# **Practical Parametricity for GADTs**

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Abstract goes here

Maybe develop our theory for any  $\lambda \ge \omega_1$ , and then specialize to  $\omega_1$  when discussing GADTs? Can we do that? It seems we really use properties of  $\omega$ CPO to get that interpretations of Nat-types are well-defined.

Locally presentable categories are the closest approximation to small complete categories. It's remarkable that local presentability is sufficient not just to give semantics to GADTs, but is also all that is needed to ensure that the model induced by that semantics is actually parametric.

## 1 THE CALCULUS

# 1.1 Types

For each  $k \geq 0$ , we assume countable sets  $\mathbb{T}^k$  of *type constructor variables of arity* k and  $\mathbb{F}^k$  of *functorial variables of arity* k, all mutually disjoint. The sets of all type constructor variables and functorial variables are  $\mathbb{T} = \bigcup_{k \geq 0} \mathbb{T}^k$  and  $\mathbb{F} = \bigcup_{k \geq 0} \mathbb{F}^k$ , respectively, and a *type variable* is any element of  $\mathbb{T} \cup \mathbb{F}$ . We use lower case Greek letters for type variables, writing  $\phi^k$  to indicate that  $\phi \in \mathbb{T}^k \cup \mathbb{F}^k$ , and omitting the arity indicator k when convenient, unimportant, or clear from context. We reserve letters from the beginning of the alphabet to denote type variables of arity 0, i.e., elements of  $\mathbb{T}^0 \cup \mathbb{F}^0$ . We write  $\overline{\zeta}$  for either a set  $\{\zeta_1, ..., \zeta_n\}$  of type constructor variables or a set of functorial variables when the cardinality n of the set is unimportant or clear from context. If P is a set of type variables we write  $P, \overline{\phi}$  for  $P \cup \overline{\phi}$  when  $P \cap \overline{\phi} = \emptyset$ . We omit the vector notation for a singleton set, thus writing  $\phi$ , instead of  $\overline{\phi}$ , for  $\{\phi\}$ .

DEFINITION 1. Let V be a finite subset of  $\mathbb{T}$ , P be a finite subset of  $\mathbb{F}$ ,  $\overline{\alpha}$  be a finite subset of  $\mathbb{F}^0$  disjoint from P, and  $\phi^k \in \mathbb{F}^k \setminus P$ . The set  $\mathcal{F}^P(V)$  of functorial expressions over P and V are given by

$$\begin{split} \mathcal{F}^{P}\!(V) \; &::= \quad \mathbb{O} \; | \; \mathbb{1} \; | \; \mathsf{Nat}^{P} \mathcal{F}^{P}\!(V) \; \mathcal{F}^{P}\!(V) \; | \; P \, \overline{\mathcal{F}^{P}\!(V)} \; | \; V \; \overline{\mathcal{F}^{P}\!(V)} \; | \; \mathcal{F}^{P}\!(V) + \mathcal{F}^{P}\!(V) \\ & \quad | \; \mathcal{F}^{P}\!(V) \times \mathcal{F}^{P}\!(V) \; | \; \Big(\mu \phi^{\;k}.\lambda \alpha_{1}...\alpha_{k}.\mathcal{F}^{P,\;\alpha_{1},\,...,\,\alpha_{k},\,\phi}(V)\Big) \, \overline{\mathcal{F}^{P}\!(V)} \\ & \quad | \; (\mathsf{Lan}^{\overline{\alpha}}_{\overline{\mathscr{L}^{p}}} \mathcal{F}^{P,\,\overline{\alpha}}) \overline{\mathcal{F}^{P}} \end{split}$$

A *type* over P and V is any element of  $\mathcal{F}^P(V)$ . The difference with [Johann et al. 2020] here lies solely in the incorporation of functorial expressions constructed from Lan.

The notation for types entails that an application  $FF_1...F_k$  is allowed only when F is a type variable of arity k, or F is a subexpression of the form  $\mu\phi^k.\lambda\alpha_1...\alpha_k.F'$  or  $\mathrm{Lan}^{\overline{\alpha}}_{\overline{K}}F'$ . Moreover, if F has arity k then F must be applied to exactly k arguments. Accordingly, an overbar indicates a sequence of subexpressions whose length matches the arity of the type applied to it. The fact that types are always in  $\eta$ -long normal form avoids having to consider  $\beta$ -conversion at the level of types. In a subexpression  $\mathrm{Nat}^{\Phi}FG$ , the Nat operator binds all occurrences of the variables in  $\Phi$  in F and G. Note that, by contrast with [Johann et al. 2020], variables of arity greater than 0 are allowed in  $\Phi$ ; this is necessary to construct well-typed terms of Lan types. In a subexpression  $\mu\phi^k.\lambda\overline{\alpha}.F$ , the  $\mu$  operator binds all occurrences of the variable  $\phi$ , and the  $\lambda$  operator binds all occurrences of

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the variables in  $\overline{\alpha}$ , in the body F. And in a subexpression  $(\operatorname{Lan}_{\overline{K}}^{\overline{\alpha}}F)\overline{A}$ , the Lan operator binds all occurrences of the variables in  $\overline{\alpha}$  in every element of  $\overline{K}$ , as well as in F.

A *type constructor context* is a finite set  $\Gamma$  of type constructor variables, and a *functorial context* is a finite set  $\Phi$  of functorial variables. In Definition 2, a judgment of the form  $\Gamma$ ;  $\Phi \vdash F$  indicates that the type F is intended to be functorial in the variables in  $\Phi$  but not necessarily in those in  $\Gamma$ .

Definition 2. The formation rules for the set  $\mathcal{F} \subseteq \bigcup_{V \subset \mathbb{T}, P \subset \mathbb{F}} \mathcal{F}^P(V)$  of well-formed types are

$$\overline{\Gamma; \Phi \vdash \mathbb{O}} \quad \overline{\Gamma; \Phi \vdash \mathbb{1}}$$

$$\frac{\Gamma; \Phi \vdash F \qquad \Gamma; \Phi \vdash G}{\Gamma; \Phi \vdash F + G} \qquad \frac{\Gamma; \Phi \vdash F \qquad \Gamma; \Phi \vdash G}{\Gamma; \Phi \vdash F \times G}$$

$$\frac{\Gamma; \Phi \vdash F \qquad \Gamma; \Phi \vdash G}{\Gamma; \emptyset \vdash \mathsf{Nat}^{\Phi} F \, G}$$
 
$$\frac{\phi^k \in \Gamma \cup \Phi \qquad \overline{\Gamma; \Phi \vdash F}}{\Gamma; \Phi \vdash \phi^k \overline{F}}$$
 
$$\frac{\Gamma; \overline{\gamma^0}, \overline{\alpha^0}, \phi^k \vdash F \qquad \overline{\Gamma; \Phi, \overline{\gamma^0} \vdash G}}{\Gamma; \Phi, \overline{\gamma^0} \vdash (\mu \phi^k . \lambda \overline{\alpha^0} . F) \, \overline{G}}$$
 
$$\underline{\Gamma; \Phi, \overline{\alpha^0} \vdash F \qquad \overline{\Gamma; \overline{\alpha^0} \vdash K} \qquad \overline{\Gamma; \Phi \vdash A}}$$
 
$$\Gamma; \Phi \vdash (\mathsf{Lan} \frac{\overline{\alpha^0}}{K} F) \, \overline{A}$$

In addition to textual replacement, we also have a proper substitution operation on types. If F is a type over P and V, if P and V contain only type variables of arity 0, and if k=0 for every occurrence of  $\phi^k$  bound by  $\mu$  in F, then we say that F is *first-order*; otherwise we say that F is *second-order*. Substitution for first-order types is the usual capture-avoiding textual substitution. We write  $F[\alpha := \sigma]$  for the result of substituting  $\sigma$  for  $\alpha$  in F, and  $F[\alpha_1 := F_1, ..., \alpha_k := F_k]$ , or  $F[\overline{\alpha} := \overline{F}]$  when convenient, for  $F[\alpha_1 := F_1][\alpha_2 := F_2, ..., \alpha_k := F_k]$ . Substitution for second-order types is defined below, where we adopt a similar notational convention for vectors of types. Note that it is not correct to substitute along non-functorial variables.

DEFINITION 3. If  $\Gamma; \Phi, \phi^k \vdash H$  and if  $\Gamma; \Phi, \overline{\alpha} \vdash F$  with  $|\overline{\alpha}| = k$ , then  $\Gamma; \Phi \vdash H[\phi :=_{\overline{\alpha}} F]$ . Similarly, if  $\Gamma, \phi^k; \Phi \vdash H$ , and if  $\Gamma; \overline{\psi}, \overline{\alpha} \vdash F$  with  $|\overline{\alpha}| = k$  and  $\Phi \cap \overline{\psi} = \emptyset$ , then  $\Gamma, \overline{\psi}'; \Phi \vdash H[\phi :=_{\overline{\alpha}} F[\overline{\psi} :== \overline{\psi}']]$ .

*Here, the operation*  $(\cdot)[\phi :=_{\overline{\alpha}} F]$  *of* second-order type substitution along  $\overline{\alpha}$  *is defined by:* 

$$\begin{array}{lll} \mathbb{O}[\phi:=_{\overline{\alpha}}F] & = & \mathbb{O} \\ \mathbb{1}[\phi:=_{\overline{\alpha}}F] & = & \mathbb{1} \\ (\operatorname{Nat}^{\overline{\beta}}GK)[\phi:=_{\overline{\alpha}}F] & = & \operatorname{Nat}^{\overline{\beta}}\left(G[\phi:=_{\overline{\alpha}}F]\right)\left(K[\phi:=_{\overline{\alpha}}F]\right) \\ (\psi\overline{G})[\phi:=_{\overline{\alpha}}F] & = & \left\{ \begin{array}{ll} \psi \, \overline{G[\phi:=_{\overline{\alpha}}F]} & \text{if}\,\psi \neq \phi \\ F[\alpha:=G[\phi:=_{\overline{\alpha}}F] & \text{if}\,\psi \neq \phi \end{array} \right. \\ (G+K)[\phi:=_{\overline{\alpha}}F] & = & G[\phi:=_{\overline{\alpha}}F] + K[\phi:=_{\overline{\alpha}}F] \\ (G\times K)[\phi:=_{\overline{\alpha}}F] & = & G[\phi:=_{\overline{\alpha}}F] \times K[\phi:=_{\overline{\alpha}}F] \\ ((\mu\psi.\lambda\overline{\beta}.G)\overline{K})[\phi:=_{\overline{\alpha}}F] & = & (\mu\psi.\lambda\overline{\beta}.G[\phi:=_{\overline{\alpha}}F])\overline{K[\phi:=_{\overline{\alpha}}F]} \\ ((\operatorname{Lan}^{\overline{\beta}}_{\overline{G}}G)\overline{K})[\phi:=_{\overline{\alpha}}F] & = & (\operatorname{Lan}^{\overline{\beta}}_{\overline{G}}G[\phi:=_{\overline{\alpha}}F])\overline{K[\phi:=_{\overline{\alpha}}F]} \end{array}$$

We note that  $(\cdot)[\phi^0 :=_{\emptyset} F]$  coincides with first-order substitution. We also omit  $\overline{\alpha}$  when convenient.

#### 1.2 Terms

We now define our term calculus. To do so we assume an infinite set  $\mathcal V$  of term variables disjoint from  $\mathbb T$  and  $\mathbb F$ . If  $\Gamma$  is a type constructor context and  $\Phi$  is a functorial context, then a *term context for*  $\Gamma$  *and*  $\Phi$  is a finite set of bindings of the form x : F, where  $x \in \mathcal V$  and  $\Gamma$ ;  $\Phi \vdash F$ . We adopt the same conventions for denoting disjoint unions and for vectors in term contexts as for type constructor contexts and functorial contexts.

Definition 4. Let  $\Delta$  be a term context for  $\Gamma$  and  $\Phi$ . The formation rules for the set of well-formed terms over  $\Lambda$  are

$$\frac{\Gamma; \Phi \vdash F}{\Gamma; \Phi \mid \Delta, x : F \vdash x : F} \quad \frac{\Gamma; \Phi \mid \Delta \vdash t : \mathbb{O} \qquad \Gamma; \Phi \vdash F}{\Gamma; \Phi \mid \Delta \vdash \bot_F t : F} \quad \frac{\Gamma; \Phi \mid \Delta \vdash \top : \mathbb{1}}{\Gamma; \Phi \mid \Delta \vdash \top : \mathbb{1}}$$

$$\frac{\Gamma; \Phi \mid \Delta \vdash s : F}{\Gamma; \Phi \mid \Delta \vdash \text{inl } s : F + G} \frac{\Gamma; \Phi \mid \Delta \vdash t : G}{\Gamma; \Phi \mid \Delta \vdash \text{inr } t : F + G}$$

$$\frac{\Gamma; \Phi \mid \Delta \vdash s : F \qquad \Gamma; \Phi \mid \Delta \vdash t : G}{\Gamma; \Phi \mid \Delta \vdash (s, t) : F \times G} \qquad \frac{\Gamma; \Phi \mid \Delta \vdash t : F \times G}{\Gamma; \Phi \mid \Delta \vdash \pi_1 t : F} \qquad \frac{\Gamma; \Phi \mid \Delta \vdash t : F \times G}{\Gamma; \Phi \mid \Delta \vdash \pi_2 t : G}$$

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$$\frac{\Gamma;\Phi \vdash F \qquad \Gamma;\Phi \vdash G \qquad \Gamma;\Phi \mid \Delta,x:F \vdash t:G}{\Gamma;\emptyset \mid \Delta \vdash L_{\Phi}x.t:\operatorname{Nat}^{\Phi}FG}$$

$$\overline{\Gamma;\Phi,\overline{\beta} \vdash K} \qquad \Gamma;\emptyset \mid \Delta \vdash t:\operatorname{Nat}^{\overline{\psi}}FG \qquad \Gamma;\Phi \mid \Delta \vdash s:F[\overline{\psi}:=_{\overline{\beta}}K]$$

$$\Gamma;\Phi \mid \Delta \vdash t_{\overline{K}}s:G[\overline{\psi}:=_{\overline{\beta}}K]$$

$$\Gamma;\Phi \mid \Delta \vdash t_{\overline{K}}s:G[\overline{\psi}:=_{\overline{\beta}}K]$$

$$\Gamma;\emptyset \mid \emptyset \vdash \operatorname{map}_{H}^{\overline{F},\overline{G}}:\operatorname{Nat}^{\emptyset}(\overline{\operatorname{Nat}^{\Phi,\overline{\beta}}FG})(\operatorname{Nat}^{\Phi}H[\overline{\phi}:=_{\overline{\beta}}F]H[\overline{\phi}:=_{\overline{\beta}}G])$$

$$\Gamma;\Phi,\phi,\overline{\alpha}\vdash H$$

$$\Gamma;\emptyset \mid \emptyset \vdash \operatorname{in}_{H}:\operatorname{Nat}^{\Phi,\overline{\beta}}H[\phi:=_{\overline{\beta}}(\mu\phi.\lambda\overline{\alpha}.H)\overline{\beta}][\overline{\alpha}:=\overline{\beta}](\mu\phi.\lambda\overline{\alpha}.H)\overline{\beta}$$

$$\Gamma;\phi,\Phi,\overline{\alpha}\vdash H \qquad \Gamma;\Phi,\overline{\beta}\vdash F$$

$$\Gamma;\emptyset \mid \emptyset \vdash \operatorname{fold}_{H}^{F}:\operatorname{Nat}^{\emptyset}(\operatorname{Nat}^{\Phi,\overline{\beta}}H[\phi:=_{\overline{\beta}}F][\overline{\alpha}:=\overline{\beta}]F)(\operatorname{Nat}^{\Phi,\overline{\beta}}(\mu\phi.\lambda\overline{\alpha}.H)\overline{\beta}F)$$

$$\Gamma;\Phi,\overline{\alpha}\vdash F \qquad \overline{\Gamma;\overline{\alpha}\vdash K} \qquad \overline{\Gamma;\Phi\vdash A} \qquad \Gamma;\Phi\mid \Delta\vdash t:F[\overline{\alpha}:=\overline{A}]$$

$$\Gamma;\Phi\mid \Delta\vdash \int_{\overline{K},F}t:(\operatorname{Lan}_{\overline{K}}^{\overline{\alpha}}F)\overline{K[\overline{\alpha}:=\overline{A}]}$$

$$\Gamma;\Phi\mid \Delta\vdash \theta_{F}^{\overline{G},\overline{K}}\eta t:G[\overline{\beta}:=\overline{B}]$$

In the rule for  $L_{\overline{\alpha}}x.t$ , the L operator binds all occurrences of the type variables in  $\overline{\alpha}$  in the type of the term variable x and in the body t, as well as all occurrences of x in t. In the rule for  $t_{\overline{K}}s$  there is one functorial expression in  $\overline{K}$  for every functorial variable in  $\overline{\alpha}$ . In the rule for map  $\overline{f}_H$ , there is one functorial expression F and one functorial expression G for each functorial variable in  $\overline{\phi}$ . Moreover, for each  $\phi^k$  in  $\overline{\phi}$  the number of functorial variables in  $\overline{\beta}$  in the judgments for its corresponding functorial expressions F and G is k. In the rules for in G and fold G, the functorial variables in G are fresh with respect to G, and there is one G for every G. (Recall from above that, in order for the types of in G and fold G to be well-formed, the length of G must equal the arity of G.) In the rule for G, there is one functorial expression G for every functorial variable in G, and in the rule for every functorial variable in G.

Substitution for terms is the obvious extension of the usual capture-avoiding textual substitution, and Definition 4 ensures that the expected weakening rules for well-formed terms hold.

Sum and product intro and elim rules should be annotated with constituent types for consistency?

We should have a computation rule along the lines of: If  $\eta$ : Nat $^{\overline{\alpha}}FG[\overline{\beta}:=K]$  then

$$\begin{split} (\partial_F^{G,\overline{K}}\eta)_{\overline{K[\overline{\alpha:=A}]}} &\circ (\int_{K,F})_{\overline{A}} \to \eta_{\overline{A}} \\ : \quad F[\overline{\alpha:=A}] \to G[\overline{\beta:=K[\overline{\alpha:=A}]}] \\ &= \quad F[\alpha:=A] \to G[\overline{\beta:=K}][\overline{\alpha:=A}] \end{split}$$

This will appear as a computational property of the term interpretations.

#### 2 INTERPRETING TYPES

The fundamental idea underlying Reynolds' parametricity is to give each type  $F(\alpha)$  with one free variable  $\alpha$  both an *object interpretation*  $F_0$  taking sets to sets and a *relational interpretation*  $F_1$  taking relations R: Rel(A,B) to relations  $F_1(R): \text{Rel}(F_0(A),F_0(B))$ , and to interpret each term  $t(\alpha,x): F(\alpha)$  with one free term variable  $x: G(\alpha)$  as a map  $t_0$  associating to each set A a function  $t_0(A): G_0(A) \to F_0(A)$ , and to each relation R a morphism  $t_1(R): G_1(R) \to F_1(R)$ . These interpretations are to be given inductively on the structures of F and t in such a way that they imply two fundamental theorems. The first is an *Identity Extension Lemma*, which states that  $F_1(\text{Eq}_A) = \text{Eq}_{F_0(A)}$ , and is the essential property that makes a model relationally parametric rather than just induced by a logical relation. The second is an *Abstraction Theorem*, which states that, for any R: Rel(A,B),  $(t_0(A),t_0(B))$  is a morphism in Rel from  $(G_0(A),G_0(B),G_1(R))$  to  $(F_0(A),F_0(B),F_1(R))$ . The Identity Extension Lemma is similar to the Abstraction Theorem except that it holds for *all* elements of a type's interpretation, not just those that are interpretations of terms. Similar theorems are expected to hold for types and terms with any number of free variables.

To accommodate GADTs, we will need to transition Reynolds' approach from a Set-based semantics to a semantics based on  $\omega$ -complete partial orders. We denote the category of  $\omega$ -complete partial orders ( $\omega$ CPOs) and their monotone? sup-preserving morphisms by  $\omega$ CPO. The underlying set of an  $\omega$ CPO A is denoted |A|. The category  $\omega$ CPORel of  $\omega$ CPO relations has as its objects triples (A, B, R), where  $A, B : \omega$ CPO; R : Rel(|A|, |B|);  $(a, b) \in R$ ,  $a \le a'$ , and  $b \le b'$  imply  $(a', b') \in R$ ; so next part is redundant? and  $(\bigvee_{i < \omega} a_i, \bigvee_{i < \omega} b_i) \in R$  whenever  $(a_i)_{i < \omega}$  and  $(b_i)_{i < \omega}$  are chains in A and B, respectively, such that  $(a_i, b_i) \in R$  for all A. The category ACPORel has as its morphisms from A1, A2, A3, A4, A5, A7, A7, A9 pairs A4, A7, A7, A9 in morphisms in A4, A8, A9 to A9, A9,

To adapt Reynolds' approach, we first inductively define, for each type, an object interpretation in  $\omega$ CPO and a relational interpretation in  $\omega$ CPORel. Next, we show that these interpretations satisfy both an Identity Extension Lemma (Theorem 24) and an Abstraction Theorem (Theorem ??) appropriate to the  $\omega$ CPO setting. The key to proving our Identity Extension Lemma is a familiar "cutting down" of the interpretations of universally quantified types to include only the "parametric" elements; as in [Johann et al. 2020], the relevant types of the calculus defined above are the (now richer) Nat-types. The requisite cutting down requires that the object interpretations of our types in  $\omega$ CPO are defined simultaneously with their relational interpretations in  $\omega$ CPORel. We give the object interpretations for our types in Section 2.1 and give their relational interpretations in Section 2.2. While the former are relatively straightforward, the latter are less so, mainly because of the cocontinuity conditions, adapted from the Set-based setting of [Johann et al. 2020], that must

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hold if they are to be well-defined. We develop these conditions in Section 2.2, which separates Definitions 8 and 18 in space, but otherwise has no impact on the fact that they are given by mutual induction.

### 2.1 Object Interpretations of Types

The object interpretations of the types in our calculus will be  $\omega_1$ -cocontinuous functors between categories of  $\omega_1$ -cocontinuous functors on categories constructed from the locally  $\omega_1$ -presentable category  $\omega$ CPO. We therefore begin by recording some important facts about locally  $\omega_1$ -presentable categories and functors on them, and verifying verify the properties needed to interpret our syntax.

# 2.1.1 Preliminaries. Perhaps have as preliminaries to entire paper. Do everything for $\lambda CPOs$ ? Define these; investigate their properties.

A category is *small* if its collection of morphisms is a set. It is *locally small* if, for any two objects A and B, the collection of morphisms from A to B is a set. A *small* (*co*)*limit* in a category C is a (co)*limit* of a diagram  $F: \mathcal{A} \to C$ , where  $\mathcal{A}$  is a small category. A category C is (*co*)*complete* if it has all small (*co*)*limits*.

A poset  $\mathcal{D} = (D, \leq)$  is  $\omega_1$ -directed if every countable subset of D has a supremum. When  $\mathcal{D}$  is considered as a category, we write  $d \in \mathcal{D}$  to indicate that d is an object of  $\mathcal{D}$  (i.e.,  $d \in D$ ). An  $\omega_1$ -directed colimit in a category C is a colimit of a diagram  $F : \mathcal{D} \to C$ , where  $\mathcal{D}$  is an  $\omega_1$ -directed poset. A category C is  $\omega_1$ -cocomplete if it has all  $\omega_1$ -directed colimits; a cocomplete category is one that has all colimits.

If  $\mathcal{A}$  and C are  $\omega_1$ -cocomplete, then a functor  $F:\mathcal{A}\to C$  is  $\omega_1$ -cocontinuous if it preserves  $\omega_1$ -directed colimits. We write  $[\mathcal{A},C]_{\omega_1}$  for the category of  $\omega_1$ -cocontinuous functors from  $\mathcal{A}$  to C, and  $C^{\mathcal{A}}$  for the category of all functors from  $\mathcal{A}$  to C. Since (co)limits of functors are computed pointwise,  $C^{\mathcal{A}}$  has all (co)limits that C has, and (co)limits of (co)continuous functors are again (co)continuous. It follows that  $[\mathcal{A},C]_{(\omega_1)}$  is  $(\omega_1$ -)(co)complete whenever C is. In particular, if  $F:[C,C]_{(\omega_1)}$  for a(n)  $(\omega_1$ -)cocomplete category C, then we can define  $F^0=Id$ ,  $F^{\alpha+1}=F\circ F^{\alpha}$  at successor ordinals, and  $F^{\bigcup_{\beta<\alpha}\beta}=\lim_{\beta<\alpha}F^{\beta}$  at limit ordinals.

If  $\mathcal{A}$  is locally small, then an object A of  $\mathcal{A}$  is  $\omega_1$ -presentable if the functor  $\operatorname{Hom}_{\mathcal{A}}(A,-):\mathcal{A}\to\operatorname{Set}$  preserves  $\omega_1$ -directed colimits, i.e., if for every  $\omega_1$ -directed poset  $\mathcal{D}$  and every functor  $F:\mathcal{D}\to\mathcal{A}$ , there is a canonical isomorphism  $\varinjlim_{d\in\mathcal{D}}\operatorname{Hom}_{\mathcal{A}}(A,Fd)\simeq\operatorname{Hom}_{\mathcal{A}}(A,\varprojlim_{d\in\mathcal{D}}Fd)$ . A locally small category  $\mathcal{A}$  is  $\omega_1$ -accessible if it is  $\omega_1$ -cocomplete and has a set  $\mathcal{A}_0$  of  $\omega_1$ -presentable objects such that every object is an  $\omega_1$ -directed colimit of objects in  $\mathcal{A}_0$ ; a locally small category is *locally*  $\omega_1$ -presentable if it is  $\omega_1$ -accessible and cocomplete.

The category  $\omega$ CPO is locally  $\omega_1$ -presentable (but not locally finitely presentable); see Examples 1.18(2) of [Adámek and Rosický 1994]). Its  $\omega_1$ -presentable objects are precisely the  $\omega$ CPOs cardinality less than  $\omega_1$ , i.e., the countable  $\omega$ CPOs. In the next subsection we will interpret type variables in  $\mathbb{T}^k \cup \mathbb{F}^k$  as elements of  $[\omega \mathsf{CPO}^k, \omega \mathsf{CPO}]_{\omega_1}$ ; the following special cases of standard results (see, e.g., [Adámek and Rosický 1994]) will therefore be critical to deducing important properties of our object interpretations of types:

- PROPOSITION 5. (1) If  $C_1, ..., C_n$  are locally  $\omega_1$ -presentable categories then so is  $C_1 \times ... \times C_n$ . Moreover, the presentable objects of  $C_1 \times ... \times C_n$  are exactly the tuples of the form  $(P_1, ..., P_n)$ , where, for each i = 1, ..., n, the object  $P_i$  is presentable in  $C_i$ .
- (2) If  $\mathcal{A}$  is  $\omega_1$ -accessible and C is  $\lambda$ -cocomplete, then the category  $[\mathcal{A}, C]_{\omega_1}$  is naturally equivalent to the category  $C^{\mathcal{A}_0}$ .
- (3) If C is locally  $\omega_1$ -presentable and  $\mathcal{A}_0$  is essentially small, then  $C^{\mathcal{A}_0}$  is locally  $\omega_1$ -presentable.

Together, the statements in Proposition 5 give that if  $\mathcal{A}$  and C are locally  $\omega_1$ -presentable, then  $[\mathcal{A}, C]_{\omega_1}$  is naturally equivalent to  $C^{\mathcal{A}_0}$ , and thus is  $\omega_1$ -presentable. Thus, for all  $k_1, ..., k_n \in \mathbb{N}^n$ ,  $[\mathcal{A}^{k_1}, C]_{\omega_1} \times ... \times [\mathcal{A}^{k_n}, C]_{\omega_1}$  is locally  $\omega_1$ -presentable, and therefore  $[[\mathcal{A}^{k_1}, C]_{\omega_1} \times ... \times [\mathcal{A}^{k_n}, C]_{\omega_1}, C]_{\omega_1}$  is as well. Taking both  $\mathcal{A}$  and C to be  $\omega$ CPO – as we will to ensure that the fixpoints interpreting  $\mu$ -types in  $\omega$ CPO exist – we have

Proposition 6. For all  $k_1, ..., k_n \in \mathbb{N}^n$ ,

$$[[\omega\mathsf{CPO}^{k_1},\omega\mathsf{CPO}]_{\omega_1}\times\ldots\times[\omega\mathsf{CPO}^{k_n},\omega\mathsf{CPO}]_{\omega_1},\omega\mathsf{CPO}]_{\omega_1}$$

is locally  $\omega_1$ -presentable.

2.1.2 *Object Interpretations.* To define the object interpretations of the types in Definition 2 we must first interpret their variables. We have:

DEFINITION 7. A  $\omega$ CPO environment maps each type variable in  $\mathbb{T}^k \cup \mathbb{F}^k$  to an element of  $[\omega \mathsf{CPO}^k, \omega \mathsf{CPO}]_{\omega_1}$ . A morphism  $f: \rho \to \rho'$  for set environments  $\rho$  and  $\rho'$  with  $\rho|_{\mathbb{T}} = \rho'|_{\mathbb{T}}$  maps each type constructor variable  $\psi^k \in \mathbb{T}$  to the identity natural transformation on  $\rho \psi^k = \rho' \psi^k$  and each functorial variable  $\phi^k \in \mathbb{F}$  to a natural transformation from the k-ary functor  $\rho \phi^k$  on  $\omega \mathsf{CPO}$ . Composition of morphisms on set environments is given componentwise, with the identity morphism mapping each set environment to itself. This gives a category of set environments and morphisms between them, which we denote  $\omega \mathsf{CPOEnv}$ .

When convenient we identify a functor in  $[\omega \mathsf{CPO}^0, \omega \mathsf{CPO}]_{\omega_1}$  with its value on \* and consider a  $\omega \mathsf{CPO}$  environment to map a type variable of arity 0 to an  $\omega_1$ -cocontinuous functor from  $\omega \mathsf{CPO}^0$  to  $\omega \mathsf{CPO}$ , i.e., to an  $\omega \mathsf{CPO}$ . If  $\Phi = \{\phi_1^{k_1}, ..., \phi_n^{k_n}\}$  and  $\overline{K} = \{K_1, ..., K_n\}$  where  $K_i : [\omega \mathsf{CPO}^{k_i}, \omega \mathsf{CPO}]_{\omega_1}$  for i = 1, ..., n, then we write either  $\rho[\overline{\Phi} := \overline{K}]$  or  $\rho[\overline{\phi} := \overline{K}]$  for the  $\omega \mathsf{CPO}$  environment  $\rho'$  such that  $\rho' \phi_i = K_i$  for i = 1, ..., n and  $\rho' \phi = \rho \phi$  if  $\phi \notin \Phi$ . If  $\rho$  is an  $\omega \mathsf{CPO}$  environment, we write  $\mathsf{Eq}_\rho$  for the  $\omega \mathsf{CPORel}$  environment (see Definition 16) such that  $\mathsf{Eq}_\rho v = \mathsf{Eq}_{\rho v}$  for every type variable v. The categories  $\omega \mathsf{CPORT}_k$  and relational interpretations appearing in the third clause of Definition 8 are given in full in Section 2.2. We note that  $\omega_1$ -directed colimits in  $\omega \mathsf{CPOEnv}$  are taken pointwise.

1:8 Anon.

Definition 8. The object interpretation  $\llbracket \cdot \rrbracket^{\omega \text{CPO}} : \mathcal{F} \to [\omega \text{CPOEnv}, \omega \text{CPO}]_{\omega_1}$  is defined by  $\llbracket \Gamma; \Phi \vdash \emptyset \rrbracket^{\omega \text{CPO}} \rho = 0$   $\llbracket \Gamma; \Phi \vdash \mathbb{1} \rrbracket^{\omega \text{CPO}} \rho = 1$   $\llbracket \Gamma; \emptyset \vdash \text{Nat}^{\Phi} F G \rrbracket^{\omega \text{CPO}} \rho = \{ \eta : \lambda \overline{K}. \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega \text{CPO}} \rho [\overline{\Phi} := \overline{K}] \Rightarrow \lambda \overline{K}. \llbracket \Gamma; \Phi \vdash G \rrbracket^{\omega \text{CPO}} \rho [\overline{\Phi} := \overline{K}] \}$   $| \forall \overline{K} = (K^1, K^2, K^*) : \omega \text{CPORT}_{\overline{k}}.$   $(\eta_{\overline{K^1}}, \eta_{\overline{K^2}}) : \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega \text{CPO}} \rho E q_{\rho} [\overline{\Phi} := \overline{K}] \to \llbracket \Gamma; \Phi \vdash G \rrbracket^{\omega \text{CPO}} \rho E q_{\rho} [\overline{\Phi} := \overline{K}] \}$   $\llbracket \Gamma; \Phi \vdash \phi \overline{F} \rrbracket^{\omega \text{CPO}} \rho = (\rho \phi) \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega \text{CPO}} \rho$   $\llbracket \Gamma; \Phi \vdash F + G \rrbracket^{\omega \text{CPO}} \rho = \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega \text{CPO}} \rho + \llbracket \Gamma; \Phi \vdash G \rrbracket^{\omega \text{CPO}} \rho$   $\llbracket \Gamma; \Phi \vdash F \times G \rrbracket^{\omega \text{CPO}} \rho = \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega \text{CPO}} \rho \times \llbracket \Gamma; \Phi \vdash G \rrbracket^{\omega \text{CPO}} \rho$   $\llbracket \Gamma; \Phi, \overline{\gamma} \vdash (\mu \phi. \lambda \overline{\alpha}. H) \overline{G} \rrbracket^{\omega \text{CPO}} \rho = (\mu T_{H, \rho}^{\omega \text{CPO}}) \overline{\llbracket \Gamma; \Phi, \overline{\gamma} \vdash G \rrbracket^{\omega \text{CPO}} \rho}$   $\text{where } T_{H, \rho}^{\omega \text{CPO}} \rho = \lambda \overline{A}. \llbracket \Gamma; \overline{\gamma}, \phi, \overline{\alpha} \vdash H \rrbracket^{\omega \text{CPO}} \rho [\phi := F] [\overline{\alpha} := \overline{A}]$   $\text{and } T_{H, \rho}^{\omega \text{CPO}} \rho = \lambda \overline{A}. \llbracket \Gamma; \overline{\gamma}, \phi, \overline{\alpha} \vdash H \rrbracket^{\omega \text{CPO}} \rho [\overline{\alpha} := \overline{\beta}] [\Gamma; \Phi \vdash G ] [\Gamma; \Phi \vdash A] [\Gamma; \Phi \vdash$ 

If  $\rho \in \omega \mathsf{CPOEnv}$  and  $\vdash F$  then we write  $\llbracket \vdash F \rrbracket^{\omega \mathsf{CPO}}$  instead of  $\llbracket \vdash F \rrbracket^{\omega \mathsf{CPO}} \rho$  since the environment is immaterial.

For Definition 8 to be well-defined, we have to check that each object interpretation is in  $\omega$ CPO and, in particular, that each contains sups of all  $\omega$ -chains. This will be proved by induction on types, and in most cases existence of sups of  $\omega$ -chains will follow from the induction hypotheses. However, well-definedness needs to be proved directly for object interpretations of Nat-types. First, we have

Lemma 9. The collection of all natural transformations

$$\eta: \lambda \overline{K}. \llbracket \Gamma; \Phi \vdash F \rrbracket^{\mathsf{Set}} \rho [\overline{\Phi := K}] \Rightarrow \lambda \overline{K}. \llbracket \Gamma; \Phi \vdash G \rrbracket^{\mathsf{Set}} \rho [\overline{\Phi := K}]$$

defines a set.

 PROOF. We first note that  $\lambda \overline{K}$ .  $\llbracket \Gamma; \Phi \vdash F \rrbracket^{\operatorname{Set}} \rho [\overline{\Phi} := \overline{K}]$  and  $\lambda \overline{K}$ .  $\llbracket \Gamma; \Phi \vdash G \rrbracket^{\operatorname{Set}} \rho [\overline{\Phi} := \overline{K}]$  are both in  $[[\omega \mathsf{CPO}^k, \omega \mathsf{CPO}]_{\omega_1}, \omega \mathsf{CPO}]_{\omega_1}$ . By Proposition 6,  $[[\omega \mathsf{CPO}^k, \omega \mathsf{CPO}]_{\omega_1}, \omega \mathsf{CPO}]_{\omega_1}$  is locally  $\omega_1$ -presentable. It is therefore locally small, so there are only Set-many morphisms (i.e., natural transformations) between any two functors in  $[[\omega \mathsf{CPO}^k, \omega \mathsf{CPO}]_{\omega_1}, \omega \mathsf{CPO}]_{\omega_1}$ . In particular, there are only Set-many natural transformations from  $\lambda \overline{K}$ .  $\llbracket \Gamma; \Phi \vdash F \rrbracket^{\operatorname{Set}} \rho [\overline{\Phi} := \overline{K}]$  to  $\lambda \overline{K}$ .  $\llbracket \Gamma; \Phi \vdash G \rrbracket^{\operatorname{Set}} \rho [\overline{\Phi} := \overline{K}]$ .

Now, if C is any category, then given functors  $F,G:C\to\omega\mathsf{CPO}$  and natural transformations  $\eta,\eta':F\to G$ , we define  $\eta\leq\eta'$  iff  $\eta_c\leq\eta'_c$  for all  $c\in C$ , i.e., iff  $\eta_c\,x\leq\eta'_c\,x$  in Gc for all  $c\in C$  and  $x\in Fc$ . If  $(\eta_i)_{i<\omega}$  is a chain in  $\{\eta:F\to G\}$ , then the family of morphisms  $(\bigvee_{i<\omega}\eta_i)_c=\lambda x.\bigvee_{i<\omega}((\eta_i)_c\,x):Fc\to Gc$  defines a natural transformation  $\bigvee_{i<\omega}\eta_i:F\to G$ , and this natural transformation is clearly the supremum of  $(\eta_i)_{i<\omega}$  in  $\{\eta:F\to G\}$ . We therefore have that  $\{\eta:F\to G\}$  is itself an  $\omega\mathsf{CPO}$ . To show that  $[\Gamma;\emptyset\models\mathsf{Nat}^\Phi FG]^{\omega\mathsf{CPO}}\rho$  is an  $\omega\mathsf{CPO}$ , we then still need to prove that, for any chain of natural transformations  $(\eta_i)_{i<\omega}$  in  $[\Gamma;\emptyset\models\mathsf{Nat}^\Phi FG]^{\omega\mathsf{CPO}}\rho,\bigvee_{i<\omega}\eta_i$  is again

  $\text{in } \llbracket \Gamma; \emptyset \vdash \mathsf{Nat}^\Phi F G \rrbracket^{\omega \mathsf{CPO}} \rho. \text{ By hypothesis, each } \eta_i \text{ is such that, for all } \overline{K = (K^1, K^2, K^*)} : \omega \mathsf{CPORT}_k,$ 

$$((\eta_i)_{\overline{K^1}}, (\eta_i)_{\overline{K^2}}) : \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega \mathsf{CPORel}} \mathsf{Eq}_{\rho}[\overline{\Phi := K}] \to \llbracket \Gamma; \Phi \vdash G \rrbracket^{\omega \mathsf{CPORel}} \mathsf{Eq}_{\rho}[\overline{\Phi := K}]$$

is a morphism in  $\omega \mathsf{CPORel}$ . To see that, for all  $\overline{K = (K^1, K^2, K^*)} : \omega \mathsf{CPORT}_k$ ,  $((\bigvee_{i < \omega} \eta_i)_{\overline{K^1}}, (\bigvee_{i < \omega} \eta_i)_{\overline{K^2}})$  is a morphism from  $[\![\Gamma; \Phi \vdash F]\!]^{\omega \mathsf{CPORel}} \mathsf{Eq}_{\rho}[\overline{\Phi := K}]$  to  $[\![\Gamma; \Phi \vdash G]\!]^{\omega \mathsf{CPORel}} \mathsf{Eq}_{\rho}[\overline{\Phi := K}]$  in  $\omega \mathsf{CPORel}$ , we simply observe that

$$((\bigvee_{i<\omega}\eta_i)_{\overline{K^1}},(\bigvee_{i<\omega}\eta_i)_{\overline{K^2}})=(\bigvee_{i<\omega}(\eta_i)_{\overline{K^1}},\bigvee_{i<\omega}(\eta_i)_{\overline{K^2}})=\bigvee_{i<\omega}((\eta_i)_{\overline{K^1}},(\eta_i)_{\overline{K^2}})$$

so  $((\bigvee_{i<\omega}\eta_i)_{\overline{K^1}},(\bigvee_{i<\omega}\eta_i)_{\overline{K^2}})$  is the sup of a chain of morphisms from  $[\![\Gamma;\Phi\vdash F]\!]^{\omega\mathsf{CPORel}}\mathsf{Eq}_{\rho}[\overline{\Phi}:=\overline{K}]$  to  $[\![\Gamma;\Phi\vdash G]\!]^{\omega\mathsf{CPORel}}\mathsf{Eq}_{\rho}[\overline{\Phi}:=\overline{K}]$  in  $\omega\mathsf{CPORel}$  and thus is itself such a morphism. Taken together, this all shows that  $[\![\Gamma;\emptyset\vdash\mathsf{Nat}^\Phi FG]\!]^{\omega\mathsf{CPO}}\rho$  is indeed an  $\omega\mathsf{CPO}$ .

That each of the above interpretations is  $\omega_1$ -cocontinuous follows from Corollary 12 of [Johann and Polonsky 2019] if we APPROPRIATELY RESTRICT THE SUBSCRIPTS OF Lans. For Nattypes, we note that  $\llbracket \Gamma; \emptyset \vdash \operatorname{Nat}^{\Phi} F G \rrbracket^{\omega CPO}$  is an  $\omega_1$ -cocontinuous functor because, in accordance with Definition 10, it is constant on  $\omega_1$ -directed sets. Interpretations of Nat-types ensure that  $\llbracket \Gamma \vdash F \to G \rrbracket^{\omega CPO}$  and  $\llbracket \Gamma \vdash \forall \overline{\alpha}.F \rrbracket^{\omega CPO}$  are as expected in any parametric model.

To make sense of the next-to-last clause in Definition 8, we need to know that, for each  $\rho \in \omega \text{CPOEnv}$ ,  $T_{H,\rho}^{\omega \text{CPO}}$  is an  $\omega_1$ -cocontinuous endofunctor on  $[\omega \text{CPO}^k, \omega \text{CPO}]_{\omega_1}$ , and thus admits a fixpoint. Since  $T_{H,\rho}^{\omega \text{CPO}}$  is defined in terms of  $[\![\Gamma; \overline{\gamma}, \phi, \overline{\alpha} \vdash H]\!]^{\omega \text{CPO}}$ , this means that interpretations of types must be such functors, which in turn means that the actions of set interpretations of types on objects and on morphisms in  $\omega \text{CPOEnv}$  are intertwined. Fortunately, we know from  $[\![\text{Johann}]$  and Polonsky 2019] that, for every  $\Gamma; \overline{\alpha} \vdash F, [\![\Gamma; \Phi \vdash F]\!]^{\omega \text{CPO}}$  is actually in  $[\omega \text{CPO}^k, \omega \text{CPO}]_{\omega_1}$ , where  $k = |\overline{\alpha}|$ . Therefore, for each  $[\![\Gamma; \overline{\gamma}, \phi^k, \overline{\alpha} \vdash H]\!]^{\omega \text{CPO}}$ , the corresponding operator  $T_H^{\omega \text{CPO}}$  can be extended to a functor from  $\omega \text{CPOEnv}$  to  $[\![\omega \text{CPO}^k, \omega \text{CPO}]_{\omega_1}, [\omega \text{CPO}^k, \omega \text{CPO}]_{\omega_1}]_{\omega_1}$ . The action of  $T_H^{\omega \text{CPO}}$  on an object  $\rho \in \omega \text{CPOEnv}$  is given by the higher-order functor  $T_{H,\rho}^{\omega \text{CPO}}$ , whose actions on objects (functors in  $[\omega \text{CPO}^k, \omega \text{CPO}]_{\omega_1}$ ) and on morphisms (natural transformations between such functors) are given in Definition 8. The action of  $T_H^{\omega \text{CPO}}$  on a morphism  $f: \rho \to \rho'$  is the higher-order natural transformation  $T_{H,f}^{\omega \text{CPO}}: T_{H,\rho}^{\omega \text{CPO}} \to T_{H,\rho'}^{\omega \text{CPO}}$  whose action on  $F: [\omega \text{CPO}^k, \omega \text{CPO}]_{\omega_1}$  is the natural transformation  $T_{H,f}^{\omega \text{CPO}}: T_{H,\rho}^{\omega \text{CPO}} \to T_{H,\rho'}^{\omega \text{CPO}}$  whose component at  $\overline{A}$  is  $(T_{H,f}^{\omega \text{CPO}}; F)_{\overline{A}} = [\![\Gamma; \overline{\gamma}, \phi, \overline{\alpha} \vdash H]\!]^{\omega \text{CPO}} f[\phi := id_F][\overline{\alpha} := id_A]$ .

In addition, for each  $\overline{K}$ , we have that  $Lan_{\overline{K}}$  is itself a (higher-order) functor. Specifically, given functors  $F, F': C \to \mathcal{D}$ , a sequence of functors  $\overline{K} = K_1, ..., K_n$  with  $K_i: C \to C_i$  for i = 1, ..., n, and a natural transformation  $\alpha: F \to F'$ , the functorial action  $Lan_{\overline{K}}\alpha: Lan_{\overline{K}}F \to Lan_{\overline{K}}F'$  of  $Lan_{\overline{K}}$  on  $\alpha$  is defined to be the unique natural transformation such that  $((Lan_{\overline{K}}\alpha) \circ \langle K_1, ..., K_n \rangle) \circ \eta_F = \eta_{F'} \circ \alpha$ . Here,  $\eta_F: F \to (Lan_{\overline{K}}F) \circ \langle K_1, ..., K_n \rangle$  and  $\eta_{F'}: F' \to (Lan_{\overline{K}}F') \circ \langle K_1, ..., K_n \rangle$  are the natural transformations associated with the functors  $Lan_{\overline{K}}F$  and  $Lan_{\overline{K}}F'$  from  $\Pi_{i \in \{1, ..., n\}}C_i$  to  $\mathcal{D}$ , respectively. It is not hard to see that  $Lan_{\overline{K}}$  is a (higher-order) functor under this definition.

The next definition uses the functors  $T_H^{\omega CPO}$  and  $Lan_K$  to define the actions of functors interpreting types on morphisms between set environments.

DEFINITION 10. Let  $f: \rho \to \rho'$  be a morphism between  $\omega \text{CPO}$  environments  $\rho$  and  $\rho'$  (so that  $\rho|_{\mathbb{T}} = \rho'|_{\mathbb{T}}$ ). The action  $[\![\Gamma; \Phi \vdash F]\!]^{\omega \text{CPO}} f$  of  $[\![\Gamma; \Phi \vdash F]\!]^{\omega \text{CPO}}$  on the morphism f is given as follows:

- If  $\Gamma : \Phi \vdash \mathbb{O}$  then  $[\![\Gamma : \Phi \vdash \mathbb{O}]\!]^{\omega CPO} f = id_0$
- If  $\Gamma$ ;  $\Phi \vdash \mathbb{1}$  then  $\llbracket \Gamma ; \Phi \vdash \mathbb{1} \rrbracket^{\omega CPO} f = id_1$
- If  $\Gamma$ ;  $\emptyset \vdash \operatorname{Nat}^{\Phi} F G$  then  $\llbracket \Gamma ; \emptyset \vdash \operatorname{Nat}^{\Phi} F G \rrbracket^{\omega CPO} f = id_{\llbracket \Gamma ; \emptyset \vdash \operatorname{Nat}^{\Phi} F G \rrbracket^{\omega CPO} \rho}$

• If  $\Gamma$ ;  $\Phi \vdash \phi \overline{F}$  then

$$\begin{split} \llbracket \Gamma ; \Phi \vdash \phi \overline{F} \rrbracket^{\omega \mathsf{CPO}} f : \llbracket \Gamma ; \Phi \vdash \phi \overline{F} \rrbracket^{\omega \mathsf{CPO}} \rho &\to \llbracket \Gamma ; \Phi \vdash \phi \overline{F} \rrbracket^{\omega \mathsf{CPO}} \rho' \\ &= (\rho \phi) \overline{\llbracket \Gamma ; \Phi \vdash F \rrbracket^{\omega \mathsf{CPO}} \rho} &\to (\rho' \phi) \overline{\llbracket \Gamma ; \Phi \vdash F \rrbracket^{\omega \mathsf{CPO}} \rho'} \end{split}$$

is defined by

$$\begin{split} \llbracket \Gamma ; \Phi \vdash \phi \overline{F} \rrbracket^{\omega \mathsf{CPO}} f &= (f\phi)_{\overline{\llbracket \Gamma ; \Phi \vdash F \rrbracket^{\omega \mathsf{CPO}} \rho'}} \circ (\rho\phi) \overline{\llbracket \Gamma ; \Phi \vdash F \rrbracket^{\omega \mathsf{CPO}} f} \\ &= (\rho'\phi) \overline{\llbracket \Gamma ; \Phi \vdash F \rrbracket^{\omega \mathsf{CPO}} f} \circ (f\phi)_{\overline{\llbracket \Gamma ; \Phi \vdash F \rrbracket^{\omega \mathsf{CPO}} \rho}} \end{split}$$

The latter equality holds because  $\rho\phi$  and  $\rho'\phi$  are functors and  $f\phi:\rho\phi\to\rho'\phi$  is a natural transformation, so the following naturality square commutes:

$$(\rho\phi)\overline{\llbracket\Gamma;\Phi\vdash F\rrbracket^{\omega\mathsf{CPO}}\rho} \xrightarrow{(f\phi)\overline{\llbracket\Gamma;\Phi\vdash F\rrbracket^{\omega\mathsf{CPO}}\rho}} (\rho'\phi)\overline{\llbracket\Gamma;\Phi\vdash F\rrbracket^{\omega\mathsf{CPO}}\rho}$$

$$(\rho\phi)\overline{\llbracket\Gamma;\Phi\vdash F\rrbracket^{\omega\mathsf{CPO}}f} \qquad (\rho'\phi)\overline{\llbracket\Gamma;\Phi\vdash F\rrbracket^{\omega\mathsf{CPO}}\rho'} \qquad (1)$$

$$(\rho\phi)\overline{\llbracket\Gamma;\Phi\vdash F\rrbracket^{\omega\mathsf{CPO}}\rho'} \xrightarrow{(f\phi)\overline{\llbracket\Gamma;\Phi\vdash F\rrbracket^{\omega\mathsf{CPO}}\rho'}} (\rho'\phi)\overline{\llbracket\Gamma;\Phi\vdash F\rrbracket^{\omega\mathsf{CPO}}\rho'}$$

Anon.

• If  $\Gamma$ ;  $\Phi \vdash F + G$  then  $\llbracket \Gamma ; \Phi \vdash F + G \rrbracket^{\omega CPO} f$  is defined by

$$\begin{split} & \llbracket \Gamma ; \Phi \vdash F + G \rrbracket^{\omega \mathsf{CPO}} f(\mathsf{inl}\, x) = \mathsf{inl}\, (\llbracket \Gamma ; \Phi \vdash F \rrbracket^{\omega \mathsf{CPO}} f x) \\ & \llbracket \Gamma ; \Phi \vdash F + G \rrbracket^{\omega \mathsf{CPO}} f(\mathsf{inr}\, y) = \mathsf{inr}\, (\llbracket \Gamma ; \Phi \vdash G \rrbracket^{\omega \mathsf{CPO}} f y) \end{split}$$

- $\bullet \ \, \textit{If} \, \, \Gamma; \Phi \vdash F \times G \, \, then \, [\![ \Gamma; \Phi \vdash F \times G ]\!]^{\omega \mathsf{CPO}} f = [\![ \Gamma; \Phi \vdash F ]\!]^{\omega \mathsf{CPO}} f \times [\![ \Gamma; \Phi \vdash G ]\!]^{\omega \mathsf{CPO}} f$
- If  $\Gamma$ ;  $\Phi$ ,  $\overline{\gamma} \vdash (\mu \phi. \lambda \overline{\alpha}. H) \overline{G}$  then

is defined by

$$\begin{split} & (\mu T_{H,f}^{\omega\mathsf{CPO}})_{\underline{\llbracket\Gamma;\Phi,\overline{\gamma}\vdash G\rrbracket^{\omega\mathsf{CPO}}\rho'}} \circ (\mu T_{H,\rho}^{\omega\mathsf{CPO}})\overline{\llbracket\Gamma;\Phi,\overline{\gamma}\vdash G\rrbracket^{\omega\mathsf{CPO}}f} \\ = & (\mu T_{H,\rho'}^{\omega\mathsf{CPO}})\overline{\llbracket\Gamma;\Phi,\overline{\gamma}\vdash G\rrbracket^{\omega\mathsf{CPO}}f} \circ (\mu T_{H,f}^{\omega\mathsf{CPO}})_{\underline{\llbracket\Gamma;\Phi,\overline{\gamma}\vdash G\rrbracket^{\omega\mathsf{CPO}}\rho}} \end{split}$$

The latter equality holds because  $\mu T_{H,\rho}^{\omega CPO}$  and  $\mu T_{H,\rho'}^{\omega CPO}$  are functors and  $\mu T_{H,f}^{\omega CPO}$ :  $\mu T_{H,\rho}^{\omega CPO} \to \mu T_{H,\rho'}^{\omega CPO}$  is a natural transformation, so the following naturality square commutes:

$$(\mu T_{H,\rho}^{\omega \mathsf{CPO}}) \overline{\llbracket \Gamma; \Phi, \overline{\gamma} \vdash G \rrbracket}^{\omega \mathsf{CPO}} \rho \xrightarrow{(\mu T_{H,f}^{\omega \mathsf{CPO}})} \overline{\llbracket \Gamma; \Phi, \overline{\gamma} \vdash G \rrbracket}^{\omega \mathsf{CPO}} \rho \xrightarrow{(\mu T_{H,\rho}^{\omega \mathsf{CPO}})} (\mu T_{H,\rho'}^{\omega \mathsf{CPO}}) \overline{\llbracket \Gamma; \Phi, \overline{\gamma} \vdash G \rrbracket}^{\omega \mathsf{CPO}} \rho$$

$$(\mu T_{H,\rho}^{\omega \mathsf{CPO}}) \overline{\llbracket \Gamma; \Phi, \overline{\gamma} \vdash G \rrbracket}^{\omega \mathsf{CPO}} \rho \xrightarrow{(\mu T_{H,f}^{\omega \mathsf{CPO}})} \overline{\llbracket \Gamma; \Phi, \overline{\gamma} \vdash G \rrbracket}^{\omega \mathsf{CPO}} \rho \xrightarrow{(\mu T_{H,f}^{\omega \mathsf{CPO}})} (\mu T_{H,\rho'}^{\omega \mathsf{CPO}}) \overline{\llbracket \Gamma; \Phi, \overline{\gamma} \vdash G \rrbracket}^{\omega \mathsf{CPO}} \rho \xrightarrow{(\mu T_{H,\rho'}^{\omega \mathsf{CPO}})} (\mu T_{H,\rho'}^{\omega \mathsf{CPO}}) \overline{\llbracket \Gamma; \Phi, \overline{\gamma} \vdash G \rrbracket}^{\omega \mathsf{CPO}} \rho \xrightarrow{(\mu T_{H,\rho'}^{\omega \mathsf{CPO}})} (\mu T_{H,\rho'}^{\omega \mathsf{CPO}}) \overline{\llbracket \Gamma; \Phi, \overline{\gamma} \vdash G \rrbracket}^{\omega \mathsf{CPO}} \rho \xrightarrow{(\mu T_{H,\rho'}^{\omega \mathsf{CPO}})} (\mu T_{H,\rho'}^{\omega \mathsf{CPO}}) \overline{\llbracket \Gamma; \Phi, \overline{\gamma} \vdash G \rrbracket}^{\omega \mathsf{CPO}} \rho \xrightarrow{(\mu T_{H,\rho'}^{\omega \mathsf{CPO}})} (\mu T_{H,\rho'}^{\omega \mathsf{CPO}}) \overline{\llbracket \Gamma; \Phi, \overline{\gamma} \vdash G \rrbracket}^{\omega \mathsf{CPO}} \rho \xrightarrow{(\mu T_{H,\rho'}^{\omega \mathsf{CPO}})} (\mu T_{H,\rho'}^{\omega \mathsf{CPO}}) \overline{\llbracket \Gamma; \Phi, \overline{\gamma} \vdash G \rrbracket}^{\omega \mathsf{CPO}} \rho \xrightarrow{(\mu T_{H,\rho'}^{\omega \mathsf{CPO}})} (\mu T_{H,\rho'}^{\omega \mathsf{CPO}}) \overline{\llbracket \Gamma; \Phi, \overline{\gamma} \vdash G \rrbracket}^{\omega \mathsf{CPO}} \rho \xrightarrow{(\mu T_{H,\rho'}^{\omega \mathsf{CPO}})} (\mu T_{H,\rho'}^{\omega \mathsf{CPO}}) \overline{\llbracket \Gamma; \Phi, \overline{\gamma} \vdash G \rrbracket}^{\omega \mathsf{CPO}} \rho \xrightarrow{(\mu T_{H,\rho'}^{\omega \mathsf{CPO}})} (\mu T_{H,\rho'}^{\omega \mathsf{CPO}}) \overline{\llbracket \Gamma; \Phi, \overline{\gamma} \vdash G \rrbracket}^{\omega \mathsf{CPO}} \rho \xrightarrow{(\mu T_{H,\rho'}^{\omega \mathsf{CPO}})} (\mu T_{H,\rho'}^{\omega \mathsf{CPO}}) \overline{\llbracket \Gamma; \Phi, \overline{\gamma} \vdash G \rrbracket}^{\omega \mathsf{CPO}} \rho \xrightarrow{(\mu T_{H,\rho'}^{\omega \mathsf{CPO}})} (\mu T_{H,\rho'}^{\omega \mathsf{CPO}}) \overline{\llbracket \Gamma; \Phi, \overline{\gamma} \vdash G \rrbracket}^{\omega \mathsf{CPO}} \rho \xrightarrow{(\mu T_{H,\rho'}^{\omega \mathsf{CPO}})} (\mu T_{H,\rho'}^{\omega \mathsf{CPO}}) \overline{\llbracket \Gamma; \Phi, \overline{\gamma} \vdash G \rrbracket}^{\omega \mathsf{CPO}} \rho \xrightarrow{(\mu T_{H,\rho'}^{\omega \mathsf{CPO}})} (\mu T_{H,\rho'}^{\omega \mathsf{CPO}}) \overline{\llbracket \Gamma; \Phi, \overline{\gamma} \vdash G \rrbracket}^{\omega \mathsf{CPO}} \rho \xrightarrow{(\mu T_{H,\rho'}^{\omega \mathsf{CPO}})} (\mu T_{H,\rho'}^{\omega \mathsf{CPO}}) \overline{\llbracket \Gamma; \Phi, \overline{\gamma} \vdash G \rrbracket}^{\omega \mathsf{CPO}} \rho \xrightarrow{(\mu T_{H,\rho'}^{\omega \mathsf{CPO}})} (\mu T_{H,\rho'}^{\omega \mathsf{CPO}}) (\mu T_{H,$$

• If  $\Gamma$ ;  $\Phi \vdash (\operatorname{Lan}_{\overline{K}}^{\overline{\alpha}}F)\overline{A}$  then

$$[\![\Gamma;\Phi \vdash (\mathsf{Lan}_{\overline{K}}^{\overline{\alpha}}F)\overline{A}]\!]^{\omega\mathsf{CPO}}f:[\![\Gamma;\Phi \vdash (\mathsf{Lan}_{\overline{K}}^{\overline{\alpha}}F)\overline{A}]\!]^{\omega\mathsf{CPO}}\rho \to [\![\Gamma;\Phi \vdash (\mathsf{Lan}_{\overline{K}}^{\overline{\alpha}}F)\overline{A}]\!]^{\omega\mathsf{CPO}}\rho'$$

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is defined by

$$\begin{split} & \left( Lan_{\overline{\llbracket \Gamma; \overline{\alpha} \vdash K \rrbracket} \omega^{\mathsf{CPO}} \rho [\overline{\alpha :==}]} \llbracket \Gamma; \Phi, \overline{\alpha} \vdash F \rrbracket^{\omega \mathsf{CPO}} f [\overline{\alpha} := id_{\_}] \right) \overline{\llbracket \Gamma; \Phi \vdash A \rrbracket^{\omega \mathsf{CPO}} \rho'} \\ & \circ \left( Lan_{\overline{\llbracket \Gamma; \overline{\alpha} \vdash K \rrbracket} \omega^{\mathsf{CPO}} \rho [\overline{\alpha :==}]} \llbracket \Gamma; \Phi, \overline{\alpha} \vdash F \rrbracket^{\omega \mathsf{CPO}} \rho [\overline{\alpha} :=\_] \right) \overline{\llbracket \Gamma; \Phi \vdash A \rrbracket^{\omega \mathsf{CPO}} f} \\ & = \left( Lan_{\overline{\llbracket \Gamma; \overline{\alpha} \vdash K \rrbracket} \omega^{\mathsf{CPO}} \rho [\overline{\alpha :==}]} \llbracket \Gamma; \Phi, \overline{\alpha} \vdash F \rrbracket^{\omega \mathsf{CPO}} \rho' [\overline{\alpha} :=\_] \right) \overline{\llbracket \Gamma; \Phi \vdash A \rrbracket^{\omega \mathsf{CPO}} f} \\ & \circ \left( Lan_{\overline{\llbracket \Gamma; \overline{\alpha} \vdash K \rrbracket} \omega^{\mathsf{CPO}} \rho [\overline{\alpha :==}]} \llbracket \Gamma; \Phi, \overline{\alpha} \vdash F \rrbracket^{\omega \mathsf{CPO}} f [\overline{\alpha} := id_{\_}] \right) \overline{\llbracket \Gamma; \Phi \vdash A \rrbracket^{\omega \mathsf{CPO}} \rho} \end{split}$$

 $\textit{where the above equality holds by naturality of } Lan_{\overline{\|\Gamma;\overline{\alpha}\vdash K\|^{\omega\mathsf{CPO}}\rho|\overline{\alpha}:=-1}} [\![\Gamma;\Phi,\overline{\alpha}\vdash F]\!]^{\omega\mathsf{CPO}} f[\overline{\alpha}:=id_{\_}].$ 

Need to check that the extra condition in the interp of Lans is preserved for the last clause to be well-defined.

Definitions 8 and 10 respect weakening, i.e., ensure that a type and its weakenings have the same set interpretations.

### 2.2 Relational Interpretations of Types

Definition 11. A k-ary  $\omega$ CPO relation transformer F is a triple  $(F^1, F^2, F^*)$ , where  $F^1, F^2$ :  $[\omega \mathsf{CPO}^k, \omega \mathsf{CPO}]_{\omega_1}$  and  $F^* : [\omega \mathsf{CPORel}^k, \omega \mathsf{CPORel}]_{\omega_1}$  are such that if  $R_1 : \omega \mathsf{CPORel}(A_1, B_1), ..., R_k :$  $\omega \text{CPORel}(A_k, B_k) \text{ then } F^*\overline{R} : \omega \text{CPORel}(F^1\overline{A}, F^2\overline{B}), \text{ and } if(\alpha_1, \beta_1) \in \text{Hom}_{\omega \text{CPORel}}(R_1, S_1), ..., (\alpha_k, \beta_k) \in \text{CPORel}(R_1, S_1), ...,$  $\operatorname{\mathsf{Hom}}_{\omega \operatorname{\mathsf{CPORel}}}(R_k, S_k)$  then  $F^*(\overline{\alpha, \beta}) = (F^1 \overline{\alpha}, F^2 \overline{\beta})$ . We define  $F\overline{R}$  to be  $F^*\overline{R}$  and  $F(\overline{\alpha, \beta})$  to be  $F^*(\overline{\alpha, \beta})$ .

The first condition of the first sentence of Definition 11 entails that  $F^*\overline{R}$  relates sups of chains of pairwise related elements in  $F^1\overline{A}$  and  $F^2\overline{B}$ . The last condition of the first sentence of Definition 11 expands to: if  $\overline{(a,b)} \in \overline{R}$  implies  $(\alpha a, \beta b) \in S$  then  $(c,d) \in F^*\overline{R}$  implies  $(F^1\overline{\alpha} c, F^2\overline{\beta} d) \in F^*\overline{S}$ . When convenient we identify a 0-ary  $\omega$ CPO relation transformer (A, B, R) with  $R : \omega$ CPORel(A, B). We may also write  $\pi_1 F$  for  $F^1$  and  $\pi_2 F$  for  $F^2$ . We extend these conventions to  $\omega CPO$  relation environments, introduced in Definition 16 below, in the obvious way.

DEFINITION 12. The category  $\omega CPORT_k$  of k-ary  $\omega CPO$  relation transformers is given by the following data:

- An object of  $\omega \mathsf{CPORT}_k$  is a k-ary  $\omega \mathsf{CPO}$  relation transformer.
- A morphism  $\delta: (G^1, G^2, G^*) \to (H^1, H^2, H^*)$  in  $\omega \mathsf{CPORT}_k$  is a pair of natural transformations  $(\delta^1, \delta^2)$ , where  $\delta^1: G^1 \to H^1$  and  $\delta^2: G^2 \to H^2$  are such that, for all  $\overline{R: \omega \mathsf{CPORel}(A, B)}$ , if  $(x,y) \in G^*\overline{R}$  then  $(\delta^1_{\overline{A}}x, \delta^2_{\overline{B}}y) \in H^*\overline{R}$ .

  • Identity morphisms and composition are inherited from the category of functors on  $\omega$ CPO.

Definition 13. An endofunctor H on  $\omega CPORT_k$  is a triple  $H = (H^1, H^2, H^*)$ , where

- $H^1$  and  $H^2$  are functors from  $[\omega \mathsf{CPO}^k, \omega \mathsf{CPO}]_{\omega_1}$  to  $[\omega \mathsf{CPO}^k, \omega \mathsf{CPO}]_{\omega_1}$
- $H^*$  is a functor from  $\omega CPORT_k$  to  $[\omega CPORel^k, \omega CPORel]_{\omega_1}$
- for all  $\overline{R}$ :  $\omega \mathsf{CPORel}(A,B)$ ,  $\pi_1((H^*(\delta^1,\delta^2))_{\overline{R}}) = (H^1\delta^1)_{\overline{A}}$  and  $\pi_2((H^*(\delta^1,\delta^2))_{\overline{R}}) = (H^2\delta^2)_{\overline{R}}$
- The action of H on objects is given by  $H(F^1, F^2, F^*) = (H^1F^1, H^2F^2, H^*(F^1, F^2, F^*))$
- The action of H on morphisms is given by  $H(\delta^1, \delta^2) = (H^1 \delta^1, H^2 \delta^2)$  for  $(\delta^1, \delta^2) : (F^1, F^2, F^*) \to (F^1, F^2, F^2, F^2)$  $(G^1, G^2, G^*)$

Since the results of applying an endofunctor H to k-ary  $\omega$ CPO relation transformers and morphisms between them must again be k-ary  $\omega$ CPO relation transformers and morphisms between them, respectively, Definition 13 implicitly requires that the following three conditions hold: i) if  $R_1: \omega \mathsf{CPORel}(A_1, B_1), ..., R_k: \omega \mathsf{CPORel}(A_k, B_k), \text{ then } H^*(F^1, F^2, F^*)\overline{R}: \omega \mathsf{CPORel}(H^1F^1\overline{A}, H^2F^2\overline{B});$ ii) if  $(\alpha_1, \beta_1) \in \text{Hom}_{\omega \text{CPORel}}(R_1, S_1), ..., (\alpha_k, \beta_k) \in \text{Hom}_{\omega \text{CPORel}}(R_k, S_k)$ , then  $H^*(F^1, F^2, F^*)$   $\overline{(\alpha, \beta)} = \frac{1}{2}$ 

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  $(H^1F^1\overline{\alpha},H^2F^2\overline{\beta})$ ; and iii) if  $(\delta^1,\delta^2):(F^1,F^2,F^*)\to (G^1,G^2,G^*)$  and  $R_1:\omega \mathsf{CPORel}(A_1,B_1),...,R_k:\omega \mathsf{CPORel}(A_k,B_k)$ , then  $((H^1\delta^1)_{\overline{A}}x,(H^2\delta^2)_{\overline{B}}y)\in H^*(G^1,G^2,G^*)\overline{R}$  whenever  $(x,y)\in H^*(F^1,F^2,F^*)\overline{R}$ . Note, however, that this last condition is automatically satisfied because it is implied by the third bullet point of Definition 13.

DEFINITION 14. If H and K are endofunctors on  $\omega \mathsf{CPORT}_k$ , then a natural transformation  $\sigma: H \to K$  is a pair  $\sigma = (\sigma^1, \sigma^2)$ , where  $\sigma^1: H^1 \to K^1$  and  $\sigma^2: H^2 \to K^2$  are natural transformations between endofunctors on  $[\omega \mathsf{CPO}^k, \omega \mathsf{CPO}]_{\omega_1}$  and the component of  $\sigma$  at  $F \in \omega \mathsf{CPORT}_k$  is given by  $\sigma_F = (\sigma^1_{F^1}, \sigma^2_{F^2})$ .

Definition 14 entails that  $\sigma_{F^i}^i$  must be natural in  $F^i$ :  $[\omega \mathsf{CPO}^k, \omega \mathsf{CPO}]_{\omega_1}$ , and, for every F,  $(\sigma_{F^1}^1)_{\overline{A}}$  and  $(\sigma_{F^2}^2)_{\overline{B}}$  must be natural in  $\overline{A}$  and  $\overline{B}$ , respectively. Moreover, since the results of applying  $\sigma$  to k-ary  $\omega \mathsf{CPO}$  relation transformers must be morphisms of k-ary relation transformers, Definition 14 implicitly requires that  $(\sigma_F)_{\overline{R}} = ((\sigma_{F^1}^1)_{\overline{A}}, (\sigma_{F^2}^2)_{\overline{B}})$  is a morphism in  $\omega \mathsf{CPORel}$  for any k-tuple of relations  $\overline{R}$ :  $\overline{Rel}(A, \overline{B})$ , i.e., that if  $(x, y) \in H^*F\overline{R}$ , then  $((\sigma_{F^1}^1)_{\overline{A}}x, (\sigma_{F^2}^2)_{\overline{B}}y) \in K^*F\overline{R}$ .

Critically, we can compute  $\omega_1$ -directed colimits in  $\omega \mathsf{CPORT}_k$ : it is not hard to see that if  $\mathcal D$  is an  $\omega_1$ -directed set then  $\varinjlim_{d \in \mathcal D} (F_d^1, F_d^2, F_d^*) = (\varinjlim_{d \in \mathcal D} F_d^1, \varinjlim_{d \in \mathcal D} F_d^2, \varinjlim_{d \in \mathcal D} F_d^*)$ . We define an endofunctor  $T = (T^1, T^2, T^*)$  on  $\omega \mathsf{CPORT}_k$  to be  $\omega_1$ -cocontinuous if  $T^1$  and  $T^2$  are  $\omega_1$ -cocontinuous endofunctors on  $[\omega \mathsf{CPOR}_k, \omega \mathsf{CPO}_{\omega_1}]$  and  $T^*$  is an  $\omega_1$ -cocontinuous functor from  $\omega \mathsf{CPORT}_k$  to  $[\omega \mathsf{CPORel}^k, \omega \mathsf{CPORel}]_{\omega_1}$ , i.e., is in  $[\omega \mathsf{CPORT}_k, [\omega \mathsf{CPORel}^k, \omega \mathsf{CPORel}]_{\omega_1}]_{\omega_1}$ .

Now, for any k, any  $A: \omega \mathsf{CPO}$ , and any  $R: \omega \mathsf{CPORel}(A, B)$ , let  $K_A^{\omega \mathsf{CPO}}$  be the constantly A-valued functor from  $\omega \mathsf{CPO}^k$  to  $\omega \mathsf{CPO}$  and  $K_R^{\omega \mathsf{CPORel}}$  be the constantly R-valued functor from  $\omega \mathsf{CPORel}^k$  to  $\omega \mathsf{CPORel}$ . Also let 0 denote either the initial object of  $\omega \mathsf{CPO}$  or the initial object of  $\omega \mathsf{CPORel}$ , as appropriate. Observing that, for every k,  $K_0^{\omega \mathsf{CPO}}$  is initial in  $[\omega \mathsf{CPO}^k, \omega \mathsf{CPO}]_{\omega_1}$ , and  $K_0^{\omega \mathsf{CPORel}}$  is initial in  $[\omega \mathsf{CPORel}^k, \omega \mathsf{CPORel}]_{\omega_1}$ , we have that, for each k,  $K_0 = (K_0^{\omega \mathsf{CPO}}, K_0^{\omega \mathsf{CPO}}, K_0^{\omega \mathsf{CPORel}})$  is initial in  $\omega \mathsf{CPORT}_k$ . Thus, if  $T = (T^1, T^2, T^*): \omega \mathsf{CPORT}_k \to \omega \mathsf{CPORT}_k$  is an endofunctor on  $\omega \mathsf{CPORT}_k$  then we can define the  $\omega \mathsf{CPO}$  relation transformer  $\mu T$  to be  $\lim_{\longrightarrow i < \omega_1} T^i K_0$ . It is not hard to see that  $\mu T$  is given explicitly as

$$\mu T = (\mu T^1, \mu T^2, \lim_{i \to \infty} (T^i K_0)^*)$$
(3)

and that, as our notation suggests, it really is a fixpoint for T if T is  $\omega_1$ -cocontinuous:

LEMMA 15. For any  $T : [\omega \mathsf{CPORT}_k, \omega \mathsf{CPORT}_k]_{\omega_1}, \mu T \cong T(\mu T)$ .

The isomorphism is given by the morphisms  $(in_1, in_2) : T(\mu T) \to \mu T$  and  $(in_1^{-1}, in_2^{-1}) : \mu T \to T(\mu T)$  in  $\omega \mathsf{CPORT}_k$ . The latter is always a morphism in  $\omega \mathsf{CPORT}_k$ , but the former need not be if T is not  $\omega_1$ -cocontinuous.

It is worth noting that the third component in Equation (3) is the colimit in  $[\omega \mathsf{CPORel}^k, \omega \mathsf{CPORel}]_{\omega_1}$  of third components of  $\omega \mathsf{CPO}$  relation transformers, rather than a fixpoint of an endofunctor on  $[\omega \mathsf{CPO}^k, \omega \mathsf{CPO}]_{\omega_1}$ . That there is an asymmetry between the first two components of  $\mu T$  and its third reflects the important conceptual observation that the third component of an endofunctor on  $\omega \mathsf{CPORT}_k$  need not be a functor on all of  $[\omega \mathsf{CPORel}^k, \omega \mathsf{CPORel}]_{\omega_1}$ . In particular, although we can define  $T_{H,\rho} F$  for an  $\omega \mathsf{CPO}$  relation transformer F in Definition 18 below, it is not clear how we could define it for an arbitrary  $F : [\omega \mathsf{CPORel}^k, \omega \mathsf{CPORel}]_{\omega_1}$ .

DEFINITION 16. An  $\omega$ CPO relation environment maps each type variable in  $\mathbb{T}^k \cup \mathbb{F}^k$  to a k-ary  $\omega$ CPO relation transformer. A morphism  $f: \rho \to \rho'$  between  $\omega$ CPO relation environments  $\rho$  and  $\rho'$  with  $\rho|_{\mathbb{T}} = \rho'|_{\mathbb{T}}$  maps each type constructor variable  $\psi^k \in \mathbb{T}$  to the identity morphism on  $\rho \psi^k = \rho' \psi^k$  and each functorial variable  $\phi^k \in \mathbb{F}$  to a morphism from the k-ary  $\omega$ CPO relation transformer  $\rho \phi$  to

 the k-ary  $\omega$ CPO relation transformer  $\rho'\phi$ . Composition of morphisms on  $\omega$ CPO relation environments is given componentwise, with the identity morphism mapping each  $\omega$ CPO relation environment to itself. This gives a category of  $\omega$ CPO relation environments and morphisms between them, which we denote  $\omega$ CPORelEnv.

When convenient we identify a 0-ary  $\omega$ CPO relation transformer with the  $\omega$ CPO relation (transformer) that is its codomain and consider an  $\omega$ CPO relation environment to map a type variable of arity 0 to an  $\omega$ CPO relation. If  $\Phi = \{\phi_1^{k_1}, ..., \phi_n^{k_n}\}$  and  $\overline{K} = \{K_1, ..., K_n\}$  where  $K_i : [\omega$ CPORel] $_{\omega_1}$  for i = 1, ..., n, then we write either  $\rho[\overline{\Phi} := \overline{K}]$  or  $\rho[\overline{\phi} := \overline{K}]$  for the  $\omega$ CPO relation environment  $\rho'$  such that  $\rho'\phi_i = K_i$  for i = 1, ..., n and  $\rho'\phi = \rho\phi$  if  $\phi \notin \Phi$ . If  $\rho$  is an  $\omega$ CPO relation environment, we write  $\pi_1\rho$  and  $\pi_2\rho$  for the  $\omega$ CPO relation environments mapping each type variable  $\phi$  to the functors  $(\rho\phi)^1$  and  $(\rho\phi)^2$ , respectively.

We define, for each k, the notion of an  $\omega_1$ -cocontinuous functor from  $\omega CPORelEnv$  to  $\omega CPORT_k$ :

DEFINITION 17. A functor  $H: [\omega \mathsf{CPORelEnv}, \omega \mathsf{CPORT}_k]_{\omega_1}$  is a triple  $H = (H^1, H^2, H^*)$ , where

- $H^1$  and  $H^2$  are objects in  $[\omega CPOEnv, [\omega CPO^k, \omega CPO]_{\omega_1}]_{\omega_1}$
- $H^*$  is a an object in  $[\omega CPORelEnv, [\omega CPORel^k, \omega CPORel]_{\omega_1}]_{\omega_1}$
- for all  $\overline{R}$ :  $\omega \mathsf{CPORel}(A,B)$  and morphisms f in  $\omega \mathsf{CPORelEnv}$ ,  $\pi_1((H^*f)_{\overline{R}}) = (H^1(\pi_1f))_{\overline{A}}$  and  $\pi_2((H^*f)_{\overline{R}}) = (H^2(\pi_2f))_{\overline{B}}$
- The action of H on  $\rho$  in  $\omega$ CPORelEnv is given by  $H\rho = (H^1(\pi_1\rho), H^2(\pi_2\rho), H^*\rho)$
- The action of H on morphisms  $f: \rho \to \rho'$  in  $\omega \mathsf{CPORelEnv}$  is given by  $Hf = (H^1(\pi_1 f), H^2(\pi_2 f))$

Spelling out the last two bullet points above gives the following analogues of the three conditions immediately following Definition 13: *i*) if  $R_1: \omega \mathsf{CPORel}(A_1, B_1), ..., R_k: \omega \mathsf{CPORel}(A_k, B_k)$ , then  $H^*\rho\,\overline{R}: \omega \mathsf{CPORel}(H^1(\pi_1\rho)\,\overline{A}, H^2(\pi_2\rho)\,\overline{B})$ ; *ii*) if  $(\alpha_1, \beta_1) \in \mathsf{Hom}_{\omega \mathsf{CPORel}}(R_1, S_1), ..., (\alpha_k, \beta_k) \in \mathsf{Hom}_{\omega \mathsf{CPORel}}(R_k, S_k)$ , then  $H^*\rho\,\overline{(\alpha, \beta)} = (H^1(\pi_1\rho)\,\overline{\alpha}, H^2(\pi_2\rho)\,\overline{\beta})$ ; and *iii*) if  $f: \rho \to \rho'$  and  $R_1: \omega \mathsf{CPORel}(A_1, B_1), ..., R_k: \omega \mathsf{CPORel}(A_k, B_k)$ , then  $((H^1(\pi_1f))_{\overline{A}}x, (H^2(\pi_2f))_{\overline{B}}y) \in H^*\rho'\,\overline{R}$  whenever  $(x, y) \in H^*\rho\,\overline{R}$ . As before, the last condition is automatically satisfied because it is implied by the third bullet point of Definition 17.

Considering  $\omega$ CPORelEnv as a product  $\Pi_{\phi^k \in \mathbb{T} \cup \mathbb{F}} \omega$ CPORT<sub>k</sub>, we extend the computation of  $\omega_1$ -directed colimits in  $\omega$ CPORT<sub>k</sub> to compute colimits in  $\omega$ CPORelEnv componentwise. We similarly extend the notion of an  $\omega_1$ -cocontinuous endofunctor on  $\omega$ CPORT<sub>k</sub> componentwise to give a notion of  $\omega_1$ -cocontinuity for functors from  $\omega$ CPORelEnv to  $\omega$ CPORT<sub>k</sub>. Recalling from the start of this subsection that Definition 18 is given mutually inductively with Definition 8 we can, at last, define:

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Definition 18. The relational interpretation  $[\![\cdot]\!]^{\omega \mathsf{CPORel}} : \mathcal{F} \to [\omega \mathsf{CPORelEnv}, \omega \mathsf{CPORel}]_{\omega_1}$  is defined by

$$\begin{split} & \|\Gamma;\Phi+0\|^{\omega \text{CPORel}}\rho=0 \\ & \|\Gamma;\Phi+1\|^{\omega \text{CPORel}}\rho=1 \\ & \|\Gamma;\Phi+1\|^{\omega \text{CPORel}}\rho=1 \\ & \|\Gamma;\Phi+1\|^{\omega \text{CPORel}}\rho=\{\eta:\lambda\overline{K},\|\Gamma;\Phi+F\|^{\omega \text{CPORel}}\rho[\overline{\Phi}:=\overline{K}]\Rightarrow\lambda\overline{K},\|\Gamma;\Phi+G\|^{\omega \text{CPORel}}\rho[\overline{\phi}:=\overline{K}]\} \\ & = \{(t,t')\in\|\Gamma;\Phi+K\|^{\omega \text{CPORel}}\rho[\overline{\Phi}:=\overline{K}]\Rightarrow\lambda\overline{K},\|\Gamma;\Phi+G\|^{\omega \text{CPORel}}\rho[\overline{\phi}:=\overline{K}]\} \\ & = \{(t,t')\in\|\Gamma;\Phi+K\|^{\omega \text{CPORel}}\rho(\pi_1\rho)\times\|\Gamma;\Phi+K\|^{\omega \text{CPORel}}\rho[\overline{\phi}:=\overline{K}]\} \\ & \|\nabla K=(K^1,K^2,K^*):\omega\text{CPORT}_k. \\ & (t_{\overline{K^1}},t'_{\overline{K^2}})\in(\|\Gamma;\Phi+G\|^{\omega \text{CPORel}}\rho[\overline{\Phi}:=\overline{K}])^{\|\Gamma;\Phi+F\|^{\omega \text{CPORel}}\rho[\overline{\Phi}:=\overline{K}]}\} \\ & \|\Gamma;\Phi+\overline{\phi}\overline{F}\|^{\omega \text{CPORel}}\rho=(\rho\phi)\|\overline{\Gamma};\Phi+F\|^{\omega \text{CPORel}}\rho\\ & \|\Gamma;\Phi+F+G\|^{\omega \text{CPORel}}\rho=\|\Gamma;\Phi+F\|^{\omega \text{CPORel}}\rho+\|\Gamma;\Phi+G\|^{\omega \text{CPORel}}\rho\\ & \|\Gamma;\Phi+F+G\|^{\omega \text{CPORel}}\rho=\|\Gamma;\Phi+F\|^{\omega \text{CPORel}}\rho\times\|\Gamma;\Phi+G\|^{\omega \text{CPORel}}\rho\\ & \|\Gamma;\Phi,\overline{\gamma}+(\mu\phi.\lambda\overline{\alpha}.H)\overline{G}\|^{\omega \text{CPORel}}\rho=(\mu T_{H,\rho})\overline{\Gamma};\overline{\gamma},\overline{\gamma},\overline{\gamma} + G\|^{\omega \text{CPORel}}\rho\\ & where \ T_{H,\rho}=(T_{H,\pi,\rho}^{\text{Set}},T_{H,\pi_2,\rho}^{\text{Set}},T_{H,\rho}^{\text{Rel}})\\ & and \ T_{H,\rho}^{\omega \text{CPORel}}F=\lambda\overline{R}.\|\Gamma;\overline{\gamma},\phi,\overline{\alpha}+H\|^{\omega \text{CPORel}}\rho[\phi:=F][\overline{\alpha}:=\overline{R}]\\ & and \ T_{H,\rho}^{\omega \text{CPORel}}\delta=\lambda\overline{R}.\|\Gamma;\overline{\gamma},\phi,\overline{\alpha}+H\|^{\omega \text{CPORel}}id_{\rho}[\phi:=\delta][\overline{\alpha}:=id_{\overline{R}}]\\ & \|\Gamma;\Phi+(\text{Lan}_{\overline{K}}^{\overline{K}})\overline{A}\|^{\omega \text{CPORel}}\rho=\{(t_1,t_2):\|\Gamma;\Phi+(\text{Lan}_{\overline{K}}^{\overline{K}})\overline{A}\|^{\omega \text{CPORel}}\rho t_1,\eta_2^{\overline{\omega}}]\|\Gamma;\Phi+A\|^{\omega \text{CPORel}}\rho\\ & \|\forall\sigma:\text{SetEnv},((\eta_1^{\sigma}))_{\|\Gamma;\Phi+A\|^{\omega \text{CPORel}}} t_1,\eta_2^{\overline{\omega}}]_{\|\Gamma;\Phi+A\|^{\omega \text{CPORel}}} t_2)\in\\ & (Lan_{\|\Gamma;\overline{\alpha}+K\|^{\omega \text{CPORel}}\sigma_{\sigma}}[a:=\overline{\epsilon_0}])\|\Gamma;\Phi\\ & (Lan_{\|\Gamma;\overline{\alpha}+K\|^{\omega \text{CPORel}}\sigma_{\sigma}}[$$

In the final clause of Definition 18,  $\eta_i^{\sigma}: Lan_{\overline{\llbracket \Gamma; \overline{\alpha} \vdash K \rrbracket}^{\omega \subset PO} \sigma[\overline{\alpha} := \underline{\_}]} \llbracket \Gamma; \Phi, \overline{\alpha} \vdash F \rrbracket^{\omega \subset PO} \sigma[\overline{\alpha} := \underline{\_}] \rightarrow \pi_i (Lan_{\overline{\llbracket \Gamma; \overline{\alpha} \vdash K \rrbracket}^{\omega \subset PORel} Eq_{\sigma}[\overline{\alpha} := Eq_{\underline{\_}}])} \llbracket \Gamma; \Phi, \overline{\alpha} \vdash F \rrbracket^{\omega \subset PORel} Eq_{\sigma}[\overline{\alpha} := Eq_{\underline{\_}}]) \text{ for each } \sigma: SetEnv and } i = 1, 2.$ 

For Definition 18 to be well-defined, we have to check that each relational interpretation is in  $\omega$ CPORel and, in particular, that each relates sups of pairwise related  $\omega$ -chains. This will be proved by induction on types, and in most cases it will follow from the induction hypotheses. However, well-definedness needs to be proved directly for relational interpretations of Nat-types.

The proof that relational interpretations of Nat-types define sets is analogous to the proof of Lemma 9. Next, we observe that  $\llbracket \Gamma; \emptyset \vdash \mathsf{Nat}^{\Phi} F G \rrbracket^{\omega \mathsf{CPORel}} \rho$  is in  $\omega \mathsf{CPORel}$ . It is indeed a relation between  $\omega \mathsf{CPOs}$ , and it relates sups of pairwise related  $\omega$ -chains of natural transformations because their sups are computed pointwise. More specifically, if  $(t_i, t_i')$ :  $\llbracket \Gamma; \emptyset \vdash \mathsf{Nat}^{\Phi} F G \rrbracket^{\omega \mathsf{CPORel}} \rho$  for all  $i < \omega$ , then for every  $K = (K^1, K^2, K^*) : \omega \mathsf{CPORT}_k$  and every  $i < \omega$  we have

$$\left(\left(t_{i}\right)_{\overline{K^{1}}},\,\left(t_{i}'\right)_{\overline{K^{2}}}\right)\in([\![\Gamma;\Phi\vdash G]\!]^{\omega\mathsf{CPORel}}\rho[\overline{\Phi:=K}])^{[\![\Gamma;\Phi\vdash F]\!]^{\omega\mathsf{CPORel}}\rho[\overline{\Phi:=K}]}$$

So if  $(a, b) \in \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega \mathsf{CPORel}} \rho[\overline{\Phi} := K]$ , then  $\left( (t_i)_{\overline{K^1}} a, (t_i')_{\overline{K^2}} b \right) \in \llbracket \Gamma; \Phi \vdash G \rrbracket^{\omega \mathsf{CPORel}} \rho[\overline{\Phi} := K]$  for all  $i < \omega$ . But then since  $\left( (t_i)_{\overline{K^1}} a \right)_{i < \omega}$  is an  $\omega$ -chain in  $\llbracket \Gamma; \Phi \vdash G \rrbracket^{\omega \mathsf{CPO}} \rho[\overline{\Phi} := K^1]$  and  $\left( (t_i')_{\overline{K^2}} \right)_{i < \omega} b$ 

is an  $\omega$ -chain in  $\llbracket \Gamma; \Phi \vdash G \rrbracket^{\omega \mathsf{CPO}} \rho[\overline{\Phi := K^2}]$ , not only are  $\bigvee_{i < \omega} ((t_i)_{\overline{K^1}} a)$  and  $\bigvee_{i < \omega} ((t_i')_{\overline{K^2}} b)$  well-defined, but, since

$$\llbracket \Gamma ; \Phi \vdash G \rrbracket^{\omega \mathsf{CPORel}} \rho[\overline{\Phi := K}] : \omega \mathsf{CPORel}(\llbracket \Gamma ; \Phi \vdash G \rrbracket^{\omega \mathsf{CPO}} \rho[\overline{\Phi := K^1}], \llbracket \Gamma ; \Phi \vdash G \rrbracket^{\omega \mathsf{CPO}} \rho[\overline{\Phi := K^2}])$$

we have

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$$\left(\left(\bigvee_{i<\omega}t_i\right)_{\overline{K^1}}a,\left(\bigvee_{i<\omega}t_i'\right)_{\overline{K^2}}b\right)=\left(\bigvee_{i<\omega}\left((t_i)_{\overline{K^1}}a\right),\bigvee_{i<\omega}\left((t_i')_{\overline{K^2}}b\right)\right)\in \llbracket\Gamma;\Phi\vdash G\rrbracket^{\omega\mathsf{CPORel}}\rho[\overline{\Phi:=K}]$$

as well. That is.

$$\left( \left( \bigvee_{i < \omega} t_i \right)_{\overline{K^1}}, \left( \bigvee_{i < \omega} t_i' \right)_{\overline{K^2}} \right) \in (\llbracket \Gamma; \Phi \vdash G \rrbracket^{\omega \mathsf{CPORel}} \rho[\overline{\Phi := K}])^{\llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega \mathsf{CPORel}} \rho[\overline{\Phi := K}]}$$

i.e.,  $(\bigvee_{i<\omega} t_i, \bigvee_{i<\omega} t_i') \in [\![\Gamma;\emptyset] \vdash \mathsf{Nat}^{\Phi} F G]\!]^{\omega \mathsf{CPORel}} \rho$ .

Moreover, as for  $\omega$ CPO interpretations,  $\omega_1$ -cocontinuity of each of the above interpretations follows from Corollary 12 of [Johann and Polonsky 2019] if we APPROPRIATELY RESTRICT THE SUBSCRIPTS of Lans. For Nat-types,  $\llbracket \Gamma; \emptyset \vdash \operatorname{Nat}^{\Phi} F G \rrbracket^{\omega \text{CPORel}}$  is an  $\omega_1$ -cocontinuous functor because it is constant on  $\omega_1$ -directed sets. Interpretations of Nat-types ensure that  $\llbracket \Gamma \vdash F \to G \rrbracket^{\omega \text{CPORel}}$  and  $\llbracket \Gamma \vdash \forall \overline{\alpha}.F \rrbracket^{\omega \text{CPORel}}$  are as expected in any parametric model.

For the next-to-last clause in Definition 18 to be well-defined we need  $T_{H,\rho}$  to be an  $\omega_1$ cocontinuous endofunctor on  $\omega$ CPORT so that, by Lemma 15, it admits a fixpoint. Since  $T_{H,\rho}$ is defined in terms of  $\llbracket \Gamma; \overline{\gamma}, \phi^k, \overline{\alpha} \vdash H \rrbracket^{\omega \mathsf{CPORel}}$ , this means that relational interpretations of types must be  $\omega_1$ -cocontinuous functors from  $\omega CPORelEnv$  to  $\omega CPORT_0$ , which in turn entails that the actions of relational interpretations of types on objects and on morphisms in  $\omega$ CPORelEnv are intertwined. As for  $\omega$ CPO interpretations, we know from [Johann and Polonsky 2019] that, for every  $\Gamma; \overline{\alpha} \vdash F$ ,  $\Gamma; \overline{\alpha} \vdash F \cap C^{PORel}$  is actually in  $[\omega CPORel]^k$ ,  $\omega CPORel]_{(\alpha)}$ , where  $k = |\overline{\alpha}|$ . We first define the actions of each of these functors on morphisms between  $\omega$ CPO relation environments in Definition 19, and then argue that the functors given by Definitions 18 and 19 are well-defined and have the required properties. To do this, we extend  $T_H$  to a functor from  $\omega$ CPORelEnv to  $[[\omega CPORel^k, \omega CPORel]_{\omega_1}, [\omega CPORel^k, \omega CPORel]_{\omega_1}]_{\omega_1}$ . Its action on an object  $\rho \in \omega$ CPORelEnv is given by the higher-order functor  $T_{H,\rho}$  whose actions on objects and morphisms are given in Definition 18. Its action on a morphism  $f: \rho \to \rho'$  is the higher-order natural transformation  $T_{H,f}:T_{H,\rho}\to T_{H,\rho'}$  whose action on any  $F:[\omega\mathsf{CPORel}^k,\omega\mathsf{CPORel}]_{\omega_1}$ is the higher-order natural transformation  $T_{H,f} F : T_{H,\rho} F \to T_{H,\rho'} F$  whose component at  $\overline{R}$  is  $(T_{H,f}F)_{\overline{R}} = [\![\Gamma;\overline{\gamma},\phi,\overline{\alpha}\vdash H]\!]^{\omega \text{CPORel}}f[\phi:=id_F][\overline{\alpha:=id_R}].$  The next definition uses  $T_H$  to define the actions of functors interpreting types on morphisms between  $\omega$ CPO relation environments.

If  $\rho \in \omega \text{CPORelEnv}$  and  $\vdash F$ , then we write  $\llbracket \vdash F \rrbracket^{\omega \text{CPORel}}$  instead of  $\llbracket \vdash F \rrbracket^{\omega \text{CPORel}} \rho$ . The interpretations in Definitions 18 and in Definition 19 below respect weakening.

DEFINITION 19. Let  $f: \rho \to \rho'$  for  $\omega$ CPO relation environments  $\rho$  and  $\rho'$  (so that  $\rho|_{\mathbb{T}} = \rho'|_{\mathbb{T}}$ ). The action  $[\![\Gamma; \Phi \vdash F]\!]^{\omega \text{CPORel}} f$  of  $[\![\Gamma; \Phi \vdash F]\!]^{\omega \text{CPORel}} f$  on the morphism f is given exactly as in Definition 10, except that all interpretations are  $\omega$ CPO relational interpretations and all occurrences of  $T_{H,f}^{\omega \text{CPO}}$  are replaced by  $T_{H,f}$ .

This is not true for the relational interps of Lans. Define explicitly.

To see that the functors given by Definitions 18 and 19 are well-defined we must show that, for every H,  $T_{H,\rho}$  F is an  $\omega$ CPO relation transformer for any  $\omega$ CPO relation transformer F, and that  $T_{H,f}$   $F:T_{H,\rho}$   $F \to T_{H,\rho'}$  F is a morphism of  $\omega$ CPO relation transformers for every  $\omega$ CPO relation transformer F and every morphism  $f:\rho\to\rho'$  in  $\omega$ CPORelEnv. This is an immediate consequence of the following Lemma.

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LEMMA 20. For every  $\Gamma$ ;  $\Phi \vdash F$ ,  $\llbracket \Gamma$ ;  $\Phi \vdash F \rrbracket = (\llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO}, \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO}, \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPORel}) \in [\omega CPORelEnv, \omega CPORT_0]_{\omega_1}.$ 

The proof is a straightforward induction on the structure of F, using an appropriate result from [Johann and Polonsky 2019] to deduce  $\omega_1$ -cocontinuity of  $[\Gamma; \Phi \vdash F]$  in each case, together with Lemma 15 and Equation 3 for  $\mu$ -types. Lan types need restriction on their subscripts.

We can also prove by simultaneous induction that our interpretations of types interact well with demotion of functorial variables. Indeed, we have that, if  $\rho, \rho': \omega \mathsf{CPOEnv}, \ f: \rho \to \rho', \rho \phi = \rho \psi = \rho' \phi = \rho' \psi, \ f \phi = f \psi = i d_{\rho \phi}, \ \Gamma; \Phi, \phi^k \vdash F, \ \Gamma; \Phi, \overline{\alpha} \vdash G, \ \Gamma; \Phi, \alpha_1...\alpha_k \vdash H, \text{ and } \overline{\Gamma; \Phi \vdash K}, \text{ then}$ 

$$\llbracket \Gamma; \Phi, \phi \vdash F \rrbracket^{\omega \mathsf{CPO}} \rho = \llbracket \Gamma, \psi; \Phi \vdash F[\phi :== \psi] \rrbracket^{\omega \mathsf{CPO}} \rho \tag{4}$$

$$\llbracket \Gamma; \Phi, \phi \vdash F \rrbracket^{\omega \mathsf{CPO}} f = \llbracket \Gamma, \psi; \Phi \vdash F[\phi :== \psi] \rrbracket^{\omega \mathsf{CPO}} f \tag{5}$$

$$\llbracket \Gamma; \Phi \vdash G[\overline{\alpha := K}] \rrbracket^{\omega \mathsf{CPO}} \rho = \llbracket \Gamma; \Phi, \overline{\alpha} \vdash G \rrbracket^{\omega \mathsf{CPO}} \rho [\overline{\alpha := \llbracket \Gamma; \Phi \vdash K \rrbracket^{\omega \mathsf{CPO}} \rho}] \tag{6}$$

$$\llbracket \Gamma; \Phi \vdash G[\overline{\alpha} := \overline{K}] \rrbracket^{\omega \mathsf{CPO}} f = \llbracket \Gamma; \Phi, \overline{\alpha} \vdash G \rrbracket^{\omega \mathsf{CPO}} f[\overline{\alpha} := \llbracket \Gamma; \Phi \vdash K \rrbracket^{\omega \mathsf{CPO}} f] \tag{7}$$

$$\llbracket \Gamma; \Phi \vdash F[\phi := H] \rrbracket^{\omega \mathsf{CPO}} \rho = \llbracket \Gamma; \Phi, \phi \vdash F \rrbracket^{\omega \mathsf{CPO}} \rho [\phi := \lambda \overline{A}. \, \llbracket \Gamma; \Phi, \overline{\alpha} \vdash H \rrbracket^{\omega \mathsf{CPO}} \rho [\overline{\alpha} := \overline{A}] \end{bmatrix} \tag{8}$$

$$\llbracket \Gamma; \Phi \vdash F[\phi := H] \rrbracket^{\omega \mathsf{CPO}} f = \llbracket \Gamma; \Phi, \phi \vdash F \rrbracket^{\omega \mathsf{CPO}} f[\phi := \lambda \overline{A}. \llbracket \Gamma; \Phi, \overline{\alpha} \vdash H \rrbracket^{\omega \mathsf{CPO}} f[\overline{\alpha := id_{\overline{A}}}] \end{bmatrix} \tag{9}$$

Identities analogous to (4) through (9) hold for  $\omega$ CPO relational interpretations as well.

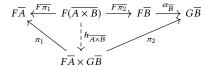
### 3 THE IDENTITY EXTENSION LEMMA

 The standard definition of the graph for a morphism  $f:A\to B$  in Set is the relation  $\langle f\rangle: \operatorname{Rel}(A,B)$  defined by  $(x,y)\in \langle f\rangle$  iff fx=y. This definition naturally generalizes to associate to each natural transformation between k-ary functors on  $\omega$ CPO a k-ary  $\omega$ CPO relation transformer as follows.

First, let  $f:A\to B$  be a morphism in  $\omega$ CPO. Then the graph of the underlying function  $|f|:|A|\to |B|$  in Set is an  $\omega$ CPO relation. Indeed, if  $(a_i,b_i):\langle |f|\rangle$  for all  $i<\omega$  is a chain, then  $|f|a_i=b_i$  for all i, and consequently  $|f|(\bigvee_{i<\omega}a_i)=\bigvee_{i<\omega}(|f|a_i)=\bigvee_{i<\omega}b_i$ , i.e.,  $(\bigvee_{i<\omega}a_i,\bigvee_{i<\omega}b_i):\langle |f|\rangle$ . We therefore define the  $\omega$ CPO graph of f in  $\omega$ CPO to be  $\langle f\rangle=\langle |f|\rangle$ . Note in particular that if  $A:\omega$ CPO then  $\langle id_A\rangle$  is an  $\omega$ CPO relation. We denote this  $\omega$ CPO relation, called the *equality relation on A*, by Eq<sub>A</sub>. It coincides exactly with the equality relation on the underlying set |A| of A.

The notion of an  $\omega$ CPO graph relation naturally generalizes to associate to each natural transformation between k-ary functors on  $\omega$ CPO a k-ary  $\omega$ CPO relation transformer as follows. Recall that, since  $\omega$ CPO is a locally  $\omega_1$ -presentable category, Proposition 1.6.1 of [Adámek and Rosický 1994] ensures that it has a (strong epi, mono) factorization system. We then have:

DEFINITION 21. If  $F, G : \omega \mathsf{CPO}^k \to \omega \mathsf{CPO}$  and  $\alpha : F \to G$  is a natural transformation, then the functor  $\langle \alpha \rangle^* : \omega \mathsf{CPORel}^k \to \omega \mathsf{CPORel}$  is defined as follows. Given  $R_1 : \omega \mathsf{CPORel}(A_1, B_1), ..., R_k : \omega \mathsf{CPORel}(A_k, B_k)$ , let  $\iota_{R_i} : R_i \hookrightarrow A_i \times B_i$ , for i = 1, ..., k, be the inclusion of  $R_i$  as a sub $\omega \mathsf{CPO}$  of  $A_i \times B_i$ , let  $h_{\overline{A \times B}}$  be the unique morphism making the diagram



commute, and let  $h_{\overline{R}}: F\overline{R} \to F\overline{A} \times G\overline{B}$  be  $h_{\overline{A} \times B} \circ F\overline{\iota_R}$ . Further, let  $\alpha^{\wedge} \overline{R}$  be the subobject through which  $h_{\overline{R}}$  is factorized by the (strong epi, mono) factorization system of  $\omega$ CPO, as shown in the following

diagram:

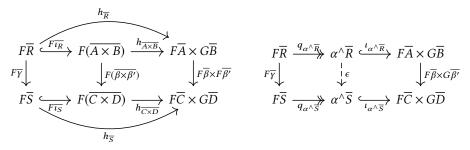
Then  $\alpha \wedge \overline{R} : \omega \text{CPORel}(F\overline{A}, G\overline{B})$  by construction, so the action of  $\langle \alpha \rangle^*$  on objects of  $\omega \text{CPORel}$  can be given by  $\langle \alpha \rangle^* \overline{(A, B, R)} = (F\overline{A}, G\overline{B}, \iota_{\alpha \wedge \overline{R}} \alpha^{\wedge} \overline{R})$ . The action of  $\langle \alpha \rangle^*$  on morphisms of  $\omega \text{CPORel}$  is given by  $\langle \alpha \rangle^* \overline{(\beta, \beta')} = (F\overline{\beta}, G\overline{\beta'})$ .

The next lemma shows that the data in Definition 21 actually yield a relation transformer  $\langle \alpha \rangle = (F, G, \langle \alpha \rangle^*)$ . We call this the  $\omega$ CPO *graph relation transformer* for  $\alpha$ .

LEMMA 22. If  $F, G : [\omega \mathsf{CPO}^k, \omega \mathsf{CPO}]_{\omega_1}$ , and if  $\alpha : F \to G$  is a natural transformation, then  $\langle \alpha \rangle$  is in  $\omega \mathsf{CPORT}_k$ .

PROOF. Clearly,  $\langle \alpha \rangle^*$  is  $\omega_1$ -cocontinuous, so  $\langle \alpha \rangle^*$ :  $[\omega \mathsf{CPORel}^k, \omega \mathsf{CPORel}]_{\omega_1}$ . Now, suppose  $\overline{R}: \omega \mathsf{CPORel}(A,B)$ ,  $\overline{S}: \omega \mathsf{CPORel}(C,D)$ , and  $\overline{(\beta,\beta')}: R \to S$ . We want to show that there exists a morphism  $\varepsilon: \alpha^{\wedge} \overline{R} \to \alpha^{\wedge} \overline{S}$  such that the diagram on the left below commutes. Since  $\overline{(\beta,\beta')}: R \to S$ , there exist  $\overline{\gamma}: R \to S$  such that each diagram in the middle commutes. Moreover, since both  $h_{\overline{C \times D}} \circ F(\overline{\beta \times \beta'})$  and  $(F\overline{\beta} \times G\overline{\beta'}) \circ h_{\overline{A \times B}}$  make the diagram on the right commute, they must be equal. We therefore get that the right-hand square in the diagram on the left below commutes, and

thus that the entire diagram does as well. Finally, by the left-lifting property of  $q_{\alpha^{\wedge}\overline{R}}$  with respect to  $\iota_{\alpha^{\wedge}\overline{S}}$  given by the (strong epi, mono) factorization system there exists an  $\epsilon$  such that the diagram on the right below commutes.



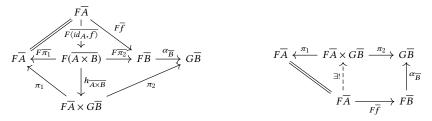
If  $f:A\to B$  is a morphism in  $\omega$ CPO then the definition of the  $\omega$ CPO graph relation transformer  $\langle f \rangle$  for f as a natural transformation between 0-ary functors A and B coincides with the definition of  $\langle f \rangle$  for f as a morphism in  $\omega$ CPO given in the second paragraph of this section. As a result,  $\omega$ CPO graph relation transformers are a reasonable extension of  $\omega$ CPO graph relations to functors.

To prove the IEL, we will need to know that the equality  $\omega$ CPO relation transformer preserves equality relations in  $\omega$ CPORel; see Equation 10 below. This will follow from the next lemma, which shows how to compute the action of an  $\omega$ CPO graph relation transformer on any  $\omega$ CPO graph relation.

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Lemma 23. If  $\alpha: F \to G$  is a morphism in  $[\omega \mathsf{CPO}^k, \omega \mathsf{CPO}]_{\omega_1}$  and  $f_1: A_1 \to B_1, ..., f_k: A_k \to B_k$ , then  $\langle \alpha \rangle^* \langle \overline{f} \rangle = \langle G\overline{f} \circ \alpha_{\overline{A}} \rangle = \langle \alpha_{\overline{B}} \circ F\overline{f} \rangle$ .

Proof. Since  $h_{\overline{A \times B}}$  is the unique morphism making the bottom triangle of the diagram on the left below commute, and since  $h_{\langle \overline{f} \rangle} = h_{\overline{A \times B}} \circ F \overline{\iota_{\langle f \rangle}} = h_{\overline{A \times B}} \circ F \overline{\iota_{\langle f \rangle}} = h_{\overline{A \times B}} \circ F \overline{\iota_{\langle f \rangle}}$ , the universal property of the product depicted in the diagram on the right gives  $h_{\langle \overline{f} \rangle} = \langle id_{F\overline{A}}, \alpha_{\overline{B}} \circ F\overline{f} \rangle : F\overline{A} \to F\overline{A} \times G\overline{B}$ .



Moreover,  $\langle id_{F\overline{A}}, \alpha_{\overline{B}} \circ F\overline{f} \rangle$  is a monomorphism in  $\omega$ CPO because  $id_{F\overline{A}}$  is, so its (strong epi, mono) factorization gives  $\iota_{\alpha^{\wedge}\langle\overline{f}\rangle} = \langle id_{F\overline{A}}, \alpha_{\overline{B}} \circ F\overline{f} \rangle$ , and thus that  $\alpha^{\wedge}\langle\overline{f}\rangle = F\overline{A}$ . Then  $\iota_{\alpha^{\wedge}\langle\overline{f}\rangle}\alpha^{\wedge}\langle\overline{f}\rangle = \langle id_{F\overline{A}}, \alpha_{\overline{B}} \circ F\overline{f} \rangle^*$ . Then  $\langle \alpha \rangle^*\langle\overline{f}\rangle = (F\overline{A}, G\overline{B}, \iota_{\alpha^{\wedge}\langle\overline{f}\rangle}\alpha^{\wedge}\langle\overline{f}\rangle) = (F\overline{A}, G\overline{B}, \langle \alpha_{\overline{B}} \circ F\overline{f}\rangle^*) = \langle \alpha_{\overline{B}} \circ F\overline{f} \rangle$ , and, finally,  $\alpha_{\overline{B}} \circ F\overline{f} = G\overline{f} \circ \alpha_{\overline{A}}$  by naturality of  $\alpha$ .

The *equality*  $\omega$ CPO *relation transformer* on  $F : [\omega CPO^k, \omega CPO]_{\omega_1}$  is defined to be Eq $_F = \langle id_F \rangle$ . Specifically, Eq $_F = (F, F, Eq_F^*)$  with Eq $_F^* = \langle id_F \rangle^*$ , and Lemma 23 indeed ensures that

$$\operatorname{Eq}_F^* \overline{\operatorname{Eq}_A} = \langle id_F \rangle^* \langle id_{\overline{A}} \rangle = \langle Fid_{\overline{A}} \circ (id_F)_{\overline{A}} \rangle = \langle id_{F\overline{A}} \circ id_{F\overline{A}} \rangle = \langle id_{F\overline{A}} \rangle = \operatorname{Eq}_{F\overline{A}}$$
 (10)

for all  $\overline{A:\omega \text{CPO}}$ . Graph  $\omega \text{CPO}$  relation transformers in general, and equality  $\omega \text{CPO}$  relation transformers in particular, extend to  $\omega \text{CPO}$  relation environments in the obvious ways. Indeed, if  $\rho, \rho':\omega \text{CPOEnv}$  and  $f:\rho\to\rho'$ , then the  $\operatorname{graph}\ \omega \text{CPO}$  relation environment  $\langle f\rangle$  is defined pointwise by  $\langle f\rangle\phi=\langle f\phi\rangle$  for every  $\phi$ , which entails that  $\pi_1\langle f\rangle=\rho$  and  $\pi_2\langle f\rangle=\rho'$ . In particular, the  $\operatorname{equality}\ \omega \text{CPO}$  relation environment  $\operatorname{Eq}_\rho$  is defined to be  $\langle id_\rho\rangle$ , which entails that  $\operatorname{Eq}_\rho\phi=\operatorname{Eq}_{\rho\phi}$  for every  $\phi$ . With these definitions in hand, we can state and prove both an Identity Extension Lemma and a Graph Lemma for our calculus.

$$\text{Theorem 24 (IEL)}. \ \, \textit{If} \ \rho: \omega \text{CPOEnv} \ \textit{and} \ \Gamma; \Phi \vdash F \ \textit{then} \ \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega \text{CPORel}} \text{Eq}_{\rho} = \text{Eq}_{\llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega \text{CPO}} \rho}.$$

The proof is by induction on the structure of F. Only the Nat, application, fixpoint, and Lan cases are non-routine. The latter two use Equation 10. The fixpoint case also uses the observation that, for every  $i < \omega_1$ , the following intermediate results can be proved by simultaneous induction with Theorem 24: CHECK THIS! for any H,  $\rho$ , A, and any subformula J of H, both  $T_{H,Eq_{\rho}}^{i}K_{0}\overline{Eq_{A}} = (Eq. (1.5))^{*}\overline{Eq}_{A}$  and

$$(\mathsf{Eq}_{(T_{H,\rho}^{\mathsf{Set}})^i K_0})^* \overline{\mathsf{Eq}_A}$$
 and

$$\begin{split} & [\![\Gamma;\Phi,\phi,\overline{\alpha}\vdash J]\!]^{\omega\mathsf{CPORel}}\mathsf{Eq}_{\rho}[\phi:=T^{i}_{H,\mathsf{Eq}_{\rho}}K_{0}]\overline{[\alpha:=\mathsf{Eq}_{A}]} \\ &= [\![\Gamma;\Phi,\phi,\overline{\alpha}\vdash J]\!]^{\omega\mathsf{CPORel}}\mathsf{Eq}_{\rho}[\phi:=\mathsf{Eq}_{(T^{\omega\mathsf{CPO}}_{H,\rho})^{i}K_{0}}]\overline{[\alpha:=\mathsf{Eq}_{A}]} \end{split}$$

hold. With these results in hand, the proof follows easily. It is given in detail in the accompanying anonymous supplementary material. As noted there, if functorial variables of arity greater than 0 were allowed to appear in the bodies of  $\mu$ -types, then the IEL would fail.

LEMMA 25 (GRAPH LEMMA). If  $\rho, \rho' : \omega \mathsf{CPOEnv}, f : \rho \to \rho', and \Gamma; \Phi \vdash F, then \langle \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega \mathsf{CPO}} f \rangle = \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega \mathsf{CPORel}} \langle f \rangle.$ 

Proof. Applying Lemma 20 to the morphisms  $(f,id_{\rho'}):\langle f\rangle\to \operatorname{Eq}_{\rho'}$  and  $(id_{\rho},f):\operatorname{Eq}_{\rho}\to\langle f\rangle$  of relation environments gives that

$$\begin{split} (\llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega \mathsf{CPO}} f, \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega \mathsf{CPO}} id_{\rho'}) &= \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega \mathsf{CPORel}} (f, id_{\rho'}) \\ &: \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega \mathsf{CPORel}} \langle f \rangle \to \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega \mathsf{CPORel}} \mathsf{Eq}_{\rho'} \end{split}$$

and

$$\begin{split} ([\![\Gamma;\Phi \vdash F]\!]^{\omega\mathsf{CPO}}id_{\rho}, [\![\Gamma;\Phi \vdash F]\!]^{\omega\mathsf{CPO}}f) &= [\![\Gamma;\Phi \vdash F]\!]^{\omega\mathsf{CPORel}}(id_{\rho},f) \\ &: [\![\Gamma;\Phi \vdash F]\!]^{\omega\mathsf{CPORel}}\mathsf{Eq}_{\rho} \to [\![\Gamma;\Phi \vdash F]\!]^{\omega\mathsf{CPORel}}\langle f \rangle \end{split}$$

Expanding the first equation gives that if  $(x, y) \in \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPORel} \langle f \rangle$  then

$$(\llbracket \Gamma; \Phi \vdash F \rrbracket]^{\omega \mathsf{CPO}} f \, x, \llbracket \Gamma; \Phi \vdash F \rrbracket]^{\omega \mathsf{CPO}} id_{\rho'} \, y) \in \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega \mathsf{CPORel}} \mathsf{Eq}_{\rho'}$$

So  $\llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} id_{\rho'} y = id_{\llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} \rho'} y = y$  and  $\llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPORel} Eq_{\rho'} = Eq_{\llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} \rho'}$ , and if  $(x,y) \in \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPORel} \langle f \rangle$  then  $(\llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} f x, y) \in Eq_{\llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} \rho'}, i.e., \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} f x = y$ , i.e.,  $(x,y) \in \langle \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} f \rangle$ . So, we have that  $\llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPORel} \langle f \rangle \subseteq \langle \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} f \rangle$ . Expanding the second equation gives that if  $x \in \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} \rho$  then  $(\llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} id_{\rho} x, \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} f x) \in \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} f x \rangle$ . Then  $\llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} id_{\rho} x = id_{\llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} \rho} x = x$ , so for any  $x \in \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} \rho$  we have that  $(x, \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} f x) \in \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPORel} \langle f \rangle$ . Moreover,  $x \in \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} \rho$  if and only if  $(x, \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} f x) \in \langle \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} f x \rangle$  and, if  $x \in \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} \rho$  then  $(x, \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} f x) \in \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPORel} \langle f \rangle$ , so if  $(x, \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} f x) \in \langle \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} f x \rangle \in \langle \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} f x \rangle \rangle$   $\subseteq \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPORel} \langle f \rangle$ , i.e.,  $\langle \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPO} f x \rangle \subseteq \llbracket \Gamma; \Phi \vdash F \rrbracket^{\omega CPORel} \langle f \rangle$ .

#### Some questions/issues:

- Can we write zipBush and appendBush with  $\partial$  and  $\int$ ? We could already represent the uncurried type of appendBush (although not its curried type), but couldn't recurse over both input bushes because folds take natural transformations as inputs.
- More generally, how do we compute with  $\partial$  and  $\int$ ? Can we use the colimit formulation of Lans (see Lemma 6.3.7 of [Riehl 2016]) to get a handle on this?
- What is the connection between exponentials and natural transformations? (Should we assume only small objects are exponentiable?) Do we want the former or the latter for computational purposes? (I suspect the latter.)
  - [From nlab: In a functor category  $D^C$ , a natural transformation  $\alpha: F \to G$  is exponentiable if (though probably not "only if") it is cartesian and each component  $\alpha_c: Fc \to Gc$  is exponentiable in D. Given  $H \to F$  we define  $(\Pi_\alpha H)c = \Pi_{\alpha_c}(Hc)$ ; then for  $u: c \to c'$  to obtain a map  $\Pi_{\alpha_c}(Hc) \to \Pi_{\alpha_{c'}}(Hc')$  we need a map  $\alpha_{c'}^*(\Pi_{\alpha_c}(Hc)) \to Hc'$ . But since  $\alpha$  is cartesian,  $\alpha_{c'}^*(\Pi_{\alpha_c}(Hc)) \cong \alpha_c^*(\Pi_{\alpha_c}(Hc))$ , so we have the counit  $\alpha_c^*(\Pi_{\alpha_c}(Hc)) \to Hc$  that we can compose with Hu.]
- After we understand what we can do with Lans and folds on GADTs we might want to try to extend calculus with term-level fixpoints. This would give a categorical analogue for GADTs

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of [Pitts 1998, 2000] for ADTs. Would it also more accurately reflect how GADTs are used in practice, or are functions over GADTs usually folds? Investigate applications in the literature and/or in implementations.

- $\omega$ CPO is a natural choice for modeling general recursion. We know  $(Lan_C^{\gamma}\mathbb{1})D$  is  $C \to D$  for any closed type C. (Also for select classes of open types?) So can model Nat  $\to \gamma$ . But the functor  $NX = \text{Nat} \to X$  isn't  $\omega$ -cocontinuous. It also doesn't preserve  $\omega_1$ -presentable objects, i.e., countable  $\omega$ CPOs since Nat  $\to$  Nat is not countable. So we cannot have a functor like N as the subscript to Lan and expect the resulting Lan to be  $\omega_1$ -cocontinuous.
- What functors can be subscripts to Lan and produce  $\omega_1$ -cocontinuous functors? We can use functors that preserve presentable objects by theorem in [Johann and Polonsky 2019], and possibly others as well. These include polynomial functors, ADTs and nested types seen as functors, certain (which?) GADTs seen as functors? How big can GADTs get?

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