

Complex surfaces

Contents

1	Lecture 1: Kodaira dimension and Hopf manifolds	3
1.1	Outline	3
1.2	Kodaira-Enriques classification for non-algebraic surfaces: constructions and examples	3
1.3	Holomorphic contractions and Hopf manifolds	3
2	Lecture 2: Hopf manifolds and algebraic cones	4
2.1	Algebraic cones	4
3	Lecture 3: Locally conformal Kähler manifolds	5
3.1	Algebraic cones and Vaisman manifolds (reminder)	5
3.2	LCK manifolds in terms of differential forms	5
3.3	Chern connection again	6
3.4	Homotheties, monodromy and objective	6
3.5	Reminder on connections and curvature	6
3.6	χ -automorphic forms	7
4	Lecture 5: local systems and LCK manifolds	7
4.1	χ -automorphic forms again	7
4.2	Lichnerowicz cohomology	8
4.3	Definition of LCK manifolds in terms of an L-valued Kähler form	8
4.4	Definition of LCK manifolds in terms of deck transform	8
5	Class 6: Vaisman theorem	9
5.1	LCK (reminder)	9
5.1.1	Some more notes on complex geometry	10
5.2	Vaisman theorem	10
5.3	Vaisman examples	11
5.4	The fundamental foliation	11
6	Lecture 7: elliptic operators of order 2	11
7	Lecture 8: adjoint operators in Hodge theory	12
7.1	Adjoint connection (reminder)	12
7.2	Adjoint connection and L^2 -product	12
7.3	Adjoint operators	13
7.4	Laplacian on differential forms	13

8	Lecture 9: Atiyah-Singer index theorem	14
8.1	Fredholm operators	14
8.2	Sobolev norm	14
8.3	Elliptic operators	15
8.4	Index theorem for elliptic operators on $C^\infty M$	15
9	Lecture 10: Gauduchon metrics	15
9.1	Positive $(1,1)$ and $(n-1, n-1)$ -forms	15
9.2	Harnack inequality	16
9.3	Gauduchon metrics	16
10	Lecture 11: Bott-Chern cohomology and defect of a complex surface	17
10.1	Bott-Chern cohomology	17
10.2	Elliptic complexes	17
10.3	dd^c lemma	17
10.4	An inequality	18
10.5	Top important statement	18
10.6	The most important invariant of a surface: the defect	18
11	Lecture 12: Cohomology of a complex surface	18
11.1	Lemma 1 (reminder)	18
11.2	Reminder on Bott-Chern cohomology	19
11.3	New stuff: intersection form on $H_{BC}^{1,1}(M)$	19
11.4	Holomorphic 1-forms on a surface	19
11.5	de Rham cohomology for a complex surface with $\delta(M) = 0$	20
11.6	Frölicher spectral sequence	21
12	Lecture 13: Currents	21
12.1	Currents and generalized functions	21
12.2	Currents on complex manifolds	22
12.3	Positive forms again	22
12.4	Riesz representation theorem	22
12.5	Positive currents	23
13	Lecture 15: plurisubharmonic	23
13.1	Intro	23
13.2	Poincaré lemma for $\bar{\partial}$, ddc lemma	23
13.3	Subharmonic functions	23
13.4	Pullback and pushforwards	24
13.5	Smoothing kernels	24
14	Lecture 16	24
14.1	A nice family of smoothing kernels	25
14.2	Plurisubharmonic functions: singular case	25
15	Lecture 17: Poincaré-Lelong formula and regularization of currents	25
15.1	Intro	25

15.2 Cauchy formula	26
15.3 Poincaré-Lelong formula	26
15.4 Regularized maximum	27
15.5 Lelong sets	27
15.6 The multiplier ideals	27
15.7 Demailly's regularization theorem	28

1 Lecture 1: Kodaira dimension and Hopf manifolds

1.1 Outline

1. Kodaira dimension definition: the function $P(N) = H^0(K^N)$ is polynomial (so probably h rather than H right?). The degree $\kappa(M)$ is called **Kodaira dimension** of M . If P is identically 0, we set $\kappa(M) = -\infty$.
2. Nilmanifolds and solvmanifolds (quotients of (solvable) Lie groups).
3. Kodaira surface definition (it's a nilmanifold).
4. Minimal models. A complex surface is **minimal** if it does not contain a smooth rational curve with self-intersection -1 . Theorem by Hartshorne: for any complex surface M there exists a minimal surface M_1 and a holomorphic, bimeromorphic map $M \rightarrow M_1$. M_1 is called a **minimal model for M** .
5. Kodaira theorem: a complex surface is projective iff: (i) field of meromorphic functions has transcendental dimension 2, (ii) M admits a holomorphic line bundle L with $c_1(L)^2 > 0$, (iii) the Neron-Severi lattice of M , $NS(M) := H^{1,1}(M) \cap H^2(M, \mathbb{Z})$, contains a class with positive self-intersection.
6. Class VII and VII_0 surfaces definition.
7. Hopf manifolds (Hopf manifolds are VII_0).

1.2 Kodaira-Enriques classification for non-algebraic surfaces: constructions and examples

- (**Primary**) **Kodaira surface** can be defined as $M := G/\Gamma$ with the complex structure defined by the subalgebra $\mathfrak{g}^{1,0} := \langle x + \sqrt{-1}y, z + \sqrt{-1}t \rangle$, which is actually abelian.

1.3 Holomorphic contractions and Hopf manifolds

Hopf manifolds are quotients $\mathbb{C}^n \setminus \{0\} / \langle \gamma \rangle$ where γ is a **contraction**, a function that puts any compact set of M inside any neighbourhood of any given points after a finite number of iterations. So for example $\gamma(z) = \frac{1}{2}z$ and then the Hopf manifold consists of the orbits of every point, which are discrete sets within the rays of every point. In fact, every orbit repeats over and over so that there is one representative in the circle S^1 , so that in fact this Hopf manifold is $S^1 \times S^1$. In general, a Hopf manifold H is called **linear Hopf manifold** if γ is linear, and **classical Hopf manifold** if $\gamma = \lambda \text{Id}$.

Proposition A Hopf manifold is diffeomorphic to $S^1 \times S^{2n-1}$.

Proof. If H is classical, it's simple; if its linear, approximate by classical; in general approximate by linear. \square

A *Class VII* surface (also called Kodaira class VII surface) is a complex surface with $\kappa(M) = \infty$ and first betty number $b_1(M) = 1$. Minimal class VII are called *class VII₀ surfaces*.

A *primary Hopf surface* is a Hopf manifold of dimension 2. A *secondary Hopf surface* is a quotient of a primary Hopf surface H by a finite group acting freely and holomorphically on H .

Claim Hopf surfaces are class VII₀.

2 Lecture 2: Hopf manifolds and algebraic cones

2.1 Algebraic cones

Definition Let P be a projective orbifold (so probably a manifold with mild singularities) and L an ample line bundle on P . An *open algebraic cone* $\text{Tot}^0(L)$ is **just the set of nonzero vectors of the bundle**.

In the case of $P \subset \mathbb{CP}^n$ and $L = \mathcal{O}(1)|_P$, the open algebraic cone $\text{Tot}^0(L)$ can be identified with the set $\pi^{-1}(P)$ of all $v \in \mathbb{C}^{n+1} \setminus \{0\}$ projected to P under the standard map $\pi : \mathbb{C}^{n+1} \setminus \{0\} \rightarrow \mathbb{CP}^n$. The *closed algebraic cone* is its closure in \mathbb{C}^{n+1} . It is an affine subvariety given by the same collection of homogeneous equations as P . Its *origin* is zero.

ChatGPT In the case where $P \subset \mathbb{CP}^n$ and $L = \mathcal{O}(1)|_P$, the open algebraic cone $\text{Tot}^0(L)$ can be identified with the set $\pi^{-1}(P)$, where $\pi : \mathbb{C}^{n+1} \setminus \{0\} \rightarrow \mathbb{CP}^n$ is the standard projection. Explicitly, $\pi^{-1}(P)$ consists of all $v \in \mathbb{C}^{n+1} \setminus \{0\}$ that project to points in P .

The **closed algebraic cone** is the Zariski closure of $\pi^{-1}(P)$ in \mathbb{C}^{n+1} . It is an affine subvariety defined by the same collection of homogeneous equations as P . Its **origin** is the zero vector in \mathbb{C}^{n+1} .

Hard definition An automorphism $A : P \rightarrow P$ is *L-Linearizable* if L admits an A -equivariant structure, in other words, if A can be lifted to an automorphism of the cone $\text{Tot}^0(L)$ which is linear on fibers.

Explanation by ChatGPT The definition essentially asks whether A can be extended to the total space of L in a way that is consistent with the geometric and algebraic structures of L . This "lifting" ensures that the action of A on P interacts harmoniously with the fibers of L .

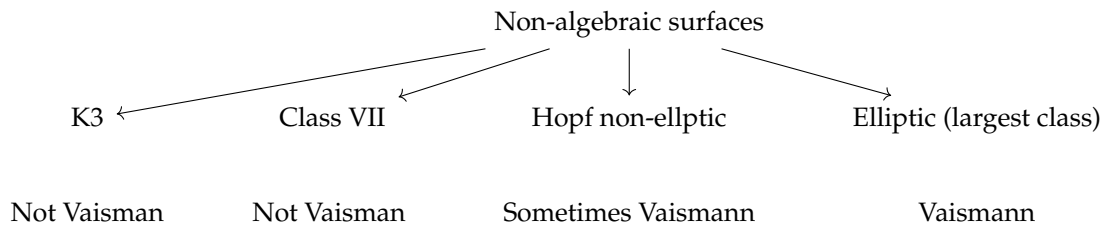
We need that to define *Vaisman manifolds*: they are the quotient $\text{Tot}^0(L)/\langle A \rangle$ where $A : \text{Tot}^0(L) \rightarrow \text{Tot}^0(L)$ which is linear on fibers and satisfies $|A(v)| = \lambda|v|$ for some number $\lambda > 1$.

Right so notice that Vaisman manifolds and Hopf manifolds are similar. Here's a diagram from the board (from Lecture 3):

$$\begin{array}{ccc} \text{Tot}^0(L) & \hookrightarrow & \mathbb{C}^N \setminus \{0\} \\ \downarrow / \mathbb{Z} & & \downarrow / \mathbb{Z} \\ \text{Vaismann} & \hookrightarrow & \text{Hopf} \end{array}$$

Every Vaismann can be embedded to a Hopf-Vaismann (a Hopf that is Vaismann). Not any Vaismann is Hopf nor the other way around.

Elliptic non algebraic surfaces are Vaismann



3 Lecture 3: Locally conformal Kähler manifolds

3.1 Algebraic cones and Vaisman manifolds (reminder)

$$\begin{array}{ccc} M & \hookrightarrow & \mathbb{C}P^1 \\ \uparrow \mathbb{C}^*-\text{fibered} & & \uparrow \mathbb{C}^0 \\ C_0(M) & & \mathbb{C}^{n+1} \setminus \{0\} \\ \uparrow & & \downarrow \\ C(M) \setminus & \hookrightarrow & \mathbb{C} \end{array}$$

3.2 LCK manifolds in terms of differential forms

So what is Kähler?

(M, I) complex manifolds, g an I -invariant Riemannian metric, "Hermitian metric", $\omega(x, y) := g(Ix, y)$ Hermitian form; $d\omega = 0$ Kähler.

Definition ω Hermitian form, $\omega \in \Lambda_{\mathbb{R}}^{1,1}(M)$, $\omega(x, Ix) > 0$, ω is *Locally conformally Kähler* if $d\omega = \omega \wedge \theta$, θ closed 1-form. θ is called the *Lee form*.

Remark The condition is **conformally invariant**: it is preserved if we replace ω by a conformally equivalent form $f\omega$ for some positive smooth function $f > 0$. Indeed,

$$d(f\omega) = df \wedge \omega + f d\omega = df \wedge \omega + f\theta \wedge \omega = (df + f\theta) \wedge \omega.$$

This makes us notice that a classical Hopf manifold $\frac{\mathbb{C}^n \setminus \{0\}}{\langle \lambda \text{Id} \rangle}$ is LCK.

3.3 Chern connection again

There is a connection on a holomorphic bundle compatible with the metric that is called *Chern connection*.

The point is that the curvature can be written locally as dd^c of some function. And it can be global if you have a non-degenerate holomorphic section taking $\partial\bar{\partial} \log |b|$. But it is dd^c of a function that's the point.

Now there is

Theorem 5.30 ([?]) The function that maps $l = \psi : v \mapsto |v|^2$ along with some other stuff like the definition of the function q then the following expression is true:

$$dd^c l = -q(\theta_B) + \omega_\pi.$$

Which leads to

Corollary Let L be a line bundle with negative curvature on a projective manifold. Then the form $\frac{dd^c \psi}{\psi}$ is **homothety invariant** and locally conformally Kähler on $\text{Tot}^0(L)$.

Remark We have just shown that **Vaisman manifolds are LCK**.

3.4 Homotheties, monodromy and objective

We want to give a definition of LCK in terms of a Kähler form on the universal covering. Also might involve local systems. **Under the alternative definition, LCK manifold is a quotient of a Kähler manifold by a free action of cocompact, discrete group acting by homotheties.**

Claim Any conformal map $\varphi : (M, \omega) \rightarrow (M_1, \omega_1)$ of Kähler manifolds is a homothety.

3.5 Reminder on connections and curvature

The point is that local systems are flat line bundles.

Definition A *local system* on a manifold is a locally constant sheaves of vector spaces.

Theorem (Rieman-Surfaces lecture 20) Fix a point $x \in M$. The category of local systems is naturally equivalent to the category of representations of $\pi_1(M, x)$.

Proof.

Step 1 From a locally constant sheaf \mathbb{V} we construct a vector bundle $B := \mathbb{V} \otimes_{\mathbb{R}_M} \mathbb{C}^\infty M$, where \mathbb{R}_M is the constant sheaf on M . Define a connection $\nabla (\sum_{i=1}^n f_i v_i) = \sum df_i \otimes v_i$; where v_1, \dots, v_n is a basis in $\mathbb{V}(U)$. **We have constructed a functor from locally constant sheaves to flat vector bundles.**

Step 2 The converse functor takes a flat bundle (B, ∇) on M goes to the sheaf of parallel sections $\nabla b = 0$; this sheaf is locally constant because every vector can be locally extended to a parallel section uniquely (using Frobenius theorem; this is non-trivial).

□

3.6 χ -automorphic forms

The following resembles the way we have define LCK form on a manifold; multiplying by a number something that comes from the universal cover (...?)

Definition Let $\tilde{M} \xrightarrow{\pi} M$ be the universal covering of M , and $\xi : \pi_1(M) \rightarrow \mathbb{R}^{>0}$ a *character*, which is just a group homomorphism. Consider the natural action of $\pi_1(M)$ on \tilde{M} . An ξ -*automorphic form* on \tilde{M} is a differential form $\eta \in \Lambda^k(M)$ which satisfies $\gamma^* \eta = \xi(\gamma) \eta$ for any $\gamma \in \pi_1(M)$.

This makes sense because $\pi_1(M)$ acts freely on \tilde{M} (and the quotient is M), so we can pullback η and it gives an other form on $\Lambda^k(M)$.

Proposition 1 (What Lada had said!, this is Claim 3.28 [?]) Let L be a rank 1 local system on M associated to the representation χ (so how is it associated to χ ?). Then the space of χ -automorphic k -forms on \tilde{M} is in natural correspondence with the space of sections of $\Lambda^k(M) \otimes L$. Under this equivalence, the de Rham differential on χ -automorphic forms corresponds to the operator $d_\nabla : \Lambda^k(M) \otimes L \rightarrow \Lambda^{k+1}(M) \otimes L$.

Proof.

Step 1 Pullback the line bundle to the universal cover: $\tilde{L} := \pi^* L$, $\pi : \tilde{M} \rightarrow M$. I think \tilde{L} is trivial: "The bundle \tilde{L} is flat and has trivial monodromy, hence it is naturally trivialized by parallel sections".

□

Remark $d_\nabla = d + \theta$

4 Lecture 5: local systems and LCK manifolds

4.1 χ -automorphic forms again

Upshot The point is that L -valued differential forms on M are in correspondence with χ_L -automorphic differential forms on \tilde{M} .

Proposition 1 (L, ∇) a real flat oriented line bundle. Identify with a local system: associated to χ fix a trivialization of L . Then sections of $L \otimes \Lambda^1(M)$ are in bijection with χ -automorphic forms on \tilde{M} via

$$\sigma : \Lambda^\bullet(M) \otimes L \longrightarrow \Lambda^\bullet(\tilde{M})$$

$$\sigma(d_\nabla \eta) = d\sigma(\eta).$$

Very incomplete proof.

Step 1 u_1 a nowhere-vanishing section of L , and θ a 1-form such that $\nabla u_1 = u_1 \otimes \theta$.

Extra How to produce the antiderivative of an exact one form: we integrate from x to y .

□

4.2 Lichnerowicz cohomology

Look for **Definition 2.51** for definition of d_∇ , the B -valued *de Rham differential* of the complex $\Lambda^i(M) \otimes B \longrightarrow \Lambda^{i+1}(M) \otimes B$ given by $d_\nabla(\eta \otimes b) := d\eta \otimes b + (-1)^{\eta-1} \eta \wedge \nabla b$ for the (real I think) flat bundle (B, ∇) .

Definition Let θ be a closed 1-form on a manifold, and $d_\theta(\alpha) := d\alpha + \theta \wedge \alpha$ be the corresponding differential on $\Lambda^*(M)$. Its cohomology are called *Morse-Novikov cohomology*, or *Lichnerowicz cohomology*, denoted $H_\theta^*(M)$.

Theorem Lichnerowicz cohomology of a manifold is equal to the cohomology with coefficients in a local system defined by (L, ∇) .

Proof. Short.

□

4.3 Definition of LCK manifolds in terms of an L-valued Kähler form

Definition Let (L, ∇) be an oriented real line bundle with flat connection on a complex manifold M , and $\omega \in L \otimes \Lambda^{1,1}(M)$ a $(1, 1)$ -form with values in L . We say that ω is an *L-valued Kähler form* if $\omega(x, ix) \in L$ is (strictly) positive for any non-zero tangent vector, and $d_\nabla \omega = 0$.

Superremark If we use a trivialization to identify L and $\mathbb{C}^\infty M$, ω becomes a $(1, 1)$ -form and d_∇ becomes d_θ , giving $d_\nabla(\alpha) = d\alpha + \theta \wedge \alpha$. Therefore, *L-valued Kähler form on a manifold is the same as an LCK-form*.

4.4 Definition of LCK manifolds in terms of deck transform

Another definition An *LCK manifold* is a complex manifold M , $\dim_{\mathbb{C}} M \geq 2$ such that its universal cover \tilde{M} is equipped with a Kähler form $\tilde{\omega}$, and the deck transform acts on \tilde{M} by Kähler homotheties.

Theorem These two definitions are equivalent.

5 Class 6: Vaisman theorem

5.1 LCK (reminder)

Definition A complex Hermitian manifold of dimension $2n$ (M, I, g, ω) is called *locally conformally Kähler* if there is a closed form θ such that $d\omega = \theta \wedge \omega$. θ is the *Lee form* and its cohomology class is the *Lee class*.

The Deal with ω

Fundamental Form:

- The 2-form ω is the **fundamental 2-form** associated with the Hermitian metric g and the complex structure J , defined by:

$$\omega(X, Y) = g(JX, Y).$$

- This ω is not automatically a **symplectic form**, because it may fail to be **closed** ($d\omega \neq 0$).

Kähler Condition:

- When $d\omega = 0$, ω becomes a symplectic form, and the manifold M is Kähler. This means M is simultaneously a symplectic, complex, and Riemannian manifold with a harmonious interaction between these structures.

Locally Conformally Kähler:

- In LCK geometry, ω doesn't satisfy $d\omega = 0$ globally. Instead, it satisfies:

$$d\omega = \theta \wedge \omega,$$

where θ is the **Lee form** (a closed 1-form). This deviation from $d\omega = 0$ characterizes LCK manifolds.

Why Locally Conformal?

- Locally, there exists a function f such that rescaling the metric by e^{-f} makes ω closed:

$$e^{-f}\omega \text{ is Kähler.}$$

- This means LCK manifolds are "almost" Kähler but need a conformal adjustment locally.

5.1.1 Some more notes on complex geometry

That the Kähler form is the differential of a plurisubharmonic function ψ . that is $\omega = dd^c\psi = \sqrt{-1}\partial\bar{\partial}\psi$.

And it is a $(1,1)$ -form.

Any positive $(1,1)$ form looks like this: $\sum \alpha_i x_i \wedge \bar{x}_i$ for some positive functions $\alpha_i \geq 0$.

How to prove adjunction formula, that the canonical bundle of a submanifold is the normal bundle of the submanifold tensor product the canonical bundle of the ambient manifold restricted to the submanifold, $K_M = N_M \otimes K_X|_M$: contract a form of the ambient space with a normal section!

5.2 Vaisman theorem

Suppose you have a LCK manifold. Suppose you want to replace θ by $\theta' = \theta + df$.

$$\begin{aligned} d(e^f \omega) &= e^f (d\omega + df \wedge \omega) \\ &= e^f ((\theta + df) \wedge \omega), \quad \omega \text{ is LCK} \\ &= e^f (\theta' \wedge \omega), \quad \theta \text{ and } \theta' \text{ cohomologous} \\ \implies d\omega' &= (\theta + df) \wedge \omega' \\ \implies d\omega' &= \theta' \wedge \omega'. \end{aligned}$$

And the converse is also true:

conformally equivalent LCK metric give rise to homologous Lee forms,
and any closed 1 form cohomologous to the Lee form is a Lee form conformally equivalent LCK metric.

Theorem (Vaisman) M LCK, if $[\theta] = 0$ (θ is not exact) then M is not of Kähler type.

Remark (M, I) Kähler compact: for $\alpha \in \Lambda^1(M, \mathbb{C})$ there exists unique representatives $\alpha = \alpha^{1,0} + \alpha^{0,1}$ that are closed, i.e. $d\alpha^{1,0} = d\alpha^{0,1} = 0$.

Proof of Vaisman theorem. Take a form θ and multiply it by its complex conjugate $I\theta$. I think here we took local coordinates: $\theta = x_1$ and $I\theta = y_1$. So $\omega = \sum x_i \wedge y_i$. And then here's what I don't understand: $x_1 \wedge y_1 (\sum_{i=1}^n x_i \wedge y_i)^{n-1} = (n-1)! x_1 \wedge y_1 \wedge \prod_{i=2}^n x_i \wedge y_i$. And that's positive!

And that gives the contradiction that

$$0 = \int dd^c(\omega^{n-1}) > 0$$

because any exact form has integral zero by Stokes. \square

Definition *Vaismann manifold* is (M, I, ω) complex hermitian, $d\omega = \theta \wedge \omega$, $\nabla\theta = 0$, ∇ Levi-Civita connection of $g = (\omega(x, Iy))$.

(I think here we may be considering the musical dual of θ to take the covariant derivative.)

Equivalent definition G complex Lie group acting on an LCK manifold conformally and holomorphically, then (M, I) is Vaisman.

Remark The theorem that both definitions are equivalent is hard to prove.

5.3 Vaisman examples

Theorem Diagonal Hopf is Vaisman.

Diagonal Hopf is then the A in $\mathbb{C}^n / \langle A \rangle$ is diagonalizable.

I think

Theorem Z Hopf. Z is Vaisman iff Z is diagonal.

5.4 The fundamental foliation

Definition M Vaisman manifold, θ^\sharp its Lee field, and Σ a 2-dimensional real foliation generated by $\theta^\sharp, I\theta^\sharp$. It is called *the fundamental foliation* of M .

Question So at

Theorem M Vaisman, Σ its canonical foliation.

1. Σ is independent from the metric (it's canonical).
2. There exists a 2-form $\omega \in \Lambda^{1,1}(M)$ which is semipositive on every transversal to Σ , $\omega_0|_\Sigma = 0$, exact.

It's a contact structure, right? On slides: 2. There exists a positive, exact $(1,1)$ -form ω_0 with $\Sigma = \ker \omega_0$

Remark This 2-form is easy to see in these examples: the pullback of Fubini-Study metric: its pullback is exact! Remember that the pullback of any bundle to the $\text{Tot}^0(M)$ is trivial.

3. $Z \subset M$ tangent to Σ ,
4. $Z \subset M$ is Vaisman.

6 Lecture 7: elliptic operators of order 2

1. "The ring of symbols"

Theorem

$$\bigoplus_k \frac{\text{Dif}^k(M)}{\text{Dif}^{k-1}(M)} = \bigoplus_k \text{Sym}^{k-m}(TM)$$

So on the lefthandside we have the graded algebra induced by the filtration $\text{Dif}^0 \subset \text{Dif}^1 \subset \dots$ of differential operators of different orders on M .

Proof. We give a pairing

$$\frac{\text{Dif}^k}{\text{Dif}^{k-1}} \otimes \frac{\mathfrak{m}^k}{\mathfrak{m}^{k-1}}$$

and we recall from Hartshorne that $\frac{\mathfrak{m}^k}{\mathfrak{m}^{k+1}} = \text{Sym}^k(T^*M)$. \square

2. Definition: **symbol** of the differential operator $D \in \text{Dif}^k(M)$ is its image in $\text{Sym}^k(TM)$
3. Remark. A differential operator gives us a polynomial function on the cotangent bundle because $\text{Sym}^k(T^*M) =$ order k homogeneous polynomial functions on T^*M .
4. Definition: $D \in \text{Dif}^k$ is **elliptic** if $\sigma(D)$ is positive or negative everywhere on $T^*M \setminus \{0\}$.
5. Remark. The symbol of an elliptic operator of second order is positive definite or negative definite. We assume is positive definite.
- 6.

Strong maximum principle (version with boundary) (Hopf) M manifold with boundary. D elliptic of second order. $f \in C^\infty(M)$ $D(f) \geq 0$. Then all local maxima of f are on ∂M or f is constant.

Proof assuming $D(f) > 0$. Because the Hessian is negative semidefinite \square

7. Weak maximum principle. I think here /cha

7 Lecture 8: adjoint operators in Hodge theory

7.1 Adjoint connection (reminder)

First recall that a connection on a vector bundle B induces a connection on the dual bundle: if $\nabla : B \rightarrow B \otimes \Lambda^1(M)$, exists a unique connection $\nabla^* : B^* \rightarrow B^* \otimes \Lambda^1(M)$ satisfying $d\langle b, \beta \rangle = \langle \nabla b, \beta \rangle + \langle b, \nabla^* \beta \rangle$.

The connection ∇^* is called **adjoint connection** to ∇ . The connection $\nabla = \nabla^*$ happens precisely when ∇ preserves the metric tensor, consider a section of $B^* \otimes B^*$ and in this case ∇ is called an **orthogonal connection**.

7.2 Adjoint connection and L^2 -product

Upshot A scalar product on the space of sections of a vector bundle B . Because we want to define adjoint differential operators on the infinite-dimensional space of sections of the bundle. You multiply sections pointwise, you obtain a function, you integrate that function, you get a number.

M Riemannian manifold, b, b' sections of B . (\cdot, \cdot) scalar product on B , $(b, b')_{L^2} = \int (b, b') \text{Vol}$.

Lemma (Integration by parts)

Proof. The key observation is that

$$\int \text{Lie}_X(\langle b, b' \rangle) \text{Vol} = 0$$

because $\text{Lie}_X(\eta) = \text{di}_X \eta + \overset{0}{i_X d\eta}$ so $\text{Lie}_X(\eta)$ is exact. \square

7.3 Adjoint operators

Definition $A : F \rightarrow G$ linear map on vector spaces with scalar products. $A : G \rightarrow G$ is *dual* if $\langle Ax, y \rangle = \langle x, A^*y \rangle$. A^*y is a vector such that $\langle A^*y, x \rangle = \langle Ax, y \rangle$.

Existence is obvious and uniqueness (...)

Claim A differential operator on vector bundles with scalar products $D : B_1 \rightarrow B_2$, then its adjoint $D^* : B_2 \rightarrow B_1$ is also a differential operator of the same order.

Remark Most of you know that $d^* = \pm * d *$ which is a composition of linear operators, it's the Hodge star.

Proof of claim. $C^\infty M$ -linear. Then we can take the dual point by point (dual exists because its finite dimensional), and it works because it's linear and it's a vector bundle. Then we claim that all first order differential operators are combinations of a fixed connection ∇_X (connections are differential operators). Then somehow we have shown that actually ∇_X coincides by ∇_X^* (integrating by parts). \square

7.4 Laplacian on differential forms

Start with a Riemannian manifold, say, compact. You can do it with non-compact: if you take care about having things with compact support, and it will work, but we don't want to do it because it takes some extra effort and we won't need it.

Then the sections of $\Lambda^*(M)$ we define scalar product ver naturally:

$$(\eta, \eta')_{L^2} = \int (\eta, \eta') \text{Vol}_g$$

now

$$d^* = \text{dual to } d$$

Also, but this is not related to our course since we did not define Hodge star operator, $d^* = \pm * d *$.

Definition Laplacian is $\Delta = dd^* + d^*d$

Remark It's self dual (self-adjoint) because $*$ is self dual. And it's positive-definite

8 Lecture 9: Atiyah-Singer index theorem

Very famous theorem. It's topology. Application of analysis for topology.

8.1 Fredholm operators

Definition A continuous operator $F : H_1 \rightarrow H_2$ of Hilbert spaces is called *Fredholm* if its image is closed (that's new I think) and its kernel and cokernel are finite dimensional.

Next is a condition of invertibility of Fredholm maps. Uses Banach-Schouder theorem. We take the kernel of the map and quotient its domain (we get injectivity); and the restrict the codomain to the image. But we do have to use that BS theorem.

Claim $F : H_1 \rightarrow H_2$ is Fredholm iff there is a map $G : H_2 \rightarrow H_1$ such that $\text{Id} - FG$ and $\text{Id} - GF$ have finite rank.

Definition *Index* of F is $\dim \ker F - \dim \text{coker } F$.

This one is also in [?] (though not proved there):

Proposition The class of Fredholm operators is an open subset of $\mathcal{L}(E, F)$ (with the norm topology, so the norm of an operator is the supremum of its values on the unit sphere).

So that makes the domain of the index function a reasonable space. Then: [?]: the index map $A \mapsto \text{ind } A$ is continuous. But not only that:

Theorem The index function is locally constant.

So, apparently obviously, the open set of domain of Fredholm operators is not connected, so for example, I think the shift function. So, apparently obviously, the open set of domain of Fredholm operators is not connected, so for example, I think the shift function.

8.2 Sobolev norm

Definition B vector bundle with metric over a Riemannian manifold. Define L_p^2 metric for a section $b \in B$

$$|b|_p^2 = |b|_{L_p^2} = \sum_{i=0}^p |\nabla^i b|^2$$

Of course in the non-compact case we must take things with compact support.

Remark This norm is not complete, must take closure.

Definition L_p^2 -topology on $C^\infty M$ is topology defined by L_p^2 -norm.

Remark (should be simple) If D is a differential operator (on a compact mfd for simplicity) of order k . Then $D : C^\infty M, L_p^2 \rightarrow C^\infty M, L_{p-k}^2$ is (continuous?)

If you have a bound on k derivatives and you take more derivatives...

8.3 Elliptic operators

Definition $D : B \rightarrow B$ order k differential operator, then $\text{sym}(D) \in \text{Sym}^k(T) \otimes \text{End}(B)$ k (this is argued using a matrix of symbols) = degree k polynomial functions on T^*M with values in $\text{End}(B)$.

D is *elliptic* if (after interpreting its symbol as a polynomial function) $\text{sym}(D)(v)$ is invertible for all $0 \neq v \in T^*M$.

Theorem (Elliptic operator is Fredholm (I didn't find it in [?])) B_1, B_2 vector bundles, $D : B_1 \rightarrow B_2$ elliptic of order p . Then $D(B_1, L_{k+p}^2 \rightarrow (B_2, L_k^2)$ is Fredholm.

Super hard to prove but super important and basic.

Definition $D : B_1 \rightarrow B_2$ elliptic. Its *index* is the index of the map $D : (B_1, L_p^2 \rightarrow (B_2, L^2)$

Corollary Let D_t be a continuous family of elliptic operators. The map $t \mapsto \text{ind}(D_t)$ is constant. so that if D_t are elliptic they remain elliptic.

Proof. Because we have seen that index is locally constant. □

8.4 Index theorem for elliptic operators on $C^\infty M$

Theorem All elliptic operators on $C^\infty M$ have index 0.

Proof.

Step 1 $\text{sym } D$ is a homogeneous function. (by properties of the symbol) we conclude that $\deg D$ is even. □

9 Lecture 10: Gauduchon metrics

9.1 Positive $(1,1)$ and $(n-1, n-1)$ -forms

Cornerstone result of linear algebra If g_0 is a positive definite scalar product on V and $g_1 \in \text{Sym}^2(V^*)$ then there is a basis such that g_0 is the identity matrix and g_1 is a diagonal matrix.

Everyone should know the proof, but we won't do it here. Better off, take a hermitian vector space (V, I) . We will modify the previous result so that there exists a basis $x_1, \dots, x_n, y_1, \dots, y_n$ so that $I(x_i) = y_i$ and $I(y_i) = x_i$. The basis is orthonormal with respect to some hermitian metric g_0 . Then $\omega_0 = g(I, \cdot) = \sum_i x_i \wedge y_i$. That's the identity guy. There's also $\omega_1 = g_1(I, \cdot) = \sum_i \alpha_i x_i \wedge y_i$ where α_i are the eigenvalues of $g_1 \circ g_0^{-1}$. So that's the diagonal guy.

Moving on. $\Lambda^{1,1}(V)$ is the space of invariant 2-forms. We say $\omega \in \Lambda_{\mathbb{R}}^{1,1}(V)$ is positive if $\omega(x, Ix) \geq 0 \forall x$. It is **strictly positive** if $>$. Now, using the previous result we see that positivity is equivalent to $\omega = \sum \alpha_i x_i \wedge y_i \geq 0$.

There is a pairing

$$\Lambda^{1,1}(V) \otimes \Lambda^{n-1,n-1}(V) \rightarrow \text{Vol}(V)$$

And looks like also

$$\Lambda^{1,1}(V) \times \text{Vol} \xrightarrow{\sim} \Lambda^{n-1,n-1}(V).$$

Claim (Equivalences for Positivity for $n-1, n-1$ forms) Let $P \in \Lambda^{n-1,n-1}(V)$. TFAE:

- (i) $\exists z$ such that $i_z \text{Vol} = P$. z is positive as a $(1,1)$ -form.
- (ii) This one looks like all we did today, an orthonormal basis, $Ix_i = y_i$.
- (iii) There's a third one.

Definition An $n-1, n-1$ form is called **positive** if either of the conditions from the claim hold, and **strictly positive** "if in the interior".

Next is an exercise given to everyone and never solved.

Claim $(n-1)$ th power of positive form is positive, and moreover, the map $\alpha \mapsto \alpha^{n-1}$ defines a homeomorphism (bijective continuous invertible) between strictly positive $(1,1)$ and $(n-1, n-1)$ forms.

Proof.

□

Remark (What this is good for) We have just proved that the map $\omega \mapsto \omega^{n-1}$ defines a homeomorphism from the cone (because we can multiply by nonzero positive numbers) of positive $(1,1)$ -forms and the cone of strictly positive $(n-1, n-1)$ -forms.

9.2 Harnack inequality

Theorem (Harnack) Let elliptic operator, $\Omega \Subset \Omega_1$, $L : C^\infty \Omega_1 \rightarrow C^\infty \Omega_1$ "Any elliptic eq. has infinitely many solutions". Then $\exists C > 1$ depending on L, Ω and Ω_1 , such that each solution $Lu = 0$ for u nonnegative, $\sup_{\Omega} u \leq C \inf_{\Omega} u$

But we only need the corollary:

Corollary if $u \geq 0$ is a solution of $Lu = 0$ then $u > 0$.

9.3 Gauduchon metrics

Definition a hermitian form ω on an n -manifold M is **Gauduchon** if $dd^c \omega^{n-1} = 0$. So that's a top-form. Well the zero top-form.

Theorem (Gauduchon) ω hermitian, then there exists a unique up to a constant function $\psi > 0$ such that $\psi\omega$ is Gauduchon.

Proof. So the idea is that dd^c is always laplacian. It's the trace of... □

10 Lecture 11: Bott-Chern cohomology and defect of a complex surface

10.1 Bott-Chern cohomology

Definition *Bott-Chern cohomology* of a complex manifold M is $H_{BC}^{p,q}(M)$ is the cohomology of dd^c (I think).

Remark There is no multiplicative structure on BC cohomology.

Theorem M compact complex manifold. $H_{BC}^{p,q}(M)$ is finite-dimensional.

Proof. Later today. □

10.2 Elliptic complexes

Definition *Elliptic complex* of vector bundles is when the symbols of the differentials give an exact sequence (I think).

Definition (Fredholm complex)

Corollary Cohomology of any elliptic complex is finite-dimensional.

Theorem BC cohomology of a compact complex manifold is finite-dimensional.

Proof. (This proof also proved finite-dimensionality of Dolbeaut cohomology.) □

10.3 dd^c lemma

Theorem (dd^c lemma) M compact Kähler. $\eta \in \Lambda^{p,q}(M)$ d -exact, then $\eta \in \text{img } dd^c$.

Lemma (dd^c -Lemma) (This note was written by ChatGPT.) Let X be a compact complex manifold. The following conditions are equivalent for a differential form α :

1. α is d -closed and d^c -exact: $d\alpha = 0$ and $\alpha = d^c\beta$ for some β .
2. α is d^c -closed and d -exact: $d^c\alpha = 0$ and $\alpha = d\gamma$ for some γ .
3. α is dd^c -exact: there exists η such that $\alpha = dd^c\eta$.

Remark dd^c is equivalent to the natural map $H_{BC}^*(M) \rightarrow H^*(M)$ being injective.

10.4 An inequality

Definition (M, ω) an hermitian surface. $\eta \in \Lambda^{1,1}(M)$ is called *primitive* if $\eta \perp \omega$ everywhere.

Theorem If $\alpha \in \Lambda^{1,1}(M)$ is primitive, then

$$\frac{\alpha \wedge \alpha}{\text{Vol}} = -\|\alpha\|^2 \iff \alpha \wedge \alpha = -\|\alpha\|^2 \text{Vol}$$

Proof. We can express $\Lambda^2(M) = \Lambda^+(M) \oplus \Lambda^-(M)$ using the 1 and -1 eigenspaces of Hodge star operator. (This is also in k3.pdf; search for “eigenspaces of the Hodge star operator”.) \square

10.5 Top important statement

Theorem M compact complex surface. $p : H_{BC}^{1,1}(M) \rightarrow H^2(M)$ standard map. Then $\dim \ker p \leq 1$. So that kernel is forms that are exact but not Bott-Chern exact.

Proof. Consider the operator $D(f) = dd^c f \wedge \omega$ mapping functions to 4-forms. Because D is elliptic, we get that $\text{rk coker } D = 1$. Using Gauduchon form; an integral will vanish! \square

10.6 The most important invariant of a surface: the defect

Corollary Let $x \in \ker P$, $x \neq 0$, then $\int x \wedge \omega > 0$ or < 0 for any ω Gauduchon. Then a linear combination of ω_1 and ω_2 gives a zero integral. But by the previous proof (which I didn't type), the form is exact and it cannot give 0.

Proof. Suppose there is ω_1 giving a positive integral and ω_2 giving a negative integral. \square

Definition The *defect* of a surface is the number $\dim \ker P$. It's denoted $\delta(M)$. By the previous theorem it can only be 0 or 1. We will show that the surface is Kähler iff $\delta(M) = 1$.

11 Lecture 12: Cohomology of a complex surface

11.1 Lemma 1 (reminder)

Looks like the key observation is that the orthogonal complement of ω is 3-dimensional. What for? To show that there is an explicit form for $\Lambda^+(M)$ and $\Lambda^-(M)$, namely

$$\Lambda^+(M) = \langle \omega_I, \omega_J, \omega_K \rangle \quad \Lambda^-(M) = \langle \omega_I, \omega_J, \omega_K \rangle^\perp$$

Quote (Misha) That 1,1 forms orthogonal forms to ω are $\Lambda^-(M)$.

That is,

Lemma 1

$$\Lambda^-(V) = \{\alpha : I\alpha = \alpha, \alpha \perp \omega\}$$

where (V, I) is given by $V = \mathbb{R}^n$, $I^2 = -\text{Id}$, g an I -invariant scalar product and $\Lambda^2(V) = \Lambda^+(V) \oplus \Lambda^-(V)$, and of course $\omega(x, y) = g(Ix, y)$.

It is a useful construction because it allows us to compute because it is an expression of this thing in terms of the complex structure. That's all.

11.2 Reminder on Bott-Chern cohomology

$$H_{\text{BC}}^{p,q} = \frac{\ker d / \Lambda^{p,q}(M)}{\text{img } dd^c}$$

Question What's up with the quotient on the numerator?

11.3 New stuff: intersection form on $H_{\text{BC}}^{1,1}(M)$

Proposition M surface with $\delta(M) > 0$.

The intersection form $\alpha \mapsto \int \alpha \wedge \alpha$ is negative definite on the image of $H_{\text{BC}}^{1,1}(M, \mathbb{R})$ in $H^2(M, \mathbb{R})$.

Proof. because every cohomology can be represented by something that has degree zero and degree zero is negative definite. \square

And remember that this is a step towards showing that positive defect implies Kähler. Or was it nonKähler?

11.4 Holomorphic 1-forms on a surface

Introduction. Surfaces are typically fibrations. Like elliptic fibrations; fibers are tori. So some interesting forms on the surface are pullbacks. And some of this will be holomorphic differentials

Lemma All holomorphic 1-forms on a compact complex surface are closed. That is, $\alpha \in \Lambda^{1,0}(M)$ holomorphic 1-form, then $d\alpha = 0$.

Proof. $d\alpha = \partial\alpha \in \Lambda^{2,0}(M)$. Then

$$0 < \int d\alpha \wedge d\bar{\alpha} = \int \partial\alpha \wedge \bar{\partial}\bar{\alpha}$$

.

\square

Claim 1 $\mathcal{H}^{1,1}(M) \oplus \overline{\mathcal{H}^{1,0}(M)} \hookrightarrow H^1(M, \mathbb{C})$

Proof. Also very simple. \square

It will turn out that it is actually isomorphic when defect is zero, and codimension 1 when defect is 1.

Claim 2 $R : \overline{\mathcal{H}^{1,0}(M)} \rightarrow H_{\partial}^{0,1}(M)$ is injective.

Claim 3 If $\delta(M) = 0$, that same map is surjective.

Proof. If not, we could construct a generator of $\ker P$, which is impossible. \square

Now we can put this all together in one exact sequence; i.e. the following proposition is the three claims put in one. Yes: if $\ker P = 0$ we get some implications right?

Proposition 4

$$0 \longrightarrow \overline{\mathcal{H}^{1,1}(M)} \xrightarrow{R} H_{\partial}^{0,1}(M) \xrightarrow{\partial} H_{\text{BC}}^{1,1}(M, \mathbb{R}) \xrightarrow{P} H^2(M, \mathbb{R})$$

is exact.

This is how we have understood Dolbeault cohomology with respect to defect. Now let's go to deRham.

11.5 de Rham cohomology for a complex surface with $\delta(M) = 0$

Proposition The map

$$\tau : H^1(M, \mathbb{R}) \rightarrow H_{\partial}^{0,1}(M)$$

taking a close form η to $[\eta^{0,1}]$ is injective.

Proof. I was remembering Frölicher spectral sequence during this proof. \square

Claim $\tau : H^1(M, \mathbb{R}) \rightarrow H_{\partial}^{0,1}(M)$ is surjective **when defect is zero**, i.e. $\delta(M) = 0$.

Dani's thoughts After going back to all that Frölicher sequence document I wrote once upon a time, and listening to the ideas that are around these lectures, I see that what lies below everything is that old idea of finding harmonic representatives of cohomology classes. Probably that doesn't make sense.

So it looks like these constructions will allow us for distinguishing when the surface is Kähler. But of course we are in surface case! In the Frölicher notes I said: If M is compact Kähler, there is a harmonic representative of every cohomology class, which says that the Frölicher sequence first page vanishes.

And do recall that Frölicher sequence (so, the convergence of it I suppose) is a statement *similar* to Hodge theorem, which is $H^k(X, \mathbb{C}) = \bigoplus_{p+q=k} H^{p,q}(X)$ according to Voisin.

Proposition 5 M surface $\delta M = 1$ then $\ker P$ is generated by $d^c[\theta]$ where $[\theta] \in H^1(M, \mathbb{R})$, θ closed, $H^1(M, \mathbb{C}) = \mathcal{H}^{1,0}(M) \oplus \overline{\mathcal{H}^{1,0}(M)} \oplus \langle \theta \rangle$.

Corollary $b_1(M)$ is odd $\iff \delta(M) = 1$

Corollary

11.6 Frölicher spectral sequence

Definition M complex compact. We say that *Frölicher-HdR degenerates in $E_1^{p,p}$* degenerates if any $\alpha \in H_{\bar{\partial}}^{p,q}(M)$ can be represented by $\bar{\partial}$ -closed α such that $\partial\alpha \in \text{img } \bar{\partial}$.

Remark In Kähler manifolds, you have Hodge theory, so you have degeneration (Dani: I think he means that you have the harmonic representative).

So it looks like the result is that that happens for complex surfaces anyways?

Corollary Hodge de Rham F spectral sequence degenerates on complex surface in $E_0^{1,0}$ and $E_1^{0,1}$.

So I'd say:

It's not that we have Hodge theory, but we have something similar. (But that's just me.)

Quote (Misha during the proof of the corollary) ...so we have that result, that image of ∂ is image of $\bar{\partial}$

12 Lecture 13: Currents

12.1 Currents and generalized functions

Currents should be functionals on volume forms, right? Because the latter are like functions.

Definition *Generalized functions (distributions)* on manifold M is a functional on functions with compact support, which is continuous in *one* the C^i topologies (so probably that means it is continuous in C^∞ topology), where..

Definition Let F be a Hermitian bundle with connection ∇ , on a Riemannian manifold M with Levi-Civita connection, and

$$\|f\|_{C^k} := \sup_{x \in M} (|f| + |\nabla f| + \dots + |\nabla^k f|)$$

the corresponding C^k norm. Defined on smooth sections with compact support. The C^k topology is independent from the choice of connection and metrics.

Remark (Misha) as k grows there are (I think) *less* convergent sequences, which means that the set of continuous functionals is larger. Yes because if a sequence converges in C^k then it converges in all $\ell \leq k$.

Right so back to currents, a top form $v \in \Lambda^n(M)$ gives a functional via $\langle v, f \rangle = \int v f$. So also you can just do $\alpha \in \Lambda^k(M)$ and do the functional $\langle \alpha, \eta \rangle = \int \alpha \wedge \eta$ for $\eta \in \Lambda^{n-k}(M)$. **This embeds currents into differential forms.** Or the other way around, yes,

Definition *Current* is functional on k -forms with compact support, continuous in C^i for some i . The space of currents is $D^k(M)$.

Remark A volume form gives an isomorphism $D^0 \cong D^n$.

Upshot (The way to think about currents) Currents are the same as differential forms with coefficients in generalized functions:

$$D^0 \otimes_{C^\infty M} \Lambda^k(M) \cong D^k(M).$$

So we even get a product between forms and currents.

12.2 Currents on complex manifolds

Definition There is a *weak topology* on currents: sequence converges iff it converges on all forms with compact support.

Claim De Rham differential is continuous on currents and the cohomologies are the same.

The point is that currents gives you resolutions in the same way as de Rham and Dolbeault cohomologies do.

12.3 Positive forms again

Do you know this by now? It's a 2-form that the fundamental form associated is a positive (definite? Don't be confused with the french) form. But not only a 2-form: a 1,1 form! Why? From home assignment 5 of k3 course I once convinced myself that 1,1 forms are those that preserve the complex structure in the sense that: $\eta(Ix, Iy) = \eta(x, y)$... so probably we need 1,1 condition to get that the "fundamental form" associated to η is a **riemannian metric**.

12.4 Riesz representation theorem

Definition A *Borel measure* is generated from the open sets. It is σ -additive (I think this is good behaviour against countable unions). It is called **Radon measure** if it is finite on any compact set.

Theorem (Riesz representation theorem) Let M be a metrizable, locally compact topological space, $C_c^0(M)$ the space of continuous functions with compact support, and $C_c^0(M)^*$ the space of functionals continuous in uniform topology. Then the Radon measures on M can be characterized as functionals $\mu \in C_c^0(M)^*$ which are non negative on all non-negative functions.

Proof. One side is obvious: every measure is a functional. To construct a measure from a functional we do it on compact sets. \square

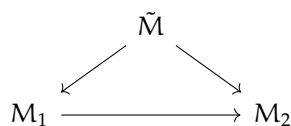
12.5 Positive currents

Definition $\xi \in D_{\mathbb{R}}^{1,1}(M)$ is **positive** if $\langle \xi, \tau \rangle \geq 0 \forall \tau$ positive with compact support.

Super upshot (What is a measure on a manifold) measures are sections of $D^n(M) = D^0 \otimes \text{Vol}$ where Vol is determinant bundle (I'm pretty sure).

13 Lecture 15: plurisubharmonic

13.1 Intro



Proper holomorphic cover, then M_1 kahler iff M_2 Kähler if $\dim M \leq 2$.

Proof uses Kähler current: $\eta \in D^{1,1}(M, \mathbb{R})$, $d\eta = 0$ with $\eta - \varepsilon\omega \geq 0$ for some hermitian form ω (it's not only positive, but more positive than ω).

13.2 Poincaré lemma for $\bar{\partial}$, ddc lemma

Definition plurisubharmonic is f if dd^c is a positive 1 1 form. strictly if dd^c is Kähler.

Lemma (Poincaré-Grothendieck-Dolbeault) $\bar{\partial}$ closed implies $\bar{\partial}$ exact.

Corollary (dd^c lemma for dim 2 (local ddc lemma?)) $\omega \in \Lambda^{1,1}()$. $d\omega = 0 \implies \omega = dd^c f$.

This ddc lemma says that a closed form is ddc of a function!

13.3 Subharmonic functions

Definition $f \in C^\infty(M, \mathbb{R})$ is called **upper semicontinuous** if $\limsup_{z \rightarrow z_0} f(z) \leq f(z_0)$. [From René Baire thesis]

A measurable [upper semicontinuous, integrable] function $f \in C^\infty(\Omega, \mathbb{R})$, $\Omega \subset M$, is called **subharmonic** if for every open ball with center in z_0 we have that the average of f in this ball is $\leq f(z_0)$.

psh if it is sh on all lines of \mathbb{C}^n .

Theorem (trivial with nontrivial implication) convex function $\chi : \mathbb{R}^n \rightarrow \mathbb{R}$, convex, monotonously nondecreasing in each variable, and $u_1, \dots, u_k : \Omega \rightarrow \mathbb{R}$ sh, then the composition $\chi(u_1, \dots, u_k)$ is sh.

Proof. We approximate with affine functions. □

Corollary If u_1, \dots, u_n is psh then so is the sum, the max, and $\log(\sum \exp(u_i))$

13.4 Pullback and pushforwards

Definition $f : X \rightarrow Y$ smooth proper map. Define

$$f : D^k(X) \rightarrow D^{k-r}(Y)$$

, where $r = \dim X - \dim Y$,

$$\langle f_* \phi, \tau \rangle = \langle \eta, f^* \tau \rangle$$

where τ is any test-form.

Claim if f is a proper submersion then the pushforward of a differential form is a differential form.

13.5 Smoothing kernels

Definition $\mu_i : \mathbb{R}^n \rightarrow \mathbb{R}^{>0}$ with support on B_{r_i} , a ball with radius r_i and center in 0. Also $\int_{\mathbb{R}^n} \mu_i \text{Vol} = 1$. μ_i is called *family of smoothing kernels* if $r_i \xrightarrow{i \rightarrow \infty} 0$.

Convolution with respect to μ_i is $\eta \mapsto \eta * \mu_i$. Consider π_1, π_2, π_Σ projections $\mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}^n$ and $\pi_\Sigma(x, y) = x + y$. We define

$$\eta * \mu_i := (\pi_2)_*(\pi_1^* \eta \wedge \pi_\Sigma^*(\mu_i \text{Vol}))$$

so its a map that maps a k -form to a k -form.

Claim

1. $\lim_{\eta * \mu_i} = \eta$.
2. $\eta * \mu_i$ smooth (when η is invertible coefficient)
3. $\eta \rightarrow \eta * \mu_i$ commutes with de Rham, $\partial, \bar{\partial}$

Proof. It commues with de Rham because pullback, multiplication by closed form and pushforward also do. □

Theorem singular sh function is limit of smooth functions.

14 Lecture 16

Definition A *family of smoothing kernels* is a family of measures μ_i on \mathbb{R}^n with compact support in an open ball B_{r_i} with center in 0, such that $\int_{\mathbb{R}^n} \mu_i = 1$

Claim $\mu * \mu_i$ is smooth and $\lim_i(\eta * \mu_i) = \eta$, and commutes with de Rham

Theorem v subharmonic function on a ball $B_1 \subset \mathbb{R}^n$ centered in 0. Then the average monotonously decreases to $v(0)$ as r decreases to 0, ie. $r \rightarrow 0 \rightarrow \text{Av}_{B_r} v$ monotonously.

Proof. Using Green representation formula from homework 3. \square

Remark Think of $\log |z|$. It is subharmonic and its value at zero is $-\infty$.

Also think of $\frac{1}{r^{n-1}}$ on \mathbb{R}^n

Remark In \mathbb{R} , subharmonic is convex.

14.1 A nice family of smoothing kernels

The family is

$$\mu_\varepsilon = \varepsilon^{-1} h_\varepsilon^* \mu_1$$

where μ_1 is a nonnegative smooth function on \mathbb{R}^n which $\int_{\mathbb{R}^n} \mu_1 \text{Vol} = 1$, has support on open ball.

Theorem u subharmonic on $\Omega \subset \mathbb{R}^n$ then $u * \mu_\varepsilon$ is monotonous subharmonic and converges to u . In particular, all subharmonic functions are obtained as monotonous limits of smooth subharmonic functions.

Proof. $u * \mu_\varepsilon$ is clearly subharmonic. \square

14.2 Plurisubharmonic functions: singular case

I think Oka was isolated.

Definition (Lelong, Oka, 1942) f on $\Omega \subset \mathbb{C}^n$ semicontinuous, measurable (can take values $-\infty$ but not ∞), f restricted to complex line is subharmonic. Then f is psh.

Exercise It's almost obvious: $dd^c f|_{\text{line}} = \Delta$. Just write in coordinates.

Claim Decreasing limit of psh is psh.

Claim \sum psh is psh, also \max and $\log(\exp(\text{psh}))$.

Claim same family of smooth kernels, f psh, then $f * \mu_2$ is psh smooth and converges monotonously.

15 Lecture 17: Poincaré-Lelong formula and regularization of currents

15.1 Intro

We want to solve $\Delta G = \delta_0$. Of course you could put $dd^c G = \delta_0$.

Or maybe try to solve $\Delta f = g$.

So the method is this: you compute this integral

$$\int_{\mathbb{R}^n} L_x G g \text{ Vol}$$

and realise it gives you

$$g$$

And also, it is just

$$\int L_x \delta_0 g \text{ Vol}.$$

And remember that L_x is, like in past lectures, translation about $x \in \mathbb{R}^n$.

15.2 Cauchy formula

Theorem (Cauchy formula) $f \in C^1(\Delta)$. Then $\forall w \in \Delta$ such that

$$f(w) = \frac{1}{2\pi\sqrt{-1}} \int_{\partial\Delta} \frac{f(z)}{z-w} dz - \int_{\Delta} \frac{1}{\pi(z-w)} \frac{\partial f}{\partial \bar{z}} \text{ Vol}$$

where $\text{Vol} = dz \wedge d\bar{z}$ is the standard volume form.

So there is an extra term that vanishes when f is holomorphic.

15.3 Poincaré-Lelong formula

Upshot This says that $dd^c \log |f|$ is psh.

Poincaré formula

f holomorphic on any complex manifold M . Then $dd^c \log |f| = \frac{1}{4\pi} [Z]$. (or maybe its 2π .) Where Z is the zero set of f . So $[Z]$ is the integration current, meaning (by definition of integration current)

$$\langle [Z], \tau \rangle = \int_Z \tau$$

First, more simple case we proved in class:

Proposition (Poincaré-Lelong formula on \mathbb{C}) Consider the function $\ell(z) := \log |z|$ on a disk $\Delta \subset \mathbb{C}$. Then ℓ is psh, and $dd^c \ell = \delta_0$ (defined as a current $\langle \delta_0, \tau \rangle = \tau_0$).

General version:

Theorem (Poincaré-Lelong formula) (What is Poincaré contribution here?) f holomorphic, then $\log |f|$ is psh, moreover $dd^c \log |f| = \frac{1}{2\pi} [D_f]$ where $[D_f]$ is the integration current of zero divisor of f . (We assume that 0 is a regular value.)

Idea Every closed subscheme on a complex algebraic variety corresponds to some psh function.

Corollary f_1, \dots, f_n collection of holomorphic functions on a complex manifold. Then $\log(\sum_i e^{u_i})$ is psh.

Proof. By PL lemma, $u_i = \log |f_i|^2$ is psh. Then $\log(\sum_i |f_i|^2) = \log(\sum_i e^{u_i})$ is also psh from past lecture. \square

15.4 Regularized maximum

Upshot We shall learn how we deal with singularities with currents. The Regularized maximum is something that is smooth.

In reality I missed what regularized maximum means. Input is two functions.

Remark $\mu : \mathbb{R}^2 \rightarrow \mathbb{R}$ convex, monotonous functions in both arguments. Then f_1, f_2 psh $\implies \mu(f_1, f_2)$ is psh.

Definition *nef current* is a limit of smooth, closed, positive currents.

Remark A curve is nef in AG sense iff its current of integration is nef. (or maybe only one directino works)

15.5 Lelong sets

Take a positive current Θ on M , and a point $x \in M$. And let $\eta_x = dd^c \log \text{dist } x$

We want to define

Definition *Lelong number* $v_x(\theta)$ is a measure $\Theta \wedge (\eta_x)^{n-p}$.

$$\langle \mu, x \rangle := v_x \theta$$

There is a way to decompose (I think any) measure into two parts.

Definition *Lelong set* for $s > 0$ is

$$Z(\Theta, s) := \{x \in M : v_x(\theta) > s\}$$

Theorem (Y.T. Siu, 1974) For any Θ and any $s > 0$, the Lelong set Z_s is complex analytic.

15.6 The multiplier ideals

Definition f a function on M , locally sum of smooth and psh, then f is called *almost psh*.

Definition If you have any line bundle and h is a metric and f is almost psh, then he^{-f} is called *singular metric* on L .

Definition $(L, e^{-f}h)$ line bundle with singular metric, I_f^L a sheaf of holomorphic sections of L , sections L^2 -integrable, then

$$I_f^L \otimes L^{-1} \subset L \otimes L^{-1} \subset \mathcal{O}_M$$

Theorem (Nadel) It is a coherent sheaf.

Upshot support of multiplier ideal is the same as Lelong set.

15.7 Demailly's regularization theorem

Definition η is closed $(1, 1)$ -current, η has *algebraic singularities* if $\eta = dd^c \log \sum |f_i|^2 + \eta_0$, f_i smooth, η_0 smooth

Upshot How to approximate currents with something reasonable: these algebraic (logarithmic) singularities I think they are reasonable.

Theorem (Demailly Regularization Theorem) T positive closed $(1, 1)$ -current on a Kähler manifold (M, ω) then T is a limit of currents T_k with algebraic singularities. And also there exists a sequence ε_i that converges to zero and such that $T_k + \varepsilon_k \omega$ is positive. And of course all T_i are in the same cohomology class.

Finally, for all $x \in M$, $\lim v_x T_k = v_x T$ and convergence is monotonous.

Note You can google Demailly Regularization to see all this happening.

Remark The theorem is super difficult.

And what we need here is

Corollary Current T with zero Lelong numbers is nef. Which means it is a limit of smooth positive closed.