

TORELLI GROUPS

Math 8803

Spring 2018

Georgia Tech

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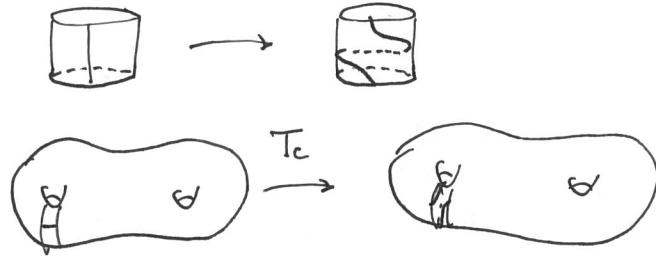
BACKGROUND

Mapping class group:

$S = \text{surface.}$

$$\text{Mod}(S) = \pi_0 \text{Homeo}^+(S, \partial S)$$

Dehn twists:



Thm (Dehn 20's) For $g \geq 0$ $\text{Mod}(S_g)$ is finitely generated by Dehn twists.

Symplectic rep $\psi: \text{Mod}(S_g) \rightarrow \text{Sp}_{2g}(\mathbb{Z})$ action on $H_1(S_g; \mathbb{Z})$

Thm. For $g \geq 0$ ψ is surjective.

Torelli group: $\mathcal{I}(S_g) = \ker \psi$

e.g. $T_c \in \mathcal{I}(S_g)$ for any sep curve c .

Why study Torelli?

1. It is the non-linear part of $\text{Mod}(S_g)$
2. It is Π_1 (Torelli space)
 - ↑ space of Riem. surf's w/ H_1 -basis.
3. Every $\mathbb{Z}\text{HS}^3$ obtained from S^3 by cutting along S_g , regluing by $\mathcal{I}(S_g)$

I. GENERATION

Thm (Johnson '83). For $g \geq 3$ $\mathcal{I}(S_g)$ is finitely gen by
bounding pair maps



Putman '12: # gens cubic in g .

Thm (Mess '86) $\mathcal{I}(S_2) \cong F_\infty$.

II. JOHNSON HOMOMORPHISM

$$\tau: \mathcal{I}(S_g^1) \rightarrow \Lambda^3 H \quad H = H_1(S_g^1; \mathbb{Z}).$$

three defns: algebra, alg. top., 3-manifolds

application: $\mathcal{I}(S_g)$ is distorted in $\text{Mod}(S_g)$ (Brock-Farb-Putman)

$$K(S_g) = \ker \tau \quad \text{"Johnson Kernel"}$$

Thm (Johnson '83) $K(S_g) = \langle T_c : c \text{ sep.} \rangle$

Thm (Ershov-He '17) $K(S_g)$ is finitely gen.

Johnson filtration: $N_0(S_g) \supseteq N_1(S_g) \supseteq \dots$
 $\qquad\qquad\qquad \qquad\qquad\qquad \qquad\qquad\qquad$
 $I(S_g) \qquad K(S_g)$

III. The abelianization

Birman-Craggs-Johnson homomorphisms

$$I(S_g) \rightarrow \mathbb{Z}/2$$

defined using Rochin invariant for
3-manifolds.

There are $\sum_{k=0}^3 \binom{2g}{k}$ of these.

Thm (Johnson '83) The abelianization of $I(S_g)$ is given by

$$\mathbb{Z} \oplus BCJs$$

Also: Thm (Pitsch '08) Every $\mathbb{Z}HS^3$ obtained via $N_3(S_g)$.

IV. Higher finiteness properties

Thm (Johnson-Millson-Mess '83) $H_3(I(S_3); \mathbb{Z})$ is ∞ -gen.

Thm (Bestvina-Bux-M '08) $H_{3g-5}(I(S_g); \mathbb{Z})$ is ∞ -gen

Big Q. Is $H_2(I(S_g); \mathbb{Z})$ finitely gen? Other H_k ?

IV. Representation stability

Johnson: parametrized Abel-Jacobi maps

$$\tau_i : H_i(I_g^1; \mathbb{Q}) \rightarrow \Lambda^{i+2} H \quad 0 \leq i \leq 2g-2$$

Thm (Church-Farb '11)

- τ_i not injective $i > 1$
- τ_2 surjective
- τ_i nonzero $1 \leq i \leq g$
 $(\Rightarrow H_i \neq 0)$

invented/conjectured
→ rep. stability

Thm (Balden-Dollenup '17) $H_2(I(S_g); \mathbb{Z})$ finitely generated as an Sp -module.

Also Thm (Church-Putman '15) Fix K . Each $N_k(S_g)$ is generated by elements of small support, indep. of g .

GENERATING MCG

Alexander Trick Prop. $\text{Mod}(D^2) = 1$.

Pf. For $\varphi \in \text{Homeo}^+(D^2, \partial D^2)$ consider

$$\overline{\Phi}(x,t) = \begin{cases} (1-t)\varphi\left(\frac{x}{1-t}\right) & 0 \leq |x| \leq 1-t \\ x & \text{o.wise} \end{cases}$$

$g=0$ Lemma $\text{Mod}(R^2) = 1$

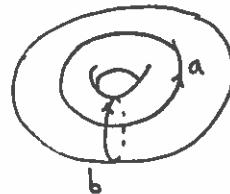
Pf. Straight line homotopy

\Rightarrow Prop $\text{Mod}(S^2) = 1$.

$g=1$ Thm. The map $\overset{\psi}{\rightarrow} \text{Mod}(T^2) \rightarrow \text{SL}_2 \mathbb{Z}$ (action on H_1) is an \cong

Pf. Injectivity: $K(G,1)$ theory (note $H_1 \cong \mathbb{Z}$)

Surjectivity: $T_a \mapsto \begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix}$ $T_b \mapsto \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$



In particular: $\text{Mod}(T^2)$ is gen. by Dehn twists.

$g \geq 1$ Much more complicated!

Two ingredients: Complex of curves

Birman exact sequence.

COMPLEX OF CURVES

$C(S_g)$ vertices: homotopy classes of scc in S_g
 edges: disjoint reps

Thm (Lickorish '64) For $g \geq 2$ $C(S_g)$ is connected.

Pf. v, w vertices

To show v, w lie in same component.

Induct on $i(v, w)$

Base cases: $i(v, w) \leq 2$. follow from

Lemma. v, w fill $S_g \Rightarrow i(v, w) \geq 2g - 1$

Pf. $v, w \rightsquigarrow$ cell decomp of S_g

$$2 - 2g = *i(v, w) - 2i(v, w) + F \geq -i(v, w) + 1 .$$

Now assume $i(v, w) \geq 3$. Must see:



In first case $i(u, v) = 1 \quad i(u, w) < i(v, w)$

□

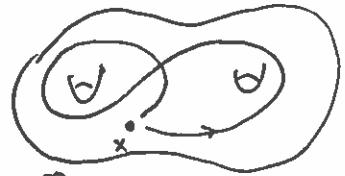
Cor. Complex of nonsep curves $N(S_g)$ is conn. $g \geq 2$

Cor. $\hat{N}(S_g)$ is conn. $g \geq 1$ vertices: nonsep curves
 edges: $i = 1$.

BIRMAN EXACT SEQUENCE

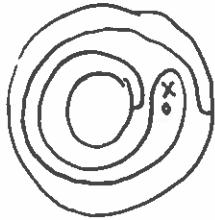
$$\text{Mod}(S, x) = \pi_0 \text{Homeo}^+(S, x).$$

Push map Push: $\pi_1(S, x) \rightarrow \text{Mod}(S, x)$



example: x = simple loop

$$\text{Push}(x) = T_c T_d^{-1} \quad c, d = \text{left, right pushoffs}$$



Forgetful map Forget: $\text{Mod}(S, x) \rightarrow \text{Mod}(S)$

$$\text{note } \text{Im Push} \subseteq \text{ker Forget}.$$

Thm. For $\chi(S) < 0$: $1 \rightarrow \pi_1(S, x) \rightarrow \text{Mod}(S, x) \rightarrow \text{Mod}(S) \rightarrow 1$

is exact.

(For $\chi(S) \geq 0$, lose injectivity.)

Cor. $\text{Mod}(S_{g,n})$ is fin. gen. by Dehn twists $g=0, 1$.

Pf of Thm. Long exact seq. for fiber bundle

$$\begin{array}{ccc} \text{Homeo}(S, x) & \longrightarrow & \text{Homeo}(S) \\ & & \downarrow \\ & & S \end{array}$$

$$\text{plus: } \pi_0 \text{Homeo}(S) = 1.$$

□

Groups Acting on Connected Complexes

Lemma. $G \curvearrowright X =$ connected graph

transitive on vertices and
ordered pairs of vertices

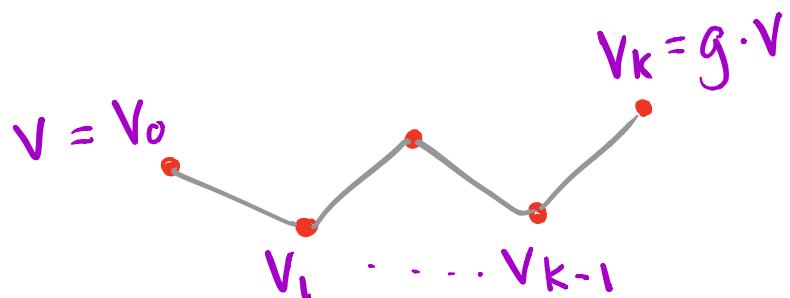
Say $v \rightarrow w$ & $h \cdot w = v$

Then: $G = \langle \text{Stab}_G(v), h \rangle$

Pf. Let $H = \langle \text{Stab}_G(v), h \rangle$
 $g \in G$.

Want to show $g \in H$.

Consider a path



Choose g_i s.t. $v_i = g_i \cdot v$
& $g_0 = \text{id}$, $g_k = g$.

Inductive hyp: $g_i \in H$.

Base case automatic. Assume $g_i \in H$.

Consider $v_i = g_i \cdot v$ $v_{i+1} = g_{i+1} \cdot v$

Apply g_i^{-1} : v $g_i^{-1}g_{i+1} \cdot v$

Since G acts trans. on pairs of vertices...

Apply some r : v $w = rg_i^{-1}g_{i+1} \cdot v$

Note: $r \in \text{Stab}_G(v)$

$\rightsquigarrow hrg_i^{-1}g_{i+1} \in \text{Stab}_G(v) \subseteq H$

But $h, r, g_i^{-1} \in H \Rightarrow g_{i+1} \in H$. \square

PROOF OF FINITE GENERATION

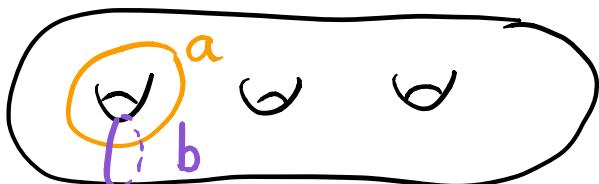
Theorem. For $g \geq 0$, $\text{Mod}(S_g)$ is finitely gen. by Dehn twists.

Proof. Induct on g . Base cases $g=0, 1$ ✓

Let $g \geq 2$.

$\text{Mod}(S_g) \hookrightarrow \hat{N}(S_g)$ satisfying Lemma.

Let



Check: $T_a T_b T_a(b) = a$

Lemma $\Rightarrow \text{Mod}(S_g) = \langle \text{Stab}(a), T_a, T_b \rangle$

To show $\text{Stab}(a)$ fin. gen. by Dehn twists.

$\text{Stab}(a)/\langle T_a \rangle \cong \text{Mod}(S_{g-1,2})$

↑ cut along a.

By induction $\text{Mod}(S_{g-1})$ fin. gen. by Dehn twists.

$\Rightarrow \text{Mod}(S_{g-1,1})$ fin. gen. by Dehn twists

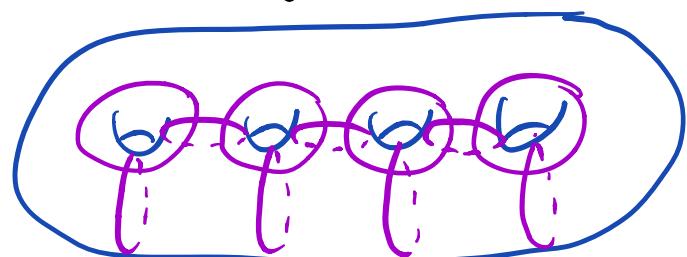
(Birman exact seq + usual gen.
set for $\pi_1(S_g)$)

$\Rightarrow \text{Mod}(S_{g-1,2})$ fin. gen by DTs □

Same proof:

- Finite gen. by DTs about nonsep curves
(since π_1 gen by nonsep simple loops)

- Lickorish generators



Just check that each step works!

THE SYMPLECTIC REPRESENTATION OF MCG

The symplectic group

Consider \mathbb{R}^{2g} with basis $(x_1, \dots, x_g, y_g, \dots, y_1)$ and standard symplectic form

$$\omega = \sum_{i=1}^g dx_i \wedge dy_i$$

Think of ω as a pairing on \mathbb{R}^{2g} e.g.

$$\omega(x_1 + 2y_2, x_1 + y_1 + x_2) = 1 - 2 = -1$$

This is the unique nondegenerate, alternating bilinear form on \mathbb{R}^{2g} up to change of basis.

Connection to surfaces:

$$(\mathbb{R}^{2g}, \omega) \cong (H_1(S_g; \mathbb{R}), i)$$

$Sp_{2g}(\mathbb{R})$ = subgp of $GL_{2g}\mathbb{R}$ preserving ω :

$$\omega(u, v) = \omega(Mu, Mv)$$

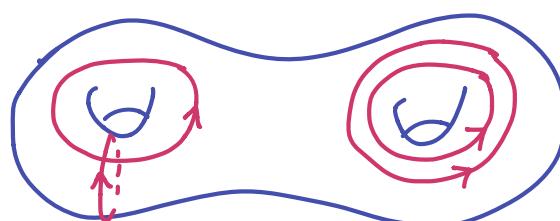
Similar with \mathbb{Z}_L .

Realizing H_1 -classes by curves.

Prop. If $v \in H_1(Sg; \mathbb{Z})$ is primitive then $v = [c]$ where c is an oriented simple closed curve.

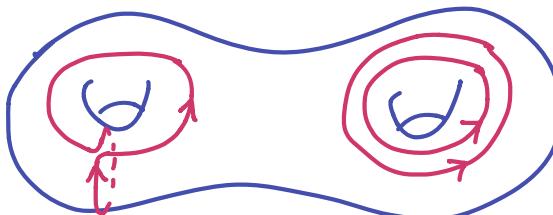
Pf (Meeks-Patrusky). Euclidean algorithm for scc's.

Step 1. Draw v naively:

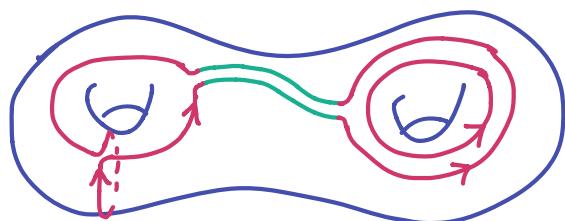
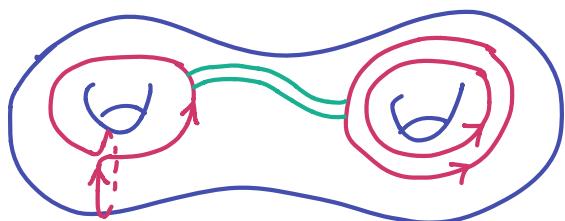


$$v = x_1 + y_1 + 2x_2$$

Step 2. Surgery to remove crossings.



Step 3. Band surgeries to reduce the number of components



By Euclidean algorithm, this terminates in a connected curve! \square

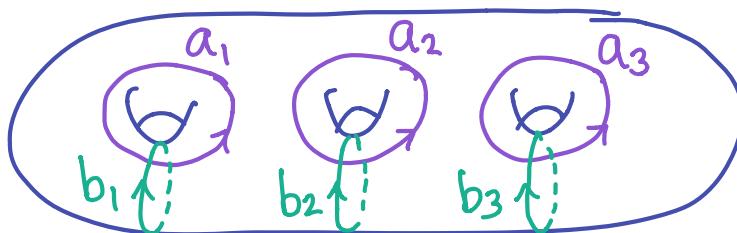
ACTION OF A DEHN TWIST

Prop. Say $a, b =$ oriented curves

Then $T_b^k([a]) = [a] + k \hat{i}(a, b)[b]$

N.B. Indep of orientation of b !

A geometric symplectic basis:



Proof. Case 1. b separating

Choose geometric symplectic basis for $H_1(S_g)$ disjoint from b .

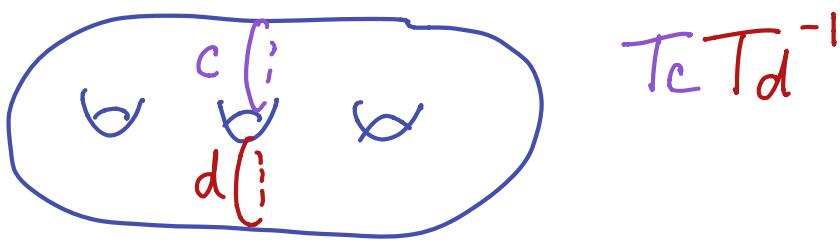
Case 2. b nonseparating.

Choose a geometric symplectic basis so b is one curve. Check for $a =$ basis elt. Apply linearity of $\psi(T_b^k)$.

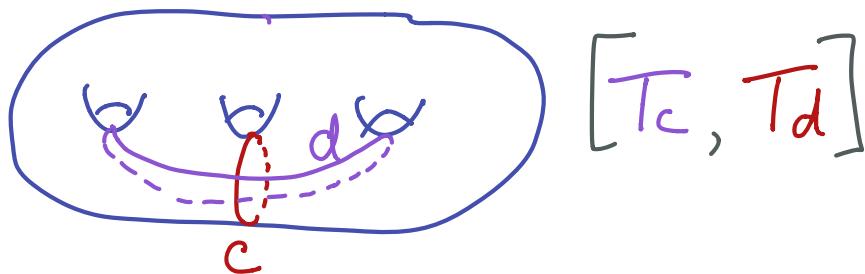
SOME ELEMENTS OF TORELLI.

1. Dehn twists about sep curves

2. Bounding pair maps



3. Commutators of simply intersecting pairs



2 & 3 are special cases of:

$$T_c T_d^{-1} \text{ where } [c] = [d]$$

That all of these lie in Torelli follows immediately from the Prop.

4. $\pi_1(S_g) \trianglelefteq \text{Mod}(S_{g,1})$

SURJECTIVITY OF THE Sp-REP

Thm $\psi: \text{Mod}(Sg) \rightarrow \text{Sp}_{2g}(\mathbb{Z})$ is surjective.

1st proof: transvections.

A transvection in $\text{Sp}_{2g}(\mathbb{Z})$ is an elt whose 1-eigenspace is $(2g-1)$ -dim.



$$T_v(u) = u + \omega(u, v)v \quad (\text{or a power})$$

Fact. $\text{Sp}_{2g}(\mathbb{Z})$ is gen. by transvections.

Pf of Thm. Suffices to hit T_v , v primitive.

Prop \rightsquigarrow a s.t. $[a] = v$

$$\psi(T_a) = T_v \quad \square$$

Want a proof that does not presuppose a genset for Sp .

2nd proof: geometric symplectic bases

$\text{Sp}_{2g}(\mathbb{Z}) \longleftrightarrow$ Symplectic bases for \mathbb{Z}^{2g}
 $I \longleftrightarrow$ Standard symplectic basis.

Proof of Thm. Given $A \in \text{Sp}_{2g}(\mathbb{Z})$, realize A as a geometric symplectic basis (skip up the proof of Prop above).

Realize I by standard geometric symplectic basis.

Apply change of coordinates: given two topologically equivalent configurations of curves, there is an element of $\text{Mod}(S_g)$ taking one to the other. \square

Corollaries of the proof:

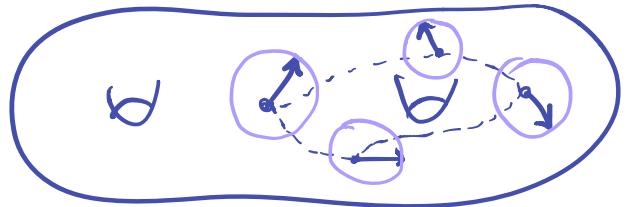
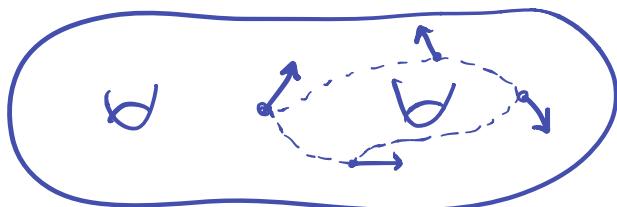
① $I(S_g)$ acts transitively on S.c.c. reps of a homology class.

② $I(S_g)$ acts trans. on sep curves/BPs inducing same splitting.

\Rightarrow conjugacy classification of such elts.

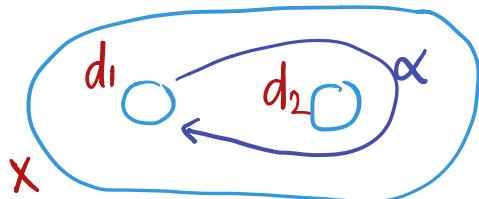
UNIT TANGENT BUNDLE SUBGROUPS

A loop in $\pi_1(\text{UT}(S_g)) \rightsquigarrow$ isotopy of a disk in S_g



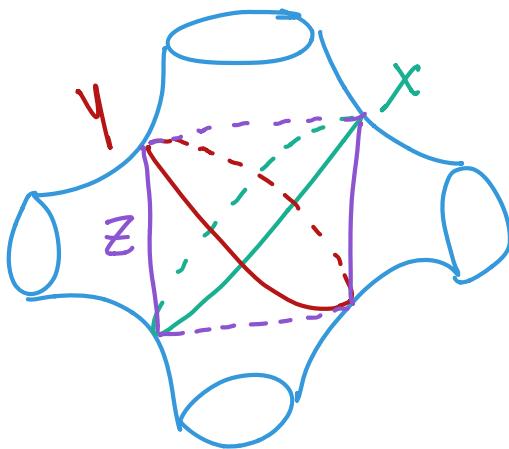
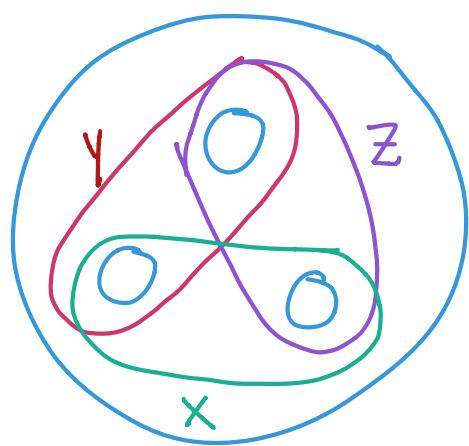
$$\begin{array}{ccccc}
 & \downarrow & & \downarrow & \\
 & \mathbb{Z} & & \mathbb{Z} & \\
 & \downarrow & & \downarrow & \\
 | & \rightarrow & \pi_1(\text{UT}(S_g)) & \rightarrow & \text{Mod}(S_g^1) \rightarrow \text{Mod}(S_g) \rightarrow | \\
 \downarrow & & \downarrow & & \downarrow \\
 | & \rightarrow & \pi_1(S_g) & \rightarrow & \text{Mod}(S_{g,1}) \rightarrow \text{Mod}(S_g) \rightarrow |
 \end{array}$$

Example



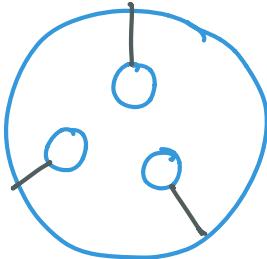
$$\begin{aligned}
 \text{Push}(\alpha) &= T_x T_{d_1}^{-1} T_{d_2}^{-1} \\
 &\text{"do si do"}
 \end{aligned}$$

THE LANTERN RELATION.



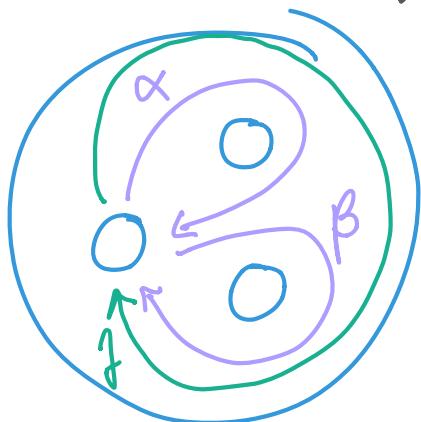
$$T_x T_y T_z = \prod_{i=1}^4 T_{\alpha_i}$$

Pf #1. Check action on



By symmetry, enough
to check one (why?).
Apply Alexander trick.

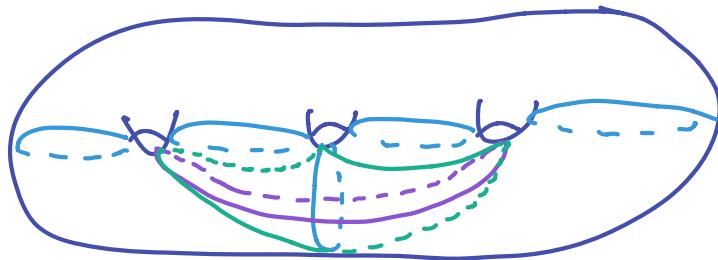
Pf #2. Consider push maps:



Note $\alpha \beta = f$. Reinterpret
as lantern relation.

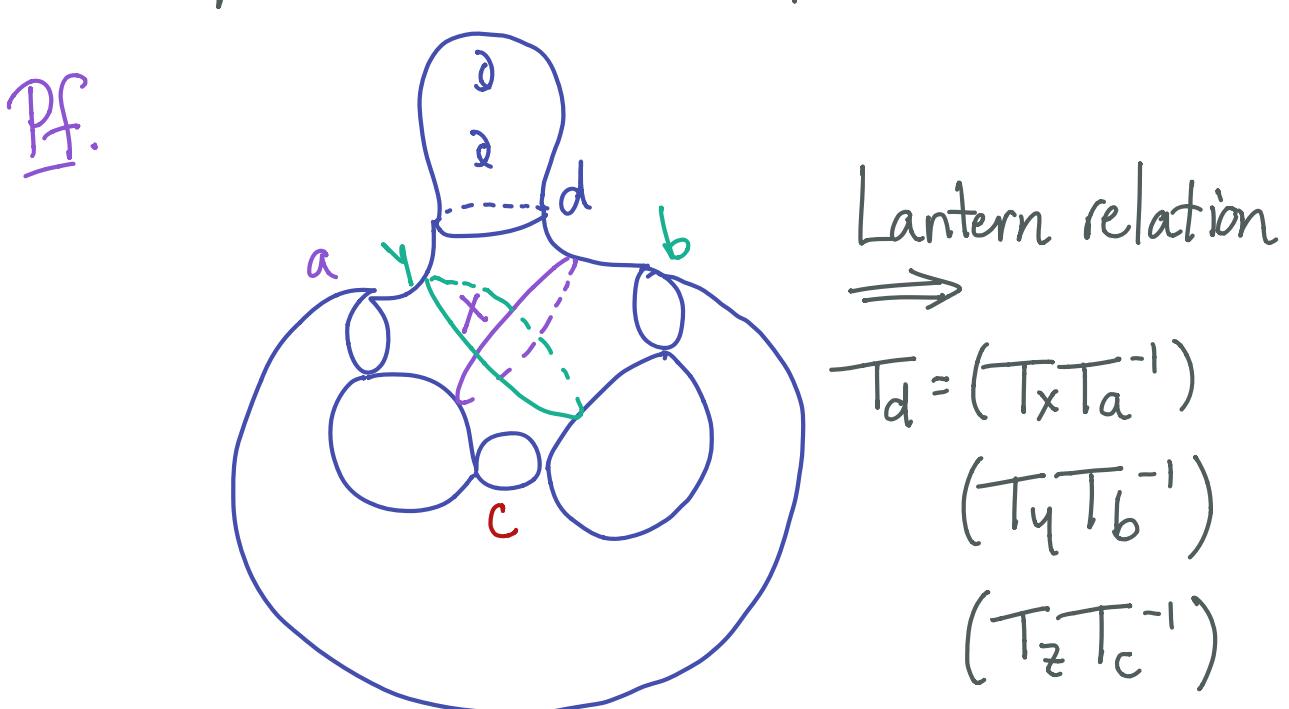
CONSEQUENCES OF LANTERN RELATION.

1. Theorem $\text{Mod}(S_g)^{ab} = 1 \quad g \geq 3$



Pf. All 7 twists are conjugate
 \Rightarrow have same image t in $\text{Mod}(S_g)^{ab}$
 Lantern $\Rightarrow t^3 = t^4 \Rightarrow t = 1$.

2. Prop Dehn twists about Sep curves are products of BP maps.



TORELLI GROUPS ARE TORSION FREE

BLACK BOX

If $f \in \text{Mod}(S)$ has finite order,
then $f = [\varphi]$ st $\varphi = \text{isometry}$

Theorem $I(S_g)$ is torsion free

Pf. #1 Say $f \in I(S_g)$ has finite order

Black box $\rightsquigarrow \varphi = \text{isometry} \Rightarrow \text{iso. fix pts.}$

Lefschetz FPT:

$$\sum_{p=\text{fix pt}} \text{ind}_p(\varphi) = \sum (-1)^i \text{trace} (\varphi_* : H_i(S_g) \rightarrow H_i(S_g))$$

$$\# \text{ fixed pts} = 1 - 2g + 1 < 0$$

□

Pf #2 Fact: $G \subset X \Rightarrow$

$$H_1(X/G; \mathbb{Q}) \cong H_1(X; \mathbb{Q})^G$$

Assume now $g \geq 2$. As above $f \rightsquigarrow \varphi$
Take $G = \langle \varphi \rangle$. Have:

$$\text{genus}(S_g/G) < g$$

$$\Rightarrow H_1(S_g/G) \neq H_1(S_g)$$

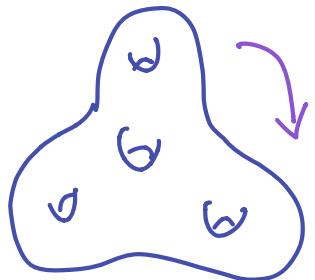
$$\Rightarrow G \notin I(S_g).$$

□

Pf #3 Fact: f is either

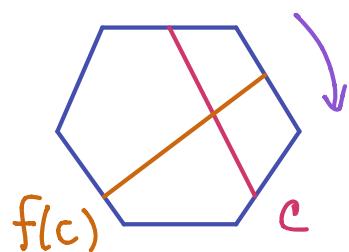
- deck transf.
- rotation of a polygon

Deck transformations
clearly not in $I(S_g)$

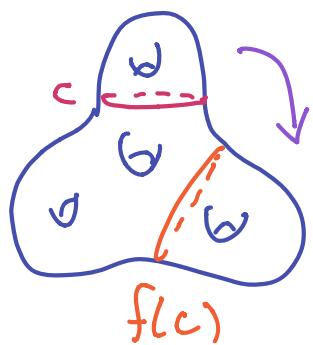


Rotations of polygons

Choose a diag. that is
a S.c.c. c in S_g



Note $i(c, f(c)) \leq 1$.



Case 1. c sep $\Rightarrow i(c, f(c)) = 0 \Rightarrow$
 f not in Torelli ✓

Case 2. c nonsep, $i(c, f(c)) = 1$ ✓

Case 3. $|f| \geq 3 \Rightarrow c, f(c), f^2(c)$
cannot all be homologous
(consider the labels they cut off) ✓

Case 4. $|f|=2$, all diags are nonsep,
all taken to homologous curves
 $\Rightarrow f$ = hyperelliptic involution ✓

GENERATING TORELLI

Goal: $I(S_g)$ is gen. by BP maps (and Dehn twists about sep curves)

Original proof: 1971 Birman gives presentation for $Sp_{2g}(\mathbb{Z})$

1978 Powell interprets relations

1980 Johnson, lantern relation

Want a proof analogous to $Mod(S_g)$ case.

Complex of homologous curves

Fix (primitive) $x \in H_1(S_g; \mathbb{Z})$

$C_x(S_g)$ = subgraph of $C(S_g)$ spanned by
(unoriented) reps of x .

goal: Connected.

“borrowing complex”

Will use auxilliary complex $B_x(S_g)$, the
complex of cycles. Points of $B_x(S_g)$
are simple, irredundant reps of x .

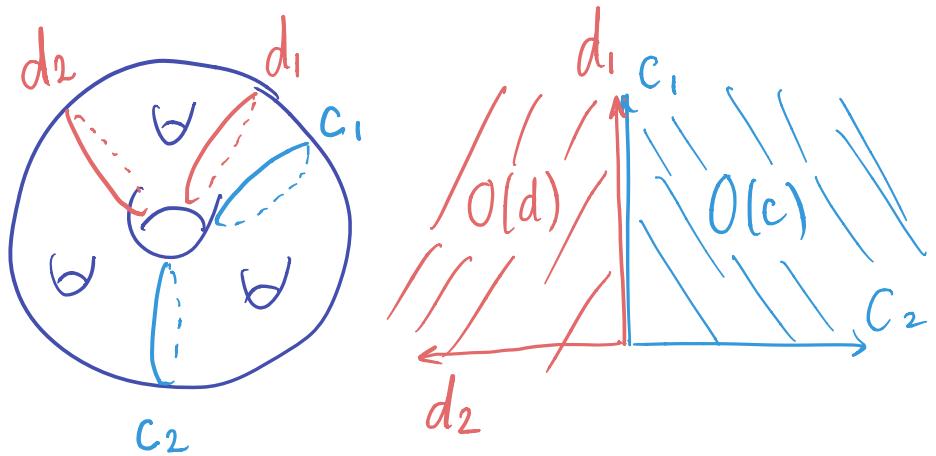
The Complex of Cycles

$C = \text{oriented multicurve, } n \text{ components}$

$$\rightsquigarrow [0, \infty)^n \rightarrow H_1(S_g; \mathbb{Z}) \text{ orthant } O(c)$$

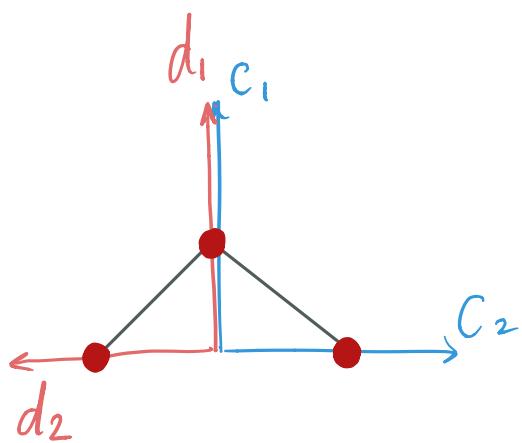
$$A(S_g) = \coprod_c O(c) / \sim$$

example.

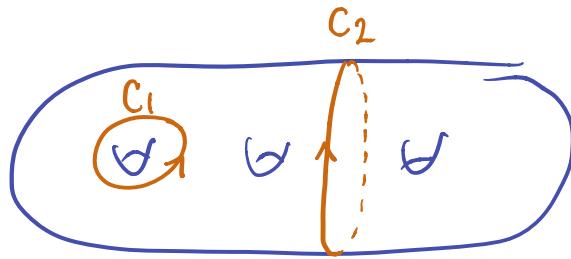


$$A_x(S_g) \subseteq A(S_g) \text{ reps of } x.$$

Say $x = [c_1]$

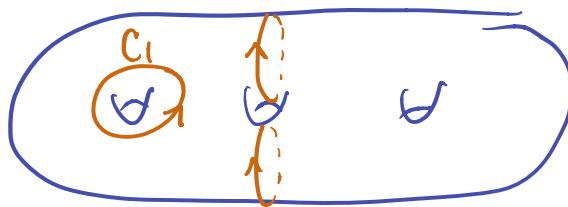


The cells of $A_x(S_g)$ are not necessarily compact:



If $[c_1] = x$ then $[c_1 + bc_2] = x \quad \forall b \in \mathbb{R}$

Or:



An oriented multicurve is reduced if

- (1) the corresponding cell is compact
- \iff (2) it has no homologically trivial subset
- \iff (3) the dual directed graph is recurrent

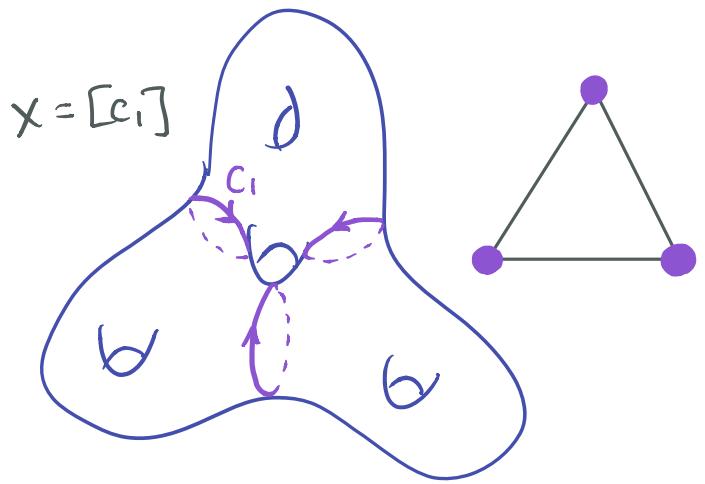
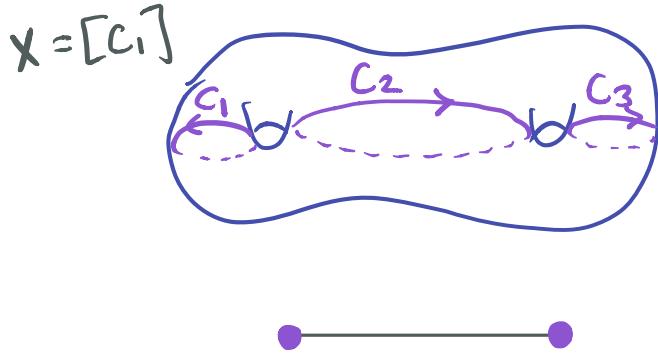
Dual graphs:



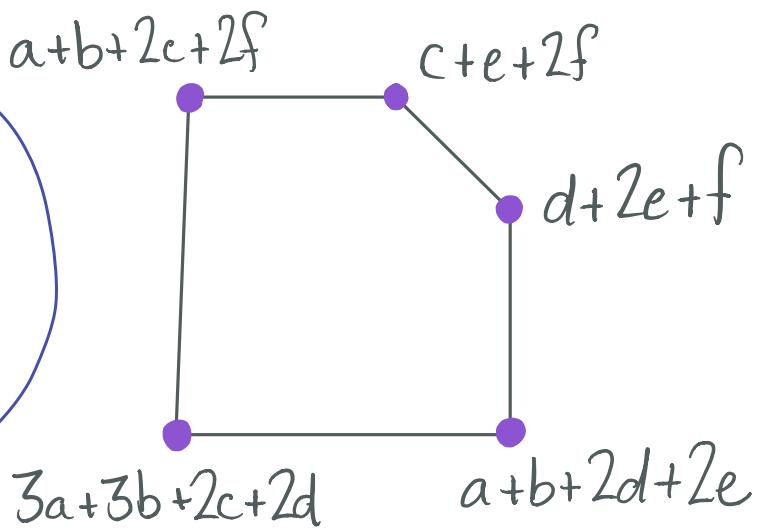
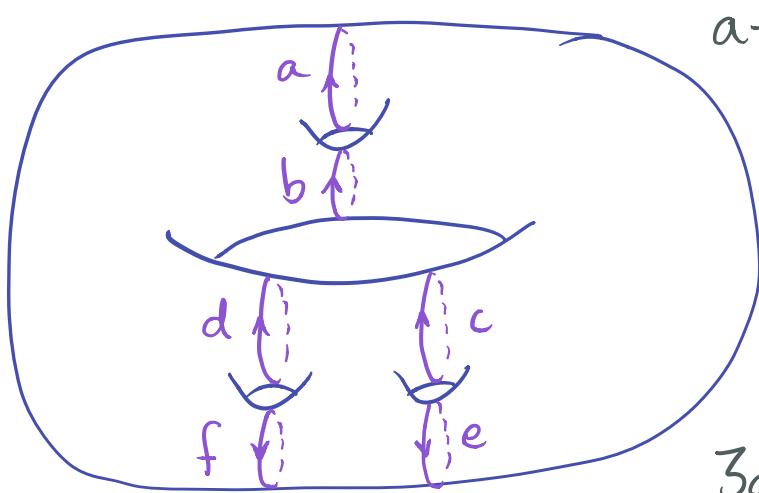
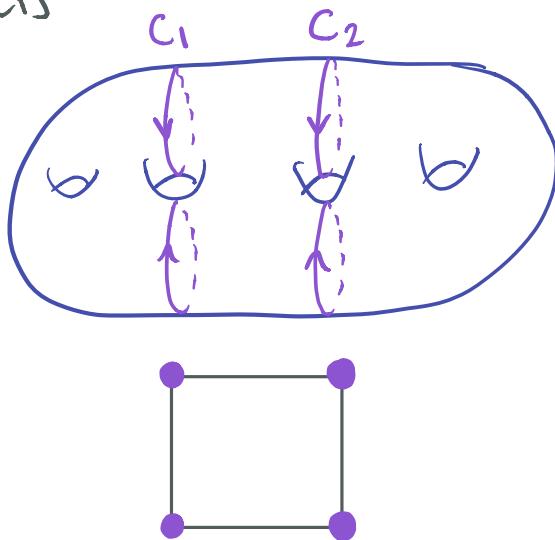
The complex of cycles $B_x(S_g)$ is the subcomplex of $A_x(S_g)$ whose cells correspond to reduced oriented multicurves.

We'll show $B_x(S_g)$ is contractible.

Examples of cells



$$x = [c_1] + [c_2]$$



Q. Which polytopes arise?

Properties of Cells

Prop. The dim. of a cell = # compl. comp.'s - 1 .

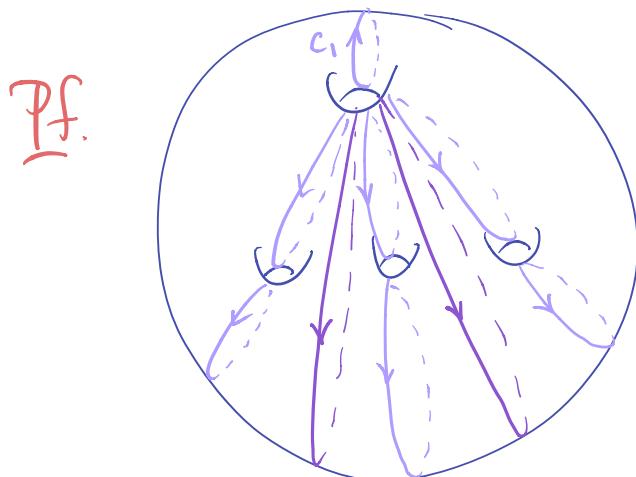
Pf. Defn of homology.

\Rightarrow vertices \longleftrightarrow nonsep. multicurves.

Prop. Vertices of $B_x(S_g)$ are oriented multicurves with integral weights.

Pf. Given a vertex, consider a loop intersecting in one point.

Prop. $\dim B_x(S_g) = 2g-3$.



$$x = [c_1].$$

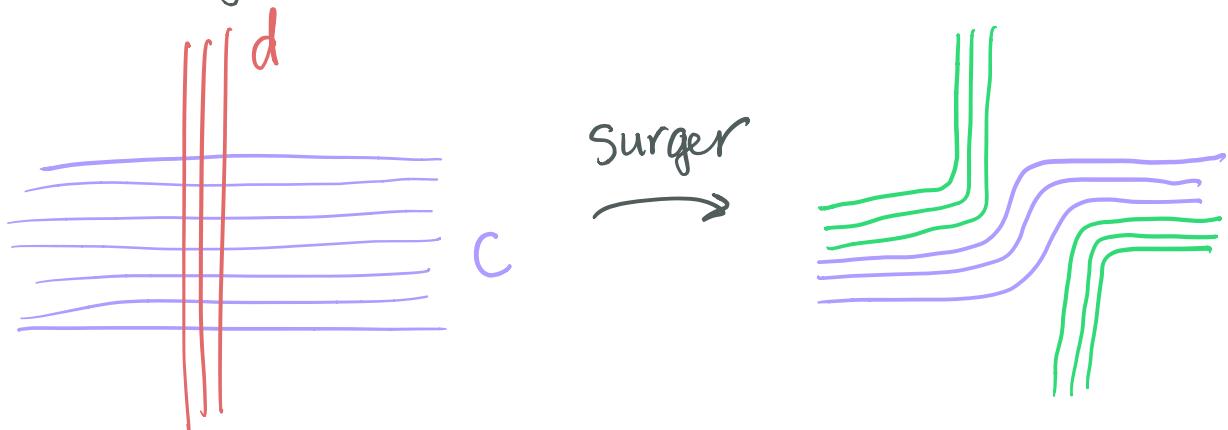
$\leadsto B_x(S_2)$ is a graph.

CONTRACTIBILITY

Theorem. $B_x(S_g)$ is contractible.

Surgery on 1-cycles

Say $c, d \in A_x(S_g)$. Thicken c, d according to weights and then:



If $[c] = [d] = x$, this procedure will result in a 1-cycle rep'ing x . Why?

$$H_1(S_g; \mathbb{Z}) \cong H^1(S_g; \mathbb{Z}) \cong \text{Hom}(H_1(S_g; \mathbb{Z}), \mathbb{Z}) \leftrightarrow [S_g, S^1]$$

The original c, d give maps $S_g \rightarrow S^1$ by integrating against width of annuli. The surgered picture corresponds to the map $S_g \rightarrow S^1$ obtained by integrating against both widths.

Prop. $A_x(S_g)$ is contractible

Pf. Fix some $c \in A_x(S_g)$. Consider:

$$F_t(d) = \text{Surger}(tc + (1-t)d)$$

□

Draining 1-cycles

Suppose $c \in A_x(S_g)$ is not reduced.

↪ $\{R_i\}$ subsurfaces with $\partial R_i \subseteq c$

$$\text{Drain}_t(c) = c - t \sum \partial R_i$$

Prop. $A_x(S_g)$ def. retracts to $B_x(S_g)$.

In partic. $B_x(S_g)$ is contractible.

Pf. Drain

□

In particular, $B_x(S_2)$ is a tree.

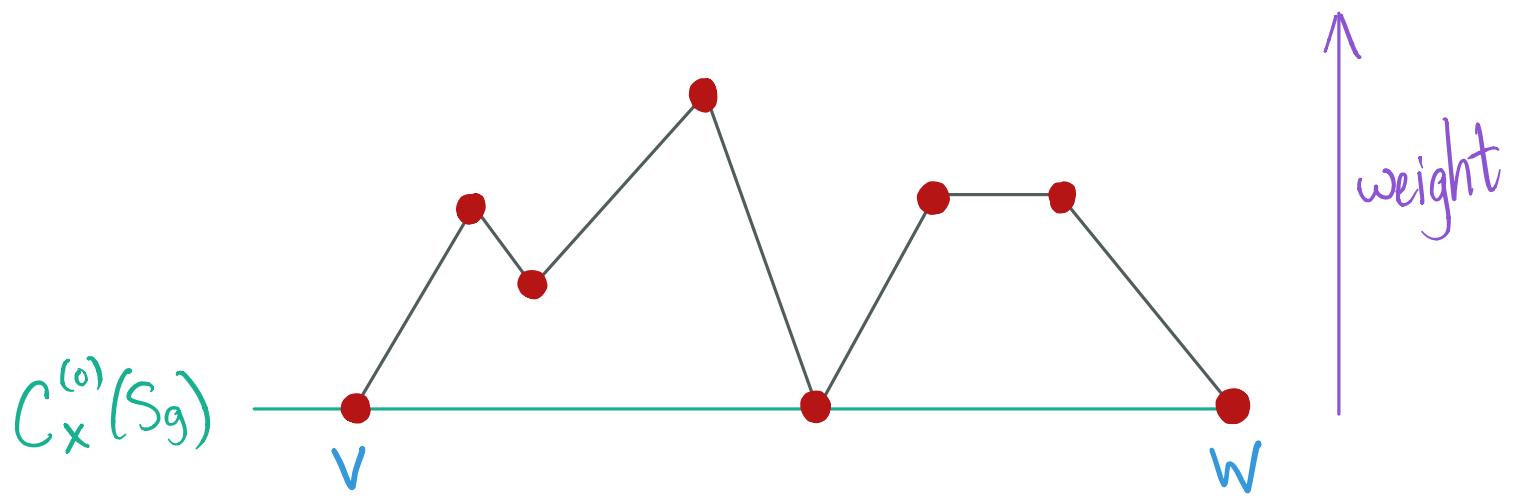
CONNECTIVITY OF $C_x(S_g)$

Basic strategy

Define weight : $B_x(S_g) \rightarrow \mathbb{Z}$
 $\sum w_i c_i \mapsto \sum w_i$

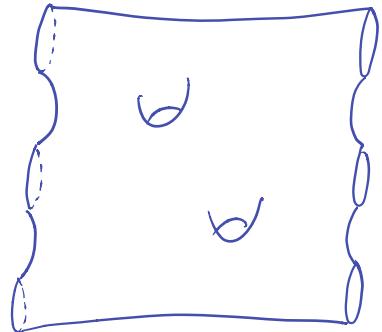
Note: $C_x(S_g) = \text{weight}^{-1}(1)$.

Now, given $v, w \in C_x^{(0)}(S_g)$, we connect them
in $B_x^{(1)}(S_g)$:



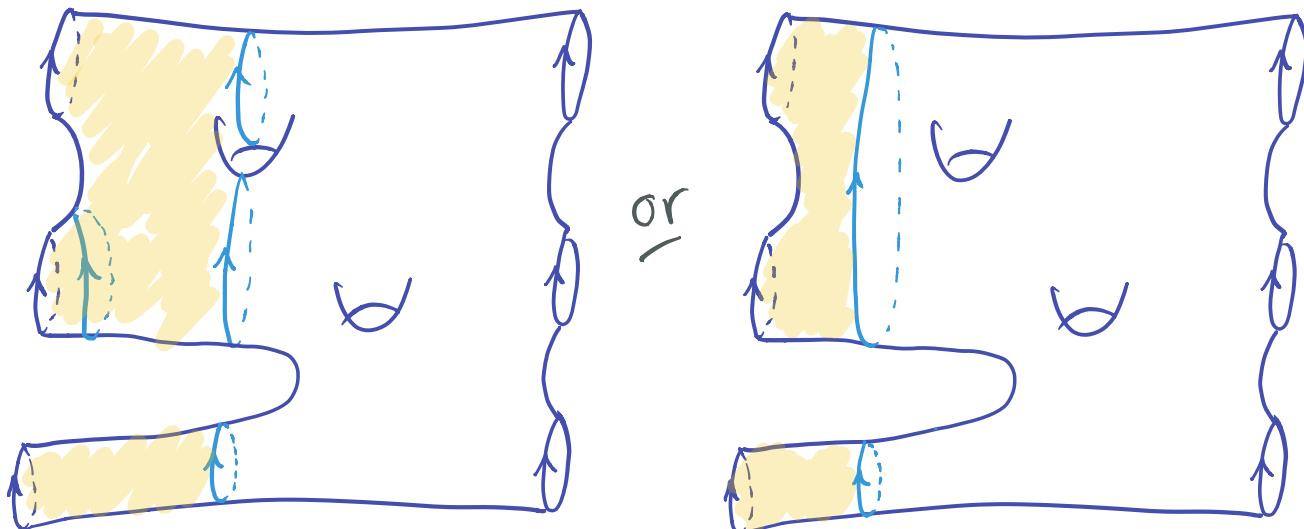
We then push the highest vertex
down inductively until the path lies
in $C_x(S_g)$.

Key idea: If we cut along a vertex of $B_x^{(0)}(Sg)$ we get



"cobordism"

What does an edge in $B_x(Sg)$ look like?

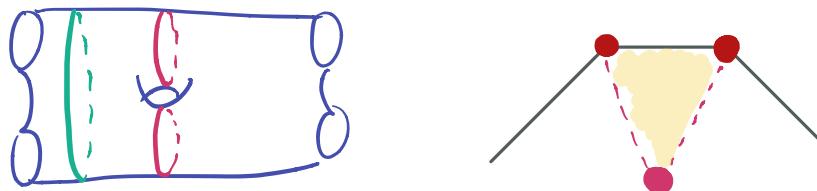


The region between transfers weight from one side to the other \Rightarrow the new vertex will have smaller weight iff there are fewer interior curves than boundary curves.

Call the edge on the right a pants edge.
This is the simplest way to reduce weight.

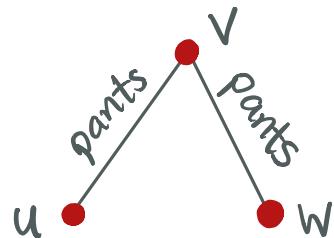
PROOF THAT $C_x(S_g)$ is connected

Step 1. Make maxima isolated, by making pants edges/triangles.

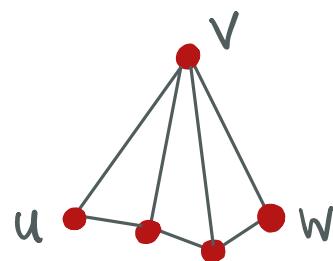


Step 2. Make highest edges into pants edges in same way

Step 3. Given



Connect uv to v by a seq of pants triangles

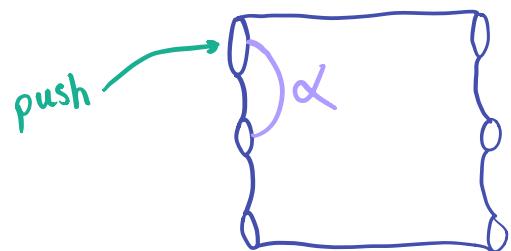


Can then push v down. Apply this process inductively.

To this end, consider the graph with
Vertices: pants edges emanating from v

\longleftrightarrow certain arcs in $S \setminus v$

edges: disjoint arcs.



To show: connected

- Notes.
- Every vertex is adjacent to one connecting first two components of $\partial(S \setminus v)$.
 - Push maps (corresponding to 1st ∂ -comp) act transitively on these.
 - π_1 (punctured sphere) has a simple gen set $\{x_i\}$

So: suffices to show that each $\text{Push}(x_i) \cdot \alpha$ lies in same component as α .

Sample case: x_i lies on LHS of $S \setminus v$.

Then if β lies on RHS we have



PROVING TORELLI IS GEN. BY BP MAPS

Ingredient #1. $C_x(S_g)$ is connected ✓

Ingredient #2. Fact. Say $G \curvearrowright X = \text{graph}$

$A \subseteq G$ s.t. \forall edges $v w$

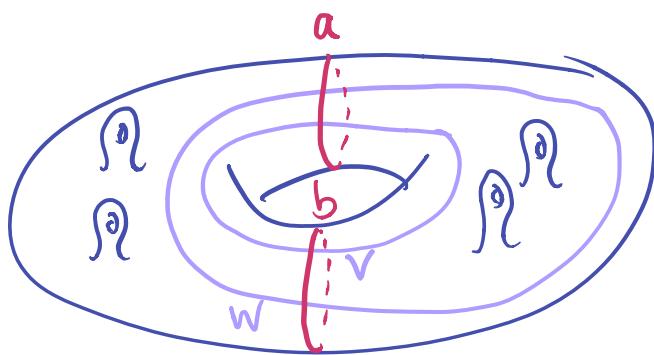
$\exists g \in A$ with $g \cdot v = w$.

Then $G = \langle A, \text{vertex stabs} \rangle$

Pf. Same as before

Ingredient #3. If $v w$ is an edge of $C_x(S_g)$

\exists BP map taking v to w .



$$T_a T_b^{-1}(v) = w$$

Thus it suffices to show $\text{Stab}_{I(S_g)}(v)$ is gen. by BP maps and Dehn twists about sep curves.

Two BIRMAN EXACT SEQUENCES FOR TORELLI

ONE MARKED POINT

$$1 \rightarrow \pi_1(S_g, p) \rightarrow I(S_g, p) \rightarrow I(S_g) \rightarrow 1$$

(restriction of usual BES)

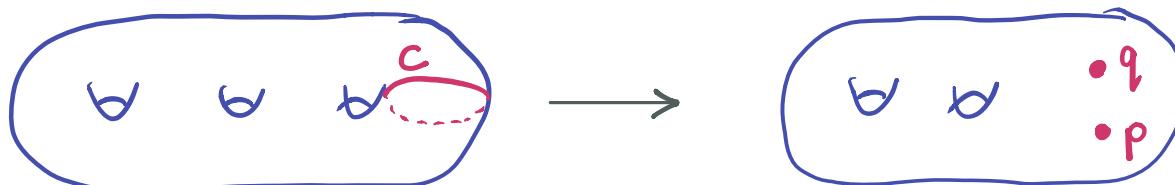
TWO MARKED POINTS

$$1 \rightarrow K \rightarrow I(S_g, \{p, q\}) \rightarrow I(S_g, p) \rightarrow 1$$

$$K = [\pi, \pi] \quad \pi = \pi_1(S_g \setminus p, q)$$

will verify
below!

What is defn of $I(S_g, \{p, q\})$? It is the image of $I(S_g, c)$ under the cutting map:



$$\hookrightarrow I(S_g, \{p, q\}) = \ker (Mod(S_g, \{p, q\}) \rightarrow \text{Aut } H_1(S_g, \{p, q\}))$$

Can define $I(S_g, p)$ in same way, as further image under forgetting q . Get usual defn.

STABILIZERS ARE GEN. BY BP maps...

First, $\text{Stab}_{I(S_g)}(c) \cong I(S_{g-1}, \{p, q\})$ since:

$$1 \rightarrow \langle T_c \rangle \rightarrow \text{Mod}(S_g, c) \rightarrow \text{Mod}(S_g, \{p, q\}) \rightarrow 1$$

and $T_c \notin I(S_g)$.

Step 1. K gen by BP maps & Dehn twists about seps.

$$\pi_1(S_g \setminus p, q) \cong H_1(S_g, \{p, q\}) \cong H_1(S_g) \oplus \mathbb{Z}$$

- trivial on first factor.
- action on 2nd factor is $\alpha \cdot v = [\alpha] + v$.

Check:



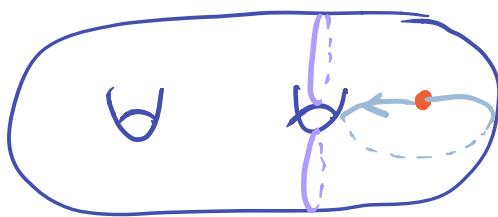
$$\Rightarrow K = [\pi_1, \pi_1].$$

Now need: K gen. by simple (sep) loops.

Realize S_g as 4g-gon with opp sides id'd.
and use the fact that commutator subgps
are normally gen. by commutators of gens.

Step 2. $\Pi_1(S_g, p) \subseteq I(S_g, p)$ gen by BP maps.

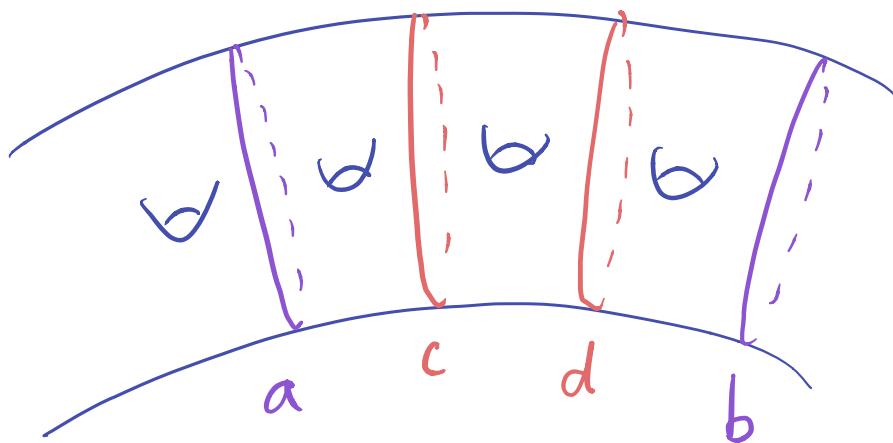
easy:



Even better:

Thm. $I(S_g)$ is gen. by BP maps of genus one.

Pf.



$$T_a T_b^{-1} = (T_a T_c^{-1})(T_c T_d^{-1})(T_d T_b^{-1}).$$

Still need to address base case $g=2$!

GENUS 2

$C_x(S_2)$ is not connected ☹

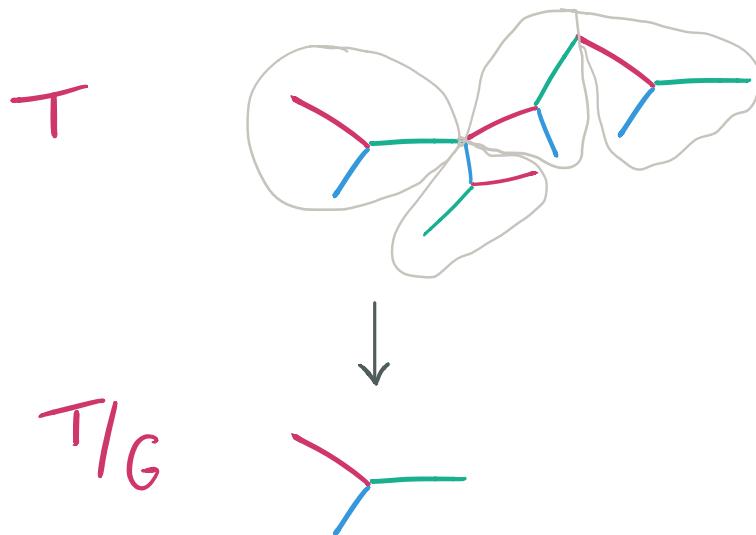
Will instead use $I(S_2) \cup B_x(S_2)$.

Already showed $B_x(S_2)$ is a tree.

Will show $B_x(S_2)/I(S_2)$ is a tree.

Fact. If $G \cap T = \text{tree}$ and $T/G = \text{tree}$
then G is (freely) gen. by vertex stabilizers.

Pf. Key point: $T/G \hookrightarrow T$
So T covered by translates of T/G



Fix X , a copy of T/G in T . ("tile")

Let $g \in G$. Suppose first that $g \cdot X \cap X \neq \emptyset$

$$\Rightarrow g \cdot X \cap X = \{v\} \Rightarrow g \in \text{Stab}(v)$$

(otherwise T/G would have a loop). Induct
on tile distance. Free b/c no loops □

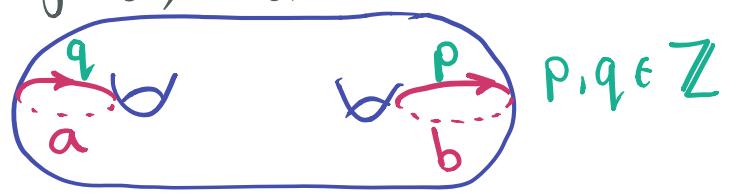
Remains: ① $B_x(S_2) / I(S_2)$ = tree
 ② Stab's gen by Dehn twists (and free)

Proof of ① Weight fn. descends to quotient.
 And $\exists!$ vertex of weight 1 (in quotient).

To show: if $\text{weight}(v) > 1 \exists! w$ with

$\text{weight}(w) < \text{weight}(v)$ and 

v looks like:



w given by nonsep curve c in middle.

\leftrightarrow curve in cut surface. 



c nonsep \Rightarrow c does not sep. left from right

$\text{weight}(w) < \text{weight}(v) \Rightarrow$ c separates top from bottom.

Can think of c as an arc connecting top two circles. 



Make a graph: vertices: arcs as above
 edges: disjointness.

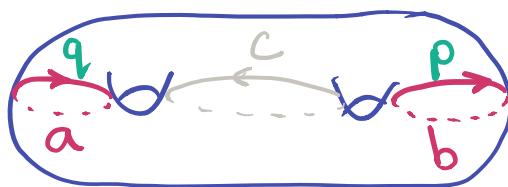
This connected (use usual trick with $P\text{Mod}(S_{0,4})$ action). Adjacent vertices differ by sep twist.

Proof of ② Two kinds of vertices

Connected multicurves: Use Birman exact seq.
as before (no change).

Note: $\pi_1(S_{1,1})$ is free.

Disconnected multicurves: By above argument
can assume (up to
Dehn twists about sep
curves) that a



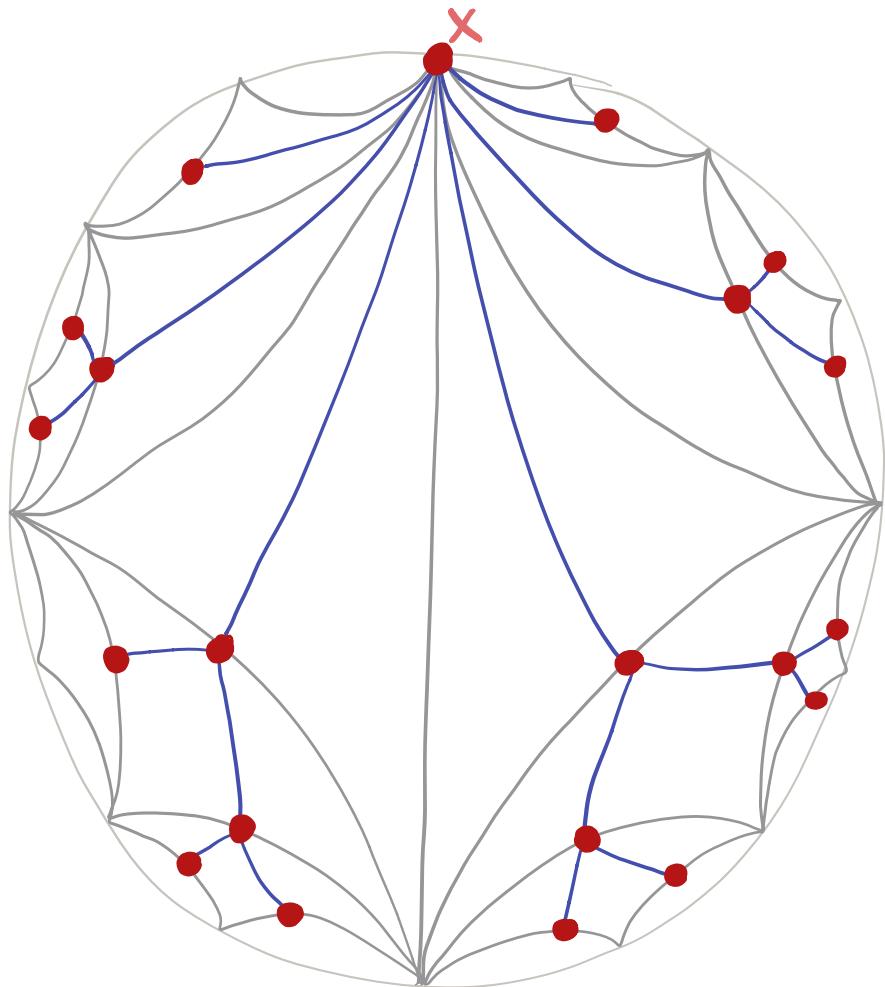
stabilizer of a & b also fixes c.
 \Rightarrow it is trivial.

Note: $PMod(S_0, 4)$ free.

We have shown $I(S_2) = \langle T_c \mid c \text{ sep} \rangle$

With some more book keeping, we show it is
freely gen. by Dehn twists, one for each
symplectic splitting. □

THE FAREY GRAPH AND $B_x(S_2)/I(S_2)$.



one of these
for each
Lagrangian
subspace
containing x .
All glued at x .

Vertices of $B_x(S_2)/I(S_2)$ are minimal bases
for Lagrangian subspaces of $H_i(S_2; \mathbb{Z})$
containing x .

(Minimal means that if the basis contains
two elts, neither is x .)

Edges are for $\{a,b\} \rightarrow \{a, a+b\}$
(If one of these is x , just drop it.)

JOHNSON I

Thm $I(S_g)$ is fin. gen. by Dehn twists for $g \geq 3$.

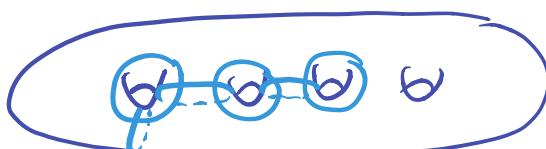
Basic Strategy

1. List prospective generators $\{g_i\}$.
s.t. g_i is a BP map of genus 1.
2. Show $\langle g_i \rangle \trianglelefteq \text{Mod}(S_g)$.

This suffices since $\langle\langle g_i \rangle\rangle_{\text{Mod}(S_g)} = I(S_g)$.

Chains and BP maps.

A chain:



→ BP map.

Given a chain, can resolve intersections to get another chain. Can also take subchains.

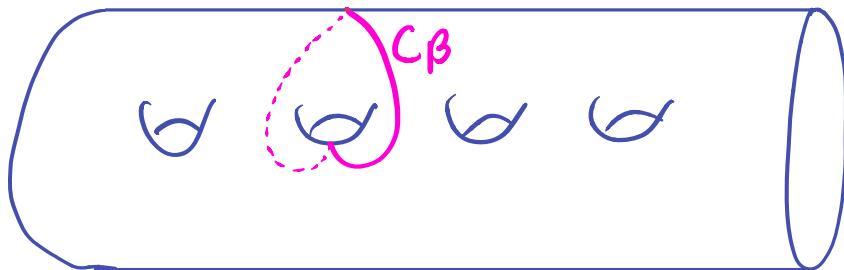
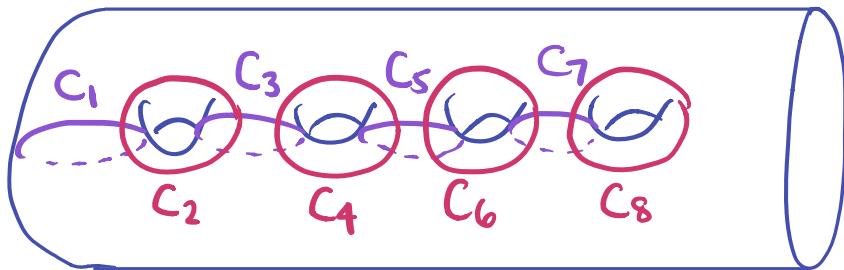
Given a chain $ch(c_1, \dots, c_n)$

$ch(i_1 i_2 \dots i_{k+1})$

denotes the chain you get by combining c_1, \dots, c_{i_2-1}
 $c_{i_2}, \dots, c_{i_3-1}$ etc. dropping c_{k+1}, \dots, c_n . "subchain"

Denote the BP-map $[i_1 i_2 \dots i_{k+1}]$

LISTING THE GENERATORS



Consider the chains:

(c_1, \dots, c_{2g}) straight chain

$(c_\beta, c_5, \dots, c_{2g})$ β -chain

Use same notation for subchains of β -chain:

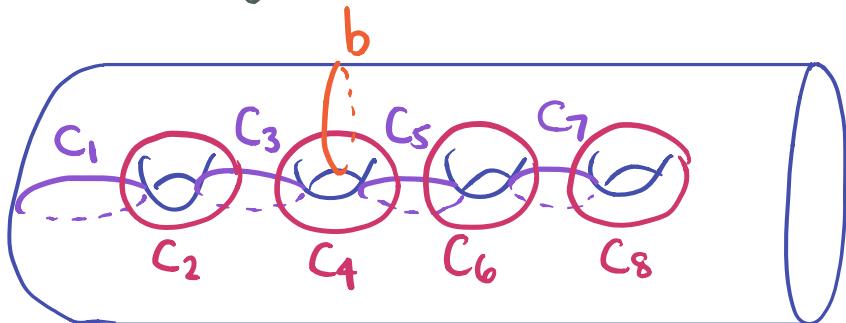
$(\beta_{i,1}) =$ surger $c_\beta, c_5, \dots, c_{i,1-1}$

Theorem. For $g \geq 3$ the odd subchain maps of straight chain & β -chain generate $I(S'_g)$

Since $I(S'_g) \rightarrow I(S_g)$ this gives closed case as well.

SETUP.

Humphries generators :



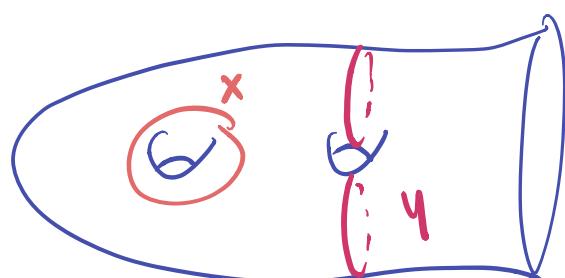
Let $J(S_g')$ & $J(S_g)$ denote groups gen by Johnson's generators.

As above, need to show

$$T_x * y = T_x y \overline{T_x}^{-1} \in J(S_g)$$

$\forall x \in$ Humphries set
 $y \in$ Johnson set

In many cases $T_x * y$ equals y or is another Johnson gen:



CONJUGATING BY A POSITIVE TWIST IN THE CHAIN

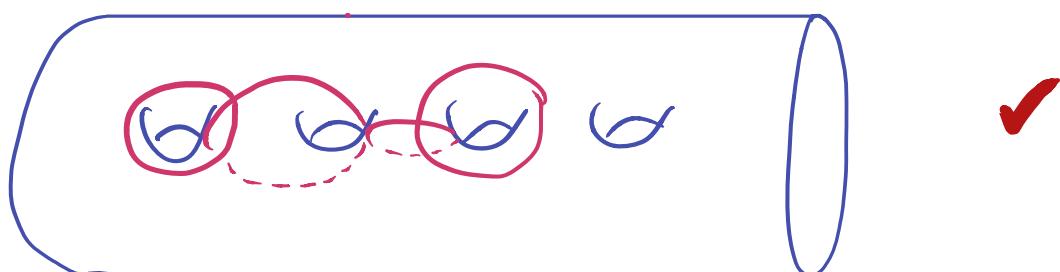
Prop.

$j \text{ in } \{i_1, \dots\}$	$j+1 \text{ in } \{i_1, \dots\}$	$T_{Cj} * [i_1 i_2 \dots]$
✓	✓	$[i_1 i_2 \dots]$
✗	✗	$[i_1 i_2 \dots]$
i_m	✗	$[i_1 \dots i_{m-1} i_{m+1} \dots]$
✗	i_m	$[i_1 \dots i_{m-1} i_m \dots]$

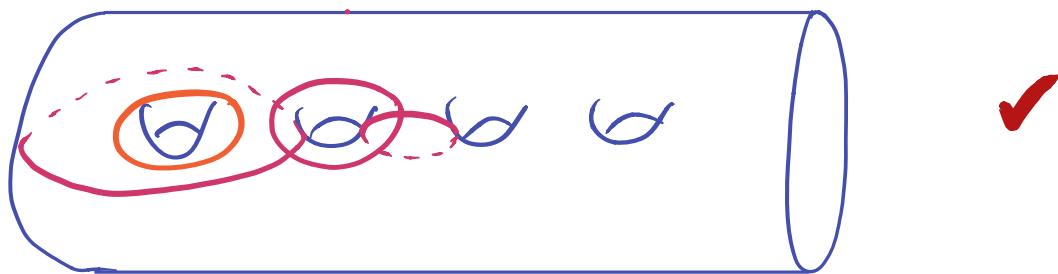
The lemma completely characterizes commuting among straight Johnson & Humphries gens.

PF (by examples)

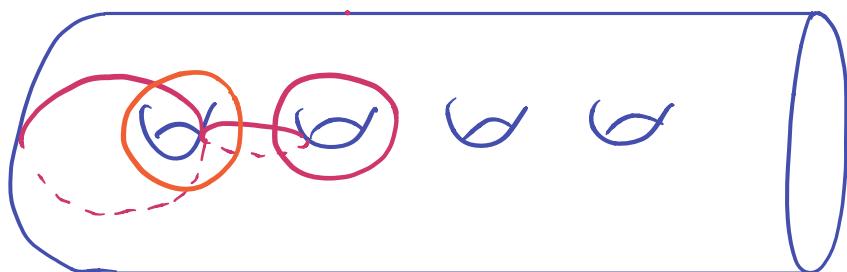
Example $j=2$ $[2 3 5 6]$



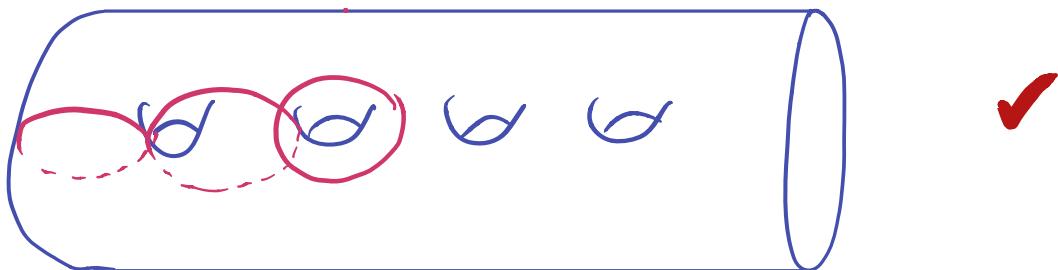
Example $j=2$ $[1 \ 4 \ 5 \ 6]$



Example $j=2$ $[1 \ 3 \ 4 \ 5]$



$[1 \ 2 \ 4 \ 5]$



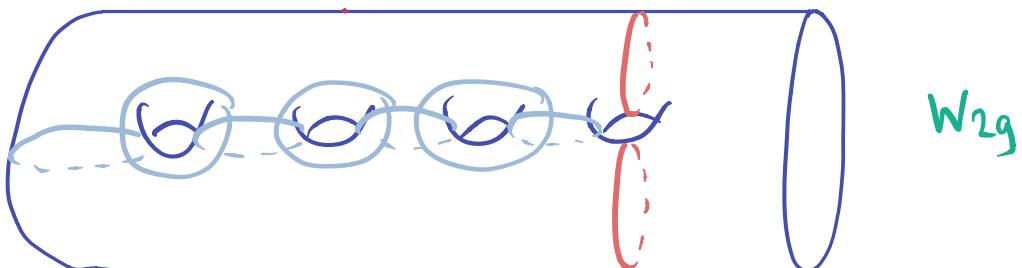
Third case similar.

Upshot of Lemma: If we conj a chain map by a positive twist about a curve in the chain we get a subchain map. What about negative twists?

GENERATING THE KERNEL OF $I(S'_g) \rightarrow I(S_g)$

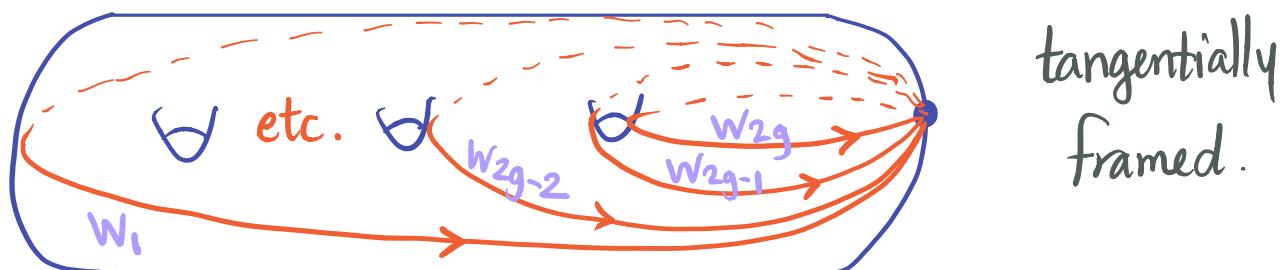
Define $W_i = [1 \ 2 \ 3 \ \dots \ \hat{i} \ \dots \ 2g+1]$
 for $i \in \{1, \dots, 2g+1\}$. "maximal odd
 subchain maps"

Each lies in $\pi_1 \text{UT}(S_g)$



Lemma. $\pi_1 \text{UT}(S_g)$ is gen by $W_1, \dots, W_{2g}, T_b * W_1$

Pf. The corresponding push maps are



These clearly generate $\tilde{\pi}_1$. Need to get
 the fiber of $\tilde{\pi}_1 \text{UT} \rightarrow \tilde{\pi}_1$.

Claim. $T_b * W_1 = W_4 W_3^{-1} W_2 T_b$ □

Cor. $\pi_1 \text{UT}(S'_g) \leq \mathcal{I}(S'_g)$

Pf. Use lantern to get $T_b * W_1$ (Cor 1 in paper).

CONJUGATING BY A NEGATIVE TWIST IN THE CHAIN

Prop. Fix a chain (c_i) . The subgroup of $I(S_g')$
 $\langle \text{odd subchain maps of length } 2k-1 \rangle$
is normalized by $\langle T_{c_i} \rangle$.

Lemma. $\langle w_1, \dots, w_{2g+1} \rangle$ is normalized by
 $\langle T_{c_1}, \dots, T_{c_{2g}} \rangle$

Pf. Need to check $T_{c_i}^{\pm 1}(w_j) \in \langle w_1, \dots, w_{2g+1} \rangle$
in $\Pi_1 \cup T(S_g')$.

Pf of Prop. Let $f = [i_1 \ i_2 \ \dots \ i_{2k}]$
Fix a c_j in original chain.
As above $T_{c_j} * f = f$ unless
exactly one of $j, j+1$ is an i_\square .
Enlarge $(i_1, i_2, \dots, i_{2k})$ to include both j & $j+1$.
 \rightsquigarrow subchain of length $2k$ (c'_i) w/ c_j basic

Lemma $\Rightarrow T_{c_j}^{\pm 1} * f$ is a product of maximal
subchain maps of (c'_i) . But these
are all subchains of original chain \square

Two More Tools.

① INDUCTION

If our Johnson & Humphries gens both lie in S_{g-1}' , can apply induction. Works because both sets of gens agree with $S_{g-1}' \hookrightarrow S_g'$ (this is one specific inclusion).

N.B. Base case is subgp of $I(S_2')$ gen.
by BP maps i.e. $\pi_1, UT(S_2)$
i.e. $\langle w_1, \dots, w_5 \rangle$.

② CONTROLLED CHANGE OF COORDS

Suppose $T_{ci} \in$ Humphries

$f \in \text{Mod}(S_g')$ already known to
normalize $J(S_g')$.

$h \in$ Johnson

Then checking $T_{ci} * h \in J(S_g')$ is equiv. to
checking $f * (T_{ci} * h)$
 $= T_{ci} * (f * h) \in J(S_g')$

Proof of THEOREM

Need to conjugate all Johnson gens by
 $T_{c_i}^{\pm 1}, T_b^{\pm 1} \in$ Humphries.

Step 1. $T_{c_1}, T_{c_2}, T_{c_5}, \dots, T_{c_{2g}}$ normalize $J(S_g')$.

T_{c_1}, T_{c_2} in the straight chain, disjoint from β -chain.

$T_{c_5}, \dots, T_{c_{2g}}$ in both chains.

Apply Prop.

Step 2. T_{c_3} normalizes $J(S_g')$.

T_{c_3} normalizes straight chains by Prop.

By controlled change of coords, can restrict to consecutive β -chain maps.

$[\beta \ 5 \ 6 \ \dots]$.

If chain not maximal, use induction.

If it is, need a relation (Cor 2 in paper).

But this is one check.

Step 3. T_{c_4} normalizes $J(S_g')$ similar to T_{c_3}

Step 4. T_b normalizes $S(S_g')$.

β -chains: controlled change of coords
reduces consecutive β -chains &
induction reduces to

$$T_b^{\pm 1} * [\beta \ 5 \ \dots \ 2g+1] \quad \text{single check}$$

Straight chains $[i_1 \ \dots \ i_{2k}]$

Can assume $i_1 \leq 4$ $i_{2k} \geq 5$
(otherwise T_b commutes)

Change of coords reduces to: consecutive
straight chain starting with 1, 2, 3, or 4.
and going up to $2g$ or $2g+1$ (induction).

If it starts with 1 or 2 it is maximal,
i.e. in $\Pi_1 \cup \Pi_2$.

Only two remaining cases:

$$[4 \ 5 \ \dots \ 2g+1] \ \& \ [3 \ 4 \ \dots \ 2g].$$



THE JOHNSON HOMOMORPHISM.

Birman's question: Is $[I(S_g) : K(S_g)] < \infty$?

Will now define

$$\tau: I(S_g') \rightarrow \Lambda^3 H$$

where $H = H_1(S_g'; \mathbb{Z})$.

- $\Lambda^3 H \cong \mathbb{Z}^{2g \choose 3}$ = free abelian group.
- $K(S_g') \leq \text{Ker } \tau$

This answers Birman's question.

- Later:
- τ captures all of $H^1(I(S_g); \mathbb{Z})$.
 - $K(S_g) = \text{Ker } \tau$
 - answer negatively a question of Chillingworth: is $K(S_g)$ the subgroup of $I(S_g)$ preserving all winding numbers of curves.
 - All LHS³ come from $K(S_g)$
 - $I(S_g)$ exp. distorted in $\text{Mod}(S_g)$
 - and much more...

TENSOR & WEDGE PRODUCTS

$V \otimes W = \langle v \otimes w : v \in V, w \in W \rangle / \text{bilinearity}$
 basis: $e_i \otimes f_j \quad V = \langle e_i \rangle, W = \langle f_j \rangle$
 $\leadsto (\dim V \cdot \dim W) - \text{dimensional.}$

$$\begin{aligned} V \wedge V &= (V \otimes V) / (v \otimes w = -w \otimes v) \\ &= (V \otimes V) / (v \otimes v). \\ &= \text{image of } V \otimes V \longrightarrow V \otimes V \\ &\qquad v \otimes w \longmapsto v \otimes w - w \otimes v \end{aligned}$$

basis: $e_i \wedge e_j \quad i \neq j \quad \leadsto \binom{n}{2} - \text{dimensional.}$

So $\Lambda^k V = \langle v_1 \wedge \dots \wedge v_k \rangle / \text{bilinearity}$
 and ...

$$v_1 \wedge \dots \wedge v_k = \text{sgn}(\tau) v_{\tau(1)} \wedge \dots \wedge v_{\tau(k)}. \\ \leadsto \binom{n}{k} \text{-dimensional.}$$

$$\begin{aligned} \Lambda^k V &= \text{image of } \otimes^k V \rightarrow \otimes^k V \\ v_1 \otimes \dots \otimes v_k &\longmapsto \sum_{\tau \in \Sigma_k} \text{sgn}(\tau) v_{\tau(1)} \otimes \dots \otimes v_{\tau(k)} \end{aligned}$$

DEFINITION

Let $\Gamma = \pi_1(S_g) \cong F_{2g}$
 $\Gamma' = [\Gamma, \Gamma]$

Consider:

$$1 \rightarrow \frac{\Gamma'}{[\Gamma, \Gamma']} \rightarrow \frac{\Gamma}{[\Gamma, \Gamma']} \rightarrow \frac{\Gamma}{\Gamma'} \rightarrow 1$$

or: $1 \rightarrow N \rightarrow E \rightarrow H \rightarrow 1$

The Johnson homomorphism is

$$\tau: I(S_g) \rightarrow \text{Hom}(H, N)$$

given by $\tau(f)(x) = f(e)e^{-1}$

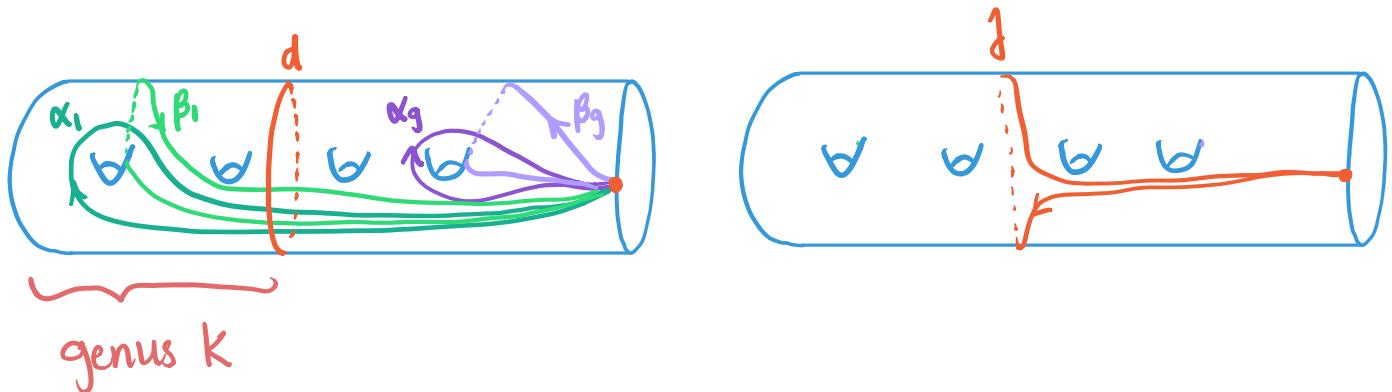
where e is any lift of x to E .

What about $\Lambda^3 H$?

- $\Lambda^2 H \cong N$ via $a \wedge b \mapsto [\tilde{a}, \tilde{b}]$
 where \tilde{a}, \tilde{b} are lifts to E see Putman's lecture notes
- $\text{Hom}(H, \Lambda^2 H) \cong H^* \otimes \Lambda^2 H \cong H \otimes \Lambda^2 H$
- Will show $\text{im } \tau$ is $\text{im } \Lambda^3 H \rightarrow H \otimes \Lambda^2 H$
 $a \wedge b \wedge c \mapsto a \otimes (b \wedge c) + b \otimes (c \wedge a) + c \otimes (a \wedge b)$

THE IMAGE OF A DEHN TWIST

Consider T_d



$$\text{Action on } \Gamma : \quad T_d(x) = x \quad x \in \{\alpha_{k+1}, \beta_{k+1}, \dots, \alpha_g, \beta_g\}$$

$$T_d(f) = f \times f^{-1} \quad x \in \{\alpha_1, \beta_1, \dots, \alpha_k, \beta_k\}.$$

$$\begin{aligned} \text{So: } \mathcal{T}(T_d)([x]) &= 1 \text{ or } [x, f] \text{ in } N \\ &\text{but both equal 1 in } N. \\ \Rightarrow \mathcal{T}(T_d) &= 0. \end{aligned}$$

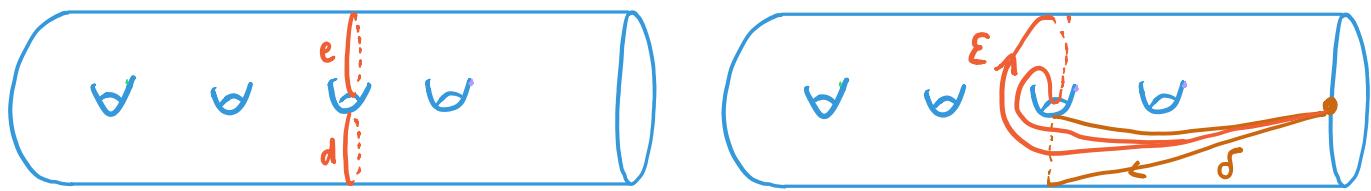
This is just one Dehn twist, but:

Naturality: If $h \in \text{Mod}(S_g')$, $f \in \mathcal{I}(S_g')$
then $\mathcal{T}(hfh^{-1}) = h\mathcal{T}(f)$.

(straightforward)

Thus $K(S_g') \leq \text{Ker } \mathcal{T}$.

THE IMAGE OF A BOUNDING PAIR MAP



$$\text{Let } f = T_d T_e^{-1}$$

$$\begin{aligned} \text{Compute: } f(\alpha_i) &= \delta \alpha_i \delta^{-1} \quad i \leq k \quad f(\alpha_i) = \alpha_i \quad i \geq k+1 \\ f(\beta_i) &= \delta \beta_i \delta^{-1} \quad i \leq k \quad f(\beta_i) = \beta_i \quad i \geq k+1 \\ f(\alpha_{k+1}) &= \delta \varepsilon^{-1} \alpha_{k+1} \end{aligned}$$

Now write down all $f(x)x^{-1} \in N \cong \Lambda^2 H \quad x \in \{\alpha_i, \beta_i\}$

$$f(\alpha_i)\alpha_i^{-1} = [\delta, \alpha_i] \longleftrightarrow [\beta_{k+1}] \wedge [\alpha_i]$$

$$f(\beta_i)\beta_i^{-1} = [\delta, \beta_i] \longleftrightarrow [\beta_{k+1}] \wedge [\beta_i]$$

$$f(\alpha_{k+1})\alpha_{k+1}^{-1} = \delta \varepsilon^{-1} \longleftrightarrow \sum [\alpha_i] \wedge [\beta_i]$$

$$f(x)x^{-1} = \text{id} \quad \longleftrightarrow \quad 0 \text{ otherwise.}$$

$$\begin{aligned} \Rightarrow \mathcal{I}(f) &= \sum_{i=1}^k \left([\beta_i] \otimes ([\beta_{k+1}] \wedge [\alpha_i]) - [\alpha_i] \otimes ([\beta_{k+1}] \wedge [\beta_i]) \right) \\ &\quad + [\beta_{k+1}] \otimes \left(\sum_{i=1}^k [\alpha_i] \wedge [\beta_i] \right) \\ &= \sum_{i=1}^k ([\alpha_i] \wedge [\beta_i]) \wedge [\beta_{k+1}] \end{aligned}$$

$$\Rightarrow |\lim \mathcal{I}| = \infty \Rightarrow \text{Birman question.}$$

THE IMAGE OF TAU

Recall $\Lambda^3 H \hookrightarrow H \otimes \Lambda^2 H$

via $a \wedge b \wedge c \mapsto a \otimes (b \wedge c) + b \otimes (c \wedge a) + c \otimes (a \wedge b)$

Prop. $\text{im } \tau = \Lambda^3 H$.

By the naturality, $\text{Im } \tau$ is an S_p -rep. And $\Lambda^3 H$ is one of two GL-irreps in $\Lambda^2 H \otimes H$.

Pf. for $g=2$ Already have: $x_1 \wedge y_1 \wedge y_2 \in \text{Im } \tau$
(consider the "first" bounding pair).
Now use S_p to move this around.

Apply S_p -map $x_2 \rightarrow y_2 \rightarrow -x_2$
(other basis elements fixed).

to $x_1 \wedge y_1 \wedge y_2$ to get $-x_1 \wedge y_1 \wedge x_2$

Apply $x_1 \leftrightarrow x_2, y_1 \leftrightarrow y_2$ to get

$x_2 \wedge y_2 \wedge y_1$

$-x_2 \wedge y_2 \wedge x_1$

□

Higher genus similar.

WHY WOULD JOHNSON THINK OF THIS?

$I(S_g^1)$ is by definition the kernel of
 $\text{Mod}(S_g^1) \rightarrow \text{Aut}(\Gamma/\gamma')$.

Then you notice that twists about sep curves conjugate by commutators and BP maps conjugate by non-commutators.

In other words the former acts trivially on $\Gamma/[\Gamma, \Gamma']$ and the latter does not.

The map T measures this action.

THE JOHNSON HOMOMORPHISM VIA MAPPING TORI

$f \in \text{Mod}(S_g')$ \rightsquigarrow mapping torus M_f .

$$f \in I(S_g') \Rightarrow H^*(M_f) \cong H^*(S_g' \times S^1).$$

but ring structure (or, intersection theory)
can be different.

Given f , want $\tau(f) \in \Lambda^3 H$.

By taking duals, this is the same as an alt. linear
function that takes as input 3 elts of H
and outputs a number

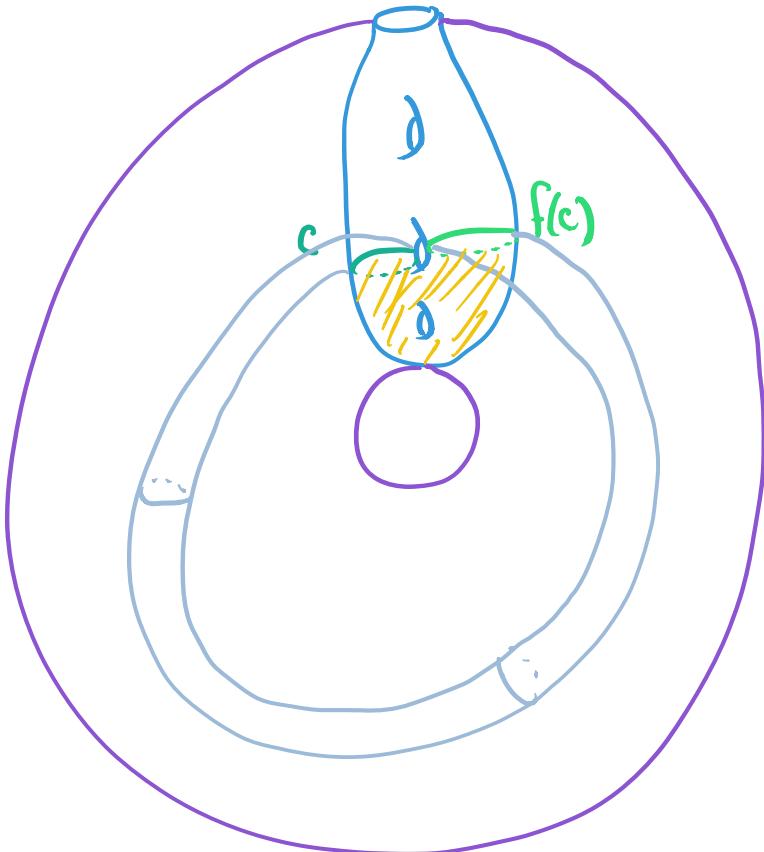
As above, there is an inclusion

$$H^* \cong H^*(S_g') \xrightarrow{i} H^*(M_f) \cong H_2(M_f, \partial)$$

The desired function is triple cup product
or, dually, triple intersection.

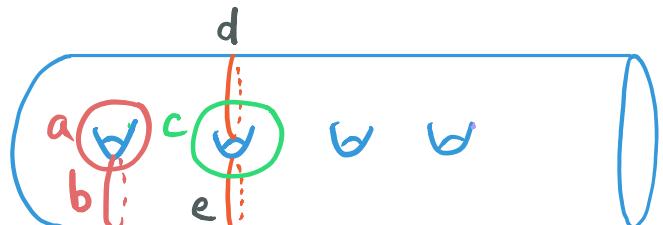
Let's check this does the right thing on BP
maps.

First, let's give an explicit description of i :



If we "flow" c we get a tube in M_f from c to $f(c)$ in one fiber. But c & $f(c)$ are homologous so we can fill in the homology to get a closed surface, i.e. elt. of $H_2(M_f)$.

Now fix a BP map $T_d T_e^{-1}$



Only one curve from Std geom. symplectic basis gets moved, namely c . The curves a & b give tori in M_f , intersecting at $(a \cap b) \times S^1$. The curve c gives a surface of genus 2 as in above picture, intersecting $(a \cap b) \times S^1$ in one point.

This gives the term $[a] \wedge [b] \wedge [c]$, as desired.
All other terms are zero.

THE JOHNSON HOMOMORPHISM VIA THE JACOBIAN

Starting point: $\Lambda^3 H \cong H_3(T^{2g}; \mathbb{Z})$.

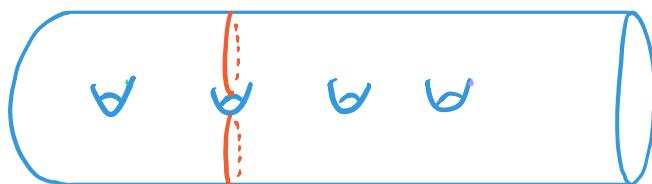
and we have a map $j: S_g' \rightarrow T^{2g}$
corresponding to $\pi_1(S_g') \rightarrow H_1(S_g')$.

By $K(G,1)$ theory, the map is unique up to homotopy.

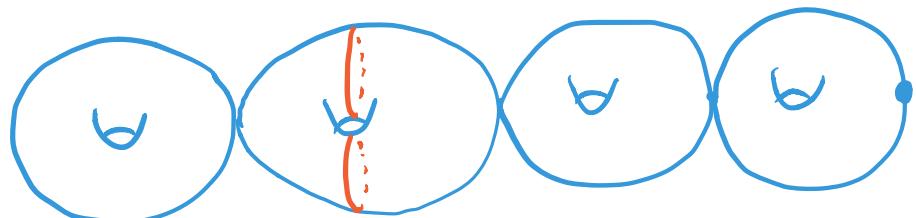
Let $f \in I(S_g')$. Then $j \circ f$ is homotopic to j
and $\text{im } j \circ f = \text{im } j$.

The homotopy gives a mapping torus in T^{2g} ,
which represents an elt of $H^3(T^{2g})$!

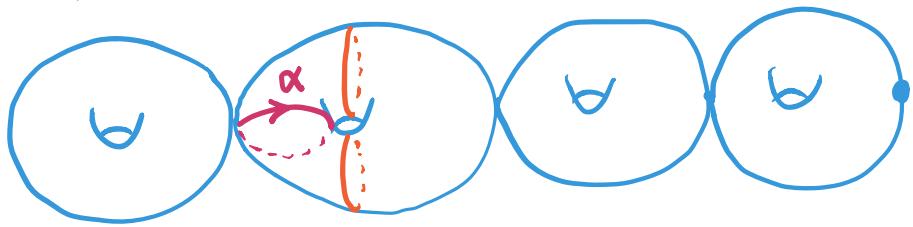
Again, let's check this does the right thing
on BP maps. Consider:



Observe that j factors through:



Each torus maps to a coordinate 2-torus $x_i \wedge y_i$ in T^{2g} .



The BP map is obtained by pushing the leftmost torus along α .

What is happening in T^{2g} ? The $x_i \wedge y_i$ torus is moving in the y_2 -direction, tracing out the 3-torus $x_i \wedge y_i \wedge y_2$.

But this is exactly the image of the BP map under T !

Another definition: Moriyama (J. London Math Soc.) shows that $K(S_g')$ is the kernel of the action of $\text{Mod}(S_g')$ on the compactly supp. cohomology group of the config. space of two points in S_g' .

Can you see directly that this action is T ?

WHAT ABOUT THE CLOSED CASE?

Since $I(S_g) \cong I(S_g^1)/\pi_1 UT(S_g)$

we have a map

$$\tau: I(S_g) \longrightarrow \Lambda^3 H / \tau(\pi_1 UT(S_g))$$

Claim: $\tau(\pi_1 UT(S_g)) = \text{image of } H \rightarrow \Lambda^3 H$
 given by $x \longmapsto \omega \wedge x$
 where $\omega = x_1 \wedge y_1 + \dots + x_g \wedge y_g$

Now, $\pi_1 UT(S_g)$ is gen. by BP maps of genus $g-1$:



$$\mapsto (x_1 \wedge y_1 + \dots + x_{g-1} \wedge y_{g-1}) \wedge y_g = \omega \wedge y_g$$

Now apply Sp -action. Note Sp fixes ω , and acts transitively on basis elements of H . That does it!

Put another way, the ambiguity is because a BP bounds two 2-chains in S_g , the difference being S_g .

THE CHILLINGWORTH CLASS

X = nonsingular vector field on S^1 .

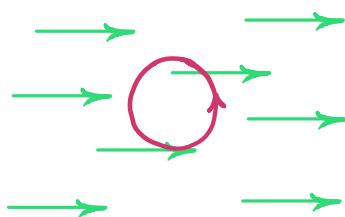
$\omega_X(\gamma) = \#$ times X rotates along γ .
well def on homotopy classes

X_1, X_2 two nonsingular vector fields

$\rightsquigarrow \omega_{X_2}(\gamma) - \omega_{X_1}(\gamma)$ is a cohomology class, denoted $d(X_1, X_2)$.

A cohomology class is a function on cycles that is zero on boundaries \iff it is zero on small cycles (cocycle condition).

So $\omega_X(\gamma)$ is not a cocycle because it evaluates to ± 1 on small loops, but $d(X_1, X_2)$ is.



Let $f \in I(S_g')$. Consider the function

$$e_{f,x}(\gamma) = \omega_x(f(\gamma)) - \omega_x(\gamma)$$

This is the change of winding number induced by f .

Two facts: ① $e_{f,x} = d(x, f^{-1}(x))$
② $e_{f,x}$ is indep. of x !

Proof of ①: $e_{f,x}(\gamma) = \omega_x(f(\gamma)) - \omega_x(\gamma)$
 $= \omega_{f^{-1}(x)}(\gamma) - \omega_x(\gamma)$
 $= \langle d(f^{-1}(x), x), \gamma \rangle$

Proof of ②: $e_{f,x_2}(\gamma) - e_{f,x_1}(\gamma) = \omega_{x_2}(f(\gamma)) - \omega_{x_2}(\gamma) - \omega_{x_1}(f(\gamma)) + \omega_{x_1}(\gamma)$
 $= \langle d(x_1, x_2), f(\gamma) \rangle - \langle d(x_1, x_2), \gamma \rangle$
 $= \langle d(x_1, x_2), f(\gamma) - \gamma \rangle$. □

So we may write e_f . This is an elt of $H^* \cong H$.

Fact. $e_{fh} = e_f + e_h$ for $f, h \in I(S_g')$.

i.e. $e : I(S_g') \rightarrow H$ is a homomorphism.

Pf.
$$\begin{aligned} e_{fh}(j) &= \omega_x(fh(j)) - \omega_x(j) \\ &= \omega_x(f(h(j))) - \omega_x(h(j)) \\ &\quad + \omega_x(h(j)) - \omega_x(j) \\ &= e_f(h(j)) + e_h(j) \\ &= e_f(j) + e_h(j). \end{aligned}$$
 \square

Let $t(f) = e_f^*$. This is the Chillingworth class.

The contraction $C : \Lambda^3 H \rightarrow H$

$$x_1 y_1 z_1 \mapsto 2 [\langle x, y \rangle z + \langle y, z \rangle x + \langle z, x \rangle y]$$

Theorem. $t(f) = C(I(f))$.

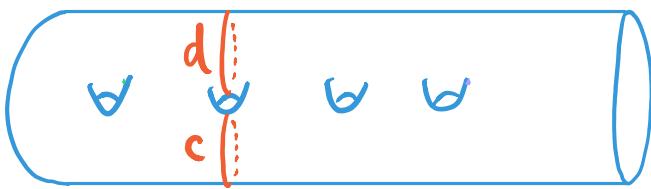
Need to check two things

① Naturality: $t(hfh^{-1}) = h t(f)$.

② t & $C \circ I$ agree on a BP map of genus 1.

IMAGE OF A BP MAP UNDER t .

Consider:



$$C(\tau(T_c T_d^{-1})) = C(x_1 \lambda y_1 \lambda y_2) = 2y_2$$

$T_c T_d^{-1}$ leaves all the a_i, b_i (Std geom. Symp. basis) fixed except a_2 . It and its image a'_2 bound a torus with two holes, call it N .

Fix a nonsingular vector field X on S_g^1 , hence N . Cap N with disks, D & D' , bounded by α_2, α'_2 . Extend $X|_N$ to capped N minus 2 pts.

Fix nonsing. vector fields Y, Y' on D, D' .

$$\text{Have } \omega_Y(a_2) = \omega_{Y'}(a'_2) = +1.$$

and $\omega_Y(a_2) - \omega_X(a_2) = \text{index of singularity}$

$\omega_{Y'}(a'_2) - \omega_X(a'_2) = \text{index of singularity.}$

But $\sum \text{indices} = \chi(T^2) = 0$.

$\Rightarrow \omega_X(a'_2) - \omega_X(a_2) = 2$ if you get the signs right. \square

SIGNED STABLE LENGTHS - IRMER'S THEOREM

We may consider $C_x(S'_g)$ as a directed graph: given two vertices of an edge, one lies to the left of the homology & one to the right.

→ signed distance d_s on vertices.

Signed stable length:

$$\phi_x(f) = \lim_{n \rightarrow \infty} \frac{d_s(v, f^n(v))}{n}$$

for $f \in I(S'_g)$. This is indep. of basept v .

→ $\text{SSL}: I(S'_g) \rightarrow H$.

Theorem. $\text{SSL} = t/2$.

BIRMAN - CRAGGS HOMOMORPHISMS

Will exhibit $\binom{2g}{0} + \binom{2g}{1} + \binom{2g}{2} + \binom{2g}{3}$
 homomorphisms $I(S_g) \rightarrow \mathbb{Z}/2$.
 The last summand $\leftrightarrow I \bmod 2$

Johnson: this is all of $I(S_g)^{ab}$.

Fix $h: S_g \rightarrow S^3$ Heegaard embedding
 Let $f \in \text{Mod}(S_g)$

$M(h, f)$ obtained by regluing by f :
 Say A, B are the handlebodies
 so $\partial A = \partial B = h(S_g)$.

Then $M(h, f) = (A \amalg B) / x \sim hfh^{-1}(x)$

$f \in I(S_g) \Rightarrow M(h, f)$ a homology S^3 .
 \leadsto Rochlin invariant* $\mu(h, f) \in \mathbb{Z}/2$

$\rho_h = \mu(h, \cdot)$ is a homom. $I(S_g) \rightarrow \mathbb{Z}/2$.
 Also: the homom. depends on h , but there
 are only finitely many \leftrightarrow self-linking forms.

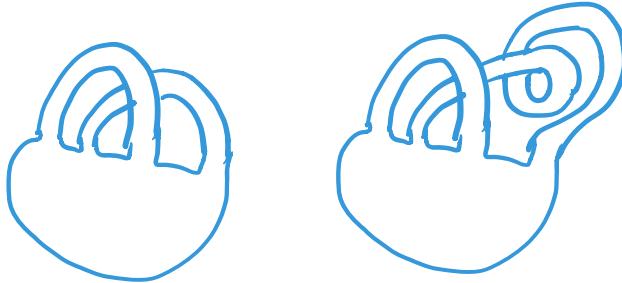
SPIN STRUCTURES.

A spin structure is a continuous choice of frame on the 1-skeleton that extends to the 2-skeleton

For an n -manifold M this gives a function
 $\pi_1 M \rightarrow \pi_1 SO(n) \cong \mathbb{Z}/2$.

Spin structures on surfaces:

$n > 2$.



ROKHLIN INVARIANT μ

$$W = \mathbb{Z}HS^3$$

$= \partial X$ where X = spin (or, parallelizable)

Such X always exists and signature $\tau(X)$ is multiple of 8. Also $\tau(X)/8 \bmod 2$ is indep. of choice of $X \rightsquigarrow \mu$

Note: $\mathbb{Z}HS^3$'s have unique spin structure.

SEIFERT LINKING FORMS

Fix $S_g \subseteq S^3$

↪ bilinear Seifert linking form on $H_1(S_g; \mathbb{Z})$

$$L(x, y) = \text{linking } \#(x, y^+) \quad \text{pushoff}$$

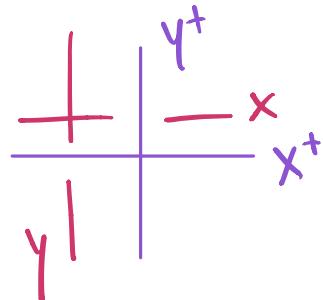
Use $\mathbb{Z}/2$ coeffs and restrict to $y=x$

↪ mod 2 self-linking form

= quadratic form on $H_1(S_g; \mathbb{Z}/2)$:

$$\omega(x+y) = \omega(x) + \omega(y) + \hat{i}(x, y)$$

Can see directly:



Johnson:
Quad. forms
↓
Spin Struct's

each intersection of x & y adds 2 crossings
hence +1 to linking number.

Arf invariant: Fix a symplectic basis $\{x_i, y_i\}$

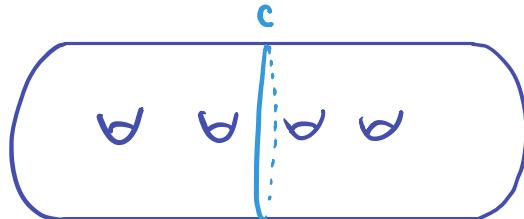
$$\alpha = \sum \omega(x_i) \omega(y_i)$$

For a knot K , the Arf invt is the Arf of the self linking # of any Seifert surface.

COMPUTATIONS

Fix $h: S_g \hookrightarrow S^3$
with Seifert linking form ω

Separating Twists



$M(h, T_c)$ obtained by Dehn surgery along c .

Gordon '75 & Gonzalez-Acuña '70:

$$\mu(M(h, T_c)) = \text{Arf}(c)$$

But $h(S_g) \cong$ Seifert surface

$$\Rightarrow \rho_h(T_c) = \sum_{i=1}^k \omega(x_i) \omega(y_i)$$

BP maps.

Get a surgery description of the desired 4-manifold. End result:

$$\rho_h(T_c T_d^{-1}) = \begin{cases} 0 & \text{if } \omega(c) = 1. \\ \sum_{i=1}^k \omega(x_i) \omega(y_i) & \text{o.w.} \end{cases}$$

UPSHOT: ρ_h only depends on ω ! Call it ρ_ω .

BCJ MAPS ARE DISTINCT

Lemma Fix ω = quad. form on $H \xrightarrow{\text{mod } 2}$

Let $0 \neq a \in H$.

Then $\exists b \in H$ s.t. $\hat{i}(a, b) = 1$ & $\omega(b) = 1$.

Proof. Choose b_0 s.t. $\hat{i}(a, b_0) = 1$.

If $\omega(b_0) = 1$, done. Suppose not.

Extend a, b_0 to symplectic basis a, b_0, c, d .

Either $\omega(c)$, $\omega(d)$, or $\omega(c+d)$ must = 1.

Say $\omega(c) = 1$. Let $b = b_0 + c$. \square

Prop. If $\omega \neq \omega'$ then $f_\omega \neq f_{\omega'}$

Proof. Choose a s.t. $\omega(a) \neq \omega'(a)$.

Choose b as in Lemma.

Realize both by curves that intersect once.

\rightsquigarrow Sep curve C

Note $f_\omega(T_C) \neq f_{\omega'}(T_C)$. \square

ARF ZERO

Prop. For a Heegaard embedding $S_g \subset S^3$
The Seifert linking form ω has $\text{Arf} = 0$.
Conversely, all such ω arise.

Pf. Forward direction. Waldhausen's theorem
says all Heegaard surfaces are standard.
The standard basis $\{x_i, y_i\}$ has
 $\omega(x_i) = \omega(y_i) = 0 \Rightarrow \text{Arf} = 0$.

Reverse direction. Arf showed that all
quadratic forms with same Arf invert
differ by S_p . □

COUNTING BCJ MAPS

So we now want to count quadratic forms with $\text{Arf} = 0$. Counting all quadratic forms is easy:

Fix a basis e_1, \dots, e_{2g} .

$$\omega\left(\sum \alpha_i e_i\right) = \sum \alpha_i w(e_i) + \sum_{i < j} \alpha_i \alpha_j \hat{i}(e_i, e_j)$$

So...

A. 2^{2g} quad forms, determined by values on $\{e_i\}$.

Prop. There are $2^{g-1}(2^g + 1)$ BCJ maps.

Proof. Induct on g . □

These are not linearly indep!

Will show they form a $\binom{2g}{3} + \binom{2g}{2}$ dim space.

For open surfaces: $\binom{2g}{3} + \binom{2g}{2} + \underbrace{\binom{2g}{1} + \binom{2g}{0}}_{\text{disk pushing}}$.

THE SPACE OF BCJ MAPS

Let $H = H_1(S_g; \mathbb{Z}/2)$

Will define a $\mathbb{Z}/2$ -algebra B based on H .

B is commutative w/ unit 1

has a generator \bar{x} for each $x \in H$,
and relations:

$$\textcircled{1} \quad \bar{x}^2 = x \quad \forall x \in H$$

$$\textcircled{2} \quad \overline{x+y} = \bar{x} + \bar{y} + \hat{i}(x, y) \quad \forall x, y \in H$$

An element of B is a polynomial in the gens

→ degree

$$B_k = \{ b \in B \mid \deg b \leq k \} \quad \text{vector space.}$$

Goal: BCJ maps can be assembled to a
surjective map $\sigma: I(S_g^1) \rightarrow B_3$
with naturality.

For $I(S_g)$ we get a subspace of B_3 ,
as some elts are 0
→ subspace of $\dim \binom{2g}{3} + \binom{2g}{2}$

THE AFFINE SPACE OF QUADRATIC FORMS

$\Omega = \{\text{quad. forms on } H\}$

not a vector space.

Still want to define linear functions on it.

For an abelian gp / vector space L ,
a torsor / affine space over L
is a transitive free L -space, that is,
a set K with an action

$$+ : L \times K \rightarrow K$$

$$\text{with: } l_1 + (l_2 + k) = (l_1 + l_2) + k \\ \forall k_1, k_2 \exists! l \text{ s.t. } l + k_1 = k_2$$

So K is like L , but with no base pt.

e.g. $L = \mathbb{Z}/n$, K = vertices of n -gon.

Fact. Ω is an affine space over H^1

In other words:

$$\omega_1, \omega_2 \in \Omega \Rightarrow \omega_1 - \omega_2 \in H^1 \\ \omega \in \Omega, \theta \in H^1 \Rightarrow \omega + \theta \in \Omega \\ (\text{exercise}).$$

POLYNOMIAL FUNCTIONS ON AFFINE SPACES

$V = \text{vect. sp. over } F$

$U = \text{affine sp. over } V$

$f: U \rightarrow F$ is linear if \exists linear $g: V \rightarrow F$

$$\text{s.t. } f(v+u) = g(v) + f(u)$$

Facts • f linear, c const $\Rightarrow f+c$ linear

• The linear fns on U form a vect sp.
 $L(U)$ over F .

Fact. $f \in L(U)$ determined by $g \in V^*$
& $f(u_0)$ some fixed $u_0 \in U$.
 $\Rightarrow \dim L(U) = \dim V + 1$.

A polynomial fn on U is a sum of products of linear ones.

POLYNOMIAL FUNCTIONS ON Ω

Consider $U = \Omega, V \in H'$

$$x \in H \rightsquigarrow \bar{x} : \Omega \rightarrow \mathbb{Z}/2$$
$$\bar{x}(\omega) = \omega(x)$$

Fact. \bar{x} is linear.

$\{e_i\}$ = basis for H

$\Rightarrow \{\bar{e}_i\} \cup \{1\}$ = basis for $L(\Omega)$

Fact. $\overline{x+y} = \bar{x} + \bar{y} + \hat{i}(x,y)$

In $\mathbb{Z}/2$, $a^2 = a \rightsquigarrow$ above relation in B .

A Boolean polynomial is one made of square free monomials

The Arf invt is a quadratic Boolean poly:

$$\alpha = \sum_{i=1}^g \bar{x}_i \bar{y}_i$$

BCJ MAPS AS BOOLEAN POLYS

Recall $\rho_w : I(S_g) \rightarrow \mathbb{Z}/2$

Dualize as $\tau_f : \Omega \rightarrow \mathbb{Z}/2$

$$\tau_f(\omega) = \rho_w(f).$$

$$\text{Let } \Psi = \{\omega \in \Omega : \alpha(\omega) = 0\}$$

Fact. τ is a homom. from $I(S_g)$

to vect. space of fns $\Psi \rightarrow \mathbb{Z}/2$

We can rephrase our calculations:

$$\rho_w(T_c) = \sum w(x_i)w(y_i) \rightsquigarrow \tau_{T_c}(\omega) = \sum \bar{x}_i(\omega)\bar{y}_i(\omega)$$

$$\rho_w(T_a T_b^{-1}) = \begin{cases} 0 & w(a) = 1 \\ \sum w(x_i)w(y_i) & \end{cases} = \left(\sum w(x_i)w(y_i) \right)(w(a) + 1)$$

$$\rightsquigarrow \tau_{T_a T_b^{-1}}(\omega) = \left(\sum \bar{x}_i(\omega)\bar{y}_i(\omega) \right)(\bar{a} + 1) \quad \text{cubic!}$$

Use naturality to get all of B_3 .

Remains to determine which elts are 0 on Ψ .

Answer: (linear functions) $\cdot \alpha$ ↪ Arf

BCJ FOR OPEN SURFACES

We can include S_g^1 into S_{g+1} and play the same game.

Everything works the same except now there are no cubic polys that are 0 on all of Ψ .

→ image of BCJ is $\sum_{i=0}^3 \binom{2g}{i}$ dim.

Johnson all gives a complete description of the relations among the fw.

HOMOLOGY 3-SPHERES AND TORELLI

Fix $S_g \subset S^3$ standard \leadsto Heegaard splitting.
 $f \in \text{Mod}(S_g)$ \leadsto new manifold $M(f)$: change
the gluing of the two handlebodies by f .

Fact. $M(f)$ is a homology $S^3 \iff f \in I(S_g)$.

Since every closed, orientable 3-manifold
is an $M(f)$ for some f (in some $\text{Mod}(S_g)$),
all homology 3-spheres arise this way.

Let $K(S_g) = \ker \tau$

Thm (Morita). Every homology 3-sphere is $M(f)$
for some f in some $K(S_g)$.

In particular, since Dehn twists correspond to
Dehn surgeries and $K(S_g)$ is gen. by Dehn
twists (later in the course), the following graph
is connected: vertices - hom. 3-spheres
edges - Dehn surgery on a knot

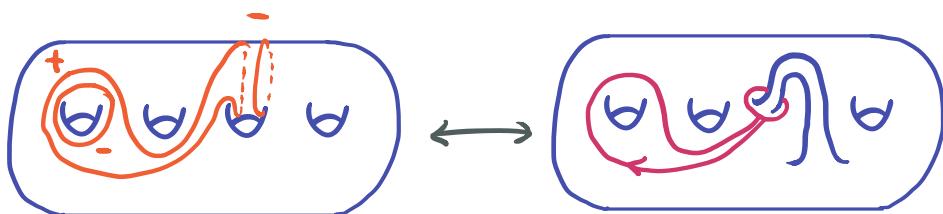
HANDLEBODY Groups

V_g = handlebody, $S_g = \partial V_g$

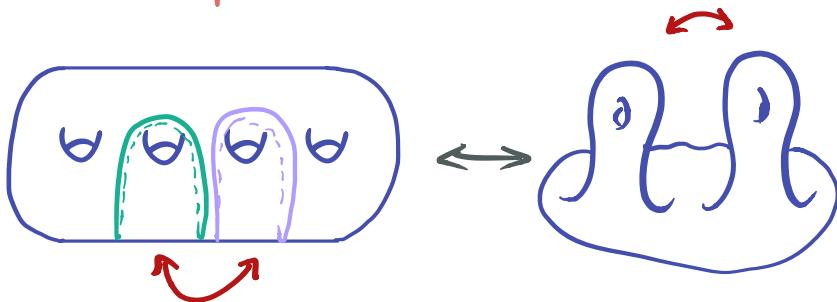
$H(S_g) = \{f \in \text{Mod}(S_g) : f \text{ extends over } V_g\}$
 $\leq \text{Mod}(S_g)$

Fact. If $c \subseteq S_g$ bounds a disk in V_g
then $T_c \in H(S_g)$. (converse also true.)

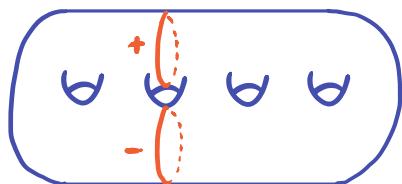
Dragging Feet



Handle Swaps



Bounding Pair Maps



HANDLEBODY GROUPS & HEEGAARD SPLITTINGS

Say $S_g \subseteq S^3$ Heegaard
 $H^+(S_g), H^-(S_g)$ the two handlebody groups.

Fact. $M(f) = M(h) \iff h = k_- f k_+$



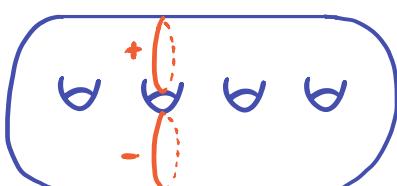
HANDLEBODY TORELLI GROUPS

V_g = handlebody

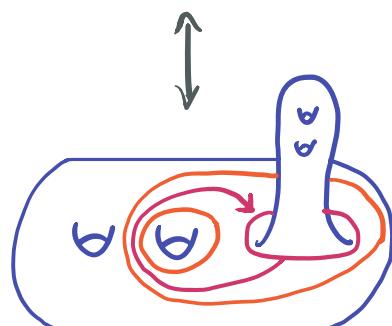
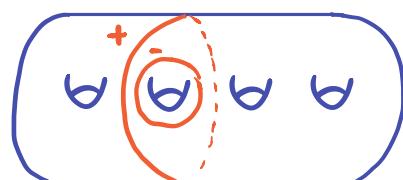
$S_g = \partial V_g$

$HI(S_g) = H(S_g) \cap I(S_g)$

Sample Elements



Clearly in
 $H(S_g)$



HANDLEBODY TORELLI UNDER JOHNSON

Let $W_y \subseteq \Lambda^3 H$ subspace spanned by basis elts with a y .

Prop. $\mathcal{I}(HI(S_g)) = W_y$. (only need \supseteq).

Pf. \subseteq $HI(S_g)$ preserves $\langle\langle \beta_i \rangle\rangle \dots$

\supseteq By naturality & existence of handle swaps in $H(S_g)$, enough to exhibit:

$$x_1 \wedge y_1 \wedge x_2, \quad x_1 \wedge y_1 \wedge y_2, \quad x_1 \wedge x_2 \wedge y_3,$$
$$x_1 \wedge y_2 \wedge y_3, \text{ and } y_1 \wedge y_2 \wedge y_3$$

The first 2 are given by the sample elts of $HI(S_g)$.

The foot drag above acts on H by:

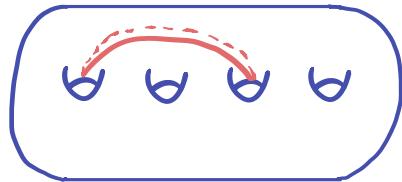
$$y_1 \mapsto y_1 + y_3, \quad x_3 \mapsto x_3 - x_1$$

Apply to the first 2 targets gives next 2.

Finally apply the twist:

The action on H takes

the second target to the sum of the second & fifth.

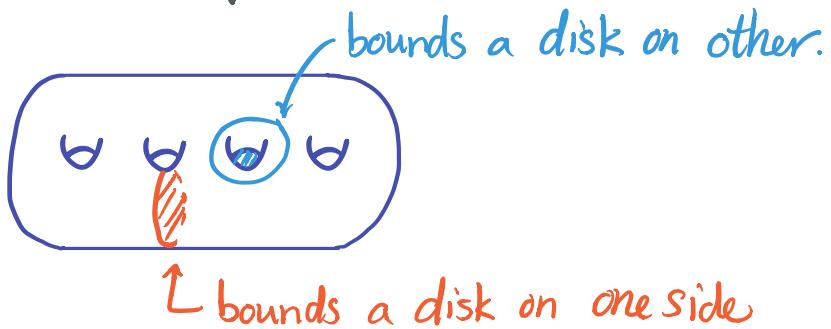


THE PROOF OF MORITA'S THEOREM

$S_g \subseteq S^3$ std / Heegaard.

$$H^+(S_g) = H(S_g)$$

$H^-(S_g) = \iota H^+(S_g) \iota^{-1}$ where ι (action on H) swaps x 's & y 's.



$$\begin{aligned} \text{But then } \mathcal{I}(\langle H^+(S_g), H^-(S_g) \rangle) &= W_x \cup W_y \\ &= \Lambda^3 H. \end{aligned}$$

So can modify a given M/f so that $\mathcal{I}(f') = \emptyset$.

JOHNSON II

will write this for $I(S'_g)$

Let $K_g' = \ker \tau : I_g' \rightarrow \Lambda^3 H$
 $T_g' = \langle T_c : c \text{ sep} \rangle \leq I(S'_g)$

Theorem. $K_g' = \overline{T_g}'$ & $K_g = T_g$

Strategy. We know $T_g' \leq K_g'$.

So τ factors:

$$I_g' \rightarrow I_g'/T_g' \rightarrow \Lambda^3 H \cong I_g' / \ker \tau$$

Want: 2nd map is \cong . $\binom{2g}{3}$

Will show: $I_g' / T_g' \cong \mathbb{Z}^{(2g) \choose 3}$

i.e. it ① has $(2g \choose 3)$ gens & ② is abelian.

Consider the $(2g \choose 3)$ straight chain maps

$$[i \ i+1 \ j \ k]$$

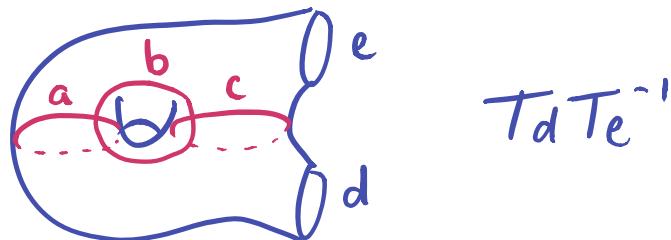
We will first observe that the τ -images span $\Lambda^3 H$, so they at least have a chance of generating I_g' / T_g' .

PURPORTED GENERATORS FOR I_g^1 / T_g^1

Prop. The T -images of $[i \ i+1 \ j \ k]$ span $\Lambda^3 H$.

Note: these are all BP maps of genus 1.

Observation. The T -image of the BP map



is $a \wedge b \wedge c$ (ignoring signs)

Since: $a + c = d$ in $H \rightsquigarrow$

$$a \wedge b \wedge d = a \wedge b \wedge (a + c) = a \wedge b \wedge c$$

By the definition of the chain maps:

$$T([i \ i+1 \ j \ k]) = c_i \wedge (c_{i+1} + \dots + c_{j-1}) \wedge (c_j + \dots + c_{k-1})$$

and these form a basis. Indeed, the $c_i \wedge c_j \wedge c_k$ do.

Here we get $c_i \wedge c_{i+1} \wedge c_{i+2}$ & $c_i \wedge c_{i+1} \wedge (c_{i+2} + c_{i+3})$

$$= c_i \wedge \cancel{c_{i+1} \wedge c_{i+2}} + c_i \wedge c_{i+1} \wedge c_{i+3} \rightsquigarrow c_i \wedge c_{i+1} \wedge c_{i+3}$$

$$\& c_i \wedge (c_{i+1} + c_{i+2}) \wedge c_{i+3} = c_i \wedge \cancel{c_{i+1} \wedge c_{i+3}} + c_i \wedge c_{i+2} \wedge c_{i+3}$$

A BIRMAN EXACT SEQUENCE FOR K_2'

Will use induction on g , with base case $g=2$.

We already know $I_2 = T_2$ and so $K_2 = T_2$.

Next: $I(S_2')$. Recall:

$$1 \rightarrow \pi_1 UT(S_2) \rightarrow I_2' \rightarrow I_2 \rightarrow 1$$

Need to determine $\pi_1 UT(S_2) \cap K_2'$.

First, we have:

$$\begin{array}{ccccccc} 1 & \rightarrow & \langle T_2 \rangle & \rightarrow & \text{Mod}(S_g^n) & \rightarrow & \text{Mod}(S_{g,1}^{n-1}) \rightarrow 1 \\ & & \uparrow & & \uparrow & & \uparrow \\ 1 & \rightarrow & \mathbb{Z} & \rightarrow & \pi_1 UT(S_g^{n-1}) & \rightarrow & \pi_1(S_g^{n-1}) \rightarrow 1 \end{array}$$

We know that the \mathbb{Z} is in K_2' , so we can ignore it and deal with π_1 .

Let $\alpha \in \pi_1(S_2')$.

did this when defining T
for closed S_g .

$$\begin{aligned} T(\text{Push}(\alpha)) &= [\alpha] \wedge \Theta & \Theta &= x_1 \wedge y_1 + \dots + x_g \wedge y_g \\ \Rightarrow \text{Push}(\alpha) \in K_g &\iff [\alpha] = 0 \iff \alpha \in \pi_1' \end{aligned}$$

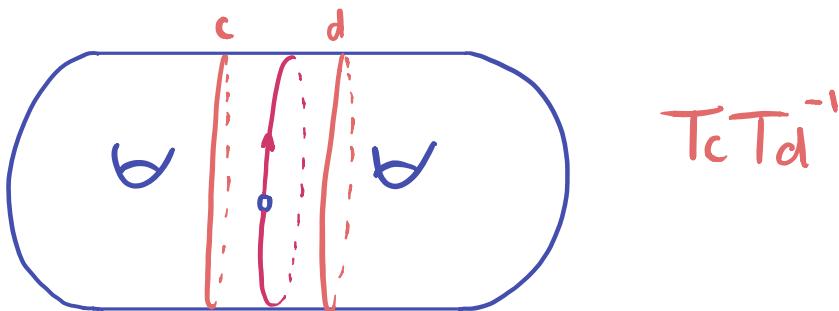
Intuitively: Push $\tilde{\alpha}$ conjugates by α . To be in K_g we must conjugate by a commutator.

GENERATING THE BIRMAN KERNEL FOR K_2'

We just established

$$1 \rightarrow \pi_1 S_2' \rightarrow K_{2,1} \rightarrow K_2 \rightarrow 1.$$

We already saw $\pi_1(S_2)'$ is generated by simple separating loops. Now:



So $\pi_1(S_2)' \subseteq T_{2,1}$. It follows that
 $K_{2,1} \subseteq T_{2,1}$ hence $K_2' \subseteq T_2'$

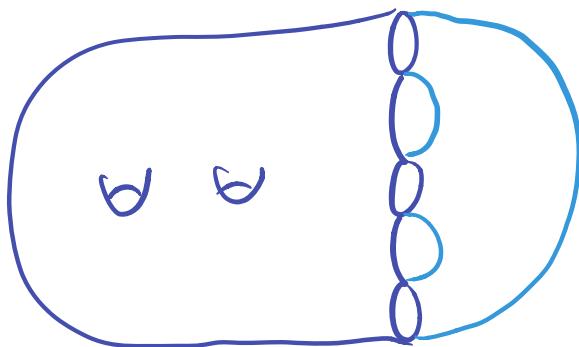
Using the fact that each Dehn twist about a sep. curve in S_2 can be lifted to a Dehn twist about a sep curve in S_2' .

Two BOUNDARY COMPONENTS

In this paper, we define I_g^n as follows.

Embed S_g^n in S_{g+k} s.t. complement is connected.

Then $I_g^n = \{f \in I_{g+k} : \text{supp } f \subseteq S_g^n\}$



This is not the subgroup acting trivially on homology
Eg a Dehn twist about a boundary curve.

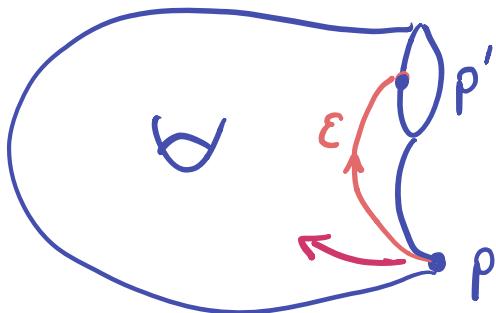
It is the subgroup acting trivially on $H_1(S_g^n, P)$
where P consists of one point in each component
of the boundary

Since the boundary twists are not in I_g^n
we don't lose any info if we do point
pushing in I_g^{n-1} instead of I_g^n

A BIRMAN EXACT SEQUENCE FOR K_2^2

As above, suffices to consider

$$K_{2,1}' \rightarrow K_2'$$



$$\mathcal{P} = \{p, p'\}$$

An elt of $\text{Mod}(S_{2,1})$ lies in $K_{2,1}$
 \iff it acts trivially on

$$\pi / [\pi, [\pi, \pi]]$$

More precisely if $f(x)x^{-1} \in [\pi, [\pi, \pi]] \quad \forall$
 $x \in \pi_1(S_2', p)$ or $x = \text{arc from } p \text{ to } p'$.

For $\alpha \in \pi_1(S_2', p)$, action on π is
 conjugation for loops based at p &
 multiplication for arcs from p to p' .

So to act trivially on $\pi / [\pi, [\pi, \pi]]$
 need $\alpha \in [\pi, [\pi, \pi]]$.

(So far this is necessary but not suff because)
 of ∂ twisting

GENERATING THE BIRMAN KERNEL FOR K_2^2

Need to show we can realize each elt of $[\pi, [\pi, \pi]]$ as elt of K_2^2 and at the same time (a fortiori) as elt of T_2^2 .

Want generators for $[\pi, [\pi, \pi]]$.

We already said the Mod-orbit of γ_1 generates $[\pi, \pi]$. So $[\pi, [\pi, \pi]]$ is gen. by conjugates of $[x, f(\gamma_1)] \quad x \in \pi$ hence Mod-orbit of $[x, f(\gamma_1)]$

or $[f^{-1}(x), \gamma_1]$

or $[x, \gamma_1]$.

But γ_1 is in T_2^2 . As the latter is normal, the commutator $[x, \gamma_1]$ is as well.

Summary:

↑ This sentence seems to eliminate much of Johnson's argument.

$$1 \rightarrow \pi_1(S_2^1, p) \xrightarrow{\cap I} K_2^2 \rightarrow K_2^1 \rightarrow 1$$

π_1
 T_2^2

Thus $K_2^2 = T_2^2$.

THE INDUCTION / MAIN ARGUMENT

As above we consider I_g' / T_g'

We want ① gen. by $2g$ choose 3 elts
② abelian

Begin with ①. We already listed our elts:

$$[i \ i+1 \ j \ k] \quad 1 \leq i < j < k \leq 2g+1.$$

Let $J_g' = \text{subgp of } \text{Mod}(S_g') / T_g'$ gen. by these
As in Johnson I, suffices to show:

Prop.: J_g' is normal in $\text{Mod}(S_g') / T_g'$ $g \geq 2$.

Pf.: Base case $g=2$. The $\binom{4}{3}=4$ elts are
(images of) $W_5 = [1 \ 2 \ 3 \ 4]$

$$W_4 = [1 \ 2 \ 3 \ 5]$$

$$W_3 = [1 \ 2 \ 4 \ 5]$$

$$W_1 = [2 \ 3 \ 4 \ 5]$$

From Johnson I, these & $W_2 = [1 \ 3 \ 4 \ 5]$

generate kernel of $\text{Mod}(S_2') \rightarrow \text{Mod}(S_2)$.

Also from Johnson I:

$$W_5 W_4^{-1} W_3 W_2^{-1} W_1 = T_d \quad \checkmark$$

Now assume $g \geq 3$. Have a map:

$$\int_{g^{-1}}^g \rightarrow$$

This is injective since

Where is the injectivity used?

$$\int_{g^{-1}}^l \rightarrow G \downarrow$$

\cong by induction

$$\begin{array}{ccc} {}^3H_{g-1} & \xrightarrow{\quad} & {}^3H_g \\ \text{So } \int_k^1 & \text{and } \int_k^2 & \xrightarrow{\quad} \int_g^1 \end{array}$$

$2 \leq k < g$

The proof has 5 parts:

- ① All straight 3-chain maps in \mathbb{J}_g^1
 - ② \mathbb{J}_g^1 is normalized by T_{C_i}
 - ③ $W_7 = [1\ 2\ 3\ 4\ 5\ 6] \in \mathbb{J}_2^2 \subseteq \mathbb{J}_g^1$
 - ④ $W_1 = [2\ 3\ 4\ 5\ 6\ 7]$, $W_2 = [1\ 3\ 4\ 5\ 6\ 7]$,
 $\dots, W_6 = [1\ 2\ 3\ 4\ 5\ 7]$ & $T_b * W_1$, hence
 all 5-chain maps (= push maps) in \mathbb{J}_g^1
 - ⑤ T_b normalizes \mathbb{J}_g^1

② & ⑤ imply the theorem.

- ① used for ②
 - ③ used in ④ used in ⑤

Proof of ① Want all $[i j k l]$.

Induct on $j-i$. Base case $j-i=1$ vacuous.

The 5-chain $(i i+1 j k l)$ gives an S_2^1 .

$[i j k l]$ is a push map. But push maps are gen. by $[i i+1 j k]$, $[i i+1 j l]$, $[i i+1 k l]$ & $[i+1 j k l]$ & T_2 .

All these BPs have "shorter" first curve.

Proof of ② In Johnson I we showed the T_{c_i} normalize the group gen. by straight 3-chains.

Proof of ③

$W_7 = [1 2 3 4 5 6]$ lives on S_2^2

So it suffices to find a product of 3-chains in there whose product has same τ -image as W_7 :

$$[1 2 3 4][3 4 5 6][1 2 3 6][1 2 3 5]^{-1} \\ [1 4 5 6][2 4 5 6]^{-1}.$$

Here is where we use

$$K_2^2 = T_2^2$$

Proof of ④

Can hit W_7 with the T_{c_i} to get the other W_i (use part ②).

Another relation from Johnson I:

$$T_6 * W_1 = W_4 W_3^{-1} W_2 T_{d_3} \leftarrow \partial S_3^1$$

Proof of ⑤ As in Johnson I, reduce to $T_b * f$
where f is a consecutive 3-chain
(controlled change of coords).

→ 3 nontrivial cases: $[2345]$, $[3456]$,
& $[4567]$

The first lies in S_2' ✓

The other required relations are in Johnson I.

Prop. I_g' / T_g' is abelian

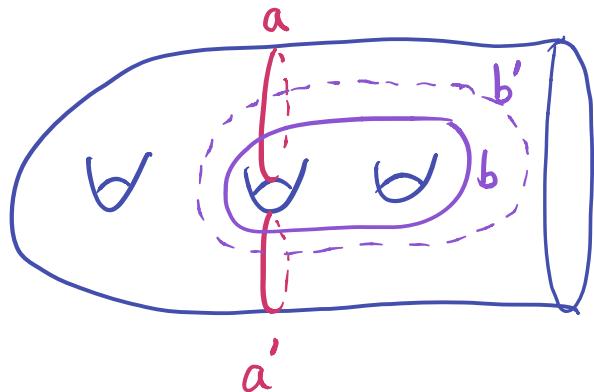
Proof. Want: all straight 3 chains centralize I_g' / T_g' .
But: $Z(I_g' / T_g')$ is characteristic in I_g' / T_g' ,
hence normal in $\text{Mod}(S_g') / T_g'$.
Thus: suffices to show $[1234]$ normalizes I_g' / T_g' .
i.e. $[[1234], f] \quad \forall f \in \text{gen set for } I_g' / T_g'$

Controlled change of coords → 3 nontrivial cases:

$[2345]$, $[3456]$, $[4567]$

First two are in S_2' & S_2^2 , & image of a
commutator is trivial under T ✓

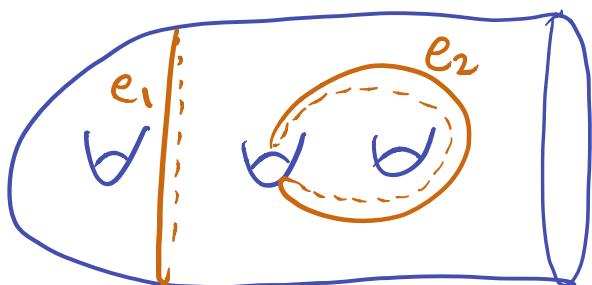
Last one is the following commutator:



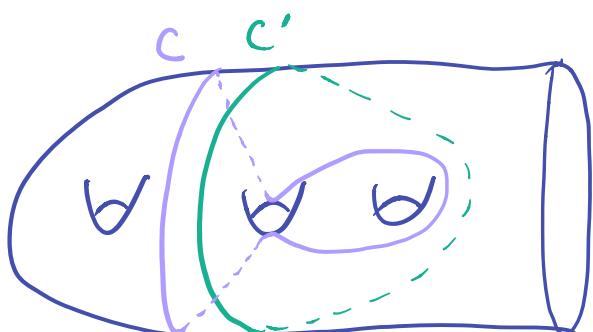
$$[T_a T_{a'}^{-1}, T_b T_{b'}^{-1}]$$

We use a lantern relation:

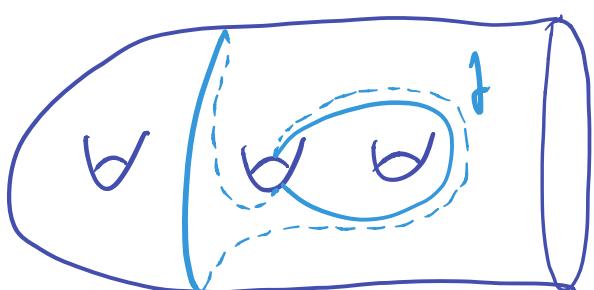
$$T_c T_{b'} T_f = T_b T_{c'} T_{e_1} T_{e_2}$$



b' is a band surgery of
 c' & e_1



$$T_{a'} T_a^{-1} (\{b, b'\})$$



Band surgery of e_1 & e_2

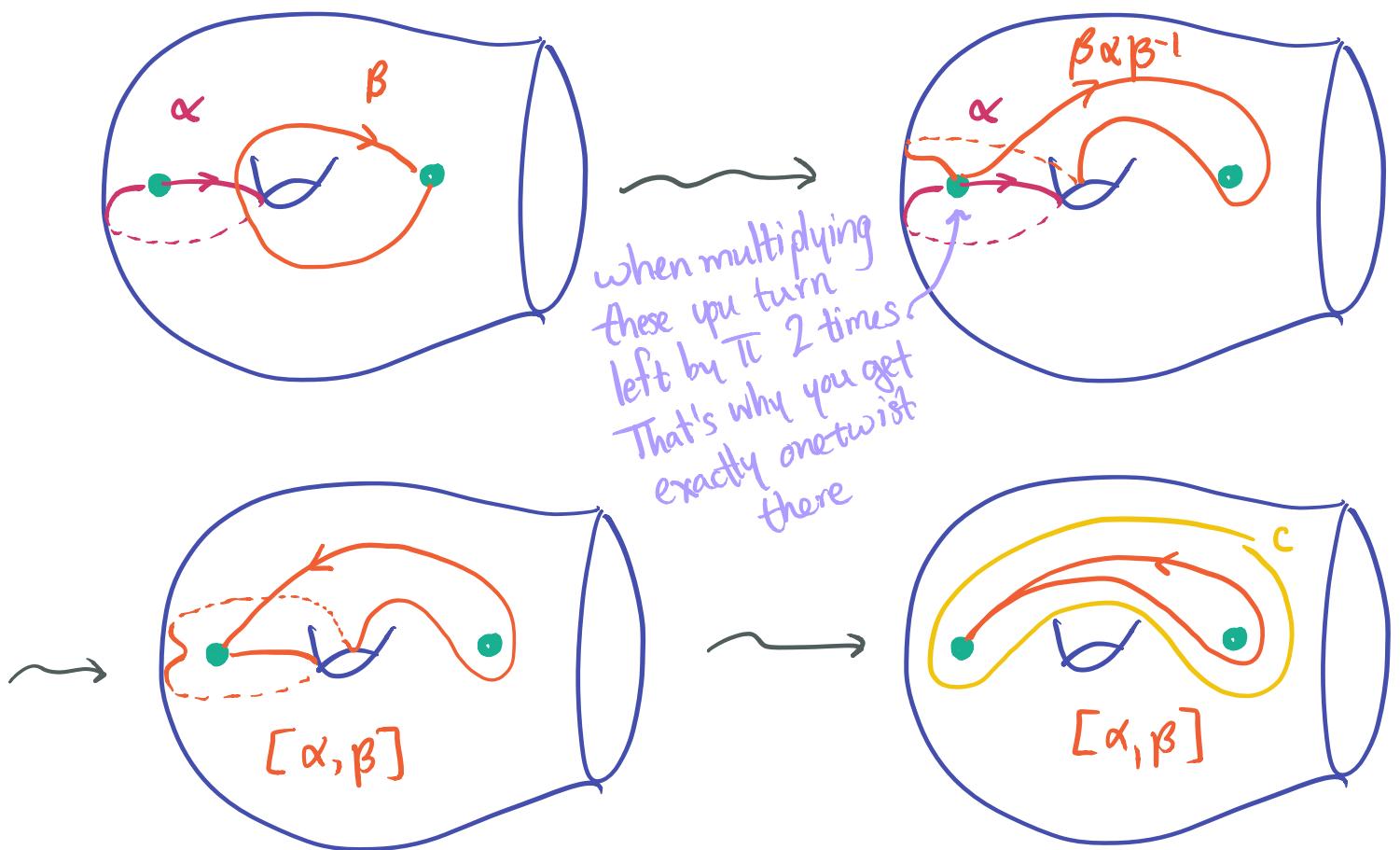
$$\begin{aligned}
 \text{Rewrite as: } & T_{c'}^{-1} T_b^{-1} T_c T_{b'} = T_f^{-1} T_{e_1} T_{e_2} \\
 & \quad \parallel \\
 & T_c T_{c'}^{-1} T_{b'} T_b^{-1} \\
 & \quad \parallel \\
 & [T_a^{-1} T_a', T_b T_{b'}^{-1}]
 \end{aligned}$$

So the desired commutator is trivial in $I(S_g')/K(S_g')$.

This relation will be used in Johnson III to show that the image of $K(S_g')$ in $I(S_g')^{ab}$ is generated by images of genus 1 maps. In $I(S_g')^{ab}$ the LHS is trivial and RHS gives $T_{e_1} T_{e_2} = T_f$ is $I(S_g')^{ab}$.

AN INTUITIVE VERSION OF THE LAST RELATION

After crushing two handles:

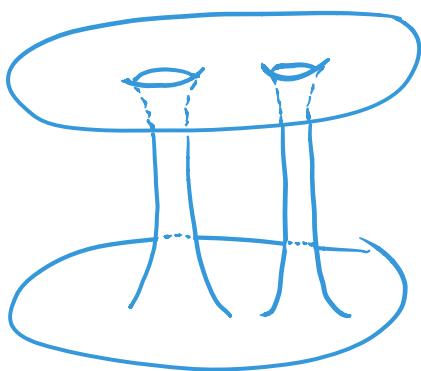


So back in S_g^1 the original commutator of BP maps is a multitwist about two genus one twists and a single genus 2 twist T_c & so the commutator is in $K(S_g^1)$.

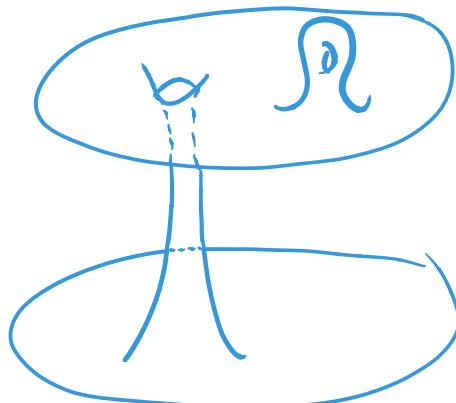
For Johnson III all we need is that in $K(S_g^1)^{ab}$ T_c is a product of genus 1 twists.

A FINER POINT FROM PREVIOUS PAGE

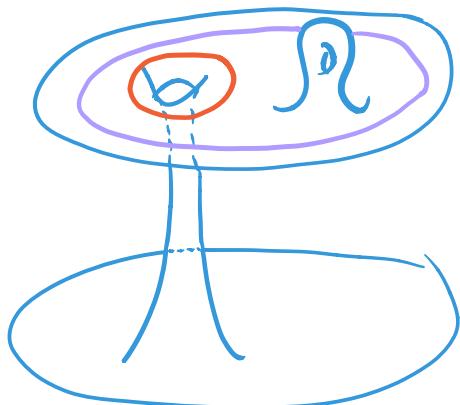
To get the first picture from Johnson's picture, need to believe:



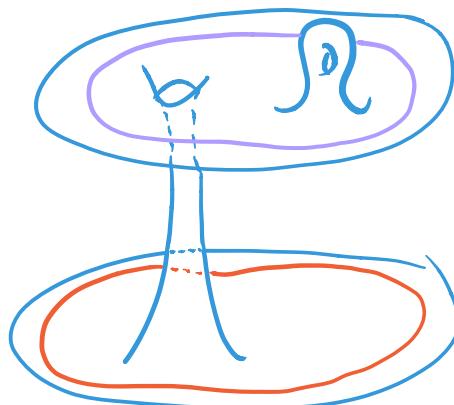
\approx



and

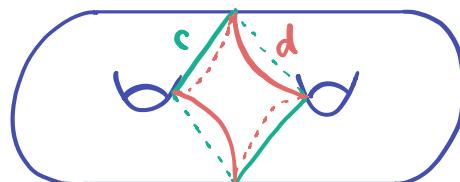


\approx



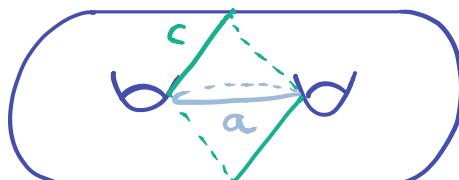
ASIDE: A QUESTION OF JOHNSON

Q. What is the normal closure in $\text{Mod}(S_2)$?



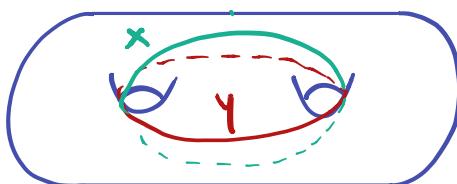
$$T_c T_d^{-1}$$

or:



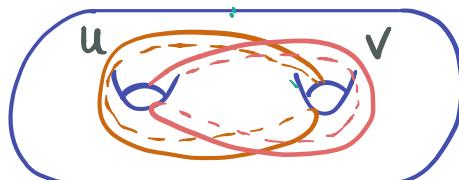
$$[T_c, T_a]$$

or:



$$[T_x, T_y]$$

Two different curves complete the lantern:



$$\text{Lantern relation} \Rightarrow T_c T_d^{-1} = T_u T_v^{-1}$$

$$\Rightarrow T_c T_d^{-1} \in \text{Ker } I(S_2) \rightarrow \mathbb{Z}$$

In fact it equals the kernel: By connectedness of the complex with vertices = sep curves & edges for $i=4$, all gens for $I(S_2)$ have same image in $I(S_2)/\langle\langle T_c T_d^{-1} \rangle\rangle$.

JOHNSON III : The Abelianization of Torelli

Main Goal : $I(S_g')^{ab} \cong \mathbb{Z}^{\binom{2g}{3}} \oplus \mathbb{Z}/2^{\binom{2g}{2} + \binom{2g}{1} + \binom{2g}{0}}$

and : $I(S_g)^{ab} \cong \mathbb{Z}^{\binom{2g}{3} - \binom{2g}{1}} \oplus \mathbb{Z}/2^{\binom{2g}{2} + \binom{2g}{1}}$

These are isomorphisms as abelian groups, but not as Sp-reps. To understand $I(S_g')^{ab}$ as an Sp-rep, we need some setup.

Let $A_g' = I(S_g')^{ab} = H_1(I(S_g'); \mathbb{Z})$

$U_g' = \text{mod 2 abelianization} = H_1(I(S_g'); \mathbb{Z}/2)$

$T_g' = \text{image of } K(S_g) \text{ in } A_g'$

$B_g^3 = \text{boolean polys of deg 3 in } H$

Clearly $\text{Mod}(S_g') \curvearrowright A_g'$ & $I(S_g')$ acts trivially
 $\Rightarrow A_g'$ is an Sp-rep

The main work of the paper is :

Prop 1. $T_g' \cong \mathbb{Z}/2 \oplus \mathbb{Z}/2$

Prop 2. $U_g' \cong B_g^3$

Note: There is automatically
a $\sigma: U_g' \rightarrow B_g^3$ by
univ. property of U_g'

SOME SETUP

Fact 1. The seq.

$$0 \rightarrow T_g' \rightarrow A_g' \rightarrow \Lambda^3 H \rightarrow 0$$

is split exact.

Pf. We know

$$I \rightarrow K(S_g') \rightarrow I(S_g') \xrightarrow{I} \Lambda^3 H \rightarrow 0$$

is exact. Divide by $I(S_g')$ to get the desired seq. It is split since $\Lambda^3 H$ is free. \square

Fact 2. $U_g' \cong A_g' / 2A_g' \cong A_g' \otimes \mathbb{Z}/2$

Thus there are induced maps

$$T_g' \rightarrow U_g' , \quad U_g' \xrightarrow{I \otimes \mathbb{Z}/2} \Lambda^3 H \bmod 2 \quad \square$$

Fact 3. There is a split exact seq.

$$0 \rightarrow T_g' \rightarrow U_g' \rightarrow \Lambda^3 H \bmod 2$$

Pf. Tensor Fact 1 with $\mathbb{Z}/2$, use Fact 2, & Prop 1 above $\Rightarrow T_g' \otimes \mathbb{Z}/2 \cong T_g'$ \square

Note: Can regard T_g' as subgp of A_g' or U_g'

STATEMENT OF THE MAIN THEOREM

Theorem. The square

$$\begin{array}{ccc}
 & A_g' & \\
 \otimes \mathbb{Z}/2 \swarrow & & \downarrow \tau \\
 B_g^3 \approx U_g' & & \Lambda^3 H \\
 \downarrow \tau & & \downarrow \otimes \mathbb{Z}/2 \\
 & \Lambda^3 H \bmod 2 &
 \end{array}$$

is a pull back diagram.

Again, the point is that this diagram makes sense as an Sp -rep.

In general, the pullback of

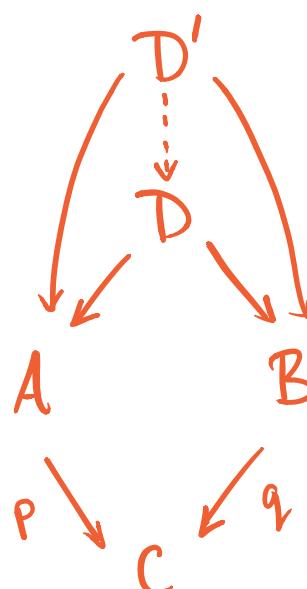
$$\begin{array}{ccc}
 A & & B \\
 p \searrow & & \downarrow q \\
 C & &
 \end{array}$$

is $D = \{(a, b) \in A \times B : p(a) = q(b)\} \leq A \times B$

This D is universal in that any other D' factors through D :

Example: Pullback bundles.

We think of D as combining the fibers of p & q together.



In the theorem, the two maps at the top are labeled. So the theorem means:

The map $A_g' \rightarrow U_g' \oplus I^3 H$
 $x \mapsto (x \otimes \mathbb{Z}/2, I(x))$

is injective & image is

$$\{(u, \lambda) : I(u) = \lambda \otimes \mathbb{Z}/2\}$$

Pf (assuming Props 1 & 2)

Injectivity. Suppose $(x \otimes \mathbb{Z}/2, I(x)) = 0$

$I(x) = 0 \Rightarrow x \in T_g'$ by Fact 1.

Fact 3 $\Rightarrow x = 0$.

Surjectivity. Let (u, λ) with $I(u) = \lambda \text{ mod } 2$.

Fact 1 $\Rightarrow I$ surj $\rightsquigarrow f_i \in A$ s.t. $I(f_i) = \lambda$

$\Rightarrow I(f_i) = I(u) \text{ mod } 2 \Rightarrow I(f_i \otimes \mathbb{Z}/2 - u) = 0$

Fact 3 $\Rightarrow f_i \otimes \mathbb{Z}/2 - u = t \in T_g'$

By Fact 1, may consider t as elt of A .

Let $f = f_i - t \rightsquigarrow$ image in U is

$$f_i \otimes \mathbb{Z}/2 - t = u \quad \& \quad I(f) = I(f_i) = \lambda \quad \square$$

CONJUGACY RELATIONS

Working towards Prop 1. Need to know when Dehn twists & BP maps are conjugate in $I(S_g')$.

Homology Chains. A chain in H or $H \bmod 2$ is a seq (c_1, \dots, c_n) s.t.

(a) $\hat{i}(c_i, c_{i+1}) = 1$ & $\hat{i}(c_i, c_j) = 0$ o.w.

(b) If n odd $c_1 + c_3 + \dots + c_n$ primitive

Twists. For n even, get a well-def elt of A_g' , call it $[c_1, \dots, c_n]$.

Indeed: homology chains \rightsquigarrow symplectic subspaces \rightsquigarrow Dehn twists up to conj in $I(S_g')$.

BP maps. For n odd, again get a well-def elt of A_g' called $[c_1, \dots, c_n]$ or $[c_1, \dots, c_{n-1} | c_1 + c_3 + \dots + c_n]$
Indeed: homology chains \rightsquigarrow geometric chains \rightsquigarrow BP map, unique up to conj in $I(S_g')$.

Note: $[c_1, c_2 | -c_3] = -[c_1, c_2 | c_3]$
(get inverse BP map).

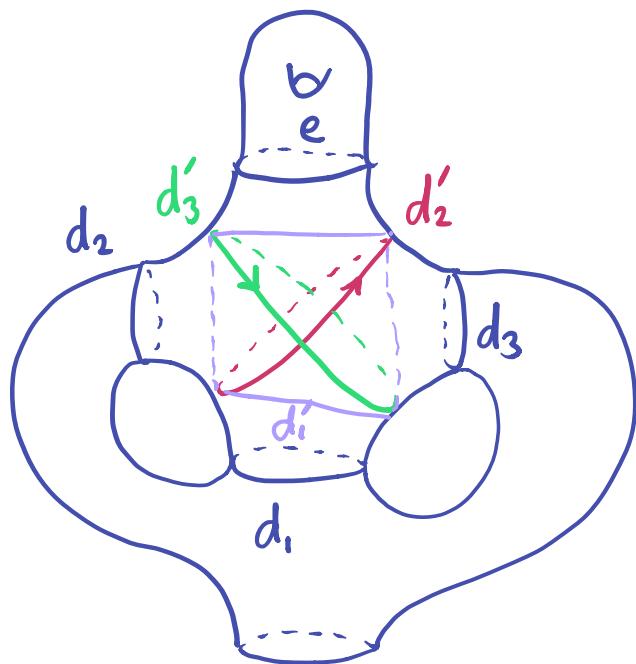
Naturality. For $h \in Sp$: $h * [c_1, \dots, c_n] = [h(c_1), \dots, h(c_n)]$

DEHN TWISTS ARE 2-TORSION

Lemma 1. If $\hat{i}(a, b) = 1$ & $\langle d_1, d_2 \rangle$ rationally closed in $\langle a, b \rangle^\perp$ & $\hat{i}(d_1, d_2) = 0$ then in A_g^1

$$[a, b | d_1 + d_2] - [a, b | d_1] - [a, b | d_2] = [a, b]$$

Pf. Consider the lantern relation



$$T_e = (T_{d'_3} T_{d_3}^{-1}) (T_{d'_2} T_{d_2}^{-1}) (T_{d'_1} T_{d_1}^{-1})$$

Let a, b = Symp. basis for e -handle

$$\text{In } A: T_{d'_1} T_{d_1}^{-1} = -[a, b | d_1]$$

$$T_{d'_2} T_{d_2}^{-1} = -[a, b | d_2]$$

$$T_{d'_3} T_{d_3}^{-1} = -[a, b | d_3] = [a, b | d_1 + d_2]$$

$$T_e = [a, b]$$

□

Lemma 2. If $i(a,b) = 1$ then $2[a,b] = 0$ in A_g' .

Pf. By change of coords, can replace d_i with $-d_i$ in Lemma 1. Thus plus $-[a,b|x] = [a,b| -x]$ gives $[a,b] = -[a,b| d_1 + d_2] + [a,b| d_1] + [a,b| d_2]$
 $= -[a,b]$ □

Lemma 3. If a_1, b_1, a_2, b_2 is a symplectic subspace of H , then $[a_1, b_1, a_2, b_2] = [a_1, b_1] + [a_2, b_2]$ in A_g' .

Pf. See Johnson II, Cor to Thm AB.

We have thus shown:

T_g' is a $\mathbb{Z}/2$ vector space, and it is generated by the 2-chain maps $[a,b]$

THE ACTION OF LEVEL 2 ON U IS TRIVIAL

$$M_g'[2] = \text{Mod}(S_g')[2]$$

$$Sp_{2g}[2] = Sp_{2g}(\mathbb{Z})[2]$$

Prop. $M_g'[2]$ acts trivially on U_g' .

If we believe that $U_g' \cong B_g^3$ then this must be true!

Since I_g' acts trivially on U , the prop could be:

$Sp_{2g}[2]$ acts trivially on U_g'

Prop implies U_g' is an $Sp_{2g}(\mathbb{Z}/2)$ -module.

→ projection $\pi: U_g' \rightarrow B_g^3$ is $Sp_{2g}(\mathbb{Z}/2)$ -module homomorphism.

Lemma. $Sp_{2g}[2]$ is generated by squares of transvections

Cor. $Sp_{2g}[2]$ is the normal closure in $Sp_{2g}(\mathbb{Z})$ of any square transvection.

Pf of Prop. The kernel of $\text{Sp}_{2g}(\mathbb{Z}) \rightarrow \text{Aut } U$ is normal in Sp . \rightsquigarrow suffices to show a single square transv. is in kernel.

Suffices: $T_{C_1}^2$ acts trivially on images of straight & β -chain gens

β -chains: trivial since C_i disjoint.

Straight chains: by controlled change of coords, reduce to consecutive chains starting w/ 2, namely $[2 \ 3 \ \dots \ 2k+1]$. Will write here for $k=1$, but proof works in general.

Johnson I: In U_g^1

$$T_{C_1} * [2 \ 3] [2 \ 3]^{-1} = (T_{C_1}^{-1} * [2 \ 3] \cdot [2 \ 3]^{-1})^{-1}$$

U_g^1 is abelian, so in U_g^1 :

$$T_{C_1} * [2 \ 3] = T_{C_1}^{-1} * [2 \ 3] \quad \cancel{[2 \ 3]^2}$$

$$T_{C_1}^2 * [2 \ 3] = [2 \ 3]$$

□

$$\text{Cor. } [M_g^{\prime}[2], I_g^{\prime}] = (I_g^{\prime})^2$$

Pf. \sqsubseteq Immediate from prop

\exists Enough to show $I_g^{\prime}/[M_g^{\prime}[2], I_g^{\prime}]$ is a $\mathbb{Z}/2$ vector space (since U_g^{\prime} is universal). It is abelian since $(I_g^{\prime})' \subseteq [M_g^{\prime}[2], I_g^{\prime}]$. It is gen. by images of BP maps. So: suffices to show the square of any BP map is in $[M_g^{\prime}[2], I_g^{\prime}]$.

In particular, we have: $U_g^{\prime} = I_g^{\prime} / [M_g^{\prime}[2], I_g^{\prime}]$

follows from $\xrightarrow{\text{Prop}}$ and: U_g^{\prime} is an $Spg(\mathbb{Z}/2)$ -module

Any chain (c_1, \dots, c_n) in $H \bmod 2$ determines a unique element $[c_1 \cdots c_n]$ in U_g^{\prime} . This is in T_g^{\prime} if n is even.

As usual, have naturality. So

$\tau: U_g^{\prime} \rightarrow B_g^3$ is an $Spg(\mathbb{Z}/2)$ -module hom.

τ IS AN ISOMORPHISM FOR $g=3$.

It remains to show τ is an isomorphism.

Lemma. $\tau: U_3^1 \rightarrow B_3^3$ is an isomorphism.

Proof. Johnson I: I_3^1 is gen. by 42 elts
 $\Rightarrow \dim U_3^1 \leq 42$

But τ is surjective &

$$\dim B_3^3 = \sum_{i=0}^3 \binom{6}{i} = 20 + 15 + 6 + 1 = 42$$

□

Strategy for general case: Use Sp -module structure
to show $\ker \tau$ is generated by elements of
 U_3^1 , then apply the lemma.

SUBALGEBRAS OF B_g^3 FROM SUBSURFACES.

Let $X = \text{Symplectic subsp. of } H \bmod 2$.

$\rightsquigarrow B_X^k = k\text{-nomials in } X$.

$\rightsquigarrow B_X^k \rightarrow B_g^3$ injective.

Let $S'_k \subseteq S_g^1$ any subsurf of genus k

$\rightsquigarrow I_k' \rightarrow I_g'$

$\rightsquigarrow U_k' \rightarrow U_g'$

Lemma. (1) Image only depends on $H_1(S'_k; \mathbb{Z}/2)$.

(2) For $k=3$, the map $U_3' \rightarrow U_g'$ is injective.

Pf. (1) $M_g^1[2]$ acts trivially on U_g'

(2) Commutativity of

$$\begin{array}{ccc} U_3' & \xrightarrow{\cong} & B_3^3 \\ \downarrow & & \downarrow \\ U_g' & \rightarrow & B_g^3 \end{array}$$

□

So for X as above, makes sense to define

$U_X = \text{image of } U_k' \rightarrow U_g'$.

Maybe this page is not so essential
for understanding

CARRYING

$X = 2k$ -dim symp. subsp. of $H \bmod 2$

Say X carries $f \in U_k^1$ if $f \in U_X$

i.e. $\exists S_k^1 \subseteq S_g^1$ s.t. $H_1(S_k^1; \mathbb{Z}/2) = X$

& \tilde{f} with $\text{supp}(\tilde{f}) = S_k^1$ s.t.
 $\tilde{f} \mapsto f$

Cor. Say $\dim X \leq 6$. If $f, g \in U_g^1$ carried by X ,
then $f = g \iff \tau(f) = \tau(g)$ in B_g^3 .

Pf. Extend X so $\dim X = 6$.

$\Rightarrow \tau: U_X \rightarrow B_X^3$ is an isomorphism. \square

Note. $h \in Sp_g[2]$, $f \in U_g^1$, f carried by X
 $\Rightarrow h * f$ carried by $h(X)$.

Maybe this page is not so essential
for understanding

τ IS AN ISOMORPHISM

Basic outline. ① Show $T = T_g^1$ is ker of
 $\sigma: U_g^1 \rightarrow B_g^3 / B_g^2$

Have gens for T_g^1 : 2-chain maps

② Find gens for $S = \text{Kernel of}$

$$\begin{aligned} \sigma: U_g^1 &\rightarrow B_g^3 / B_g^1 \\ = \ker \quad \sigma: T_g^1 &\rightarrow B_g^3 / B_g^1 \end{aligned}$$

As a module over $Sp_{\mathbb{R}}[2]$ it is gen. by

$$\partial(a,b,c) = [b,c] - [a+b,c] + [a,b+c] - [a,b]$$

for any 3-chain (a,b,c) .

(Check this is really in the kernel!)

③ Find gens for $R = \text{kernel of}$

$$\begin{aligned} \sigma: U_g^1 &\rightarrow B_g^3 / B_g^0 \\ = \ker \quad \sigma: S &\rightarrow B_g^3 / B_g^0 \end{aligned}$$

If we rename $\partial(a,b,c)$ as $[a+c]$ (since, it turns out, the element only depends on this sum) then R is gen by $\partial(x,y) = [x+y] - [x] - [y]$ for any 2-chain (x,y) .

④ $R = \mathbb{Z}/2$ & $\sigma: R \rightarrow B_g^0$ is \cong . That's it!

STEP ①

Lemma. Kernel of $U_g^1 \rightarrow B_g^3 / B_g^2 = \Lambda^3 H \text{ mod } 2$
is T_g^1

Proof. In his paper defining τ , Johnson shows:

$$\begin{array}{ccc} A_g^1 & \xrightarrow{\tau} & \Lambda^3 H \\ \sigma \downarrow & G & \downarrow \tau \otimes \mathbb{Z}/2 \\ B_g^3 & \longrightarrow & \Lambda^3 H \text{ mod } 2 \end{array}$$

Just check on generators

Now tensor with $\mathbb{Z}/2$:

$$\begin{array}{ccc} U_g^1 & & \tau \otimes \mathbb{Z}/2 \\ \sigma \downarrow & G \searrow & \downarrow \\ B_g^3 & \longrightarrow & \Lambda^3 H \text{ mod } 2 \cong B_g^3 / B_g^2 \end{array}$$

So $\tau \otimes \mathbb{Z}/2$ is same as $U_g^1 \rightarrow B_g^3 \rightarrow B_g^3 / B_g^2$
By Fact 3, kernel is T_g^1 □

STEP ②

Let's first check $\partial(a,b,c)$ is in $\ker(U_g^1 \rightarrow B_g^3/B_g^1)$

$$\partial(a,b,c) = [b,c] - [a+b,c] + [a,b+c] - [a,b] \quad \leftarrow$$

$$\begin{aligned} &\sim \bar{b}\bar{c} + \bar{a+b}\bar{c} + \bar{a}\bar{b+c} + \bar{a}\bar{b} \\ &= \bar{b}\bar{c} + (\bar{a}+\bar{b}+1)\bar{c} + \bar{a}(\bar{b}+\bar{c}+1) + \bar{a}\bar{b} \\ &= \cancel{\bar{b}\bar{c}} + \cancel{\bar{a}\bar{c}} + \cancel{\bar{b}\bar{c}} + \bar{c} + \cancel{\bar{a}\bar{b}} + \cancel{\bar{a}\bar{c}} + \bar{a} + \cancel{\bar{a}\bar{b}} \\ &= \bar{a} + \bar{c} \quad \text{linear in } B_g^1. \end{aligned}$$

Why the signs
if T_g^1 is a
 $\mathbb{Z}/2$ vect sp?

Since τ is \cong for $g=3$: $\partial(a,b,c)$ only dep. on $a+c$
for $g=3$ (also true in general).

Lemma. S is gen (as a module) by any one $\partial(a,b,c)$.

Pf for $g=3$. In this case $\tau: T_g^1 \xrightarrow{\cong} B_g^2$,
and so $\tau: S \xrightarrow{\cong} B_g^1$

Note: the $g=3$ case
is a waste of time
since we already know
 τ is \cong here. But
it gives the idea.

So enough to show $\tau(\partial(a,b,c))$ is a
module gen for B_g^1 . But a module
gen. for B_g^1 is any non-0 vector.
By above calculation $\tau(\partial(a,b,c)) = \bar{a} + \bar{c}$.
This is non-0 by defn of a chain. \square

For $g > 3$. Use naturality & move stuff around.

STEP ③

As above $\partial(a,b,c) = [e]$ where $e = a+c$.

Have naturality: $h \in \text{Sp}_g[2] \Rightarrow h * [e] = [h(e)]$.

(this actually requires e to live in Sp space
of dim ≥ 4 for change of coords).

Check $\partial(x,y)$ in $\ker U_g^! \rightarrow B_g^3 / B_g^\circ$

$$\partial(x,y) = [x+y] - [x] - [y] = i(x,y) \in B_g^\circ. \quad \checkmark$$

Lemma. $\partial(x,y) = 0$ in $U_g^!$ if $i(x,y) = 0$

$\partial(x,y)$ indep of x,y if $i(x,y) = 0$

In particular, $R \cong \mathbb{Z}/2$ & τ is \cong .

Pf. Make x,y sit in genus 3 subsurf.
by change of coords.

This (more or less) proves the theorem. □

GENERATING THE JOHNSON KERNEL

THM (ERSHOV-HE '17, CHURCH-ERSHOV-PUTMAN '17)

$K(S_g)$ is finitely generated for $g \geq 4$.

Notes. ① Not known for $g=3$.

② No explicit finite gen set is known.

History

① Biss-Farb '05 $K(S_g)$ is not f.g.

② Erratum '09

③ Dimca-Papadima '13 : $K(S_g)^{ab}$ is f.g.

④ Morita-Sakasai-Suzuki '17 : $K(S_g)^{ab}$ has $O(g^5)$ generators (after EH & CEP).

The CEP results are more general :

Main Theorem. For $k \geq 3$, $g \geq 2k+1$, $b \in \{0,1\}$ every subgp of I_g^b containing k^{th} term of LCS of I_g^b is fin. gen.

$K=2$ case : subgps containing $[I_g^b, I_g^b]$ also proved for $g=4$.

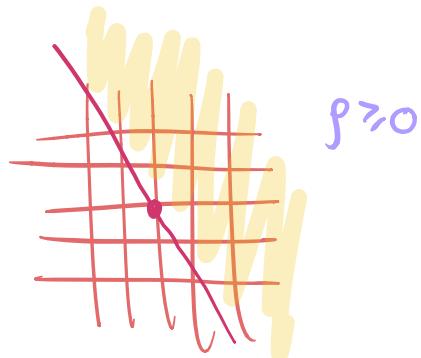
Special case : terms of Johnson filtration.

BIERI-NEUMANN-STREBEL INVARIANTS

$$G = \text{group} \rightsquigarrow G^* = \text{Hom}(G, \mathbb{R})$$

$\Sigma(G) = \left\{ \rho \in G^* : \text{the subgraph of the Cayley graph of } G \text{ spanned by } g \in G \text{ with } \rho(g) \geq 0 \text{ is connected} \right\}$

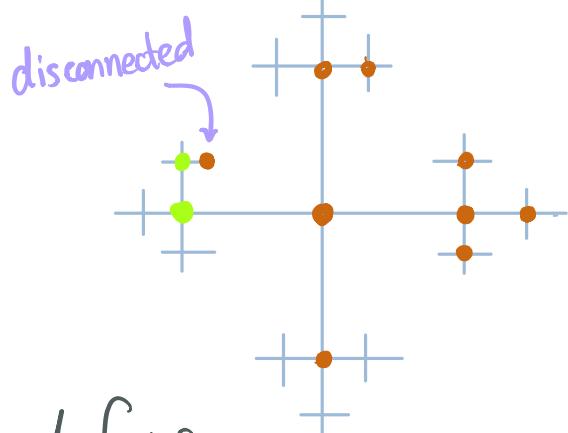
Examples. ① $\Sigma(\mathbb{Z}^n) = (\mathbb{Z}^n)^*$



② $\Sigma(F_2) = \{0\}$

e.g. Consider $\rho: F_2 \rightarrow \mathbb{R}$

$$\rho(a) = 1, \rho(b) = 0$$



③ M = hyperbolic 3-manifold.

$\Sigma(\pi_1(M))$ = cone on open fibered faces.

Theorem (BNS). Say $G = \text{fin gen group}$
 & $[G, G] \leq H \leq G$

Then: $H \text{ f.g.} \iff \{\rho \in G^* : \rho|_H = 0\} \subseteq \Sigma(G)$

Prop. $\Sigma(G)$ is invariant under $\text{Aut}(G)$.

Proof OUTLINE

Will show $\Sigma(I_g) = I_g^*$ $g \geq 4$.

Then apply above theorem.

Claim 1. \exists finite gen set S for I_g consisting of genus 1 BPs s.t.

$$\{g \in I_g^* : g(s) \neq 0 \quad \forall s \in S\} \subseteq \Sigma(I_g)$$

For next step note $\text{Mod}(S_g) \hookrightarrow I_g$

$$\hookrightarrow \text{Mod}(S_g) \hookrightarrow I_g^*$$

$$\hookrightarrow \text{Mod}(S_g) \rightarrow GL(I_g^*)$$

Pull back the Zariski topology to $\text{Mod}(S_g)$.

Claim 2. In this topology, $\text{Mod}(S_g)$ is an irreducible space (i.e. it is not the union of proper closed subspaces.)

Fix $g \neq 0$ in I_g^* . For each $s \in S$ let

$$Z_s = \{f \in \text{Mod}(S_g) : f \cdot g(s) = 0\}$$

Claim 3. Z_s is a proper, closed subset of $\text{Mod}(S_g)$.

Claims 2 & 3 imply:

$$\bigcup_{S \in S} Z_S \subsetneq \text{Mod}(Sg)$$

Choose $f \in \text{Mod}(Sg)$ in the complement.

That is: $f \cdot g(S) \neq 0 \quad \forall S \in S$.

Claim 1 $\Rightarrow f \cdot g \in \Sigma(Ig^*)$.

But $\Sigma(Ig^*)$ is invariant under automorphisms of Ig
 $\Rightarrow g \in \Sigma(Ig^*)$. But g was arbitrary. \square

We know Ig is fin. gen.

So by BNS theorem, all subgroups of Ig

Containing the commutator subgroup, including $K(Sg)$
are finitely generated.

More About BNS

Prop. For G a group, $\Sigma(G)$ is indep. of gen set.

Pf. Say T, S are gen sets.

Fix $g \in G^*$. Say $g \in \Sigma(G, T)$. Want $g \in \Sigma(G, S)$.

Idea. Say $f(g), f(g') > 0$. Choose $s \in S$ s.t. $f(s) > 0$.

For big N , $f(s^N g), f(s^N g') \gg 0$. Connect using s^N -translate of T -path from g to g' with $f > 0$.

Claim 1. $\forall n \in \mathbb{Z} \quad \{g \in G : f(g) \geq n\}$ is T -connected.

Pf. Follows by translating $\{g : f(g) \geq 0\}$.

Claim 2. $\exists n$ s.t. for any $g \in G$ & $t \in T \quad \exists$ path in S -Cayley graph from g to gt s.t. if v is a vertex on this path then $f(v) > \max \{f(g) - n, f(gt) - n\}$

Pf. For each $t \in T$ choose word w_t in S rep'ng t .
Choose n larger than $|p(w)|$ whenever w is a prefix or suffix of any w_t . Connect g to g_t by $g \cdot w_t$.

Claim 3. $\exists s \in S \cup S^{-1}$ s.t. $p(s) > 0$.

Pf. $p \neq 0$.

Finish the proof as in the idea above.

As a cor, we obtain the Prop which says $\Sigma(G)$ is invariant under automorphisms. Indeed, say $p \in \Sigma(G)$, $\alpha \in \text{Aut } G$. Then $\{g : p(g) \geq 0\}$ is connected wrt some gen set X . So $\{g : p(\alpha^{-1}(g)) \geq 0\}$ is connected wrt to $\alpha^{-1}(X)$. Want it to be connected wrt X . But this is 'just a change of gen set.'

A LEMMA ABOUT BNS

Lemma (Ershov-He) $G = \text{fin. gen. group, } g \in G^*$ nonzero.

Say $\exists x_1, \dots, x_n \in G$ s.t.

① G is gen. by the x_i .

② $g(x_i) \neq 0$.

③ For $2 \leq i \leq n$ $\exists j < i$ s.t. $g(x_j) \neq 0$ and
 $[x_j, x_i] \in \langle x_1, \dots, x_{i-1} \rangle$

Then $g \in \Sigma(G)$.

Special case of ③: $x_i \leftrightarrow x_j$.

This goes back to Koban-McCammond-Meier (essentially).

ACTIONS ON \mathbb{R} -trees

$T = \mathbb{R}$ -tree (a space w/ unique paths b/w pts)

$G \curvearrowright T$ by isometries.

$\rightarrow l : G \rightarrow \mathbb{R}$ (translation) length fn.

The action is...

nontrivial if no global fixed pts

exceptional if no invariant lines

abelian if $\exists g \in G^*$ st. $l = |g|$

(say action is associated to g).

Lemma. (Brown '87) Say $g \in G^*$. \exists exceptional, nontrivial, abelian action of G on an R -tree assoc. to $g \iff g \notin \Sigma(G)$.

Say $G \curvearrowright T = R\text{-tree}$, $g \in G$.

\rightsquigarrow characteristic subtree T_g

g elliptic \rightarrow fixed pts

g hyperbolic \rightsquigarrow axis

Facts ① $g \leftrightarrow h$ hypers $\Rightarrow T_g = T_h$

② $g \leftrightarrow h$, h hyp $\Rightarrow T_g \supseteq T_h$

Commuting graph $X \subseteq G \rightsquigarrow C(X) = \text{graph}$
with vertex set X and edges for commuting.

Domination $X, Y \subseteq G$. Say Y dominates X if
every elt of X commutes with some elt of Y

Lemma (KMM) $G = \text{group}$, $g \in G^*$. If $\exists X, Y \subseteq G$ s.t.

① $g(y) \neq 0 \quad \forall y \in Y$

② $C(Y)$ connected

③ Y dominates X

④ X generates G

Then $g \in \Sigma(G)$.

Pf. Suppose \exists abelian action of G on \mathbb{R} -tree T assoc. to g .

By ① each $y \in Y$ acts as hyperbolic.

By ② there is a common characteristic subtree T_Y .

By ③ $T_x \supseteq T_Y \quad \forall x \in X$.

By ④ T_Y invariant under G

\Rightarrow action is not exceptional. \square

Proof of Ershov-He is essentially same.

CLAIM 1

Claim 1. \exists finite gen set S for I_g consisting of genus 1 BPs s.t.

$$\{g \in I_g^*: g(s) \neq 0 \ \forall s \in S\} \subseteq \Sigma(I_g)$$

Pf. By Johnson I there is a finite set X of genus 1 BP maps that generates I_g .

Make a graph Γ w/ vertices the genus 1 BPs in S_g and edges for disjointness. Putman trick
 $\Rightarrow \Gamma$ connected. Let S be a set of BP maps that contains X and corresponds to a connected subset of Γ .

Enumerate the elts of S as s_1, \dots, s_n s.t. $\forall i \exists j < i$ with $s_i \leftrightarrow s_j$ (enumerate by increasing distance from a basepoint in Γ).

Choose g with $g(s_i) \neq 0 \ \forall i$. Apply the Ershov-He lemma.

CLAIM 2

Claim 2. In this topology, $\text{Mod}(S_g)$ is an irreducible space
(i.e. it is not the union of proper closed subspaces.)

Facts about irred. spaces

- ① Y irred. top. space, $X \rightarrow Y$ set map \Rightarrow pullback topology on X is irred.
- ② $Y \rightarrow Z$ cont., Y irred $\Rightarrow \text{im}(Y)$ irred.
- ③ $Z \subseteq W$ subsp. irred $\Leftrightarrow \bar{Z}$ irred.

Pf. By ①, enough to show image of $\text{Mod}(S_g^1)$ in $GL(I_g^{1,*})$ is irred.

Recall $(I_g^1)^{ab} \otimes \mathbb{R} \cong (I_g^1)^* \cong \Lambda^3 H$ natural.

Image of $\text{Mod}(S_g^1)$ is image of $Sp_{2g}(\mathbb{Z})$.

under $\iota: GL_{2g}(\mathbb{R}) \rightarrow GL(\Lambda^3 H)$

Classical: Zariski closure of $Sp_{2g}(\mathbb{Z})$ in $GL_{2g}(\mathbb{R})$
is $Sp_{2g}(\mathbb{R})$, which is a connected alg. gp,
hence irred.

So ③ $\Rightarrow Sp_{2g}(\mathbb{Z})$ is irred.

The map ι is Zariski continuous.

So ② $\Rightarrow \iota(Sp_{2g}(\mathbb{Z}))$ is irred. □

CLAIM 3

Fix $\rho \neq 0$ in Ig^* , $s \in S \leadsto Z_s = \{f \in Mod(S_g) : f \cdot \rho(s) = 0\}$

Claim 3. Z_s is a proper, closed subset of $Mod(S_g)$.

Pf. For fixed s , the condition $\rho(s) = 0$ is Zariski closed
 $\Rightarrow Z_s$ closed.

Suppose $Z_s = Mod(S_g)$.

$$\Rightarrow (f \cdot \rho)(s) = \rho(f s f^{-1}) = 0 \quad \forall f \in Mod(S_g)$$

$\Rightarrow \rho$ vanishes on all BP maps of genus 1

$$\Rightarrow \rho = 0. \quad \square$$

B_{NS} - THE EASY CASE

following Putman

Prop. $G = \text{f.g. gp}$

$\rho: G \rightarrow \mathbb{Z}$ surjective

$H = \text{Ker } \rho$

$\rho, -\rho \in \Sigma(G)$.

Then H f.g.

Pf. Choose $t \in G$ s.t. $\rho(t) = 1$.

G f.g. $\Rightarrow \exists$ finite $S \subseteq H$ s.t. $S \cup \{t\}$ gens. G .

$\rightsquigarrow S$ normally generates H .

$\rightsquigarrow H$ gen. by

$$\bigcup_{k=-\infty}^{\infty} t^k S t^{-k}$$

Claim. H gen. by $S_+ = \bigcup_{k=0}^{\infty} t^k S t^{-k}$

Pf. Since $\rho \in \Sigma(G)$, any $h \in H$ can be written as $t^{i_1} s_1 t^{i_2} s_2 \cdots t^{i_n} s_n$

where running totals $i_1 + \cdots + i_k$ are ≥ 0

& $i_1 + \cdots + i_n = 0$. The claim follows.

Example: $h = t^2 s_1 t^{-1} s_2 t^3 s_3 t^{-4}$

$$= (t^2 s_1 t^{-2}) (t s_2 t^{-1}) (t^4 s_3 t^{-4}) //$$

Similarly, $-g \in \Sigma(G) \Rightarrow H$ gen. by

$$S_- = \bigcup_{k=-\infty}^0 t^k S t^{-k}$$

So $\forall s \in S$, can write tst^{-1} as product of elts of $S_- \Rightarrow \exists N \geq 0$ s.t. the gp. gen by

$$S_{-N,0} = \bigcup_{k=-N}^0 t^k S t^{-k}$$

Contains tSt^{-1} .

Let $H' = \text{gp. gen by } S_{-N,0}$.

WTS $H' = H$.

Claim. $tH't^{-1} \subseteq H'$

Pf. $tH't^{-1}$ gen by $\bigcup_{k=-N+1}^0 t^k S t^{-k}$

All in H' by defn except the tSt^{-1} . But we actually chose N , hence H' , so this is true.

Of course $H' \subseteq H$. Remains to show $H \subseteq H'$.

Applying claim iteratively $\Rightarrow t^k S t^{-k} \subseteq H' \quad \forall k \geq 0$.
But as above these generate H . □

PROBLEMS ON TORELLI GROUPS

GENERATION

- Find a conceptual proof $I(S_3)$ is fin. gen.
- Is there a gen set for $I(S_g)$ with $\binom{2g+1}{3}$ elts?
- Find an explicit gen set for $I(S_2)$.
- Find an explicit finite gen set for $K(S_g)$.
Other terms of Johnson filtration, terms of LCS.
- Is $K(S_3)$ finitely generated?
- Is $K(S_g)$ generated by twist differences $T_c T_d^{-1}$?
Other terms of Johnson filtration?
- Find explicit gen sets for terms of Johnson filtration.

RELATIONS

- Is $I(S_g)$ finitely presented?

What about $K(S_g)$, terms of Johnson filtration, etc.?

- Show that two elements of $I(S_g)$ either commute or generate a free group.

Or just do it for BP maps, or just for $K(S_g)$.

COHOMOLOGY

- Compute $H_k(I(S_g))$

Is it f.g. for any $g \geq 3$ $2 \leq k \leq 2g-3$?

- What is cohomological dimension of terms of Johnson filtration?
- Show the largest free abelian subgp has rank $g-1$.
- Show $H_{2g-3}(K(S_g))$ is ∞ -gen.

HYPERELLIPTIC TORELLI

- Find a simpler proof that $SI(S_g)$ is gen. by Dehn twists.
- Is $SI(S_g)$ finitely generated? presented?
- Is $SI(S_g)^{ab}$ finitely generated?
- Find natural gen sets for congruence subgroups $SMod(S_g)[m]$. What are the abelianizations?

STRETCH FACTORS

- Improve the gap between $\sqrt{2}$ & 62 for the smallest stretch factor in $I(S_g)$.
- Is the smallest stretch factor in $I(S_g)$ smaller than that in $K(S_g)$? Further terms of Johnson filtration?
- Which algebraic degrees for stretch factors arise in $I(S_g)$?
- What is the smallest stretch factor in $Mod(S_g)[2]$?

EMBEDDINGS

- Show that any embedding $I(S_g) \hookrightarrow \text{Mod}(S_g)$ is standard.
- Show any non-abelian map $I(S_g) \rightarrow \text{Mod}(S_k)$ is trivial if $g \neq k$.
- Similar for $K(S_g)$, other terms of Johnson filtration.

MISCELLANEA

- Find a simple description of BCJ maps, using double covers.
- Find the image of the second Johnson homomorphism.
- Which subsets of $I(S_g)$ give all $\mathbb{Z}HS^3$'s?
- Determine if the even MMM classes vanish on $I(S_g)$.