theta \wedge F_\theta \rangle$.

13. IRREDUCIBILITY OF THE HILBERT SCHEME OF POINTS AND THE CLASS OF 2-STEP IDEALS

Michele Graffeo, SISSA. Algebraic Geometry Seminar, UFF. September 29, 2025.

Abstract. Hilbert schemes of points on a quasi-projective variety X are classical objects in algebraic geometry. Roughly speaking, they parametrise ideals of a polynomial ring with complex coefficients having finite colength. Although Hilbert schemes always have a distinguished component called the smoothable component, their geometry is quite pathological and one of the main open problems around them concerns their irreducibility. In a joint work with Giovenzana, Giovenzana, Lella we introduce the notion of 2-step ideals. We show that the loci parametrising these ideals are in general not contained in the smoothable component of the Hilbert scheme, thus providing new examples of extra-components. In my seminar I will discuss our class of ideals and relate them to the compressed algebras considered by Iarrobino in the eighties. Finally, I will show how to extend our result to the nested setting.

As usual, you start with a functor and prove representativity.

Definition 13.1. Let X be a smooth quasi-projective variety and a nonnegative integer d . Define

$$\begin{aligned} \underline{Hilb}^d : \text{Sch}_{\mathbb{C}} &\longrightarrow \text{Set} \\ B &\longmapsto \left\{ Z \hookrightarrow B \times X : \begin{smallmatrix} B\text{-flat} \\ B\text{-finite} \\ \text{length } d \end{smallmatrix} \right\} \end{aligned}$$

Theorem 13.2 (Grothendieck). $\underline{Hilb}^d(X)$ is represented by a quasi-projective scheme $Hilb^d(X)$

Idea: \mathbb{C} -points of $Hilb^d(X)$ are in correspondence with $Z \overset{\subset}{\hookrightarrow} \text{finite } X$ of length d .

A fat point $Z = \text{Spec}(A)$ (A, \mathfrak{m}) is a local artinian \mathbb{C} -algebra of finite type.

If X smooth can consider $X = \mathbb{A}^n$, and it's the same to consider

- $Z \hookrightarrow \mathbb{A}^n$ of length d .
- $I \subset \mathbb{C}[x_1, \dots, x_n]$ of colength d .
- $A = \mathbb{C}[x_1, \dots, x_n]/I$ with $\dim_{\mathbb{C}} = d$.

Definition 13.3. X , $0 \leq d_1 \leq \dots \leq d_r = \underline{d}$.

$$Hilb^{\underline{d}}(X) = \{Z_1 \not\hookrightarrow \dots \not\hookrightarrow Z_r \not\hookrightarrow X : \text{len}(Z_i) = d_i\}$$

Theorem 13.4 (Hartshorne ($r=0$), Fogarty, Kalpan ($r>1$)). $Hilb^{\underline{d}}(X)$ is connected.

For $r = 1$, $Hilb^d(\mathbb{A}^n)$ is smooth iff $n \leq 2$, $d \leq 3$. If $r > 1$, $n = 2$, it is smooth iff $r = 2$ and $d_1 = d_2 = -1$. If $r > 1$ and $n > 2$, it is smooth iff $r = 2$, $(d_1, d_2) = \{(1, 2), (2, 3)\}$.

Irror components.

- $(r = 1) \ n \geq 4$, $Hilb^d(\mathbb{A}^3)$ is irreducible iff $d \leq 7$ (\Leftarrow [E-I], \Rightarrow [Mozzola].
 $n = 3$ irreducible if $d \leq 11$ (8,9 Sivic; 10 Jardim et al; 11, Jelisiejv et al.)
- $(r = 2)$, $n = 2$, irreducible [G-Rosul-Sebastian].
- $(r \geq 3) \ n = 2$, there exist $d_1 \leq \dots \leq d_5$ such that $Hilb^d(\mathbb{A}^i)$ is irreducible [S-R].

There is an analogy between classical in dimension 3 and nested in dimension 2. Schematic structure.

- I $Hilb^{21}(\mathbb{A}^4)$ has at least a generically non-reduced component.
- Problem: what about $n = 3$?
- Theorem. If $Hilb^{d_1, \dots, d_r}(\mathbb{A}^i)$ is irreducible $\Rightarrow Hilb^{(1, d_1, \dots, d_r)}(\mathbb{A}^n)$ has a generically non reduced component.

Other results.

- ...

Hilbert scheme function. For a local artinian \mathbb{C} -algebra of finite type there is an associated graded $\text{Gr}_{\mathfrak{m}}(A) = \bigoplus_{i \geq 0} \mathfrak{m}^i / \mathfrak{m}^{i+1}$ which allows us to define the Hilbert function

$$h_A : \mathbb{Z} \longrightarrow \mathbb{N}$$

$$i \longmapsto \dim_{\mathbb{C}} \mathfrak{m}^i / \mathfrak{m}^{i+1}$$

sistability for graded A -modules. The socle is the annihilator of the ideal which gives the algebra e.g. $\mathbb{C}[x, y](x^2, xy, y^2)$ then $\text{Soc}(A) = \langle x, y^2 \rangle_{\mathbb{C}}$.

Definition 13.5. The *smoothable component* is

$$V_{sm} = \overline{\{[(z_i)_{i=1}^n \in Hilb^d(\mathbb{A}^n) : Z_r \text{ is reduced}]\}}$$

Definition 13.6. $V \subset Hilb^d(\mathbb{A}^n)$ is an *elementary component* if $\forall [Z_1, \dots, Z_r] \in V$ then Z_r is a fat point.

Instead of looking for all components of the Hilbert scheme we look for elementary components. This may not be some simple; sometimes there's infinitely many. There is no method to find them.

Theorem 13.7 (Irrabaro). *Every irreducible component $V \subset Hilb^d(\mathbb{A}^n)$ is generically étale locally product of elementary components.*

Definition 13.8. Let $h : \mathbb{Z} \rightarrow \mathbb{N}$ be a function with finite support, $|h| = \sum_{i \in \mathbb{Z}} h(i) = d$. Define

- $H_n = \{[A] \in Hilb^d(\mathbb{A}^n) : h_A \equiv h\}$. This is closed by semicontinuity of the function; but can be expressed by a representable functor.
- The following also has a canonical schematic structure

$$\pi_n : H_n \longrightarrow \mathcal{H}_n = \{[A] \in H_n : A \text{ is graded}\}$$

$$A \longmapsto A = \text{Gr}_{\mathfrak{m}}(A)$$

Where the first is \nrightarrow in the second.

Recall that

$$T_{[I]} Hilb^d(\mathbb{A}^n) = \text{Hom}_R(I, R/I)$$

Theorem 13.9 (B-B,J,G G G L). *There is a graded $[I] \in \mathcal{H}_n$. Then*

$$\begin{aligned} T_{[I]} \mathcal{H}_n &= \text{Hom}_R(I, R/I)_{=0} \\ T_{[I]} \pi_n([I]) &= ' > 0 \\ T_{[I]} H_n &= ' \geq 0. \end{aligned}$$

Definition 13.10. (A, \mathfrak{m}_A) is *compressed* if for all (A', \mathfrak{m}') with $e(A) = e(A')$ and $\text{len}(A) \geq \text{len}(A')$.

Theorem 13.11. $E_h \subset H_n$ is *H-compressed* $E_h \neq \emptyset$

14. NORMAL AND RESTRICTED TANGENT BUNDLES OF RATIONAL CURVES IN HYPERSURFACES

Lucas Mioranci, IMPA. Algebra Seminar, IMPA. October 8, 2025.

Abstract.

Let $X \subset \mathbb{P}^n$ be a degree d hypersurface containing a smooth rational curve C of degree e . The normal bundle $N_{C/X}$ and the restricted tangent bundle $T_X|_C$ split as direct sums of line bundles of the form $\bigoplus_i \mathcal{O}_{\mathbb{P}^1}(a_i)$ — called their splitting type. The splitting type of $N_{C/X}$ and $T_X|_C$ controls the local structure of the space of rational curves and determines how many general points of X we can interpolate by deforming the curve C . By combining explicit computations of $T_X|_C$ and an induction argument, I classify all triples (e, d, n) such that a general degree d hypersurface $X \subset \mathbb{P}^n$ contains a rational curve C of degree e whose restricted tangent bundle $T_X|_C$ is balanced. The case of quadrics is particular, in which we show that odd-degree rational curves do not interpolate the expected number of points on quadric hypersurfaces. For the normal bundle, I compute explicit examples of hypersurfaces X for all possible splitting types of $N_{C/X}$ when C is the rational normal curve. Additionally, for $d \geq 3$, we compute the dimension of the space of hypersurfaces X such that $N_{C/X}$ has a given splitting type.

\mathcal{O} with no subindex means $\mathcal{O}_{\mathbb{P}^1}$.

$$\begin{array}{ccccccc} & 0 & & 0 & & & \\ & \downarrow & & \downarrow & & & \\ & T_{\mathbb{P}^1} = \mathcal{O}(2) & \xrightarrow{=} & T_{\mathbb{P}^1} = \mathcal{O}(2) & & & \\ & \downarrow & & \downarrow & & & \\ 0 & \longrightarrow & T_X|_C & \longrightarrow & T_{\mathbb{P}^n}|_C & \longrightarrow & N_{X/\mathbb{P}^n}|_C \cong \mathcal{O}(de) \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow = \\ 0 & \longrightarrow & N_{C/X} & \longrightarrow & N_{C/\mathbb{P}^n} & \longrightarrow & N_{X/\mathbb{P}^n}|_C \cong \mathcal{O}(de) \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \\ & & 0 & & 0 & & \end{array}$$

Throughout this talk X is a degree d hypersurface in \mathbb{P}^n and $C \subset X$ is a smooth rational curve of degree e .

Since C is rational we can think of it as a map $f : \mathbb{P}^1 \rightarrow X$. Then we can pullback any bundle over C back to \mathbb{P}^1 , and by Birkhoff-? Theorem we know that vector bundles over \mathbb{P}^1 split as

$$E \cong \bigoplus_{i=1}^r \mathcal{O}_{\mathbb{P}^1}(a_i), \quad a_1 \leq \dots \leq a_n.$$

This decomposition is called the *splitting type* of E . We say E is *balanced* if $|a_i - a_j| \leq 1$ for all i, j .

Balancedness is an open condition, i.e. if you have a family of bundles over \mathbb{P}^1 and one of them is balanced, then all of them are, (also you can think it's a generic condition).

We know the normal bundle $N_{C/X}$ has rank $n - 2$ and degree $e(n - d + 1) - 2$. And the tangent bundle $T_{X/C}$ has rank $n - 1$ and degree $e(n - d + 1)$.

Example 14.1. A conic $C \subset \mathbb{P}^3$. Since a conic in \mathbb{P}^3 is contained in a plane, this obliges to have a “distinguished normal direction”, $\mathcal{O}(2)$. Indeed,

$$\begin{aligned} N_{C/\mathbb{P}^3} &\cong (N_{Q/\mathbb{P}^3} \oplus N_{\mathbb{P}^2/\mathbb{P}^3}|_C) \\ &\cong (\mathcal{O}(2) \oplus \mathcal{O}(1))|_C \\ &\cong \mathcal{O}(1) \oplus \mathcal{O}(2). \end{aligned}$$

Now we discuss interpolation of general points. Let $p_1, \dots, p_n \in \mathbb{P}^1$ and $x_1, \dots, x_n \in X$ be general points. Let $f : \mathbb{P}^1 \rightarrow X$ be such that $f(p_i) = x_i$.

Consider the space of morphisms mapping the p_i to x_i . It's known that it's tangent space at f is given by

$$T_{[f]} \text{Mor}(\mathbb{P}^1, X, p_i \mapsto x_i) \cong H^0(\mathbb{P}^1, f^*T_X(-n)).$$

Deformations of f (mapping $p_i \mapsto x_i$) dominate X if $a_i - n_i \geq 0$. Also, deformations of f interpolate up to $a_1 + f$ general points in X .

How many points can deformations of the curve interpolate? This means (I think) that the deformations of the curve are still such that $p_i \mapsto x_i$. “And for the normal bundle, interpolations means that the curves contain the points”.

If $T_X|_C$ is balanced, C can interpolate up to

$$\text{floor function}\left(\frac{e(n+1-d)}{n_1}\right) + 1$$

general points. The case of $N_{C/X}$ is similar.

Next we survey some relevant results.

Theorem 14.2 (Coskun-Riedl, 2018). *A general Fano X of degree $d \geq 2$ contains a degree c rational smooth curve C with balanced $N_{C/X}$ for every $1 \leq e \leq n$.*

The idea is to observe that the space of curves has balanced normal bundle.

Theorem 14.3 (Ran, 2021). *Extends the above for $1 \leq e \leq 2n - 2$ and $d \geq 4$.*

Idea: general hypersurface contains a curve of balanced normal bundle.

Theorem 14.4 (Ran, 2024). *X general Fano hypersurface. There exist smooth rational curve with C with balanced tangent bundle $T_X|_C$ for e in some arithmetic progressions.*

e is in general very large. They show there are curves of arbitrarily large degrees with balanced tangent bundle.

Now we describe the new results.

Theorem 14.5. *Let $X \subseteq \mathbb{P}^n$ be Fano hypersurface of degree $3 \leq d \leq n$.*

- (1) *If $C \subset X$ is a rational smooth curve of degree e with $e \leq \frac{n-1}{n+1-d}$, then $T_X|_C$ is not balanced.*
- (2) *A general hypersurface X contains rational curves C of degree e with balanced $T_X|_C$ for every $e > \frac{n-1}{n+1-d}$.*

Theorem 14.6. *Let $X \subseteq \mathbb{P}^n$ be a smooth quadric hypersurface.*

- (1) *If $e \leq 2$ is even, then exists a curve C of degree e with $T_X|_C \cong \mathcal{O}(e)^{n-1}$. (I.e. we can interpolate $e+2$ points if e is even.)*
- (2) *If $e \geq 1$ is odd, then there is no curve C of degree e with balanced $T_X|_C$. The best we can do is the most balanced bundle before balancedness, namely $T_X|_C \cong \mathcal{O}(e-1) \oplus \mathcal{O}(e) \oplus \mathcal{O}(e+1)$. (I.e. we can only interpolate e points if e is odd.)*

Next we give the main ideas in the proof. Let C be the rational normal curve of degree e in \mathbb{P}^1 ,

$$\begin{aligned} C : \mathbb{P}^1 &\longrightarrow \mathbb{P}^n \\ (s : t) &\longmapsto (s^2 : s^{e-1}t : \dots : t^e : 0 : \dots : 0). \end{aligned}$$

$X = V(F)$.

Then find some examples. Then,

Proposition 14.7. *If $\mu(T_X|_C) = \frac{e(n+1-d)}{n-1} \leq 1$ then $T_X|_C$ is not balanced.*

Use the proposition to show that $T_X|_C$ is not balanced if $d \leq n$ and $e \leq \frac{n-1}{n+1-d}$.

This implies Theorem 14.5.

Lemma 14.8. *If $N_{C/X} \cong \bigoplus_i \mathcal{O}(a_i)$ with $a_i < 4$ for all i , then $T_X|_C \cong N_{C/X} \oplus \mathcal{O}(2)$.*

This implies that $\text{Ext}^1(N_{C/X}, \mathcal{O}(2)) = 0$.

The most important step is the following, used as the induction step:

Proposition 14.9. *If $T_Y|_C$ is balanced for some degree d hypersurface $Y \subseteq \mathbb{P}^{n-1}$, then there exists a degree d hypersurface $C \subseteq \mathbb{P}^n$ with balanced $T_X|_C$.*

Combining the examples, Lemma 14.8 and Proposition 14.9, we settle that for $e \leq \max\{2d-2, n\}$.

The following argument is used to show that actually what we showed so far is enough for all degrees.

Lemma 14.10. *$C = C_1 \cup C_2$, $C_1 \cong \mathbb{P}^1$. E vector bundle on C such that $E|_{C_1}$ is balanced, E_{C_2} is perfectly balanced (numerical condition that works when $e = n-1$). Then if E is the specialization of a family of vector bundles E' on \mathbb{P}^1 , then E' is balanced.*

Basically, glue and smooth low degree curves (i.e. $e \leq \max\{2d-2, n\}$) to get all degrees

Now we prove the result for quadrics, i.e. X is a quadric. For even e compute examples and conclude $T_X|_C \cong \mathcal{O}(e)^{n-1}$.

For odd e , compute examples, $T_X|_C \cong \mathcal{O}(c-1) \oplus \mathcal{O}(2)^3 \oplus \mathcal{O}(-1)$.

Proposition 14.11. $n \geq 3$, $m \leq e+1$. *There is a degree e curve ϕ_e interpolating m general points if and only if there is a degree $e-2$ curve ψ_{e-2} interpolating $m-2$ general points in X .*

The idea is to do induction. Suppose you can interpolate e points, then show you can interpolate $e+2$.

Choose y_1, \dots, y_{m-2} on the quadric. Choose a line in \mathbb{P}^{m-1} intersecting the quadric, and x_1, \dots, x_{m-1} points on the line. Join the points on the line to the points on the quadric. Then you get some points of intersection with the quadric. Those points and the two points of intersection of the line and the quadric let us construct a scroll, which intersects the quadric. The intersection of the scroll and the quadric (I guess the scroll contains the lines, and so the points y_i) gives the curve passing through the y_i .

15. REGULAR BUT NON SMOOTH CURVES OF GENUS 3

Cesar Hilario, . Algebra Seminar, IMPA. October 15, 2025.

Abstract. Regular but non-smooth curves are a unique feature of geometry in positive characteristic, that results from the fact that over an imperfect field the notion of regularity is weaker than the notion of smoothness. In the setting of algebraic geometry over an algebraically closed field, these curves correspond to fibrations by singular curves, which are fibrations of relative dimension 1 whose fibers are singular. The most famous examples are arguably the so-called quasi-elliptic curves and quasi-elliptic fibrations, which play a key role in the Bombieri-Mumford classification of algebraic surfaces in characteristics 2 and 3. In this talk I will discuss the case of genus 3 in characteristic 2, with an eye towards the classification of regular plane projective quartic curves that become rational after base change.

arXiv 2409.05464.

C will denote a projective geometrically integral curve over a not necessarily closed field K . Geometrically integral means that $C \otimes_K \bar{K}$ is integral. Suppose that $p = \text{char}(K) \geq 0$ and $g = h_1(\mathcal{O}_C)$ is of genus C .

We define

- (1) C regular iff local rings of C are regular (DVR).
- (2) C smooth iff C regular and $K \otimes_K \bar{K}$ regular.

Thus it's obvious that smoothness implies regularity. In this talk we explain why the converse is not true.

Note that if K is perfect (e.g. $p = 0$ or $K = \bar{K}$) implies smooth = regular. Recall that K is imperfect iff $p > 0$ the powers of K , K^p , is a proper subfield of K (it's always a subfield but we ask it's proper).

Assume that C is regular and $p > 0$. The genus of the normalization is less than or equal to the genus of the curve, i.e.

$$\tilde{g} = h^1(\mathcal{O}_{\widehat{C \otimes_K \bar{K}}}) \leq g.$$

We know that C is smooth iff $\tilde{g} = g$. So $g - \tilde{g}$ is a measure of non-smoothness.

Theorem 15.1 (Tate). $\frac{p-1}{2}$ divides $g - \bar{g}$.

As a corollary, we get that if C is non-smooth, $g - \bar{g} > 0$ and so $p \leq 2g + 1$.

Example 15.2. There are cases in which the bound is sharp, namely $C : y^2 = x^p + t$, with $t \in K \setminus K^p$. Then $g = \frac{p-1}{2}$ and $\bar{g} = 0$. Over \bar{K} there exists a singular point, $(x, y) = (-t^{1/p}, 0)$ which is **not visible over K** , that is we get that $t^{1/p} \notin K$.

Example 15.3. $\{\text{elliptic curves}\} = \{g = \bar{g} = 1, \exists K\text{-rational point}\} = \{\text{smooth cubic curves with } K\text{-rational point}\}$
 $y^2 = x^3 + 4g_i x + 27$.

Example 15.4. $g = 1, \bar{g} = 0, p = 2, 3$, quasielliptic curves. (Important in the classification of surfaces in positive characteristic.)

Now we make a digression to describe Kodaira-Enriques (over \mathbb{C}) in positive characteristic. Bombieri-Mumford: **new objects** appear, quasielliptic surfaces.

Let $k = \bar{k}$, S smooth elliptic (*quasielliptic*) surface over k . Suppose we have a fibration where the general fiber is an elliptic curve (resp. a plane cuspidal cubic) and the generic fiber an elliptic (resp. quasielliptic) curve over $k(B)$.

$$\begin{array}{c} S \\ \downarrow \\ B \end{array}$$

Back to our main content, let's assume that $g = 3$.

$$\{g = 1, \exists K\text{-rat. pt}\} \longleftrightarrow \left\{ \begin{array}{c} \text{reg. cubic curves} \\ \text{with } K\text{-rat pt} \end{array} \right\}$$

Recall that genus-degree formula

$$g = \frac{(d-1)(d-2)}{2} = 1,$$

So then $d = 3, 4$ gives $g = 3$ (right?).

Now we present our main theorem, which is a classification result:

Theorem 15.5. • C regular non-hyperelliptic curve over K .

- $g = 3, \bar{g} = 0$.
- $p = 2$.

(Not that non-hyperellipticity of C and $g = 3$ imply that C is a quartic over K , and that $\bar{g} = 0$ implies C is geometrically rational.) Then C is isomorphic to one of the following quartics.

- (1) $y^4 + az^4 + xz^3 + bx^2z^2 + cx^4 = 0$ where $a, b, c \in K, c \notin K^2$. (Notice the imperfectness of K is crucial.)
- (2) $y^4 + az^4 + bx^2y^2 + cx^2z^2 + bx^3z + dx^4 = 0$ for $a, b, c, d \in K, a \notin K^2, b \neq 0$.
- (3)
- (4)
- (5)

Now we enumerate properties of these families.

- C is a purely inseparable double cover of a quasielliptic curve. To understand this consider the induced map from Frobenius map $K \rightarrow K$, $a \mapsto a^p$ and do base change:

$$\begin{array}{ccc} C^{(p)} & \xrightarrow{\quad} & C \\ \downarrow & \lrcorner & \downarrow \\ \text{Spec } K & \xrightarrow{\text{induced from Frobenius}} & \text{Spec } K \end{array}$$

This gives a map $C \rightarrow C^{(p)}$ by universal property of pullback.

$$\begin{array}{ccccc} C & & & & \\ & \searrow \text{Frobenius} & & & \\ & & C^{(p)} & \xrightarrow{\quad} & C \\ & \searrow & \downarrow & & \downarrow \\ & & \text{Spec } K & \longrightarrow & \text{Spec } K \end{array}$$

Then consider the normalization of $C^{(p)} = C_1$ which turns out to be quasielliptic; by universal property of normalization we can lift:

$$\begin{array}{ccc} & \widehat{C^{(p)}} & \\ \nearrow & \downarrow & \\ C & \longrightarrow & C^{(p)} \end{array}$$

Here the lower arrow is purely inseparable of degree 2.

- There exists a unique non smooth point $x \in C$.
- Now we iterate the construction of the first item:

$$\underbrace{C}_{g=3} \longrightarrow \underbrace{C_1}_{g=1} \longrightarrow \underbrace{C_2}_{g_2=9} \longrightarrow \underbrace{C_3}_{g_3=0} \longrightarrow \underbrace{C_4}_{g_4=0} \longrightarrow \dots$$

Let x be the non-smooth (non-rational) point of C . Map it under these maps to x_1 (non-smooth, rational), then x_2 (smooth, rational?), then x_3 (smooth, rational.), then x_4 (smooth, rational), etc.

We have

	x_2 rational	x canoical divisor	$E = K(c_2)$
(i)	Y	Y	Y
(ii)	N	Y	N
(iii)	Y	N	N
(iv)	N	N	Y
(v)	N	N	N

Where the last column is explained next.

- Canonical field of C = subfield of $K(C)$ generated by the quotients of all non-zero holomorphic differentials = $K(C)$ (C non-hyperelliptic).
- Pseudocanonical field of C = ... non-zero exact holomorphic differential $:= E$. So one has $[K(c), E] = 4 = p^2$.

$$\begin{array}{ccc}
 & K(C) & \\
 \swarrow 4 & & \searrow 4 \\
 E & & K(C_2).
 \end{array}$$

In conclusion, we manage to characterize these families.

Example 15.6. In case 1, let $a = b = 0$. We get

$$\begin{aligned}
 (15.6.1) \quad C : y^4 + xz^3 + \underbrace{c}_{\in K \setminus K^2} x^4 &= 0 \\
 \underbrace{C_1}_{\text{quasiell.}} : xy^2 + z^3 + cx^3 &= 0.
 \end{aligned}$$

Further

$$C \longrightarrow C_1 \longrightarrow C_2 \cong \mathbb{P}^1 \longrightarrow C_3 \cong \mathbb{P}^1 \longrightarrow C_4 \cong \mathbb{P}^1 \longrightarrow \dots$$

With C one can construct a pencil (fibration over \mathbb{P}^1) of quartics. Let $k = \bar{k}$ (new base field from what we fixed at the beginning!). Idea: replace c for t Equation 15.6.1. $K = k(\mathbb{P}^1)$, $S = V(t_0(y^4 + xz^3) + t_1x^4) \subseteq \mathbb{P}_{(x:y:z)}^2 \times \mathbb{P}_{(t_0:t_1)}$. This has a singular point, so we blow up:

$$\begin{array}{c}
 \tilde{S} \\
 \downarrow \\
 S \\
 \downarrow \\
 \mathbb{P}^1
 \end{array}
 \quad \begin{array}{c}
 \searrow f \\
 \downarrow \\
 \searrow
 \end{array}$$

The generic fiber is the curve $y^4 + xz^3 + tx^4 = 0$, so that $t_1/t_0 \in k(\mathbb{P}^1) = K$. Fibers are plane rational quartics, and the singular fiber $f_1(0 : 1)$ is a configuration of lines given by a Dynkin diagram

$$\begin{array}{ccccccc}
 E_1 & \longrightarrow & E_2 & \longrightarrow & E_3 & \longrightarrow & E_4 & \longrightarrow & \dots & & E_{15} \\
 & & & & & & \downarrow & & & & \\
 & & & & & & E & & & &
 \end{array}$$

We do the same with C_1 : define $S^1 = V(t_0(xy^2 + z^3) + tx^3) \subseteq \mathbb{P}^2 \times \mathbb{P}^1$. Then S_1 is also singular, and we blow up to obtain a smooth quasielliptic fibration (\tilde{S}^1 is quasielliptic). The fibers are plane cuspidal cubics. The singular fiber an arrangement of curves

$$\begin{array}{ccccccc}
 F_1 & \longrightarrow & F_2 & \longrightarrow & F_3 & \longrightarrow & \dots & & F_8 \\
 & & & & \downarrow & & & & \\
 & & & & F & & & &
 \end{array}$$

In fact, there is a correspondence between the two fibrations.

REFERENCES