

## Introduction (Youtube)

Algorithm: It's a process or method of solving a problem by following a set of rules. It is informal way of writing a program.

An algorithm is said to be efficient if it consumes less time and less memory.

Six defint Algorithm is a method to solve a problem which consist of sequence of computational steps that transform input to output.

### Properties of good algorithm

- 1) i) Finiteness                  4) Easy inputting (input)  
ii) Definiteness                  5) ~~Chear~~ clear output  
iii) Effectiveness                6) Flexibility
- 2) Each instruction should be defined clearly
- 3) Each step should take defined time i.e. time bound
- 4) Should be capable of modifying for better results
- 5) Should terminate.

example To find GCD of 2 numbers by Euclid's algorithm.

Sb

Input: Take two +ve integers

Output: The largest number which divides  $a \& b$



Step 1: If  $b$  is zero then GCD is  $a$  else go to Step 2.

Step 2: Find  $r$  which is remainder of  $a$  divided by  $b$ .

Step 3: Exchange  $a$  by  $b$  and  $b$  by  $r$  and go to step 1.

Algorithm \* Euclid's ( $a, b$ )

1) input - 2 +ve no

1) output - Greatest common divisor of  $a \& b$

while  $b \neq 0$  do

$r \leftarrow a \% b$

$a \leftarrow b$

$b \leftarrow r$

return  $a$

(d) consecutive integer checking method.

$$\text{gcd}(a, b) \leq \min(a, b)$$

Step 1: If  $a = 0$  then gcd  $b$  or  $b = 0$ , gcd is  $a$ .

Step 2: Assign  $t = \min(a, b)$

Step 3: Perform  $a \bmod t$ , if remainder is zero go to Step 4 else Step 4 to 5.

Euclid's ~~test~~ algorithm is efficient, <sup>than</sup> consecutive integer checking method.

Middle school method:

Step 1: Find prime factor of  $a$

Step 2: Find prime factors of  $b$

Step 3: Product of common prime factors is GCD

Efficiency is not present.

Algorithms to generate prime numbers

1 input: a +ve integer 'n'

1 output: list of prime no from 2 to n

Step 1: Store the numbers from 2 to n in array

Step 2: Discard all the multiples of 2 but not

Step 3: " " " " " 3 but not 3

" " " " " 4 but not 4

5 but not 5

7 but not 7

No of iteration for  $n$  is  $\sqrt{n}$

Algorithm

1 input:  $n$

1 output: list of prime no from 0

for  $p \leftarrow 2$  to  $n$  do  $A[p] \leftarrow p$

for  $p \leftarrow 2$  to  $\sqrt{n}$  do

if  $A[p] \neq 0$

$j \leftarrow p * p$

while ( $j \leq n$ ) do

$A[j] \leftarrow 0$

$j \leftarrow j + p$

$i \leftarrow 0$  // initialization

for  $p \leftarrow 2$  to  $n$  do

if ( $A[p] \neq 0$ )

$L[i] \leftarrow A[p]$  // L is array of prime no

$i \leftarrow i + 1$

return L

## Analyzing of algorithm

i) ~~Efficiency~~ efficiency ← storage  
time

ii) Storage efficiency: depends on text segments, data segments etc.

While analysing efficiency of algorithms we mainly consider time efficiency which depends on:

- (i) System used      ii) Language / OS
- iii) compiler used.

Basic operation is determined as well how time it is executed and depending on that we calculate eff. time efficiency.

## \* Process of design and analysis of algorithm

Step 1: To understand problem statement

Step 2: Decide on i) Computational means

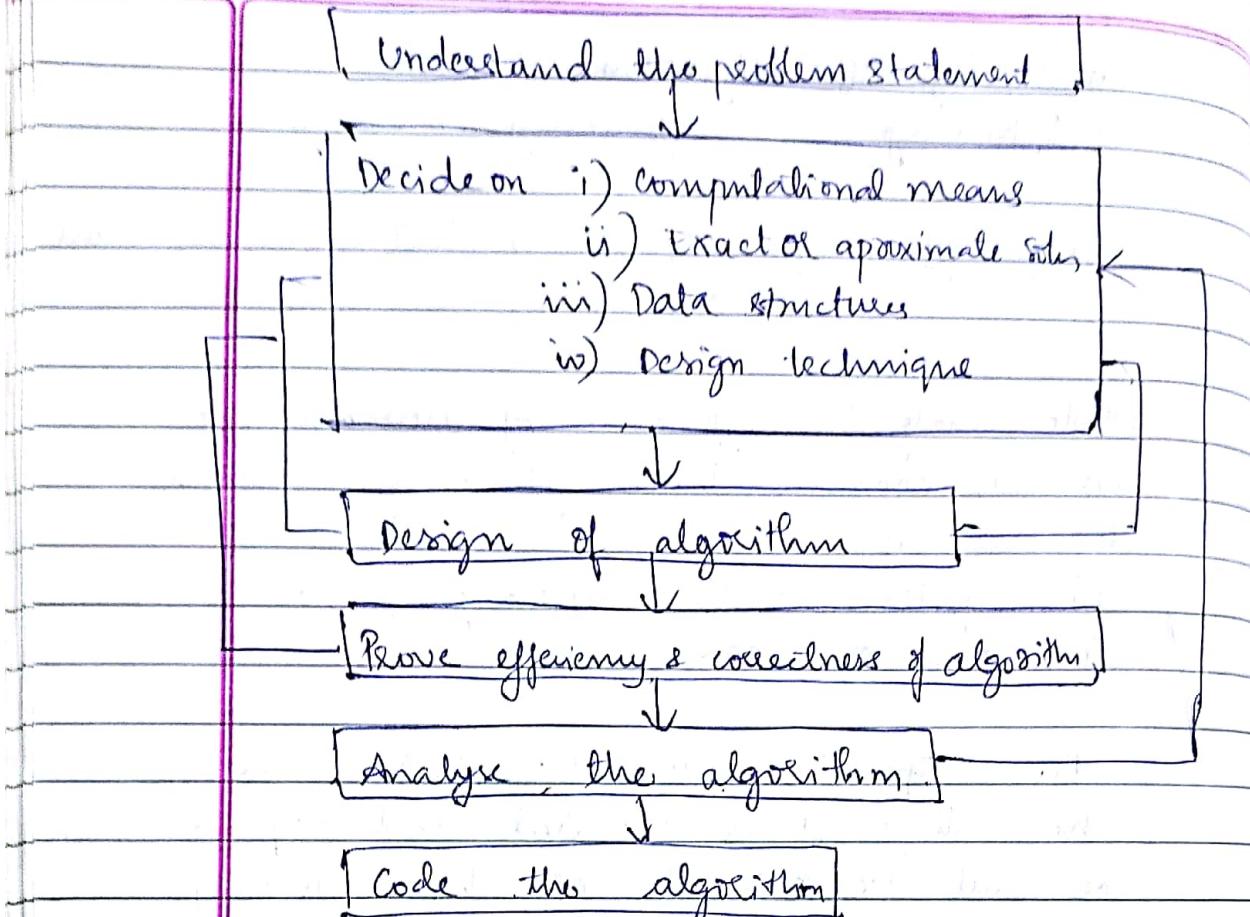
ii) Extent or property of result (approx or exact)

iii) Data structures to be used.

iv) Designing the ~~algorithm~~ technique

Step 3 Designing algorithms.

7.2 n<sup>5/2</sup>



Algorithm design technique is a general approach to solve problem algorithmically, i.e. it is applicable to variety of problems from different area of computations.

A pseudo code is a mixture of natural language and programming language.

### \* Order of growth of algorithms

Efficiency mainly depends on the size which is usually denoted by 'n'

$$\log(n) < n < n \log(n) < n^2 < n^3 < 2^n < n!$$

Q1) Arrange the following efficiency classes in descending order.

$$\sqrt{n}, 3^n, 2^{2n}, n-2!, 0.0001n^3, 2n^4+1, \log n$$

$$2 \cdot 5 \log_{10}(n+100)^{10}$$

sol  
=

$$n-2! > 2^{2n} > 3^n > 2n^4+1 > 0.0001n^3 > \sqrt{n} >$$

$$5 \log_{10}(n+100)^{10} > \log n$$

Q2) Algorithm xyz(n)

// input: two integers

#  $s \leftarrow 0$

for  $i \leftarrow 1$  to  $n$  do

$s \leftarrow s + i$

return  $s$

i) What does the algorithm do?

- sum of  $n$  natural numbers

ii) What is the basic operation?

-  $s \leftarrow s + i$

iii) How many times the basic operation is executed?

-  $n$  times

iv) What is the efficiency class of algorithm

- linear i.e.  $n$

If '+' and '\*' are present in same operation  
then we take multiplication.

## Asymptotic notation

Asymptotic notation are languages that allow us to analyze an algorithm's efficiency by varying the input size of algorithm. This is also known as growth rate.

NOTE: 5)  $\text{for } (i = \text{val}; i < n; i++)$

1)  $n$  - linear

$\Rightarrow$  efficiency class  $\log_2^n$

2)  $n^2$  - 2 loops

3)  $n^3$  - 3 loops

6)  $\text{for } (i = 1; i^2 = n; i++)$

4)  $\log n$  and  $n \log n$  - divide

& conquer

To compare and rank the orders of growth of a function

The function which expresses the time efficiency the following asymptotic notation are used :-

i) Big oh ( $O$ ) - upper bound specifier (worst)

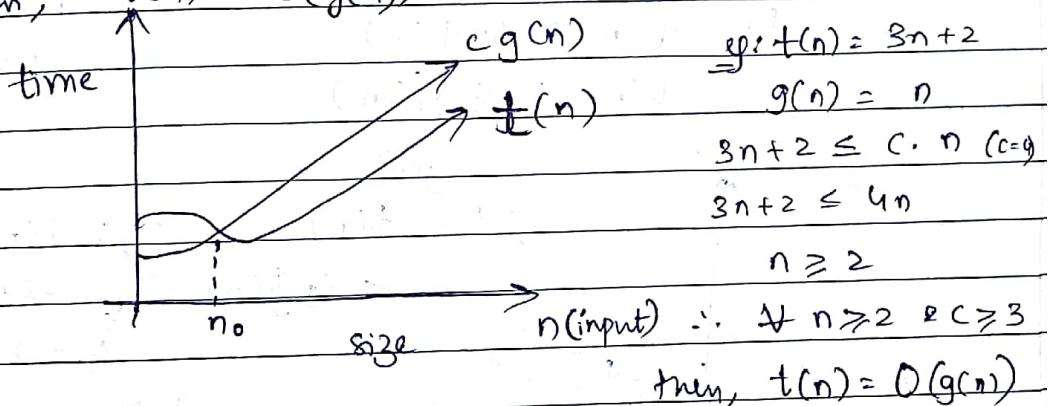
ii) Omega ( $\Omega$ ) - lower bound specifier (best)

iii) theta ( $\Theta$ ) - Average.

Q) Let  $t(n)$  and  $g(n)$  be the non-negative functions where  $t(n)$  represents the actual time taken by the algorithm and  $g(n)$  is sample function considered for comparisons

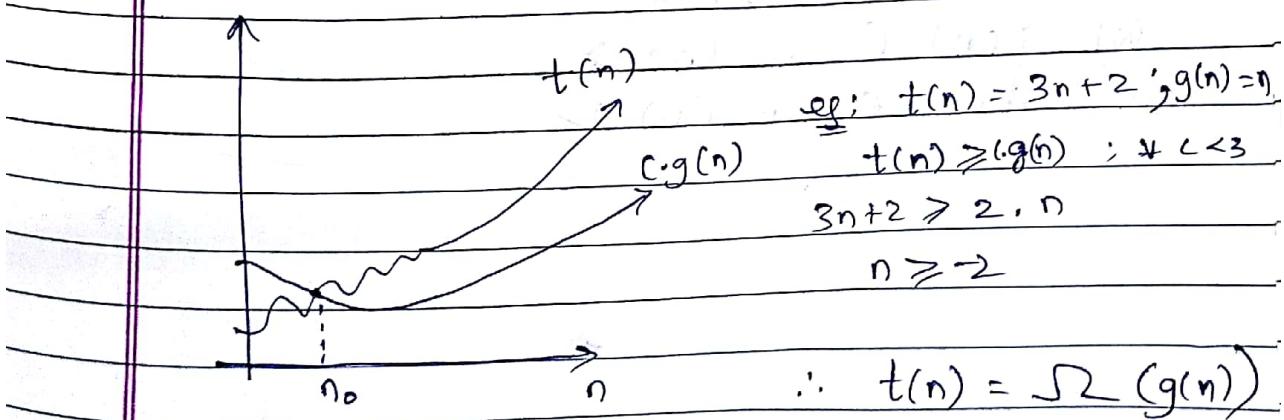
- 1) A function  $t(n)$  is said to be  $O(g(n))$  denoted by  $t(n) \in O(g(n))$ , if  $t(n)$  is bounded above some constant +ve multiplication of  $g(n)$  for large values of  $n$ . If there exist a +ve integer constant  $c$  & +ve integer no.  $n_0$  satisfying the statement  $t(n) \leq c \cdot g(n) \quad \forall n > n_0 \quad \& \quad c > 0 \quad \& \quad n_0 \geq 1$

Then,  $t(n) = O(g(n))$



- 2) A function  $t(n)$  is said to be  $\Omega(g(n))$  if  $t(n)$  is bound below by some +ve integer constant multiples of  $g(n)$  like ( $c \cdot g(n)$ ) for all larger values of  $n$ . If there exist a +ve value  $c$  & +ve no. such that

$$t(n) \geq c \cdot g(n) \quad \forall n_0 \geq 1 \quad \& \quad n \geq n_0 \quad c > 0$$



3) A function  $t(n) = \Theta(g(n))$  if  $t(n)$  is bounded by  $g(n)$  above and below by some two constant multiples of  $g(n)$  for all larger values of  $n$  if there exists some two constant  $c_1$  &  $c_2$  & two no then,

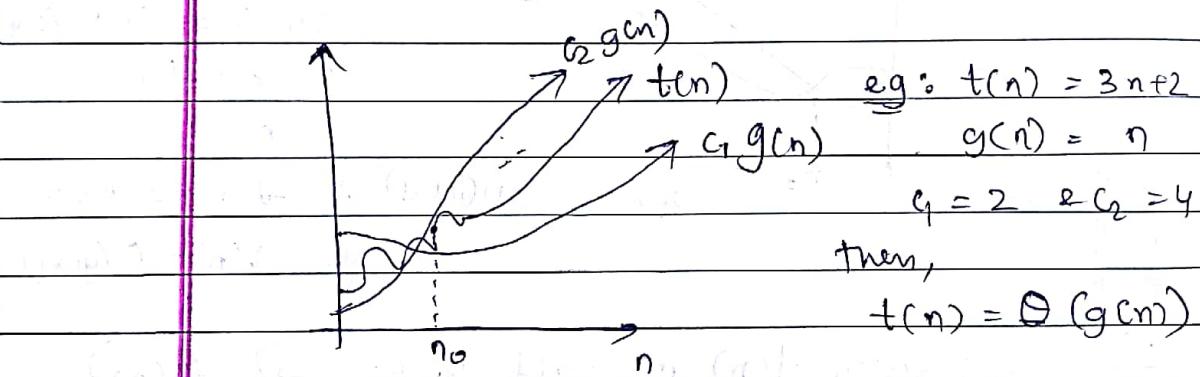
$$c_1 g(n) \leq t(n) \leq c_2 g(n) \quad \forall c_1, c_2 > 0$$

$$n \geq n_0$$

$$\text{or } t(n) \geq c_1 g(n)$$

$$n_0 \geq 1$$

$$t(n) \leq c_2 g(n)$$



i) Given, the following statements and a function  $f(n) = \frac{n(n+1)}{2}$ . State true or false

- i)  $f(n) \in O(n^3)$  ✓
- ii)  $f(n) \in O(n^2)$  ✓
- iii)  $f(n) \in O(n)$  ✗
- iv)  $f(n) \in \Omega(n^3)$  ✗
- v)  $f(n) \in \Omega(n)$  ✓

## Comparison of efficiency classes

In order to compare 2 efficiency class we need to find the value based on following equation.

$$\lim_{n \rightarrow \infty} \frac{t(n)}{g(n)} = \begin{cases} 0 ; & \Rightarrow O(t(n)) \\ c > 0 ; & \Rightarrow \Theta(t(n)) \\ \infty ; & \Rightarrow \Omega(t(n)) \end{cases}$$

- i) If result is 0, then  $t(n)$  has smaller order growth than  $g(n)$
- ii) If result is  $c$ , then  $t(n)$  has same growth order compared to  $g(n)$
- iii) If result is  $\infty$ , then  $t(n)$  has larger growth than  $g(n)$

1) Compare  $\frac{1}{2} n(n-1) \& n^3$

$$\underset{n \rightarrow \infty}{\underset{\approx}{\lim}} \frac{\frac{1}{2} n(n-1)}{n^3} = \underset{n \rightarrow \infty}{\lim} \frac{n^2 - n}{2n^3} = \underset{n \rightarrow \infty}{\lim} \frac{1}{2n} = \frac{1}{2}$$

$$= 0$$

$$\therefore \frac{1}{2} (n-1) = O(n^3)$$

2) Compare  $n! \& 2^n$

$$\underset{n \rightarrow \infty}{\underset{\approx}{\lim}} \frac{n!}{2^n} = \frac{\sqrt{2\pi n} \left(\frac{n}{e}\right)^n}{2^n} \quad \left| \because n! = \sqrt{2\pi n} \left(\frac{n}{e}\right)^n \right.$$

$$\underset{n \rightarrow \infty}{\lim} \sqrt{2\pi n} \left(\frac{n}{2e}\right)^n$$

$$\therefore n! = \sqrt{2}(2^n),$$

Done

## Mathematical Analysis of non-recursive algorithm.

e.g.: Bubble sort

Algo: for  $i \leftarrow 1$  to  $n-1$  do  
    for  $j \leftarrow 1$  to  $n-i$  do  
        if ( $a[i,j] > a[i,j+1]$ )  
            swap ( $a[i,j], a[i,j+1]$ )

Basic step if statement

$$\Rightarrow \sum_{i=1}^{n-1} \sum_{j=1}^{n-i} 1 = \sum_{i=1}^{n-1} n-i + 1 - i = \sum_{i=1}^{n-1} n-i \\ = n-1 + n-2 + \dots + n-n+1 \\ = 1+2+3+\dots+n-1 \\ = n(n-1)/2$$

The general plan for finding efficiency of non recursive algo:-

Step 1: Decided on the parameters indicating the input size.

Step 2: Identify the algorithm's basic operation (located in the inner most loop)

Step 3: Then check whether the number of times the basic operation executed depends only on size of the input. If it also depends on some additional properties. Then the worst and the average

and the best case is necessary or calculated.

Step 4: Set up a ~~some~~ expressing the number of times the basic operation gets executed.

Step 5: Using standard formula & rule of manipulation either find a closed form for the count or at least establish order of the growth.

① Algorithm to find the maximum element in an array.

- Algorithm MaxElement ( $A[0 \dots n-1]$ )

// find the max element in the given array.

// Input : elements of array

// output : max element of array.

max value  $\leftarrow A[0]$

for  $i \leftarrow 1$  to  $n-1$

if  $A[i] \geq \text{max val}$  (1)

    maxvalue  $\leftarrow A[i]$  (2)

return maxval

There are two statements within the for loop if the comparison (1) and assignment statement (2) as the comparison statement will be executed more no. of times compared to assignment. The basic operation of algo is comparison.

Let  $c(n)$  denotes the no of times the basic operation gets executed and it can be expressed has

$$\begin{aligned} c(n) &= \sum_{i=1}^{n-1} i \\ &= n-1 - 1 + 1 \\ &= n-1, \end{aligned}$$

eg: 30 20 10  
10 30 20  
10 20 30

$\therefore c(n) = O(n)$  ( $\because$  average case, efficiency is independent of worst & best case are same)

② To find the uniqueness of an element in an array (no element should be repeated)

Algorithm Element Uniqueness ( $A[0 \dots n-1]$ )

I check whether all element are distinct or not

II Input: An array

II Output: Return true if all elements are unique else false.

```
for i ← 0 to n-2 do
    for j ← i+1 to n-1 do
        if ( $a[i] = a[j]$ )
            return False
return true
```

The basic operation of algorithm is the comparison statement.

The number of times the basic operation gets executed depends on type of input that we are supplying. In the worst case the comparison statement will be executed maximum no. of time if all the elements unique or last 2 elements are same.

If  $C(n)$  denotes the no. of times the basic opert. executed. It can be expressed has:

$$\begin{aligned}
 C_{\text{worst}}(n) &= \sum_{i=0}^{n-2} \sum_{j=i+1}^{n-1} 1 \\
 &= \sum_{i=0}^{n-2} (n-1-i) + 1 \\
 &= n-1 + n-2 + \dots + 1 \\
 &= \frac{n(n-1)}{2}
 \end{aligned}$$

$$C_{\text{worst}}(n) = \Theta(n^2)$$

(3) Consider the following algorithm.

$\rightarrow$  name:  
 Algorithm XYZ( $n$ )

Input : A +ve integer  $n$

Output : ?

```

count ← 1
while n > 1 do
    count ← count + 1
    n ← ⌊ n/2 ⌋
return count
  
```

\* Dividing  $\Rightarrow$  efficiency is  $\log n$

a) What does the algorithm computes?

- The algo returns the no of bits in the ~~numb~~ binary representation.

b) What is basic operation.

- The basic operation compassion ~~op~~ statement (while)

c) What is efficiency class of algorithm.

-  $\log(n)$  ( $\because$  Divide and conquer)

The general plan to analyze the efficiency of recursive algorithm.

Step 1: Decide on parameter or parameters indicating the input size  $n$ .

Step 2: Identify the basic operation of the algorithm  
number of times

Step 3: Check whether the basic operation is executed can vary on different input of same size if so we need to find the best, worst and average case efficiency.

Step 4: Setup a recurrence relation with an appropriate base case or initial condition for the number of times the basic operation gets executed.

Step 5: Solve the recurrence relation with appropriate methods to find time complexity

## Methode

- (1) Substitution → forward substitution  
→ Backward substitution. (Mainly used)
- (2) Recursive tree
- (3) Master theorem.

Substitution method.

- ① Factorial of number

Algorithm Fact(n)

|| Factorial of given number

|| Input: A +ve integer n

|| output: factorial of given number.

if  $n=0$  return 1

else return  $n * \text{Fact}(n-1)$

The basic operation is multiplication.

Let  $M(n)$  denotes the number of times the multiplication operation executed by the algorithm.

i.e.  $n=0 \Rightarrow M(0)=0$ , base condition

$n \neq 0 \Rightarrow M(n)=M(n-1)+1 \quad \forall n \geq 1$

$$\Rightarrow M(n) = M(n-1) + 1$$

$$= M(n-2) + 1 + 1$$

$$= M(n-3) + 1 + 1 + 1$$

$$= M(n-k) + k \quad \text{in general.}$$

$$\therefore k=0, M(0)=0$$

$$\therefore M(n) = M(0) + n \quad \because k=n$$

$$M(n) = n \Rightarrow O(n) //$$

(a)

Algorithm Tower of Honai ( $n$ ), source, dest, temp

/ input :

/ output :

if  $n = 1$

move a disc from S to D

else

Tower of Honai ( $n-1$ , S, T, D)

Move  $n^{\text{th}}$  disc from S to D

Tower of Honai ( $n-1$ , T, D, S)

The basic operation is Tower of Honai Problem  
is movement of a disc

Let  $M(n)$  denotes, movements <sup>the number</sup> of

if  $n=1$ ,  $M(1) = 1$

$$\text{otherwise, } M(n) = M(n-1) + 1 + M(n-1)$$

$$= 2M(n-1) + 1$$

$$= 2(2M(n-2) + 1) + 1$$

$$= 4(M(n-2)) + 2 + 1$$

$$= 4(2M(n-3) + 1) + 2 + 1$$

$$= 8M(n-3) + 4 + 2 + 1$$

$$= 2^3 M(n-3) + 2^2 + 2^1 + 2^0$$

$$= 2^K M(n-K) + 2^{K-1} + 2^{K-2} + \dots + 2^0$$

$$M(1) = 1 \rightarrow n-K = 1$$

$$\Rightarrow K = n-1$$

$$= 2^{n-1} M(1) + 2^{n-2} + 2^{n-3} + \dots + 2^0$$

$$= 2^{n-1} + 2^{n-2} + 2^{n-3} + \dots + 2^0$$

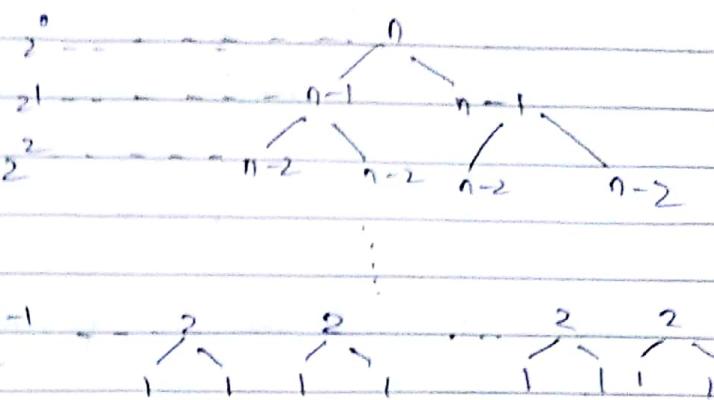
$$= 2^n - 1$$

The efficiency of tower of honai problem can be expressed with

$O(2^n)$

Recursive tree

$$\Rightarrow M(n) = \sum_{l=0}^{n-1} 2^l$$



The efficiency can be calculated. Counting number of nodes in the tree

i.e  $M(n) = \sum_{l=0}^{n-1} 2^l$  (where  $l$  = level of tree)

$$= 2^n - 1 //$$

(3) To count no of binary bits.

Algorithm bitcount(n)

||

// Input : +ve integer

||

if  $n=1$  return 1  
else

return bitcount( $\lfloor n/2 \rfloor + 1$ )

The basic operation is addition

Let  $A(n)$  denotes the ~~no~~ total no of addition

Base case: ~~n=0~~  $n=1$ ,  $A(1) = 0$

otherwise

$$A(n) = A(n/2) + 1$$

$$= A(n/4) + 1 + 1$$

$$= A(n/8) + 1 + 1 + 1 \dots$$

$$A(n) = A(n/2^k) + k$$

$$n = 2^k$$

$$k = \log_2 n$$

$$T(n) = \log_2 n$$

④ To find the  $n^{\text{th}}$  fibonacci number.

Algorithm Fibinacci ( $n$ )

||

||

||

if  $n \leq 0$  return  $n$

return  $\text{fib}(n-1) + \text{fib}(n-2)$

The basic operation is addition.

Let  $A(n)$  be the total no. of addition.

base case,  $n=0 \Rightarrow A(0)=0$

$n=1 \Rightarrow A(1)=0$

otherwise,

$$A(n) = A(n-1) + A(n-2) + 1$$

~~Solve recursive relation.~~ put,  $A(n) = r^n$

$$r^n = r^{n-1} + r^{n-2} + 1$$

$$1 = \frac{1}{r} + \frac{1}{r^2} + x$$

$$r^2 + r = 0$$

$$r(r+1) = 0$$

$$r_1 = -1$$

## Master theorem

Applies to the recurrence of type

$$T(n) = aT(n/b) + f(n)$$

where,

$a \geq 1$ ,  $b > 1$  &  $f(n)$  is asymptotically +ve

Cases

(1)  $f(n) = O(n^{\log_b a - \epsilon})$  for some constant  $\epsilon > 0$   
 $f(n)$  grows polynomially slower than  $n^{\log_b a}$  by a  $n^\epsilon$  factor. Then,

$$T(n) = \Theta(n^{\log_b a})$$

(2)  $f(n) = O(n^{\log_b a} \cdot \log^k n)$  for  $k \geq 0$   $f(n)$  &  $n^{\log_b a}$  grows at similar rate then,

$$T(n) = \Theta(n^{\log_b a} \cdot \log^{k+1} n)$$

(3)  $f(n) = \Omega(\log_b a + \epsilon)$  for  $\epsilon > 0$   $f(n)$  grows polynomially faster than  $n^{\log_b a}$  by a  $n^\epsilon$  factor, then the solution

$$T(n) = \Theta(f(n))$$

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$$\log_a^n \rightarrow k=0$$
$$\log_a^n = \log_0^n = \log n$$

$$① T(n) = 4T(n/2) + n$$

$$\rightarrow n^{\log_a b} \rightarrow n^{\log_2 4} = n^2$$

$$\Rightarrow T(n) = \Theta(n^2) \quad \underline{\text{Case (1)}}$$

$$② T(n) = 4T(n/3) + n^2$$

$$n^{\log_a b} = n^2 \quad \circ f(n) = n^2$$

$$T(n) = \Theta(n^2 \cdot \log^{k+1} n) \quad k=0$$

$$= \Theta(n^2 \cdot \log n) \quad \underline{\text{Case (2)}}$$

$$③ T(n) = 4T(n/2) + n^3$$

$$n^{\log_a b} = n^2 \quad \circ f(n) = n^3$$

$$T(n) = \Theta(n^3) \quad \underline{\text{Case (3)}}$$

$$④ T(n) = T(2n/3) + 1$$

$$a=1; b=3/2$$

$$n^{\log_{3/2} 1} = 1$$

$$T(n) = \Theta(1 \times \log n) \quad \underline{\text{Case (2)}}$$



$$\textcircled{3} \quad T(n) = 4T(n/2) + \frac{n^2}{\log n}$$

$$\text{Simplifying: } T(n) = 4T(n/2) + (\log n)^{-1} \cdot n^2$$

$$\Rightarrow k = -1 \quad \cancel{k \geq 0} \quad \because k \text{ should be greater than } k \geq 0$$

No solution

If  $T(n) = 4T(n/2) + n^2 \cdot \log n$

then it will come under case 2

Solve the following recurrence by substitution.

$$1) \quad T(n) = T(n-1) + 1 \quad ; \quad T(1) = 1$$

$$\begin{aligned} &= T(n-2) + 1 + 1 & n-k = 1 \\ &= T(n-k) + k & k = n-1 \\ &= 1 + n-1 \\ &= n \end{aligned}$$

$$\begin{aligned} T(n) &= T(n-1) + n & T(1) = 1 \\ &= T(n-2) + n-1 + n \\ &= T(n-3) + n-2 + n-1 + n \\ &= T(n-k) + kn - (k-1) \\ &= 1 + (n-1)n - (n-1) \end{aligned}$$

$$2) T(n) = T(n/2) + 2 \quad ; \quad T(1) = 0$$

$$= T(n/4) + 2 + 2$$

$$= T(n/8) + 2 + 2 + 2$$

$$= T(n/2^k) + 2k$$

$$= O(2n)$$

$$3) T(n) = 2T(n/2) + 2$$

$$= 2(2T(n/4) + 2) + 2$$

$$= 4T(n/2) + 4 + 2$$

$$= 2^k T(n/2^k) + \sum_{i=1}^k 2^i \quad T(1) = 0$$

$$= 2^{\log_2 n} \times 0 + 2 \cdot (2^k - 1) \quad k = \log_2 n$$

$$= O(n)$$

### Empirical Analysis

General plan for empirical analysis has following steps:

Step 1 Understand the experiment purpose.

Step 2 Decide on the efficiency matrix 'M' to be measured and the measurement unit. (operation count)

Step 3 Decide on the characteristic of the input sample.

Step 4 Prepare a program implementing the algorithm for the experimentation.



Step 5

Generate a sample of inputs

Step 6

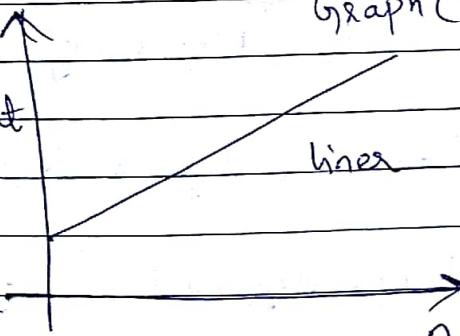
Run the program on the sample inputs  
and record the data observed

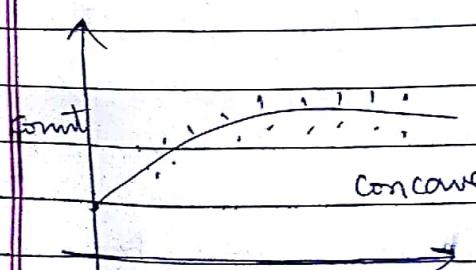
Step 7

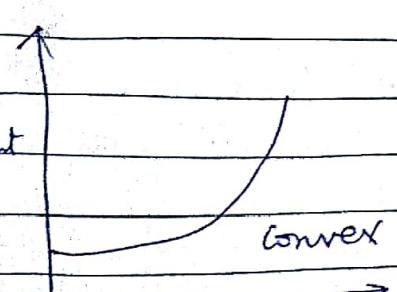
Analyse the data obtained.

To analyse the output a graph needs to be plotted where in x axis denote the input size and y axis denotes the output (Count of basic operation)

Some of the observations made on plotting the graphs are:

(1)  Graph (scatter plot)  
Efficiency is order of  $n$

(2)   
i.e. logarithmic in nature  
 $\log(n)$

(iii)   
 $n \log n$   
 $n^2$   
 $n^3$