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## Homework 3

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### PROBLEM 1

Prove the following inequality. Let  $f : [0, \pi] \rightarrow \mathbb{R}$  be a real  $C^2$  function such that  $f(0) = f(\pi) = 0$ . Then

$$\int_0^\pi f^2 dt \leq \int_0^\pi (f')^2 dt$$

with equality if and only if  $f(t) = c \sin(t)$ .

*Proof.* Let  $\gamma$  be a normalized geodesic joint the antipodal points  $p, -p$  of  $S^2$ . Let  $v(t)$  be a parallel field along  $\gamma$  with  $g(v, \gamma') = 0$ ,  $\|v\| = 1$ . Let  $V = fv$ . We calculate

$$\begin{aligned} I_\pi(V, V) &= \int_0^\pi g(V', V') - g(R(\gamma', V)\gamma', V) dt \\ &= \int_0^\pi g(f'v, f'v) - f^2 K(\gamma', v) dt \\ &= \int_0^\pi (f')^2 \|v\|^2 - f^2(1) dt \\ &= \int_0^\pi (f')^2 dt - \int_0^\pi f^2 dt \geq 0 \end{aligned}$$

where the last line follows from the Morse index theorem. This establishes the inequality.

Note that equality holds if and only if  $I_\pi(V, V) = 0$ , which implies that  $V$  is a Jacobi field. Thus, it must satisfy the Jacobi equation

$$f''(t) + K(\gamma', v)f(t) = 0$$

which, on  $S^2$ , is just

$$f''(t) + f(t) = 0$$

with Dirichlet boundary conditions. This is solved only when  $f(t) = c \sin(t)$  for some constant  $c$ , as desired.  $\square$

## PROBLEM 2

Let  $M^2$  be a complete simply connected 2-dimensional Riemannian manifold. Suppose that for each point  $p \in M$ , the locus  $C(p)$  of first conjugate points to  $p$  reduces to a unique  $q \neq p$  and that  $d(p, C(p)) = \pi$ . Prove that if the sectional curvature  $K$  of  $M$  satisfies  $K \leq 1$ , then  $M$  is isometric to the sphere  $S^2$  with  $K = 1$ .

*Proof.* Let  $J$  be a Jacobi field along a normalized geodesic  $\gamma$  joining  $p$  to  $q$  with  $J(0) = J(\pi) = 0$  and  $g(J, \gamma') = 0$ . Let  $\{e_i, \gamma'\}$  be an orthonormal parallel frame to  $\gamma$ , and write

$$J = a^i e_i$$

Define  $K(t) = K(\gamma', J)$ . We calculate

$$\begin{aligned} 0 = I_\pi(J, J) &= - \int_0^\pi g(J'' + R(\gamma', J)\gamma', J) dt \\ &= - \int_0^\pi g(J'', J) dt - \int_0^\pi K(t) \|J\|^2 dt \\ &= - \int_0^\pi a''^i a_i dt - \int_0^\pi K(t) a^i a_i dt \\ &= \int_0^\pi a'^i a'_i dt - \int_0^\pi K(t) a^i a_i dt && \text{using integration by parts} \\ &\geq \int_0^\pi a^i a_i dt - \int_0^\pi K(t) a^i a_i dt && \text{by problem 1} \\ &= \int_0^\pi a^i a_i (1 - K(t)) dt \geq 0 \end{aligned}$$

and thus  $K(t) = 1$  for all  $t$ , and  $M$  is actually  $S^2$ . □

### PROBLEM 3

Let  $a : \mathbb{R} \rightarrow \mathbb{R}$  be a differentiable function with  $a(t) \geq 0$  for all  $t$ , and  $a(0) > 0$ . Prove that the solution to the differential equation

$$(\partial_t^2 + a)\phi = 0$$

with initial conditions  $\phi(0) = 1, \phi'(0) = 0$  has at least one positive zero and one negative zero.

*Proof.* Letting  $b(t) = \sqrt{a(t)}$ , we see that this differential equation factors as

$$(\partial_t^2 + b^2)\phi = (\partial_t + ib)(\partial_t - ib)\phi = 0$$

we solve each first-order equation  $\partial_t \phi \pm ib(t)\phi = 0$  to obtain the general solution

$$\phi(t) = C_1 \cos(B(t)) + iC_2 \sin(B(t))$$

where  $B(t) = \int_0^t b(t')dt'$ . Imposing the boundary conditions (and noting that  $B'(t) = b(t)$ ), we see that  $C_1 = 1, C_2 = 0$ . Thus, the solution is

$$\phi(t) = \cos(B(t))$$

and since  $\cos$  is even, we also have that

$$\phi(-t) = \cos\left(\int_0^{-t} b(t')dt'\right) = \cos\left(\int_{-t}^0 b(t')dt'\right)$$

and since  $b(t)$  is a non-negative continuous function with  $b(0) > 0$ , it follows that  $\phi(t)$  and  $\phi(-t)$  both have positive zeroes, as desired.  $\square$

## PROBLEM 4

Suppose  $M^n$  is a complete Riemannian manifold with sectional curvature strictly positive, and let  $\gamma : (-\infty, \infty) \rightarrow M$  be a normalized geodesic in  $M$ . Show that there exists  $t_0 \in \mathbb{R}$  for which  $\gamma([-t_0, t_0])$  has index greater or equal to  $n - 1$ .

*Proof.* Let  $Y$  be a parallel field along  $\gamma$  with  $g(\gamma', Y) = 0$  and  $\|Y\| = 1$ . Set

$$\phi_Y = g(R(\gamma', Y)\gamma', Y)$$

and

$$K(t) = \inf_Y \phi_Y(t)$$

and let  $a : \mathbb{R} \rightarrow \mathbb{R}$  be a differentiable function such that  $0 \leq a(t) \leq K(t)$  with  $0 < a(0) < K(0)$ . Let  $\phi$  be the solution to  $\phi'' + a\phi = 0$  with  $\phi(0) = 1, \phi'(0) = 0$ , with  $-t_1, t_2$  the two zeroes of  $\phi$  found in the previous problem. We consider the field  $X = \phi Y$ , and calculate

$$\begin{aligned} I_{[-t_1, t_2]}(X, X) &= - \int_{-t_1}^{t_2} g(X'' + R(\gamma', X)\gamma', X) dt \\ &= - \int_{-t_1}^{t_2} g(\phi'' Y, \phi Y) dt - \int_{t_1}^{t_2} g(\phi R(\gamma', Y)\gamma', \phi Y) dt \\ &= - \int_{-t_1}^{t_2} g(\phi'' Y, \phi Y) dt - \int_{t_1}^{t_2} \phi^2 \phi_Y dt \\ &\leq - \int_{-t_1}^{t_2} g(\phi'' Y, \phi Y) dt - \int_{-t_1}^{t_2} K(t) \phi^2(t) dt \\ &= - \int_{-t_1}^{t_2} \phi(\phi'' + K(t)\phi) dt \\ &< - \int_{-t_1}^{t_2} \phi(\phi'' + a(t)\phi) dt \\ &= 0 \end{aligned}$$

Thus, for all  $Y$  perpendicular to  $\gamma'$  (an  $n - 1$  dimensional subspace) the form  $I_{[-t_1, t_2]}(Y, Y)$  is negative-definite, and so the index is greater than or equal to  $n - 1$ . In particular, this holds (as the index is strictly increasing) for  $[-t_0, t_0]$  for  $t_0 = \max(t_1, t_2)$ .  $\square$

## PROBLEM 5

Show that if the sectional curvature  $K$  of  $M$  is strictly positive,  $M$  does not have any lines. Show by example this is false if  $K \geq 0$ .

*Proof.* The previous problem asserts that for any geodesic in  $M$ , there is a segment  $[-t_0, t_0]$  on which it has index greater than zero. In particular, this means that  $\gamma(-t_0)$  has a conjugate point. Thus,  $\gamma$  does not minimize the length between  $-t_0$  and points past its conjugate point, so  $\gamma$  is not a line, as desired.

For a counterexample with  $K \geq 0$ , take  $\mathbb{R}^n$ , where the geodesics are just straight lines. These trivially minimize distance between points, and so any maximally extended geodesic in  $\mathbb{R}^n$  is a line.  $\square$