

---

## Problem Set 2

---

Daniel Halmrast

October 27, 2017

### PROBLEM 2-1

For  $f$  the Heaviside step function (with  $f(0) = 1$ ), show that  $\forall x \in \mathbb{R}$ , there exist smooth charts  $(U, \phi)$  around  $x$  and  $(V, \psi)$  around  $f(x)$  such that  $\psi \circ f \circ \phi^{-1}$  is smooth as a map from its domain to its image, but  $f$  is not smooth in a smooth manifold sense.

*Proof.* For  $x \neq 0$ , neighborhoods avoiding zero can be chosen, and identity charts make  $f$  locally smooth. For  $x = 0$ , set  $U = (-\epsilon, \epsilon)$ ,  $V = (1 - \epsilon, 1 + \epsilon)$  and have  $\phi_U = \psi_V = \text{id}$ . Then, on  $U \cap f^{-1}(V) = [0, \epsilon)$  we have  $\psi \circ f \circ \phi^{-1}(x) = 1$  which is smooth. But this fails the test in proposition 2.5, so  $f$  is not smooth in a manifold sense.  $\square$

### PROBLEM 2-3

For each of the following maps, show that the map is smooth via computation through coordinate representations.

#### PART A

The power map  $p_n : S^1 \rightarrow S^1$  defined as  $p_n(z) = z^n$ .

*Proof.* For this problem, we will use two coordinate charts on  $S^1$ . First, let's parameterize the circle by  $\theta$ , so that the point  $\theta$  is identified with  $\exp(i\theta)$  in the standard embedding of the circle into  $\mathbb{C}$ . Then, the first coordinate chart will be for  $\theta \in (0, 2\pi)$  given as  $\phi(\theta) = \theta$ . The second coordinate chart will be for  $\theta \in (-\pi, \pi)$  (where  $2\pi\theta \sim \theta$ ) given as  $\psi(\theta) = \theta$ .

Now, the transition maps can easily be verified to be smooth. To see this, let  $\theta_0$  be a point in the intersection of the two charts. Then, if  $\theta \in (0, \pi)$ , we have

$$\begin{aligned}\phi(\theta) &= \theta \\ \psi(\theta) &= \theta\end{aligned}$$

Which are easily verified to be smooth and compatible with each other.

Suppose, then, that  $\theta \in (\pi, 2\pi)$ . Then, we have that

$$\begin{aligned}\phi(\theta) &= \theta \\ \psi(\theta) &= \theta - 2\pi\end{aligned}$$

With transition charts

$$\begin{aligned}\phi \circ \psi^{-1}(\theta) &= \theta + 2\pi \\ \psi \circ \phi^{-1}(\theta) &= \theta - 2\pi\end{aligned}$$

which are clearly smooth.

Now, we just have to check that the power function, which can be thought of in terms of our parameterization as  $p_n(\theta) = n\theta \pmod{2\pi}$ , is smooth.

So, let's compute some coordinate representations. We have a total of four to check.

$$\begin{aligned}\phi \circ p_n \circ \phi^{-1}(\theta) &= n\theta \pmod{2\pi} \\ \psi \circ p_n \circ \psi^{-1}(\theta) &= n(\theta + 2\pi) \pmod{2\pi} - 2\pi \\ \phi \circ p_n \circ \psi^{-1}(\theta) &= n(\theta + 2\pi) \pmod{2\pi} \\ \psi \circ p_n \circ \phi^{-1}(\theta) &= n\theta \pmod{2\pi} - 2\pi\end{aligned}$$

Now, addition of a scalar is a smooth operation, so we just have to check that the function  $p_n$  is smooth as a function of  $\theta$ .

Now, we observe that  $p_n$  is continuous as a function of  $\theta$  by viewing  $p_n : [0, 2\pi) \rightarrow \mathbb{R}$  as a continuous function  $\theta \mapsto n\theta$ , and passing through the quotient  $\mathbb{R}/2\pi\mathbb{Z}$ . Since the derivative  $p'_n = np_{n-1}$  is also of the same form, it is continuous as well, and by induction each derivative of  $p_n$  is continuous, so  $p_n$  is smooth.

Thus, the composition maps defined above are smooth, and  $p_n$  is a smooth function from  $S^1$  to itself.  $\square$

## PART B

The antipodal map  $\alpha : S^n \rightarrow S^n$  by  $\alpha(x) = -x$ .

*Proof.* Consider the stereographic projection charts  $\sigma$  and  $\tilde{\sigma}$ , where  $\tilde{\sigma}(x) = -\sigma(-x)$ . Let's compute some coordinate representations:

$$\begin{aligned}\sigma \circ \alpha \circ \sigma^{-1}(x) &= \sigma(-\sigma^{-1}(x)) \\ \tilde{\sigma} \circ \alpha \circ \tilde{\sigma}^{-1}(x) &= \tilde{\sigma}(-\tilde{\sigma}^{-1}(x)) \\ \sigma \circ \alpha \circ \tilde{\sigma}^{-1}(x) &= \sigma(-\tilde{\sigma}^{-1}(x)) \\ \tilde{\sigma} \circ \alpha \circ \sigma^{-1}(x) &= \tilde{\sigma}(-\sigma^{-1}(x))\end{aligned}$$

Now, these are all compositions of smooth functions, which are smooth as well. Thus, the antipodal map is a smooth function.  $\square$

## PART C

Show that the map  $F : S^3 \rightarrow S^2$  defined as  $F(w, z) = (z\bar{w} + w\bar{z}, iw\bar{z} - iz\bar{w}, z\bar{z} - w\bar{w})$ , is smooth.

*Proof.* To show that this map is smooth, we will show it is smooth in the ambient space  $\mathbb{C}^2 \setminus \{0\}$  and  $\mathbb{R}^3 \setminus \{0\}$ .

Now,  $F$  is smooth as a map from the ambient spaces, which is clear when viewing it as a map from  $\mathbb{R}^4 \setminus \{0\} \rightarrow \mathbb{R}^3 \setminus \{0\}$ . Using this, we have that

$$F(x^1, x^2, x^3, x^4) = (2(x^1x^3 + x^2x^4), 2(x^2x^3 - x^1x^4), (x^1)^2 + (x^2)^2 - (x^3)^2 - (x^4)^2)$$

which is clearly smooth. Now, since  $F$  is smooth in the ambient space, it must also be smooth when restricted to  $S^3 \subset \mathbb{C}^2$ .  $\square$

## PROBLEM 2-7

Show that for  $M$  a nonempty smooth  $n$ -manifold, with  $n \geq 1$ , the vector space  $C^\infty(M)$  is infinite dimensional.

*Proof.* Let  $\{U_i\}$  be a set of open subsets of  $M$  that are all pairwise disjoint, and consider the set of  $C^\infty$  functions  $\{f_i\}$  on  $M$  such that  $\text{supp}(f_i) \subset U_i$ . Such a construction is done using partitions of unity subordinate to a carefully chosen open cover of  $M$ .

Now, it is easy to see each  $f_i$  is linearly independent of the others. To see this, suppose for a contradiction that for some  $f_0 \in \{f_i\}$ ,  $f_0 = \sum_{i \neq 0} a_i f_i$ . Let  $x \in \text{supp}(f_0)$ . In particular, we have  $f_0(x) \neq 0$ . However, since the supports of  $\{f_i\}$  are all pairwise disjoint, it must be that  $f_i(x) = 0$  for all  $f_i \neq f_0$ . Thus we have

$$\begin{aligned} f_0(x) &= \sum_{i \neq 0} a_i f_i(x) \\ &= \sum_{i \neq 0} a_i(0) \\ &= 0 \end{aligned}$$

which contradicts the fact that  $f_0(x) \neq 0$ .

Now, since an arbitrary number of disjoint open sets can be constructed on  $M$ , it follows that there are arbitrarily many linearly independent functions in  $C^\infty(M)$ , so it is infinite dimensional.  $\square$

## PROBLEM 2-10

Consider the algebra  $C(M)$  of continuous functions on  $M$ , and observe that a map  $f : M \rightarrow N$  induces a map  $f^* : C(N) \rightarrow C(M)$  via pre-composition.

### PART A

Show that  $f^*$  is linear.

*Proof.* Let  $g, h \in C(N)$ , and  $\alpha, \beta \in \mathbb{R}$ . Now,

$$\begin{aligned} f^*(\alpha g + \beta h)(x) &= (\alpha g + \beta h) \circ f(x) \\ &= \alpha g(f(x)) + \beta h(f(x)) \\ &= \alpha f^*(g) + \beta f^*(h) \end{aligned}$$

Thus,  $f^*$  is linear.  $\square$

## PART B

Show that  $f$  is smooth if and only if  $f^*(C^\infty(N)) \subseteq C^\infty(M)$ .

*Proof.* ( $\Rightarrow$ ) Assume that  $f : M \rightarrow N$  is smooth. Then, for any  $g \in C^\infty(N)$ , we have  $f^*(g) = g \circ f$ , which is the composition of smooth functions, and thus  $f^*(g) \in C^\infty(M)$ . Therefore,  $f^*(C^\infty(N)) \subseteq C^\infty(M)$  as desired.

( $\Leftarrow$ ) Now, suppose  $f$  is such that  $f^*(C^\infty(N)) \subseteq C^\infty(M)$ . In particular, for any coordinate chart  $\phi$  on  $N$ , we have  $f^*(\phi) \in C^\infty(M)$ . That is, for any chart  $\psi$  on  $M$ , we have

$$\begin{aligned} \phi \circ f &\in C^\infty(M) \\ \implies \phi \circ f \circ \psi^{-1} &\in C^\infty(\mathbb{R}) \end{aligned}$$

Since this works for any  $\phi$  on  $N$  and  $\psi$  on  $M$ , it follows that  $f$  is smooth.  $\square$

## PART C

Given a homeomorphism  $f : M \rightarrow N$ , show that  $f$  is a diffeomorphism if and only if  $f^*$  restricts to an isomorphism  $f^* : C^\infty(N) \rightarrow C^\infty(M)$ .

*Proof.* Observe first that since  $f$  is a homeomorphism,  $f^{-1}$  is well-defined and continuous.

( $\Rightarrow$ ) Suppose  $f$  is a diffeomorphism. In particular, this means  $f$  and  $f^{-1}$  are smooth. By the previous result, we have that

$$\begin{aligned} f^*(C^\infty(N)) &\subseteq C^\infty(M) \\ f^{-1*}(C^\infty(M)) &\subseteq C^\infty(N) \end{aligned}$$

In particular, we have that  $f^*$  and  $f^{-1*}$  are surjective by the following argument.

Let  $g \in C^\infty(M)$ . Then,  $f^{-1*}(g) = g \circ f^{-1} \in C^\infty(N)$ , and  $f^*(f^{-1*}(g)) = g \circ f^{-1} \circ f = g$ . Thus,  $f^*$  is surjective (more specifically,  $(f^{-1})^* = f^{-1*}$  on  $C^\infty(N)$ ).

By the same argument,  $f^{-1*}$  is surjective and the inverse of  $f^*$ . Thus,  $f^*$  is an isomorphism as desired.

( $\Leftarrow$ ) Now, suppose  $f^*$  restricts to an isomorphism between  $C^\infty(N)$  and  $C^\infty(M)$ . In particular, this means that  $f^*(C^\infty(N)) \subseteq C^\infty(M)$ , which implies  $f$  is smooth. Now, the above argument suggests that the same argument for  $f^{-1*} = (f^{-1})^*$  shows that  $f^{-1}$  is smooth as well. Thus,  $f$  and  $f^{-1}$  are smooth, and  $f$  is a diffeomorphism.  $\square$

## PROBLEM 2-14

For  $A$  and  $B$  disjoint closed subsets of a smooth manifold  $M$ , show that there exists  $f \in C^\infty$  such that  $0 \leq f \leq 1$ ,  $f^{-1}(0) = A$ , and  $f^{-1}(1) = B$ .

## PROBLEM 3-5

## PROBLEM 3-6

## PROBLEM 3-7

## PROBLEM 3-8

For  $M$  a smooth manifold, and  $p \in M$ , let  $\mathcal{V}_p M$  be the set of equivalence classes of smooth curves starting at  $p$  under the relation  $\gamma_1 \sim \gamma_2$  if for all  $f \in C^\infty(M)$ ,  $(f \circ \gamma_1)'(0) = (f \circ \gamma_2)'(0)$ .

Show that the map  $\Psi : \mathcal{V}_p M \rightarrow T_p M$  defined as  $\Psi[\gamma] = \gamma'(0)$  is well defined and bijective.

*Proof.* To begin with, we show that this map is well defined. To do so, let  $\gamma_1$  and  $\gamma_2$  be equivalent in the sense defined above. In particular, this means that  $d\gamma_1(\partial_t|_0)(f) = d\gamma_2(\partial_t|_0)(f)$  for all  $f$  in  $C^\infty(M)$ . Thus, since the differentials are functions on  $C^\infty(M)$  that are identical for all  $f$ , we have that  $d\gamma_1(\partial_t|_0) = d\gamma_2(\partial_t|_0)$  which implies  $\gamma'_1(0) = \gamma'_2(0)$  as desired.

Now, let's show that this is bijective. To do so, we will first show  $\Psi$  is surjective. Let  $v$  be some vector in  $T_p M$ . In particular,  $v = v^i \frac{\partial}{\partial x^i}|_p$  for some coordinates  $x^i$  centered at  $p$ . Now, define a curve  $\gamma : [0, 1] \rightarrow M$  as  $\gamma^i(t) = tv^i$ . It is clear that  $\gamma'(0) = v$ , since  $\gamma'^i(0) = v^i$ , which implies  $\gamma'(0) = v^i \partial_i = v$  as desired.

Second, we will show  $\Psi$  is injective. This is immediate from the definition of the equivalence relation, since by the argument for well-definedness if  $\gamma'_1(0) = \gamma'_2(0)$ , then  $\gamma_1 \sim \gamma_2$ .

Thus,  $\Psi$  is bijective, as desired.  $\square$

### PROBLEM 3-4

Show  $TS^1 \cong S^1 \times \mathbb{R}$ .

*Proof.* To prove this, we first note that there is a natural group structure on  $S^1$  when thought of as a subset of  $\mathbb{C}^*$ , namely the multiplicative structure from  $\mathbb{C}^*$ . This is clearly a Lie group, since the map  $(\theta, \phi) \mapsto \theta\phi^{-1}$  is smooth. To see this, consider the fact that, in  $\mathbb{C}^*$ , the map  $(z_1, z_2) \mapsto z_1 z_2^{-1}$  from  $\mathbb{C}^*$  to itself is clearly smooth, since multiplication, and inversion are smooth operations. Thus,  $S^1$  is a Lie group under this operation.

Consider the space  $\mathfrak{g}$ , the set of all left-invariant vector fields on a Lie group  $G$ . Here, a vector field on a Lie group  $G$  is said to be *left-invariant* if for all  $\sigma \in G$ , we have that

$$dl_\sigma \circ X = X \circ l_\sigma$$

for  $l_\sigma$  the operation of left-multiplication by  $\sigma$ . Clearly, this forms a vector space, with addition and scalar multiplication inherited from the tangent spaces. It is clearly closed under these operations, since

$$\begin{aligned} (X + Y) \circ l_\sigma &= X \circ l_\sigma + Y \circ l_\sigma \\ &= dl_\sigma \circ X + dl_\sigma \circ Y \\ &= dl_\sigma \circ (X + Y) \end{aligned}$$

And, for  $r \in \mathbb{R}$ ,

$$(rX) \circ l_\sigma = r(X \circ l_\sigma) = r(dl_\sigma \circ X) = dl_\sigma \circ rX$$

Thus,  $\mathfrak{g}$  is a real vector space.

Now, we establish an isomorphism between  $\mathfrak{g}$  and the tangent space  $T_e G$  given by  $\alpha : \mathfrak{g} \rightarrow T_e G$ ,  $\alpha(X) = X(e)$ .

Now,  $\alpha$  is clearly linear, so we just need to show it is injective and surjective. To see this, let  $\alpha(X) = \alpha(Y)$ . Then, for each  $\theta \in G$ , we have

$$\begin{aligned} X(\theta) &= dl_\theta X(e) \\ &= dl_\theta Y(e) \\ &= Y(\theta) \end{aligned}$$

Thus,  $\alpha(X) = \alpha(Y)$  implies that  $X = Y$ , so  $\alpha$  is injective.

To show surjectivity, let  $x \in T_e G$ . Then, define a vector field  $X$  to be  $X(\sigma) = dl_\sigma(x)$ . Clearly,  $X$  is left-invariant, since for all  $\theta, \sigma \in G$ , we have

$$X(l_\sigma \theta) = X(\theta \sigma) = dl_{\sigma \theta}(x) = dl_\sigma dl_\theta(x) = dl_\sigma X(\theta)$$

Here, we used the functoriality of  $d$  to split  $dl_{\sigma \theta} = dl_\sigma dl_\theta$ .

Now, it is clear that  $\alpha(X) = X(e) = x$ , so  $\alpha$  is surjective as well. Therefore, the tangent space  $T_e G$  is isomorphic to the set  $\mathfrak{g}$  of left-invariant vector fields on  $G$ .

This establishes the basic isomorphism we will use. Define  $\Phi : G \times T_e G \rightarrow TG$  by

$$\Phi(\sigma, x) = dl_\sigma \alpha^{-1}(x)$$

That is, for a vector  $x \in T_e G$ , identify it with the left-invariant vector field  $X \in \mathfrak{g}$  by  $\alpha(X) = x$ . Then,  $\Phi$  takes the tangent vector  $x$  and sends it to the tangent vector  $X(\sigma)$ .

$\Phi$  can be shown to be a smooth bijection. First, we will show it is surjective and injective, then we will show it is smooth.

First, let  $\Phi(\theta_1, x_1) = \Phi(\theta_2, x_2)$ . Clearly,  $\theta_1 = \theta_2$ , since if  $\Phi(\theta_1, x_1) = \Phi(\theta_2, x_2)$ , then its projections back to  $G$  must be equal as well. Thus  $\theta_1 = \theta_2$ . Now, let  $X_i = \alpha^{-1}(x_i)$ . Then, we have that  $X_1(\theta) = X_2(\theta)$ . Since  $X_i$  is left-invariant, we must have that

$$X_1(e) = dl_{\theta^{-1}} \circ X_1(\theta) = dl_{\theta^{-1}} \circ X_2(\theta) = X_2(e)$$

So  $x_1 = x_2$  and  $\Phi$  is injective.

Second, let  $(\sigma, x) \in TG$ . Clearly,  $\Phi(\sigma, x) = X(\sigma) = (\sigma, x)$  by the definition of  $\Phi$ , so  $\Phi$  is surjective as well.

Now we can see also that  $\Phi$  is smooth. To do so, let's choose a coordinate chart  $(U, \phi)$  centered at  $e$  given as  $(x_1, \dots, x_n)$  (which naturally gives a basis for  $T_e G$  as  $\{\partial_1|_e, \dots, \partial_n|_e\}$ ). This chart induces a chart at  $\theta$  given on  $l_\theta(U)$  by  $\phi \circ l_{\theta^{-1}}$ , and induces a basis on  $T_\theta G$  by pushing forward  $\partial_i|_e$  along  $dl_\theta$  to get  $\partial_i|_\theta$ .

So, for any  $(\theta, x) \in G \times T_e G$ , we have the coordinate chart  $(l_\theta U \times T_e G, \tilde{\phi})$  given as

$$\tilde{\phi}(\sigma, x^i \partial_i|_e) = (\phi(l_{\theta^{-1}}(\sigma)), x^i)$$

Recall also that we need a coordinate chart on  $TG$ , but this is induced from the coordinate chart defined above. In particular, (for  $\pi$  the standard projection map from  $TG$  to  $G$ ) on  $\pi^{-1}(l_\theta(U))$  we have the chart:

$$\tilde{\varphi}(\sigma, x^i \partial_i|_\sigma) = (\phi(l_{\theta^{-1}}(\sigma)), x^i)$$

Now, let's compute the transition map  $\tilde{\varphi} \circ \Phi \circ \tilde{\phi}^{-1}$ .

$$\begin{aligned} \tilde{\varphi} \circ \Phi \circ \tilde{\phi}^{-1}(\phi(l_{\theta^{-1}}(\sigma)), x^i) &= \tilde{\varphi} \circ \Phi(\sigma, x^i \partial_i|_e) \\ &= \tilde{\varphi}(\sigma, dl_\sigma(x^i \partial_i|_e)) \\ &= \tilde{\varphi}(\sigma, dl_{\sigma \theta^{-1}}(x^i \partial_i|_\theta)) \\ &= (\phi(l_{\theta^{-1}}(\sigma)), dx^i(l_{\sigma \theta^{-1}}(x^i \partial_i|_\theta))) \end{aligned}$$

Which is a smooth function, so  $\Phi$  is a diffeomorphism.

Therefore, the tangent bundle of a Lie group is trivial. Applying this to the special case of  $G = S^1$ , we have that  $TS^1 \cong S^1 \times \mathbb{R}$  as desired.  $\square$