

# Unit -5

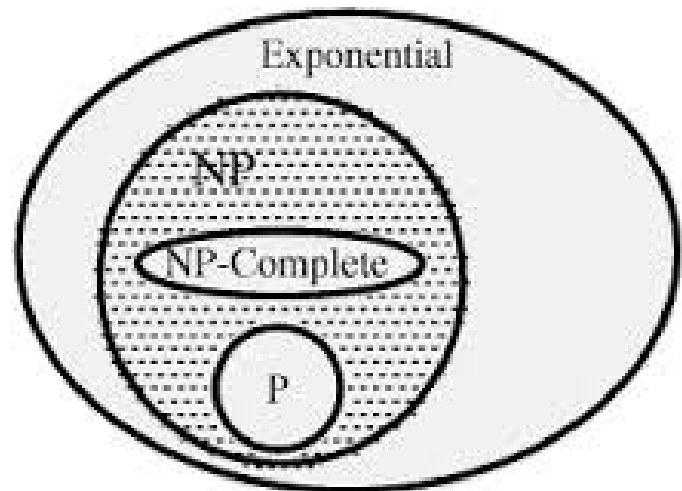
## NP Complete problems

# NP and P

- **What is NP?**
- NP is the set of all decision problems (question with yes-or-no answer) for which the 'yes'-answers can be **verified** in polynomial time ( $O(n^k)$  where  $n$  is the problem size, and  $k$  is a constant) by a [deterministic Turing machine](#). Polynomial time is sometimes used as the definition of *fast* or *quickly*.
- **What is P?**
- P is the set of all decision problems which can be **solved** in polynomial time by a deterministic Turing machine. Since it can solve in polynomial time, it can also be verified in polynomial time. Therefore P is a subset of NP.

# NP-Complete

- **What is NP-Complete?**
- A problem  $x$  that is in NP is also in NP-Complete if and only if every other problem in NP can be quickly (ie. in polynomial time) transformed into  $x$ . In other words:
- $x$  is in NP, and
- Every problem in NP is reducible to  $x$



# NP-Hard

- **What is NP-Hard?**
- NP-Hard are problems that are at least as hard as the hardest problems in NP. Note that NP-Complete problems are also NP-hard. However not all NP-hard problems are NP (or even a decision problem), despite having 'NP' as a prefix. That is the NP in NP-hard does not mean 'non-deterministic polynomial time'. Yes this is confusing but its usage is entrenched and unlikely to change.

# Polynomial time reductions

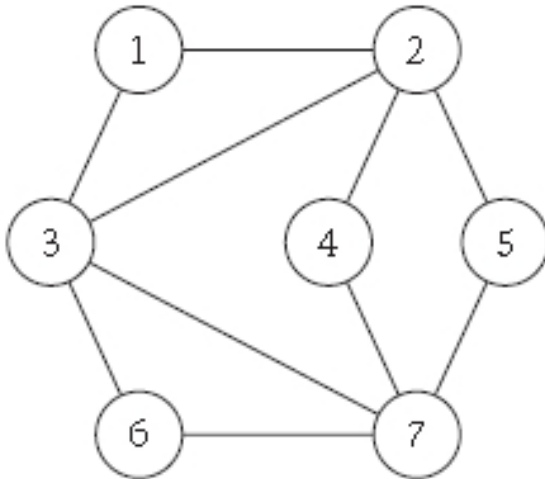
- “Problem  $X$  is at least as hard as problem  $Y$ .”  
*We will formalize this through the notion of reduction: we will show that a particular problem  $X$  is at least as hard as some other problem  $Y$  by arguing that, if we had a “black box” capable of solving  $X$ , then we could also solve  $Y$ . (In other words,  $X$  is powerful enough to let us solve  $Y$ .)*

# Polynomial time reductions

- Suppose we had a *black box that could solve instances of a problem  $X$ ; if we write down the input for an instance of  $X$ , then in a single step, the black box will return the correct answer.* We can now ask the following question:
- *(\*) Can arbitrary instances of problem  $Y$  be solved using a polynomial number of standard computational steps, plus a polynomial number of calls to a black box that solves problem  $X$ ?*
- If the answer to this question is yes, then we write  $Y \leq_P X$ ; we read this as “ $Y$  is polynomial-time reducible to  $X$ ,” or “ $X$  is at least as hard as  $Y$  (with respect to polynomial time).”

# Independent set and vertex cover

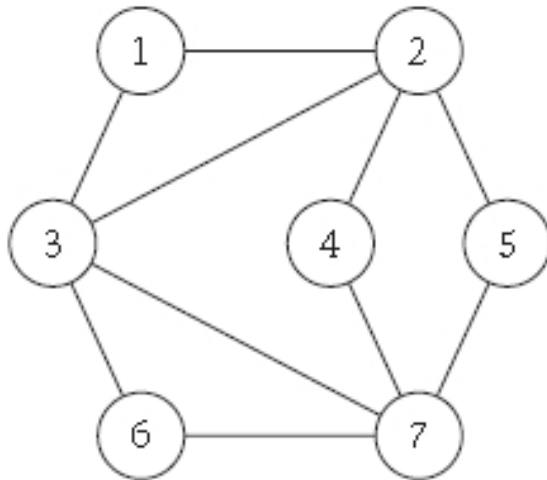
- in a graph  $G = (V, E)$ , we say a set of nodes
- $S \subseteq V$  is independent if no two nodes in  $S$  are joined by an edge.



Given a graph  $G$  and a number  $k$ , does  $G$  contain an independent set of size at least  $k$ ?

# Independent set and vertex cover

- Vertex Cover. Given a graph  $G = (V, E)$ , we say that a set of nodes  $S \subseteq V$  is a vertex cover if every edge  $e \in E$  has at least one end in  $S$ .*

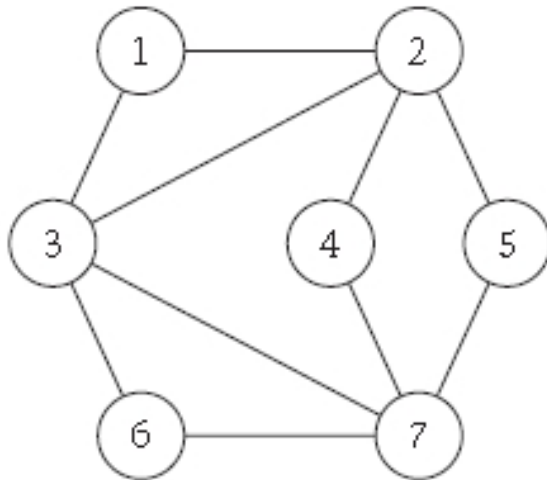


the set of nodes  $\{1, 2, 6, 7\}$  is a vertex cover of size 4, while the set  $\{2, 3, 7\}$  is a vertex cover of size 3.



# Independent set and vertex cover

- We don't know how to solve either Independent Set or Vertex Cover in polynomial time; but what can we say about their relative difficulty?



$V=\{1,2,3,4,5,6,7\}$

$E=\dots\dots$

Indepent set  $S=\{1,4,5,6\}$

Vertex cover =  $\{2,3,7\}$

# Independent set and vertex cover

- *Let  $G = (V, E)$  be a graph. Then  $S$  is an independent set if and only if its complement  $V - S$  is a vertex cover.*
- **Proof.**
- **First, suppose that  $S$  is an independent set. Consider an arbitrary edge  $e = (u, v)$ . Since  $S$  is independent, it cannot be the case that both  $u$  and  $v$  are in  $S$ ; so one of them must be in  $V - S$ . It follows that every edge has at least one end in  $V - S$ , and so  $V - S$  is a vertex cover.**
- Conversely, suppose that  $V - S$  is a vertex cover. Consider any two nodes  $u$  and  $v$  in  $S$ . If they were joined by edge  $e$ , then neither end of  $e$  would lie in  $V - S$ , contradicting our assumption that  $V - S$  is a vertex cover. It follows that no two nodes in  $S$  are joined by an edge, and so  $S$  is an independent set.