

# MA4J2 Three Manifolds

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## Lecture 7

Suppose that  $\rho: G^2 \rightarrow F^2$  is a double cover. Roughly, this corresponds to an index two subgroup of  $\pi_1(F)$ , and hence to a homomorphism  $\pi_1(F) \rightarrow \mathbb{Z}/2\mathbb{Z}$ . Then for all  $x \in F$ ,  $|\rho^{-1}(x)| = 2$ , so there is a canonical involution  $\tau: G \rightarrow G$ , where  $\tau(y)$  is defined to be the unique element of  $\rho^{-1}(\rho(y)) - \{y\}$ . For an example, see Figure 1.

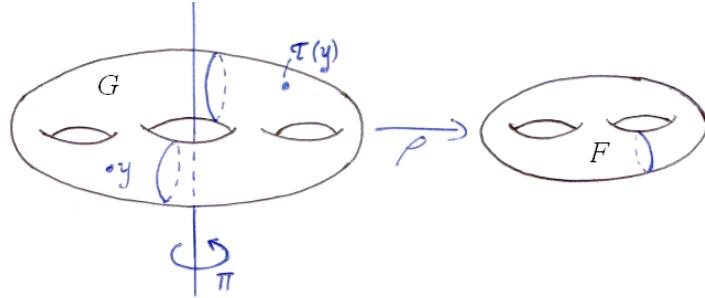


Figure 1: Here the involution  $\tau$  is rotation by  $\pi$  about an axis.

Define  $T = (G \times I)/\sim$ , where  $(y, 0) \sim (\tau(y), 0)$ . Then  $P: T \rightarrow F$  given by  $(y, t) \mapsto \rho(y)$  is an  $I$ -bundle over  $F$ . Now suppose that  $\rho: G \rightarrow F$  is the orientation double cover; so  $G = F \times \{0, 1\}$  if  $F$  is orientable, and  $G$  is orientable if  $F$  is not; for example  $\mathbb{T}^2 \xrightarrow{\times 2} \mathbb{K}^2$  (Figure 2).

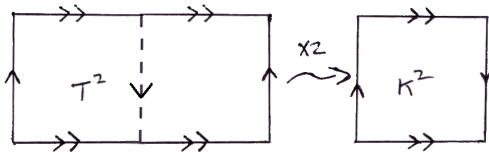


Figure 2: The torus is a double cover for the Klein bottle.

Then  $P: T \rightarrow F$  as above is called the *orientation I-bundle* (Figure 3).

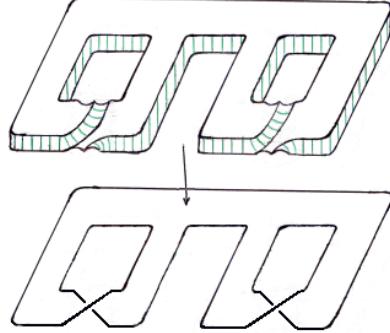


Figure 3: The orientation  $I$ -bundle over  $\mathbb{K}^2 - \text{int}(\mathbb{D}^2)$ .

We have the following:

**Theorem 7.1.** Suppose that  $(F^2, \partial M) \subset (M^3, \partial M)$  is properly embedded. Then  $N(F)$  is bundle equivalent to an  $I$ -bundle over  $F$ . If additionally  $M$  is orientable, then  $N(F)$  is bundle equivalent to the orientation  $I$ -bundle over  $F$ .

**Example 7.1.** Figure 4 shows the  $I$ -bundle for  $\mathbb{T}^2$ .

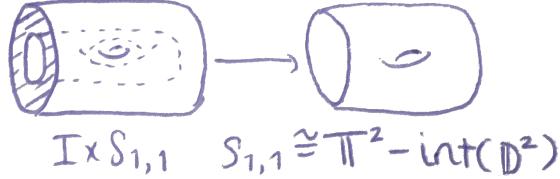


Figure 4: The orientation  $I$ -bundles are the only  $I$ -bundles one can draw in three-space.

**Definition 7.1.** We say that  $F \subset M$  is *two-sided* if  $F$  separates  $N(F)$ . Otherwise  $F$  is *one-sided*.

**Example 7.2.** The core curve  $\alpha$  in the Möbius band  $\mathbb{M}^2$  is one-sided.  $\mathbb{D}^2 \times \{p\} \subset \mathbb{D}^2 \times S^1$  is two-sided for any  $p \in S^1$ . We can also find a Möbius band in  $\mathbb{D}^2 \times S^1$  that is one-sided.  $\mathbb{M}^2 \times \{\frac{1}{2}\}$  is two-sided in  $\mathbb{M}^2 \times I$ ; see Figure 5.

**Exercise 7.1.** If  $F \subset M$  is properly embedded, give a relationship between the orientability of  $M$  and  $F$ , and the number of sides of  $F$ .

**Definition 7.2.** If  $\rho: T \rightarrow F$  is an  $I$ -bundle, then  $X \subset T$  is *vertical* if  $X$  is a union of fibres.

**Definition 7.3.** The *vertical boundary* of an  $I$ -bundle  $\rho: T \rightarrow F$  is  $\partial_v T := \rho^{-1}(\partial F)$ .

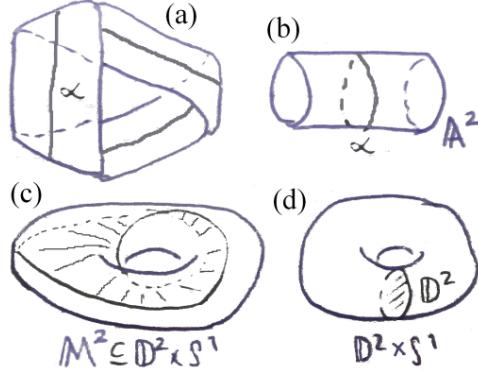


Figure 5: (a)  $\alpha$  is one-sided in  $M^2$ . (b)  $\alpha$  is two-sided in  $A^2$  (c)  $M^2$  is one-sided in  $D^2 \times S^1$  (d)  $D^2$  is two-sided in  $D^2 \times S^1$ .

**Definition 7.4.** The *horizontal* boundary of an  $I$ -bundle  $\rho: T \rightarrow V$  is  $\partial_h T = \partial T - \text{int}(\partial_v T)$ .

**Exercise 7.2.**  $\partial_v T$ ,  $\partial_h T$  and the zero section are all incompressible in  $T$ , except for  $\partial_v T$  when  $T = I \times D^2$ .

**Exercise 7.3.** If  $\partial F \neq \emptyset$ ,  $F$  is compact and connected, and  $\rho: T \rightarrow F$  is the orientation  $I$ -bundle, then  $T$  is a handlebody.

Before moving on, we summarize examples of 3-manifolds discussed so far.

**Example 7.3.** We have seen:

- (i)  $S^3$ ,  $\mathbb{P}^3$  and  $\mathbb{T}^3$ , which are closed.
- (ii)  $V_g$ , the handlebodies.
- (iii)  $I$ -bundles and  $S^1$ -bundles over surfaces.

## 8 Lecture 8: Triangulations

**Definition 9.1.** Define the  $k$ -simplex by:

$$\Delta^k = \{(x_0, \dots, x_k) \in \mathbb{R}^{k+1} : \sum x_i = 1 \text{ and } x_i \geq 0 \text{ for all } i\}$$

**Definition 9.2.** The *facet*  $\delta_I \subset \Delta^k$  is the subsimplex of the form:

$$\delta_I = \{(x_0, \dots, x_k) \in \Delta^k : x_i = 0 \text{ for all } i \in I\}$$

**Definition 9.3.** If  $\delta \subset \Delta$  and  $\delta' \subset \Delta'$  are *faces* (codimension 1 facets), then a *face pairing* is an isometry  $\varphi: \delta \rightarrow \delta'$ .

**Definition 9.4.** We call a collection  $T$  of simplices and face pairings a *triangulation*.

**Remark.** We require that for every face pairing  $\varphi \in T$  that if  $\varphi: \delta \rightarrow \delta'$  then  $\delta \neq \delta'$ .

**Definition 9.5.** The number of simplices is written  $|T|$ . The *underlying space* is written  $\|T\|$ , and is defined by:

$$\|T\| := (\bigsqcup \Delta_i) / \{\varphi_j\}$$

**Definition 9.6.** The quotient map is given by  $\pi: \bigsqcup \Delta_i \rightarrow \|T\|$  and we define  $\pi_i: \Delta_i \rightarrow \|T\|$  by restriction:  $\pi_i = \pi|_{\Delta_i}$ .

**Example 9.1.** If  $T$  is the pair of simplices in Figure 6 with face pairings given by the arrows, then  $\|T\| \cong \mathbb{T}^2$ .

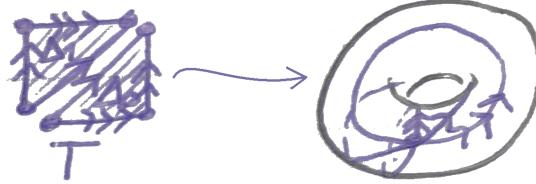


Figure 6:  $\|T\| \cong \mathbb{T}^2$ .

Similarly, if we draw  $T$  as in Figure 7 then  $\|T\| = \mathbb{M}^2$ .

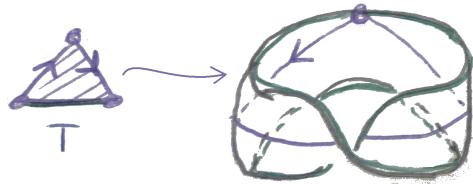


Figure 7:  $\|T\| \cong \mathbb{M}^2$ .

**Exercise 9.1.** Find necessary and sufficient combinatorial conditions on  $T$  so that  $\|T\|$  is a (PL) manifold of dimension 1, 2 or 3.

**Hauptvermutung** (Moise). *Every topological 3-manifold admits a triangulation, unique up to subdivision. In particular, for any  $M^3$ , there exists a triangulation  $T$  such that  $\|T\| \cong M$ .*

**Remark.** This is one important step in showing, in dimension three, that the categories TOP, PL and DIFF are all equivalent.

**Definition 9.7.** Suppose  $(M^3, T)$  is a triangulated manifold. An *orientation* of  $M$  is a choice of orientation for all  $\Delta \in T$ , such that all face pairings reverse the induced orientation on faces.

**Example 9.2.** The annulus is orientable, but the Möbius band is not. See Figure 8.

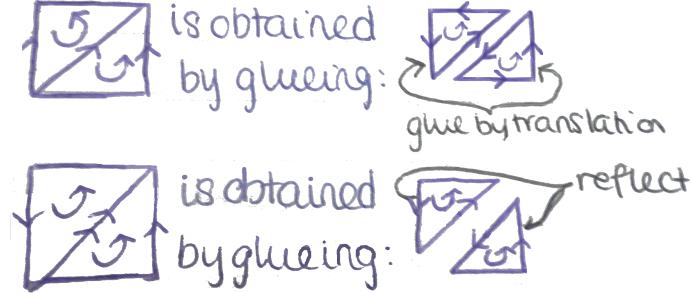


Figure 8: The annulus is orientable as all face pairings reverse the induced orientation on faces.

**Proposition 9.1** (Proposition 6.5 in Lackenby). *An  $n$ -manifold  $(M^n, T)$  is orientable if and only if for every simple closed curve  $\alpha \in M$  we have  $N(\alpha) \cong \mathbb{B}^{n-1} \times S^1$ .*

**Remark.** We can also determine orientability in DIFF using  $\text{sign}(\det(Dh))$  where  $h$  ranges over the overlap maps, as in Figure 9. We can also define orientation in TOP using homology.

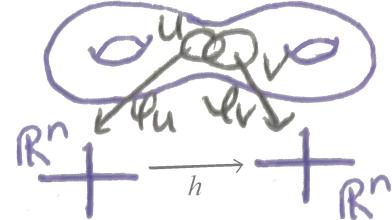


Figure 9: Orientation in DIFF arises from overlap maps of charts.

**Definition 9.8.** Define  $\Delta^{(k)}$  to be the union of  $k$ -dimensional facets of  $\Delta$ . If  $(M, T)$  is a triangulated 3-manifold, define  $M^{(k)}$ , the  $k$ -skeleton of  $M$  to be the manifold with triangulation  $T = \bigcup_{i=1}^{|T|} \pi_i(\Delta^{(k)})$ . Figure 10 shows the  $k$ -skeleta of  $\Delta$ .

**Example 9.3.** Figure 11 shows two examples of identifications.

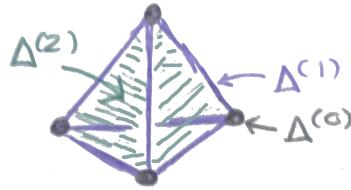


Figure 10: The  $k$ -skeleta of  $\Delta$ .

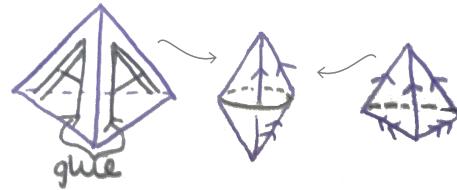


Figure 11: Two different views of the same triangulation for  $\mathbb{B}^3$ .

**Exercise 9.2.** Verify that the triangulation in Figure 12 is a three-manifold, and recognise it.



Figure 12: Which three-manifold is this?

**Definition 9.9.** An isotopy  $F: M \times I \rightarrow M$  is *normal* with respect to a triangulation  $T$  of  $M$  if for all  $t \in I$ , the homeomorphism  $F_t$  preserves  $M^{(k)}$  for all  $k$ , and  $F_0 = \text{Id}_M$ . See Figure 13 for an example.

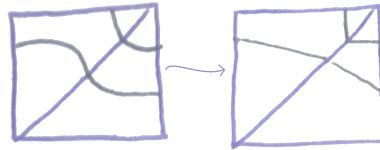


Figure 13: A normal isotopy.

**Remark.** Thus  $M^{(0)}$  is fixed pointwise, and all other facets are fixed setwise.

**Definition 9.10.** Say an arc  $(\alpha, \partial\alpha) \subset (\Delta^2, \partial\Delta)$  is *normal* if the points of  $\partial\alpha$

are in distinct edges of  $\Delta$ , and  $\alpha \cap \Delta^{(0)} = \emptyset$ . See Figure 14 for some examples and a non-example.

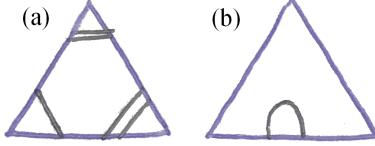


Figure 14: (a) Normal arcs. (b) This is not a normal arc.

**Definition 9.11.** A disk  $(D, \partial D) \subset (\Delta^3, \partial\Delta)$  is a *normal disk* if  $\partial D$  is transverse to  $\Delta^{(1)}$ ,  $\partial D$  meets each edge of  $\Delta^{(1)}$  at most once, and  $D \cap \Delta^{(0)} = \emptyset$ . See Figures 15(a) and (b) for examples and 15(c) and (d) for non-examples.

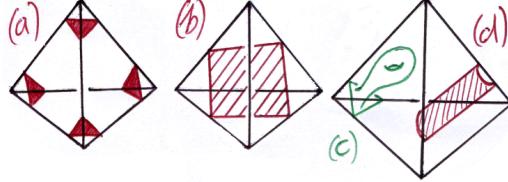


Figure 15: (a) There are four normal triangles. (b) There are three normal quadrilaterals. (c) This is not even a disk, let alone normal. (d) This is also not a normal disc.

**Exercise 9.3.** Prove that:

- (i) There are only three normal arcs up to normal isotopy.
- (ii) There are only seven normal disks up to normal isotopy.

Recall that  $\pi_i: \Delta_i \rightarrow M$  is defined by  $\pi_i = \pi|_{\Delta_i}$ , where  $\pi$  is the quotient map.

**Definition 9.12.** Suppose  $S \subset M$  is a surface. Say  $S$  is normal if  $\pi_i^{-1}(S)$  is a disjoint collection of *normal* disks for all  $i$ .

**Example 9.4.** The three normal disks in the tetrahedron shown in Figure 16 give a normal surface under the identification indicated by the arrows.

**Exercise 9.4.** Show that, with triangulations as in Figure 17, (a) and (b) are three manifolds, and recognise them.

**Theorem 9.2** (Haken-Kneser Finiteness). *Suppose  $(M, T)$  is a connected, compact triangulated 3-manifold. Suppose  $S \subset (M, T)$  is an embedded normal surface. Then if  $|S| \geq 20|T| + 1$  there are components  $R, R' \subset S$  so that  $R, R'$  cobound a product component of  $M - S$ .*

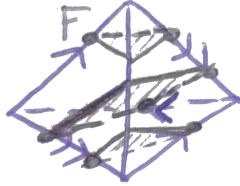


Figure 16: Recognise the normal surface  $F$  by computing  $|\partial F|$ ,  $\chi(F)$  and the orientability.

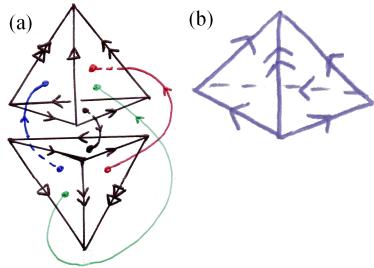


Figure 17: Show that (a) and (b) are three manifolds and recognise them.

**Remark.** Figures 18 and 19 show examples of parallel surfaces.

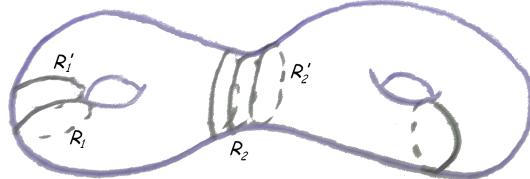


Figure 18: Here both  $R_1$  &  $R'_1$  and  $R_2$  &  $R'_2$  bound copies of  $D^2 \times I$ .

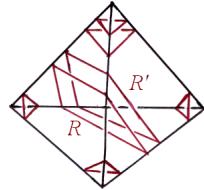


Figure 19:  $R$  and  $R'$  bound a product.

*Proof of Theorem 9.2.* Recall that  $S \cap \Delta$  for  $\Delta \in T$  is a finite collection of normal disks. Consider the subcollection of disks of a fixed type, that is a normal

isotopy class. Call the outermost disks *ugly*, the second outermost disks *bad*, and all other disks *good*, as illustrated in Figure 20.

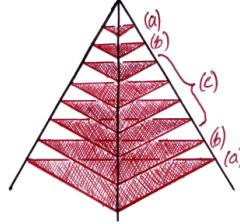


Figure 20: (a) Ugly disks. (b) Bad disks. (c) Good disks.

Thus there is a component  $F \subset S$ , such that  $F$  is a union of good disks. To see this, note that there are at most  $20|T|$  ugly and bad disks in total. There are at most five types of disk in each  $S \cap \Delta$ , and at most four of each can be ugly or bad; see Figures 21(a) and (b).

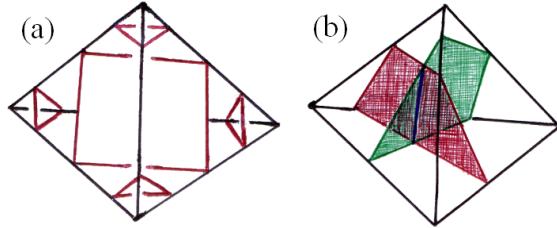


Figure 21: (a) There are at most five types of disk in each  $S \cap \Delta$  because (b) two normal quadrilaterals of different types must intersect.

Now let  $N$  be the closure of the union, over all  $\Delta_i$ , of all components of  $\Delta_i - S$  that are adjacent to  $F$ , as in Figure 22.

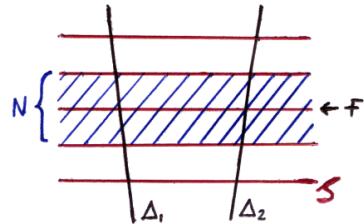


Figure 22:  $N$  is the closure of the union over all  $\Delta_i$  of all components of  $\Delta_i - S$  that are adjacent to  $F$ .

**Exercise 9.5.** Prove that  $N$  is an  $I$ -bundle and either  $N$  is ambient isotopic to  $N(F)$  or  $F$  is two-sided and parallel to  $\partial_h N$ .