(Deep) Induction for GADTs

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Abstract

Deep data types are data types that are defined in terms of other such data types, including, in the case of truly nested types, themselves. Deep induction is an extension of structural induction that traverses all of the structure present in a structure of such a type, propagating suitable predicates to all of the data contained in that structure. Deep induction has been shown to be the form of induction most suitable for applications involving deep nested types. In this paper we show how to extend deep induction to a robust class of deep GADTs that are not truly nested. We also show that it cannot be extended to truly nested GADTs.

1 Introduction

Induction is one of the most important techniques available for working with advanced data types, so it is both inevitable and unsurprising that it plays an essential role in modern proof assistants. In the proof assistant Coq [7], for example, functions and predicates over advanced types are defined inductively, and almost all non-trivial proofs of their properties are either proved by induction outright or rely on lemmas that are. Every time a new inductive data type is declared in Coq, an induction rule is automatically generated for it.

The data types handled by Coq are (possibly mutually inductive) polynomial ADTs, and the induction rules automatically generated for them are the expected ones for standard structural induction. It has long been understood, however, that these rules are too weak to be genuinely useful for so-called *deep ADTs* [15], i.e., ADTs that are (possibly mutually inductively) defined in terms of (other) such ADTs. ¹ Consider, for example, the following type of rose trees, here coded in Agda and defined in terms of the standard type of lists:

data Rose : Set \rightarrow Set where

empty: Rose A

node : $A \rightarrow List (Rose A) \rightarrow Rose A$

The induction rule Coq automatically generates for rose trees is

$$\forall (a : Set) (P : Rose a \rightarrow Set) \rightarrow P empty \rightarrow (\forall (x : a) (ts : List (Rose a)) \rightarrow P (node x ts)) \rightarrow \forall (x : Rose a) \rightarrow Px$$

Unfortunately, this is neither the induction rule we intuitively expect, nor is it expressive enough to prove even basic properties of rose trees that ought to be amenable to inductive proof. What is needed here is an enhanced notion of induction that, when specialized to rose trees, will propagate the predicate P through the outer list structure and to the rose trees sitting inside node's list argument. More generally, this enhanced notion of induction should traverse all of the structure present in a data element, propagating suitable predicates to all of the data contained in the structure. With data types becoming ever more advanced, and with deeply structured such types becoming ever more ubiquitous in formalizations, it is critically important that proof assistants be able to automatically generate genuinely useful induction rules for data types that go well beyond traditional ADTs. Such data types include (truly) nested types [3] ², generalized algebraic data types (GADTs) [4,21,24,27], more richly indexed families [5], and deep variants of all of these.

¹ Such data types are called nested inductive types by Chlipala [6], reflecting the fact that "inductive type" means "ADT" in Coq.

 $^{^{2}}$ A truly nested type is a nested type that is defined over itself.

Deep induction [15] is a generalization of structural induction that fits this bill exactly. Whereas structural induction rules induct over only the top-level structure of data, leaving any data internal to the top-level structure untouched, deep induction rules induct over all of the structured data present. The key idea is to parameterize induction rules not just over a predicate over the top-level data type being considered, but also over additional custom predicates on the types of primitive data they contain. These custom predicates are then lifted to predicates on any internal structures containing these data, and the resulting predicates on these internal structures are lifted to predicates on any internal structures containing structures at the previous level, and so on, until the internal structures at all levels of the data type definition, including the top level, have been so processed. Satisfaction of a predicate by the data at one level of a structure is then conditioned upon satisfaction of the appropriate predicates by all of the data at the preceding level.

Deep induction was shown in [15] to be the form of induction most appropriate to nested types (including ADTs) that are defined over, or mutually recursively with, other such types (including, possibly, themselves). Deep induction delivers the following genuinely useful induction rule for rose trees:

$$\forall (a : Set) (P : Rose a \rightarrow Set) (Q : a \rightarrow Set) \rightarrow P empty \rightarrow (\forall (x : a) (ts : List (Rose a)) \rightarrow Qx \rightarrow List^{\land} P ts \rightarrow P (node x ts)) \rightarrow (1)$$

$$\forall (x : Rose a) \rightarrow Rose^{\land} Qx \rightarrow Px$$

Here, List^ (resp., Rose^) lifts its predicate argument P (resp., Q) on data of type Rose a (resp., a) to a predicate on data of type List (Rose a) (resp., Rose a) asserting that P (resp., Q) holds for every element of its list (resp., rose tree) argument. Deep induction was also shown in [15] to deliver the first-ever induction rules — structural or otherwise — for the Bush data type [3] and other truly nested types. Deep induction for ADTs and nested types is reviewed in Section 2 below.

This paper shows how to extend deep induction to proper GADTs, i.e., to GADTs that are not simply nested types (and thus are not ADTs). A constructor for such a GADT G may, like a constructor for a nested type, take as arguments data whose types involve instances of G other than the one being defined — including instances that involve G itself. But if G is a proper GADT then at least one of its constructors will also have such a structured instance of G — albeit one not involving G itself — as its codomain. For example, the constructor pair for the GADT Perhaps also show non-inhabitation?

data Seq (a : Set) : Set where
const :
$$a \rightarrow Seq a$$
 (2)
pair : Seq $a \rightarrow Seq b \rightarrow Seq (a \times b)$

of sequences only constructs sequences of pairs, rather than sequences of arbitrary type, as does const. If all of the constructors for a GADT G return structured instances of G, then some of G's instances might not be inhabited. GADTs therefore have two distinct, but equally natural, semantics: a functorial semantics interpreting them as left Kan extensions [16], and a parametric semantics interpreting them as their Church encodings [1,26]. As explained in [13], a key difference in the two semantics is that the former views GADTs as their functorial completions [14], and thus as containing more data than just those expressible in syntax. By contrast, the latter views them as what might be called syntax-only GADTs. Happily, these two views of GADTs coincide for those that are ADTs or other nested types. However, both they and their attendant properties differ greatly for proper GADTs. In fact, the views deriving from the functorial and parametric semantics for proper GADTs are sufficiently distinct that, by contrast with the situation for ADTs and other nested types [2,9,12], it is not actually possible to define a functorial parametric semantics for them [13].

This observation seems, at first, to be a death knell for the prospect of extending deep induction to GADTs. Indeed, since induction can be seen as unary parametricity, we quickly realize that GADTs viewed as their functorial completions cannot possibly support induction rules. This makes sense intuitively: induction is a syntactic proof technique, so of course it cannot be used to prove properties of those elements of a GADT's functorial completion that are not expressible in syntax. All is not lost, however. As we show below, the Church encoding interpretation's syntax-only view does support induction rules — including deep induction rules — for GADTs. Perhaps surprisingly, ours are the first-ever induction rules — deep or otherwise — for a general class of proper GADTs. But this paper actually delivers more: it gives a general framework for deriving deep induction rules for a general class of deep GADTs directly from their syntax. This framework can serve as a basis for extending modern proof assistants' automatic generation of structural induction rules for ADTs to automatic generation of deep induction rules for GADTs. As for ADTs and other nested types,

³ Predicate liftings such as List^ and Rose^ can either be supplied as primitives or generated automatically from their associated data type definitions as described in Section 2 below. The predicate lifting for a container type like List t or Rose t simply traverses containers of that type and applies its predicate argument pointwise to the constituent data of type t.

the structural induction rule for any GADT can be recovered from its deep induction rule simply by taking the custom predicates in its deep induction rule to be constantly True-valued predicates.

Deep induction rules for GADTs cannot, however, be derived by somehow extending the techniques of [15] to syntax-only GADTs. Indeed, the derivation of induction rules given there makes crucial use of the functoriality of data types' interpretations from [14], and that is precisely what the interpretation of GADTs as their Church encodings fails to deliver. Instead, we first give a predicate lifting styled after those of [15], together with a (deep) induction rule, and for the simplest — and arguably most important — GADT, namely the equality GADT. (See Section 4.1.) We can then derive the deep induction rule for any other GADT G by i) using the equality GADT to represent G as its so-called Henry Ford encoding [4,10,17,23,24], and ii) using the predicate liftings for the equality GADT and any other GADTs appearing in the definition of G to appropriately thread the custom predicates for the primitive types appearing in G through its structure. This two-step process delivers deep induction rules for a broad class of deep GADTs. In Section 3 we introduce a series of increasingly complex GADTs as running examples, and in Section 4 we derive a deep induction rule for each of them. In particular, we derive the deep induction rule for Seq in Section 4.2. We present our general framework for deriving (deep) induction rules for (deep) GADTs in Section 5, and observe that the derivations in Section 4 are all instances of it. In Section 6 we show that, by contrast with truly nested types, which do have a functorial semantics, syntax-only GADTs' lack of functoriality means that it is not possible to extend induction — deep or otherwise — to truly nested GADTs. This does not appear to be much of a restriction, however, since GADTs defined over themselves do not, to our knowledge, appear in applications or the literature. Section 7 details a case study in using deep induction. All of the deep induction rules appearing in this paper have been derived using our general framework. Our Agda code implementing them is available at [11].

Related Work Various techniques for deriving induction rules for data types that go beyond ADTs have been studied. For example, Fu and Selinger [8] show, via examples, how to derive induction rules for arbitrary nested types. Unfortunately, however, their technique is rather ad hoc, so is unclear how to generalize it to nested types other than the specific ones in the examples. Moreover, it actually derives induction rules for data types related to the original nested types rather than for the original nested types themselves, and it is unclear whether or not the derived rules are sufficiently expressive to prove all results about the original nested types that we would expect to be provable by induction. This latter point echoes the issue with Coq-derived induction rule for rose trees raised in Section 1, which has the unfortunate effect of forcing users to manually write induction (and other) rules for such types for use in that system. Tassi [25] has done exactly that, deriving induction rules for data type definitions in Coq using unary parametricity. Tassi's technique seems to be essentially equivalent to that of [14] for nested types, although he does not permit true nesting. More recently, Ulrich [28] has implemented a plugin in MetaCoq to generate induction rules for nested types. This plugin is also based on unary parametricity and, again, true nesting is not permitted. As far as we know, no attempt has yet been made to extend either implementation to GADTs. In fact, we know of no work other than that reported here that specifically addresses induction rules for (deep) GADTs.

2 Deep induction for ADTs and nested types

A structural induction rule for a data type allows us to prove that if a predicate holds for every element inductively produced by the data type's constructors then it holds for every element of the data type. In this paper, we are interested in induction rules for proof-relevant predicates. A proof-relevant predicate on a type A: Set is a function $P:A\to$ Set mapping each a:A to the set of proofs that Pa holds. For example, the induction rule for the standard list type

is

```
\forall (A:Set)(P:List\,A \to Set) \to P\,nil \to \big(\forall (a:A)(as:List\,A) \to P\,as \to P\,(cons\,a\,as)\big) \to \forall (as:List\,A) \to P\,as \to P\,(cons\,a\,as)
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As in Coq's induction rule for rose trees, the data inside a structure of type List is treated monolithically (i.e., ignored) by this structural induction rule. By contrast, the deep induction rule for lists is parameterized over a custom predicate Q on A as described in the introduction. For List^ as described in the introduction it is

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\forall (A:Set)(P:List\,A \to Set)(Q:A \to Set) \to P\,Nil \to \big(\forall (a:A)(as:List\,A) \to Q\,a \to P\,as \to P\,(Cons\,a\,as)\big) \\ \to \forall (as:List\,A) \to List^{\wedge}\,A\,Q\,as \to P\,as
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Structural induction can be extended to nested types, such as the following type of perfect trees [3]:

data PTree : Set \rightarrow Set where pleaf : A \rightarrow PTree A pnode : PTree (A \times A) \rightarrow PTree A

Perfect trees can be thought of as lists constrained to have lengths that are powers of 2. In the above code, the constructor pnode uses data of type PTree (A × A) to construct data of type PTree A. Thus, it is clear that the instances of PTree at various indices cannot be defined independently, and that the entire inductive family of types must therefore be defined at once. This intertwinedness of the instances of nested types is reflected in their structural induction rules, which, as explained in [15], must necessarily involve polymorphic predicates rather than the monomorphic predicates appearing in structural induction rules for ADTs. The structural induction rule for perfect trees, for example, is

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 \forall (P: \forall (A:Set) \rightarrow \mathsf{PTree}\, A \rightarrow \mathsf{Set}) \rightarrow \big( \forall (A:Set)(a:A) \rightarrow \mathsf{P}\, A \, (\mathsf{pleaf}\, a) \big) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, (A \times A)) \rightarrow \mathsf{P}\, (A \times A) \, tt \rightarrow \mathsf{P}\, a \, (\mathsf{pnode}\, tt) \big) \rightarrow \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall (A:Set)(t:\mathsf{PTree}\, A) \rightarrow \mathsf{P}\, A \, tt ) \\ \rightarrow \big( \forall
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The deep induction rule for perfect trees similarly uses polymorphic predicates but otherwise follows the now-familiar pattern:

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 \forall (P: \forall (A:Set) \rightarrow (A \rightarrow Set) \rightarrow \mathsf{PTree} \, A \rightarrow Set) \rightarrow \big( \forall (A:Set)(Q:A \rightarrow Set)(a:A) \rightarrow Q \, a \rightarrow \mathsf{PAQ} \, (\mathsf{Pleaf} \, a) \big) \\ \rightarrow \big( \forall (A:Set)(Q:A \rightarrow Set)(tt:\mathsf{PTree} \, (A \times A)) \rightarrow \mathsf{P} \, (A \times A) \, (\mathsf{Pair}^{\wedge} \, A \, A \, Q \, Q) \, tt \rightarrow \mathsf{PAQ} \, (\mathsf{Pnode} \, tt) \big) \\ \rightarrow \forall (A:Set)(Q:A \rightarrow Set)(t:\mathsf{PTree} \, A) \rightarrow \mathsf{PTree}^{\wedge} \, A \, Q \, t \rightarrow \mathsf{PAQ} \, t
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Here, $Pair^{\wedge} : \forall (A \ B : Set) \rightarrow (A \rightarrow Set) \rightarrow (B \rightarrow Set) \rightarrow A \times B \rightarrow Set$ lifts predicates Q_A on data of type A and Q_B on data of type B to a predicate on pairs of type $A \times B$ in such a way that $Pair^{\wedge} A B Q_A Q_B (a, b) = Q_A a \times Q_B b$. Similarly, $PTree^{\wedge} : \forall (A : Set) \rightarrow (A \rightarrow Set) \rightarrow PTree A \rightarrow Set$ lifts a predicate Q on data of type A to a predicate on data of type PTree A asserting that Q holds for every element of type A contained in its perfect tree argument.

It is not possible to extend structural induction to *truly* nested types, i.e., to nested types whose recursive occurrences appear below themselves. The quintessential example of such a type is that of bushes [3]:

```
data Bush : Set \rightarrow Set where bnil : Bush A bcons : A \rightarrow Bush (Bush A) \rightarrow Bush A
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Even defining a structural induction rule for bushes requires that we be able to lift the rule's polymorphic predicate argument to Bush itself. The more general observation that an induction rule for any truly nested type must therefore necessarily be a deep induction rule was, in fact, the original motivation for the development of deep induction in [15]. The deep induction rule for bushes is

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 \forall (P: \forall (A:Set) \rightarrow (A \rightarrow Set) \rightarrow \mathsf{Bush}\, A \rightarrow Set) \rightarrow \big( \forall (A:Set) \rightarrow P\, A\, \mathsf{bnil} \big) \\ \rightarrow \big( \forall (A:Set)(Q:A \rightarrow Set)(a:A)(bb:Bush\, (Bush\, A)) \rightarrow Q\, a \rightarrow P\, \big( Bush\, A \big) \, \big( Bush^{\wedge}\, A\, Q \big) \, bb \rightarrow P\, A\, Q \, \big( bcons\, a\, bb \big) \big) \\ \rightarrow \forall (A:Set)(Q:A \rightarrow Set)(b:Bush\, A) \rightarrow Bush^{\wedge}\, A\, Q\, b \rightarrow P\, A\, Q\, b
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Here, $Bush^{\wedge}$: $\forall (A:Set) \rightarrow (A \rightarrow Set) \rightarrow Bush A \rightarrow Set$ is the following lifting of a predicate Q on data of type A to a predicate on data of type Bush A asserting that Q holds for every element of type A contained in its argument bush:

$$Bush^{\wedge} A Q bnil = \top$$

$$Bush^{\wedge} A Q (bcons a bb) = Q a \times Bush^{\wedge} (Bush A) (Bush^{\wedge} A Q) bb$$

Although a truly nested type admits only a single induction rule, it is worth noting that for those nested types that do admit distinct structural induction and deep induction rules, the latter generalizes the former. Indeed, the structural induction rule for such a nested type is recoverable from its deep induction rule by taking the custom predicates on its data of primitive types to be constantly True-valued predicates. This instantiation ensures that the resulting induction rule only inspects the top-level structure of its argument, rather than the contents of that structure, which exactly coindices with what structural induction should do.

3 (Deep) GADTs

While a data constructor for a nested type can take as arguments data whose types involve instances of that type at indices other than the one being defined, its return type must still be at the (variable) type instance being defined. For example, every data constructor for PTree A must return an element of type PTree A, regardless of the instances of PTree appearing in the types of its arguments. GADTs relax this restriction, allowing their data constructors both to take as arguments and return as results data whose types involve instances of them other than the one being defined. And as with the return type of pair in (2), these instances can be structured.

GADTs are used in precisely those situations in which different behaviors at different instances of data types are desired. This is achieved by allowing the programmer to give the type signatures of the GADT's data constructors independently, and then taking advantage of pattern matching to force the desired type refinement. For example, the equality GADT

data Equal : Set
$$\rightarrow$$
 Set \rightarrow Set where refl : Equal A A (3)

is parametrized by two type indices, but it is only possible to construct data elements of type Equalab if a and b are instantiated at the same type. If the types a and b are syntactically identical then the type Equalab contains the single data element refl. It contains no data elements otherwise.

The importance of the equality GADT lies in the fact that we can understand other GADTs in terms of it. For example, the GADT Seq from (2) comprises constant sequences of data of any type A and sequences obtained by pairing the data in two already existing sequences. This GADT can be rewritten as its Henry Ford encoding, which makes critical use of the equality GADT, as follows:

data Seq : Set
$$\rightarrow$$
 Set where
const : A \rightarrow Seq A (4)
pair : \forall (B C : Set) \rightarrow Equal A (B \times C) \rightarrow Seq B \rightarrow Seq C \rightarrow Seq A

Here, the requirement that pair produce data at an instance of Seq that is a product type is replaced with the requirement that pair produce data at an instance of Seq that is equal to a product type. As we will see in Section 4, the presence of the equality GADT is key to deriving deep induction rules for GADTs.

Neither Equal nor Seq is a deep GADT, but the following GADT LTerm, which encodes terms of a simply typed lambda calculus, is. More robust variations on LTerm are, of course, possible. But since this variation is rich enough to illustrate all essential aspects of deep GADTs — and later, in Section 4.3, their deep induction rules — while still being small enough to ensure clarity of exposition, we keep it to a minimum.

Types are either booleans, arrow types, or list types. They are represented by the Henry Ford GADT

data LType : Set
$$\rightarrow$$
 Set where
bool : \forall (B : Set) \rightarrow Equal A Bool \rightarrow LType A
arr : \forall (B C : Set) \rightarrow Equal A (B \rightarrow C) \rightarrow LType B \rightarrow LType C \rightarrow LType A
list : \forall (B : Set) \rightarrow Equal A (List B) \rightarrow LType B \rightarrow LType A

Terms are either variables, abstractions, applications, or lists of terms. They are represented by

data LTerm : Set
$$\rightarrow$$
 Set where

var : String \rightarrow LType A \rightarrow LTerm A

abs : \forall (B C : Set) \rightarrow Equal A (B \rightarrow C) \rightarrow String \rightarrow LType B \rightarrow LTerm C \rightarrow LTerm A

app : \forall (B : Set) \rightarrow LTerm(B \rightarrow A) \rightarrow LTerm B \rightarrow LTerm A

list : \forall (B : Set) \rightarrow Equal A (List B) \rightarrow List (LTerm B) \rightarrow LTerm A

The type parameter for LTerm tracks the types of simply typed lambda calculus terms. For example, LTerm A is the type of simply typed lambda terms of type A. Variables are tagged with their types by the data constructors var and abs, whose LType arguments ensure that their type tags are legal types. This ensures that all lambda terms produced by var, abs, app, and list are well-typed. We will revisit these GADTs in Sections 4 and 7.

4 (Deep) induction for GADTs

The equality constraints engendered by GADTs' data constructors makes deriving (deep) induction rules for then more involved than for ADTs and other nested types. Nevertheless, we show in this section how to do so. We first illustrate the key components of our approach by deriving deep induction rules for the three specific GADTs introduced in Section 3. Then, in Section 5, we abstract these to a general framework that can be applied to any deep GADT that is not truly nested. As hinted above, the predicate lifting for the equality GADT plays a central role in deriving both s tructural and deep induction rules for more general GADTs.

4.1 (Deep) induction for Equal

To define the (deep) induction rule for any (deep) GADT G we first need to define a predicate lifting that maps a predicate on a type A and to a predicate on GA. Such a predicate lifting $Equal^{\land}: \forall (AB:Set) \rightarrow (A \rightarrow Set) \rightarrow (B \rightarrow Set) \rightarrow Equal(AB) \rightarrow Set$ for $Equal(AB) \rightarrow Set$ for Equ

$$\lambda(P: \forall (AB:Set) \rightarrow (A \rightarrow Set) \rightarrow (B \rightarrow Set) \rightarrow Equal AB \rightarrow Set)$$

$$\rightarrow \forall (C:Set)(QQ':C \rightarrow Set) \rightarrow Equal^{\land} CCQQ'refl \rightarrow PCCQQ'refl$$

The deep induction rule for G now states that, if all of G's data constructors respect a predicate P, then P is satisfied by every element of G to which the custom predicate arguments to P can be successfully lifted. The deep induction rule for Equal is thus

$$\forall (P : \forall (AB : Set) \rightarrow (A \rightarrow Set) \rightarrow (B \rightarrow Set) \rightarrow Equal AB \rightarrow Set) \rightarrow dIndRefl P \rightarrow \\ \forall (AB : Set)(Q_A : A \rightarrow Set)(Q_B : B \rightarrow Set)(e : Equal AB) \rightarrow Equal^A B Q_A Q_B e \rightarrow P AB Q_A Q_B e$$

$$(7)$$

To prove that this rule is sound we must provide a witness dIndEqual inhabiting the type in (7). By pattern matching, we need only consider the case where A = B and e = refl, so we can define dIndEqual by dIndEqual P crefl A A Q_A refl liftE = crefl A Q_A Q_A' liftE. We can recover the structural induction rule

$$\forall (Q: \forall (AB:Set) \rightarrow Equal AB \rightarrow Set) \rightarrow (\forall (C:Set) \rightarrow PCCrefl) \rightarrow \forall (AB:Set)(e:Equal AB) \rightarrow PABe \qquad (8)$$

for Equal by defining a term indEqual of the type in (8) by indEqual Q srefl A B e = dIndEqual P srefl A B K_{τ}^{A} K_{τ}^{B} e liftE. Here, e: Equal A B, P: \forall (A B: Set) \rightarrow (B \rightarrow Set) \rightarrow Equal A B \rightarrow Set is defined by P A B Q_A Q_B e = Q A B e, K_{τ}^{A} and K_{τ}^{B} are the constantly T-valued predicates on A and B, respectively, and liftE: Equal A B K_{τ}^{A} K_{τ}^{B} e is defined by liftEa = refl: Equal A A for every a: A. The structural induction rule for any GADT G that is not truly nested can similarly be recovered from its deep induction rule by instantiating every custom predicate by the appropriate constantly T-valued predicate.

4.2 (Deep) induction for Seq

To derive the deep induction rule for the GADT Seq we use its Henry Ford encoding from (4). We first define its predicate lifting $Seq^{\wedge}: \forall (A:Set) \rightarrow (A \rightarrow Set) \rightarrow Seq A \rightarrow Set$ by

$$\begin{split} & \mathsf{Seq}^\wedge \, \mathsf{A} \, \mathsf{Q}_\mathsf{A} \, (\mathsf{const} \, \mathsf{a}) \\ & = \, \mathsf{Q}_\mathsf{A} \, \mathsf{a} \\ & \mathsf{Seq}^\wedge \, \mathsf{A} \, \mathsf{Q}_\mathsf{A} \, (\mathsf{sPair} \, \mathsf{B} \, \mathsf{C} \, \mathsf{e} \, \mathsf{s}_\mathsf{B} \, \mathsf{s}_\mathsf{C}) \, = \, \exists [\mathsf{Q}_\mathsf{B}] \exists [\mathsf{Q}_\mathsf{C}] \, \mathsf{Equal}^\wedge \, \mathsf{A} \, (\mathsf{B} \, \times \, \mathsf{C}) \, \mathsf{Q}_\mathsf{A} \, (\mathsf{Q}_\mathsf{B} \, \times \, \mathsf{Q}_\mathsf{C}) \, \mathsf{e} \, \times \, \mathsf{Seq}^\wedge \, \mathsf{B} \, \mathsf{Q}_\mathsf{B} \, \mathsf{s}_\mathsf{B} \, \times \, \mathsf{Seq}^\wedge \, \mathsf{C} \, \mathsf{Q}_\mathsf{C} \, \mathsf{s}_\mathsf{C} \end{split}$$

Here, a:A, $Q_B:B\to Set$, $Q_C:C\to Set$, $e:Equal\,A\,(B\times C)$, $s_B:Seq\,B$, $s_C:Seq\,C$, and $\exists [x]\,Fx$ is syntactic sugar for the type of dependent pairs (x,b) where x:A and b:Fx and $F:A\to Set$.

Next, let dlndConst be the induction hypothesis

$$\lambda(P: \forall (A:Set) \rightarrow (A \rightarrow Set) \rightarrow Seq A \rightarrow Set) \rightarrow \forall (A:Set)(Q_A:A \rightarrow Set)(a:A) \rightarrow Q_A \times PAQ_A (consta)$$

```
 \begin{aligned} \mathsf{LType}^\wedge \mathsf{A}\, \mathsf{Q}_\mathsf{A}\, (\mathsf{bool}\,\mathsf{B}\,\mathsf{e}) &= \ \exists [\mathsf{Q}_\mathsf{B}] \, \mathsf{Equal}^\wedge \mathsf{A}\, \mathsf{B}\, \mathsf{Q}_\mathsf{A}\, \mathsf{K}^\mathsf{Bool}_\mathsf{T}\, \mathsf{e} \\ \mathsf{LType}^\wedge \mathsf{A}\, \mathsf{Q}_\mathsf{A}\, (\mathsf{arr}\,\mathsf{B}\,\mathsf{C}\,\mathsf{e}\,\mathsf{T}_\mathsf{B}\,\mathsf{T}_\mathsf{C}) &= \ \exists [\mathsf{Q}_\mathsf{B}] \, \exists [\mathsf{Q}_\mathsf{c}] \, \mathsf{Equal}^\wedge \mathsf{A}\, (\mathsf{B} \to \mathsf{C})\, \mathsf{Q}_\mathsf{A}\, (\mathsf{Arr}^\wedge \,\mathsf{B}\,\mathsf{C}\, \mathsf{Q}_\mathsf{B}\, \mathsf{Q}_\mathsf{C})\, \mathsf{e} \times \mathsf{LType}^\wedge \,\mathsf{B}\, \mathsf{Q}_\mathsf{B}\, \mathsf{T}_\mathsf{B} \times \mathsf{LType}^\wedge \,\mathsf{C}\, \mathsf{Q}_\mathsf{C}\, \mathsf{T}_\mathsf{C} \\ \mathsf{LType}^\wedge \mathsf{A}\, \mathsf{Q}_\mathsf{A}\, (\mathsf{list}\,\mathsf{B}\,\mathsf{e}\,\mathsf{T}_\mathsf{B}) &= \ \exists [\mathsf{Q}_\mathsf{B}] \, \mathsf{Equal}^\wedge \,\mathsf{A}\, (\mathsf{List}\,\mathsf{B})\, \mathsf{Q}_\mathsf{A}\, (\mathsf{List}^\wedge \,\mathsf{B}\, \mathsf{Q}_\mathsf{B})\, \mathsf{e} \times \mathsf{LType}^\wedge \,\mathsf{B}\, \mathsf{Q}_\mathsf{B}\, \mathsf{T}_\mathsf{B} \\ \mathsf{LTerm}^\wedge \mathsf{A}\, \mathsf{Q}_\mathsf{A}\, (\mathsf{avr}\,\mathsf{s}\,\mathsf{T}_\mathsf{A}) &= \ \mathsf{LType}^\wedge \,\mathsf{A}\, \mathsf{Q}_\mathsf{A}\, \mathsf{T}_\mathsf{A} \\ \mathsf{LTerm}^\wedge \,\mathsf{A}\, \mathsf{Q}_\mathsf{A}\, (\mathsf{abs}\,\mathsf{B}\,\mathsf{C}\,\mathsf{es}\,\mathsf{T}_\mathsf{B}\,\mathsf{t}_\mathsf{C}) &= \ \exists [\mathsf{Q}_\mathsf{B}] \, \exists [\mathsf{Q}_\mathsf{C}] \, \mathsf{Equal}^\wedge \,\mathsf{A}\, (\mathsf{B} \to \mathsf{C})\, \mathsf{Q}_\mathsf{A}\, (\mathsf{Arr}^\wedge \,\mathsf{B}\,\mathsf{C}\, \mathsf{Q}_\mathsf{B}\, \mathsf{Q}_\mathsf{C})\, \mathsf{e} \times \mathsf{LType}^\wedge \,\mathsf{B}\, \mathsf{Q}_\mathsf{B}\, \mathsf{T}_\mathsf{B} \times \mathsf{LTerm}^\wedge \,\mathsf{C}\, \mathsf{Q}_\mathsf{C}\, \mathsf{t}_\mathsf{C} \\ \mathsf{LTerm}^\wedge \,\mathsf{A}\, \mathsf{Q}_\mathsf{A}\, (\mathsf{abs}\,\mathsf{B}\,\mathsf{C}\,\mathsf{es}\,\mathsf{T}_\mathsf{B}\,\mathsf{t}_\mathsf{C}) &= \ \exists [\mathsf{Q}_\mathsf{B}] \, \exists [\mathsf{Q}_\mathsf{C}] \, \mathsf{Equal}^\wedge \,\mathsf{A}\, (\mathsf{B}\, \to \mathsf{C})\, \mathsf{Q}_\mathsf{A}\, (\mathsf{Arr}^\wedge \,\mathsf{B}\,\mathsf{C}\, \mathsf{Q}_\mathsf{B}\, \mathsf{Q}_\mathsf{C})\, \mathsf{e} \times \mathsf{LType}^\wedge \,\mathsf{B}\, \mathsf{Q}_\mathsf{B}\, \mathsf{T}_\mathsf{B} \times \mathsf{LTerm}^\wedge \,\mathsf{C}\, \mathsf{Q}_\mathsf{C}\, \mathsf{t}_\mathsf{C} \\ \mathsf{LTerm}^\wedge \,\mathsf{A}\, \mathsf{Q}_\mathsf{A}\, (\mathsf{abs}\,\mathsf{B}\,\mathsf{C}\,\mathsf{es}\,\mathsf{T}_\mathsf{B}\,\mathsf{t}_\mathsf{C}) &= \ \exists [\mathsf{Q}_\mathsf{B}] \, \exists [\mathsf{Q}_\mathsf{C}] \, \mathsf{Equal}^\wedge \,\mathsf{A}\, (\mathsf{B}\, \to \mathsf{C})\, \mathsf{Q}_\mathsf{A}\, (\mathsf{Arr}^\wedge \,\mathsf{B}\, \mathsf{C}\, \mathsf{Q}_\mathsf{B}\, \mathsf{Q}_\mathsf{C})\, \mathsf{e} \times \mathsf{LType}^\wedge \,\mathsf{B}\, \mathsf{Q}_\mathsf{B}\, \mathsf{T}_\mathsf{B} \times \mathsf{LTerm}^\wedge \,\mathsf{C}\, \mathsf{Q}_\mathsf{C}\, \mathsf{t}_\mathsf{C} \\ \mathsf{LTerm}^\wedge \,\mathsf{A}\, \mathsf{Q}_\mathsf{A}\, (\mathsf{abs}\, \mathsf{B}\, \mathsf{Ces}\, \mathsf{T}_\mathsf{B}\, \mathsf{t}_\mathsf{B}) &= \ \exists [\mathsf{Q}_\mathsf{B}] \, \mathsf{LTerm}^\wedge \,\mathsf{C}\, \mathsf{Q}_\mathsf{C}\, \mathsf{d}\, \mathsf{e} \times \mathsf{LType}^\wedge \,\mathsf{B}\, \mathsf{Q}_\mathsf{B}\, \mathsf{d}_\mathsf{B} \\ \mathsf{LTerm}^\wedge \,\mathsf{A}\, \mathsf{Q}_\mathsf{A}\, \mathsf{LTerm}^\wedge \,\mathsf{A}\, \mathsf{Q}_\mathsf{A}\, (\mathsf{Ats}\, \mathsf{Ces}\, \mathsf{C
```

associated with the constructor const, and let dIndPair be the induction hypothesis

$$\begin{split} &\lambda(P:\forall(A:Set)\rightarrow(A\rightarrow Set)\rightarrow Seq\,A\rightarrow Set)\rightarrow\\ &\forall(A\,B\,C:Set)(Q_A:A\rightarrow Set)(Q_B:B\rightarrow Set)(Q_C:C\rightarrow Set)(s_B:Seq\,B)(s_C:Seq\,C)(e:Equal\,A\,(B\times C))\rightarrow\\ ⩵^A\,(B\times C)\,Q_A\,(Pair^A\,B\,C\,Q_B\,Q_C)\,e\rightarrow P\,B\,Q_B\,s_B\rightarrow P\,C\,Q_C\,s_C\rightarrow PAQ_A(pair\,B\,C\,e\,s_B\,s_C) \end{split}$$

associated with the constructor pair. Then the deep induction rule for Seq is

$$\forall (P : \forall (A : Set) \rightarrow (A \rightarrow Set) \rightarrow Seq A \rightarrow Set) \rightarrow dIndConst P \rightarrow dIndPair P \rightarrow \forall (A : Set)(Q_A : A \rightarrow Set)(s_A : Seq A) \rightarrow Seq^A Q_A s_A \rightarrow PAQ_A s_A$$

$$(9)$$

To prove that this rule is sound we provide a witness dlndSeq inhabiting the type in (9) as follows:

In the first clause ahove, a:A, $Q_A:A\to Set$, $liftA:Seq^AQ_A$ (consta) = Q_Aa . In the second, $Q_B:B\to Set$, $Q_C:C\to Set$, $e:EqualA(B\times C)$, $s_B:SeqB$, $s_C:SeqC$, $liftE:Equal^A(B\times C)Q_A(Q_B\times Q_C)e$, $liftB:Seq^BQ_Bs_B$, and $liftC:Seq^CQ_Cs_C$ —which together ensure that $(Q_B,Q_C,liftE,liftB,liftC):Seq^AQ$ (sPair B C es Bs C)—and $p_B=dIndSeqP$ cconst cpair B Q_Bs_B liftB:PB Q_Bs_B and $p_C=dIndSeqP$ cconst cpair C Q_Cs_C liftC:PC Q_Cs_C .

4.3 (Deep) induction for LTerm

To derive the deep induction rule for the GADT LTerm we use its Henry Ford encoding from (5) and (6). We first define predicate lifting Arr^: \forall (AB:Set) \rightarrow (A \rightarrow Set) \rightarrow (B \rightarrow Set) \rightarrow (A \rightarrow B) \rightarrow Set for arrow types, since arrow types appear in LType and LTerm. It is given by Arr^ABQAQBf = \forall (a:A) \rightarrow QA a \rightarrow QB (fa). The predicate liftings LType^: \forall (A:Set) \rightarrow (A \rightarrow Set) \rightarrow LType A \rightarrow Set for LType and LTerm^: \forall (A:Set) \rightarrow (A \rightarrow Set) \rightarrow LTerm A \rightarrow Set for LTerm are defined in Figure 1. There, s:String, QA:A \rightarrow Set, QB:B \rightarrow Set, QC:C \rightarrow Set, KTO is the constantly T-valued predicate on Bool, TA:LType A, TB:LType B, TC:LType C, tB:LTerm B, tC:LTerm C, and tBA:LTerm (B \rightarrow A). Moreover, e:Equal A Bool in the first clause, e:Equal A (B \rightarrow C) in the second, e:Equal A (List B) in the third, e:Equal A (B \rightarrow C) in the fifth, and e:Equal A (List B), ts:List (LTermB), and List^ is the predicate lifting for lists from (1) in the seventh.

With these liftings in hand we can define the induction hypotheses dIndVar, dIndAbs, dIndApp, and dIndList associated with LTerms's data constructors. These are, respectively,

```
\begin{split} &\lambda(P:\forall(A:\mathsf{Set})\to(\mathsf{A}\to\mathsf{Set})\to\mathsf{LTerm}\,\mathsf{A}\to\mathsf{Set})\to\\ &\forall(A:\mathsf{Set})(\mathsf{Q}_A:\mathsf{A}\to\mathsf{Set})(s:\mathsf{String})(\mathsf{T}_A:\mathsf{LType}\,\mathsf{A})\to\mathsf{LType}^\wedge\,\mathsf{A}\,\mathsf{Q}_A\,\mathsf{T}_A\to\mathsf{P}\,\mathsf{A}\,\mathsf{Q}_A\,(\mathsf{var}\,\mathsf{s}\,\mathsf{T}_A)\\ &\lambda(P:\forall(A:\mathsf{Set})\to(\mathsf{A}\to\mathsf{Set})\to\mathsf{LTerm}\,\mathsf{A}\to\mathsf{Set})\\ &\to\forall(\mathsf{A}\,\mathsf{B}\,\mathsf{C}:\mathsf{Set})(\mathsf{Q}_A:\mathsf{A}\to\mathsf{Set})(\mathsf{Q}_B:\mathsf{B}\to\mathsf{Set})(\mathsf{Q}_C:\mathsf{C}\to\mathsf{Set})(e:\mathsf{Equal}\,\mathsf{A}\,(\mathsf{B}\to\mathsf{C}))(s:\mathsf{String})\\ &\to(\mathsf{T}_B:\mathsf{LType}\,\mathsf{B})\to(\mathsf{t}_C:\mathsf{LTerm}\,\mathsf{C})\to\mathsf{Equal}^\wedge\,\mathsf{A}\,(\mathsf{B}\to\mathsf{C})\,\mathsf{Q}_A\,(\mathsf{Arr}^\wedge\,\mathsf{B}\,\mathsf{C}\,\mathsf{Q}_B\,\mathsf{Q}_C)\,e\\ &\to\mathsf{LType}^\wedge\,\mathsf{B}\,\mathsf{Q}_B\,\mathsf{T}_B\to\mathsf{P}\,\mathsf{C}\,\mathsf{Q}_C\,\mathsf{t}_C\to\mathsf{P}\,\mathsf{A}\,\mathsf{Q}_A\,(\mathsf{abs}\,\mathsf{B}\,\mathsf{C}\,\mathsf{e}\,\mathsf{s}\,\mathsf{T}_B\,\mathsf{t}_C) \end{split}
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\begin{aligned} & \mathsf{dIndLTerm}\,\mathsf{P}\,\mathsf{cvar}\,\mathsf{cabs}\,\mathsf{capp}\,\mathsf{clist}\,\mathsf{A}\,\mathsf{Q}_\mathsf{A}\,(\mathsf{var}\,\mathsf{s}\,\mathsf{T}_\mathsf{A})\,\mathsf{lift}\mathsf{A} &= \mathsf{cvar}\,\mathsf{A}\,\mathsf{Q}_\mathsf{A}\,\mathsf{s}\,\mathsf{T}_\mathsf{A}\,\mathsf{lift}\mathsf{A} \\ & \mathsf{dIndLTerm}\,\mathsf{P}\,\mathsf{cvar}\,\mathsf{cabs}\,\mathsf{capp}\,\mathsf{clist}\,\mathsf{A}\,\mathsf{Q}_\mathsf{A}\,(\mathsf{abs}\,\mathsf{B}\,\mathsf{C}\,\mathsf{es}\,\mathsf{T}_\mathsf{B}\,\mathsf{t}_\mathsf{C})\,(\mathsf{Q}_\mathsf{B},\mathsf{Q}_\mathsf{C},\mathsf{liftE},\mathsf{lift}_{\mathsf{T}_\mathsf{B}},\mathsf{lift}_{\mathsf{t}_\mathsf{C}}) &= \mathsf{cabs}\,\mathsf{A}\,\mathsf{B}\,\mathsf{C}\,\mathsf{Q}_\mathsf{A}\,\mathsf{Q}_\mathsf{B}\,\mathsf{Q}_\mathsf{C}\,\mathsf{es}\,\mathsf{T}_\mathsf{B}\,\mathsf{t}_\mathsf{C}\,\mathsf{liftE}\,\mathsf{lift}_{\mathsf{T}_\mathsf{B}}\,\mathsf{p}_\mathsf{C} \\ & \mathsf{dIndLTerm}\,\mathsf{P}\,\mathsf{cvar}\,\mathsf{cabs}\,\mathsf{capp}\,\mathsf{clist}\,\mathsf{A}\,\mathsf{Q}_\mathsf{A}\,(\mathsf{app}\,\mathsf{B}\,\mathsf{t}_\mathsf{B},\mathsf{t}_\mathsf{B})\,(\mathsf{Q}_\mathsf{B},\mathsf{list}_{\mathsf{tB},\mathsf{A}},\mathsf{list}_{\mathsf{tB},\mathsf{B}}) &= \mathsf{capp}\,\mathsf{A}\,\mathsf{B}\,\mathsf{Q}_\mathsf{A}\,\mathsf{Q}_\mathsf{B}\,\mathsf{t}_\mathsf{B}\,\mathsf{t}_\mathsf{B}\,\mathsf{p}_\mathsf{B}\,\mathsf{p}_\mathsf{B} \\ & \mathsf{dIndLTerm}\,\mathsf{P}\,\mathsf{cvar}\,\mathsf{cabs}\,\mathsf{capp}\,\mathsf{clistc}\,\mathsf{A}\,\mathsf{Q}_\mathsf{A}\,(\mathsf{list}\,\mathsf{B}\,\mathsf{ets})\,(\mathsf{Q}_\mathsf{B},\mathsf{liftE}',\mathsf{lift}_\mathsf{List}) &= \mathsf{clistc}\,\mathsf{A}\,\mathsf{B}\,\mathsf{Q}_\mathsf{A}\,\mathsf{Q}_\mathsf{B}\,\mathsf{ets}\,\mathsf{liftE}'\,\mathsf{p}_\mathsf{List} \\ &= \mathsf{clistc}\,\mathsf{A}\,\mathsf{B}\,\mathsf{Q}_\mathsf{A}\,\mathsf{Q}_\mathsf{B}\,\mathsf{ets}\,\mathsf{liftE}'\,\mathsf{p}_\mathsf{List} \end{aligned}
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$$\begin{split} &\lambda(P:\forall(A:Set)\to(A\to Set)\to L\mathsf{Term}\,A\to Set)\\ &\to\forall(A\,B:Set)(Q_A:A\to Set)(Q_B:B\to Set)(t_{BA}:L\mathsf{Term}\,(B\to A))(t_B:L\mathsf{Term}\,B)\\ &\to P\,(B\to A)\,(\mathsf{Arr}^\wedge\,B\,A\,Q_B\,Q_A)\,t_{BA}\to P\,B\,Q_B\,t_B\to P\,A\,Q_A\,(\mathsf{app}\,B\,t_{BA}\,t_B)\\ &\lambda(P:\forall(A:Set)\to(A\to Set)\to L\mathsf{Term}\,A\to Set)\\ &\to\forall(A\,B:Set)(Q_A:A\to Set)(Q_B:B\to Set)(e:\mathsf{Equal}\,A\,(\mathsf{List}\,B))(\mathsf{ts}:\mathsf{List}\,(L\mathsf{Term}\,B))\\ &\to\mathsf{Equal}^\wedge\,A\,(\mathsf{List}\,B)\,Q_A\,(\mathsf{List}^\wedge\,B\,Q_B)\,e\to \mathsf{List}^\wedge\,(L\mathsf{Term}\,B)(P\,B\,Q_B)\,\mathsf{ts}\to P\,A\,Q_A\,(\mathsf{list}\,B\,e\,\mathsf{ts}) \end{split}$$

The deep induction rule for LTerm is thus

$$\forall (P : \forall (A : Set) \rightarrow (A \rightarrow Set) \rightarrow LTerm A \rightarrow Set) \rightarrow dIndVar P \rightarrow dIndAbs P \rightarrow dIndApp P \rightarrow dIndList P \rightarrow \\ \forall (A : Set)(Q_A : A \rightarrow Set)(t_A : LTerm A) \rightarrow LTerm^A A Q_A t_A \rightarrow P A Q_A t_A$$
 (10)

To prove that this rule is sound we define a witness dIndLTerm inhabiting the type in (10) as in Figure 2. There, s:String, $Q_A:A\to Set$, $Q_B:B\to Set$, $Q_C:C\to Set$, $T_A:LType\,A$, $T_B:LType\,B$, $t_B:LTerm\,B$, $t_C:LTerm\,C$, $t_{BA}:LTerm\,^??$, liftA:LTerm^AQ_A(varsT_A)=LType^AQ_AT_A, liftE:Equal^A(B\to C)Q_A(Arr^BCQ_BQ_C)e, lift $T_B:LType^BQ_BT_B$, lift $T_C:LTerm^BCQ_CT_C$, lif

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\begin{array}{ll} p_{C} &= dIndLTerm\,P\,cvar\,cabs\,capp\,clist\,C\,Q_{C}\,t_{C}\,lift_{t_{C}}:P\,C\,Q_{C}\,t_{C}\\ p_{B} &= dIndLTerm\,P\,cvar\,cabs\,capp\,clist\,B\,Q_{B}\,t_{B}\,lift_{t_{B}}:P\,B\,Q_{B}\,t_{B}\\ p_{BA} &= dIndLTerm\,P\,cvar\,cabs\,capp\,clist\,(B\to A)\,(Arr^{\wedge}\,B\,A\,Q_{B}\,Q_{A})\,t_{BA}\,lift_{t_{BA}}:P\,(B\to A)\,(Arr^{\wedge}\,B\,A\,Q_{B}\,Q_{A})\,t_{BA}\\ p_{List} &= liftListMap\,(LTerm\,B)\,(LTerm^{\wedge}\,B\,Q_{B})\,(P\,B\,Q_{B})\,p_{ts}\,ts\,lift_{List}:List^{\wedge}\,(LTerm\,B)\,(P\,B\,Q_{B})\,ts \end{array}
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5 The general framework

We can generalize the approach taken in Section 4 to a general framework for deriving deep induction rules for deep GADTs. We will treat deep GADTs of the form

data
$$G : Set^{\alpha} \to Set$$
 where
 $c : FG\overline{B} \to G(\overline{K}\overline{B})$ (11)

For brevity and clarity we indicate only one constructor c in (11), even though a GADT can, in general, have any finite number of them, each with a type the same form as c's. In (11), F and K are type constructors with signatures ($\mathsf{Set}^\alpha \to \mathsf{Set}$) $\to \mathsf{Set}^\beta \to \mathsf{Set}$ and $\mathsf{Set}^\beta \to \mathsf{Set}$, respectively. If T has type signature $\mathsf{Set}^\gamma \to \mathsf{Set}$ then we say that T is a *type constructor of arity* γ . The overline notation denotes a finite list whose length is exactly the arity of the type constructor being applied to it. The number of type constructors K must therefore be α . The type constructor F must be constructed inductively according to the following grammar:

$$\mathsf{F}\,\mathsf{G}\,\overline{\mathsf{B}}\,:=\,\mathsf{F}_1\,\mathsf{G}\,\overline{\mathsf{B}}\,\times\,\mathsf{F}_2\,\mathsf{G}\,\overline{\mathsf{B}}\,\,|\,\,\mathsf{F}_1\,\mathsf{G}\,\overline{\mathsf{B}}\,+\,\mathsf{F}_2\,\mathsf{G}\,\overline{\mathsf{B}}\,\,|\,\,\mathsf{F}_1\,\overline{\mathsf{B}}\,\to\,\mathsf{F}_2\,\mathsf{G}\,\overline{\mathsf{B}}\,\,|\,\,\mathsf{G}\,(\overline{\mathsf{F}_1\,\overline{\mathsf{B}}})\,\,|\,\,\mathsf{H}\,\overline{\mathsf{B}}\,\,|\,\,\mathsf{H}\,(\overline{\mathsf{F}_1\,\mathsf{G}\,\overline{\mathsf{B}}})$$

This grammar is subject to the following restrictions. In the third clause the type constructor F_1 does not contain G. In the fourth clause, none of the α -many type constructors in $\overline{F_1}$ contains G. This prevents nesting, which would make it impossible to give an induction rule; see Section 6 below. In the fifth clause, H does not contain G. This clause therefore subsumes the cases in which $F G \overline{B}$ is a closed type or one of the B_i . In the sixth clause, $H: Set^{\gamma} \to Set$ does not contain G (although $\overline{F_1} G \overline{B}$ can). Moreover, although H can construct any (truly) nested type, it cannot construct a GADT. This ensures that H admits functorial semantics [15], and thus has an associated map function. From this we can also construct a map function

$$\mathsf{H}^{\wedge}\mathsf{Map}:\forall(\overline{\mathsf{A}}:\mathsf{Set})(\overline{\mathsf{Q}}\;\underline{\mathsf{Q}'}:\overline{\mathsf{A}}\to\mathsf{Set})\to\overline{\mathsf{PredMap}}\;\underline{\mathsf{A}}\;\underline{\mathsf{Q}}\;\underline{\mathsf{Q}'}\to\mathsf{PredMap}\;(\mathsf{H}\;\overline{\mathsf{A}})\;(\mathsf{H}^{\wedge}\;\overline{\mathsf{A}}\;\overline{\mathsf{Q}'})$$

for H[^]. A concrete way to define H[^]Map is by induction on the structure of the type H, but we omit such details since they are not essential to the present discussion. A further requirement that applies to all of the types appearing above, including the Ks in (11), is that they must all admit predicate liftings. This is not an overly restrictive condition, though: all types constructed from sums, products, arrow types and type application admit predicate liftings, and so do GADTs constructed from the above grammar; in fact, we have seen such liftings for products and type application in Section 4. A concrete way to define predicate liftings more generally is, again, by induction on the the structure of the types. We do not give a general definition of predicate liftings here, though, since that would require us to first design a full type calculus, which is beyond the scope of the present paper.

We assume in the development below that G is a unary type constructor, i.e., that $\alpha=1$ in (11). Extending the argument to GADTs of arbitrary arity presents no difficulty other than heavier notation. The type of the single data constructor c for G can be rewritten as $c: \forall (\overline{B}:\overline{Set}) \to \overline{Equal} \, A(K\,\overline{B}) \to F\,G\,\overline{B} \to G\,A$. The predicate lifting $G^{\wedge}: \forall (A:Set) \to (A\to Set) \to G\,A \to Set$ for G is therefore

$$\mathsf{G}^{\wedge}\,\mathsf{A}\,\mathsf{Q}_\mathsf{A}\,(\mathsf{c}\,\overline{\mathsf{B}}\,\mathsf{e}\,\mathsf{x}) = \exists \big[\overline{\mathsf{Q}}_\mathsf{B}\big]\,\mathsf{Equal}^{\wedge}\,\mathsf{A}\,(\mathsf{K}\,\overline{\mathsf{B}})\,\mathsf{Q}_\mathsf{A}\,(\mathsf{K}^{\wedge}\,\overline{\mathsf{B}}\,\overline{\mathsf{Q}}_\mathsf{B})\,\mathsf{e}\,\mathsf{x}\,\mathsf{F}^{\wedge}\,\mathsf{G}\,\overline{\mathsf{B}}\,\mathsf{G}^{\wedge}\,\overline{\mathsf{Q}}_\mathsf{B}\,\mathsf{x}$$

where $Q_A: A \to Set$, $\overline{Q_B: B \to Set}$, $e: Equal A (K \overline{B})$, and $x: F G \overline{B}$. If we have predicate liftings $F^{\wedge}: \forall (G: Set^{\alpha} \to Set)(\overline{B}: \overline{Set}) \to (\forall (A: Set) \to (A \to Set) \to GA \to Set) \to (\overline{B} \to Set) \to F G \overline{B} \to Set$ and $K^{\wedge}: \forall (\overline{B}: \overline{Set}) \to (\overline{B} \to Set) \to K \overline{B} \to Set$ for F and for K, respectively, the induction hypothesis for c is

$$\begin{split} &\mathsf{dIndC} = \lambda(\mathsf{P} : \forall (\mathsf{A} : \mathsf{Set}) \to (\mathsf{A} \to \mathsf{Set}) \to \mathsf{G} \, \mathsf{A} \to \mathsf{Set}) \\ &\to \forall (\mathsf{A} : \mathsf{Set}) (\overline{\mathsf{B} : \mathsf{Set}}) (\mathsf{Q}_{\mathsf{A}} : \mathsf{A} \to \mathsf{Set}) (\overline{\mathsf{Q}_{\mathsf{B}} : \mathsf{B} \to \mathsf{Set}}) (\mathsf{e} : \mathsf{Equal} \, \mathsf{A} \, (\mathsf{K} \, \overline{\mathsf{B}})) (\mathsf{x} : \mathsf{F} \, \mathsf{G} \, \overline{\mathsf{B}}) \\ &\to \mathsf{Equal}^{\wedge} \, \mathsf{A} \, (\mathsf{K} \, \overline{\mathsf{B}}) \, \mathsf{Q}_{\mathsf{A}} \, (\mathsf{K}^{\wedge} \, \overline{\mathsf{B}} \, \overline{\mathsf{Q}_{\mathsf{B}}}) \, \mathsf{e} \to \mathsf{F}^{\wedge} \, \mathsf{G} \, \overline{\mathsf{B}} \, \mathsf{P} \, \overline{\mathsf{Q}_{\mathsf{B}}} \, \mathsf{x} \to \mathsf{P} \, \mathsf{A} \, \mathsf{Q}_{\mathsf{A}} \, (\mathsf{c} \, \overline{\mathsf{B}} \, \mathsf{e} \, \mathsf{x}) \end{split}$$

and the induction rule for G is

$$\forall (P: \forall (A:Set) \rightarrow (A \rightarrow Set) \rightarrow GA \rightarrow Set) \rightarrow dIndCP \rightarrow \forall (A:Set)(Q_A:A \rightarrow Set)(y:GA) \rightarrow G^{\wedge}AQ_Ay \rightarrow PAQ_Ay \rightarrow PAQ_A$$

To prove that this rule is sound we define the witness dlndG inhabiting this type by

$$\mathsf{dIndGPccAQ}_{\mathsf{A}}\left(\mathsf{c}\,\overline{\mathsf{B}}\,\mathsf{ex}\right)\left(\overline{\mathsf{Q}_{\mathsf{B}}},\mathsf{liftE},\mathsf{liftF}\right)=\mathsf{cc}\,\mathsf{A}\,\overline{\mathsf{B}}\,\mathsf{Q}_{\mathsf{A}}\,\overline{\mathsf{Q}_{\mathsf{B}}}\,\mathsf{ex}\,\mathsf{liftE}\left(\mathsf{px}\,\mathsf{liftF}\right)$$

Here, cc:dIndCP, $e:EqualA(K\overline{B})$, $x:FG\overline{B}$, $\overline{Q_B:B\to Set}$, lift $E:Equal^A(K\overline{B})Q_A(K^A\overline{B}\overline{Q_B})e$, and lift $F:F^AG\overline{B}G^A\overline{Q_B}$. As a result, $(\overline{Q_B},IiftE,IiftF):G^AAQ_A(c\overline{B}ex)$ as expected. Finally, the morphism of predicates $p:PredMap(FG\overline{B})(F^AG\overline{B}G^A\overline{Q_B})(F^AG\overline{B}P\overline{Q_B})$ is defined by structural induction on F as follows:

- If $F G \overline{B} = F_1 G \overline{B} \times F_2 G \overline{B}$ then $F \cap G \overline{B} P \overline{Q_B} = Pair \cap (F_1 G \overline{B}) (F_2 G \overline{B}) (F_1 \cap G \overline{B}) (F_2 \cap G \overline{B}) (F_2 \cap G \overline{B})$. The induction hypothesis ensures morphisms of predicates $p_1 : PredMap (F_1 G \overline{B}) (F_1 \cap G \overline{B}) (F_2 \cap G \overline$
- The case $FG\overline{B} = F_1G\overline{B} + F_2G\overline{B}$ is analogous.
- If $F G \overline{B} = F_1 \overline{B} \to F_2 G \overline{B}$ then $F^{\wedge} G \overline{B} P \overline{Q_B} x = \forall (z : F_1 \overline{B}) \to F_1^{\wedge} \overline{B} \overline{Q_B} z \to F_2^{\wedge} G \overline{B} P \overline{Q_B} (xz)$, where $x : F G \overline{B}$. The induction hypothesis ensures a morphism of predicates $p_2 : PredMap (F_2 G \overline{B}) (F_2^{\wedge} G \overline{B} G^{\wedge} \overline{Q_B}) (F_2^{\wedge} G \overline{B} P \overline{Q_B})$. We define $p \times liftF : F^{\wedge} G \overline{B} P \overline{Q_B} x$, where lift $F : F^{\wedge} G \overline{B} G^{\wedge} \overline{Q_B} x$ is defined by $p \times liftF z liftF_1 = p_2(xz)(liftF z liftF_1)$ for $z : F_1 \overline{B}$ and lift $F_1 : F_1^{\wedge} \overline{B} \overline{Q_B} z$. Note that F_1 not containing the recursive variable is a necessary restriction, since the proof relies on $F^{\wedge} G \overline{B} G^{\wedge} \overline{Q_B} x$ and $F^{\wedge} G \overline{B} P \overline{Q_B} x$ having the same domain $F_1^{\wedge} \overline{B} \overline{Q_B} z$.

- If $FG\overline{B} = G(F_1\overline{B})$ and F_1 does not contain G, then $F^{\wedge}G\overline{B}P\overline{Q}_B = P(F_1\overline{B})(F_1^{\wedge}\overline{B}\overline{Q}_B)$. We therefore define $p = dIndGPcc(F_1\overline{B})(F_1^{\wedge}\overline{B}\overline{Q}_B)$.
- If $FG\overline{B} = H\overline{B}$ and H does not contain G, then $p: PredMap(H\overline{B})(H^{\wedge}\overline{B}\overline{Q_B})(H^{\wedge}\overline{B}\overline{Q_B})$ is defined to be the identity morphism on predicates.
- If $F \subseteq \overline{B} = H(\overline{F_k} \subseteq \overline{B})$, if H does not contain G, if H has a predicate lifting $H^{\wedge} : \forall (\overline{C} : \overline{Set}) \to (\overline{C} \to \overline{Set})$ $\to H : \overline{C} \to Set$, and if H^{\wedge} has a map function $H^{\wedge}Map = \forall (\overline{C} : \overline{Set})(\overline{Q_C} : \overline{C} \to Set) \to PredMap : \overline{CQ_C} : \overline{Q_C} \to PredMap : \overline{CQ_C} : \overline{C} \to Set$) $\to PredMap : \overline{CQ_C} : \overline{CQ_C} \to Set$) $\to PredMap : \overline{CQ_C} : \overline{CQ_C} \to Set$)

6 Truly Nested GADTs Do Not Admit Deep Induction Rules

In Sections 4 and 5 we derived deep induction rules for GADTs that are not truly nested. Since both nested types and GADTs without true nesting admit deep induction rules, we might expect that truly nested GADTs would as well. Surprisingly, however, they do not. That is, our results from the previous section are the strongest possible. Indeed, the induction rule for a data type generally relies on (unary) parametricity of the model interpreting it, and deel induction for a truly nested type or a ruly nested GADT also relies on this interpretation being functorial. But, whereas nested types can admit a functorial parametric semantics, GADTs cannot admit both functorial and parametric semantics at the same time [13]. In this section we show how deep induction for truly nested GADTs nesting goes wrong by analyzing the following concrete example of such a type.

data
$$G : Set \rightarrow Set$$
 where $c : G(GA) \rightarrow G(A \times A)$

The constructor c can be rewritten as $c: \forall (B:Set) \rightarrow Equal A(B \times B) \rightarrow G(GB) \rightarrow GA$, so the predicate lifting $G^{\wedge}: \forall (A:Set) \rightarrow (A \rightarrow Set) \rightarrow GA \rightarrow Set$ for G is

$$G^{\wedge}AQ(cBex) = \exists [Q'] Equal^{\wedge}A(B \times B)Q(Pair^{\wedge}BBQ'Q')e \times G^{\wedge}(GB)(G^{\wedge}BQ')x$$

where $Q: A \to Set$, $Q': B \to Set$, $e: Equal A (B \times B)$, and x: G (GB). The induction hypothesis dlndC for c is

$$\lambda (P : \forall (A : Set) \rightarrow (A \rightarrow Set) \rightarrow GA \rightarrow Set)$$

$$\rightarrow \forall (A B : Set) (Q : A \rightarrow Set) (Q' : B \rightarrow Set) (e : Equal A (B \times B)) (x : G (GB))$$

$$\rightarrow Equal^{\wedge} A (B \times B) Q (Pair^{\wedge} B B Q' Q') e \rightarrow P (GB) (P B Q') x \rightarrow P A Q (c B ex)$$

so the deep induction rule for G is

$$\forall \; (P: \forall \; (A:Set) \rightarrow (A \rightarrow Set) \rightarrow \mathsf{GA} \rightarrow \mathsf{Set}) \rightarrow \mathsf{dIndCP} \rightarrow \forall \; (A:Set) \; (Q:A \rightarrow Set) \; (y:Ga) \rightarrow \mathsf{G}^{\wedge} \; \mathsf{A} \; \mathsf{Q} \; \mathsf{y} \rightarrow \mathsf{P} \; \mathsf{A} \; \mathsf{Q} \;$$

But if we now try to show that this rule is sound by constructing a witness dIndG inhabiting this type we run into problems. We can define dIndGPccAQ(cBex)(Q', liftE, liftG) = ccABQQ'exliftEp, where cc:dIndCP, Q:A \rightarrow Set, e:EqualA(B \times B), x:G(GB), liftG:G^(GB)(G^BQ')x, Q':B \rightarrow Set, and liftE:Equal^A(B \times B)Q(Pair^BBQ'Q')e, but we still need to define p:P(GB)(PBQ')x. For this we can use the induction rule and let p = dIndGPcc(GB)(PBQ')xq, but we still need to define q:G^(GB)(PBQ')x. If we had the map function G^Map: \forall (A:Set)(QQ":A \rightarrow Set) \rightarrow PredMapAQQ" \rightarrow PredMap(GA)(G^AQ)(G^AQ") for G^, then we could define q = GLMap(GB)(G^BQ')(PBQ')(dIndGPccBQ')xliftG. Unfortunately, however, we cannot define G^Map. Indeed, its definition would have to be G^MapAQQ2 m(cBex)(Q1, liftE, liftG) = (Q3, liftE', liftG') for some Q3:B \rightarrow Set, liftE':Equal^A(B \times B)Q2(Pair^BBQ3Q3)e, and liftG':G^(GB)(G^BQ3)x, where Q:A \rightarrow Set, Q2:A \rightarrow Set, m:PredMapAQQ2, e:EqualA(B \times B), x:G(GB), Q1:B \rightarrow Set, liftE:Equal^A(B \times B)Q(Pair^BBQ1Q1)e, and liftG:G^(GB)(G^BQ1)x. In other words, we would need to produce a proof of the (extensional) equality of the predicates Q2 and Pair^BBQ3Q3 from just a proof of the (extensional) equality of the predicates Q2 and Pair^BBQ1Q1 and a morphism of predicates m from Q to Q2. But this will not be possible in general: the facts that Q is equal to Pair^BBQ1Q1 and that there is a morphism of predicates m from Q to Q2 do not guarantee that there exists a predicate Q3 such that Q2 is equal to Pair^BBQ3Q3.

At a deeper level, the fundamental issue is that the Equal type does not have functorial semantics [13], so that having morphisms $A \to A'$ and $B \to B'$ (for any type A, A', B and B') and a proof that A is equal to A' does not provide a proof that B is equal to B'. This difficulty propagates to any truly nested GADT because it is not possible to define a map function Equal^Map for Eq^ when one of the type arguments to Equal^ is structured (i.e., not a variable). This is, of course, a critical component in any approach to deep induction for such GADTs.

7 Case Study: Extracting Types of Lambda Terms

In this section we use deep induction for the LTerm GADT from (6) to extract the type from a lambda term. For this we use the following predicate, which takes a lambda term and produces the type of its possible types:

GetType :
$$\forall$$
 (A : Set) \rightarrow LTerm A \rightarrow Set
GetType A t = Maybe (LType A)

This predicate uses Maybe to represent potential failure, where Maybe is defined as follows:

data Maybe : Set
$$\rightarrow$$
 Set where nothing : Maybe A (12) just : A \rightarrow Maybe A

We want to show that GetType is satisfied by every element of LTerm A, i.e., we want to prove:

$$getTypeProof : \forall (A : Set) (t : LTerm A) \rightarrow GetType At$$

This cannot be achieved without deep induction, which is required to to apply the induction hyppothesis to the individual terms in the list of terms that is an argument to the data constructor list for LTerm.

Using the deep induction rule for LTerm from Section 4.3 we define getTypeProof by

```
getTypeProof A t = dIndLTerm P cvar cabs capp clistc A K_T t (LTerm \wedge KT A t)
```

where t: LTerm A, P is the polymorphic predicate $\lambda(A:Set)(Q:A \to Set)(t:LTerm A) \to Maybe(LType A)$ and $LTerm^KT: \forall (A:Set)(t:LTerm A) \to LTerm^A A K_T t$ is a function that we will define later. We also need the applications to P of the induction hypotheses from Section 4.3 for LTerm's data constructors. These are:

```
\begin{aligned} \mathsf{cvar} & : \ \forall \ (\mathsf{A} : \mathsf{Set}) \ (\mathsf{Q}_\mathsf{A} : \mathsf{A} \to \mathsf{Set}) \ (\mathsf{s} : \mathsf{String}) \ (\mathsf{T}_\mathsf{A} : \mathsf{LType} \, \mathsf{A}) \to \mathsf{LType}^{\wedge} \, \mathsf{A} \, \mathsf{Q}_\mathsf{A} \, \mathsf{T}_\mathsf{A} \to \mathsf{Maybe} \ (\mathsf{LType} \, \mathsf{A}) \\ \mathsf{cabs} & : \ \forall \ (\mathsf{A} \, \mathsf{B} \, \mathsf{C} : \mathsf{Set}) \ (\mathsf{Q}_\mathsf{A} : \mathsf{A} \to \mathsf{Set}) \ (\mathsf{Q}_\mathsf{B} : \mathsf{B} \to \mathsf{Set}) \ (\mathsf{Q}_\mathsf{C} : \mathsf{C} \to \mathsf{Set}) \\ & (\mathsf{e} : \mathsf{Equal} \, \mathsf{A} \, (\mathsf{B} \to \mathsf{C})) \ (\mathsf{s} : \mathsf{String}) \ (\mathsf{T}_\mathsf{B} : \mathsf{LType} \, \mathsf{B}) \ (\mathsf{t}_\mathsf{C} : \mathsf{LTerm} \, \mathsf{C}) \\ & \to \mathsf{Equal}^{\wedge} \, \mathsf{A} \ (\mathsf{B} \to \mathsf{C}) \ \mathsf{Q}_\mathsf{A} \ (\mathsf{Arr}^{\wedge} \, \mathsf{B} \, \mathsf{C} \, \mathsf{Q}_\mathsf{B} \, \mathsf{Q}_\mathsf{C}) \, \mathsf{e} \to \mathsf{LType}^{\wedge} \, \mathsf{B} \, \mathsf{Q}_\mathsf{B} \, \mathsf{T}_\mathsf{B} \to \mathsf{Maybe} \ (\mathsf{LType} \, \mathsf{C}) \to \mathsf{Maybe} \ (\mathsf{LType} \, \mathsf{A}) \\ \mathsf{capp} & : \ \forall \ (\mathsf{A} \, \mathsf{B} : \mathsf{Set}) \ (\mathsf{Q}_\mathsf{A} : \mathsf{A} \to \mathsf{Set}) \ (\mathsf{Q}_\mathsf{B} : \mathsf{B} \to \mathsf{Set}) \ (\mathsf{t}_\mathsf{B} : \mathsf{LTerm} \ (\mathsf{B} \to \mathsf{A})) \ (\mathsf{t}_\mathsf{B} : \mathsf{LTerm} \, \mathsf{B}) \\ & \to \mathsf{Maybe} \ (\mathsf{LType} \, \mathsf{B} \to \mathsf{A}) \to \mathsf{Maybe} \ (\mathsf{LType} \, \mathsf{B}) \to \mathsf{Maybe} \ (\mathsf{LType} \, \mathsf{A}) \end{aligned} \mathsf{clist} \ : \ \forall \ (\mathsf{A} \, \mathsf{B} : \mathsf{Set}) \ (\mathsf{Q}_\mathsf{A} : \mathsf{A} \to \mathsf{Set}) \ (\mathsf{Q}_\mathsf{B} : \mathsf{B} \to \mathsf{Set}) \ (\mathsf{e} : \mathsf{Equal} \, \mathsf{A} \ (\mathsf{List} \, \mathsf{B})) \ (\mathsf{ts} : \mathsf{List} \ (\mathsf{LTerm} \, \mathsf{B})) \\ & \to \mathsf{Equal}^{\wedge} \, \mathsf{A} \ (\mathsf{List} \, \mathsf{B}) \, \mathsf{Q}_\mathsf{A} \ (\mathsf{List}^{\wedge} \, \mathsf{B} \, \mathsf{Q}_\mathsf{B}) \, \mathsf{e} \to \mathsf{List}^{\wedge} \ (\mathsf{LTerm} \, \mathsf{B}) \ (\mathsf{Get} \, \mathsf{Type} \, \mathsf{B}) \, \mathsf{ts} \to \mathsf{Maybe} \ (\mathsf{LType} \, \mathsf{A}) \end{aligned}
```

HERE!!

For variables we simply return the type T:LTypeA (wrapped in just), and the cases for abstraction and application are similar. The interesting case is clist, in which we have to use the results of List^(LTermB)(GetTypeB)ts in order to extract the type of one of the terms in the list. To define clist we pattern-match on the list of terms ts. If ts is the empty list nil, we cannot extract a type, so we return nothing:

clist
$$ABQQ'$$
 e nil lift E lift_{ts} = nothing

where lift $E : Equal^A A (List B) Q (List^B Q') e$ and lift $E : List^A (LTerm B) (Get Type B) ts$. If ts is a non-empty list

constts', we pattern match on list_{ts} and use the result to construct the type we need. The type of list_{ts} is

$$List^{\wedge} (LTerm B) (Get Type B) (cons t ts') = Get Type B t \times List^{\wedge} (LTerm B) (Get Type B) ts'$$

$$= Maybe (LType B) \times List^{\wedge} (LTerm B) (Get Type B) ts'$$

Thus, we define

clist
$$ABQQ'e(constts')$$
 lift $E(nothing, lift_{ts'}) = nothing$
clist $ABQQ'e(constts')$ lift $E(justT', lift_{ts'}) = just(TListBeT')$

where $e : Equal A (List B), T' : LType B and lift_{ts'} : List^ (LTerm B) (Get Type B) ts'.$

7.1 Defining LTermLKT

Maybe this section can be deleted by assuming $LTerm^{\wedge}AK_{T}t=K_{T}$. Maybe we can say this derives from parametricity. Currently we say that $K_{T}\times K_{T}=K_{T}$ based on the fact that products are a built-in type and so this seems to be obviously true.

The last piece of infrastructure we need in order to define getTypeProof is a function

$$LTermLKT : \forall (A : Set) (t : LTerm A) \rightarrow LTerm^{\land} A K_{T} t$$

that provides a proof of $\mathsf{LTerm}^\wedge \mathsf{AK}_\top \mathsf{t}$ for any term $\mathsf{t}: \mathsf{LTerm}\, \mathsf{A}$. Because $\mathsf{LTerm}^\wedge \mathsf{i}$ is defined in terms of $\mathsf{LType}^\wedge, \mathsf{Arr}^\wedge, \mathsf{and}\, \mathsf{List}^\wedge, \mathsf{we}$ need an analogous function for each of these liftings as well (respectively, $\mathsf{LTypeLKT}, \mathsf{ArrLKT}$ and $\mathsf{ListLKT}$). We only give the definition of $\mathsf{LTermLKT}, \mathsf{but}$ the definitions for $\mathsf{LTypeLKT}, \mathsf{ArrLKT}$ and $\mathsf{ListLKT}$ are analogous. We define $\mathsf{LTermLKT}$ by pattern matching on the lambda term t :

• For the var case, let t = varsT for s: String and T: LTypeA, and define

$$LTermLKTA(varsT) = LTypeLKTAT$$

For the abs case, let t = abs B C e s T t' for e : Equal A (B → C), s : String, T : LType B and t' : LTerm C, and recall
the definition of LTerm[^] for the abs constructor, instantiating the predicate Q : A → Set to K_T:

```
\begin{aligned} &\mathsf{LTerm}^\wedge \mathsf{A} \, \mathsf{K}_\top \, (\mathsf{abs} \, \mathsf{B} \, \mathsf{C} \, \mathsf{es} \, \mathsf{T} \, \mathsf{t}') \\ &= \sum \big[ \mathsf{Q}' : \mathsf{B} \to \mathsf{Set} \big] \big[ \mathsf{Q}'' : \mathsf{C} \to \mathsf{Set} \big] \, \mathsf{Equal}^\wedge \, \mathsf{A} \, (\mathsf{B} \to \mathsf{C}) \, \mathsf{K}_\top \, \big( \mathsf{Arr}^\wedge \, \mathsf{B} \, \mathsf{C} \, \mathsf{Q}' \, \mathsf{Q}'' \big) \, \mathsf{e} \times \, \mathsf{LType}^\wedge \, \mathsf{B} \, \mathsf{Q}' \, \mathsf{T} \times \, \mathsf{LTerm}^\wedge \, \mathsf{C} \, \mathsf{Q}'' \, \mathsf{t}' \\ &= \sum \big[ \mathsf{Q}' : \mathsf{B} \to \mathsf{Set} \big] \big[ \mathsf{Q}'' : \mathsf{C} \to \mathsf{Set} \big] \, \mathsf{Equal}^\wedge \, \mathsf{A} \, (\mathsf{B} \to \mathsf{C}) \, \mathsf{K}_\top \, \big( \mathsf{Arr}^\wedge \, \mathsf{B} \, \mathsf{C} \, \mathsf{Q}' \, \mathsf{Q}'' \big) \, \mathsf{e} \times \, \mathsf{LType}^\wedge \, \mathsf{B} \, \mathsf{Q}' \, \mathsf{T} \times \, \mathsf{LTerm}^\wedge \, \mathsf{C} \, \mathsf{Q}'' \, \mathsf{t}' \\ &= \sum \big[ \mathsf{Q}' : \mathsf{B} \to \mathsf{Set} \big] \big[ \mathsf{Q}'' : \mathsf{C} \to \mathsf{Set} \big] \, \mathsf{Equal}^\wedge \, \mathsf{A} \, (\mathsf{B} \to \mathsf{C}) \, \mathsf{K}_\top \, \big( \mathsf{Arr}^\wedge \, \mathsf{B} \, \mathsf{C} \, \mathsf{Q}' \, \mathsf{Q}'' \big) \, \mathsf{e} \times \, \mathsf{LType}^\wedge \, \mathsf{B} \, \mathsf{Q}' \, \mathsf{T} \times \, \mathsf{LTerm}^\wedge \, \mathsf{C} \, \mathsf{Q}'' \, \mathsf{t}' \\ &= \sum \big[ \mathsf{Q}' : \mathsf{B} \to \mathsf{C} \, \mathsf{C}
```

So, to define the abs case of LTermLKT, we need a proof of

Equal^{$$\wedge$$} A (B \rightarrow C) K_T (Arr ^{\wedge} B C Q' Q") e

i.e., that K_{τ} is (extensionally) equal to the lifting $Arr^{\wedge}BCQ'Q''$ for some predicates $Q':B\to Set$ and $Q'':C\to Set$. The only reasonable choice for Q' and Q'' is to let both be K_{τ} , which means we need a proof of

$$\mathsf{Equal}^{\wedge}\,\mathsf{A}\,\big(\mathsf{B}\to\mathsf{C}\big)\,\mathsf{K}_{\scriptscriptstyle{\top}}\,\big(\mathsf{Arr}^{\wedge}\,\mathsf{B}\,\mathsf{C}\,\mathsf{K}_{\scriptscriptstyle{\top}}\,\mathsf{K}_{\scriptscriptstyle{\top}}\big)\,\mathsf{e}$$

Since we are working with proof-relevant predicates (i.e., functions into Set rather than functions into Bool), the lifting $Arr^{\wedge}BCK_{T}K_{T}$ of K_{T} to arrow types is not identical to K_{T} on arrow types, but the predicates are (extensionally) isomorphic. We discuss this issue in more detail at the end of the section. For now, we assume a proof

$$\mathsf{EqualLArrKT} : \mathsf{Equal}^{\wedge} \, \mathsf{A} \, \big(\mathsf{B} \to \mathsf{C} \big) \, \mathsf{K}_{\scriptscriptstyle \top} \, \big(\mathsf{Arr}^{\wedge} \, \mathsf{B} \, \mathsf{C} \, \mathsf{K}_{\scriptscriptstyle \top} \, \mathsf{K}_{\scriptscriptstyle \top} \big) \, \mathsf{e}$$

and define the abs case of $\mathsf{LTermLKT}$ as

LTermLKT A (abs B C e s T t') =
$$(K_T, K_T, EqualLArrKT, LTypeLKT B T, LTermLKT C t')$$

• For the app case, let $t = app \ B \ t' \ t''$ for $t' : LTerm \ (B \to A)$ and $t'' : LTerm \ B$, and, just as we did for the abs case, recall the definition of $LTerm^{\wedge} A \ K_{\tau}$ (app $B \ t' \ t''$) with all of the predicates instantiated with K_{τ} :

$$LTerm^{\wedge}(B \rightarrow A)(Arr^{\wedge}BAK_{T}K_{T})t' \times LTerm^{\wedge}BK_{T}t''$$

The second component can be given using LTermLKT, and we can define the first component using a proof of

LTerm
$$^{\wedge}$$
 (B \rightarrow A) K_{τ} t'

and a map-like function

$$\mathsf{LTermLEqualMap} : \forall \ (\mathsf{A} : \mathsf{Set}) \ (\mathsf{Q} \ \mathsf{Q}' : \mathsf{A} \to \mathsf{Set}) \to \mathsf{Equal}^\wedge \ \mathsf{A} \ \mathsf{Q} \ \mathsf{Q}' \ \mathsf{refl} \to \mathsf{PredMap} \ (\mathsf{LTerm}^\wedge \ \mathsf{A} \ \mathsf{Q}) \ (\mathsf{LTerm}^\wedge \ \mathsf{A} \ \mathsf{Q})' \ \mathsf{LTerm}^\wedge \ \mathsf{A} \ \mathsf{Q}')$$

that takes two (extensionally) equal predicates with the same carrier and produces a morphism of predicates between their liftings. The definition is straightforward enough, so we omit the details. Using LTermLEqualMap, we can define the app case of LTermLKT as

LTermLKT A (app B
$$t't''$$
) = (K_T, LTermLArr, LTermLKT B t'')

where LTermLArr: LTerm $^{\wedge}$ (B \rightarrow A) (Arr $^{\wedge}$ B A K $_{T}$ K $_{T}$) t' is defined as

LTermLArr = LTermLEqualMap
$$K_{T}$$
 (Arr^{\(\text{P}\)} B A K_{T} K_{T}) EqualLArrKT t' $L_{K_{T}}$

where $L_{K_{\tau}} = LTermLKT(B \rightarrow A)t' : LTerm^{\wedge}(B \rightarrow A)K_{\tau}t'$.

• For the listC case, let t = listCBets for e : Equal A (ListB) and ts : List(LTermB), and recall the definition of $LTerm^A A (listCBets)$ with all of the predicates instantiated to K_T :

Equal^{$$^{\wedge}$$} A (List B) K_{τ} (List $^{\wedge}$ B K_{τ}) $e \times List (LTerm B)$ (LTerm $^{\wedge}$ B K_{τ}) ts

We can give the first component by assuming a proof EqualLListKT: Equal^A(ListB) K_T (List^B) K_T (List^B) but for the second component we again have multiple liftings nested together. In this case, we can get a proof of

$$List^{\wedge}$$
 (LTerm B) (LTerm $^{\wedge}$ B K_{τ}) ts

using liftListMap, as seen in Section ?? (add back-reference), to map a morphism of predicates

PredMap (LTerm B)
$$(K_T)$$
 (LTerm B K_T)

to a morphism of lifted predicates

PredMap (List (LTerm B)) (List (LTerm B)
$$K_{\tau}$$
) (List (LTerm B) (LTerm B) K_{τ})

We then define the listC case of LTermLKT as

LTermLKT A (listC B e ts) =
$$(K_T, EqualLListKT, L_{ListLLTermLKT})$$

where $L_{ListLLTermLKT}$: List^{\(\(\)} (LTerm\(B\)) (LTerm\(\) B K_{T}) ts is defined as

$$L_{ListLLTermLKT} = liftListMap (LTerm B) K_T (LTerm^A B K_T) m_{K_T} ts (ListLKT (LTerm B) ts)$$

and $m_{K_{\tau}}$: PredMap (LTerm B) (K_{τ}) (LTerm B K_{τ}) is defined as

$$m_{K_{-}}t'tt = LTermLKTBt'$$

where $t': LTerm\,B$ and tt is the single element of $K_T\,t'$. The use of liftListMap is required in the listC case because listC takes an argument of type List (LTerm B).

These are general considerations, and are not required to conclude the above argument. The above techniques can be used to define a function $GLKT: \forall (A:Set)(x:GA) \to G^AK_T \times$ for an arbitrary GADT G, as defined in Section ?? (add back-reference). To provide a proof of $G^AK_T \times$ for every term x:GA, we need to know that the lifting of K_T by any type constructor F is extensionally equal to K_T on F. For example, we might need a proof that $Pair^AABK_T K_T$ is equal to the predicate K_T on $A \times B$. Given a pair $(a,b):A \times B$, we have $Pair^AABK_T K_T (a,b) = K_T a \times K_T b = T \times T$, whereas $K_T (a,b) = T$. While these types are not equal, they are clearly isomorphic. So, for simplicity of presentation, we assume F^AAK_T is equal to K_T for every nested type and ADT F. Moreover, remember that, whenever G has a constructor of the form $c:F(GA) \to G(KB)$, F is only allowed to be a nested type or an ADT, and we are guaranteed to have a liftFMap function.

8 Conclusion

9 TODO

- find correct entermacro file (current one is for 2018). Maybe ask Ana Sokolova (anas@cs.uni-salzburg.at).
- reference (correctly) Haskell Symposium paper
- reference inspiration for STLC GADT : https://www.seas.upenn.edu/cis194/spring15/lectures/11-stlc.html
- Data type vs. data structure
- Weird to code in Agda if we're talking about induction rules for Coq?
- Agda style conventions
- spacing in data type declarations
- Mention Agda flags that need to be toggled to handle true nesting

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