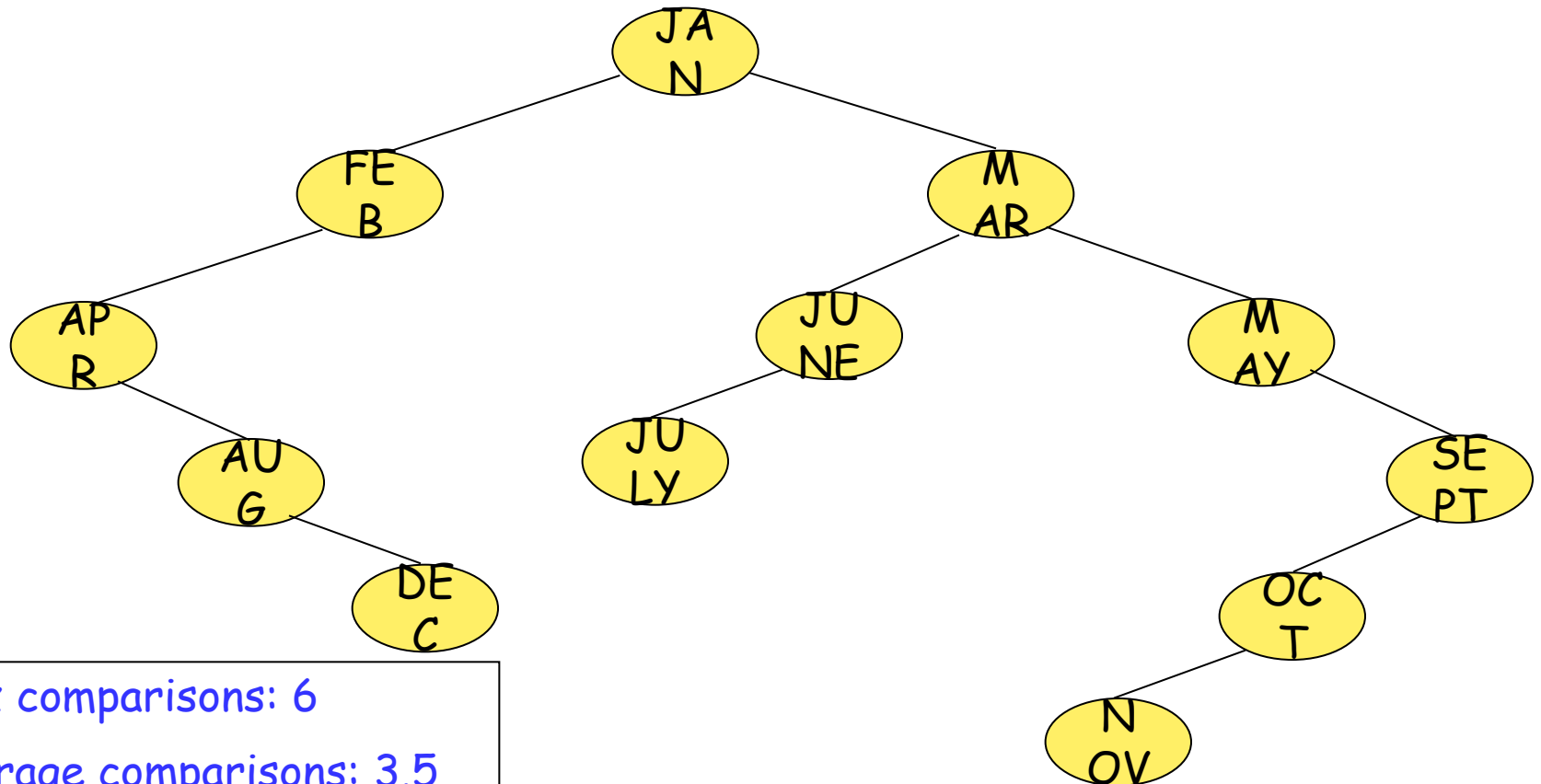


# AVL Trees

- Dynamic tables may also be maintained as binary search trees.
- Depending on the order of the symbols putting into the table, the resulting binary search trees would be different. Thus the average comparisons for accessing a symbol is different.

# Binary Search Tree for The Months of The Year

Input Sequence: JAN, FEB, MAR, APR, MAY, JUNE, JULY, AUG, SEPT, OCT, NOV, DEC

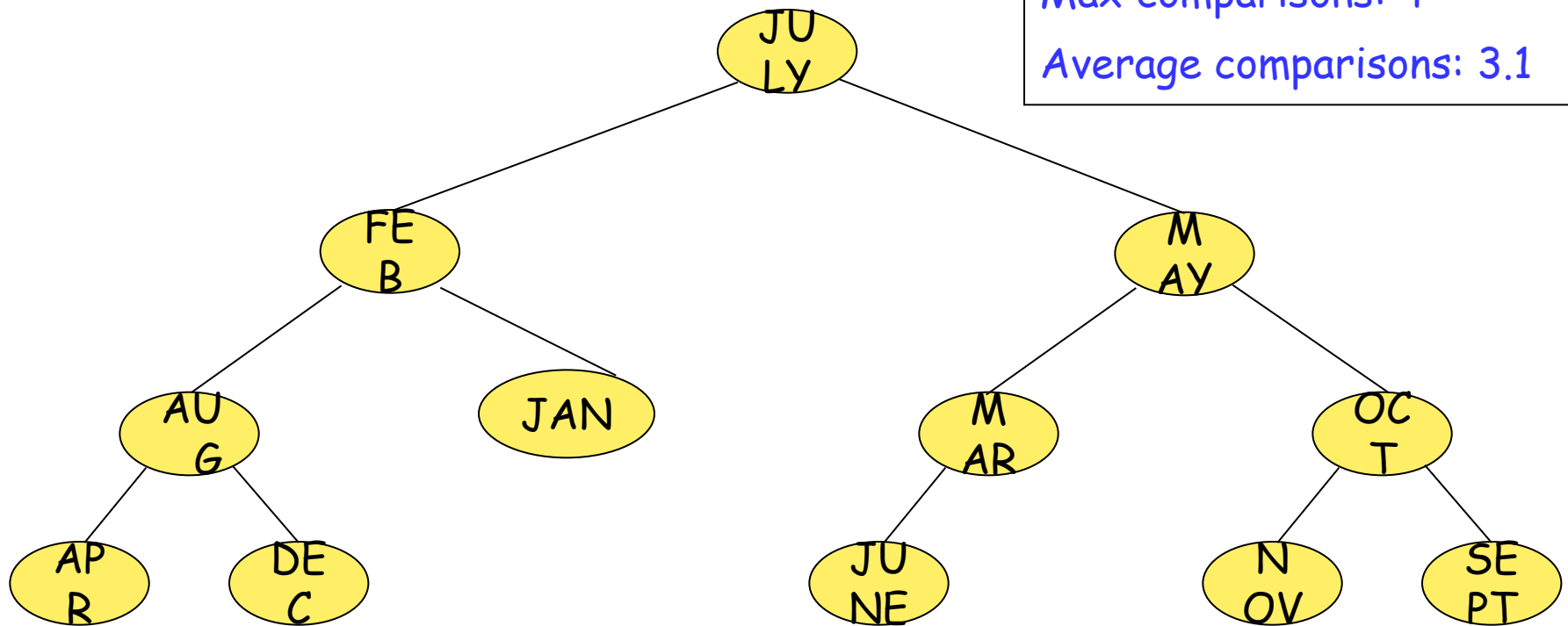


Max comparisons: 6

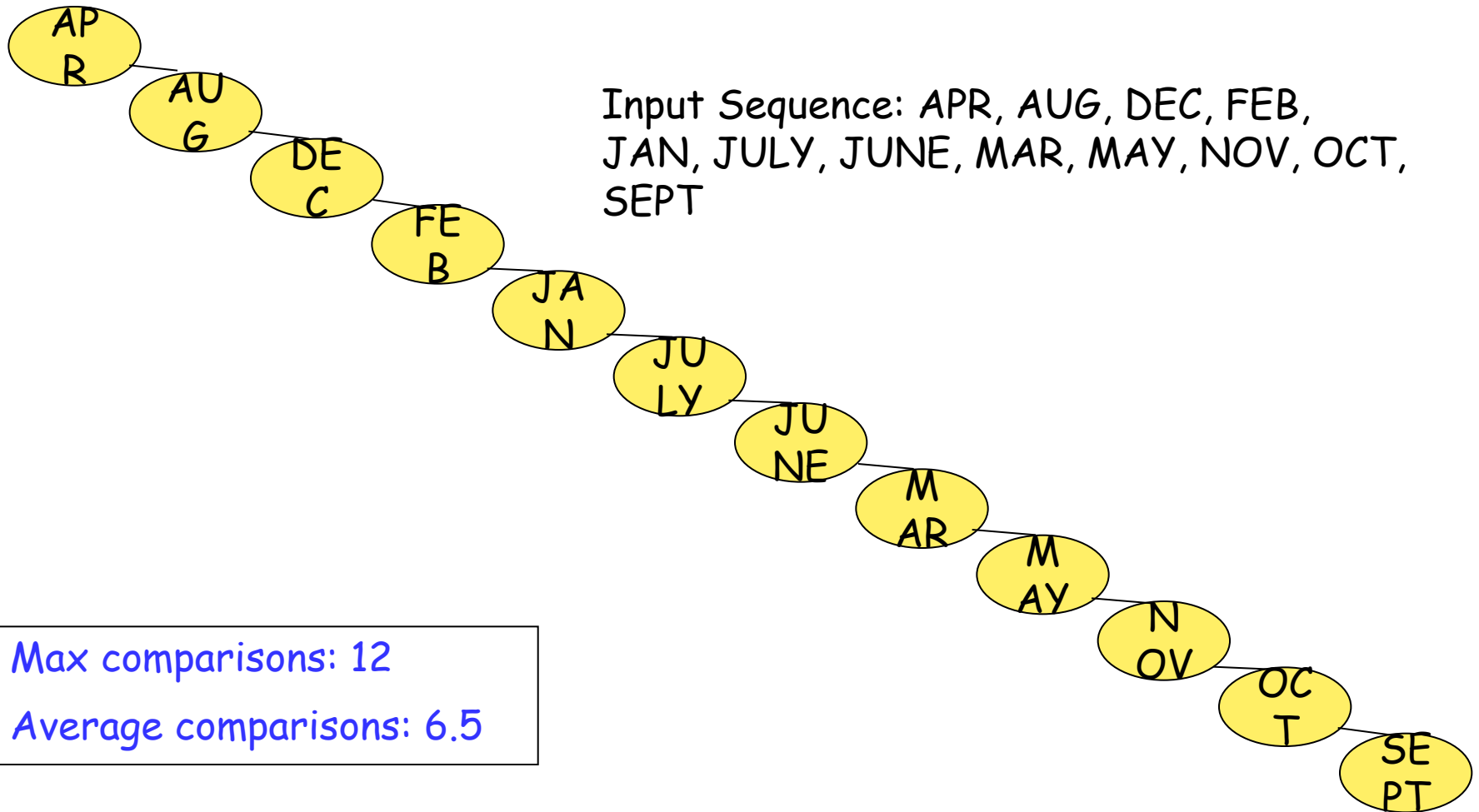
Average comparisons: 3.5

# A Balanced Binary Search Tree For The Months of The Year

Input Sequence: JULY, FEB, MAY, AUG, DEC, MAR, OCT, APR, JAN,  
JUNE, SEPT, NOV



# Degenerate Binary Search Tree



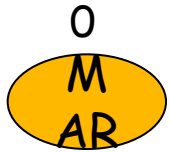
# Minimize The Search Time of Binary Search Tree In Dynamic Situation

- From the above three examples, we know that the average and maximum search time will be minimized if the binary search tree is maintained as a complete binary search tree at all times.
- However, to achieve this in a dynamic situation, we have to pay a high price to restructure the tree to be a complete binary tree all the time.
- In 1962, Adelson-Velskii and Landis introduced a binary tree structure that is balanced with respect to the heights of subtrees. As a result of the balanced nature of this type of tree, dynamic retrievals can be performed in  $O(\log n)$  time if the tree has  $n$  nodes. The resulting tree remains height-balanced. This is called an AVL tree.

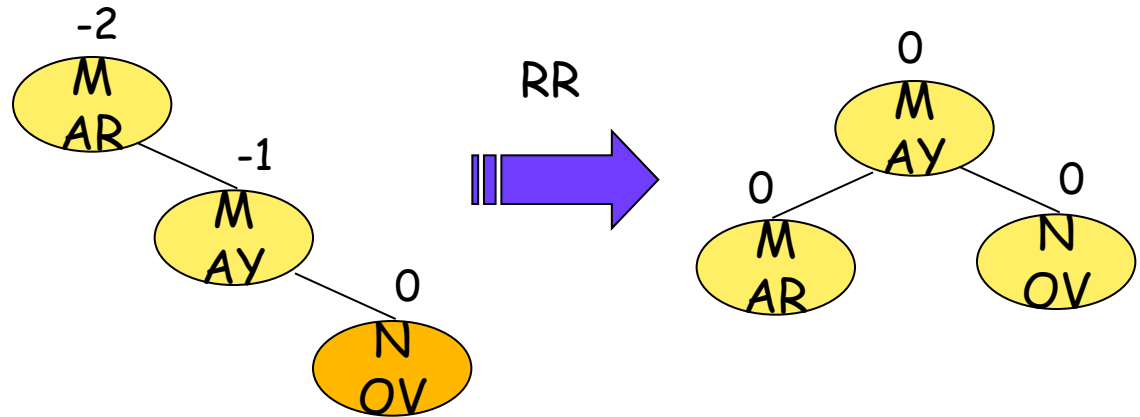
# AVL Tree

- Definition: An empty tree is height-balanced. If  $T$  is a nonempty binary tree with  $T_L$  and  $T_R$  as its left and right subtrees respectively, then  $T$  is height-balanced iff
  - (1)  $T_L$  and  $T_R$  are height-balanced, and
  - (2)  $|h_L - h_R| \leq 1$  where  $h_L$  and  $h_R$  are the heights of  $T_L$  and  $T_R$ , respectively.
- Definition: The Balance factor,  $BF(T)$ , of a node  $T$  is a binary tree is defined to be  $h_L - h_R$ , where  $h_L$  and  $h_R$ , respectively, are the heights of left and right subtrees of  $T$ . For any node  $T$  in an AVL tree,  $BF(T) = -1, 0$ , or  $1$ .

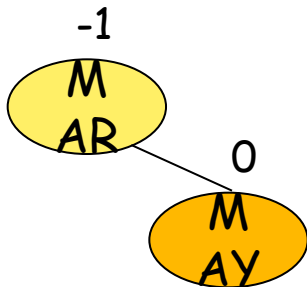
# Balanced Trees Obtained for The Months of The Year



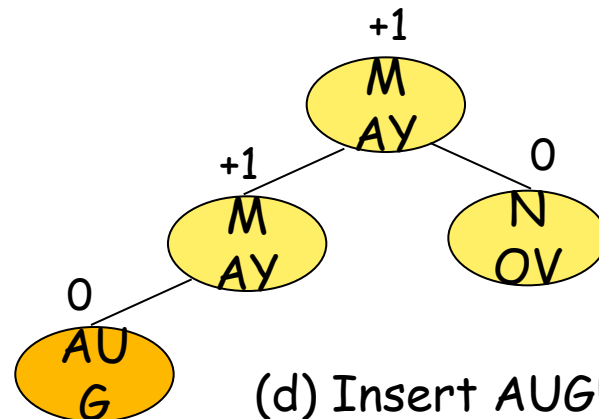
(a) Insert MARCH



(c) Insert NOVEMBER

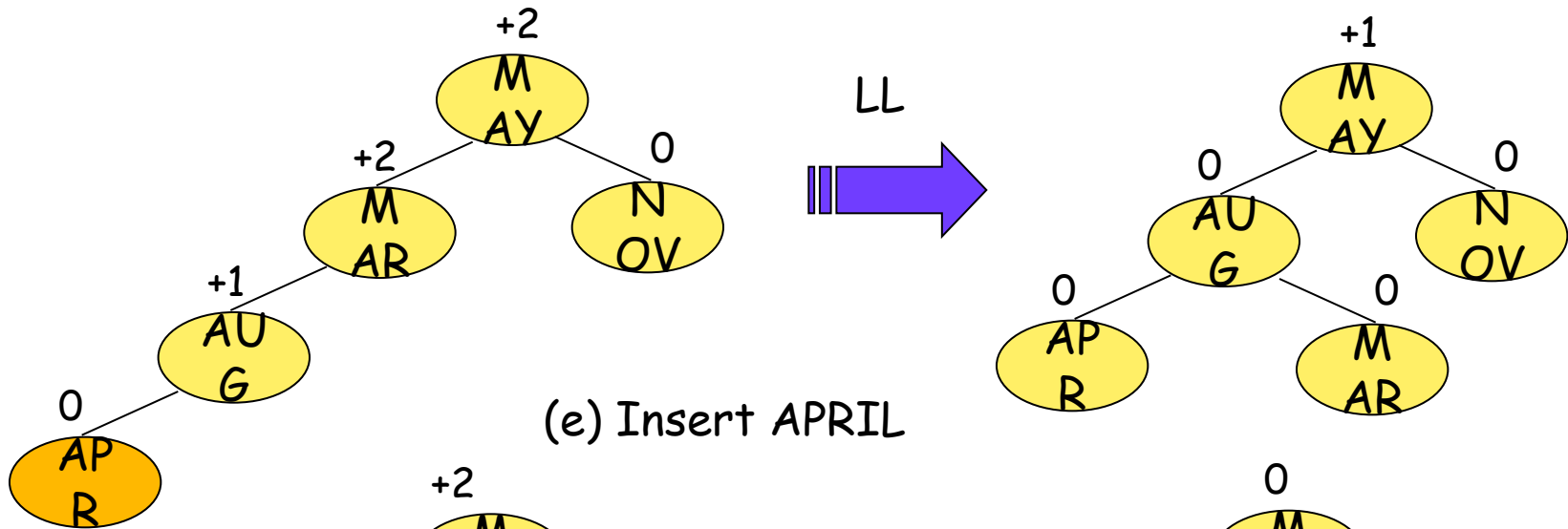


(b) Insert MAY

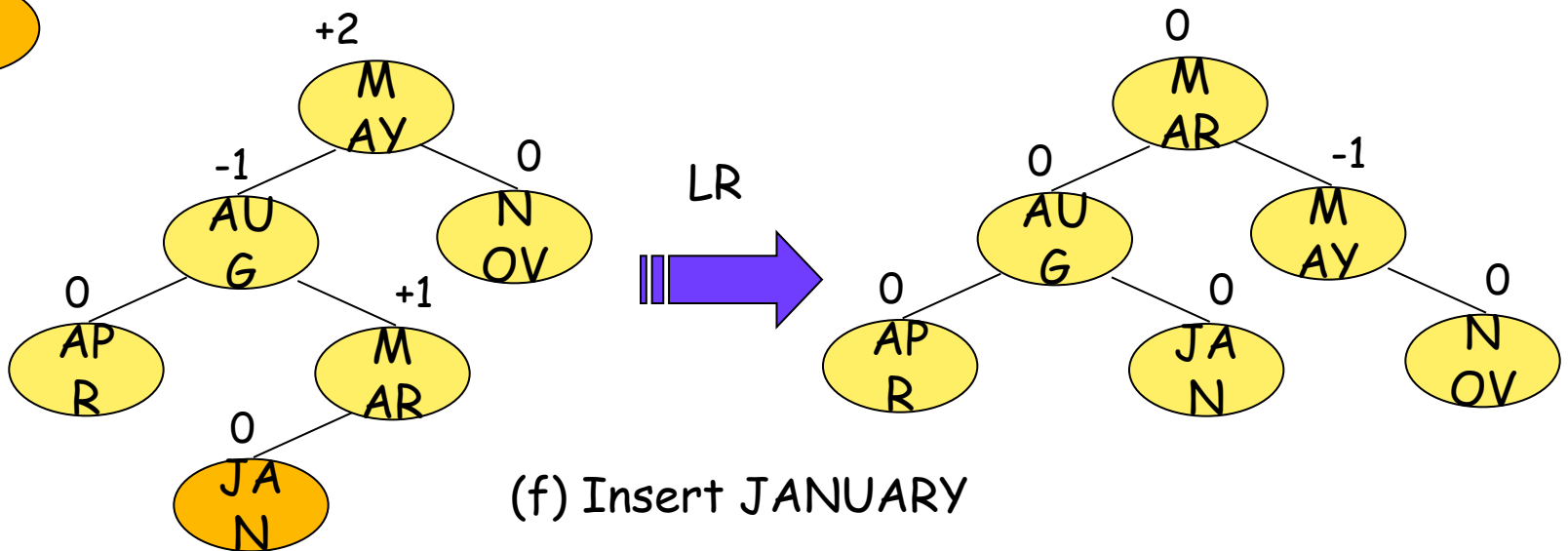


(d) Insert AUGUST

# Balanced Trees Obtained For The Months of The Year (Cont.)



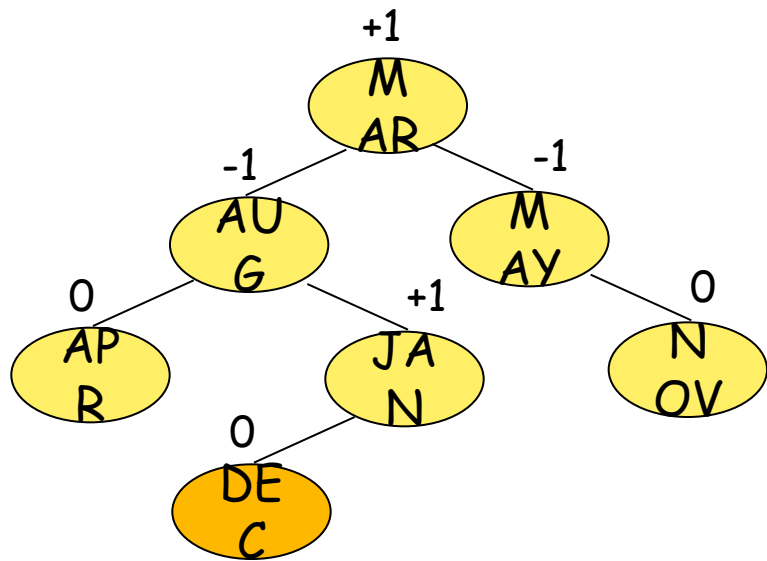
(e) Insert APRIL



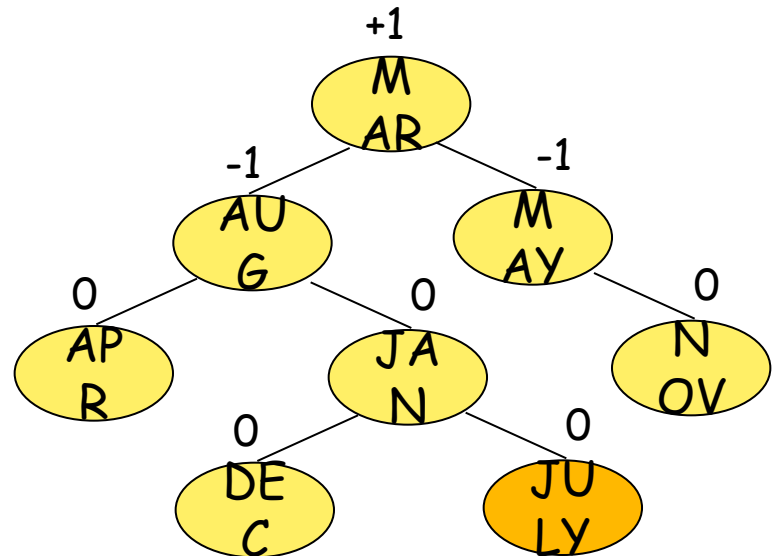
(f) Insert JANUARY



# Balanced Trees Obtained For The Months of The Year (Cont.)

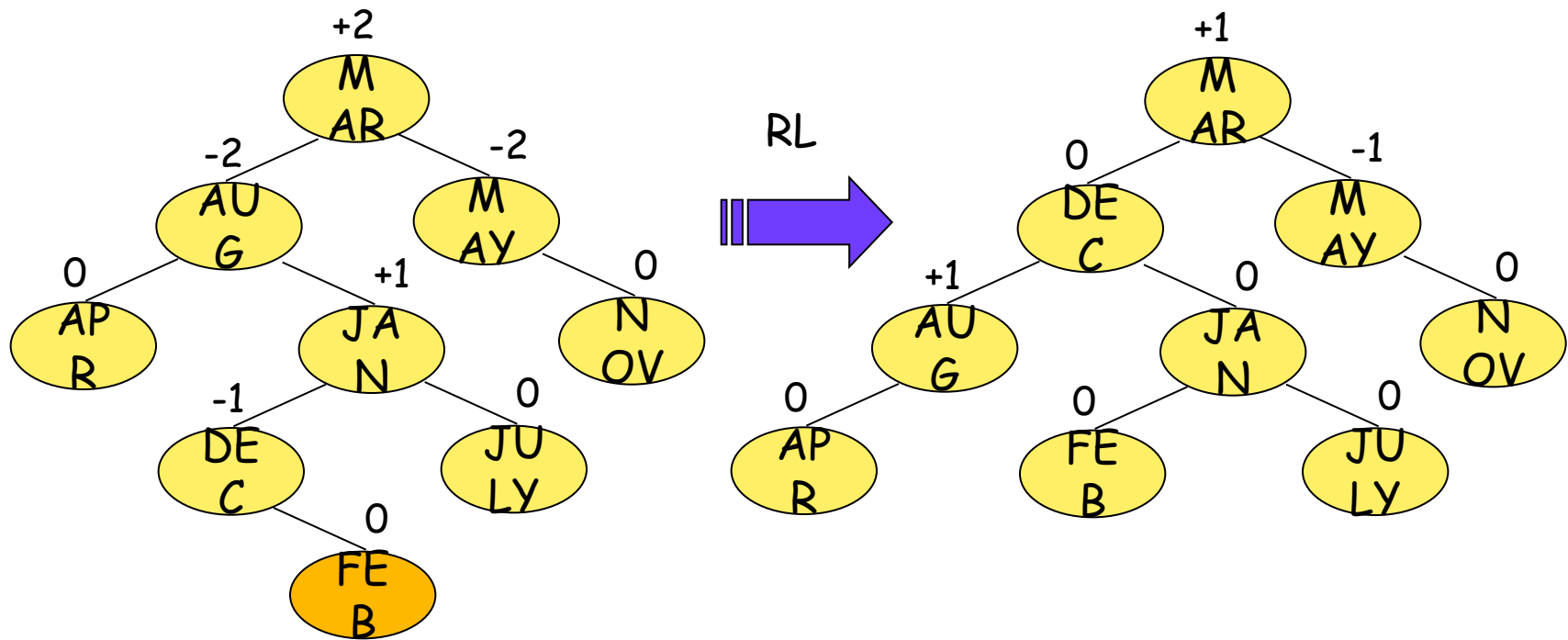


(g) Insert DECEMBER



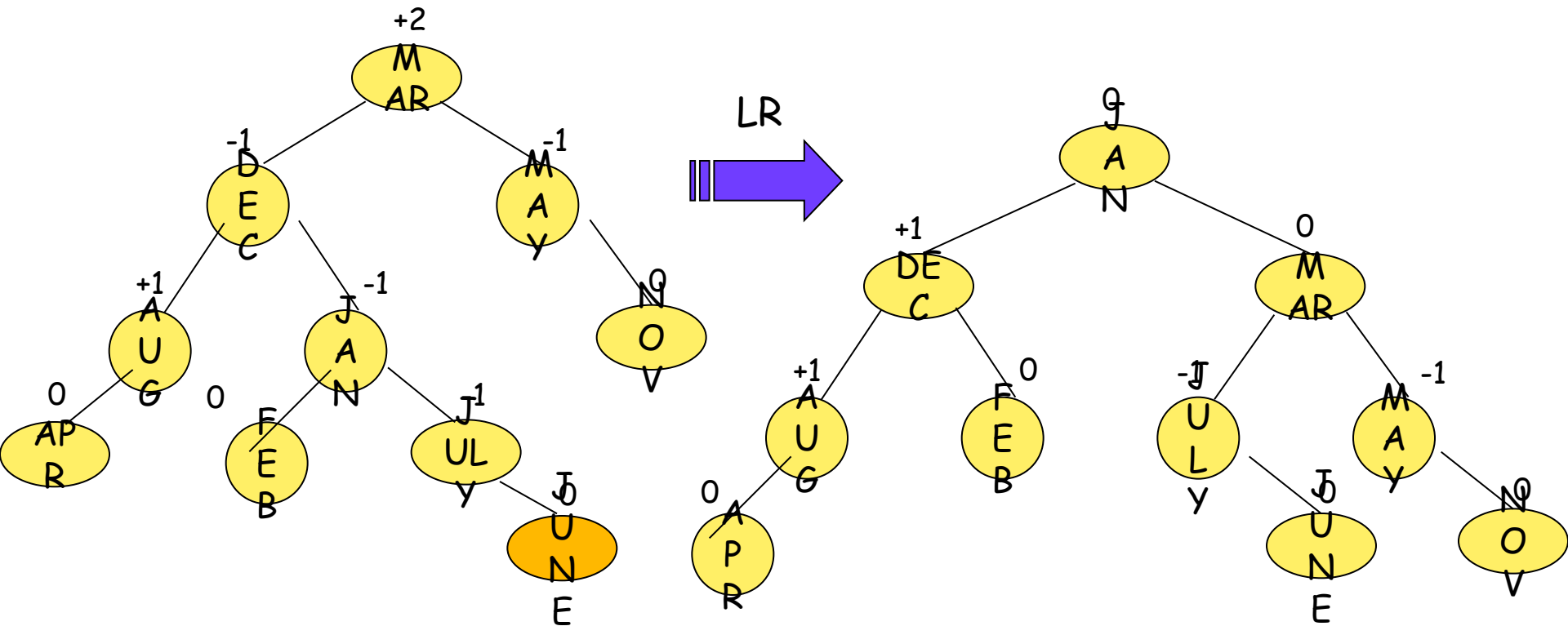
(h) Insert JULY

# Balanced Trees Obtained For The Months of The Year (Cont.)



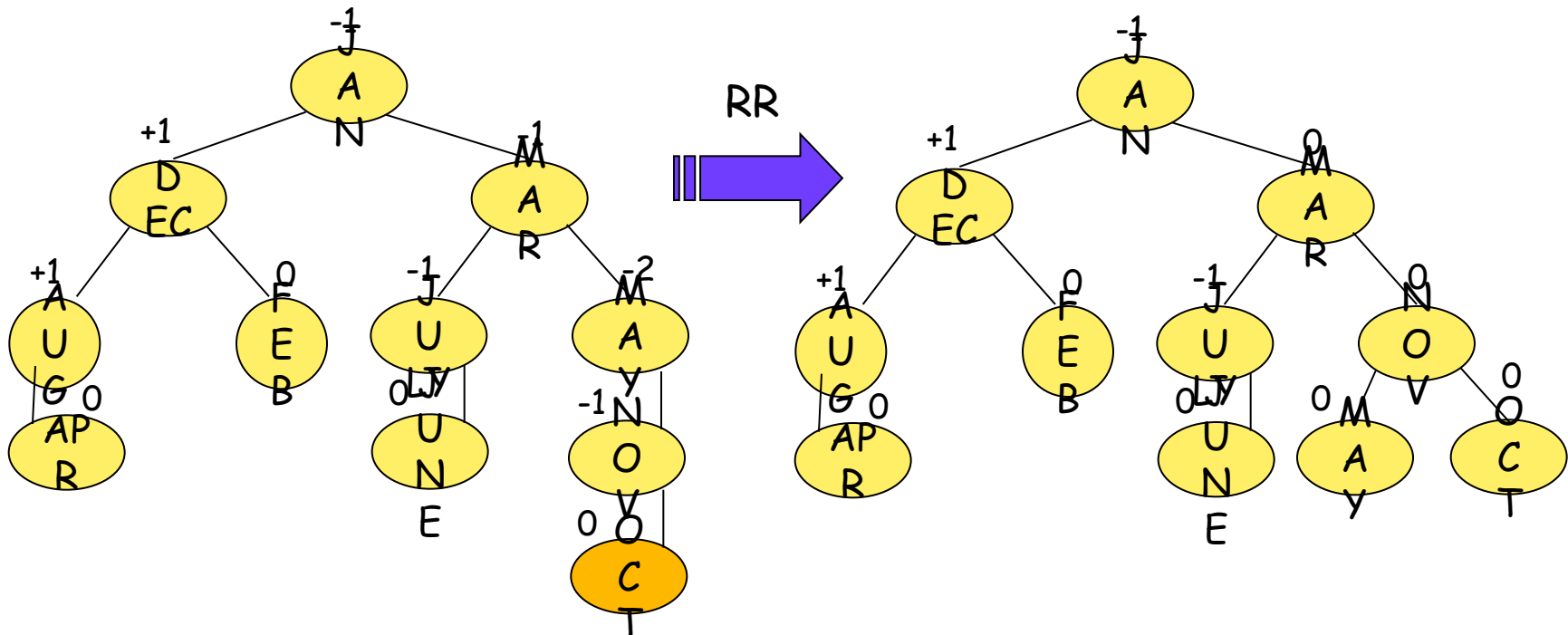
(i) Insert FEBRUARY

# Balanced Trees Obtained For The Months of The Year (Cont.)



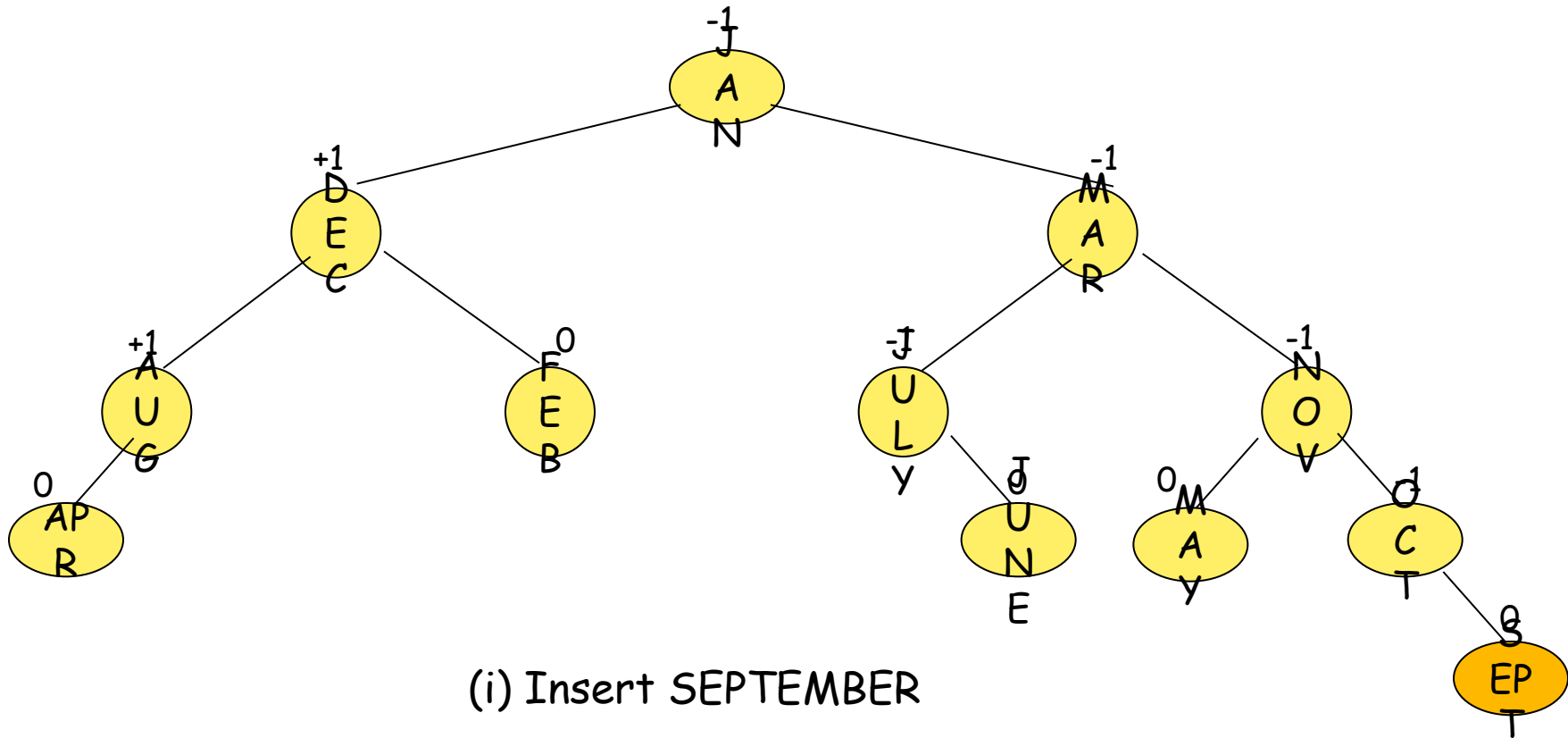
(j) Insert JUNE

# Balanced Trees Obtained For The Months of The Year (Cont.)



(k) Insert OCTOBER

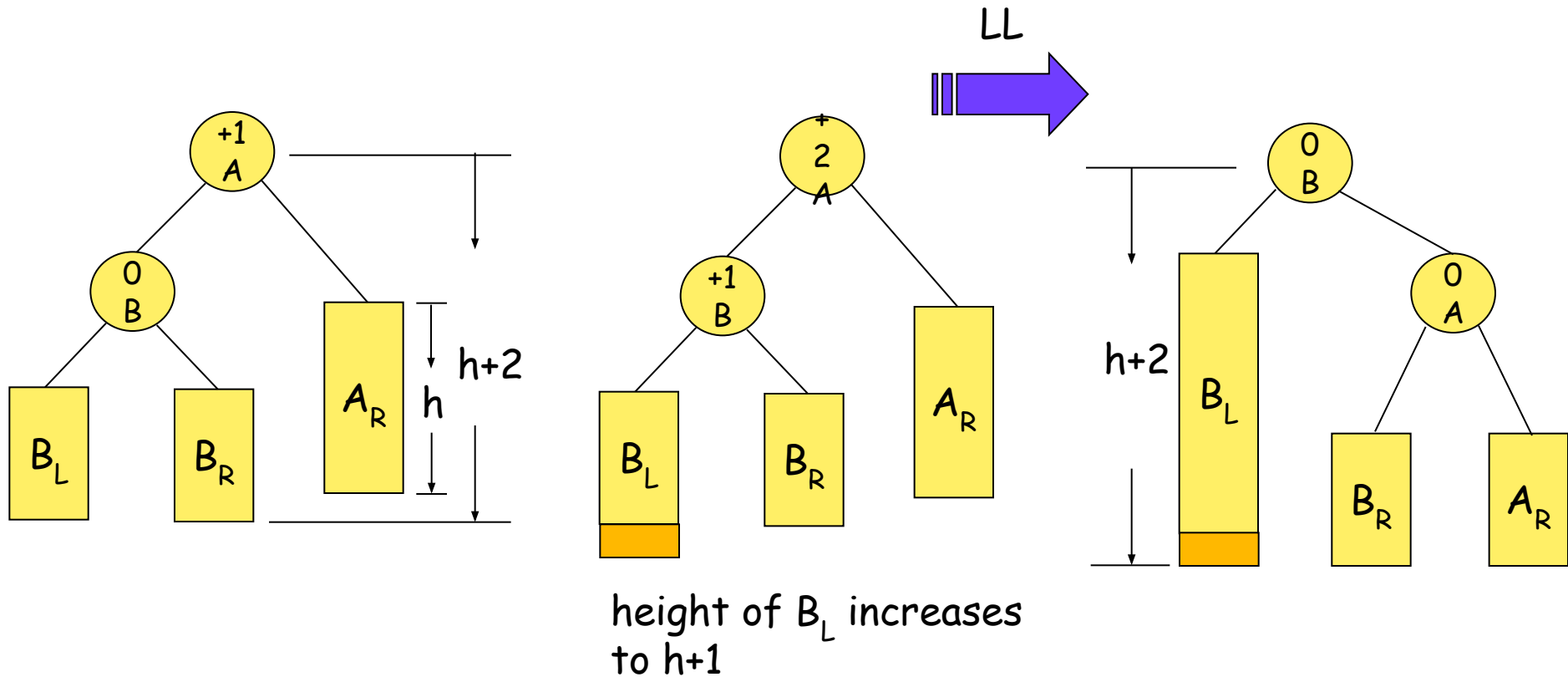
# Balanced Trees Obtained For The Months of The Year (Cont.)



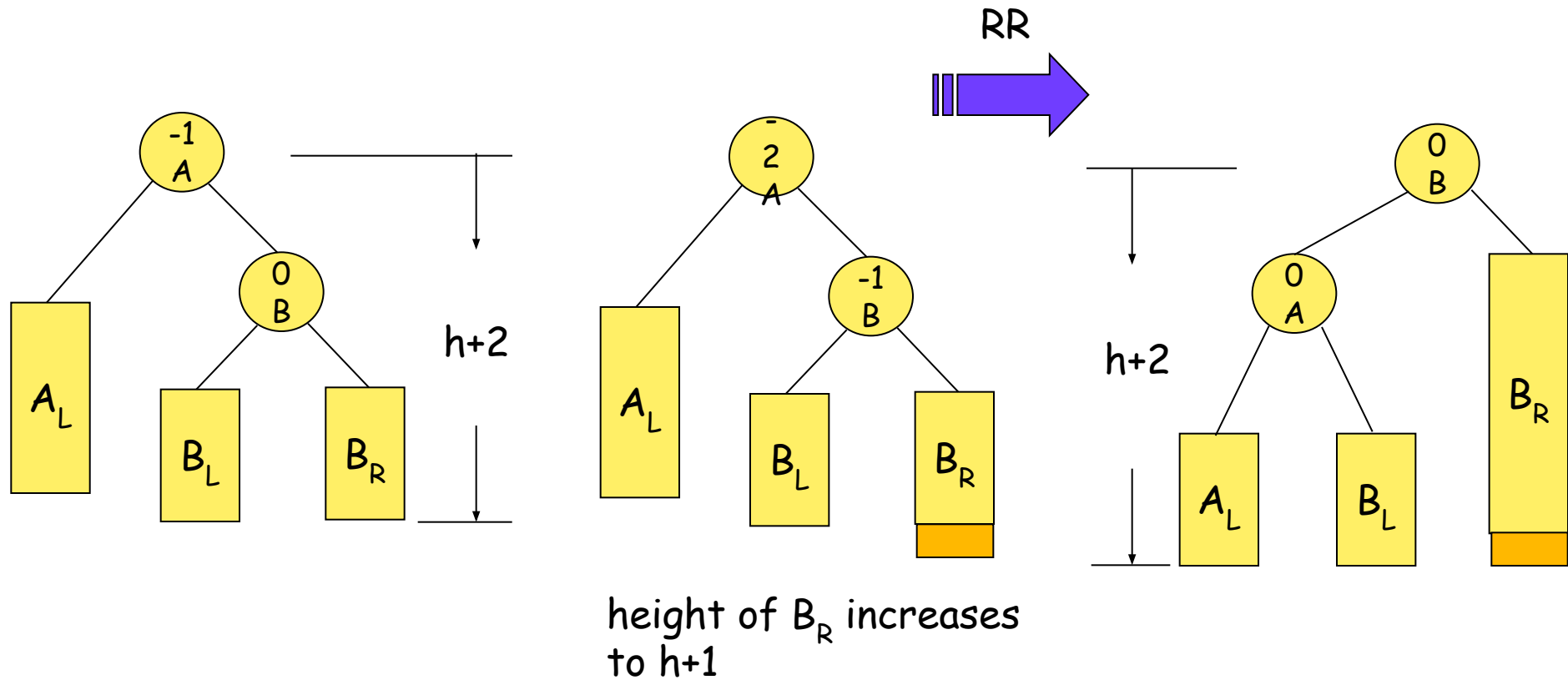
# Rebalancing Rotation of Binary Search Tree

- LL: new node  $Y$  is inserted in the left subtree of the left subtree of  $A$
- LR:  $Y$  is inserted in the right subtree of the left subtree of  $A$
- RR:  $Y$  is inserted in the right subtree of the right subtree of  $A$
- RL:  $Y$  is inserted in the left subtree of the right subtree of  $A$ .
- If a height-balanced binary tree becomes unbalanced as a result of an insertion, then these are the only four cases possible for rebalancing.

# Rebalancing Rotation LL

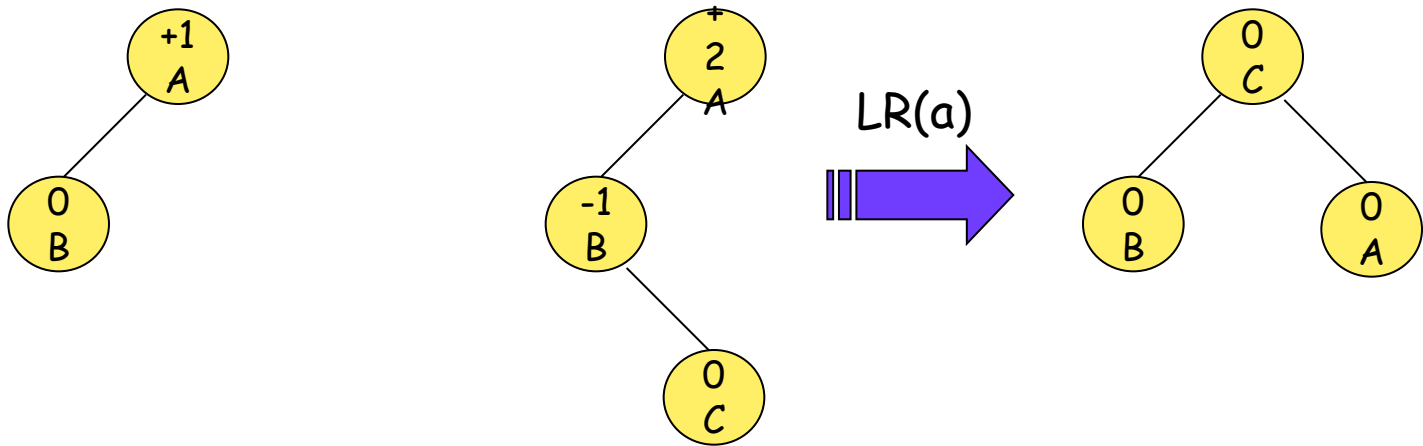


# Rebalancing Rotation RR

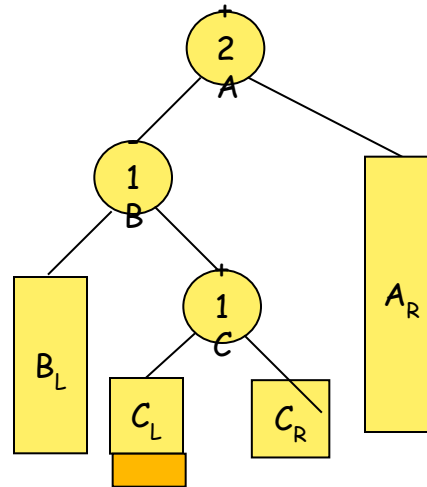
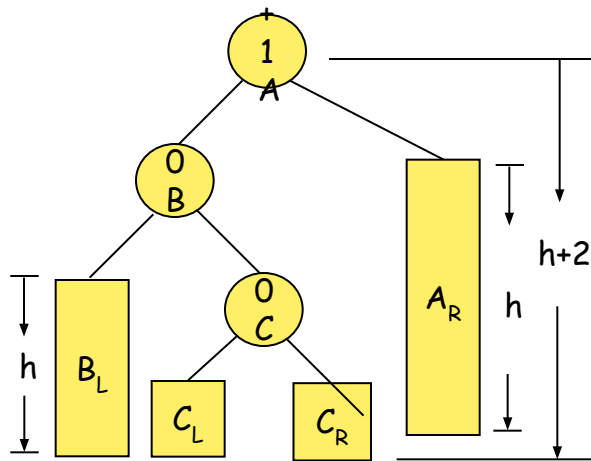




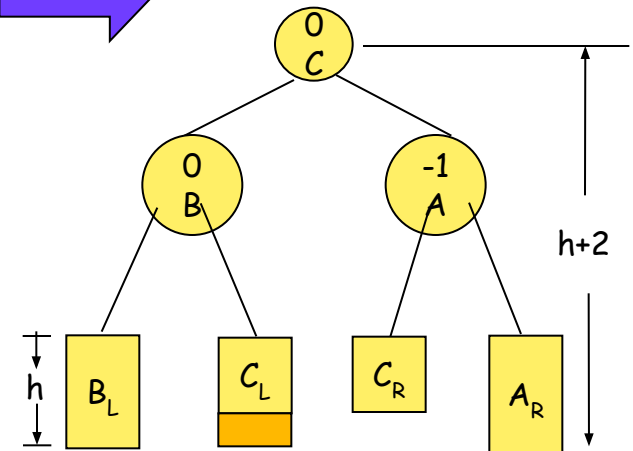
# Rebalancing Rotation LR(a)



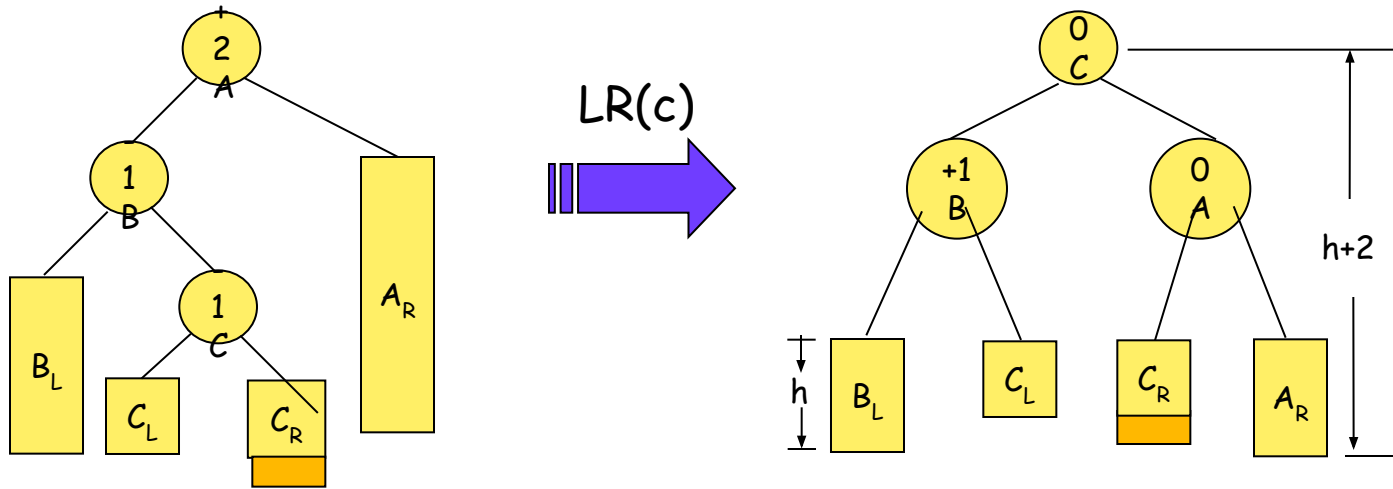
# Rebalancing Rotation LR(b)



LR(b)



# Rebalancing Rotation LR(c)



# AVL Trees (Cont.)

- Once rebalancing has been carried out on the subtree in question, examining the remaining tree is unnecessary.
- To perform insertion, binary search tree with  $n$  nodes could have  $O(n)$  in worst case. But for AVL, the insertion time is  $O(\log n)$ .