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## Problem Set 2

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### PRELIMINARIES

Before the homework begins, we prove a few useful lemmas.

**Lemma 1.** *Let  $g, h : X \rightarrow Y$  be homotopic maps. Then, for any function  $f : Y \rightarrow Z$  for arbitrary  $Z$ , the push-forwards  $f_*g$  and  $f_*h$  are also homotopic. Similarly, if instead we have  $f : Z \rightarrow X$ , then the pullbacks  $f^*g$  and  $f^*h$  are homotopic.*

*Proof.* Let  $g_t : X \rightarrow Y$  be a homotopy with  $g_0 = g$  and  $g_1 = h$ . Then, for  $f : Y \rightarrow Z$ , the homotopy  $f_*g_t : X \rightarrow Z$  yields

$$\begin{aligned} f_*g_0 &= f_*g \\ f_*g_1 &= f_*h \end{aligned}$$

and so  $f_*g$  is homotopic to  $f_*h$ .

Similarly, if  $f : Z \rightarrow X$ , the homotopy  $f^*g_t : Z \rightarrow Y$  yields

$$\begin{aligned} f^*g_0 &= f^*g \\ f^*g_1 &= f^*h \end{aligned}$$

and so  $f^*g$  and  $f^*h$  are homotopic. □

**Lemma 2.** *Let  $X$  be a contractible space. Then, there exists a homotopy  $f_t : X \rightarrow X$  with  $f_0 = \mathbb{1}_X$  and  $f_1 = x_0$  the constant function to some  $x_0 \in X$ .*

*Proof.* Since  $X$  is contractible, it has the homotopy type of a point. Specifically, there exists a homotopy equivalence  $g : X \rightarrow \{\cdot\}$  with homotopy inverse  $h : \{\cdot\} \rightarrow X$ .

Now, we will call  $h(\{\cdot\}) = x_0$ , since the image of  $h$  has only one point. Since  $h$  is the homotopy inverse of  $g$ , it follows that  $hg \simeq \mathbb{1}_X$  with homotopy  $f_t : X \rightarrow X$  such that  $f_0 = \mathbb{1}_X$  and  $f_1 = hg$ . But  $hg$  is easily verified to be the constant map  $x_0$ , and the homotopy  $f$  satisfies the conditions desired. □

## PROBLEM 1

Show that the retract of a contractible space is contractible.

*Proof.* Let  $X$  retract onto  $A$  via  $r : X \rightarrow A$ , with  $X$  a contractible space. We wish to show  $A$  is contractible. To do so, we will show that every map  $g : A \rightarrow Y$  for arbitrary  $Y$  is nullhomotopic (see next problem).

Consider the commutative diagram

$$\begin{array}{ccccc} A & \xrightarrow{i} & X & \xrightarrow{r} & A & \xrightarrow{g} & Y \\ & \searrow & \downarrow \mathbb{1}_A & \nearrow & & & \end{array}$$

Now,  $gr$  defines a map from  $X$  to  $Y$ , which we know is nullhomotopic by the next problem. In particular, this means that  $gr \simeq y_0$  for some constant  $y_0$ , and by Lemma 1, we know that  $i^*(gr) \simeq i^*(y_0) = y_0$ . But  $i^*(gr) = gri = g$ , and so  $g \simeq y_0$ .

Thus, since every map from  $A$  to  $Y$  is nullhomotopic, it follows that  $A$  is contractible. □

## PROBLEM 2

Show that a space  $X$  is contractible if and only if every map  $f : X \rightarrow Y$  for any  $Y$  is nullhomotopic. Similarly, show that  $X$  is contractible if and only if every map  $f : Y \rightarrow X$  for arbitrary  $Y$  is nullhomotopic.

*Proof.* This proof is broken into two parts, one for each iff statement.

For the first statement, we first assume  $X$  is contractible, and let  $f : X \rightarrow Y$  be arbitrary. Now, since  $x$  is contractible, we know that  $\mathbb{1}_X \simeq x_0$  for some constant function  $x_0$  (Lemma 2). Thus, it follows that  $f_*\mathbb{1}_X \simeq f_*x_0 = f(x_0)$  where  $f(x_0)$  is the constant function from  $X$  to the point  $f(x_0)$ . Thus, since  $f_*\mathbb{1}_X = f$ , we have that  $f$  is homotopic to a constant map, and is nullhomotopic.

Now, suppose for every space  $Y$  and every map  $f : X \rightarrow Y$ ,  $f$  is nullhomotopic. In particular, take  $Y = X$  and  $f = \mathbb{1}_X$ . Then, it follows immediately that  $\mathbb{1}_X$  is nullhomotopic, and  $X$  is contractible.

For the second statement, we first assume  $X$  is contractible, and let  $f : Y \rightarrow X$  be arbitrary. Now, since  $x$  is contractible, we know that  $\mathbb{1}_X \simeq x_0$  for some constant function  $x_0$  (Lemma 2). Thus, it follows that  $f^*\mathbb{1}_X \simeq f^*x_0 = x_0$ . Since  $f^*\mathbb{1}_X = f$ , we have that  $f$  is homotopic to a constant map, and is nullhomotopic.

Conversely, suppose every map  $f : Y \rightarrow X$  is nullhomotopic. Taking  $Y = X$  and  $f = \mathbb{1}_X$ , we see that  $\mathbb{1}_X$  is homotopic to the constant map, and thus  $X$  is contractible. □

## PROBLEM 3

Show that  $f : X \rightarrow Y$  is a homotopy equivalence if there exist maps  $g, h : Y \rightarrow X$  such that  $fg \simeq \mathbb{1}_Y$  and  $hf \simeq \mathbb{1}_X$ . More generally, show that  $f$  is a homotopy equivalence if  $fg$  and  $hf$  are homotopy equivalences.

*Proof.* Suppose  $f : X \rightarrow Y$  and there exist maps  $g, h : Y \rightarrow X$  such that  $fg \simeq \mathbb{1}_X$  and  $hf \simeq \mathbb{1}_Y$ . Now, from Lemma 1 we have that

$$\begin{aligned} gfh &= h^*(gf) \simeq h^*(\mathbb{1}_X) = h \\ gfh &= g_*(fh) \simeq g_*(\mathbb{1}_Y) = g \end{aligned}$$

and so  $g \simeq h$ . Then, again by Lemma 1, we have

$$\begin{aligned} fg &\simeq \mathbb{1}_Y && \text{by hypothesis} \\ gf &= f^*g \simeq f^*h = hf \simeq \mathbb{1}_X && \text{by Lemma 1} \end{aligned}$$

and so  $f$  is a homotopy equivalence with homotopy inverse  $g$ .

More generally, suppose  $fg$  and  $hf$  are homotopy equivalences. That is, that there exist functions  $\gamma : Y \rightarrow Y$  and  $\delta : X \rightarrow X$  such that  $fg\gamma \simeq \gamma fg \simeq \mathbb{1}_Y$  and  $hf\delta \simeq \delta hf \simeq \mathbb{1}_X$ .

Now, since  $fg\gamma \simeq \mathbb{1}_Y$ , we have that (using Lemma 1)

$$\begin{aligned} h_*fg\gamma &\simeq h_*\mathbb{1}_Y \\ hfg\gamma &\simeq h \\ \delta_*hfg\gamma &\simeq \delta_*h \\ \delta hfg\gamma &\simeq \delta h \\ g\gamma &\simeq \delta h \end{aligned}$$

Now, it is easily verified that  $\delta hfg\gamma$  is a homotopy inverse for  $f$ . To see this, note that

$$\begin{aligned} \delta hfg\gamma f &\simeq \delta hf && \simeq \mathbb{1}_X \\ f\delta hfg\gamma &\simeq f\delta h && \simeq fg\gamma \simeq \mathbb{1}_Y \end{aligned}$$

and so  $f$  is a homotopy equivalence. □

## PROBLEM 4

Show that a homotopy equivalence  $f : X \rightarrow Y$  induces a bijection between the set of path components of  $X$  and the set of path components of  $Y$ , and that  $f$  restricts to a homotopy equivalence on each path component. Prove the same for components instead of path components. Deduce that if the components of  $X$  coincide with the path components of  $X$ , then the same is true for  $Y$  homotopy equivalent to  $X$ .

*Proof.* There is a functor  $Path : Top \rightarrow Set$  which takes a space  $X$  to the set of its path components, and takes maps  $f : X \rightarrow Y$  to induced maps  $\tilde{f} : Path(X) \rightarrow Path(Y)$  by mapping a path component  $X_p$  to the path component containing  $f(X_p)$ . This function is well-defined, since the image of a path connected space is path connected.

This is functorial, since it sends the identity map in  $Top$  to the identity in  $Set$  (since the identity in  $Top$  will necessarily map path components to themselves), and furthermore it respects composition. That is, for  $g : X \rightarrow Y$  and  $f : Y \rightarrow Z$ , we have

$$\widetilde{fg} = \tilde{f}\tilde{g}$$

This is easily verified by considering a path-component  $X_p$  of  $X$ . Now, we have that  $\widetilde{fg}(X_p)$  is the path component containing  $fg(X_p)$ , and  $\tilde{f}\tilde{g}(X_p)$  is the path component containing the image under  $f$  of the path component containing  $g(X_p)$ . But this is simply the path component containing  $fg(X_p)$ , and thus  $\widetilde{fg} = \tilde{f}\tilde{g}$  as desired.

Now we just have to show that such a functor is homotopy invariant. That is, we wish to show that for  $f \simeq g$ , we have that  $\tilde{f} = \tilde{g}$ . So, let  $f, g : X \rightarrow Y$  be two homotopic maps, and let  $X_p$  be a path component of  $X$ . We wish to show that the path component containing  $f(X_p)$  also contains  $g(X_p)$ . That is, we wish to find a path from  $f(x_p)$  to  $g(x_p)$  for all  $x_p \in X_p$ .

Let  $F : X \times I \rightarrow Y$  be the homotopy from  $f$  to  $g$ . Fixing  $x_p$ , we have a function  $F_{x_p} : I \rightarrow Y$  defined by  $F_{x_p}(t) = F(x_p, t)$ . This function has the property that  $F_{x_p}(0) = f(x_p)$  and  $F_{x_p}(1) = g(x_p)$  since  $F$  is the homotopy from  $f$  to  $g$ . Thus,  $F_{x_p}$  defines a path from  $f(x_p)$  to  $g(x_p)$  and therefore  $\tilde{f}(X_p) = \tilde{g}(X_p)$ . Since  $X_p$  was arbitrary, we have that  $\tilde{f} = \tilde{g}$  as desired.

Thus, the *Path* functor is homotopy invariant. In particular, if  $X$  is homotopy equivalent to  $Y$  via a function  $f : X \rightarrow Y$  with homotopy inverse  $g : Y \rightarrow X$  (that is,  $fg \simeq \mathbb{1}_Y$  and  $gf \simeq \mathbb{1}_X$ ), we can apply *Path* to find that

$$\tilde{f}\tilde{g} = \widetilde{fg} = \mathbb{1}_{Path(Y)}$$

and

$$\tilde{g}\tilde{f} = \widetilde{gf} = \mathbb{1}_{Path(X)}$$

and so  $\tilde{f}$  is a bijection with inverse  $\tilde{g}$  between the set of path components of  $X$  and the set of path components of  $Y$ .

We now wish to show that  $f$  restricts to a homotopy equivalence between the corresponding path components of  $X$  and  $Y$ . To see this, we first show that a homotopy  $F$  restricts to the path components in a well-defined way. That is, if  $F(x_p, 0)$  is in a path component  $X_p$ , then  $F(x_p, t_0)$  is in that path component for all  $t_0 \in I$ .

This is obvious, since the point  $F(x_p, t_0)$  has a path from  $F(x_p, 0)$  to it: namely, the path given by  $F(x_p, \frac{t}{t_0})$ . Thus, the homotopy  $F$  is well-defined when restricted to a path component.

So, given that  $f$  is a homotopy equivalence with homotopy inverse  $g$ , we can consider the restriction of the homotopy  $F$  between  $gf$  and  $\mathbb{1}$  on a path component to get a homotopy  $F|_{X_p}$  from  $gf$  restricted to  $X_p$  and  $\mathbb{1}$  restricted to  $X_p$ . Similarly it can be shown that  $fg$  restricts on the path component  $Y_p$  to a map homotopic to  $\mathbb{1}_{Y_p}$ , and thus we have that  $f$  restricted to a path component is a homotopy equivalence.

We now wish to prove the same for the connected components of the space. We can define a similar functor  $Conn : Top \rightarrow Set$  taking a space  $X$  to its connected components, and a function  $f : X \rightarrow Y$  mapping a connected component  $X_c$  to the connected component containing  $f(X_c)$ . In a similar argument to the one above, it is easy to see that this is indeed a functor. We wish to show that it is homotopy invariant.

Now, we argued in the previous part of this proof that if  $f$  and  $g$  are homotopic to each other, then  $f(x_c)$  is path connected to  $g(x_c)$ . Since this is the case, it follows that  $f(x_c)$  and  $g(x_c)$  are in the same connected component, and thus for connected component  $X_c$ ,  $f(X_c)$  and  $g(X_c)$  are in the same connected component. Thus,  $\tilde{f}$  and  $\tilde{g}$  are equal, and the functor is homotopy invariant.

By a similar argument to the one made in the path component case, this means that for  $f$  a homotopy equivalence between  $X$  and  $Y$ ,  $\tilde{f}$  defines a bijection between  $Conn(X)$  and  $Conn(Y)$ , as desired.

Now, we wish to show that  $f$  restricts to a homotopy equivalence between the corresponding path components. Again, we just need to show that a homotopy  $F$  restricts to components in a well-defined way. That is,  $F(x_c, t)$  lies in the same component for all  $t$ .

However, we have already shown that  $F(x_c, t)$  lies in the same path component for all  $t$ , and it follows immediately that  $F(x_c, t)$  lies in the same connected component as well. Thus,  $F$  restricts to the components in a well-defined way.

By the same argument as the one made in the path connected case, this means that the homotopy equivalence  $f$  restricts to a homotopy equivalence between the connected components of  $X$  and  $Y$ , as desired.

Finally, we conclude that if  $X$  and  $Y$  are homotopy equivalent, and the components of  $X$  coincide with the path components of  $X$ , then the same is true for  $Y$ . This is immediate by considering the fact that each component  $X_c$  is homotopy equivalent to its corresponding component  $\tilde{f}_c(X_c)$ , and thus its path components are in bijection with each other. Since  $X_c$  has only one path component, so does  $\tilde{f}_c(X_c)$  as desired.  $\square$

## PROBLEM 5

Show that two deformation retractions  $r_t^0$  and  $r_t^1$  from  $X$  to  $A \subset X$  can be joined by a continuous family of deformation retractions  $r_t^s$ ,  $s \in I$  of  $X$  into  $A$ .

*Proof.* We define  $r_t^s$  to be

$$r_t^s = \begin{cases} r_{(1-2s)t}^0, & s \leq \frac{1}{2} \\ r_{2(s-\frac{1}{2})t}^1, & s \geq \frac{1}{2} \end{cases}$$

which is clearly continuous at  $s = \frac{1}{2}$  since  $r_{(1-2(\frac{1}{2}))t}^0 = r_0^0 = r_0^1 = r_{2(\frac{1}{2}-\frac{1}{2})t}^1$ .  $\square$

## PROBLEM 6

### PART A

Show that for a map  $f : S^1 \rightarrow S^1$ , the mapping cylinder is a CW complex.

*Proof.* We will show that the mapping cylinder is actually the 1-skeleton  $S^1 \wedge I \wedge S^1$  along with a single 2-cell attached to it. To see this, we note that the mapping cylinder is actually

$$S_1^1 \times I \amalg S_2^1 / (x_1, 1) \sim f(x_1)$$

Now,  $S^1 \times I$  is just  $I \times I / (0, t) \sim (1, t)$  and so the total space is just

$$\frac{I \times I \amalg S_2^1}{(0, t) \sim (1, t), (x_1, 1) \sim f(x_1)}$$

Which can be further decomposed into

$$\frac{I_s \amalg S_1^1 \amalg I \times I \amalg S_2^1}{(s)_{I_s} \sim (0, s)_{I \times I}, (x)_{S_1^1} \sim (x, 0)_{I \times I}, (0, t)_{I \times I} \sim (1, t)_{I \times I}, (x_1, 1)_{I \times I} \sim f(x_1)_{S_2^1}}$$

Here, it is clear that  $I_s$ ,  $S_1^1$  and  $S_2^1$  form a 1-skeleton. Now, we can take the attaching map from  $I \times I \cong D^2$  to the 1-skeleton as

$$\begin{aligned} \phi^2 : \partial(I \times I) &\rightarrow X^1 \\ \phi^2(0, s) &= s_{I_s} \\ \phi^2(1, s) &= s_{I_s} \\ \phi^2(x, 0) &= x_{S_1^1} \\ \phi^2(x, 1) &= f(x)_{S_2^1} \end{aligned}$$

Now,  $I \times I$  is homeomorphic to  $D^2$ , and so we can consider  $I \times I$  to be a 2-cell we attach to the 1-skeleton. Since  $\phi$  is a valid attaching map, attaching  $D^2$  along  $\phi$  yields a 2-dimensional cell complex. However, this construction is identical to the construction we started with (constructing the mapping cylinder) and so the mapping cylinder is a CW complex.  $\square$

## PART B

Construct a space with both the Mobius band and the annulus  $S^1 \times I$  as deformation retracts.

*Proof.* Consider the space constructed as follows: Start with the Mobius band  $M$ , and glue a copy of  $S^1 \times I$  along the map that sends  $S^1 \times \{1\}$  via the identity to the equator  $S^1 \subset M$  of the Mobius band. Since both the Mobius band and the annulus are CW complexes, and the gluing is done between entire subcomplexes, it follows that this construction yields a CW complex.

Now, the Mobius band deformation retracts onto its equator, and so this CW complex deformation retracts in the same way by sending  $M$  to its equator and leaving  $S^1 \times I$  by itself. This yields just  $S^1 \times I$ , as desired.

$S^1 \times I$  also deformation retracts onto  $S^1 \times \{1\}$ , and so this CW complex deformation retracts in the same way by leaving  $M$  along and sending  $S^1 \times I$  to  $S^1 \times \{1\}$ . This yields just  $M$ , as desired.  $\square$

## PROBLEM 7

Show that a CW complex is path connected if and only if its 1-skeleton is path connected.

*Proof.* ( $\implies$ ) Suppose first that a CW complex  $X$  is path connected. We will induct on the dimension of  $X$ . The base case of  $X$  being one dimensional means that  $X = X^1$  and thus the 1-skeleton is path connected.

Now suppose that this theorem holds for an  $n - 1$ -dimensional CW complex. We will show that any path in an  $n$ -dimensional CW complex starting and ending on the  $n - 1$ -skeleton is homotopic to a path in the  $n - 1$ -skeleton rel the start and end points.

To see this, we observe two key facts about paths through  $n$ -cells. First, any path in the disk  $D^n$  for  $n > 1$  can be homotoped so as to avoid passing the center. Second, any path through the disk  $D^n$  that avoids the center is homotopic to a path along the boundary  $\partial D^n$ . This homotopy is just the deformation retraction of  $D^n \setminus \{0\}$  to  $\partial D^n$  given by radial projection.

Thus, any path through an  $n$ -cell is homotopic to a path through the  $n - 1$  skeleton. Therefore, for any two points  $x, y$  in the  $n - 1$ -skeleton, there exists a path from  $x$  to  $y$  (since  $X$  is path connected) that stays in the  $n - 1$  skeleton. Thus, the  $n - 1$ -skeleton is path-connected, and by the inductive hypothesis, the 1-skeleton is as well.

( $\impliedby$ ) Suppose instead that for a CW complex  $X$ , its 1-skeleton is path connected. Again we will induct on the dimension of  $X$ . If  $X$  is 1-dimensional, then  $X^1 = X$  and so  $X$  is path connected.

Now, suppose this holds for an  $n - 1$  dimensional CW complex. We will show that an  $n$  dimensional CW complex with a path-connected 1-skeleton is path connected. To see this, note that since  $X^1$  is path connected, so is  $X^{n-1}$ . So, all we have to do to get a path from  $x$  to  $y$  (for  $x, y \in X$ ) is find a path from  $x$  and  $y$  to the  $n - 1$ -skeleton.

Now, if  $x$  or  $y$  are already in the  $n - 1$ -skeleton, we are done. Suppose, however, that  $x$  is not in the  $n - 1$ -skeleton. Then,  $x$  must be in the interior of some  $n$ -cell. However, since  $D^n$  is path

connected, there always exists a path from  $x \in D^n$  to the boundary  $\partial D^n$ . This path sends  $x$  to the  $n - 1$ -skeleton, as desired.

Thus,  $X$  is path connected, as desired.  $\square$

## PROBLEM 8

Show that a CW complex is locally compact if and only if each point has a neighborhood that meets only finitely many cells.

*Proof.* (  $\implies$  ) Suppose that a CW complex  $X$  is locally compact. It follows that for any point  $x \in X$ , there is a neighborhood  $U$  of  $x$  with compact closure. However, in proposition A1 of Hatcher, it is asserted that any compact subset of a CW complex meets only finitely many cells. Thus, the closure of  $U$  (and therefore  $U$  itself) must meet only finitely many cells. Such a neighborhood satisfies the properties desired.

(  $\impliedby$  ) Suppose instead that each point  $x \in X$  has a neighborhood  $U$  that meets only finitely many cells. In particular, this means that the closure of  $U$  also meets only finitely many cells. Thus,  $\overline{U}$  is a subset of  $X^n$  for some  $n$ . As a closed subset of a compact Hausdorff space,  $\overline{U}$  is compact as well, as desired.

So, each point has a neighborhood with compact closure, and  $X$  is locally compact.  $\square$