

Module - 1
I Sem  
 Branch CSE

### Finite State Machines (FSMs)

#### Deterministic Finite State Machines :

The DFSM  $M$  is a quintuple  $(k, \Sigma, \delta, s, A)$  where

$k$  is a finite set of states

$\Sigma$  is the i/p alphabet

$s \in k$  is the start state

$A \subseteq k$  is the set of accepting states

$\delta$  is the transition fn. It maps

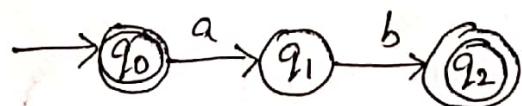
$k \times \Sigma$  to  $k$   
 state      i/p      state.  
 state      symbol

#### Problems on DFSM:

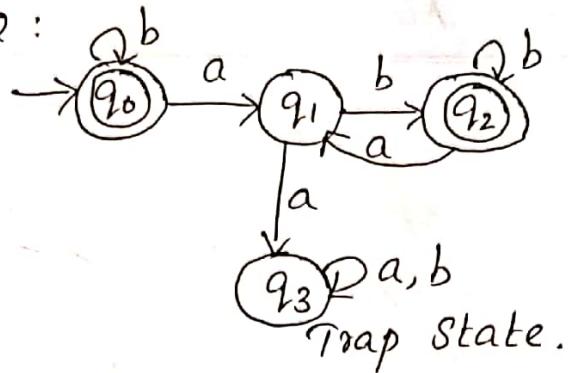
Obtain the DFSM for the following languages.

1.  $L = \{ w \in \{a,b\}^*: \text{every } a \text{ is immediately followed by a } b \}$

Step 1:



Step 2 :



Transition Table.

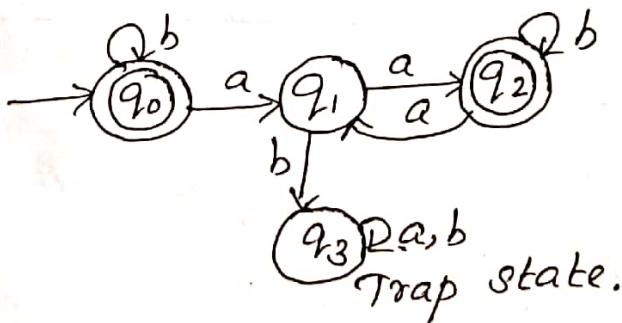
$\delta$	a	b
$\xrightarrow{*} q_0$	$q_1$	$q_0$
$q_1$	Trap	$q_2$
$\xrightarrow{*} q_2$	$q_1$	$q_2$

2.  $L = \{w \in \{a, b\}^*: \text{every } a \text{ region in } w \text{ is of even length.}\}$

Step 1 :



Step 2 :

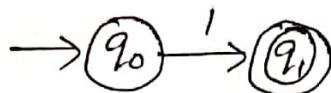


Transition Table

$\delta$	a	b
$\xrightarrow{*} q_0$	$q_1$	$q_0$
$q_1$	$q_2$	Trap
$\xrightarrow{*} q_2$	$q_1$	$q_2$

3.  $L = \{w \in \{0,1\}^*: w \text{ has odd parity}\}$

Step 1:



Step 2:

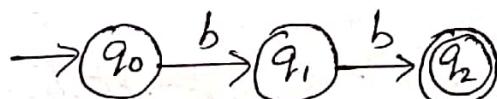


Transition Table

$\delta$	0	1
$\rightarrow q_0$	$q_0$	$q_1$
$* q_1$	$q_1$	$q_0$

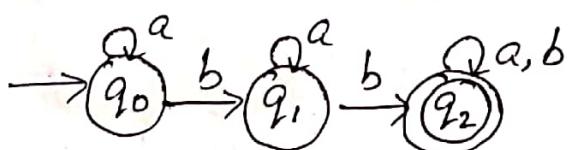
4.  $L = \{w \in \{a,b\}^*: w \text{ has no more than one } b\}$

Step 1:



Do for more than 1 b and then take complement.

Step 2:



Step 3:

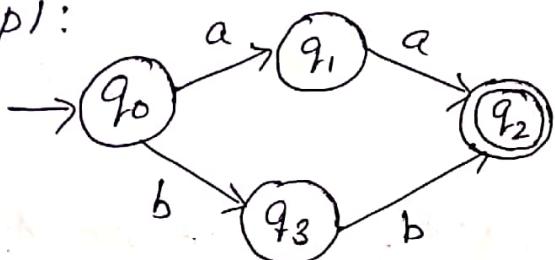


Transition Table.

$\delta$	a	b
$\rightarrow q_0$	$q_0$	$q_1$
$* q_1$	$q_1$	$q_2$
$q_2$	$q_2$	$q_2$

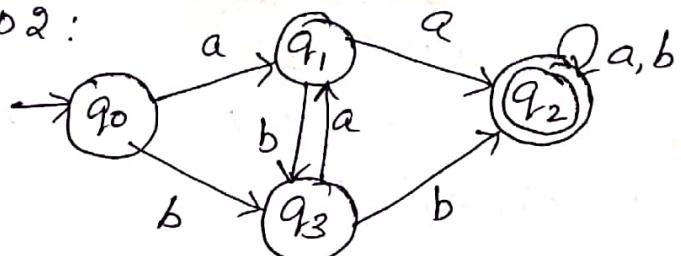
5.  $L = \{w \in \{a, b\}^*: \text{no two consecutive characters are same}\}$

Step 1:

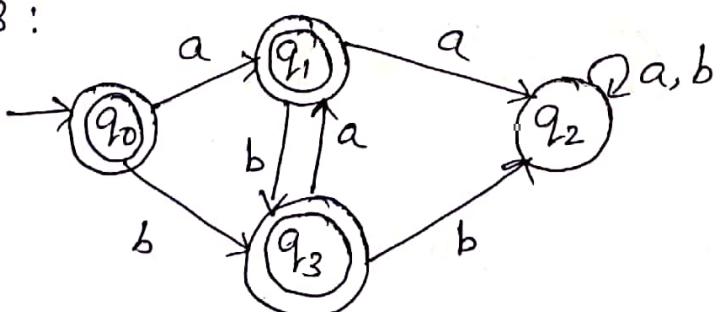


Do for consecutive characters are same  
and then take complement.

Step 2:



Step 3:





### Transition Table

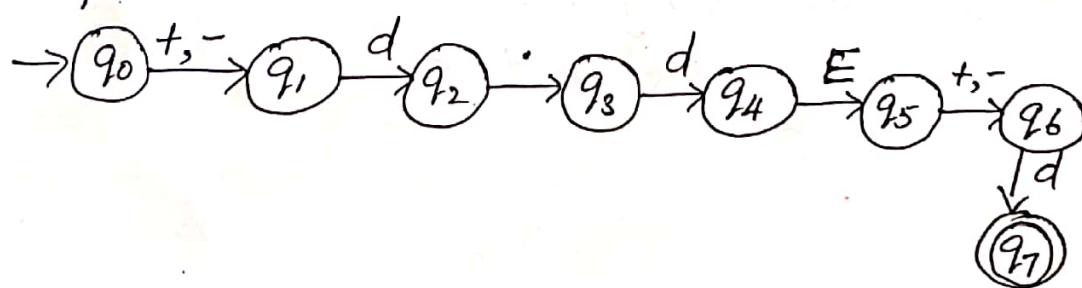
$\delta$	a	b
* $\rightarrow q_0$	$q_1$	$q_3$
* $q_1$	$q_2$	$q_3$
$q_2$	$q_2$	$q_2$
* $q_3$	$q_1$	$q_2$

6. Let  $\text{FLOAT} = \{w : w \text{ is the string representation of a floating point number}\}$

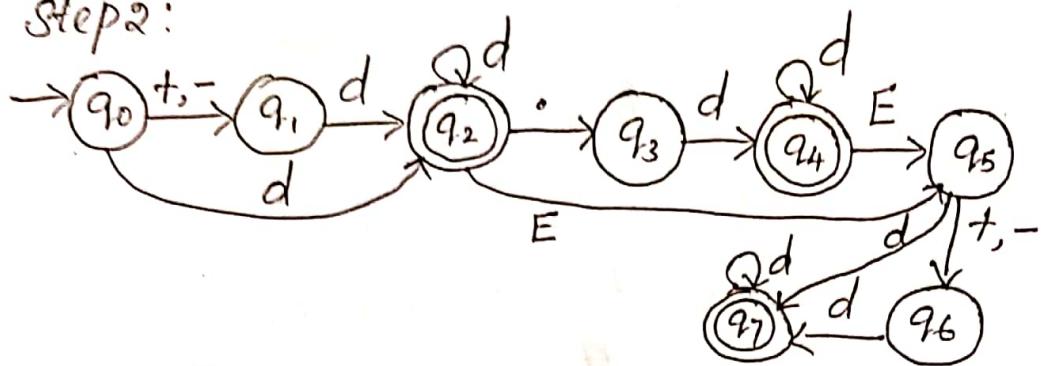
Assume the following syntax :

- \* A floating point number is an optional sign, followed by a decimal number, followed by an optional exponent.
- \* A decimal number may be of the form  $x$  or  $x.y$ , where  $x$  and  $y$  are non empty strings of decimal digits.

Step 1 :

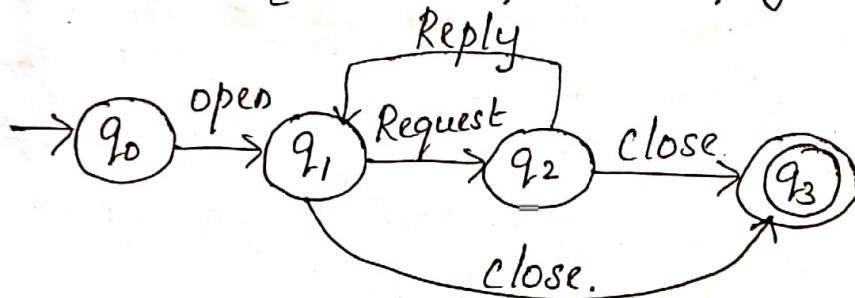


Step 2:

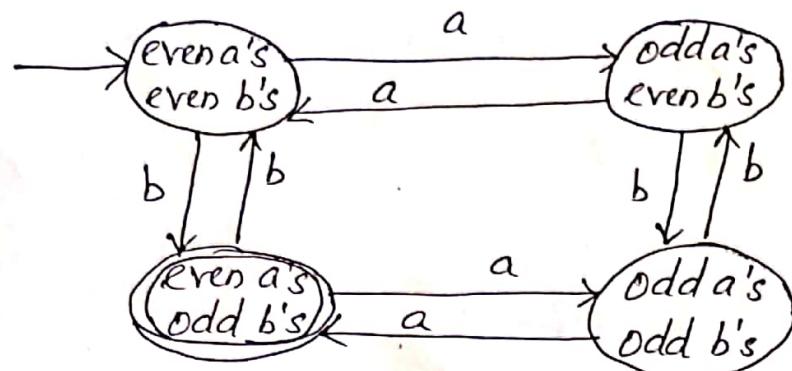


7.  $L$  is the language that contains all the legal sequences of messages exchanged b/w a client and a server using simple communication protocol.

Let  $\Sigma_L = \{\text{Open}, \text{Request}, \text{Reply}, \text{Close}\}$

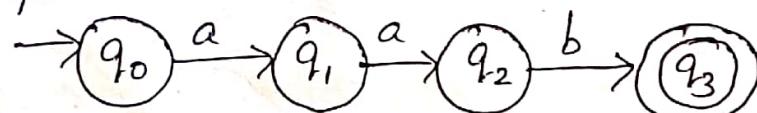


8.  $L = \{w \in \{a,b\}^*: w \text{ contains an even number of } a's \text{ and an odd number of } b's\}$ .



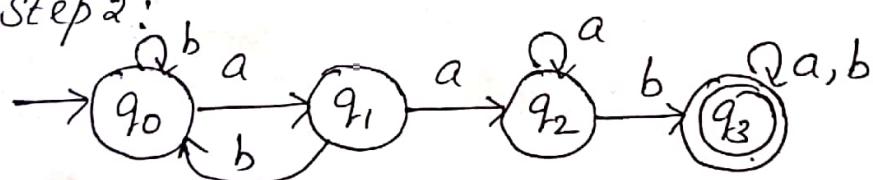
9.  $L = \{ w \in \{a, b\}^* : w \text{ does not contain the substring } aab \}$

Step 1:

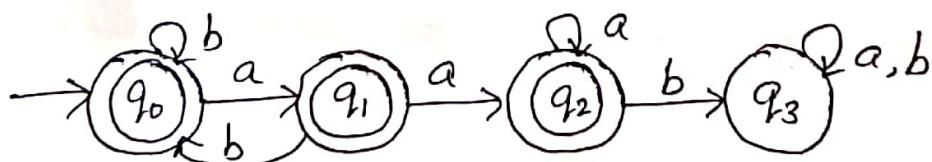


Do for substring aab and then take complement.

Step 2:



Step 3:



Transition Table:

$\delta$	a	b	
*	$q_0$	$q_1$	$q_0$
*	$q_1$	$q_2$	$q_0$
*	$q_2$	$q_2$	$q_3$
	$q_3$	$q_3$	$q_3$

## Non Deterministic FSM.

A NDFA  $M$  is a quintuple machine where  $M = K, \Sigma, \Delta, S, A$

$K$  is a finite set of states,

$\Sigma$  is an alphabet

$S \in K$  is the start state

$A \subseteq K$  is the set of final states

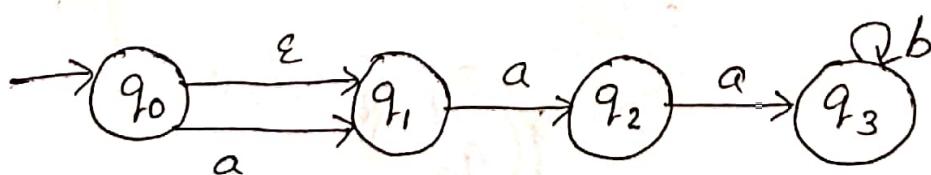
$\Delta$  is the transition relation. It is

a finite subset of  $K \times (\Sigma \cup \{\epsilon\}) \times K$ .

## Problems on NDFSM

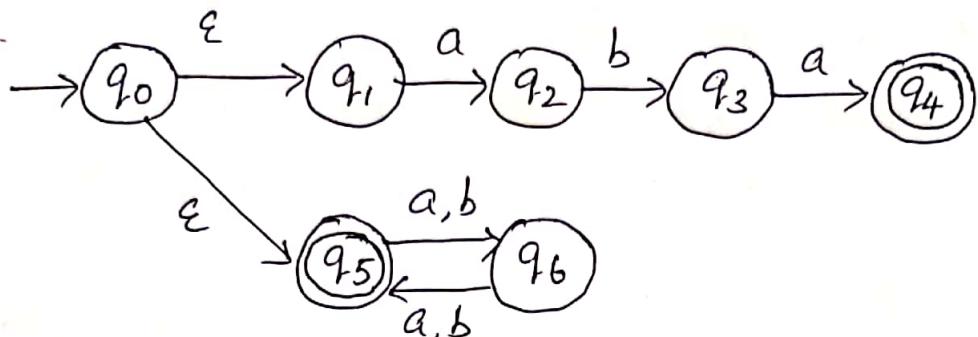
Obtain the NDFSM for the following languages.

- 1)  $L = \{w \in \{a, b\}^*: \text{every } w \text{ is made up of an optional } a \text{ followed by } aa \text{ followed by } 0 \text{ or more } b's\}$

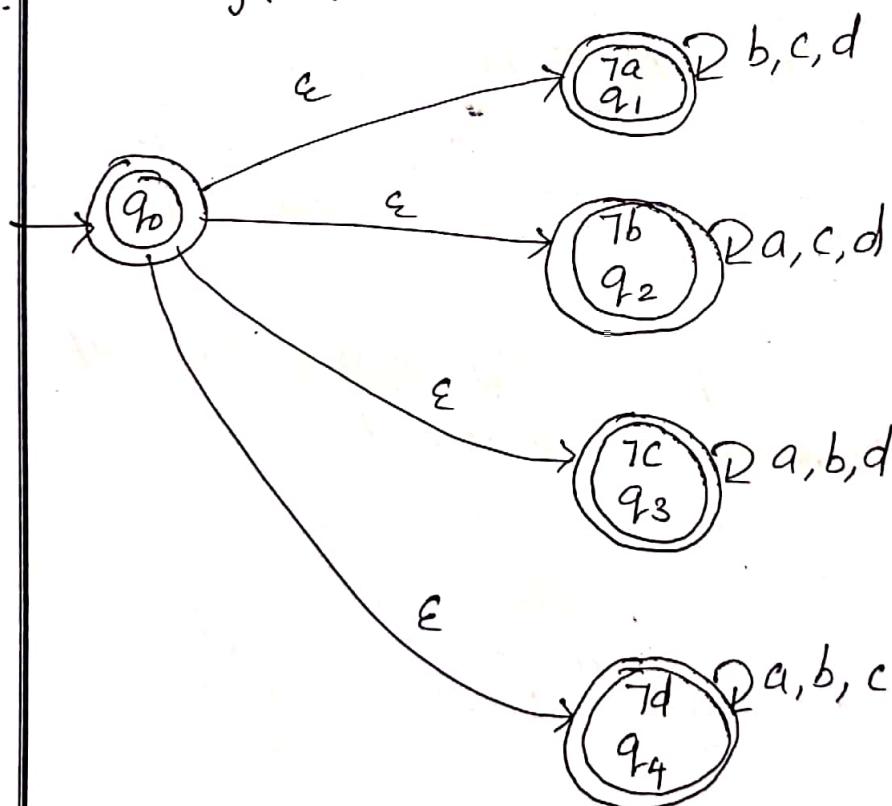




2)  $L = \{ w \in \{a, b\}^*: w = aba \text{ or } |w| \text{ is even} \}$



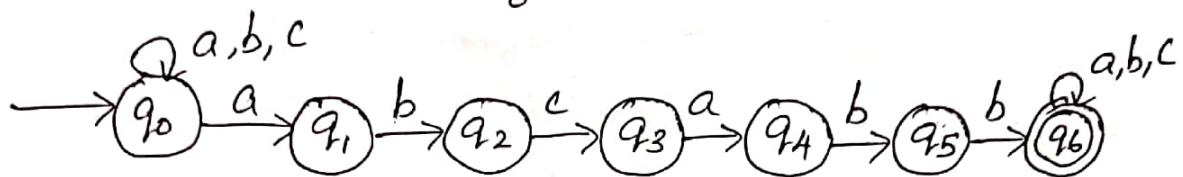
3) Let  $\Sigma = \{a, b, c, d\}$ ,  $L_{\text{missing}} = \{w : \text{there is a symbol } a; \epsilon \in \Sigma \text{ not appearing in } w\}$ .



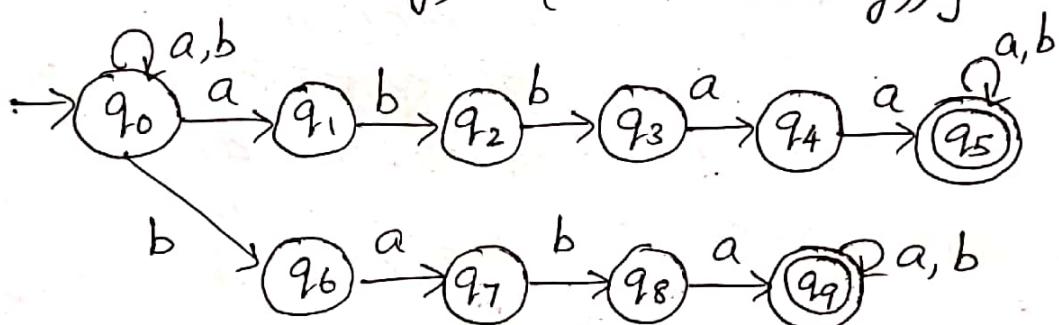


- 4) Let  $L = \{w \in \{a,b,c\}^*: \exists x, y \in \{a,b,c\}^*$   
 $(w = xabcabb y)\}$

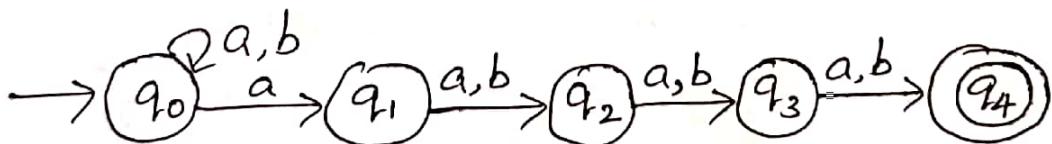
In other words  $w$  must contain at least one occurrence of the substring  $abcabb$ .



- 5) Let  $L = \{w \in \{a,b\}^*: \exists x, y \in \{a,b\}^*$   
 $((w = xabbbaa y) \vee (w = xbaba y))\}$



- b)  $L = \{w \in \{a,b\}^*: \text{the fourth from the last character is a}\}$



## Conversion of NDFSM to DFSM

Algorithm used is ndfsmtofsm

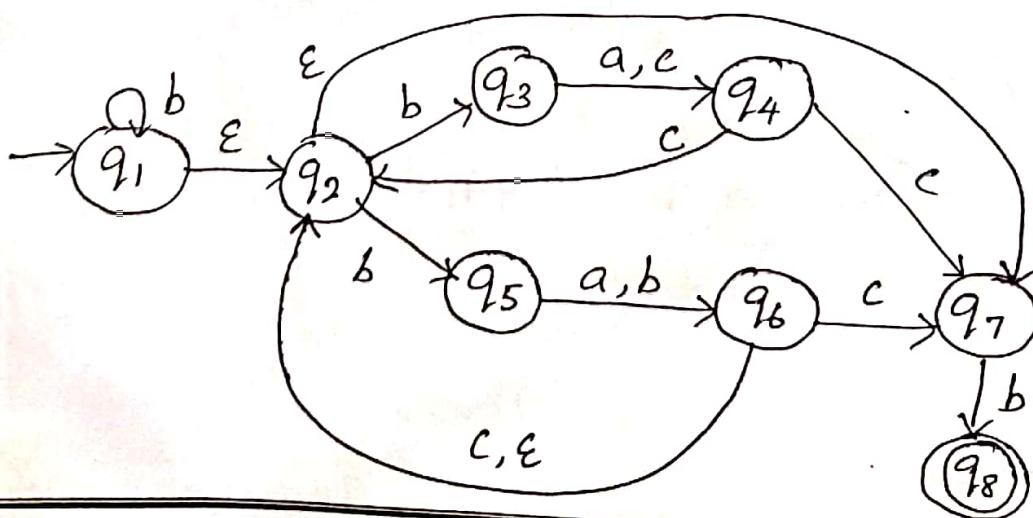
The algorithm ndfsmtofsm is important for two reasons:

\* It proves the theorem that, for every NDFSM there exists an equivalent DFH.

\* It lets us use nondeterminism as a design tool, even though we may ultimately need a deterministic m/c.

If we have implementation of ndfsmtofsm then if we can build an NDFSM to solve our problem, ndfsmtofsm can easily construct an equivalent DFSM.

Consider the NDFSM. Using ndfsmtofsm to build a DFSM.





$$ECLOSE(q_1) = \{q_1, q_2, q_7\} \quad \text{--- } ①$$

Consider state ①

when i/P is a

$$\begin{aligned}\delta(A, a) &= ECLOSE(\delta(A, a)) \\ &= ECLOSE(\emptyset) \\ &= \emptyset\end{aligned}$$

when i/P is b

$$\begin{aligned}\delta(A, b) &= ECLOSE(\delta(A, b)) \\ &= ECLOSE(q_1, q_3, q_5, q_8) \\ &= \{q_1, q_2, q_7, q_3, q_5, q_8\} \\ &= \{q_1, q_2, q_3, q_5, q_7, q_8\} \quad \text{--- } ②\end{aligned}$$

when i/P is c

$$\begin{aligned}\delta(A, c) &= ECLOSE(\delta(A, c)) \\ &= ECLOSE(\emptyset) \\ &= \emptyset\end{aligned}$$

Consider state ②

When i/P is a

$$\begin{aligned}\delta(B, a) &= ECLOSE(\delta(B, a)) \\ &= ECLOSE(q_4, q_6) \\ &= \{q_4, q_6, q_2, q_7\} \\ &= \{q_2, q_4, q_6, q_7\} \quad \text{--- } ③\end{aligned}$$

when i/P is b

$$\begin{aligned}
 \delta(B, b) &= ECLOSE(\delta(B, b)) \\
 &= ECLOSE(q_1, q_3, q_5, q_8, q_6) \\
 &= \{q_1, q_2, q_7, q_3, q_5, q_8, q_6, q_2\} \\
 &= \{q_1, q_2, q_3, q_5, q_6, q_7, q_8\} - \textcircled{D}
 \end{aligned}$$

when i/P is c

$$\begin{aligned}
 \delta(B, c) &= ECLOSE(\delta(B, c)) \\
 &= ECLOSE(q_4) \\
 &= \{q_4\} - \textcircled{E}
 \end{aligned}$$

Consider state  $\textcircled{C}$

when i/P is a

$$\begin{aligned}
 \delta(C, a) &= ECLOSE(\delta(C, a)) \\
 &= ECLOSE(\emptyset) \\
 &= \emptyset
 \end{aligned}$$

when i/P is b

$$\begin{aligned}
 \delta(C, b) &= ECLOSE(\delta(C, b)) \\
 &= ECLOSE(q_3, q_5, q_8) \\
 &= ECLOSE(q_3, q_5, q_8) - \textcircled{E}
 \end{aligned}$$

when i/P is c

$$\begin{aligned}
 \delta(C, c) &= ECLOSE(\delta(C, c)) \\
 &= ECLOSE(q_7, q_2) \\
 &= \{q_2, q_7\} - \textcircled{G}
 \end{aligned}$$

Consider the state  $\textcircled{D}$

$$\begin{aligned}
 \delta(D, a) &= \text{ECLOSE}(\delta(D, a)) \\
 &= \text{ECLOSE}(q_4, q_6) \\
 &= \{q_4, q_6, q_2, q_7\} \\
 &= \{q_2, q_4, q_6, q_7\} \quad \text{--- } \textcircled{C}
 \end{aligned}$$

when i/p is b

$$\begin{aligned}
 \delta(D, b) &= \text{ECLOSE}(\delta(D, b)) \\
 &= \text{ECLOSE}(q_1, q_3, q_5, q_6, q_8) \\
 &= \{q_1, q_2, q_7, q_3, q_5, q_6, q_8\} \\
 &= \{q_1, q_2, q_3, q_5, q_6, q_7, q_8\} \quad \text{--- } \textcircled{B}
 \end{aligned}$$

when i/p is c

$$\begin{aligned}
 \delta(D, c) &= \text{ECLOSE}(\delta(D, c)) \\
 &= \text{ECLOSE}(q_4, q_2, q_7) \\
 &= \{q_2, q_4, q_7\} \quad \text{--- } \textcircled{H}
 \end{aligned}$$

Consider State  $\textcircled{E}$

when i/p is a

$$\begin{aligned}
 \delta(E, a) &= \text{ECLOSE}(\delta(E, a)) \\
 &= \text{ECLOSE}(\emptyset) = \emptyset
 \end{aligned}$$

when i/p is b

$$\begin{aligned}
 \delta(E, b) &= \text{ECLOSE}(\delta(E, b)) \\
 &= \text{ECLOSE}(\emptyset) = \emptyset
 \end{aligned}$$



When i/P is c

$$\begin{aligned}\delta(E, c) &= ECLOSE(\delta(E, c)) \\ &= ECLOSE(q_2, q_7) \\ &= \{q_2, q_7\} \quad \textcircled{G}\end{aligned}$$

Consider state F

when i/P is a

$$\begin{aligned}\delta(F, a) &= ECLOSE(\delta(F, a)) \\ &= ECLOSE(q_4, q_6) \\ &= \{q_2, q_4, q_6, q_7\} \quad \textcircled{C}\end{aligned}$$

when i/P is b

$$\begin{aligned}\delta(F, b) &= ECLOSE(\delta(F, b)) \\ &= ECLOSE(q_6) \\ &= \{q_6, q_2, q_7\} \\ &= \{q_2, q_6, q_7\} \quad \textcircled{I}\end{aligned}$$

when i/P is c

$$\begin{aligned}\delta(F, c) &= ECLOSE(\delta(F, c)) \\ &= ECLOSE(\emptyset) = \emptyset\end{aligned}$$

Consider state G

when i/P is a

$$\delta(q, a) = \text{ECLOSE}(\delta(q, a)) \\ = \text{ECLOSE}(\emptyset) = \emptyset$$

when i/p is b

$$\delta(q, b) = \text{ECLOSE}(\delta(q, b)) \\ = \text{ECLOSE}(q_3, q_5, q_8) \\ = \{q_3, q_5, q_8\} \quad \textcircled{F}$$

when i/p is c

$$\delta(q, c) = \text{ECLOSE}(\delta(q, c)) \\ = \text{ECLOSE}(\emptyset) = \emptyset$$

Consider state  $\textcircled{A}$

when i/p is a

$$\delta(H, a) = \text{ECLOSE}(\delta(H, a)) \\ = \text{ECLOSE}(\emptyset) = \emptyset$$

when i/p is b

$$\delta(H, b) = \text{ECLOSE}(\delta(H, b)) \\ = \text{ECLOSE}(q_3, q_5, q_8) \\ = \{q_3, q_5, q_8\} \quad \textcircled{F}$$

when i/p is c

$$\delta(H, c) = \text{ECLOSE}(\delta(H, c)) \\ = \text{ECLOSE}(q_2, q_7) \\ = \{q_2, q_7\} \quad \textcircled{G}$$



Consider State  $\textcircled{I}$

when i/P is a

$$\begin{aligned}\delta(I, a) &= \text{ECLOSE}(\delta(I, a)) \\ &= \text{ECLOSE}(\emptyset) = \emptyset\end{aligned}$$

when i/P is b

$$\begin{aligned}\delta(I, b) &= \text{ECLOSE}(\delta(I, b)) \\ &= \text{ECLOSE}(q_3, q_5, q_8) \\ &= \{q_3, q_5, q_8\} \quad \textcircled{F}\end{aligned}$$

when i/P is c

$$\begin{aligned}\delta(I, c) &= \text{ECLOSE}(\delta(I, c)) \\ &= \text{ECLOSE}(q_7, q_2) \\ &= \{q_2, q_7\} \quad \textcircled{G}\end{aligned}$$

Transition Table:

$\delta$	a	b	c
$\rightarrow A$	$\emptyset$	B	$\emptyset$
*B	C	D	E
C	$\emptyset$	F	G
*D	C	D	H
E	$\emptyset$	$\emptyset$	G
*F	C	I	$\emptyset$
G	$\emptyset$	F	$\emptyset$
H	Q	F	G

## From FSM to Operational System:

FSM for real problems can be turned into operational systems.

- \* An FSM can be translated into a circuit design and implemented directly in h/w.
- \* An FSM can be simulated by a general purpose interpreter.
- \* An FSM can be used as a specification for some critical aspect of the behaviour of a complex system.

## Simulators for FSMs

### Simulating DFMSs.

Consider the DFMS.  $L = \{w \in \{a,b\}^* : w \text{ contains no more than one } b\}$ :



$q_0$ :  $s = \text{get\_next\_symbol}$

If  $s = \text{end\_of\_file}$  then accept

Else if  $s = a$  then go to  $q_0$

Else if  $s = b$  then go to  $q_1$

$q_1 : s = \text{get\_next\_symbol}$   
 If  $s = \text{end\_of\_file}$  then accept  
 Else if  $s = a$  then go to  $q_1$   
 Else if  $s = b$  then reject  
 End.

Simple interpreter for DFA.

$\text{dfsmssimulate}(M: \text{DFA}, w: \text{string}) =$

1.  $st = s$
2. Repeat
  - 2.1  $c = \text{get\_next\_symbol}(w)$
  - 2.2 if  $c \neq \text{end\_of\_file}$  then
    - 2.2.1  $st = \delta(st, c)$
 until  $c = \text{end\_of\_file}$
  3. If  $st \in A$  then accept else reject.

$\text{ndfsmssimulate}(M: \text{NDFSM}, w: \text{string}) =$

1. Declare the set  $SE$
2. Declare the set  $STI$
3.  $st = \text{eps}(s)$
4. Repeat

$c = \text{get\_next\_symbol}(\omega)$   
 if  $c \neq \text{end\_of\_file}$  then do

$STI = \emptyset$

For all  $q \in ST$  do :

For all  $\sigma : (q, c, \sigma) \in \Delta$  do :

$STI = STI \cup \text{eps}(\sigma)$

$ST = STI$

If  $ST = \emptyset$  then exit

until  $c = \text{end\_of\_file}$

5. If  $ST \cap A \neq \emptyset$  then accept else reject.

Equivalence classes  $\approx_L$

What are indistinguishable states?  
 or equivalent states.

Two states  $p$  and  $q$  of a DFA are equivalent (indistinguishable) if and only if  $\delta(p, w)$  and  $\delta(q, w)$  are final states or both  $\delta(p, w)$  and  $\delta(q, w)$  are non-final states.

$\delta(p, \omega) \in F \text{ and } \delta(q, \omega) \in F$ 
 $\text{or } \delta(p, \omega) \notin F \text{ and } \delta(q, \omega) \notin F$ 

Distinguishable States :

 $\delta(p, \omega) \in F \text{ and } \delta(q, \omega) \notin F$ 
 $\text{or } \delta(p, \omega) \notin F \text{ and } \delta(q, \omega) \in F$ 

Determine the equivalence classes  
for the following languages.

1) Let  $\Sigma = \{a, b\}$ . Let  $L = \{w \in \{a, b\}^*: \text{no two adjacent characters are the same}\}$ .

[1]

$[\epsilon]$

$[\epsilon]$

[2]

$[a, aba, ababa\dots]$

[all non empty strings that end in a and have no identical adjacent characters].

[3]

$[b, ab, bab, abab]$

[all nonempty strings that end in b and have no identical adjacent characters].

[4]  $[aa, abaa, ababb\dots]$  [all strings that contain atleast one pair of identical adjacent characters]

2) Let  $L = \{w \in \Sigma^*: \text{every } a \text{ is immediately followed by a } b\}$

The equivalence classes of  $L$  are

[1]  $[\epsilon, b, abb\dots]$  [all strings in  $L$ ]

[2]  $[a, abba\dots]$  [all strings that end in  $a$  and have no prior  $a$  that is not followed by a  $b$ ]

[3]  $[aa, abaa\dots]$  [all strings that contain atleast one instance of  $aa$ ]

3) Let  $\Sigma = \{a, b\}$ . Let  $L = \{w \in \{a, b\}^*: \text{no two adjacent characters are the same.}\}$

[1]

[ $\epsilon$ ]

[ $\epsilon$ ]

[2]

[a, aba, ababa...]

[all nonempty strings that end in a and have no identical adjacent characters]

[3]

[b, ab, bab, abab...]

[all nonempty strings that end in b and have no identical adjacent characters]

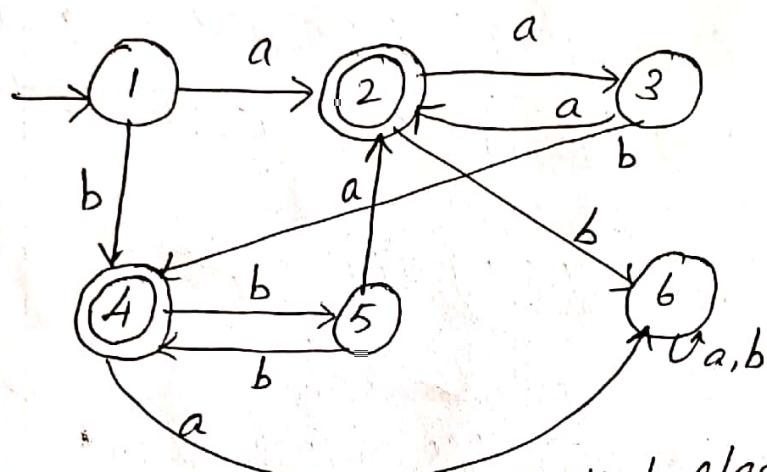
[4]

[aa, abaa, ababb...]

[all strings that contain at least one pair of identical characters; these strings are not in L, no matter what comes next].

## Minimizing DFA.

Using minDFA, find a minimal m/c.



Initial classes =  $\{ [2, 4], [1, 3, 5, 6] \}$

At Step 1 :

$((2, a), [1, 3, 5, 6])$        $((4, a), [1, 3, 5, 6])$       Don't split

$((2, b), [1, 3, 5, 6])$        $((4, b), [1, 3, 5, 6])$

$((1, a), [2, 4])$        $((3, a), [2, 4])$        $((5, a), [2, 4])$

$((1, b), [2, 4])$        $((3, b), [2, 4])$        $((5, b), [2, 4])$

$((6, a), [1, 3, 5, 6])$       Split

$((6, b), [1, 3, 5, 6])$

classes =  $\{ [2, 4], [1, 3, 5], [6] \}$

At Step 2 :

$$\begin{array}{ll} ((2,a), [1,3,5]) & ((4,a), [6]) \\ ((2,b), [6]) & ((4,b), [1,3,5]) \end{array} \quad \text{Split}$$

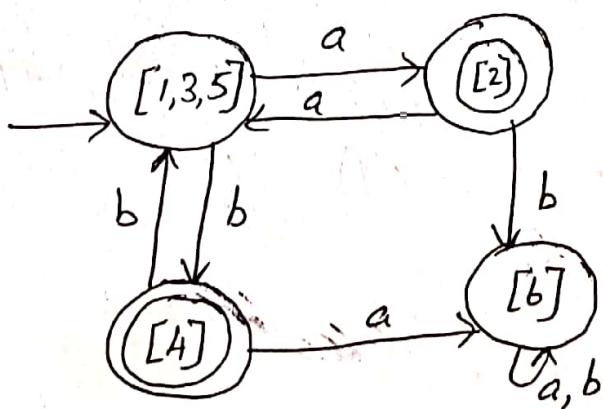
$$\begin{array}{lll} ((1,a), [2,4]) & ((3,a), [2,4]) & ((5,a), [2,4]) \\ ((1,b), [2,4]) & ((3,b), [2,4]) & ((5,b), [2,4]) \end{array} \quad \begin{array}{l} \text{Don't} \\ \text{split} \end{array}$$

$$\text{classes} = \{ [2], [4], [1,3,5], [6] \}$$

At Step 3 :

$$\begin{array}{lll} ((1,a), [2]) & ((3,a), [2]) & ((5,a), [2]) \\ ((1,b), [4]) & ((3,b), [4]) & ((5,b), [4]) \end{array} \quad \begin{array}{l} \text{Don't} \\ \text{split} \end{array}$$

So minDFA is



## Finite State Transducers:

FST associates an O/P with each state of a machine. Both Moore m/c and Mealy m/c is a 7 tuple m/c.  $(Q, \Sigma, O, \delta, D; S, A)$

$Q$  is set of finite states.

$\Sigma$  is i/p alphabet

$O$  is o/p alphabet

$s \in Q$  is start state

$A \subseteq Q$  is set of accept state (although for some designation is not important).

$\delta$  is the transition fn.

$D$  is the o/p fn.

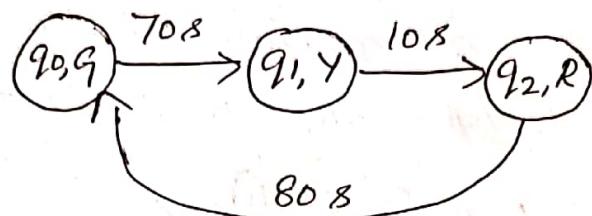
Only  $D$  varies for both the m/cs.

Moore m/c :  $D : Q \rightarrow O$

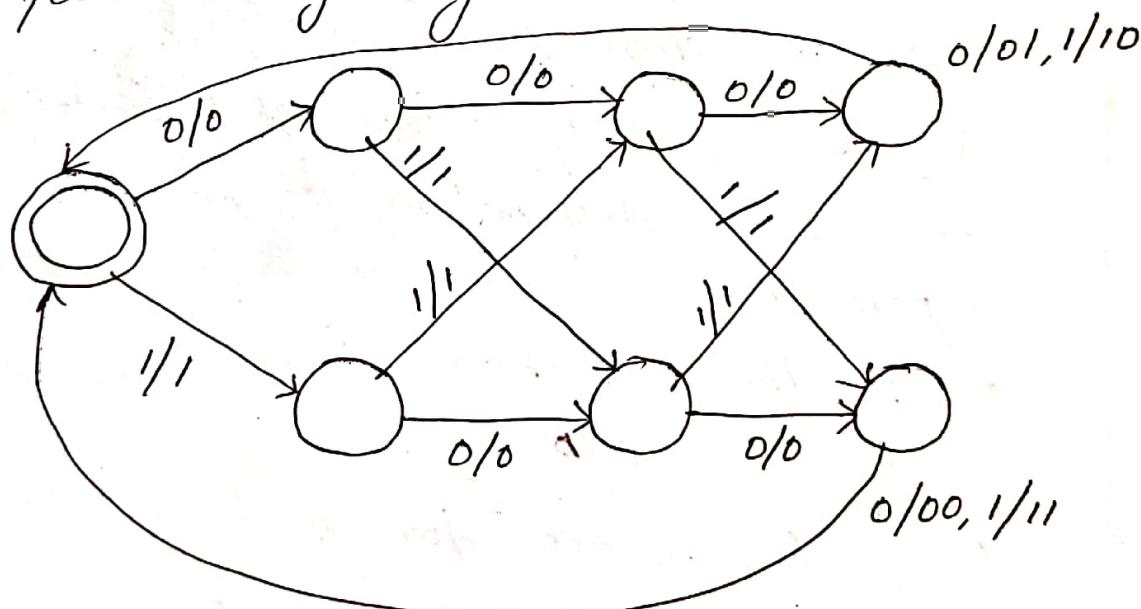
Mealy m/c :  $D : Q \times \Sigma \rightarrow O$



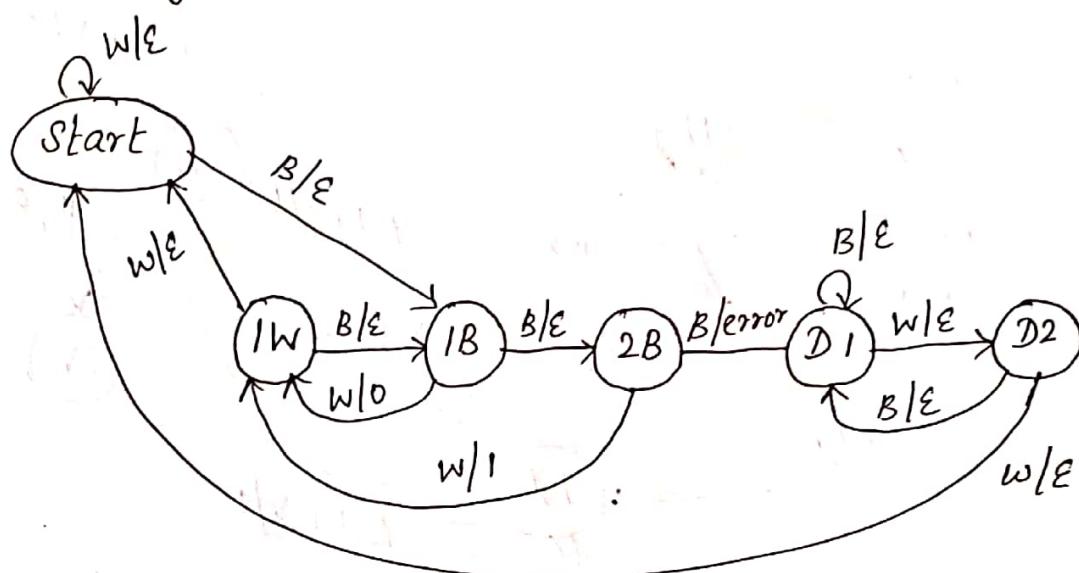
1) Use Moore m/c for designing a single direction traffic light.



2) Using Mealy m/c, <sup>construct</sup> generate an odd parity bit generator after every four binary digits that it reads.



3. Using Mealy m/c, construct Bar code reader



- \* Correct bar code starts with black column,
- \* So white space ahead of the first black column is ignored.
- \* Every complete bar code there are atleast two white columns. So the reader should, at that point, reset to be ready to read the next code.
- \* If the reader sees three or more black columns in a row, it must indicate an error and stay in its error state until it is reset by seeing two white columns.

Bidirectional Transducers: Soundex alg.

