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(Autonomous Institute, Affiliated to VTU)  
Department of Computer Science and Engineering

**Subject Name: Data Communication & Networking**

**Subject Code: CS44**

**Credits: 4:0:0**

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# Unit 1 - Application Layer Syllabus

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**Application Layer:** The Web and HTTP: Overview of HTTP, Non-Persistent and Persistent Connections, HTTP Message Format, User-Server Interaction-Cookies, Web Caching, The Conditional GET. File Transfer- FTP: FTP Commands and Replies, Electronic Mail in the Internet: SMTP, Comparison with HTTP, Mail Access Protocols. DNS—The Internet's Directory Service: Services Provided by DNS, Overview of How DNS Works, DNS Records and Messages, Peer-to Peer Applications: P2P File Distribution

# Application-Layer Protocols

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- The types of msg – request, response

syntax

- semantics
- Rules

# The Web and HTTP

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HTTP – web's application layer protocol

HTTP implemented as client and server program.

Web page – Base html, objects

Object is referred by URL

URL – hostname of server and object pathname

`http://www.someSchool.edu/someDepartment/picture.gif`

# HTTP

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Web browser – client side of HTTP ex:- IE, chrome, firefox

Web Server – server side of HTTP ex:- Apache and Microsoft Internet Information Server.

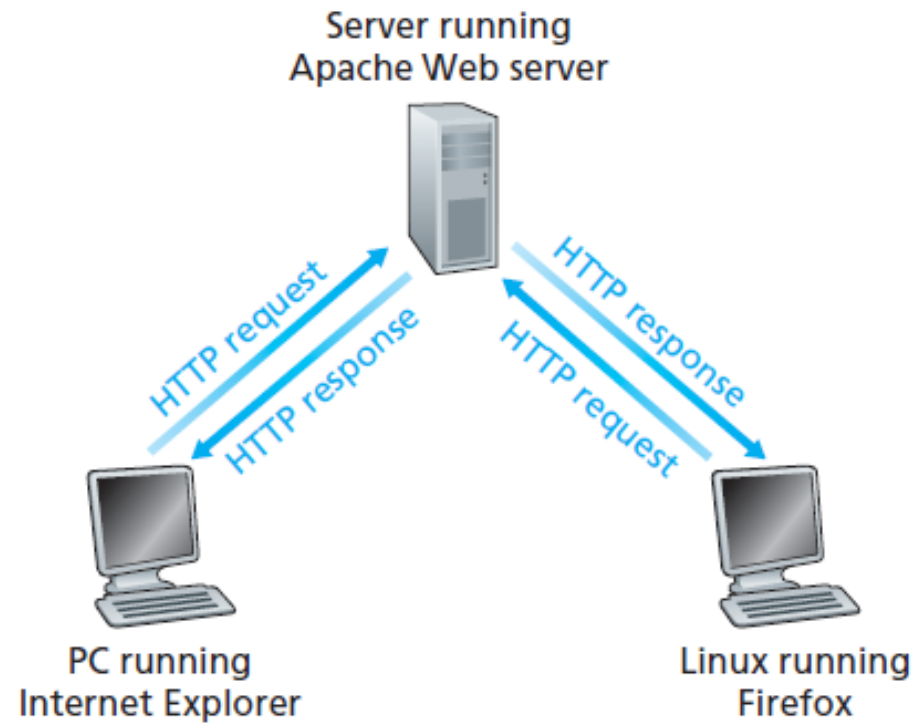
Client sends HTTP request message, Server sends HTTP response message

HTTP uses TCP and establishes the connection

Stateless protocol

# HTTP

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**Figure 2.6 ♦** HTTP request-response behavior

# Types of TCP Connections used by HTTP

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Non-Persistent Connection – Separate TCP connection is used for each request/response pair

Persistent Connection – Same TCP connection is used for each request/response pair

# HTTP with Non-Persistent Connections

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To download one base html web page and 10 objects totally 11 TCP connections are made.

TCP connections can be serial or parallel.

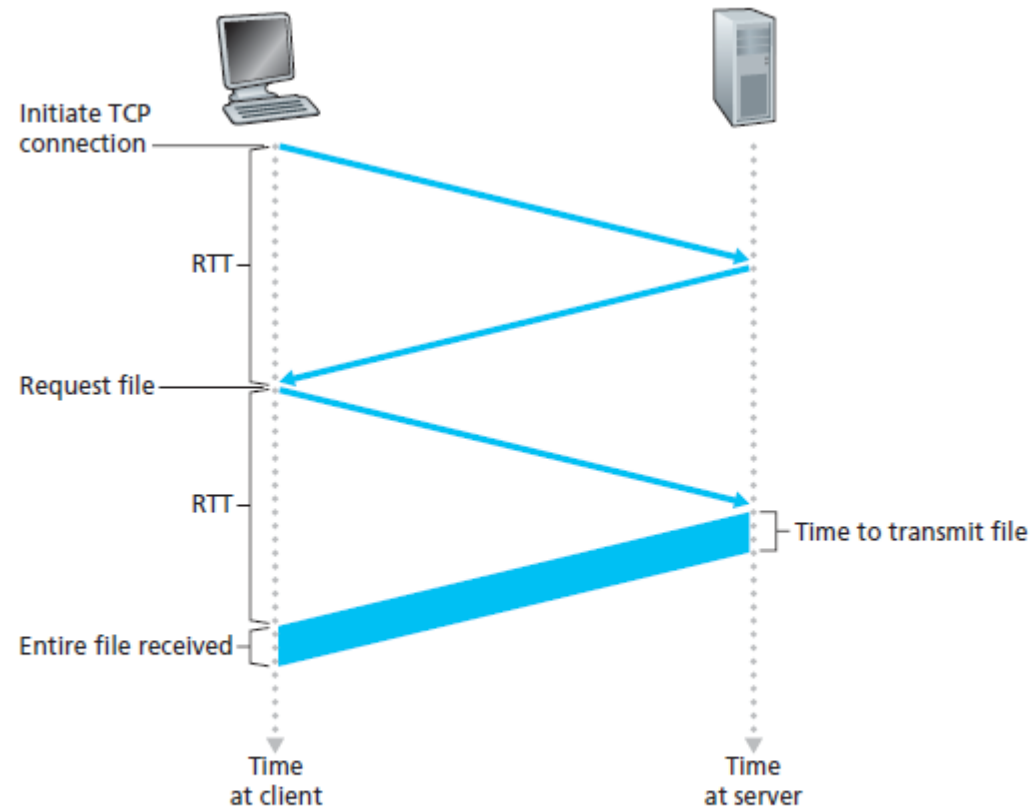
RTT - packet-propagation delay + packet queuing delays + packet-processing delays.

Total response time is 2 RTT + transmission time of the HTML file for each TCP connection

For each connection TCP buffers and variables should be allocated



# Time estimation



**Figure 2.7** ♦ Back-of-the-envelope calculation for the time needed to request and receive an HTML file

# HTTP with Persistent Connections

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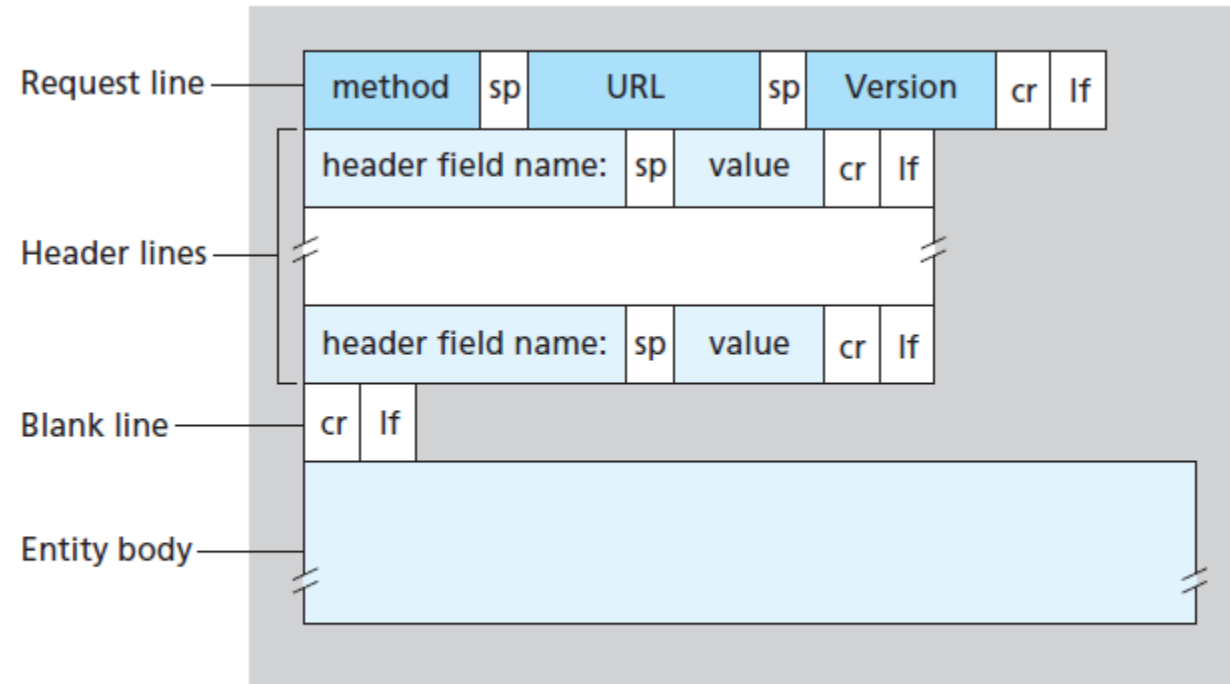
Only one TCP connection is created

All 11 objects can be sent over the same connection

Requests can be pipelined ( sent back to back)

Default mode of HTTP

# HTTP Message Format

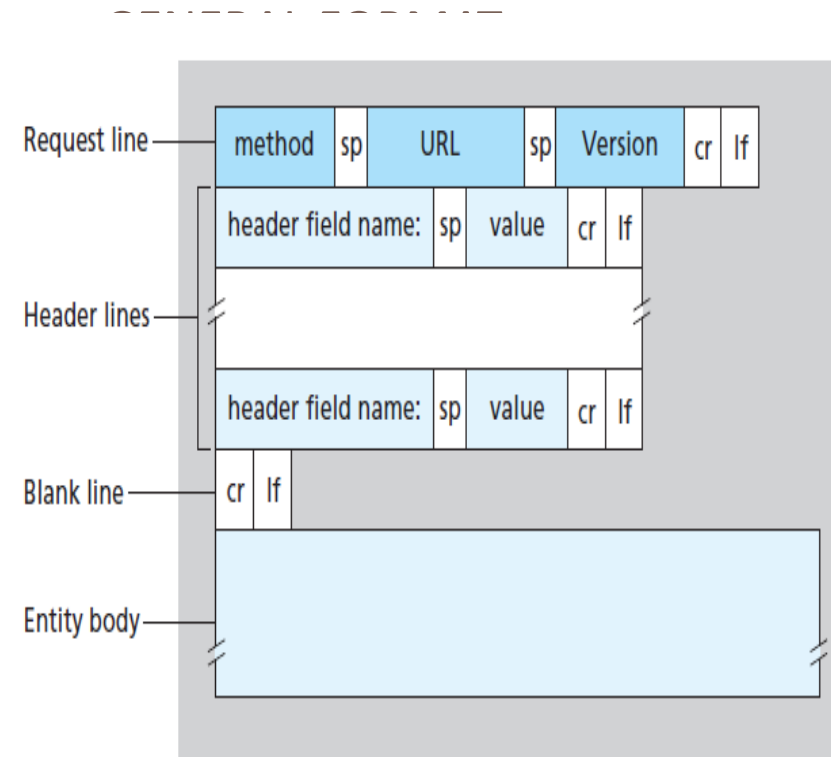


**Figure 2.8** ♦ General format of an HTTP request message

# HTTP Message Format

## EXAMPLE

```
GET /somedir/page.html HTTP/1.1
Host: www.someschool.edu
Connection: close
User-agent: Mozilla/4.0
Accept-language: fr
```



**Figure 2.8** ♦ General format of an HTTP request message

# Method Field

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GET – user requests an object, Can also use extended URLs like [www.somesite.com/animalsearch?monkeys&bananas](http://www.somesite.com/animalsearch?monkeys&bananas)

POST – user has filled a form or given search words to a search engine. The entity body contains the values entered.

HEAD – Returns only the response with the object in it. Used for debugging

PUT – Used to upload object onto the web server.

DELETE – Allows user to delete object on the web server.

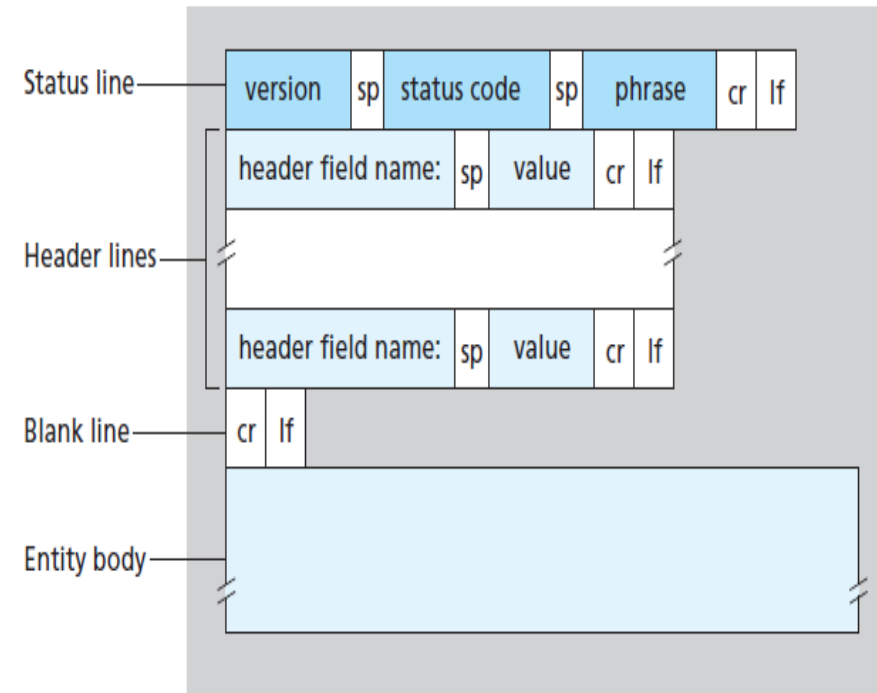
# HTTP Response Message

## EXAMPLE

```
HTTP/1.1 200 OK
Connection: close
Date: Sat, 07 Jul 2007 12:00:15 GMT
Server: Apache/1.3.0 (Unix)
Last-Modified: Sun, 6 May 2007 09:23:24 GMT
Content-Length: 6821
Content-Type: text/html

(data data data data data ...)
```

## GENERAL FORMAT



**Figure 2.9** ♦ General format of an HTTP response message

# Status Code and Phrases

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200 OK

301 Moved Permanently – the new URL will be in Location header field.

400 Bad Request – Request could not be understood i.e bad syntax

404 Not Found

505 HTTP Version Not Supported

# User-Server Interaction: Cookies

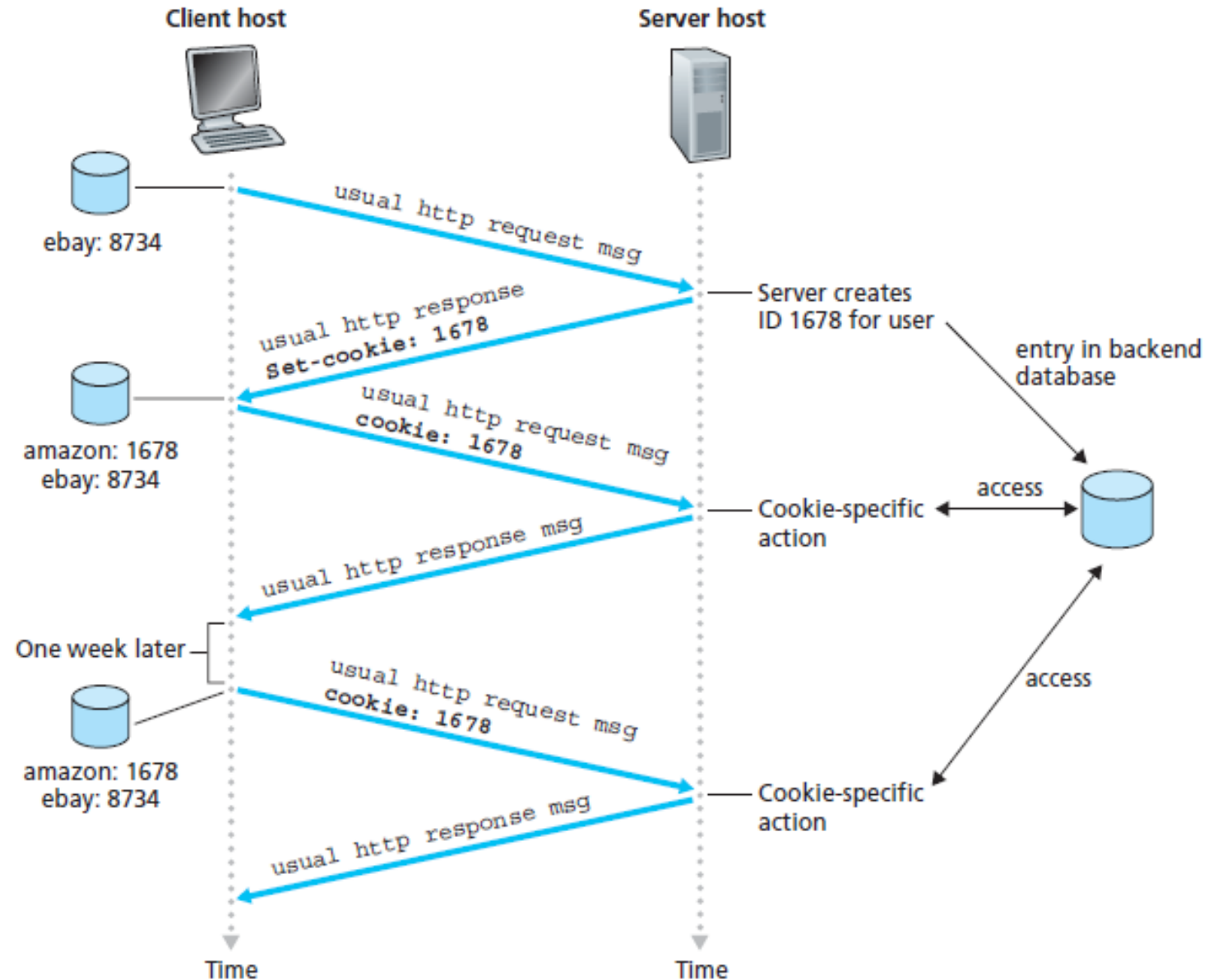
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Four components:

- (1) a cookie header line in the HTTP response message;
- (2) a cookie header line in the HTTP request message;
- (3) a cookie file kept on the user's end system and managed by the user's browser;
- (4) a back-end database at the Web site.



# Cookies



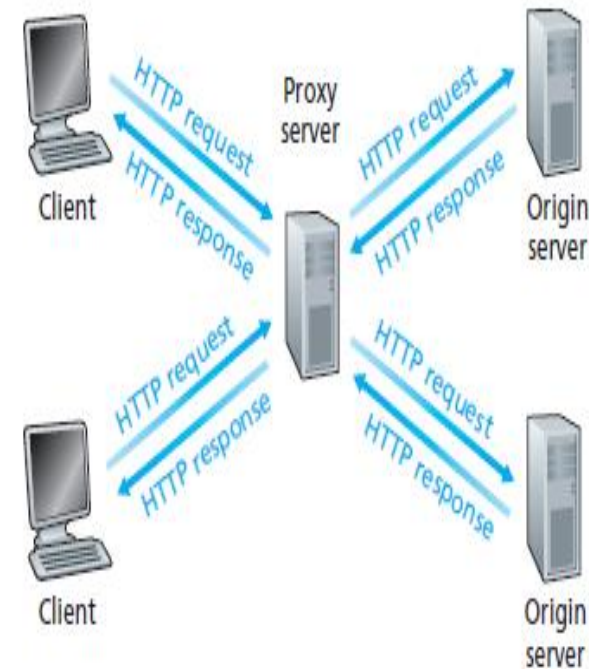
# Web Caching

Web cache also called proxy server

Provided by ISP, campus networks etc.

Can reduce bottleneck at the origin server.

Reduces traffic on institution's access link  
thereby reducing the bandwidth required



**Figure 2.11** ♦ Clients requesting objects through a Web cache

# Example network

15 requests per second

Object size is 1Mbps

Internet delay is 2 seconds

Traffic intensity on LAN

Traffic intensity on access link

$$(15 \text{ requests/sec}) \cdot (1 \text{ Mbits/request}) / (100 \text{ Mbps}) = 0.15$$

$$(15 \text{ requests/sec}) \cdot (1 \text{ Mbits/request}) / (15 \text{ Mbps}) = 1$$

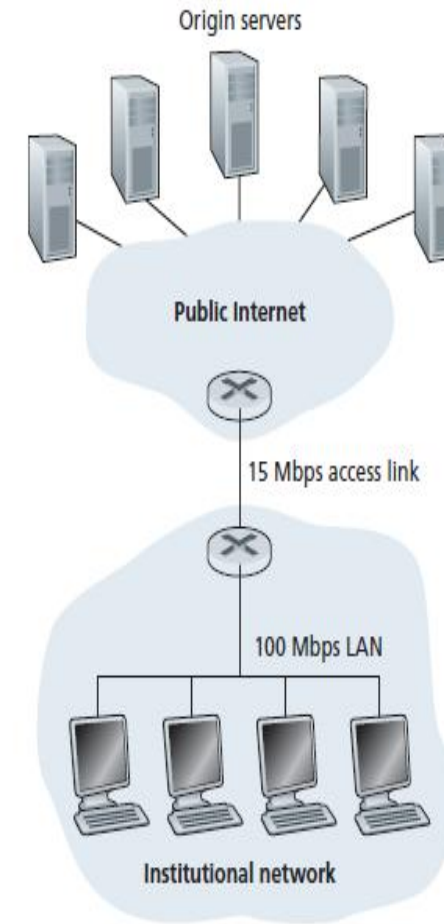


Figure 2.12 ♦ Bottleneck between an institutional network and the Internet

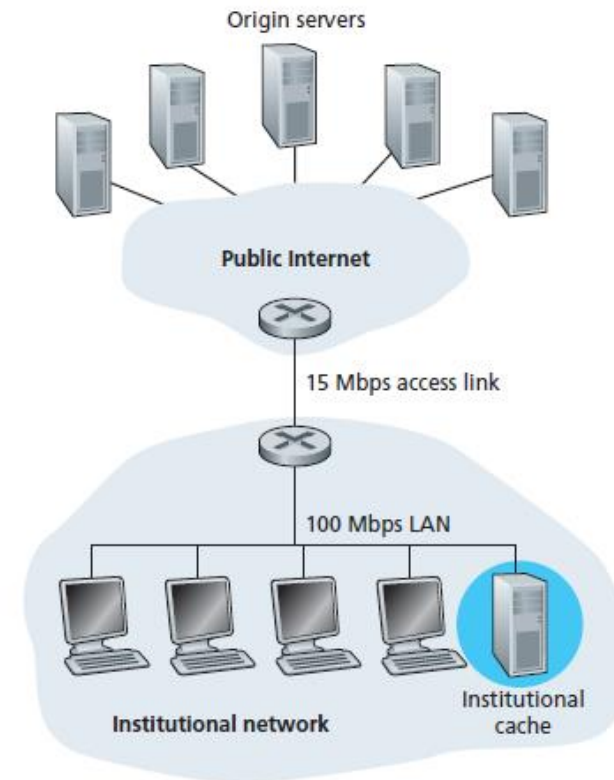
# Using proxy server

Suppose hit rate is 0.4

$$0.4 \cdot (0.01 \text{ seconds}) + 0.6 \cdot (2.01 \text{ seconds})$$

which is 1.2 seconds

Better solution compared to upgrading access link to 100Mbps.



**Figure 2.13** ♦ Adding a cache to the institutional network

# The conditional GET

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## FIRST REQUEST /REPLY

### First Request

```
GET /fruit/kiwi.gif HTTP/1.1  
Host: www.exotiquecuisine.com
```

### First Response

```
HTTP/1.1 200 OK  
Date: Sat, 7 Jul 2007 15:39:29  
Server: Apache/1.3.0 (Unix)  
Last-Modified: Wed, 4 Jul 2007 09:23:24  
Content-Type: image/gif  
  
(data data data data data ...)
```

## SECOND REQUEST/REPLY

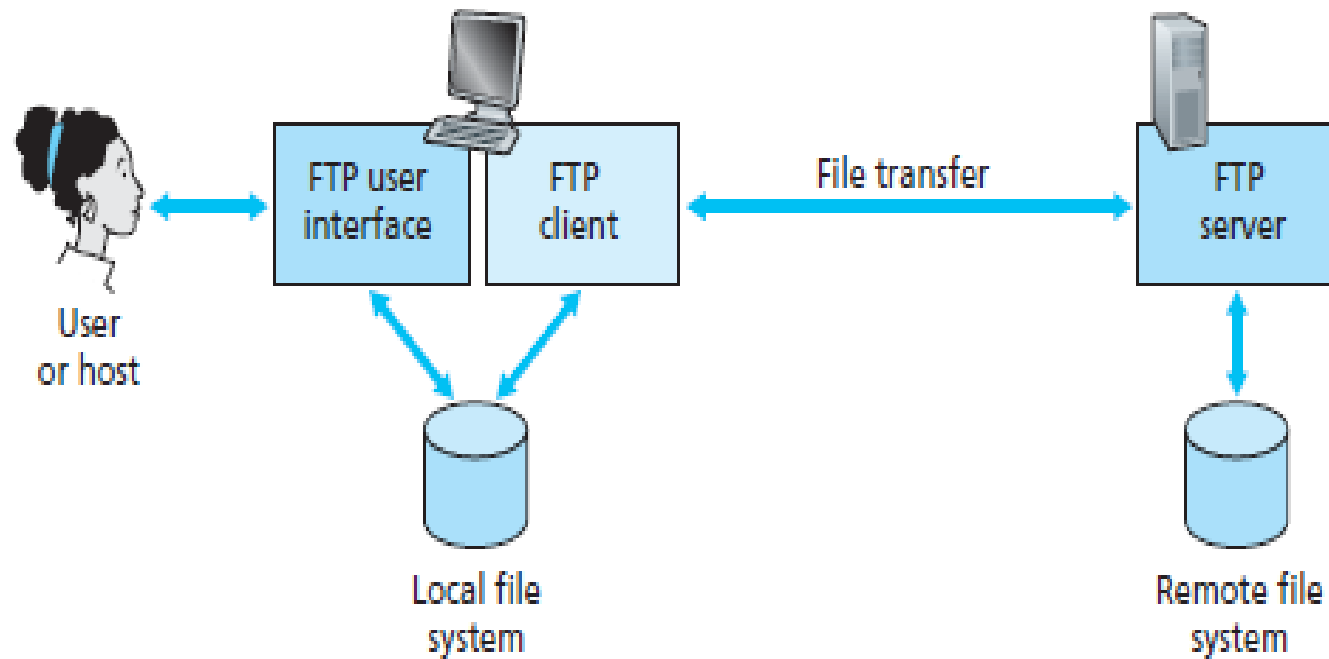
### Second Request

```
GET /fruit/kiwi.gif HTTP/1.1  
Host: www.exotiquecuisine.com  
  
If-modified-since: Wed, 4 Jul 2007 09:23:24
```

### Second Response

```
HTTP/1.1 304 Not Modified  
Date: Sat, 14 Jul 2007 15:39:29  
Server: Apache/1.3.0 (Unix)
```

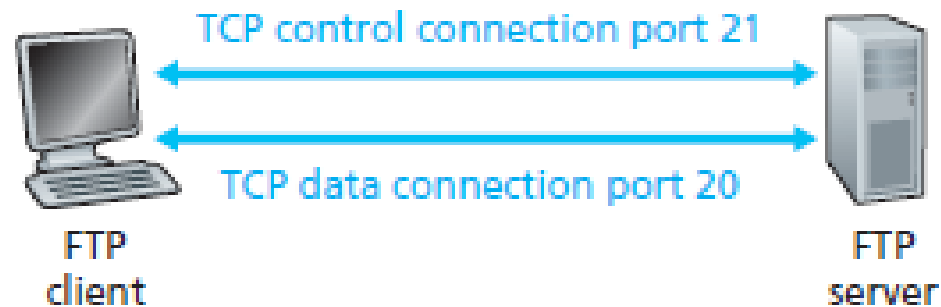
# FTP



**Figure 2.14** ♦ FTP moves files between local and remote file systems

# TCP connections of FTP

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- User id, password
- 2 parallel TCP connections viz.
- Control Connection – open always, sends id, password, put, get commands
- Data Connection – closed after each file is sent
- Out of band protocol

# FTP

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HTTP – inband protocol

Stateful protocol

Both data and control information sent in 7 bit ASCII format.



# FTP commands and Replies

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## FTP COMMANDS

USER username

PASS password

LIST

RETR filename

STOR filename

## FTP REPLIES

- 331 Username OK, password required
- 125 Data connection already open; transfer starting
- 425 Can't open data connection
- 452 Error writing file

# Comparison of HTTP & FTP

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## HTTP

Hyper Text Transfer Protocol

Used by web client and web server to transfer web pages

In band protocol i.e both data & control information is sent on the same TCP connection

Data can be sent in any format

Stateless protocol – does not remember state information of previous sessions

## FTP

File Transfer Protocol

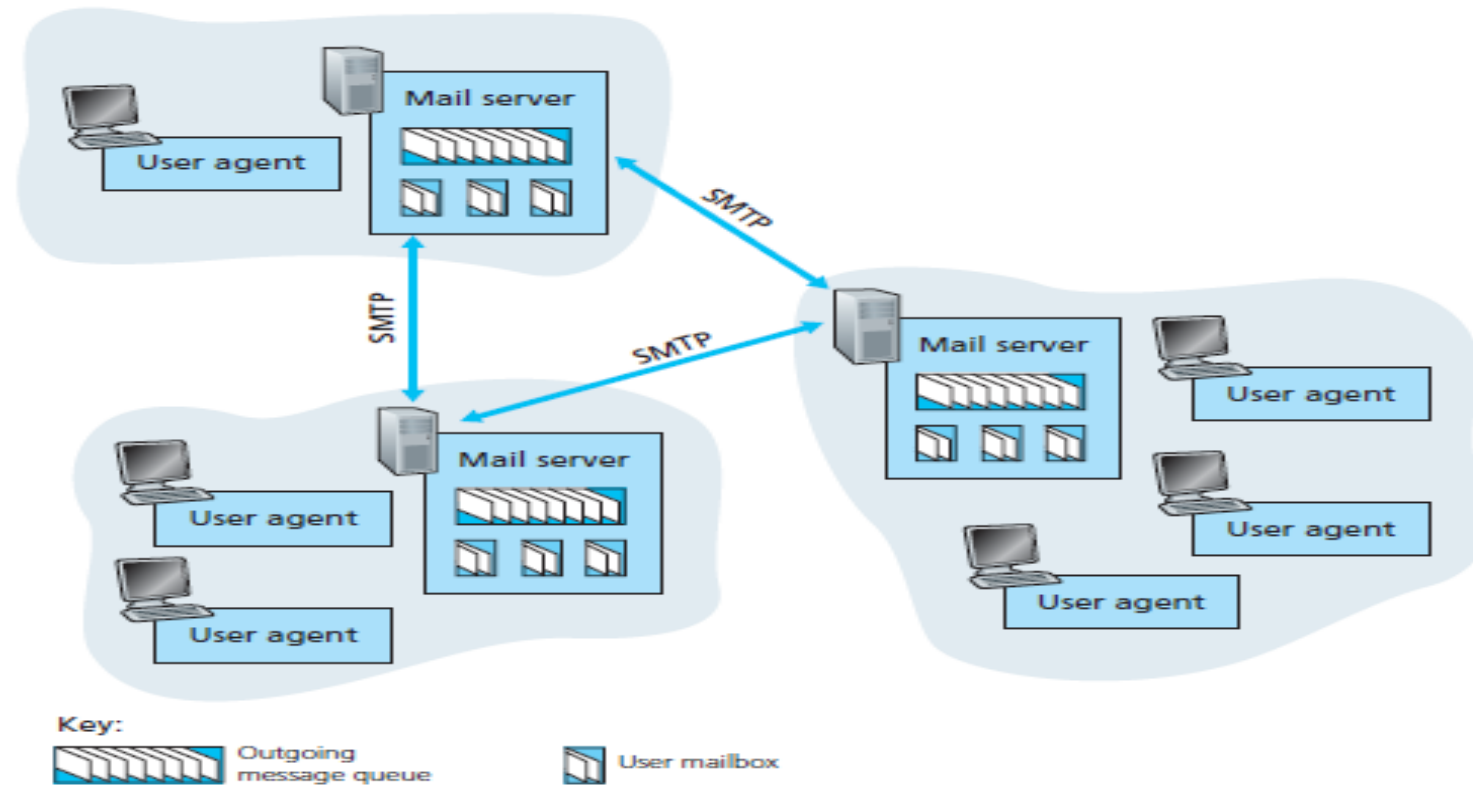
Used by FTP client and FTP server to transfer huge files

Out of band protocol i.e data & control information is sent on two different TCP connections

Data & control information is sent in 7 bit ASCII format.

Stateful protocol – maintains state information of previous sessions

# E- mail



**Figure 2.16** ♦ A high-level view of the Internet e-mail system

# E-mail

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3 major components – user agents, mail servers, SMTP

User agents (mail readers)

- GUI based user agents – microsoft outlook, apple mail, mozilla thunderbird
- Text based email – mail, pine, elm
- Web based interface – web browsers

Web email – 1996 Sabeer Bhatia developed hotmail sold to microsoft.

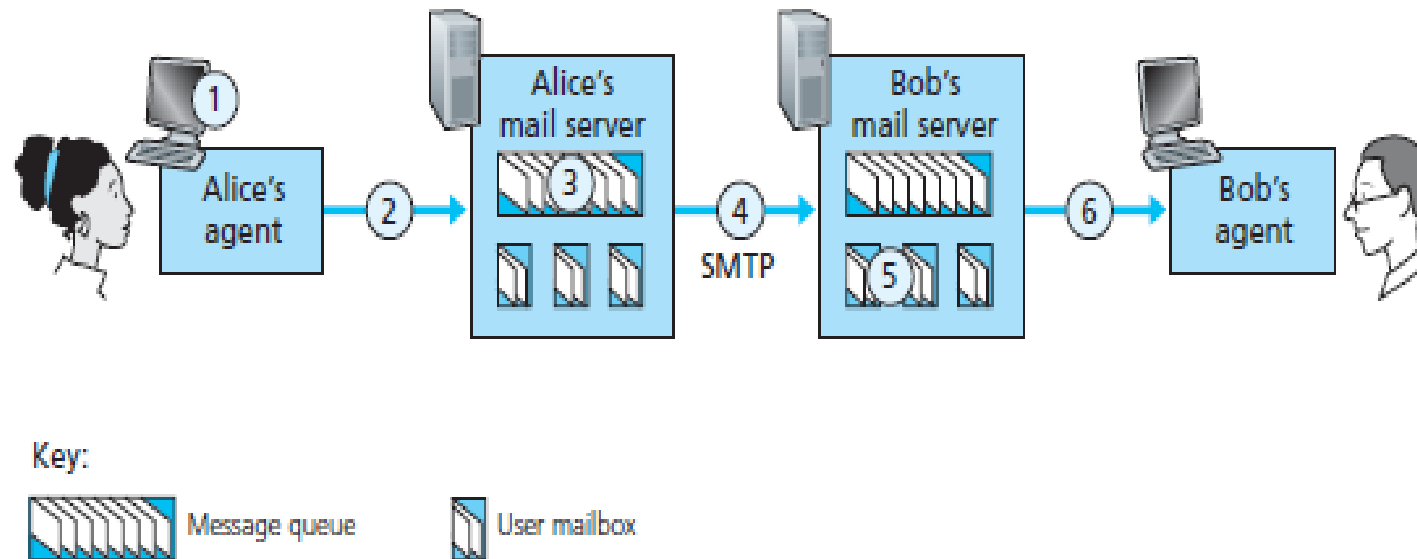
Mail servers – mailbox – queue (in case of delivery failure)

A's mail box – A's mail server – B's mail server – B's mailbox

# SMTP

SMTP client, SMTP server

Restricts the body also to be in 7 bit ASCII i.e multimedia is also encoded into 7 bit ASCII



**Figure 2.17** ♦ Alice sends a message to Bob

# SMTP

Does not use any intermediate mail server

Perform handshake

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Uses persistent TCP connections

```
S: 220 hamburger.edu
C: HELO crepes.fr
S: 250 Hello crepes.fr, pleased to meet you
C: MAIL FROM: <alice@crepes.fr>
S: 250 alice@crepes.fr ... Sender ok
C: RCPT TO: <bob@hamburger.edu>
S: 250 bob@hamburger.edu ... Recipient ok
C: DATA
S: 354 Enter mail, end with "." on a line by itself
C: Do you like ketchup?
C: How about pickles?
C: .
S: 250 Message accepted for delivery
C: QUIT
S: 221 hamburger.edu closing connection
```

# Comparison of HTTP and SMTP

## HTTP

Hyper Text Transfer Protocol

HTTP transfers files from a Web server to a Web client

Uses persistent TCP connections

**Pull protocol**- the TCP connection is initiated by the machine that wants to receive the file from the server.

Message can be in any format.

Each object is encapsulated in its own HTTP response message

## SMTP

Stands for Simple Text Transfer Protocol

SMTP transfers files from one mail server to another mail server or from sender's user agent to his mail server.

Uses persistent TCP connections

**Push protocol** - the TCP connection is initiated by the sending mail server to the receiving mail server

Message should be in 7 bit ASCII format only

All message's objects are placed into one message only.

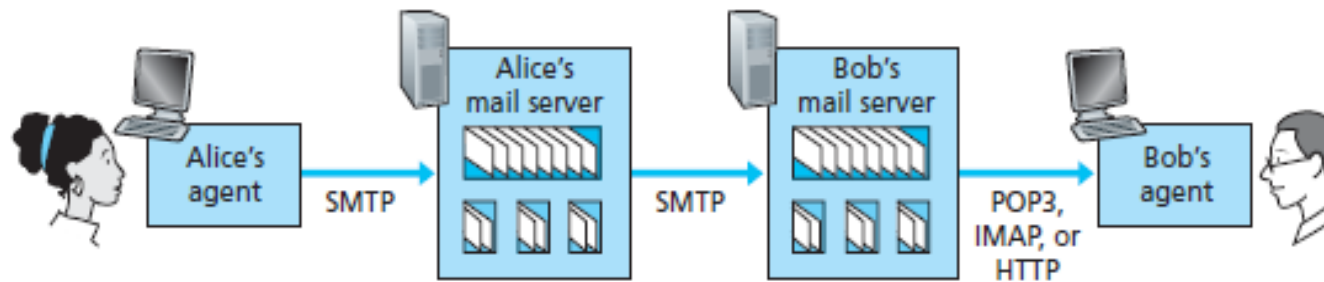
# E- mail

## E-mail message format

```
From: alice@crepes.fr  
To: bob@hamburger.edu  
Subject: Searching for the meaning of life.
```

## Mail Access Protocol

### - POP3 - Post Office Protocol – Version 3



**Figure 2.18** ♦ E-mail protocols and their communicating entities



# POP3

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## 3 phases

- Authorization phase – username, password
- Transaction phase
  - mark/unmark messages for deletion, obtain mail statistics.
  - Commands – List, retr, dele
  - responses +OK or –Err
  - Download and delete
  - Download and Keep
- Update phase – After quit command, deletes the marked messages.

User agent maintains state but POP3 server is stateless

Can create folders, rename or move them only in local machine and not on the server

# Transaction Example

```
C: list
S: 1 498
S: 2 912
S: .
C: retr 1
S: (blah blah ...
S: .....
S: .....blah)
S: .
C: dele 1
C: retr 2
S: (blah blah ...
S: .....
S: .....blah)
S: .
C: dele 2
C: quit
S: +OK POP3 server signing off
```

# IMAP

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Can create folders, rename or move them only on the remote mail server, default folder is Inbox.

IMAP sever maintains state information across sessions.

Can obtain components of message

# Comparison of POP3 & IMAP

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## POP3

Post office protocol

POP3 Servers are stateless

2 modes - Download & keep, Download & delete

Cannot create folders and manage them on servers.

Entire mail has to be downloaded.

## IMAP

Internet mail access protocol

IMAP servers are stateful

Download & keep

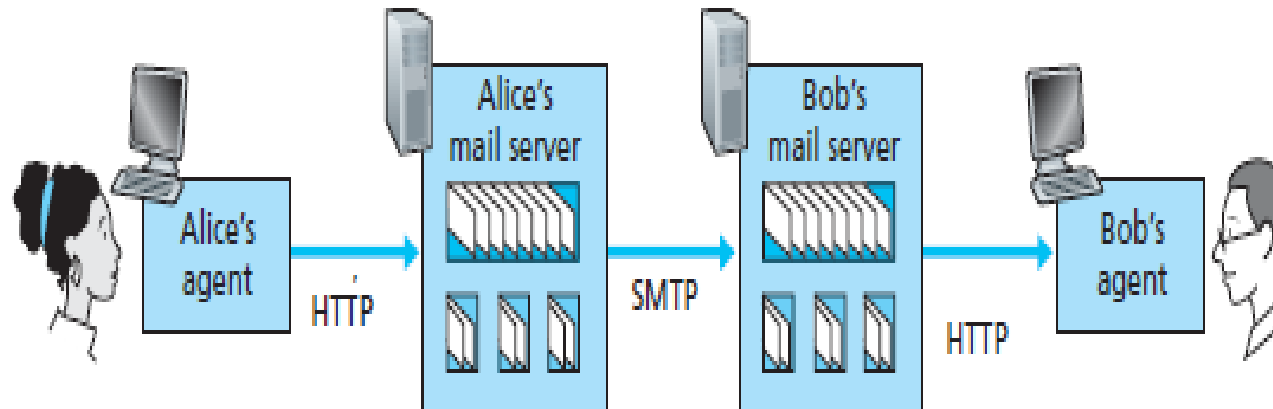
Can create folders and manage them on servers.

Can obtain components of mail message

# Web – based e-mail

User agent – web browser

Uses HTTP and SMTP protocols.



# Domain Name system

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Host name, ip address

DNS- distributed hierarchical database & application layer protocol

Runs on UDP port no. 53

Uses BIND (Berkeley Internet Name Domain) software

DNS client and DNS server

# DNS Services

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Host name to ipaddress translation

Host aliasing – Alias hostname, canonical hostname

Mail server aliasing – Both mail server & web server can have the same name.

Load Distribution – DNS rotates list of ipaddresses before sending to host.

# Centralized design for DNS - Problems

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Single point of failure

Traffic volume

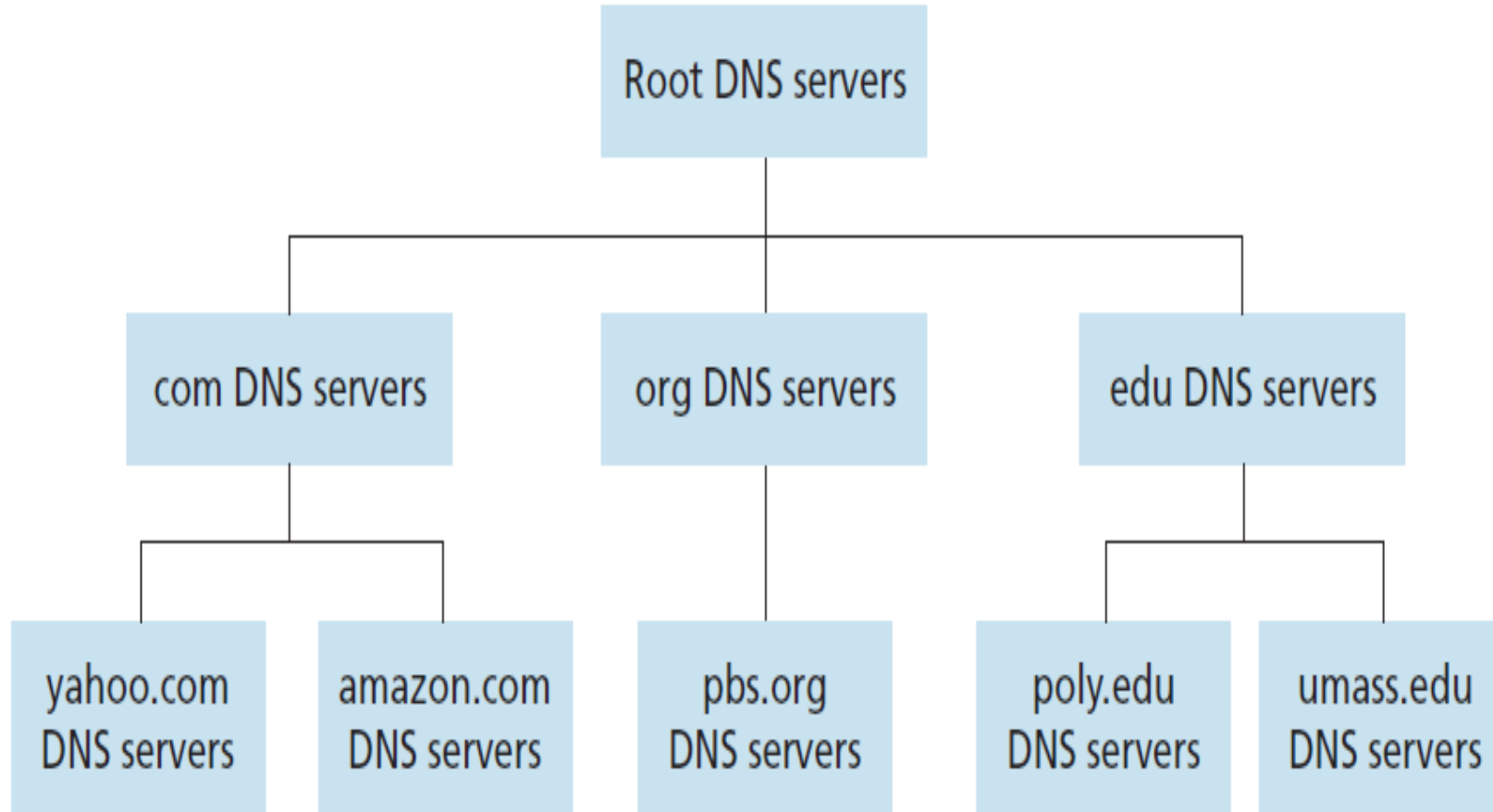
Distant centralized database – more delay, & network traffic

Maintenance – updates

Not scalable



# Hierarchy of DNS Servers



**Figure 2.19** ♦ Portion of the hierarchy of DNS servers

# Distributed Hierarchical Database

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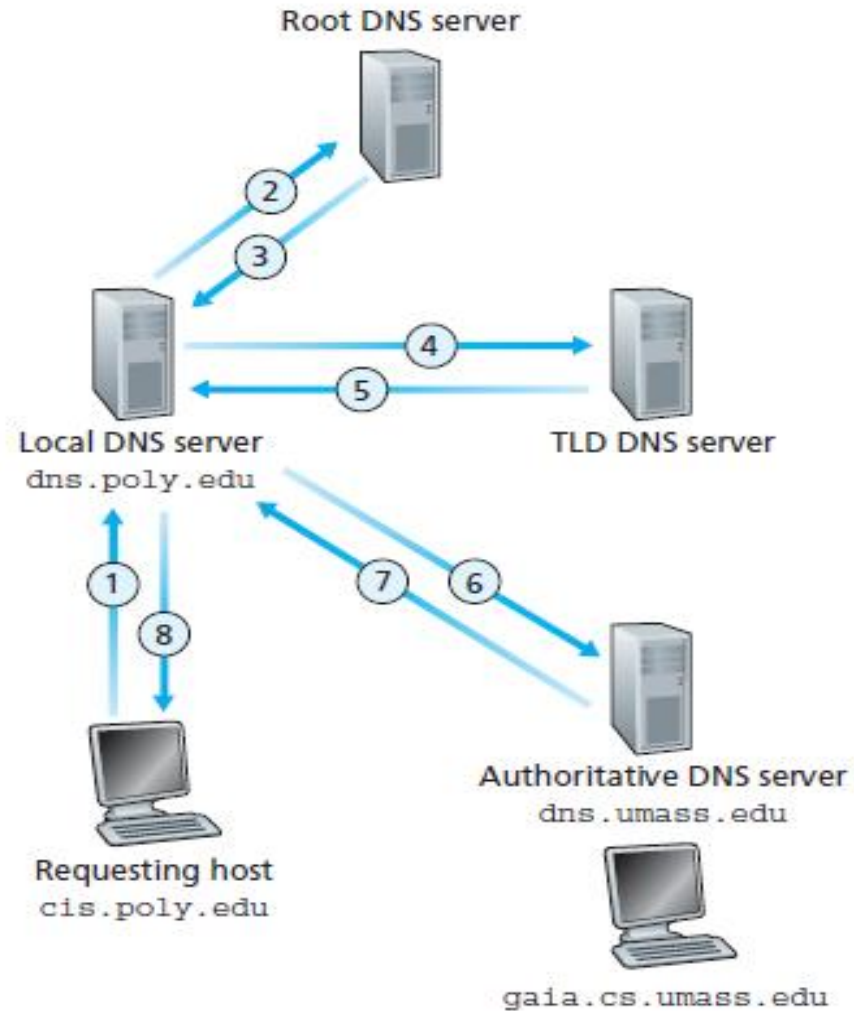
Root DNS Servers – 13 of them mostly in North America

Top Level Domain (TLD) Servers – com,edu,org,net,gov,uk,jp,in

Authoritative Servers

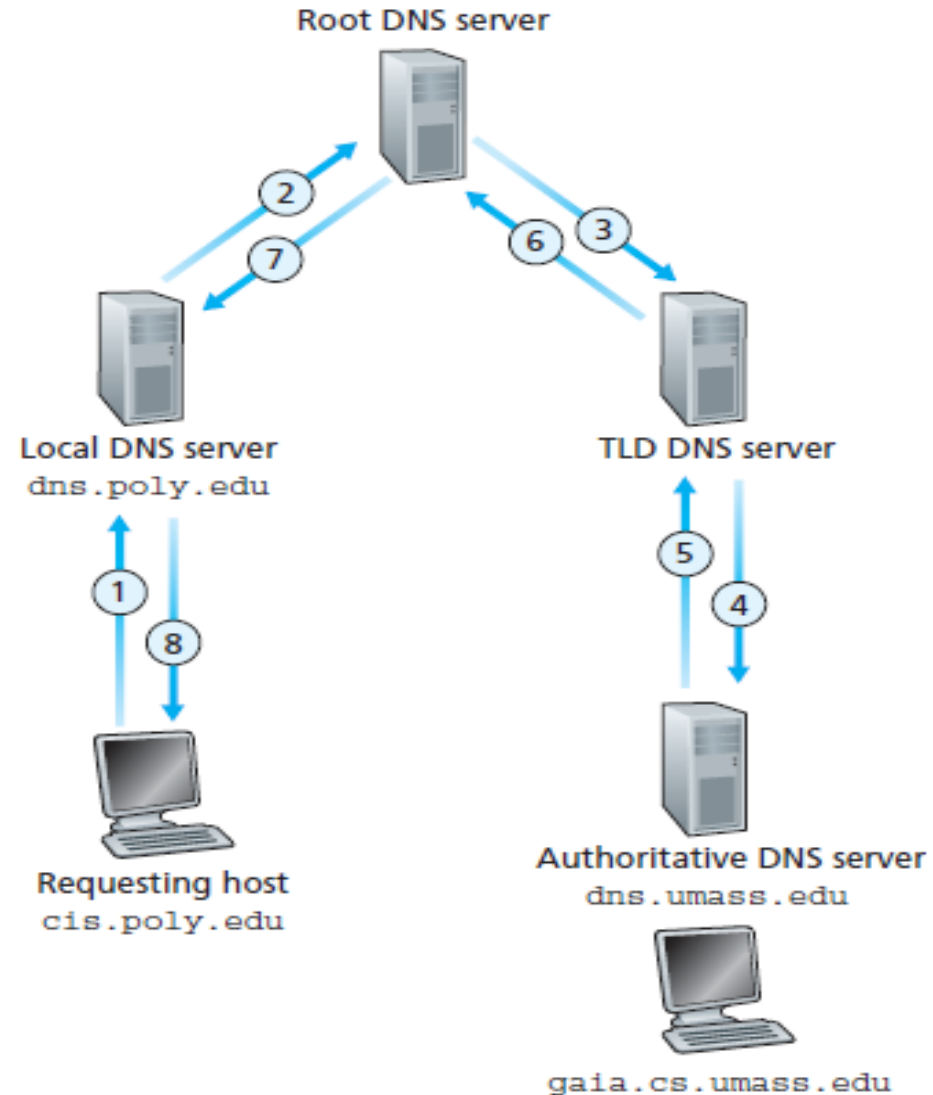
Local DNS servers -organization or ISP

# Iterative queries in DNS Servers



**Figure 2.21** ♦ Interaction of the various DNS servers

# Recursive queries in DNS Servers



**Figure 2.22 ♦ Recursive queries in DNS**

# DNS records

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4 tuple resource record - (Name, Value, Type, TTL)

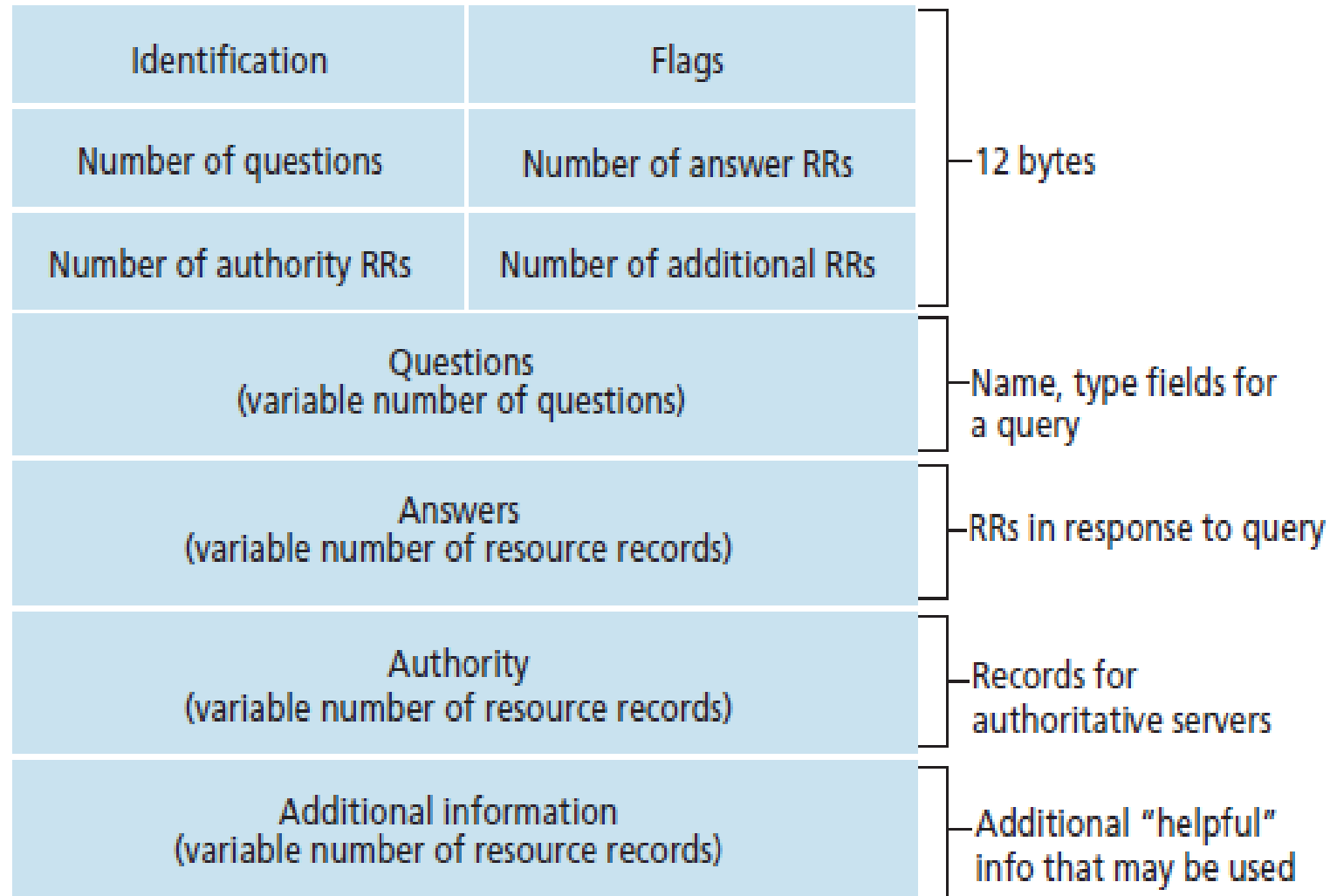
Type=A, then Name = hostname and Value = its IP address (relay1.bar.foo.com, 145.37.93.126, A)

Type=NS, then Name=domain (such as foo.com) and Value=hostname of its authoritative DNS server (foo.com, dns.foo.com, NS)

Type=CNAME, then Name = Alias hostname and Value = canonical hostname (foo.com, relay1.bar.foo.com, CNAME)

Type=MX, then Name = Alias hostname of mail server, Value=its canonical name (foo.com, mail.bar.foo.com, MX)

# DNS Query & Reply Messages



**Figure 2.23 ♦ DNS message format**

# DNS message fields

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Identifier

Flags

- 1 bit – query(0), reply(1)
- 1 bit – authoritative flag, set when reply is from authoritative server
- 1 bit – recursion desired flag (if it is query), recursion available (if it is reply)

Questions – Queries with name and type field

Answers – reply sometimes multiple RRs

Authority – records of other authoritative servers

Additional section – helpful records, Type A record with type MX record.

NSlookup

# Inserting DNS records

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Registrar – ex: Network solutions

ICANN accredits registrars - <http://www.internic.net>.

Suppose networkutopia.com is the new website

Provide names of primary & secondary authoritative servers

Ex: dns1.networkutopia.com, dns2.networkutopia.com,  
212.212.212.1, and 212.212.212.2.

Type NS and type A record should be entered in TLD servers

(networkutopia.com, dns1.networkutopia.com, NS)

(dns1.networkutopia.com, 212.212.212.1, A)

Enter Type A resource record for the Web server www.networkutopia.com and the Type MX resource record the mail server mail.networkutopia.com into authoritative DNS servers



# DNS Vulnerabilities

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DDoS bandwidth flooding attack – attack in 2002 to root servers by ICMP messages – avoid by packet filters at root servers & caching at TLD servers.

DNS queries attack to TLD servers

Man-in-middle attack – take DNS queries & send bogus replies.

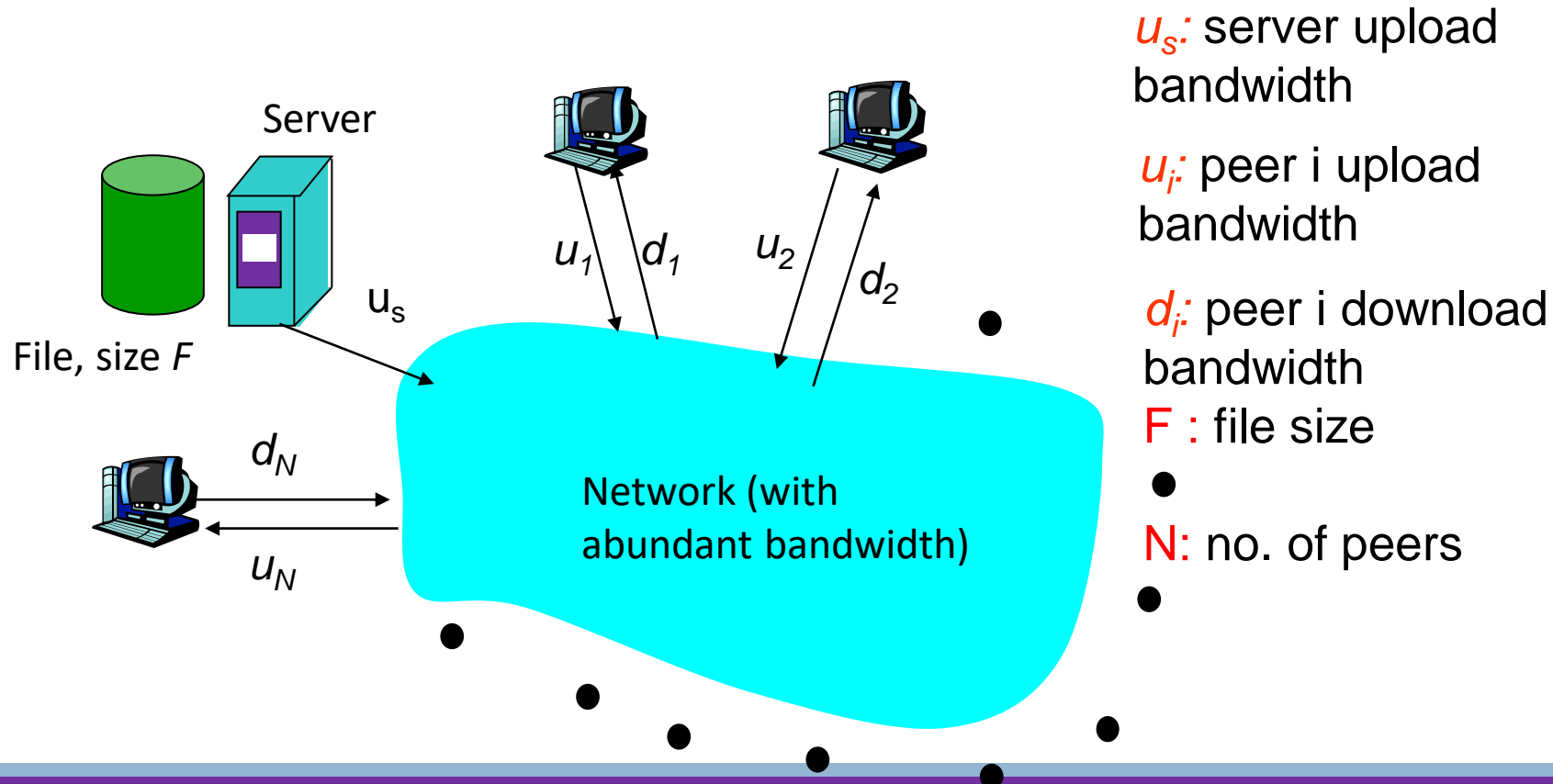
DNS poisoning – tricking DNS to store bogus records in its cache

Using DNS servers to attack a host – spoof the source address of DNS queries with the target host, DNS replies will be sent to target overwhelming it.

# Peer to Peer applications

## File Distribution: Server-Client vs P2P

Question : How much time to distribute file from one server to  $N$  peers?

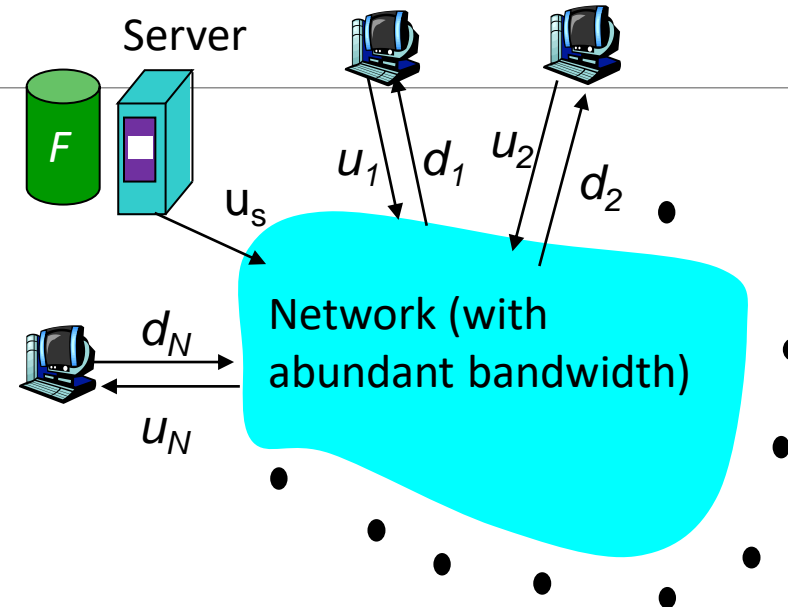


## File distribution time: server-client

server sequentially sends  $N$  copies:

- $NF/u_s$  time

client  $i$  takes  $F/d_i$  time to download



Time to distribute  $F$   
to  $N$  clients using  
client/server approach

$$D_{cs} = \max \left\{ \frac{NF}{u_s}, \frac{F}{d_{\min}} \right\}.$$

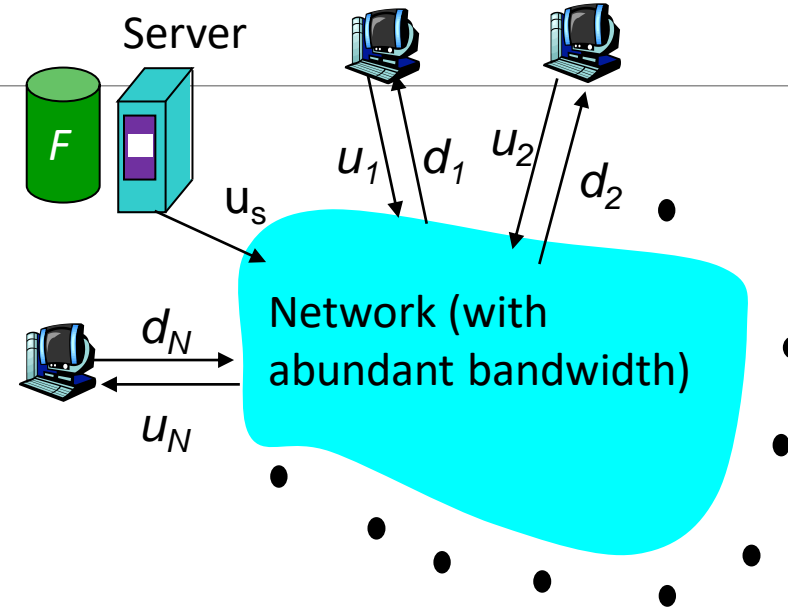
## File distribution time: P2P

server must send one copy:  
 $F/u_s$  time

client  $i$  takes  $F/d_i$  time to  
download

$NF$  bits must be downloaded  
(aggregate)

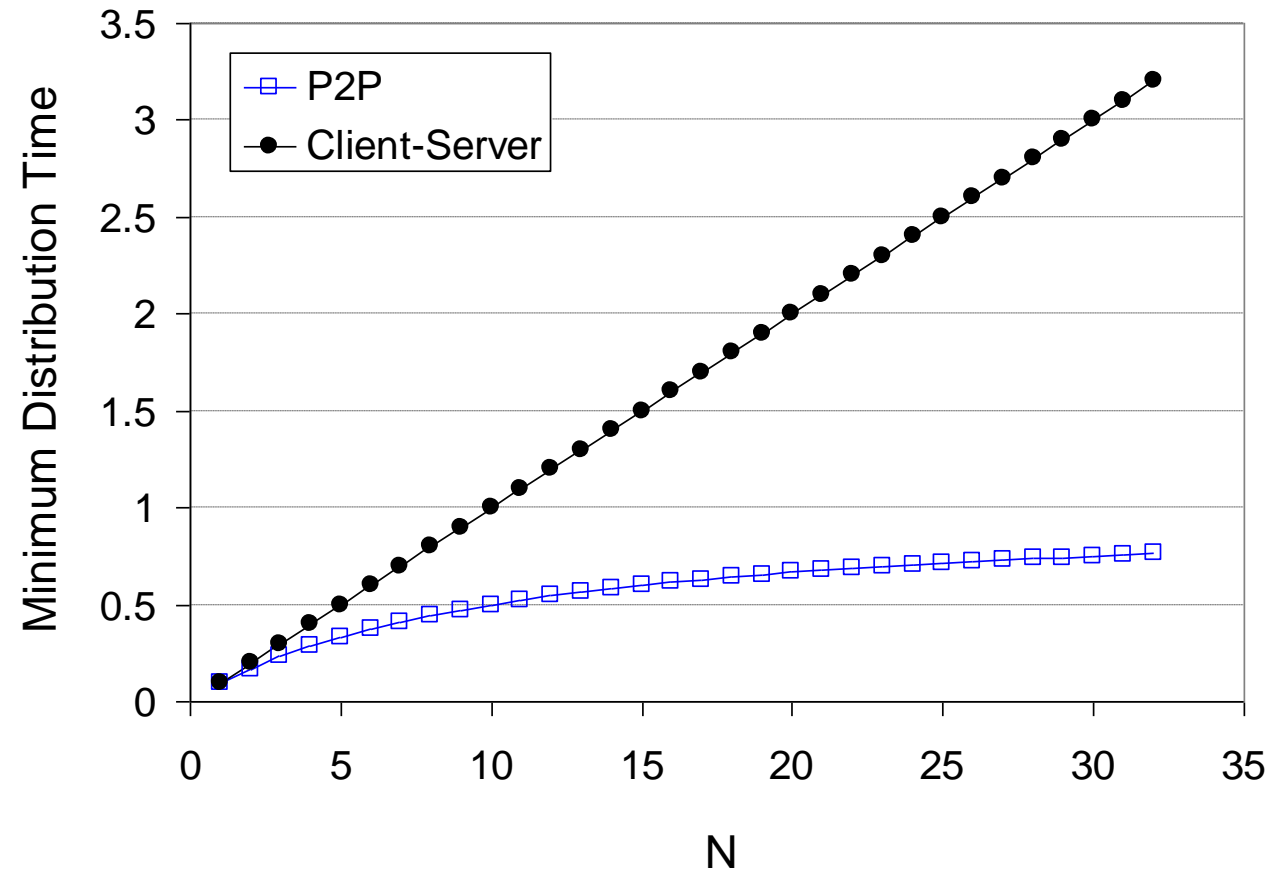
□ fastest possible upload rate:  $u_s + \sum u_i$



$$D_{P2P} = \max \left\{ \frac{F}{u_s}, \frac{F}{d_{\min}}, \frac{NF}{u_s + \sum_{i=1}^N u_i} \right\}$$

# Server-client vs. P2P: example

Client upload rate =  $u$ ,  $F/u = 1$  hour,  $u_s = 10u$ ,  $d_{\min} \geq u_s$

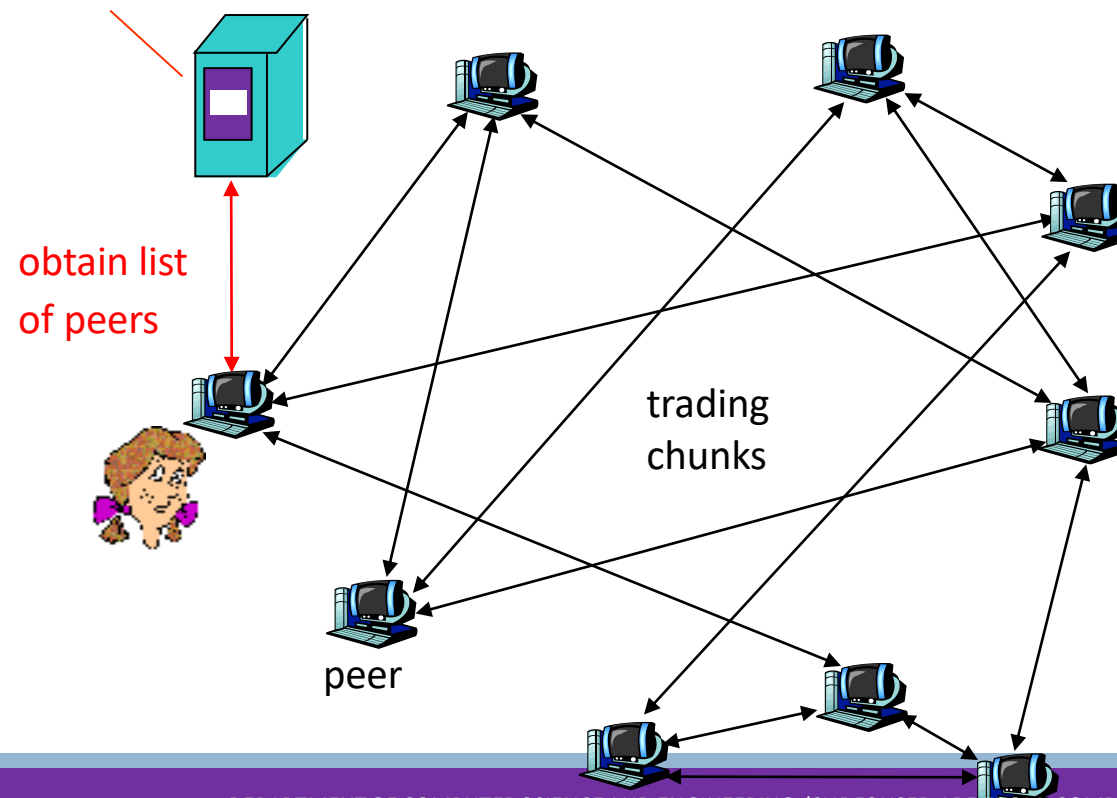


# File distribution: BitTorrent

## ❑ P2P file distribution

tracker: tracks peers  
participating in torrent

torrent: group of  
peers exchanging  
chunks of a file



# BitTorrent (1)

file divided into 256KB *chunks*.

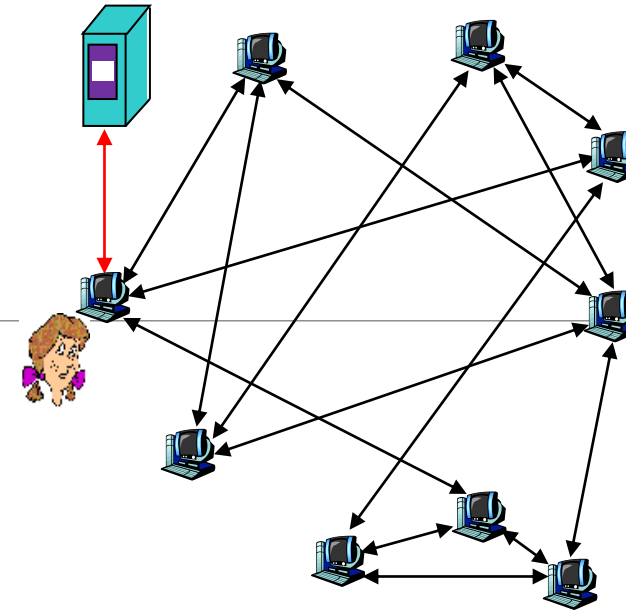
peer joining torrent:

- has no chunks, but will accumulate them over time
- registers with tracker to get list of peers, connects to subset of peers (“neighbors”)

while downloading, peer uploads chunks to other peers.

peers may come and go

once peer has entire file, it may (selfishly) leave or (altruistically) remain



# BitTorrent (2)

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## Pulling Chunks

at any given time, different peers have different subsets of file chunks

periodically, a peer (Alice) asks each neighbor for list of chunks that they have.

Alice sends requests for her missing chunks

- rarest first

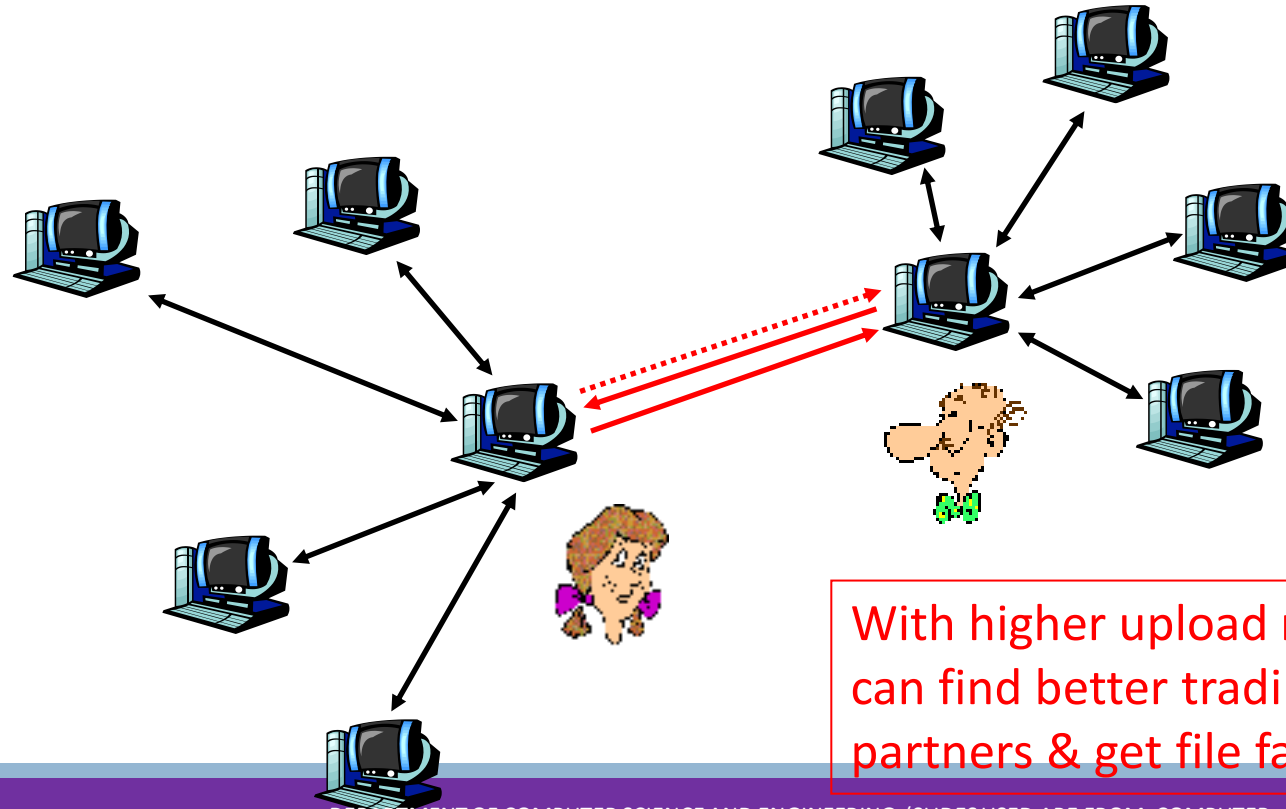
## Sending Chunks: tit-for-tat

- Alice sends chunks to four neighbors (unchoked) currently sending her chunks *at the highest rate*
  - ❖ re-evaluate top 4 every 10 secs
- every 30 secs: randomly select another peer, starts sending chunks
  - ❖ newly chosen peer may join top 4
  - ❖ “optimistically unchoke”



# BitTorrent: Tit-for-tat

- (1) Alice “optimistically unchokes” Bob
- (2) Alice becomes one of Bob’s top-four providers; Bob reciprocates
- (3) Bob becomes one of Alice’s top-four providers



With higher upload rate,  
can find better trading  
partners & get file faster!

# END of Unit 1

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