


# Windows Functions in SQL

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## What are Window Functions?

- A **Window Function** performs a calculation **across a set of rows** that are somehow related to the current row.
- Unlike GROUP BY, window functions **do not collapse rows**.
- Syntax uses OVER ( ... ) clause → defines the “window” of rows.

## ♦ Common Window Functions in Oracle SQL

### 1. Ranking Functions

- ROW\_NUMBER()
- RANK()
- DENSE\_RANK()
- NTILE()

### 2. Aggregate Functions as Window Functions

- SUM(), AVG(), COUNT(), MIN(), MAX() with OVER()

### 3. Analytic Functions

- LEAD() and LAG() → look ahead/behind
- FIRST\_VALUE() and LAST\_VALUE()


## Domain Example: Sales Data

Imagine a **Retail Sales** table:

```
CREATE TABLE sales (  
  sale_id NUMBER,  
  region VARCHAR2(20),  
  product VARCHAR2(20),  
  amount NUMBER  
);  
  
INSERT INTO sales VALUES (1, 'North', 'Laptop', 50000);  
INSERT INTO sales VALUES (2, 'North', 'Mobile', 30000);  
INSERT INTO sales VALUES (3, 'North', 'Tablet', 20000);  
INSERT INTO sales VALUES (4, 'South', 'Laptop', 40000);  
INSERT INTO sales VALUES (5, 'South', 'Mobile', 25000);  
INSERT INTO sales VALUES (6, 'South', 'Tablet', 15000);
```

## ♦ Examples of Window Functions

### 1. ROW\_NUMBER()

 Assigns a unique sequence number within each region.  
SELECT region, product, amount,

ROW\_NUMBER() OVER (PARTITION BY region ORDER BY amount DESC) AS row\_num  
FROM sales;

✓ Output:

REGION	PRODUCT	AMOUNT	ROW_NUM
North	Laptop	50000	1
North	Mobile	30000	2
North	Tablet	20000	3
South	Laptop	40000	1
South	Mobile	25000	2
South	Tablet	15000	3

## 2. RANK() vs DENSE\_RANK()

👉 Ranking based on sales within region.

SELECT region, product, amount,  
RANK() OVER (PARTITION BY region ORDER BY amount DESC) AS rank,  
DENSE\_RANK() OVER (PARTITION BY region ORDER BY amount DESC) AS dense\_rank  
FROM sales;

- RANK() skips numbers if there are ties.
- DENSE\_RANK() does not skip.

## 3. SUM() as Window Function

👉 Cumulative sales per region.

SELECT region, product, amount,  
SUM(amount) OVER (PARTITION BY region ORDER BY amount DESC) AS running\_total  
FROM sales;

✓ Output (North region):

- Laptop → 50000
  - Mobile → 80000
  - Tablet → 100000

## 4. LEAD() and LAG()

👉 Compare sales with next/previous product in the same region.

SELECT region, product, amount,  
LAG(amount, 1) OVER (PARTITION BY region ORDER BY amount DESC) AS prev\_amount,  
LEAD(amount, 1) OVER (PARTITION BY region ORDER BY amount DESC) AS next\_amount  
FROM sales;

✓ Output (North region):

- Laptop (50000, prev=null, next=30000)
- Mobile (30000, prev=50000, next=20000)
- Tablet (20000, prev=30000, next=null)

## 5. NTILE(3)

👉 Divide products in each region into 3 performance buckets.

SELECT region, product, amount,  
NTILE(3) OVER (PARTITION BY region ORDER BY amount DESC) AS performance\_bucket  
FROM sales;

✓ Output (North region):

- Laptop → Bucket 1 (top performers)
- Mobile → Bucket 2
- Tablet → Bucket 3 (low performers)

## Why Use Window Functions?

- In **Retail/E-commerce** → Top 5 selling products per region.
- In **Banking** → Customer transaction history (previous/next transaction).
- In **HR** → Employee salary rankings.
- In **Telecom** → Usage trends per subscriber.

## Key Takeaways

1. Window functions work with OVER() clause.
2. They **do not group rows**, they return **row + extra calculation**.
3. Use PARTITION BY (like GROUP BY per group).
4. Use ORDER BY to control ranking or running totals.