

# Round and Bipartize for Vertex Cover Approximation

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## Vertex Cover Problem

**Input:** Graph  $\mathcal{G} = (V, E)$  with weights  $w : V \mapsto \mathbb{R}_+$

**Goal:** Find subset of vertices  $U \subset V$  of minimum weight covering all the edges of the graph, i.e:

$$\min \left\{ w(U) \mid U \subset V, |U \cap \{u, v\}| \geq 1 \quad \forall (u, v) \in E \right\}.$$

**Integer Programming Formulation:**

$$\begin{aligned} & \min \sum_{v \in V} w_v x_v \\ & x_u + x_v \geq 1 \quad \forall (u, v) \in E \\ & x_v \in \{0, 1\} \quad \forall v \in V \end{aligned}$$

# Approximation Algorithms

## Definition: Approximation Algorithm

An efficient algorithm for a minimization problem is a  $\phi$ -approximation if it returns a solution  $U$  such that  $w(U) \leq \phi w(\text{OPT})$

## Vertex Cover

- NP-Hard
- NP-Hard to approximate within a factor of 1.36 [Dinur, Safra]
- NP-Hard to approximate within  $2 - \epsilon$  for any  $\epsilon > 0$  under the unique games conjecture [Khot, Regev]
- Admits an easy 2-approximation using linear programming

## Vertex Cover

**Linear Programming Relaxation  $P(\mathcal{G})$ :**

$$\begin{aligned} \min \sum_{v \in V} w_v x_v \\ x_u + x_v \geq 1 \quad \forall (u, v) \in E \\ x_v \geq 0 \quad \forall v \in V \end{aligned}$$

Any extreme point solution  $x^* \in [0, 1]^V$  of  $P(\mathcal{G})$  satisfies

- $x^* \in \{0, 1\}^V$  for bipartite graphs  $\mathcal{G}$
- $x^* \in \{0, \frac{1}{2}, 1\}^V$  for general graphs  $\mathcal{G}$

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Bipartite  $\mathcal{G}$ : exact algorithm

Solve  $P(\mathcal{G})$  to get  $x^* \in \{0, 1\}^V$

**Return**  $U := \{v \in V \mid x_v^* = 1\}$

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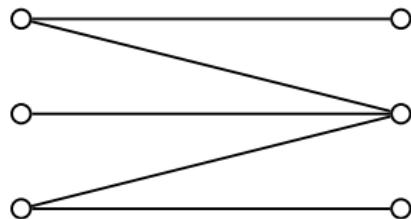
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General  $\mathcal{G}$ : 2-approximation

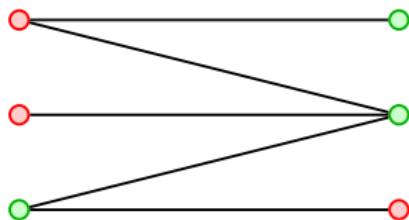
Solve  $P(\mathcal{G})$  to get  $x^* \in \{0, \frac{1}{2}, 1\}^V$

**Return**  $U := \{v \in V \mid x_v^* \geq \frac{1}{2}\}$

## Vertex Cover: LP Relaxation



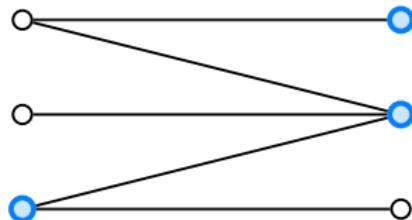
## Vertex Cover: LP Relaxation



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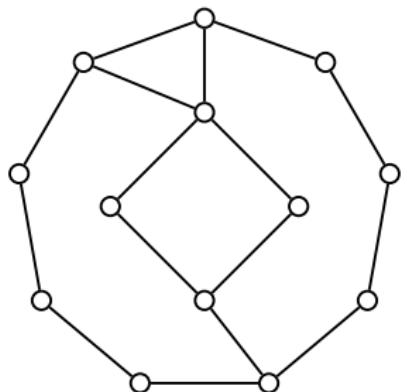
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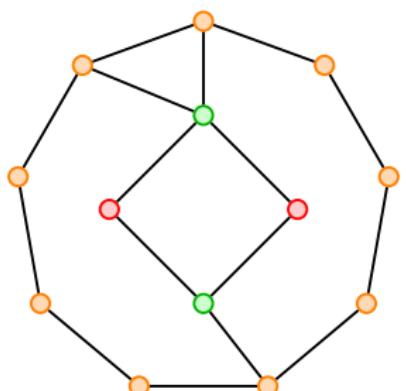
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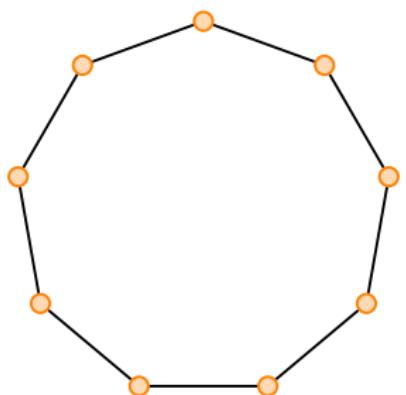


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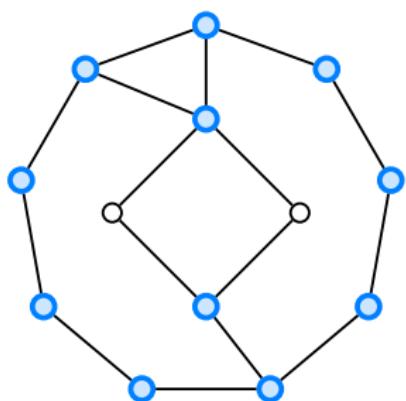
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## Nemhauser-Trotter theorem

$\mathcal{G}_{1/2}$  is the subgraph induced by the half-integral nodes  $V_{1/2}$ .

### Theorem [Nemhauser-Trotter]

Let  $x^* \in \{0, \frac{1}{2}, 1\}^V$  be an optimal extreme point solution to  $P(\mathcal{G})$ . Then,

$$w(\text{OPT}(\mathcal{G}_{1/2})) + w(V_1) = w(\text{OPT}(\mathcal{G})).$$

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### Corollary

If  $S \subset V_{1/2}$  is a  $\phi$ -approximate solution for  $\mathcal{G}_{1/2}$ , then  $S \cup V_1$  is a  $\phi$ -approximate solution for  $\mathcal{G}$ .

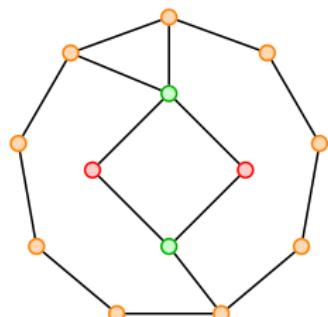
**Proof:**  $w(S) + w(V_1) \leq \phi w(\text{OPT}(\mathcal{G}_{1/2})) + w(V_1) \leq \phi w(\text{OPT}(\mathcal{G}))$

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→ We may restrict our attention to  $\mathcal{G}_{1/2}$ .



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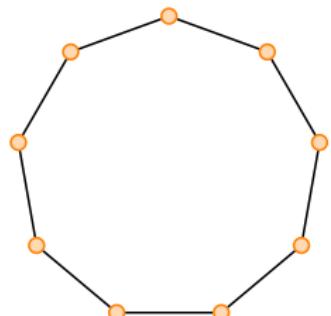
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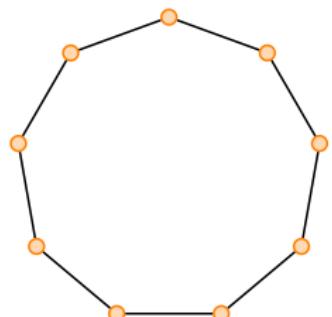
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## Question

Possible to exploit some information about  $\mathcal{G}_{1/2}$  for better approximation?

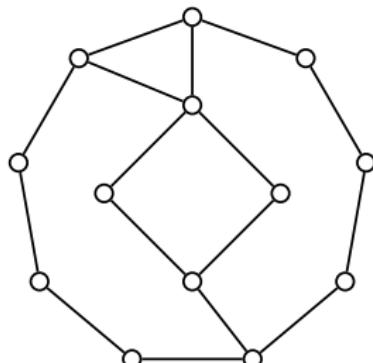
# Our Set-Up

## Algorithm: Additional Input

Suppose we have access to an odd cycle transversal  $S$  of  $\mathcal{G}_{1/2}$ ,  
i.e.  $\mathcal{G}_{1/2} \setminus S$  is a bipartite graph

## New Algorithm

- Solve the linear program  $P(\mathcal{G})$  to get  $V_0, V_1, V_{1/2}$
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- **Return:**  $V_1 \cup S \cup W$



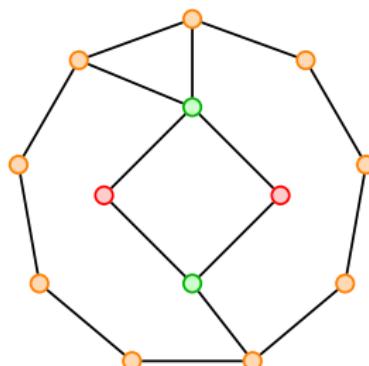
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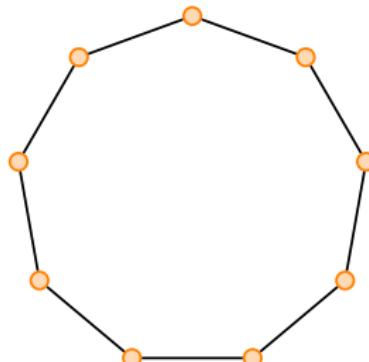
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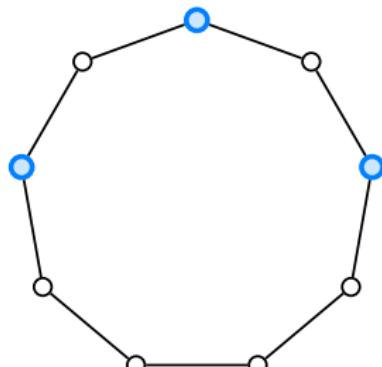
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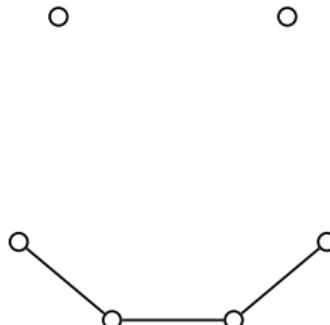
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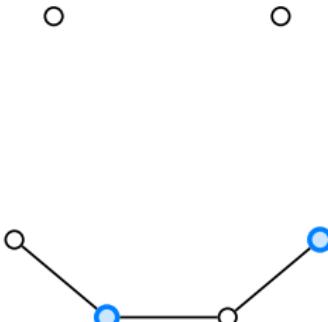
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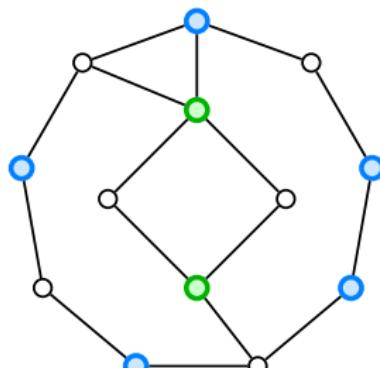
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- New/different view on a heavily studied problem

## High-Level View

- Weight Space: for which weight functions  $w : V \rightarrow \mathbb{R}$  is the solution  $(1/2, \dots, 1/2)$  optimal?
- Analysis of the algorithm under the assumption that  $S$  is a stable set.
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By Nemhauser-Trotter, we may focus only on graphs  $(V, E)$  with weights  $w : V \rightarrow \mathbb{R}_+$  such that  $(1/2, \dots, 1/2)$  is an optimal solution to  $P(\mathcal{G})$ .

### Lemma

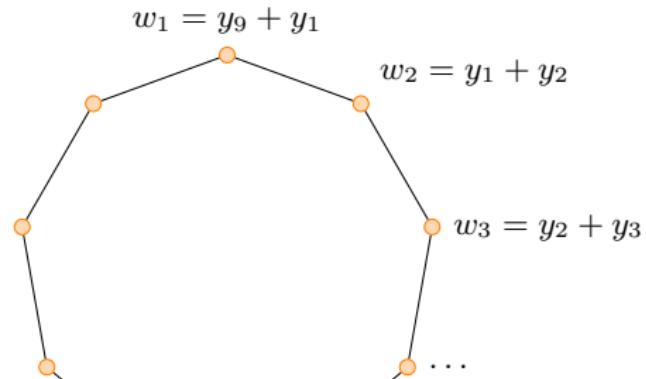
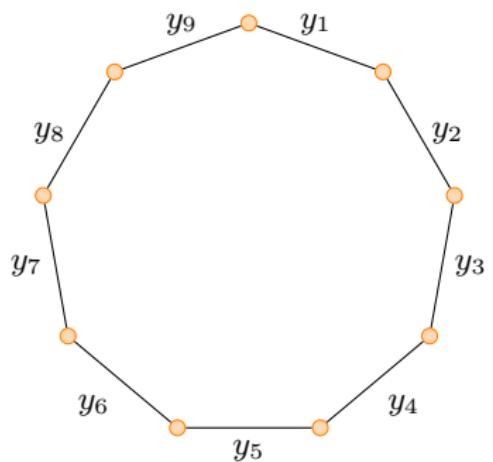
$(\frac{1}{2}, \dots, \frac{1}{2})$  is optimal for  $P(\mathcal{G}) \iff \exists y \in \mathbb{R}_+^E$  s.t.  $w_v = y(\delta(v)) \quad \forall v \in V$ .

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**Proof.** By comp. slackness, a primal-dual pair  $(x, y)$  is optimal iff

$$x_v > 0 \implies y(\delta(v)) = w_v \quad \forall v \in V \tag{1}$$

$$y_e > 0 \implies x_u + x_v = 1 \quad \forall e = (u, v) \in E \tag{2}$$

$\implies$  Follows from condition (1)

$\Leftarrow$  The pair  $(\frac{1}{2}, \dots, \frac{1}{2}), y$  satisfy both conditions (1) and (2)

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$$\implies w(V) = 2y(E)$$

The approximation ratio  $w(U)/w(\text{OPT})$  is invariant to scaling:

$$\implies \text{normalize } w(V) = 2 \text{ and } y(E) = 1$$

## Weight Space

$$Q^W := \{w \in \mathbb{R}_+^V \mid \exists y \in \mathbb{R}_+^E \text{ s.t. } y(E) = 1 \text{ and } w_v = y(\delta(v)) \quad \forall v \in V\}$$

## Lower Bound on OPT

### Lemma

Let  $\mathcal{G} = (V, E)$  be a graph. For any  $w \in Q^W$ ,

$$w(\text{OPT}(\mathcal{G})) \geq 1$$

**Proof.** Since  $w \in Q^W$ , the solution  $(\frac{1}{2}, \dots, \frac{1}{2})$  is an optimal LP solution, by the previous slide. Its objective value (or cost) is

$$w(V)/2 = 1.$$

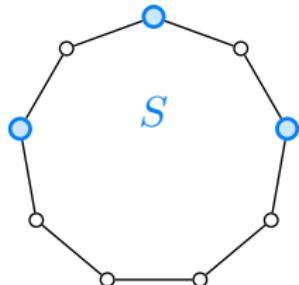
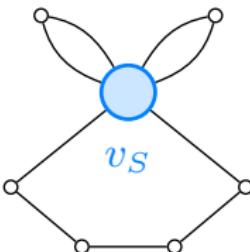
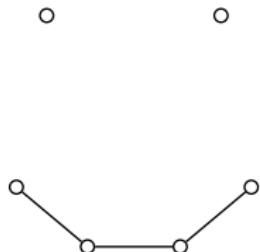
Since  $\text{OPT}(\mathcal{G})$  is a feasible LP solution, we get

$$w(\text{OPT}(\mathcal{G})) \geq 1.$$

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## Stable Set to Bipartite

 $\mathcal{G}$  $\tilde{\mathcal{G}} = \mathcal{G}/S$  $\mathcal{G}' = \mathcal{G} \setminus S$ 

**Definition:** parameter  $\rho$

$2\rho - 1$  denotes the *odd girth* (length of the shortest odd cycle) of  $\tilde{\mathcal{G}}$ .

Hence, the range is  $\rho \in [2, \infty]$

In the above example,  $\rho = 3$ , since the shortest odd cycle has length 5.

## Stable Set to Bipartite

### Algorithm/Approximation Ratio

Round on  $S$  and solve the integral linear program  $P(\mathcal{G} \setminus S)$ .

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- Every weight function is assumed WLOG to satisfy  $w \in Q^W$
- $\tilde{\mathcal{G}} = \mathcal{G}/S$  is the graph obtained after contracting  $S$
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### Theorem

Let  $(\mathcal{G}, S)$  be the input, with  $S$  being a stable set. Then

$$R(w) \leq 1 + \frac{1}{\rho}$$

for every  $w \in Q^W$ . Equality holds for a convex subset  $\mathcal{W} \subset Q^W$ .

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$\mathcal{G}' = \mathcal{G} \setminus S$  is the bipartite graph obtained after removing  $S$ .

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For a feasible vertex cover  $U \subset V \setminus S$  of the bipartite graph  $\mathcal{G}'$ , we define

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Covers  $U_1, \dots, U_k$  are *edge-separate* if  $\{E_{U_1}, \dots, E_{U_k}\}$  are pairwise disjoint.

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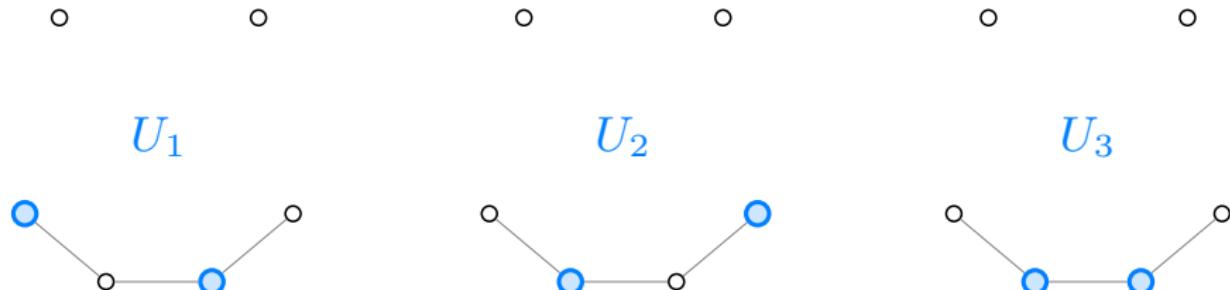
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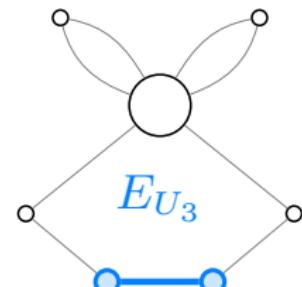
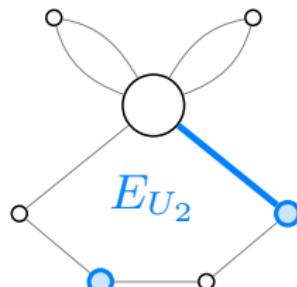
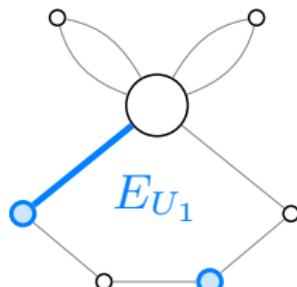
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Since  $w_v = y(\delta(v))$ , we can count the weight as

$$w(U) = y(E(\mathcal{G}')) + y(E_U)$$

because  $E(\mathcal{G}')$  is counted at least once, by feasibility, with a surplus of  $E_U$

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$$\begin{aligned} R(w) &= \frac{w(S) + w(OPT(\mathcal{G}'))}{w(OPT(\mathcal{G}))} \leq w(S) + w(OPT(\mathcal{G}')) \\ &\leq w(S) + \min_{i \in [k]} w(U_i) = y(\delta(S)) + y(E') + \min_{i \in [k]} y(E_{U_i}) \\ &= 1 + \min_{i \in [k]} y(E_{U_i}) \leq 1 + \frac{1}{k} \end{aligned}$$

## Constructing $\rho$ covers

### Lemma 2

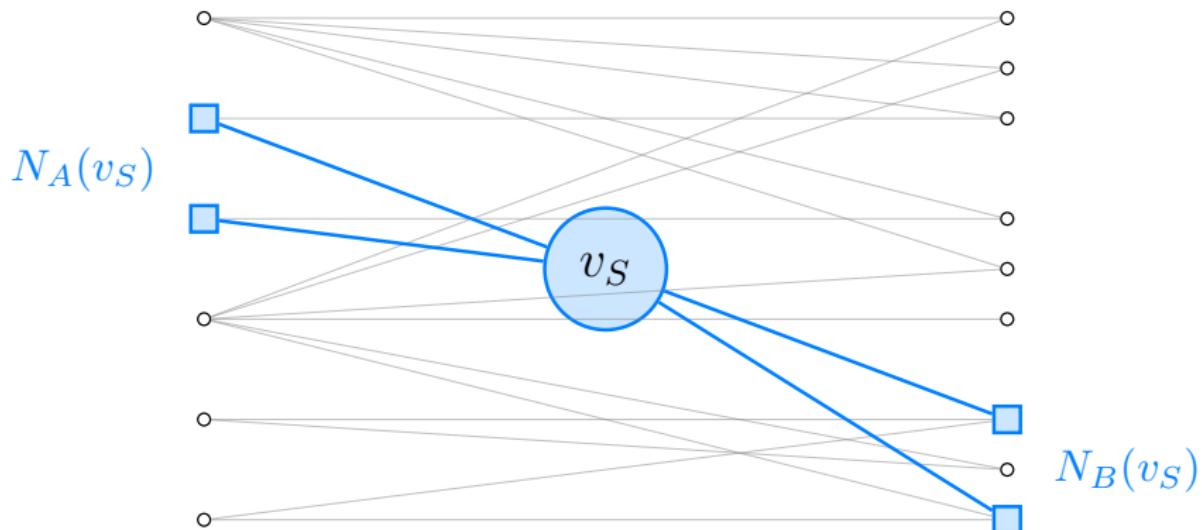
There exists  $\rho$  edge-separate covers of  $\mathcal{G}' = (A \cup B, E')$ , where  $2\rho - 1$  is the odd girth of the contracted graph  $\tilde{\mathcal{G}} = \mathcal{G}/S$ .

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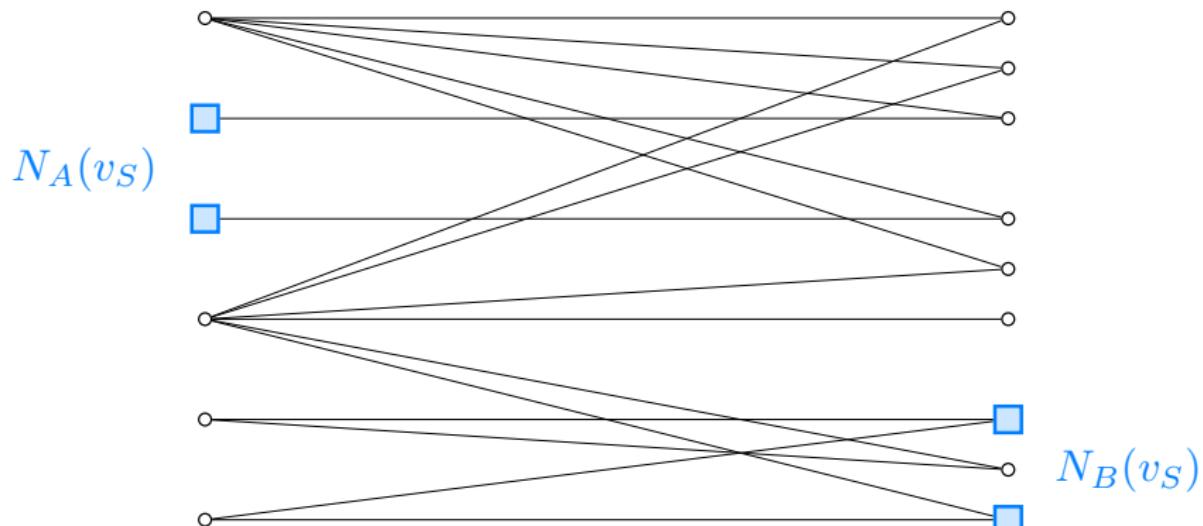


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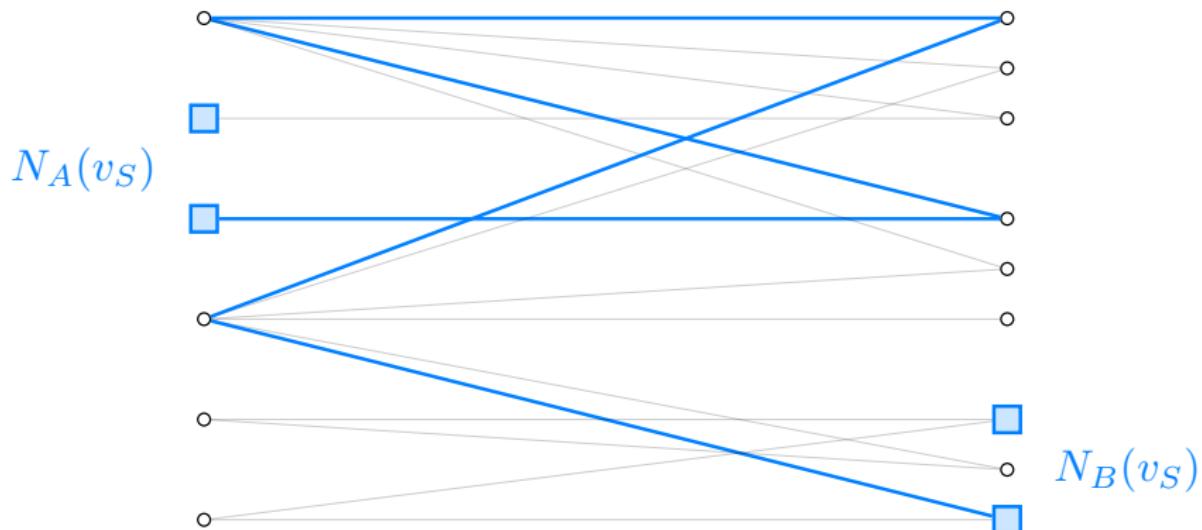


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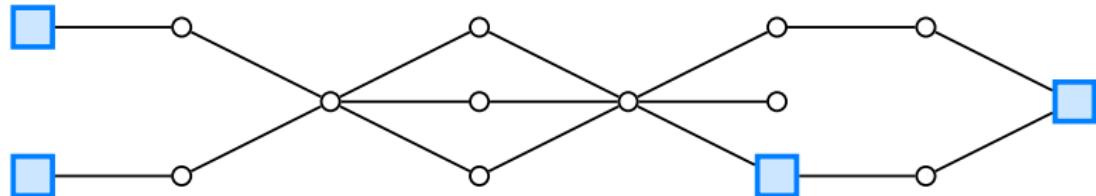


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$$\mathcal{L}_i := \left\{ v \in A \cup B \mid d(N_A(v_S), v) = i \right\} \quad \forall i \geq 0$$



$N_A(v_S)$

$\mathcal{L}_1$

$\dots$

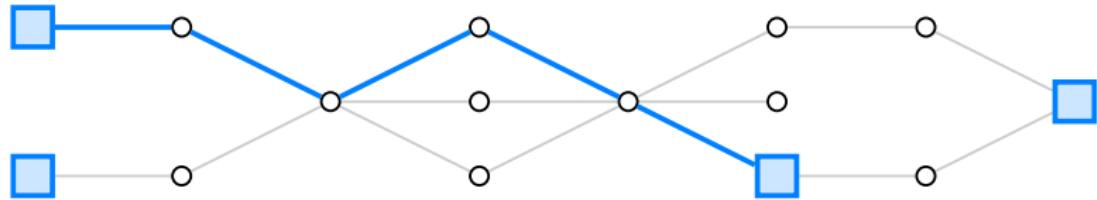
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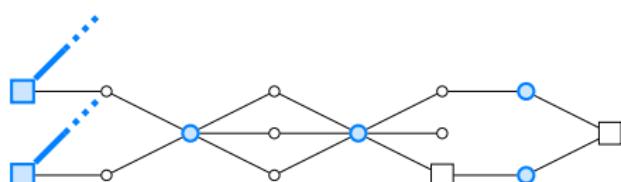
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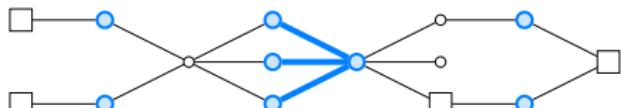
$$E_{U_1} = E_A = \delta_A(v_S)$$



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$$E_{U_3} = E[\mathcal{L}_1, \mathcal{L}_2]$$



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## $1 + 1/\rho$ approximation

### Theorem (Upper Bound)

Let  $(\mathcal{G}, S)$  be the input, with  $S$  being a stable set. Then  $R(w) \leq 1 + 1/\rho$  for every  $w \in Q^W$ , where  $2\rho - 1$  is the odd girth of  $\tilde{\mathcal{G}}$ .

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### Question.

Are there weight functions for which this bound is tight?

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Set both dual edges incident to  $v_S$  to  $1/\rho$  and then alternatingly set the dual edges to 0 and  $1/\rho$  along the odd cycle on  $\tilde{\mathcal{G}}$ .

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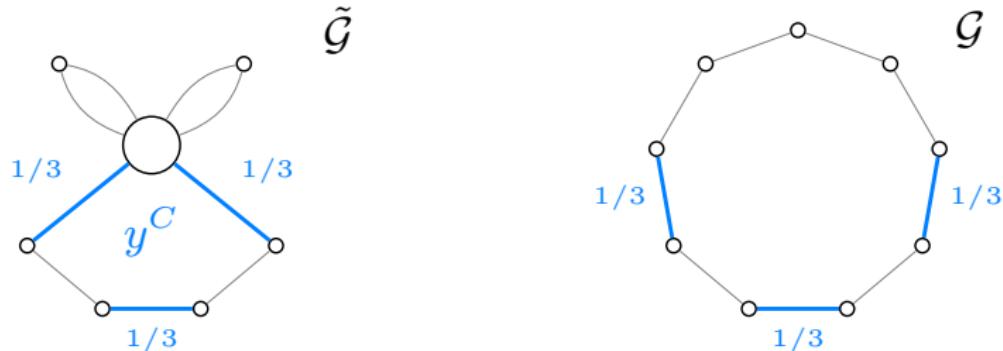
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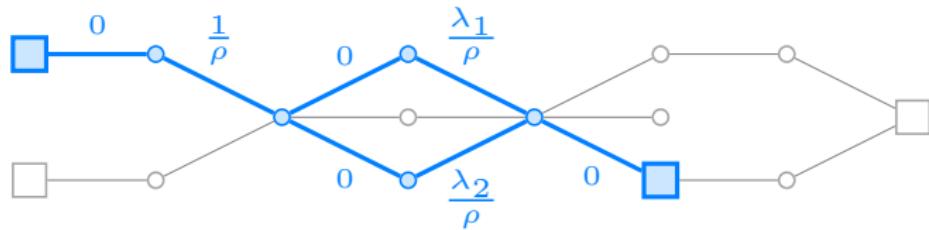
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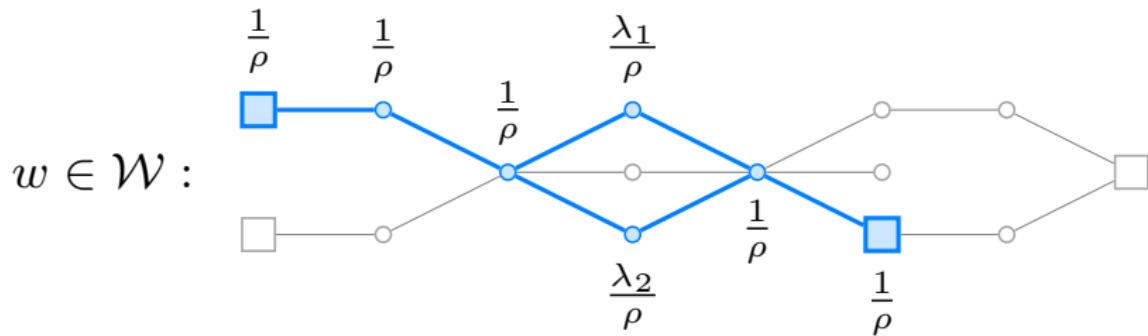
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# Integrality gap and fractional chromatic number

- Improved tight bounds on the integrality gap of  $P(\mathcal{G})$  and fractional chromatic number for 3-colorable graphs.
- Exact formulas for  $\tilde{\mathcal{G}}$ .
- Proof based on the layer decomposition.

## Theorem

$$\chi^f(\tilde{\mathcal{G}}) = 2 + \frac{1}{\rho - 1}, \quad IG(P(\tilde{\mathcal{G}})) = 1 + \frac{1}{2\rho - 1}$$

→ highlights importance of the odd girth parameter  $\rho$

## High-Level View

- Weight Space: for which weight functions  $w : V \rightarrow \mathbb{R}$  is the solution  $(1/2, \dots, 1/2)$  optimal?
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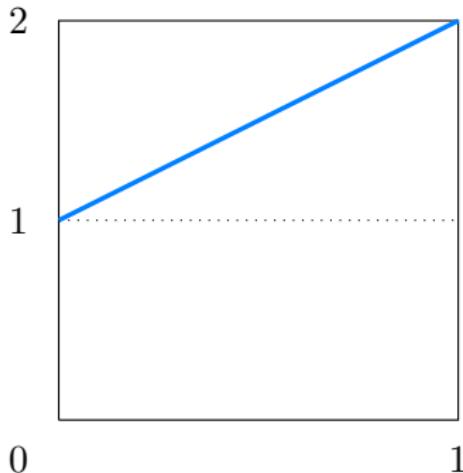
Let  $(\mathcal{G}, S)$  be the input, where  $S$  is arbitrary odd cycle transversal. Then

$$R(w) \leq \left(1 + \frac{1}{\rho}\right)(1 - \alpha) + 2\alpha$$

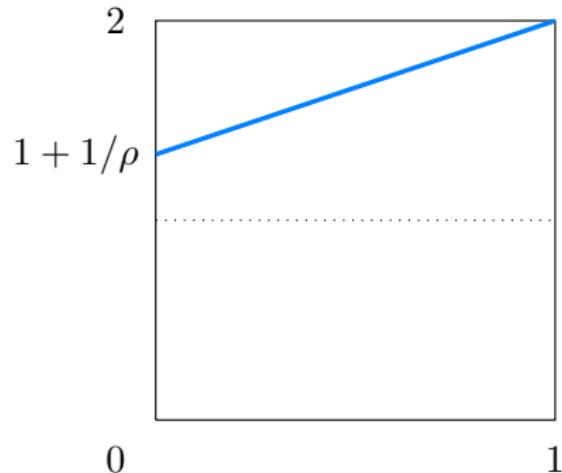
for every  $w \in Q^W$ . This bound is tight for any  $\alpha \in [0, 1]$  and  $\rho \in [2, \infty]$ .

- Interpolating "rounding curve" of the standard LP.
- Worst-case (standard 2-approximation):  $\alpha = 1$  for  $S = V_{1/2}$ .
- Best-case (bipartite graphs):  $\rho = \infty, \alpha = 0$ .
- In-between (e.g. 3-colorable graphs):  $\rho < \infty, \alpha = 0$ .

## Arbitrary Set to Bipartite



$$\rho = \infty$$



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Figure: Plot of  $R(w)$  with respect to  $\alpha \in [0, 1]$

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## Question.

How to find a good such set  $S$  algorithmically?

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Can find an  $\alpha := y(E[S]) \leq 1 - 4/k$

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Our worst-case bounds:

$$\rho = 2 \quad \alpha = 1 - 4/n$$

## Matching approximation

$$R(w) \leq \left(1 + \frac{1}{\rho}\right)(1 - \alpha) + 2\alpha = \frac{3}{2} - \frac{4}{n} + 2 - \frac{8}{n} = 2 - \frac{2}{n}$$

# Conclusion

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- Beyond the worst-case analysis
- Interpolating the rounding curve of the standard LP from 1 to 2
- Motivation coming from algorithms with predictions
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Thanks!