

Math 8803: MCG

- Primer, Farb-M
 - Flipped / Just in Time
 - ~ 1 chapter/ week
 - Midterm : Read & summarize
a paper on MCG
- Target: Oct 5
- Final: Attempt research
Proposal Nov 2
 - Target Nov 23
Groups ok.
 - Participation
Teams : Q's for class
Open q's.

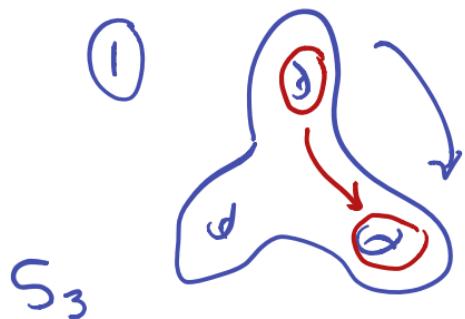
Also: This Wed 11:15 start.

Mapping Class Gps

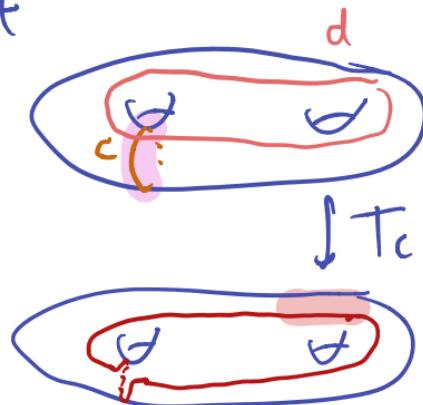
$$\text{Mod}(S) = \pi_0 \text{Homeo}^+(S)$$
$$= \text{Homeo}^+(S) / \text{isotopy}$$



Sample elements



② Dehn twist

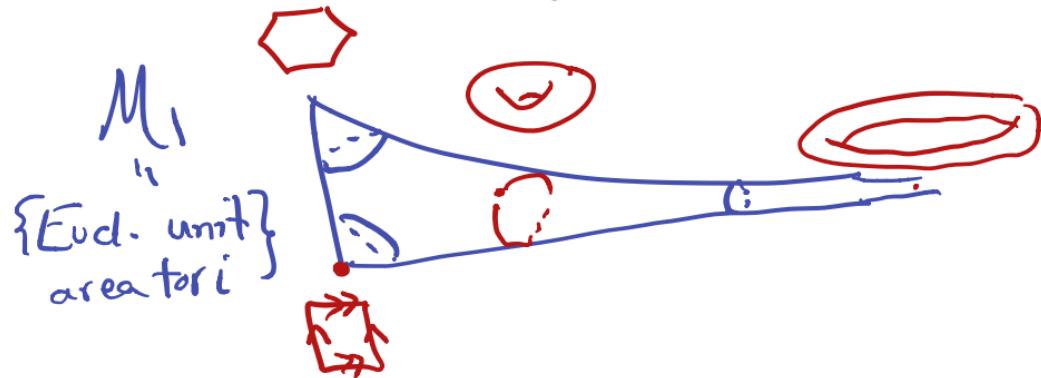


3 Reasons

① Alg geometry.

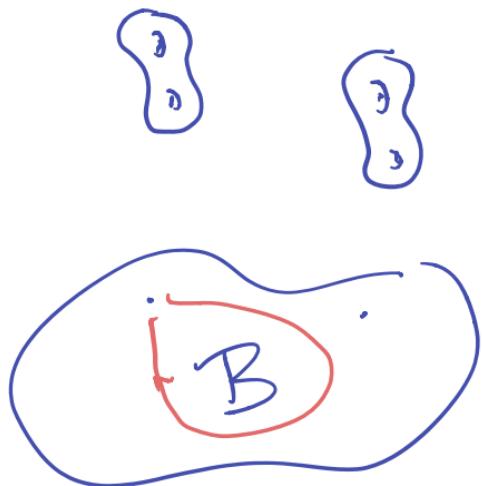
$$\text{Mod}(S_g) = \text{"}\pi_1\text{" } M_g$$

M_g = moduli space of
alg. curves of genus g .

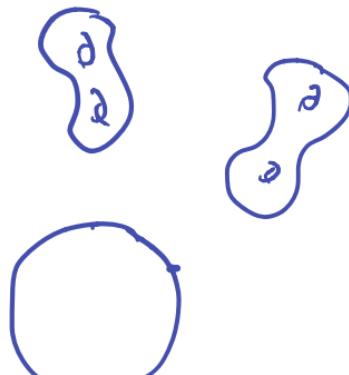


② Topology

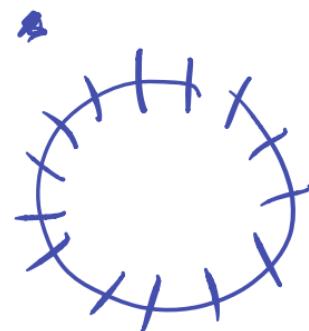
$$\{S\text{-bundles over } B\} \longleftrightarrow \left\{ \begin{array}{l} \pi_1 B \rightarrow \text{Mod}(S) \\ \text{"monodromy"} \end{array} \right\}$$



$B = S'$
e.g. $S \times S'$

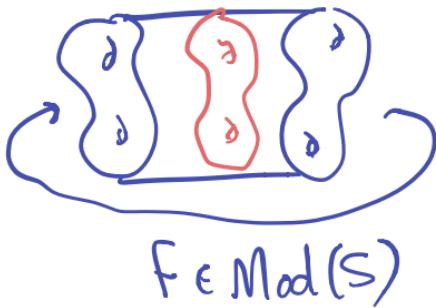


Möbius band also
Annulus: $[0,1]$ -bun⁺
over S^1



Agol, Wise, Perelman, Thurston...

Essentially all 3-manifolds arise this way.



$$S \times [0,1] / (x,1) \sim (\varphi(x),0)$$

$$[\varphi] = f$$

Donaldson:

All symplectic 4-mans arise essentially
this way.

Also Contact topology: open bks

③ Geometric Group Thy

$$\text{Out}(G) \cong \text{Aut}(G)/\text{Inn}(G)$$

Dehn - Nielsen - Baer thm

$$\text{Mod}^{\pm}(S_g) \cong \text{Out } \pi_1(S_g)$$

Topology. Algebra

Number thy-

Related topics

Graph cohm.

Group theory

Rep thy

Graph thy

Complex anal.

Hyp. geom

Alg top.

Dynamics

Combinatorics

Part I

Overview of Book/Class

① Curves on surfaces - Wed.

homeos: linear maps ::

curves: vectors

② MCG basics

$$\text{Mod}(T^2) \xrightarrow{\cong} SL_2 \mathbb{Z}$$



Alexander
Method

③ Dehn twists

Prop. $a \# b$

$$\text{alg. } T_a T_b T_a = T_b T_a T_b$$

$$\iff i(a, b) = 1. \text{ topol.}$$

④ Generating MCG

$$\text{Dehn: } \text{Mod}(S_g) = \langle T_c \rangle$$

⑤ Presentations of MCG

$$H_1(\text{Mod}(S_g)) = 0$$

$$H_2(\text{Mod}(S_g)) \cong \mathbb{Z}$$

$$H_k(\text{Mod}(S_g)) \longleftrightarrow \text{characteristic classes for } S_g\text{-bundles}$$



super duper
mysterious.

⑥ Symplectic rep.

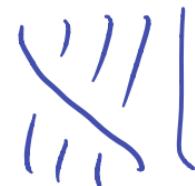
⑦ Torsion

In $\text{Mod}(S_g)$, elements of order

1, 2, 3, 4, 6, 7, 8, 9, 12, 14.

⑧ DNB (see above)

⑨ Braid gps

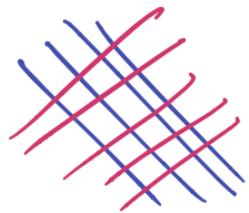


Parts II & III

Nielsen - Thurston Classification Thm: Any f in $\text{Mod}(S)$ has a rep. φ that is

① finite order

② reducible: fixes a collection of disjoint curves.

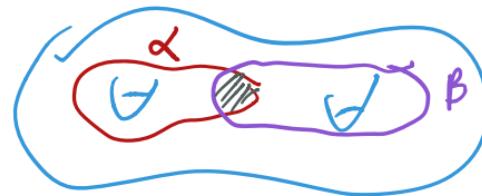


③ pseudo-Anosov: like $\begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix} \in \mathbb{R}^2$

Chapter 1 Highlights

① Geometric int #

$$i(\alpha, \beta) = \min_{\alpha' \sim \alpha, \beta' \sim \beta} |\alpha' \cap \beta'|$$



$$i(\alpha, \beta) = 0$$

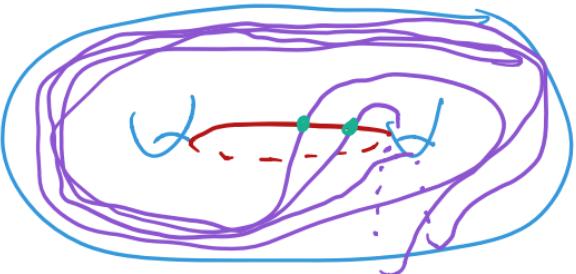
← function on pairs of homotopy classes.

② Bigon criterion

α, β are in minimal position (realize $i(\alpha, \beta)$)

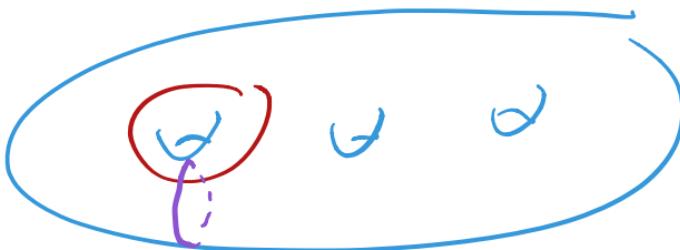
↔ they do not form a bigon





③ Change of coordinates principle.

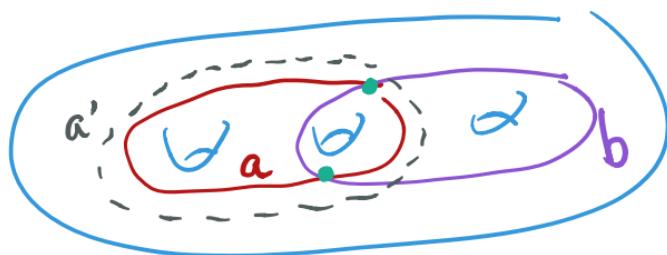
Example. if $i(a,b) = 1$ then it's this pic



$$\begin{aligned} & T_a T_b T_a \\ & = T_b T_a T_b \end{aligned}$$

Geometric intersection number

Observ. 1 $i(a, b) \neq |\hat{i}(a, b)|$

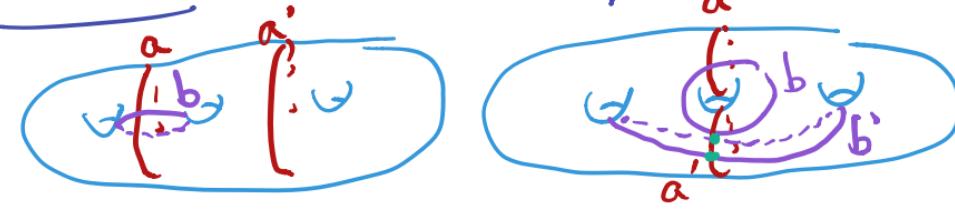


$$i(a, b) = 2$$
$$\hat{i}(a, b) = 0$$

homologous class

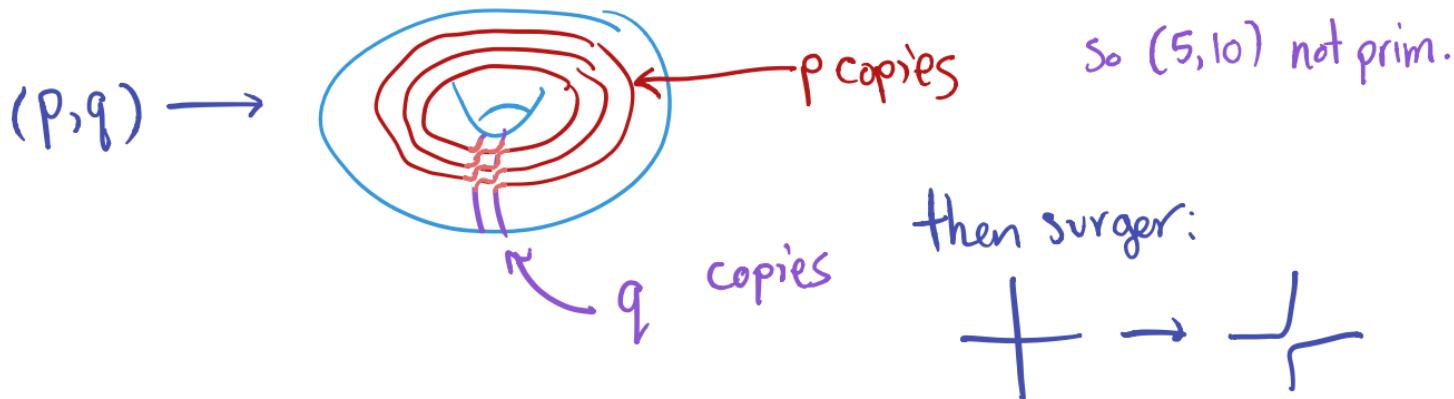
Bigon unit \Rightarrow min. pos.

Observ. 2 $[a] = [a'] \not\Rightarrow i(a, b) = i(a', b)$



Fact. On T^2 : $\{ \begin{matrix} \text{hom. classes of} \\ \text{simple closed curves} \end{matrix} \} \longleftrightarrow \begin{matrix} \text{primitive elts} \\ \text{of } \mathbb{Z}^2 / \pm \\ \text{not an integer} \\ \text{multiple,} \end{matrix}$

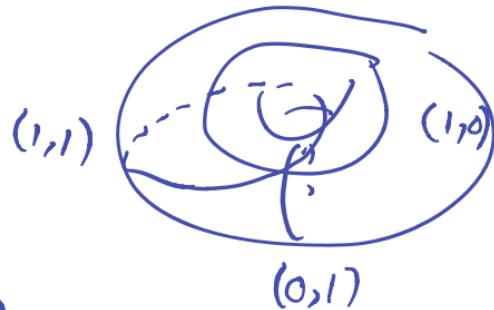
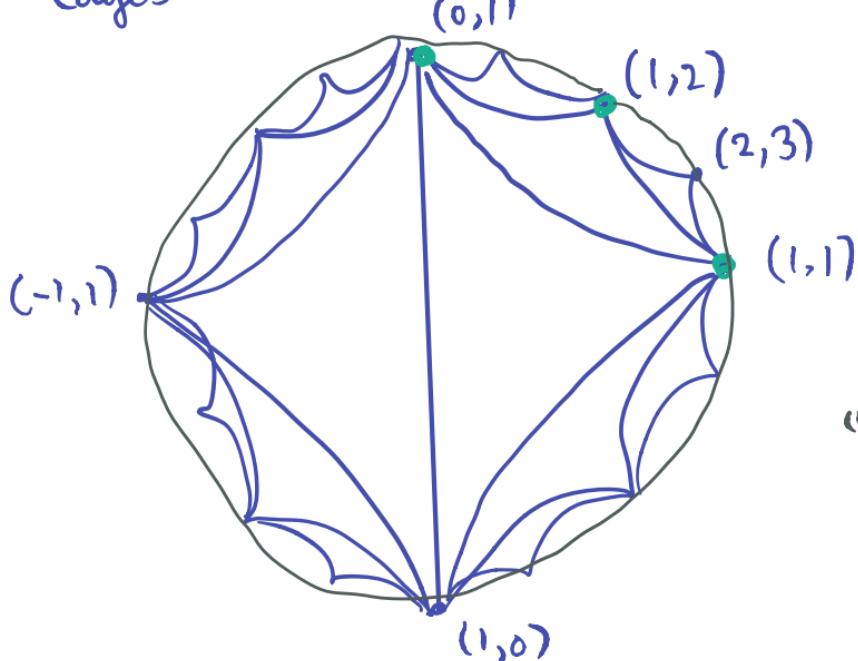
The map \leftarrow is:



Fact. $i((p, q), (r, s)) = \begin{vmatrix} p & r \\ q & s \end{vmatrix}$

Pf. First check for $(p, q) = (1, 0)$
 General case: apply $A \in SL_2 \mathbb{Z}$
 s.t. $A(p) = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$. $\mathbb{Z}^{\text{lin. map}}$ of T^2

Farey graph
 hom. classes S.C.C. on T^2
 vertices :
 edges : $i = 1$



"complex of curves
 for T^2 "

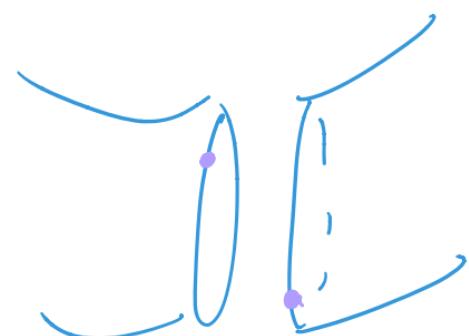
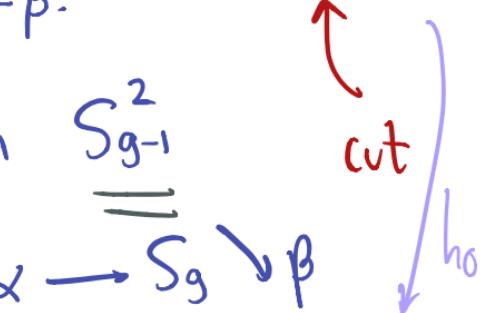
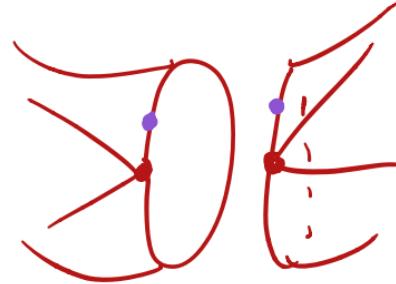
③ Change of Coords Principle

First example: $\alpha, \beta \subseteq S_g$ nonsep.

wt $\exists h \in \text{Homeo}(S_g)$ st $h(\alpha) = \beta$.

Pf. $S_g \setminus \alpha$ & $S_g \setminus \beta$ are both S^{g-1}
 Class. of surf's $\rightsquigarrow h_0: S_g \setminus \alpha \rightarrow S_g \setminus \beta$

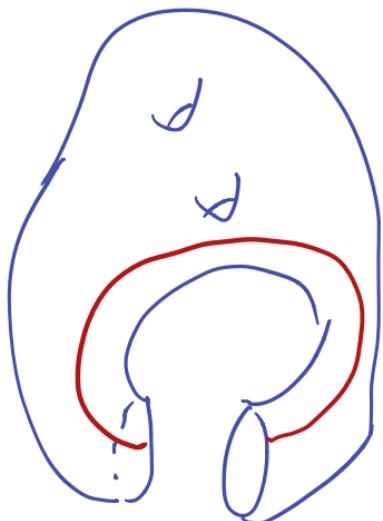
$\rightsquigarrow h$.



Example If $i(\alpha, \beta) = 1$ & $i(\gamma, \delta) = 1$

then $\exists h \in \text{Homeo}(S_g)$ st. $h(\alpha, \beta) = (\gamma, \delta)$

Same proof: Cut, use class. of surf.



$$S_g \downarrow (\alpha \cup \beta) = S_{g-1}^1$$

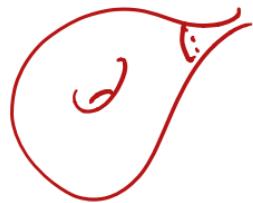
$$\begin{aligned}\chi(S_g) &= 2 - 2g & \chi(S_{g-1}^1) &= 2 - 2(g-1) - 1 \\ &&&= 3 - 2g\end{aligned}$$

$$\text{Diagram showing } \chi = -1 \text{ for a torus with a hole, and the sum of two such surfaces equals a torus.}$$

Extra time

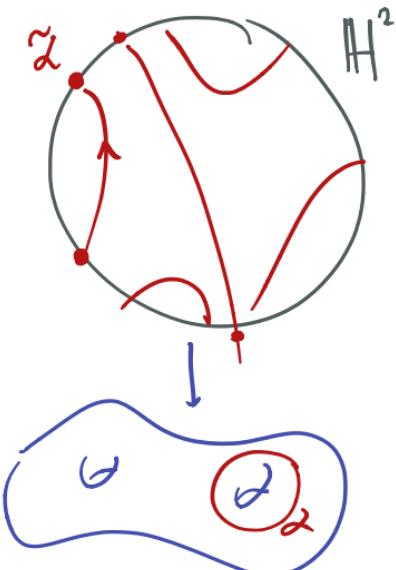
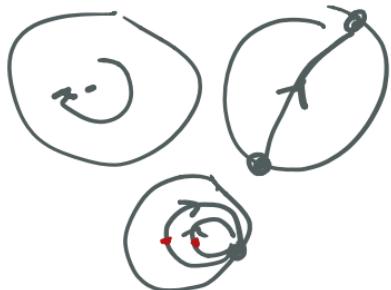
Fact. If $\alpha \in \pi_1(S_g)$ $g > 1$

$$\Rightarrow C(\alpha) \cong \mathbb{Z} = \langle \alpha_0 \rangle \quad \alpha_0 = \text{root of } \alpha.$$



Pf.

Classif. of $\text{Isom}^+(\mathbb{H}^2)$



Alg. top: $\pi_1(S) \rightarrow \text{Homeo}(S)$
(deck trans)

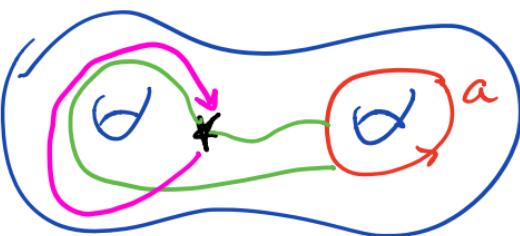
Here: $\pi_1(S) \rightarrow \text{Isom}^+(\mathbb{H}^2)$
image discrete.

Fact 1 $\alpha \rightarrow$ hyp/lox isometry
(i.e. translates along)

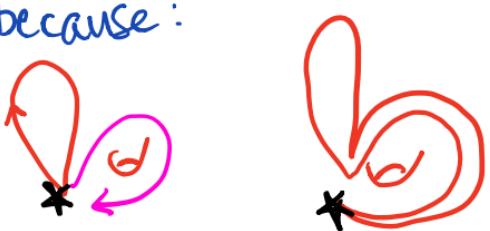
Fact 2 In $\text{Isom}^+(\mathbb{H}^2)$ axis
 $C(\text{hyp isom}) \cong \mathbb{R}$ = translation along axis.

1.2.1 Closed curves & geodesics

$$\left\{ \begin{array}{l} \text{conj classes} \\ \text{in } \pi_1(S) \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{free hom. classes} \\ \text{of oriented } \cancel{\times} \text{ c.c.} \end{array} \right\}$$



The two elts of π_1 ,
you get differ by
a point push \longleftrightarrow conj.
because:

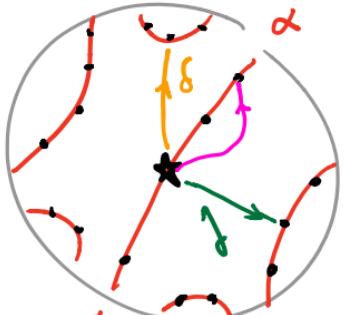


$\{ \text{elts of conj}$

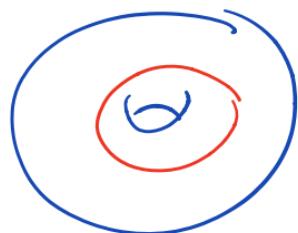
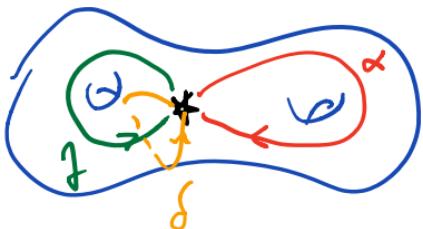
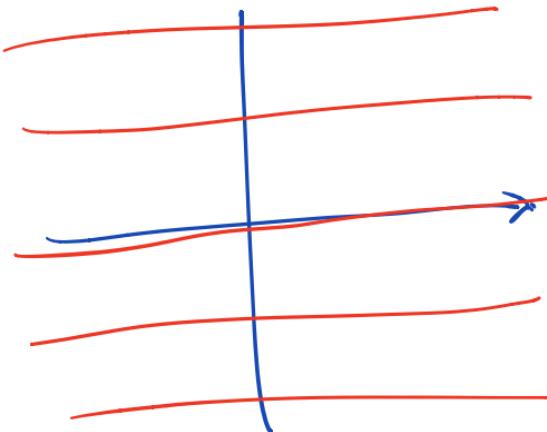
class α

$\left\{ \begin{array}{l} \text{lifts to } \mathbb{H}^2 \\ \text{of } \alpha \end{array} \right\}$

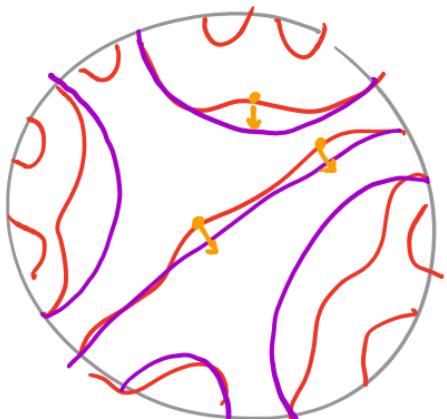
lift = component
of $p^{-1}(\alpha)$



repeated path lift
of α

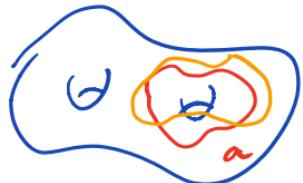


$\left\{ \begin{array}{l} \text{free hom. classes} \\ \circ f \text{ (simple) curves} \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} (\text{simple}) \\ \text{geodesics} \end{array} \right\}$

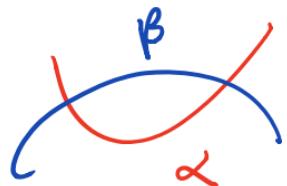


→ straight line
 homotopy to
 closest projection

injectivity: homotopies
 can't change endpoints
 at $\partial_\infty H^2$.



Bigon criterion α, β are in min pos. $\iff \alpha, \beta$ form no bigons.



Bigon.



easy.

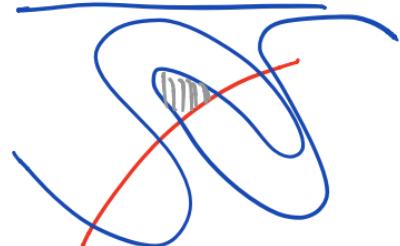


two proofs.

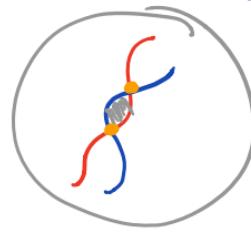
Lemma. α, β form no bigons

\iff any two lifts intersect 0,1 times.

Pf of Lemma. \Rightarrow Lift the bigon to \mathbb{H}^2 (lifting criterion)



$\pi_1(\text{bigon}) = 1$,



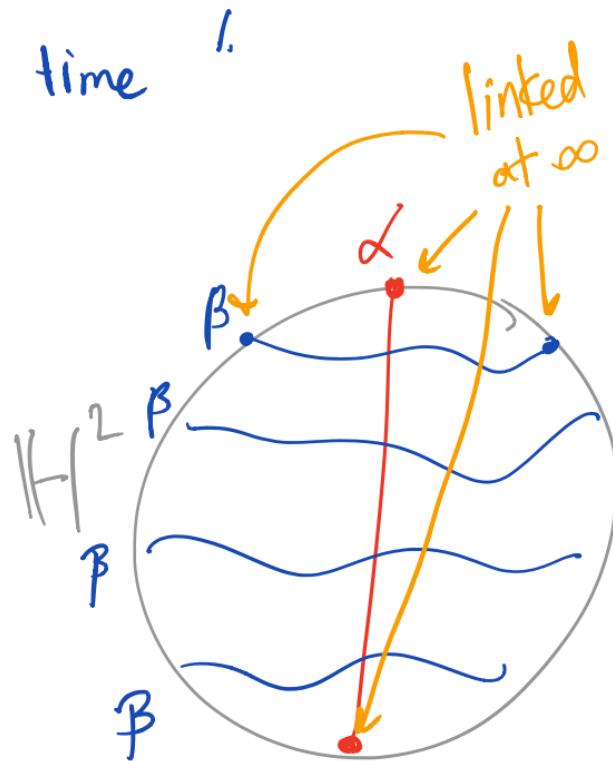
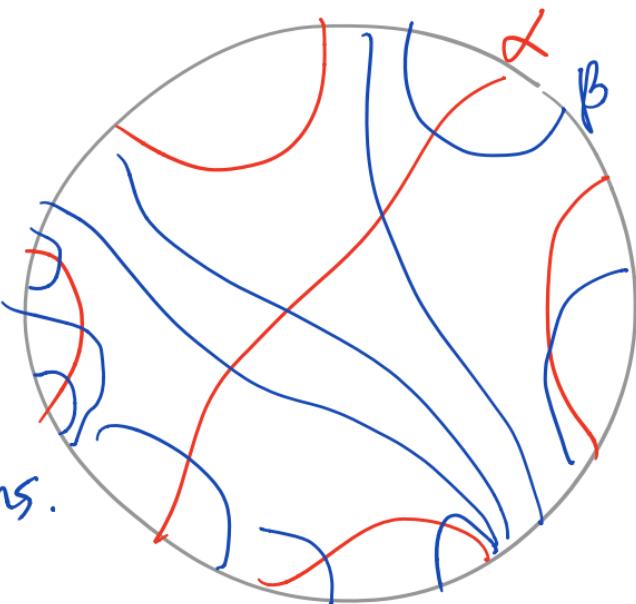
Check this bigon in \mathbb{H}^2
descends to bigon in S .
(check inj).

To prove Big. Crit, need to show:

If all lifts of α, β intersected ≤ 1 time

then α, β min. pos.

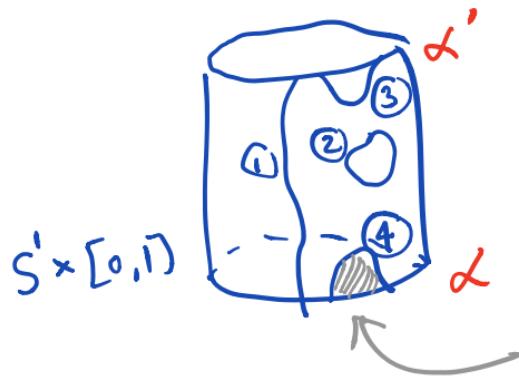
Homotopies
is S
can't change
linking at ∞
and so can't
remove intersections.



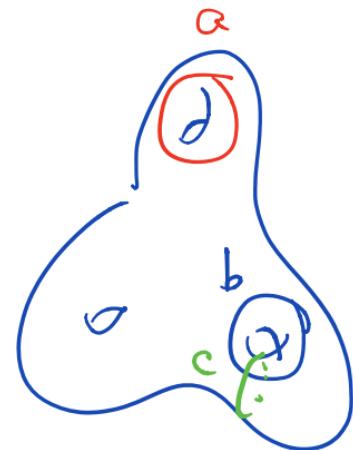
Proof #2. Suppose α, β not in min. pos.

Want to find a bigon.

Let $H: S^1 \times [0,1] \rightarrow S$ be a homotopy of
 α that reduces intersection.



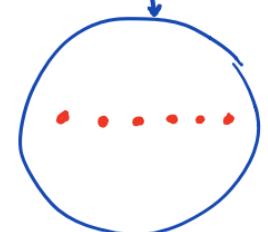
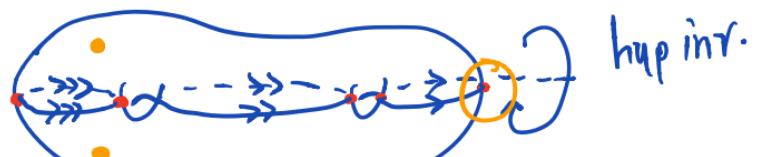
maps to bigon in S .



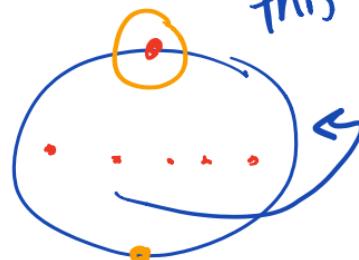
Chapter 2

$\text{Mod}(S) = \pi_0(\text{Homeo}^+(S, \partial S))$ & marked pts
fixed as a set.
 $\cong \text{Homeo}^+(S, \partial S) / \text{homotopy.}$

example order 5 elt in ~~Mod~~. $\text{Mod}(S_2)$



\exists order 5 element!



By lifting crit
this lifts.

order 5 or 10
in $\text{Mod}(S_2)$?

Basic examples D^2 , $D^2 \setminus \text{pt}$, $S_{0,1} \cong \mathbb{R}^2$, $S_{0,0} \cong S^2$, $S_{0,3}$ maybe
 $A = \text{annulus}$, $S_{1,0} = T^2$

↑ marked pt
 ↓ genus

Alexander Lemma

Prop. $\text{Mod}(D^2) = 1$.

Pf. $q \in \text{Homeo}^+(D^2, \partial D^2)$

$q_t :$ $\begin{cases} \text{id} & t=0 \\ \text{?} & 0 < t < 1 \\ \text{id} & t=1 \end{cases}$

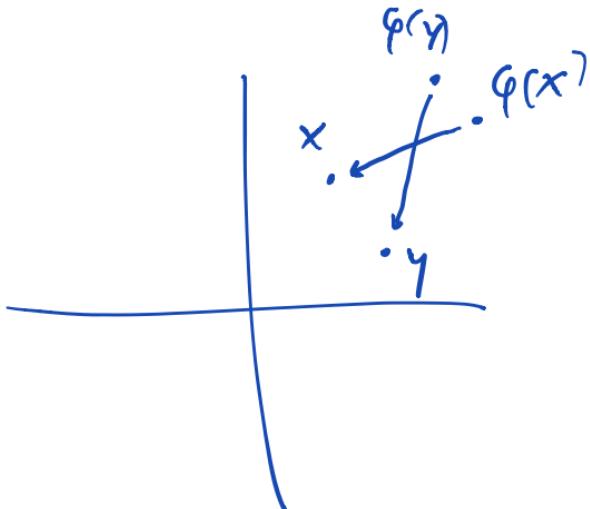
q here
 (really q conj by scaling
 by $1-t$)

Cor of Proof
 $\text{Mod}(D^2 \setminus \text{pt}) = 1$.

Prop. $\text{Mod}(S_{0,1}) = \text{Mod}(\mathbb{R}^2) = 1$

Pf. Straight line homotopy.

$$\varphi \in \text{Homeo}^+(\mathbb{R}^2)$$



Prop. $\text{Mod}(S_{0,0}) = \text{Mod}(S^2) = 1$.

Pf. First homotope so $\varphi(\text{north pole}) = \text{north pole}$

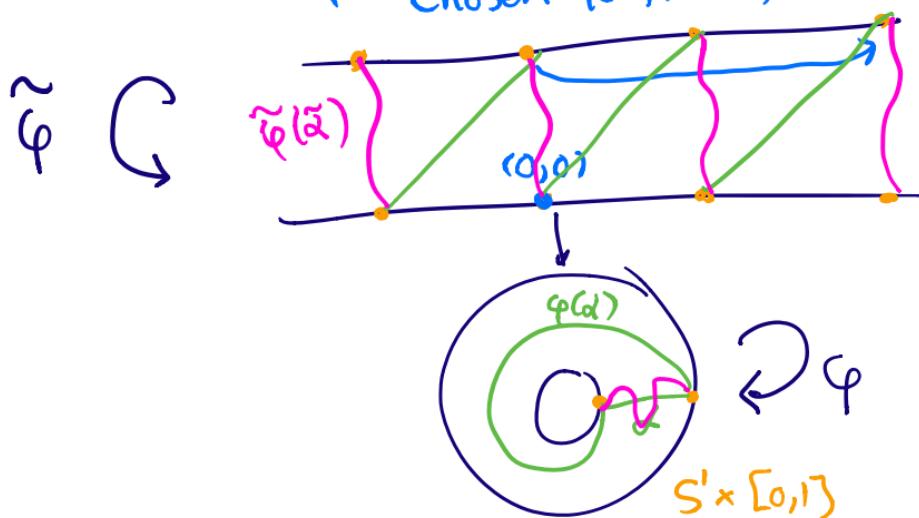
Apply prev. Prop.

Prop. $\text{Mod}(A) \cong \mathbb{Z}$.

Pf. Define $L: \text{Mod}(A) \rightarrow \mathbb{Z}$.

Let $[\varphi] \in \text{Mod}(A)$

Restrict $\tilde{\varphi}$ to $\mathbb{R} \times \{1\}$ in $\mathbb{R} \times [0,1]$
chosen to fix $(0,0)$



$\mathbb{R} \times [0,1]$

Surjectivity: Dehn twist
 $\rightarrow \pm 1$

Injectivity: Straight line
homotopy $\tilde{\varphi} \rightarrow \text{id.}$

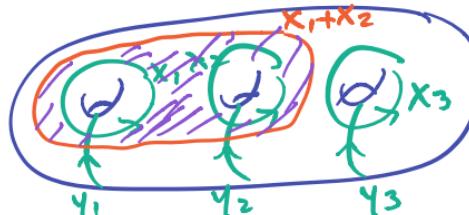
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THE TORUS

Prop. The map $\text{Mod}(T^2) \rightarrow SL_2 \mathbb{Z}$ given by action on $H_1(T^2; \mathbb{Z})$ is an \cong .



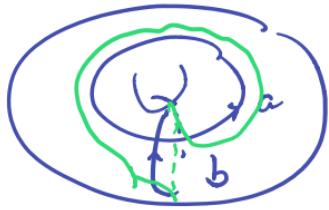
$$H_1(S_g; \mathbb{Z}) = \mathbb{Z}^{2g}$$



not GL because $i.$ and $i \leftrightarrow \text{det.}$

Pf.

Surjectivity



Pf #1

$$T_a \mapsto \begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix} \quad T_b \mapsto \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$$

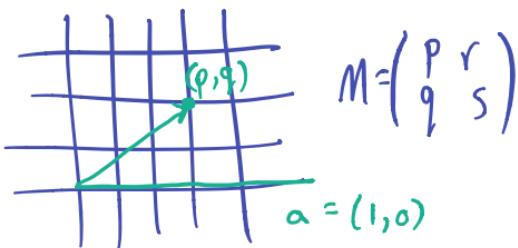
Pf #2

Let $M \in SL_2 \mathbb{Z}$, thought of as lin map $\mathbb{R}^2 \rightarrow \mathbb{R}^2$

M descends to $\varphi \in \text{Homeo}^+(T^2)$

and $\varphi_* = M$

↑ action on H_1 .



Injectivity

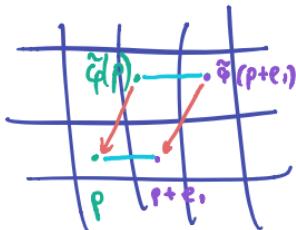
Pf #1

$K(G, 1)$ theory

$$\left\{ \begin{array}{c} \text{based maps} \\ T^2 \rightarrow T^2 \end{array} \right\} / \sim \leftrightarrow \left\{ \begin{array}{c} \text{homom} \\ \mathbb{Z}^2 \rightarrow \mathbb{Z}^2 \end{array} \right\}$$

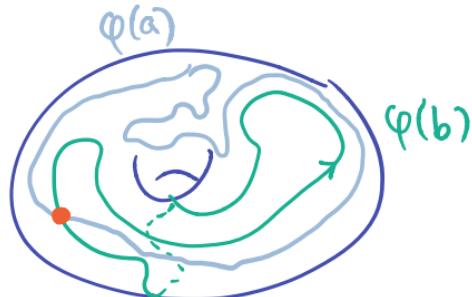
Pf #2

Straight-line homotopy.



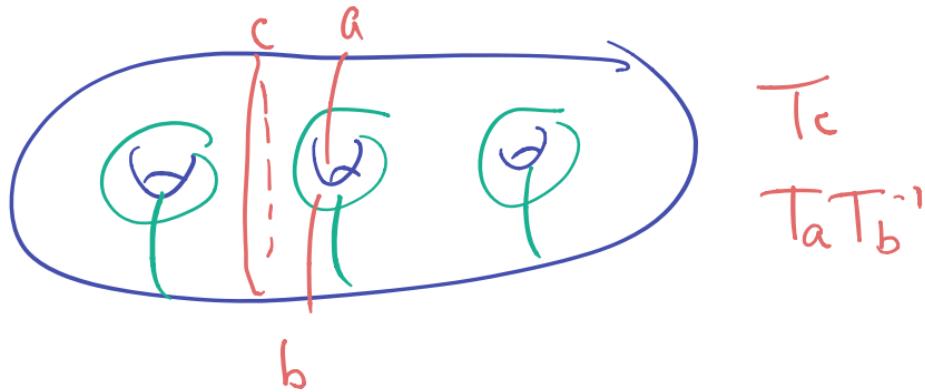
$\varphi \in \text{kernel}$
 \Rightarrow S.L.H. equivariant
w.r.t. deck trans.

Pf #3



What about higher genus?

$\text{Mod}(S_g) \rightarrow \text{Aut}(\mathbb{Z}^{2g})$ has a (big) kernel!
Torelli gp.



See Chap. 6.

Proposition 2.8 (Alexander method) Let S be a compact surface, possibly with marked points, and let $\phi \in \text{Homeo}^+(S, \partial S)$. Let $\gamma_1, \dots, \gamma_n$ be a collection of essential simple closed curves and simple proper arcs in S with the following properties.

1. The γ_i are pairwise in minimal position.
2. The γ_i are pairwise nonisotopic.
3. For distinct i, j, k , at least one of $\gamma_i \cap \gamma_j$, $\gamma_i \cap \gamma_k$, or $\gamma_j \cap \gamma_k$ is empty.

(1) If there is a permutation σ of $\{1, \dots, n\}$ so that $\phi(\gamma_i)$ is isotopic to $\gamma_{\sigma(i)}$ relative to ∂S for each i , then $\phi(\cup \gamma_i)$ is isotopic to $\cup \gamma_i$ relative to ∂S .

If we regard $\cup \gamma_i$ as a (possibly disconnected) graph Γ in S , with vertices at the intersection points and at the endpoints of arcs, then the composition of ϕ with this isotopy gives an automorphism ϕ_* of Γ .

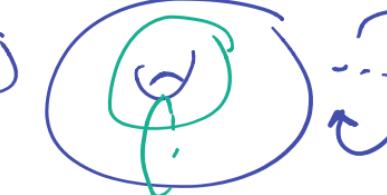
(2) Suppose now that $\{\gamma_i\}$ fills S . If ϕ_* fixes each vertex and each edge of Γ with orientations, then ϕ is isotopic to the identity. Otherwise, ϕ has a nontrivial power that is isotopic to the identity.

Morally: A mapping class is determined by its action on (finitely many) curves.

Q. Is there a version without hypoth.?

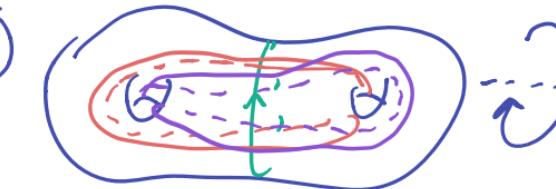
Examples.

①



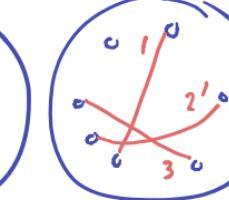
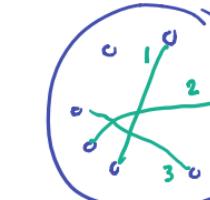
hyp inv

②



Q. Is there a similar example satisfying 3. in Prop 2.8?

③



Is there a notion of canonical pos. for curves failing 3?

Proposition 2.8 (Alexander method) Let S be a compact surface, possibly with marked points, and let $\phi \in \text{Homeo}^+(S, \partial S)$. Let $\gamma_1, \dots, \gamma_n$ be a collection of essential simple closed curves and simple proper arcs in S with the following properties.

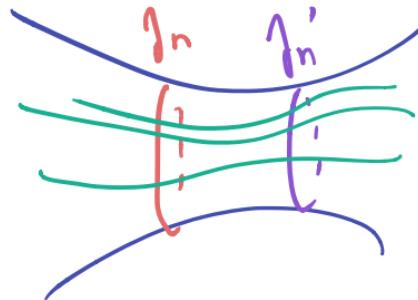
1. The γ_i are pairwise in minimal position.
2. The γ_i are pairwise nonisotopic.
3. For distinct i, j, k , at least one of $\gamma_i \cap \gamma_j$, $\gamma_i \cap \gamma_k$, or $\gamma_j \cap \gamma_k$ is empty.

(1) If there is a permutation σ of $\{1, \dots, n\}$ so that $\phi(\gamma_i)$ is isotopic to $\gamma_{\sigma(i)}$ relative to ∂S for each i , then $\phi(\cup \gamma_i)$ is isotopic to $\cup \gamma_i$ relative to ∂S .

If we regard $\cup \gamma_i$ as a (possibly disconnected) graph Γ in S , with vertices at the intersection points and at the endpoints of arcs, then the composition of ϕ with this isotopy gives an automorphism ϕ_* of Γ .

(2) Suppose now that $\{\gamma_i\}$ fills S . If ϕ_* fixes each vertex and each edge of Γ with orientations, then ϕ is isotopic to the identity. Otherwise, ϕ has a nontrivial power that is isotopic to the identity.

Step 2. Remove annulus



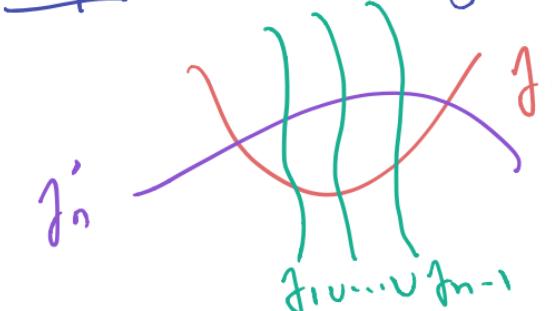
Pf by induction on n .

Say we modified φ by homotopy

$$\text{So } \varphi(J_1 \cup \dots \cup J_{n-1}) = J_1 \cup \dots \cup J_{n-1}$$

Want to isotope φ s.t. $J_n \rightarrow J_n'$
 & we fix $J_1 \cup \dots \cup J_{n-1}$.

Step 1. Remove bigons



Cor. If $c_i = [f_i]$ as in Prop.

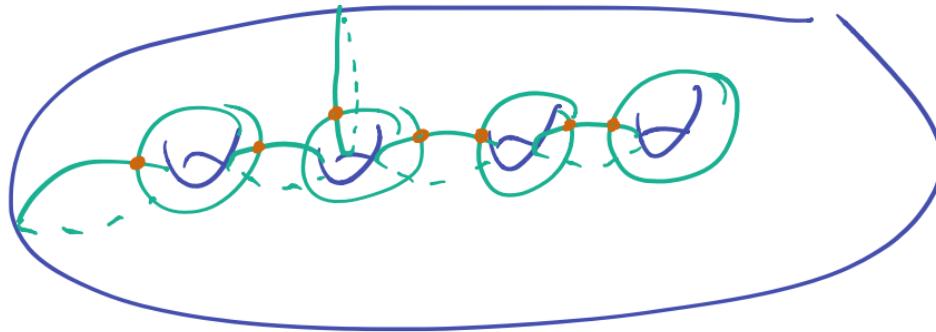
& $f \in \text{Mod}(S)$ fixes $\{c_i\}$

Then f has finite order.

Moreover, f is det. by induced action on

$\bigcup f_i$, thought of as a graph.

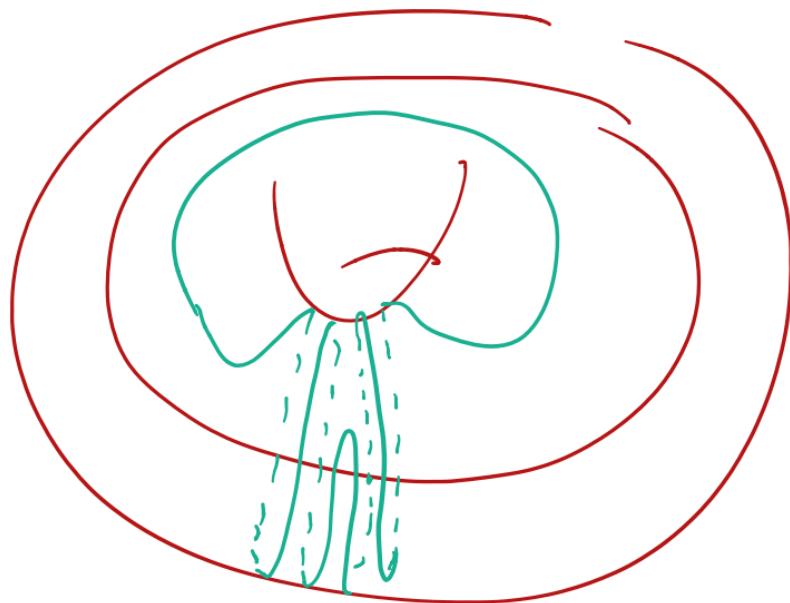
A good Alexander system:



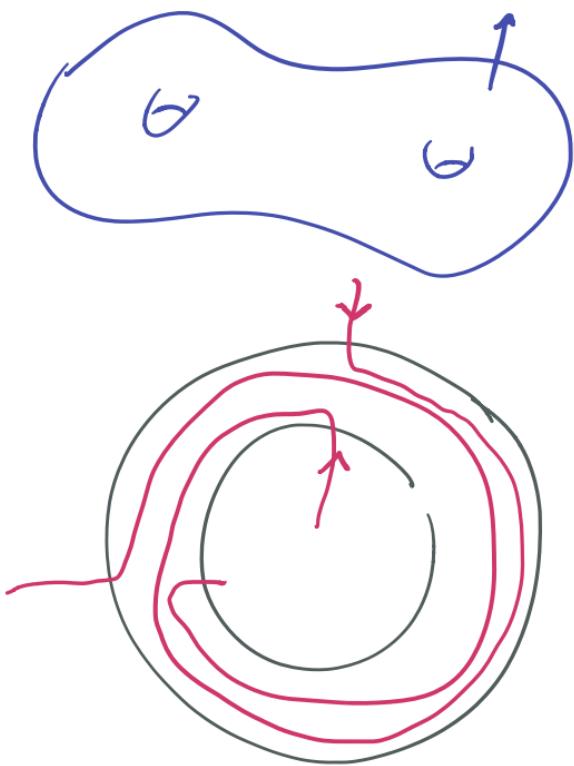
This graph has no nontrivial automorphisms

So if f fixes ^{the $\{c_i\}$ as a set} each ~~curve~~ then
 $f = \text{id}$ in $\text{Mod}(S_4)$

filling, but not
really.



Dehn twists



Prop. Dehn twist have ∞ order.

If a nonsep the T_a^k acts nontrivially on $H_1(S_g)$ $k \neq 0$
hence $T_a^k \neq \text{id}$.

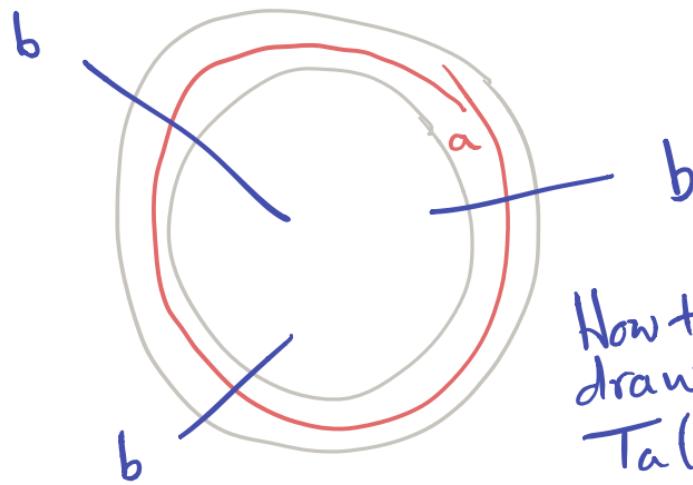
But for a sep. T_a^k acts trivially. Can draw $T_a^{-k}(b)$.
Check if $(T_a^k(b), b) \neq 0$

$\Rightarrow T_a^k(b) \neq b$
 $\Rightarrow T_a^k \neq \text{id}$

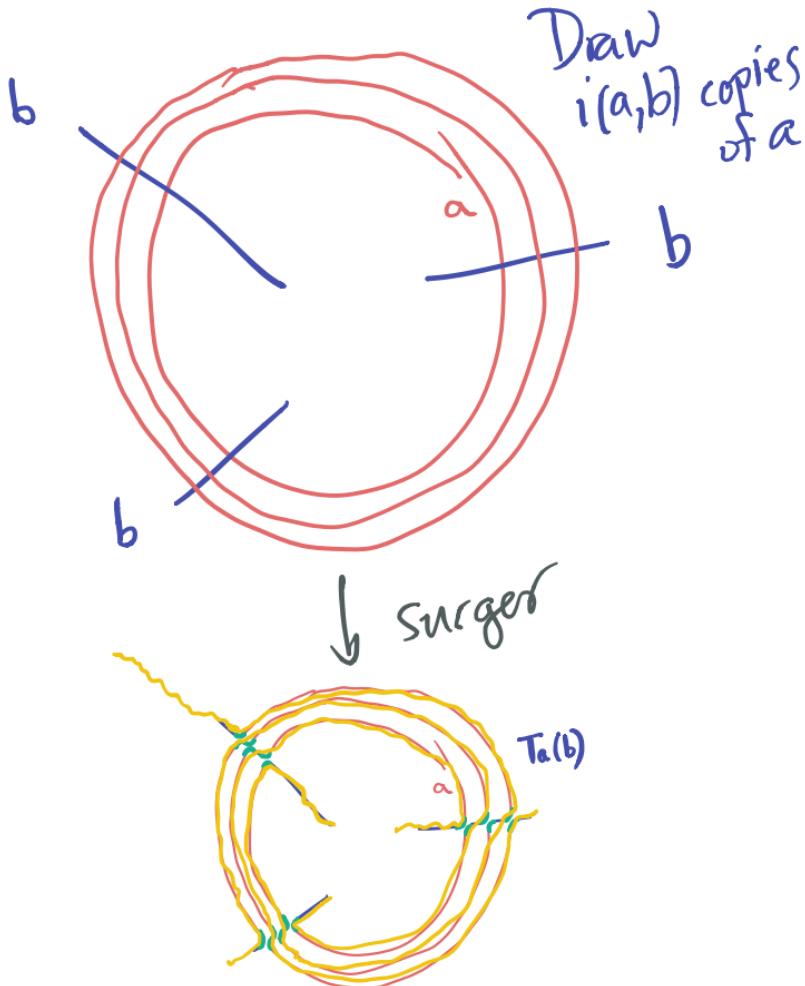
$$\text{Prop. } i(T_a^k(b), b) = |k| i(a, b)^2$$

$$\text{Cor. } i(T_a) = \infty.$$

Need: Surgery description
of Dehn twists

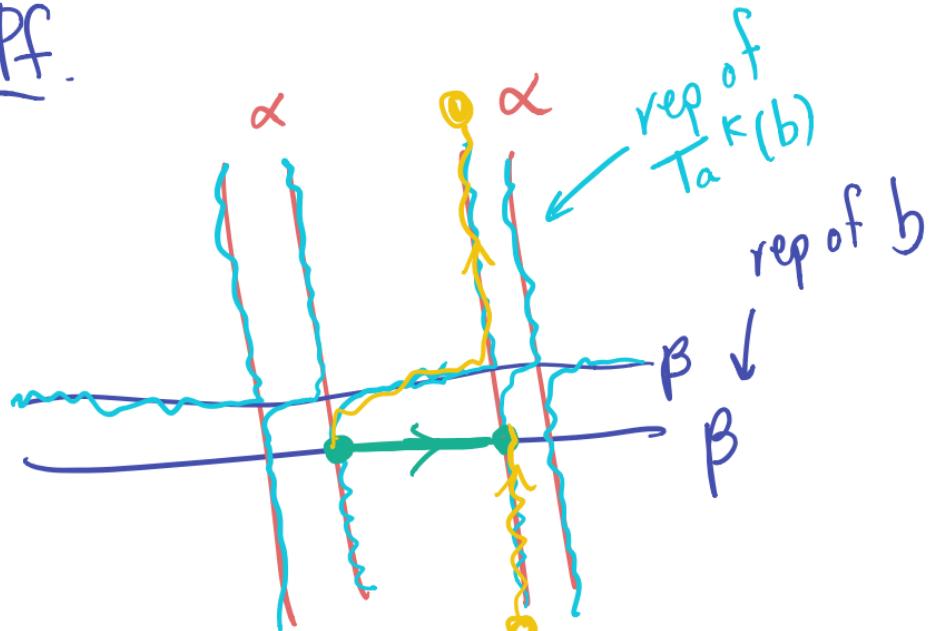


How to
draw
 $T_a(b)$?



Prop. $i(T_\alpha^k(b), b) = |k| i(\alpha, b)^2$

Pf.



$$i(\alpha, b) = 2 \quad k = 1.$$

Our rep of $T_\alpha^k(b)$

intersects β

$|k| i(\alpha, b)^2$ times.

Remains to check:

No bigons.



Prop - a_1, \dots, a_n $i(a_i, a_j) = 0.$

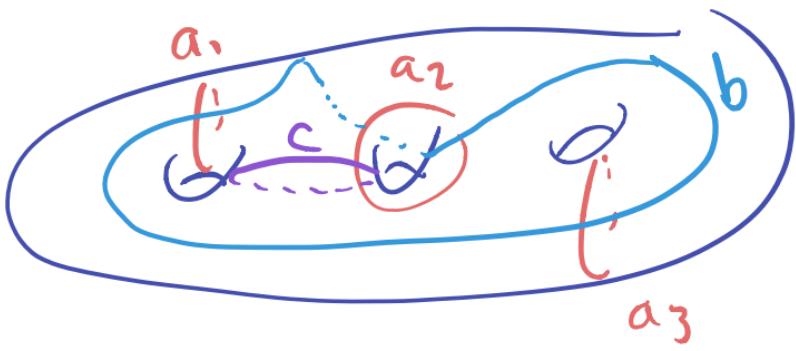
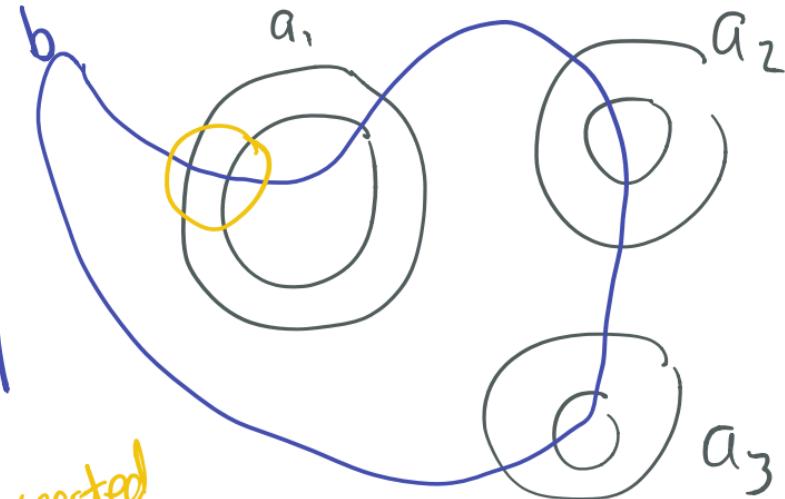
$$e_i > 0.$$

$$M = \prod_{i=1}^n T_{a_i}^{e_i}$$

multitwist

$$\left| i(M(b), c) - \sum_{i=1}^n e_i i(a_i, b) i(a_i, c) \right| \leq i(b, c)$$

expected
of intersections



Note: for $b=c$ and $n=1$
get last prop.

Q Example where expected value is not right.

Prop - a_1, \dots, a_n $i(a_i, a_j) = 0.$

$$e_i > 0.$$

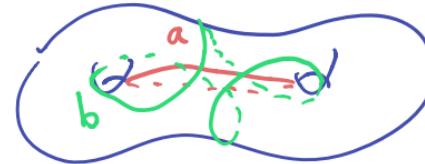
$$M = \prod T_{a_i}^{e_i} \quad \text{multitwist}$$

$$\left| i(M(b), c) - \sum_{i=1}^n e_i i(a_i, b) i(a_i, c) \right| \leq i(b, c)$$

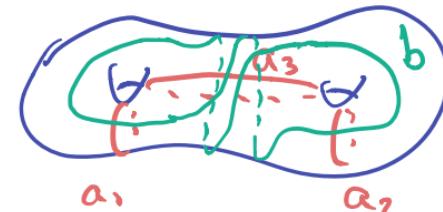
Cor. \exists pair of filling curves on any $S.$ with $\chi(S) < 0.$

$\{a, b\}$ filling if $\max \{i(a, c), i(b, c)\} > 0 \quad \forall c$

example



Pf of Cor. Choose pants decomp. of S



* Find a, b s.t. $i(a_i, b) > 0 \quad \forall i$

$$\text{Let } a = (\prod T_{a_i})(b)$$

By prop, a & b are filling.

Basic Facts

Fact 1 $T_a = T_b \Leftrightarrow a = b$

Pf. Find c s.t. $i(a, c) \neq 0$
 $i(b, c) = 0$

Then $i(T_a(c), c) = i(a, c)^2 \neq 0$

$$i(T_b(c), c) = i(c, c)^2 = 0.$$

How to find c ?

Case 1. $i(a, b) > 0$ take $c = b$

Case 2 $i(a, b) = 0$. Use
change of coords.

commutes

Fact 2 $f \circ T_a \circ f^{-1} = T_{f(a)}$

Fact 3 $(f \leftrightarrow T_a) \Leftrightarrow f(a) = a.$

Pf. \Rightarrow fact 1 + fact 2.
 \Leftarrow Fact 2

Fact 4. a, b non sep

Then T_a conj to T_b in MCG

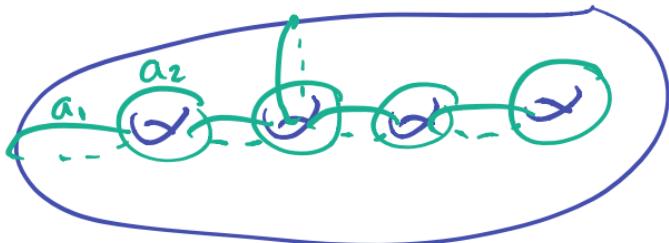
Pf. Fact 2 + Change of coords.

Fact 5. $i(a, b) = 0 \Leftrightarrow$
 $(T_a \leftrightarrow T_b)$

Pf. Use
first Prop
& Fact 2

Thm. For $g \geq 3$, $\mathbb{Z}(\text{Mod}(S_g)) = 1$.

Pf. Use the Alex. system



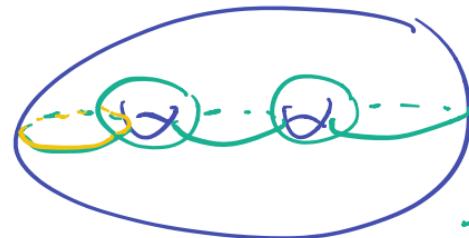
$$f \in \mathbb{Z}(\text{Mod}(S_g))$$

$$\Rightarrow f(a_i) = a_i \forall i$$

(fact 3)

The graph $\Gamma = \bigcup a_i$
has no nontrivial autos.
So Alex Meth $\Rightarrow f = \text{id.}$

What about $g=1, 2$?



$$\mathbb{Z}(\text{Mod}(S_2)) = \mathbb{Z}/2$$

These generate.
 \Rightarrow hyp inv.
in central.

Prop. a_1, \dots, a_n $i(a_i, a_j) = 0$.

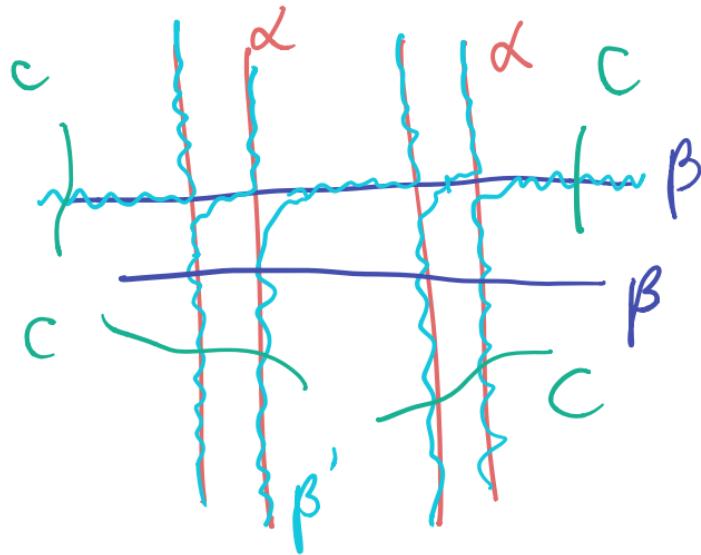
$$e_i > 0.$$

$$M = \prod T_{a_i}^{e_i}$$

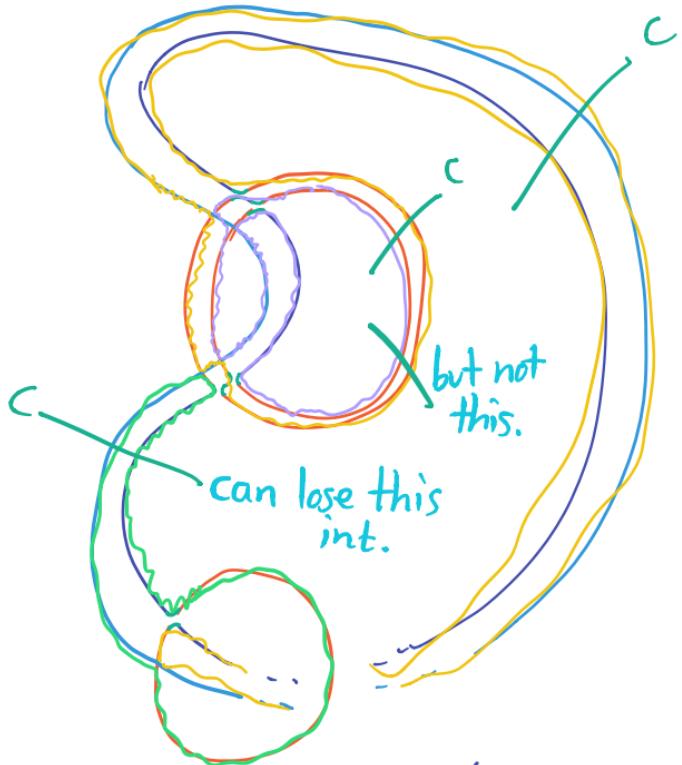
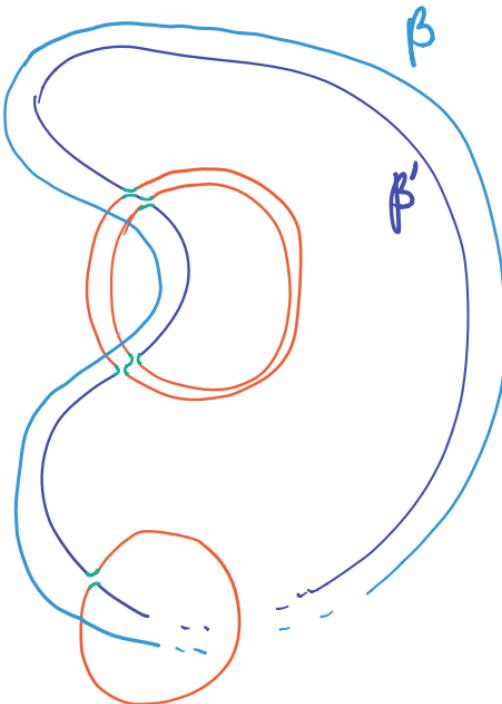
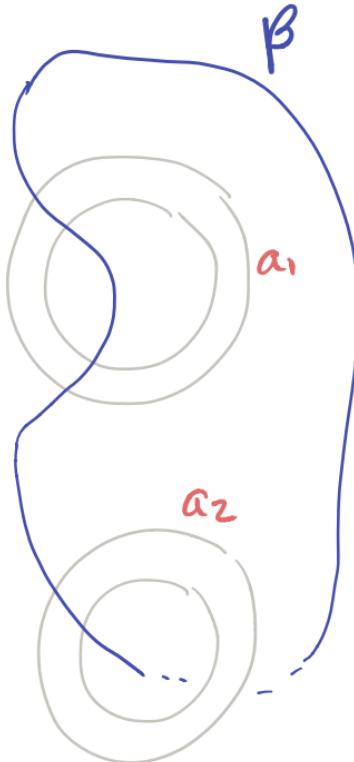
multitwist

$$\left| i(M(b), c) - \sum_{i=1}^n e_i i(a_i, b) i(a_i, c) \right| \\ \leq i(b, c)$$

Pf. Make a rep β' of $M(b)$ as before:



Key obs: $\beta \cup \beta'$ can be decomp. as
 $\sum e_i i(a_i, b)$ copies of each a_i



Zig-zag: Turn left on β'
right on β

As above: $\beta \cup \beta'$ is a bunch of copies of a_i :

$\forall i : e_i : i(a_i, b)$ copies of a_i .

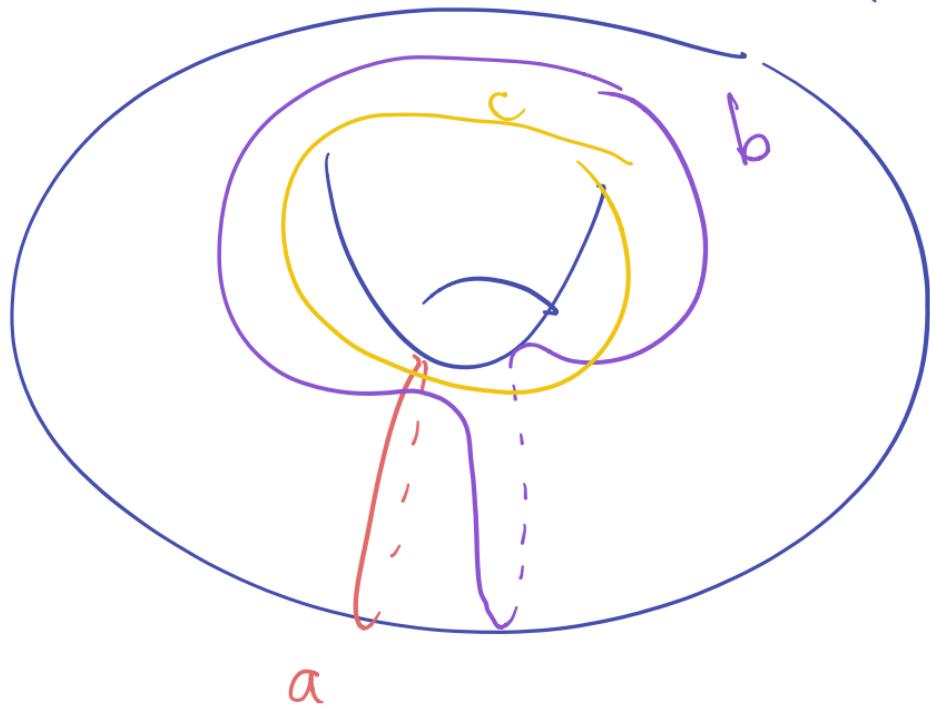
$$\sum e_i : i(a_i, b) i(a_i, c) \leq |(\beta \cup \beta') \cap F| \quad \text{rep of } c.$$

$= i(M(b), c) + i(b, c)$

of int's you see in pic.
by fact at top

Need to prove other ineq.

$$i(T_{\alpha(b)}, c) = 0.$$



expected

$$1 \cdot 1 \cdot 1 = 1.$$

Relations b/w 2 Dehn twists

Prop. $i(a, b) = 1^{\text{top.}}$ \Rightarrow alg.

$$T_a T_b T_a = T_b T_a T_b$$

"braid relation"

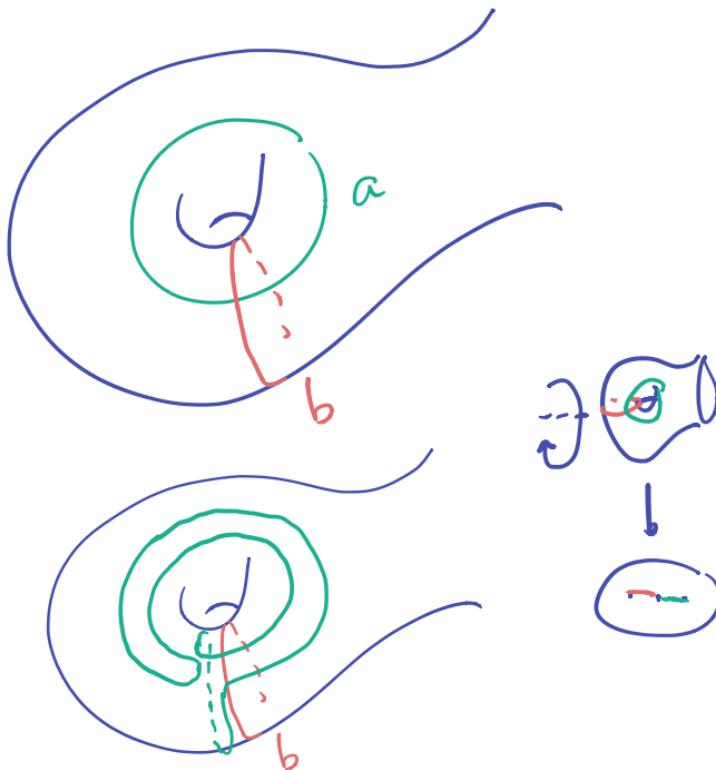
Pf. $(T_a T_b) T_a = T_b (T_a T_b)$

$$\Leftrightarrow (T_a T_b) T_a (T_a T_b)^{-1} = T_b$$

$$\Leftrightarrow T_{T_a T_b(a)} = T_b$$

$$\Leftrightarrow T_a T_b(a) = b$$

Change of coords



Converse!

Prop. $T_a T_b T_a = T_b T_a T_b$, $a \neq b$
 $\Rightarrow i(a, b) = 1.$

Pf. $T_a T_b T_a = T_b T_a T_b$
 $\Rightarrow T_a T_b(a) = b$
(as above).

So: $i(a, b) = i(a, T_a T_b(a))$
= $i(a, T_b(a))$
= $i(a, b)^2$
 $\Rightarrow i(a, b) = 0 \text{ or } 1 \dots \square$

Application

Given $\text{Mod}(Sg) \rightarrow \text{Mod}(Sg)$

If you can show

$$T_a \rightarrow T_a'$$

Then curves \rightarrow curves
 $a \mapsto a'$

$$i(a, b) = 1 \longmapsto i(a', b') = 1.$$

Next: $\langle T_a, T_b \rangle \forall a, b.$

Ping Pong Lemma

$G \curvearrowright X = \text{set.}$

$g_1, g_2 \in G$

$X_1, X_2 \subseteq X$ nonempty
disj.

$g_i^k(X_j) \subseteq X_i \quad i \neq j$
 $k \neq 0.$

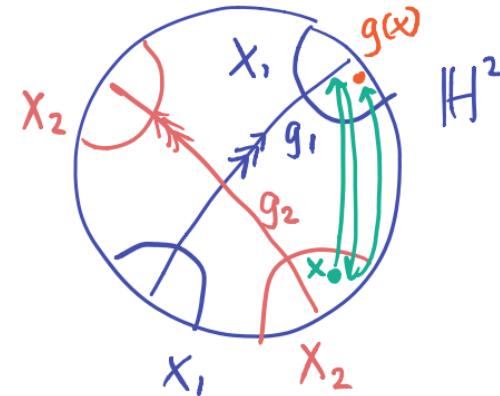
$$\Rightarrow \langle g_1, g_2 \rangle \cong F_2$$

PF. Let $g \in \langle g_1, g_2 \rangle$

WLOG (by conj)

$$g = g_1^* g_2^* g_1^* \cdots g_2^* g_1^*$$

Original source/application

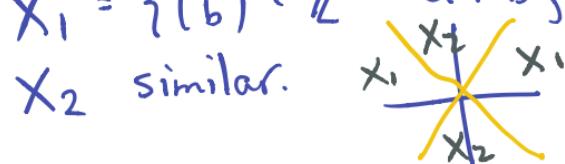


Second application:

$$\left\langle \begin{pmatrix} 1 & 2 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 2 & 1 \end{pmatrix} \right\rangle \cong F_2$$

$$X_1 = \left\{ \begin{pmatrix} a \\ b \end{pmatrix} \in \mathbb{Z}^2 : a > b \right\}$$

X_2 similar.



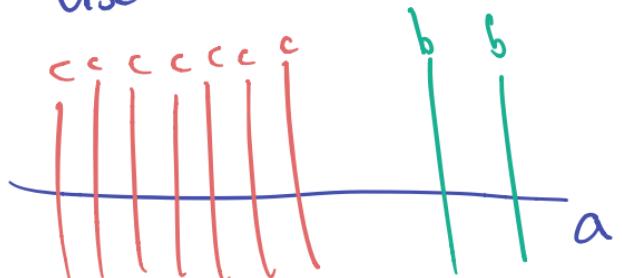
Prop. $i(a, b) > 1$
 $\Rightarrow \langle T_a, T_b \rangle \cong F_2.$

Pf. Ping pong.

$$X_1 = \{c : i(c, b) > i(c, a)\}$$

X_2 similar.

Use our i -num. formulas.



In general: $j, k \neq 0$

$$\langle T_a^j, T_b^k \rangle \cong F_2$$

unless

$$i(a, b) = 1 \text{ and}$$

$$\{j, k\} \text{ is } \begin{cases} \{1, 1\} \\ \{1, 3\} \\ \{1, 2\} \end{cases}$$

$$\begin{matrix} a \\ \vdots \\ \sigma_1^2 \end{matrix}, \begin{matrix} b \\ \vdots \\ \sigma_2 \end{matrix}$$

$$\underline{112112} = 211211$$

$$\underline{abab} = baba$$

...

More Dehn twists:
J. Mortada

Cutting, capping, including

Later: want to prove things
by induction, hence understand

$\text{Mod } (\text{Sq}, a)$



Cut along a :



Cap:

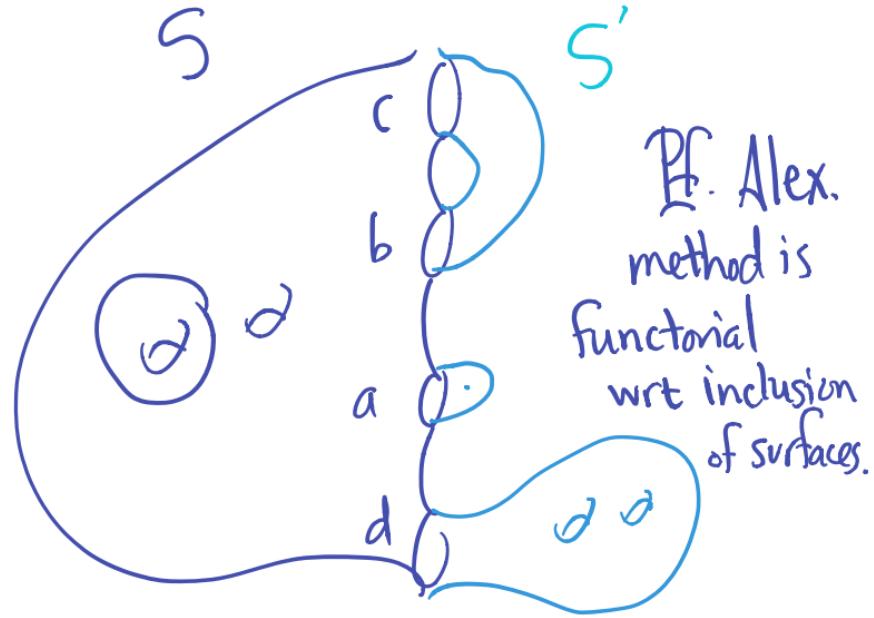


Including

- Prop $S \subseteq S'$
- no compl. disks
 - S closed in S'
 - $S \neq A$
 - a_i comp's of ∂S
 - bounding
 - $\{b_i, c_i\}$ bound

$$\text{Ker}(\text{Mod}(S) \rightarrow \text{Mod}(S'))$$

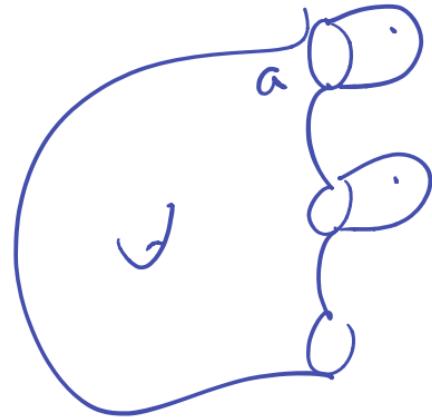
$$= \langle T_{a_i}, T_{b_i} T_{c_i}^{-1} \rangle \cong \mathbb{Z}^k$$



kernel:

$$\langle T_a, T_b T_c^{-1} \rangle \cong \mathbb{Z}^2$$

Capping. Special case where $S \setminus S' = \emptyset$ $S \neq A$



$$P \hookrightarrow S_{0,3} = \emptyset$$

$$\hookrightarrow \text{Mod}(P) \rightarrow P\text{Mod}(S_{0,3}) = 1$$

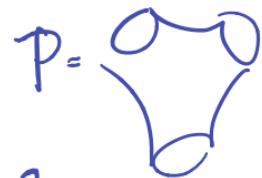
$$\text{Ker } \mathbb{Z}^3$$

$$\text{Ker} \langle T_{\alpha_i} \rangle \cong \mathbb{Z}^k$$

Applications

$$\text{Mod}(P) \cong \mathbb{Z}^3$$

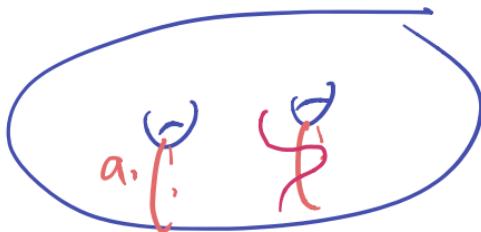
$$\begin{aligned} \text{Mod}(S'_1) &\cong \langle a, b \mid aba = bab \rangle \\ &\cong \pi_1(S^3 \setminus \emptyset) \\ &\cong B_3 \\ &\cong \widetilde{SL_2 \mathbb{Z}} \end{aligned}$$



Cutting

$$S = S_{0,n}$$

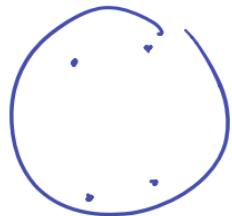
a_1, \dots, a_k distinct, disjoint



There is a well-def map

$$\text{Stab of } \{a_i\} \rightarrow \text{Mod}(S, \{a_i\}) \rightarrow \text{Mod}(S \setminus \{a_i\})$$

With kernel $\langle T_{a_i} \rangle$



roughly
PF. Apply inclusion homom to

$$S - \text{Nbd}(\cup a_i) \hookrightarrow S$$

Q. Given a_1, \dots, a_k

When is $\langle T_{a_1}^{e_1}, \dots, T_{a_k}^{e_k} \rangle$ free?

(Hamidi-Tehrani)

When is it a RAAG? (Ranulal + refs)

Q (Afton) For which $G \leq MCG$

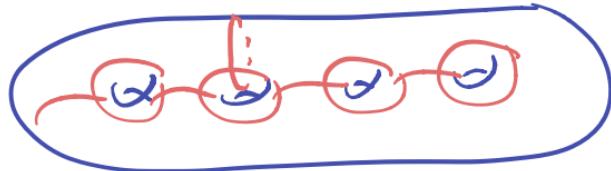
$\exists c, k$ s.t. $\langle G, T_c^k \rangle \cong G * \mathbb{Z}$

Q. When is it $= MCG \dots$

Chap 4. Generation.

Thm. $PMod(S_{g,n})$ is finitely gen. by Dehn twists about nonsep curves.
fixing marked pts

Humphries:



$$2g+1$$

(minimal)

Application (later today):

Every closed, orientable M^3 obtained from S^3 by Dehn Surgery.

Application (next week?)

$$H_1(Mod(S_g)) = 0$$

Thm. $\text{PMod}(S_{g,n})$ is finitely
gen. by Dehn twists about
nonsep curves.

Proof strategy

① Induction on genus:

$\text{Mod}(S_g)$ is gen. by
stabilizers of nonsep
curves



② Induction on punctures.



"Birman exact sequence"

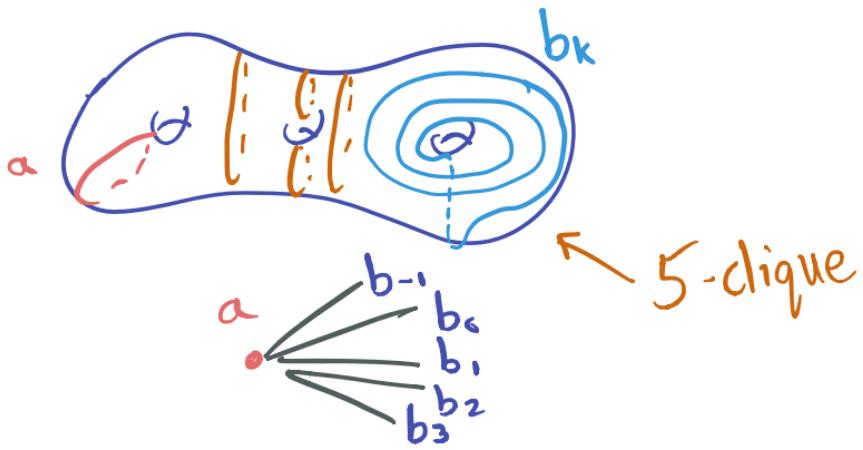
"complex
of curves"

Complex of curves (Harvey)

$C(S)$ has

vertices: isotopy classes
of ess. s.c.c. in S

edges: disjointness.



- Facts
- ① locally infinite
 - ② connected (next!)
 - ③ $\text{Mod}^+(S) \xrightarrow{\cong} \text{Aut}(C(S))$
(Ivanov)

applications...

$$\text{Aut } \text{Mod}(S_g) \xrightarrow{\cong} \text{Mod}^+(S_g)$$

Isom $\text{Teich}(S_g)$

- ④ $C(S)$ is hyperbolic
many applications...

& ∞ -diameter.
exercise: find vertices of distance 3,4,...

Thm. $3g+n > 5$

$C(S_{g,n})$ is connected.

Pf. Induct on $i(a,b)$.
(Say $n=0$)

Base cases:

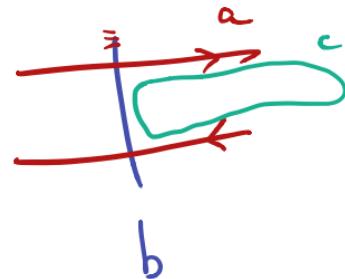
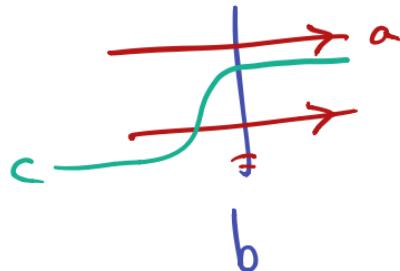
$$i(a,b) = 0 \quad \checkmark$$

$$i(a,b) = 1 \quad \checkmark \quad \text{change of coords.}$$

Assume $i(a,b) \geq 2$.

Orient a .

Two pictures:

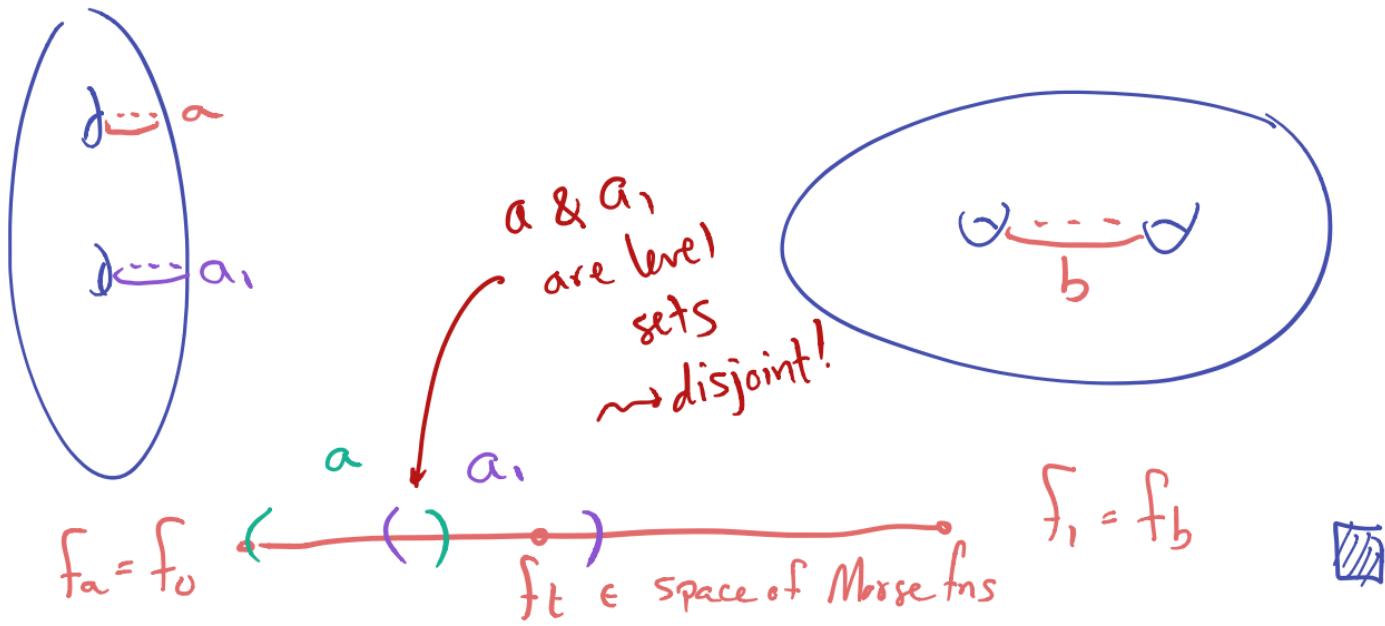


Check: ① c essential
② $i(a,c), i(b,c) < i(a,b)$



Cerf theory proof (Ivanov)

Given a, b . Choose Morse fns f_a, f_b s.t. a, b level sets on S .



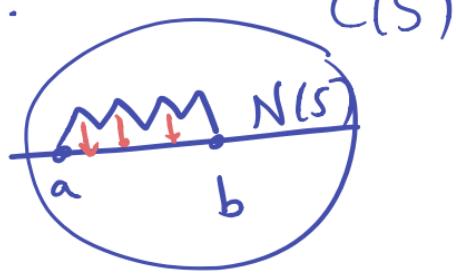
Complex of Nonsep Curves

$N(S)$ = subcomplex of $C(S)$ spanned by nonseps.

Thm $N(S_g)$ connected $g > 1$.

Note. $N(S_{1,n})$ not connected!

Pf. of Thm.



$a, b \in N(S)$

Connect by path v_i^i in $C(S)$.

Can assume no consec. v_i

$v_i v_{i+1}$ are sep.



v_i sep v_{i+1} sep

If we have



either: sep is not needed.
or can replace with a nonsep.

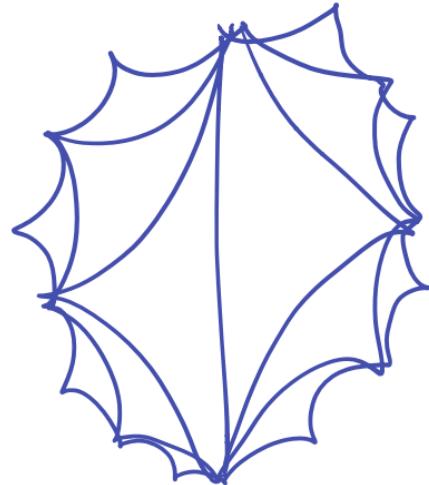
Modified complex $\hat{N}(S)$

Same vertices as $N(S)$

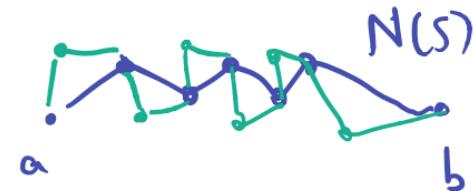
edges: $i(a, b) = 1$.

Thm. $\hat{N}(S)$ connected $g \geq 1$.

$g=1$



Pf of Thm

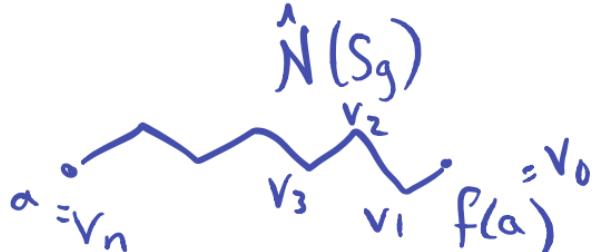


Prop. $\text{Mod}(S)$ is gen. by
stabilizers of (oriented)
nonsep. S.c.c.

(Induction on genus).

Pf. Let $f \in \text{Mod}(S_g)$

a = nonsep curve.



For each i :

$$T_{v_i} T_{v_{i+1}} (v_i) = v_{i+1} \quad (\text{braid reln})$$

So $(\pi T_{v_{i,j}}) f = \bar{f} \in \text{Stab}(a)$

all twists
stabilize
some nonsep
curve.

$\Rightarrow f \in \langle \text{Stabilizers of } \text{nonsep curves} \rangle$

$\in \langle \text{Dehn twists about } \text{nonseps, } \text{Stab}(a) \rangle$

Thm (Waldhausen) M^3 = closed, oriented

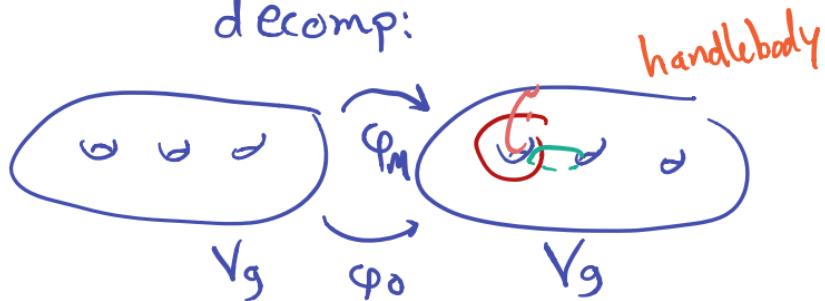
3-man

Then M^3 obtained from S^3 by

Dehn surgery

remove disjoint collection of
solid tori, reglue.

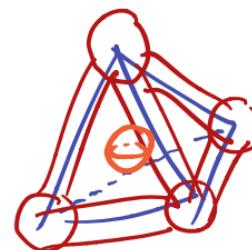
Pf. Step 1. M^3 has a Heegaard
decomp:



Why? Triangulate M^3 .

Thicken 1-skeleton.

That's one V_g .



The complement is other.

Step 2. Use fact that $\text{Mod}(S_g)$
is gen by Dehn twists

M^3 has Heeg. decomp with φ_M

S^3 has - - - with φ_0

$\varphi_M \varphi_0^{-1} \in \text{Homeo}(S_g)$

product of Ta

Thm (Dehn '22)

$\text{PMod}(S_{g,n})$ is fin. gen.
by Dehn twists.

Lickorish '60s: nonsep. curves.

Humphries '70s: $2g+1$ curves.
(minimal)

PF sketch. Let $f \in \text{PMod}(S_{g,n})$

Choose some curve a .

Step 1 Find $\prod T_{c_i}$ s.t.

$C(S)$ is conn. $\prod T_{c_i} f(a) = a$
(with orientation)

how to
get fin.
gen. here?

Step 2. $\text{Stab}(a)$ fin. gen. by Dehn tw's.

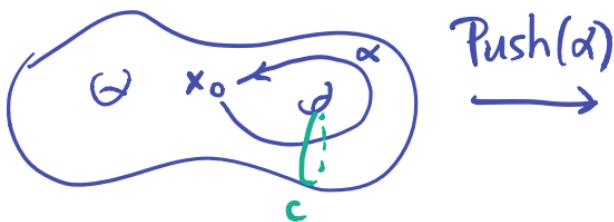
cutting ↴ $\text{Mod}(S_{g-1, n+2})$ ↗ 

homom.

Birman exact seq. 

Towards Birman ex. seq

Push map: $\pi_1(S, x) \rightarrow \text{Mod}(S, x)$



isotopy extension

Not obviously
well def.

Forgetful map: $\text{Mod}(S, x) \rightarrow \text{Mod}(S)$

Note: $\text{Push}(\pi_1(S, x)) \subseteq \ker(\text{Forget})$

Birman: this is =

$\ker(\text{Forget}) \rightarrow \pi_1(S, x)$

Given φ choose a homotopy to id, so x traces a loop.

Thm (Birman '69) $\chi(S) < 0$ This is exact:

$$I \rightarrow \pi_1(S, x) \xrightarrow{\text{Push}} \text{Mod}(S, x) \xrightarrow{\text{Forget}} \text{Mod}(S) \rightarrow I$$

Pf. This is a fiber bundle:

$$\text{Homeo}^+(S, x) \longrightarrow \text{Homeo}^+(S)$$

$\downarrow \varphi = \text{eval at } x$

$$U \subseteq S$$

Choose $U \in S$, $x \in U$

Well, choose φ_U s.t. $\varphi_U(x) = U$

↑ vary continuously wrt U .

$$U \times \text{Homeo}^+(S, x) \longrightarrow \varphi^{-1}(U)$$

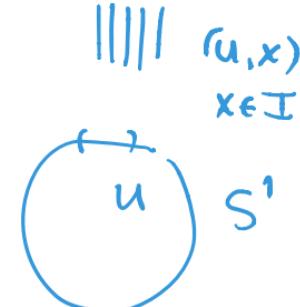
$$(U, \psi) \longmapsto \varphi_U \circ \psi$$

What is a fiber bundle?

$$\begin{matrix} F & \rightarrow & E = \text{total sp.} \\ & \downarrow p & \\ \text{fiber: } U \subset B & = \text{base sp.} \end{matrix}$$

Locally: $p^{-1}(U) = U \times F$ $B = S^1$, $F = I$

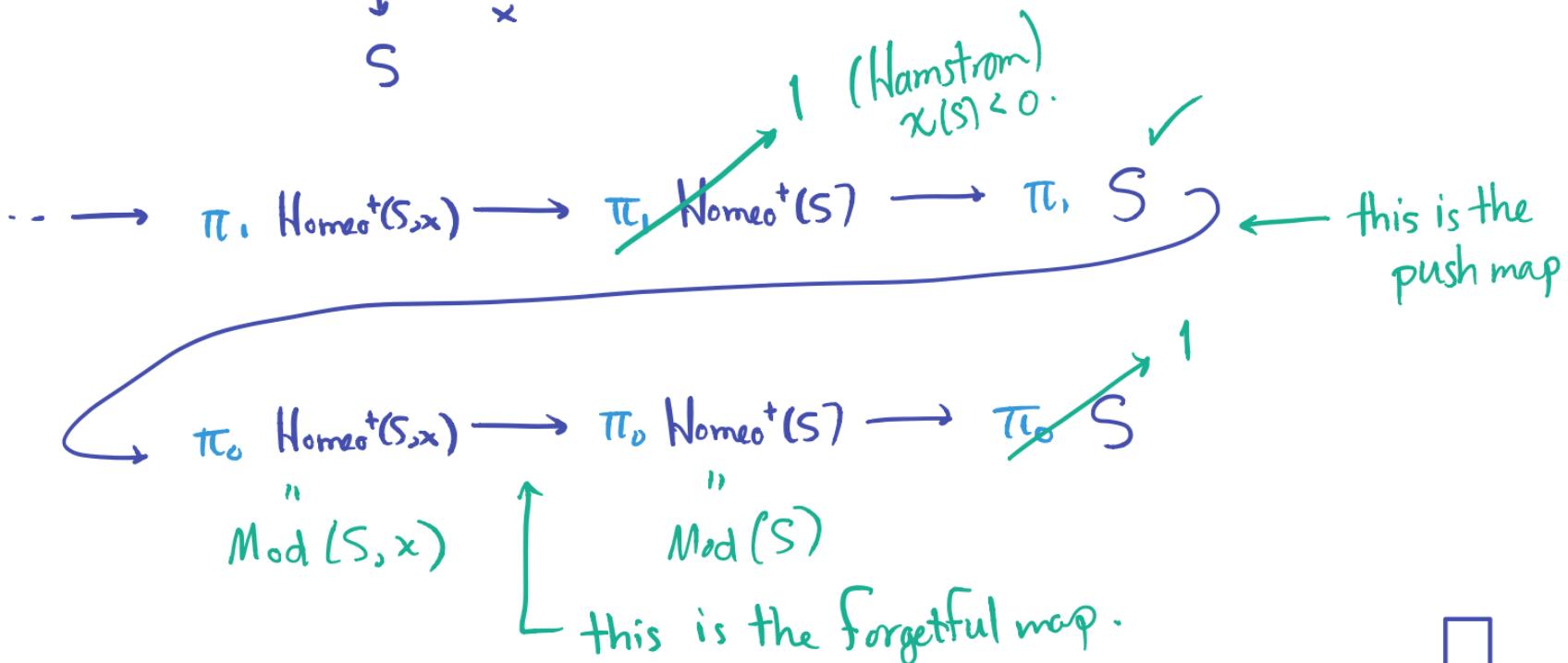
For
 $E = \text{cov space},$
 $F = \text{discrete set}$



This is a fiber bundle:

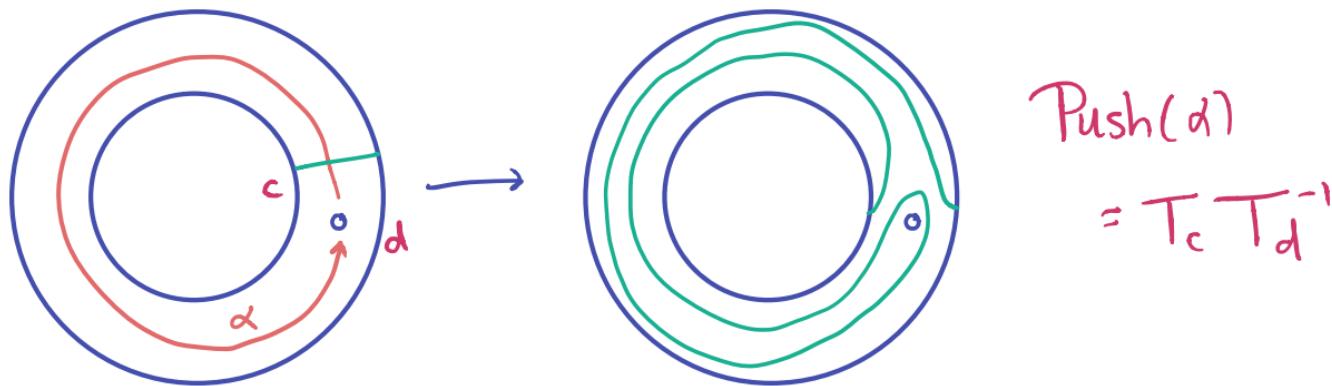
$$\text{Homeo}^+(S, x) \longrightarrow \text{Homeo}^+(S)$$
$$\downarrow \varepsilon = \text{eval at } x$$
$$S$$

↪ LES for fiber bundles.



□

Push maps in terms of Dehn twists



$$\begin{aligned}\text{Push}(\alpha) \\ = T_c T_d^{-1}\end{aligned}$$

Helps because $\pi_1(S)$ is generated
by simple loops.

Special case. $\text{PMod}(S_{0,n})$ is fin. gen. by Dehn twists. $\binom{n}{2}$ gens.

Pf. Ind. on n .

Base cases: $\text{PMod}(S_{0,n}) = 1$ $n \leq 3$.

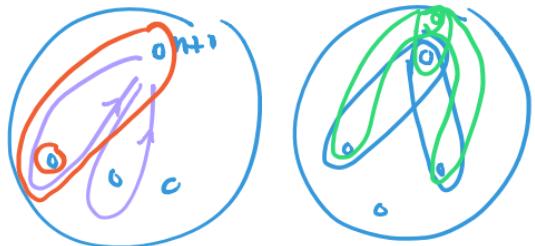
Ind step:

$$1 \rightarrow \pi_1(S_{0,n}) \xrightarrow{\text{Push}} \text{PMod}(S_{0,n+1}) \rightarrow \text{PMod}(S_{0,n}) \rightarrow 1$$

Same argument
gives step ② for
Dehn's thm

↑ (image of)
each gen is
a product of $\times 1$
Dehn twists

In a short ex. seq.,
middle gp is gen by:
gens on left & lifts of gen on right

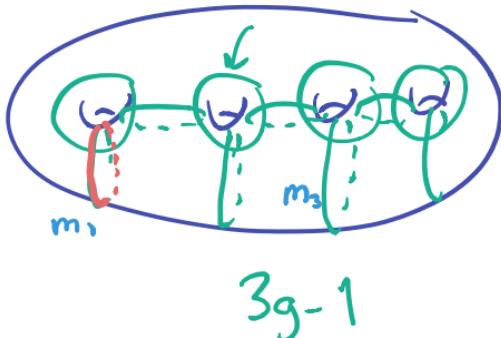


↑
fin. Gen by Dehn twists
by induction.
Each has a lift
that is a Dehn twist

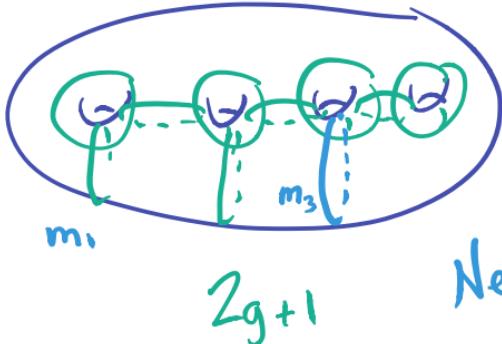
□

Explicit sets of gens

Lickonish:



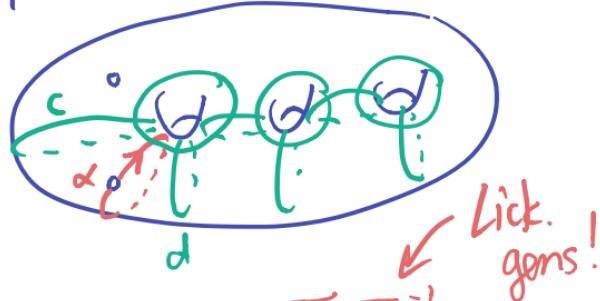
Humphries:



Need to find product h
of Hump. gens taking
 m_1 to m_3

$$h \text{Push}(\alpha) h^{-1} = \text{Push}(h\alpha)$$

Ind step:



$$\text{Push}(\alpha) = T_c T_d^{-1}$$

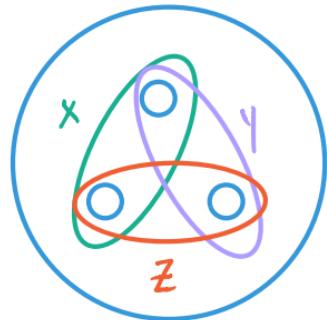
Use Lick gens to take α
to other gens for Tl ,

$$h T_{m_1} h^{-1} = T_{m_3}$$

Chap 5. Presentations & H_1, H_2

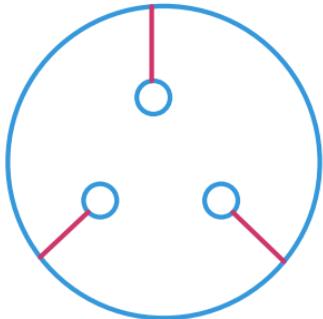
Lantern Relation $S_{0,4} \leq S$

$$T_x T_y T_z = \prod T_{a_i}$$

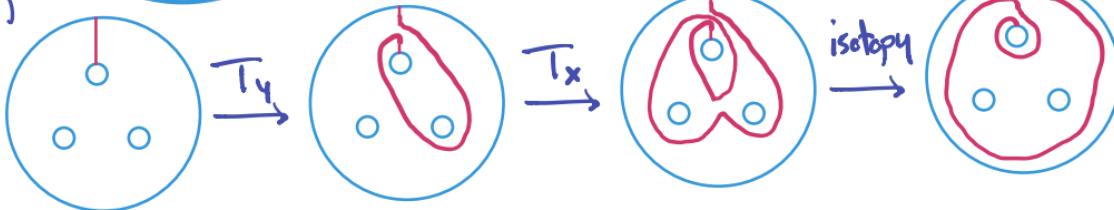


Pf #1 Alex Method:

Check relation
on 3 arcs.



We'll do
one arc:
 T_z



Pf#2

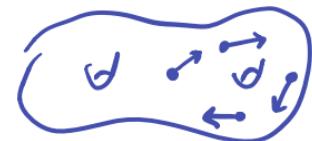
Boundary pushing $\chi(S) < 0 \quad S^\circ = S \setminus \text{open disk.}$

Push : $\pi_1, UT(S) \rightarrow Mod(S^\circ)$

$\pi_1, UT(S)$



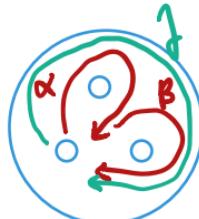
gen. of $\pi_1(\text{fiber}) \mapsto T_\alpha$



$S^1 \rightarrow UT(S)$

\downarrow

S



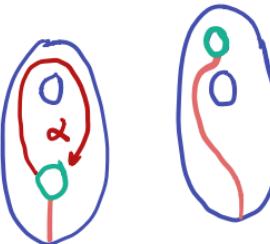
$\beta\alpha = \gamma$
in $\pi_1(UT(S))$.

The lantern relation is :

$$\text{Push}(\beta)\text{Push}(\alpha) = \text{Push } \gamma$$

↑
push w/o rotating

Why is this lantern
relation?



$$= \begin{array}{c} \text{Push } \alpha \\ = T_x \\ = T_{\alpha_1}^{-1} \\ \quad T_{\alpha_2}^{-1} \end{array}$$

Thm $H_1(\text{Mod}(S_g)) = 1$

$g \geq 3$.

$$H_1(G) \cong G^{\text{abel.}} = G/[G, G]$$

So: no char. classes for S_g -bundles over S^1 .

Pf. Fact 1. $\text{Mod}(S_g)$ gen by T_c , c nonsep (Dehn-Lick.)

Harer + Mumford Fact 2. Such T_c are conjugate (Change of coords)

Fact 3. \exists lantern reln in S_g w/ all 7 curves nonsep.

(?!)



no sep curves in here.

Given $\text{Mod}(S_g) \rightarrow A$
gens $T_{c_i} \mapsto t$ by fact 2

by fact 1, image is $\langle t \rangle$
(cyclic)
Fact 3: $t^3 = t^4 \Rightarrow t=1$.

Presentations

We have them (see book)

Next goal: proof of fin. presentability.

fin generation \leftrightarrow action on connected complex
with finite quotient.

$H_2(G)$ \longleftrightarrow fin presentability \longleftrightarrow - - - - simply connected --
(abelianized
version)

Arc complex $A(S)$

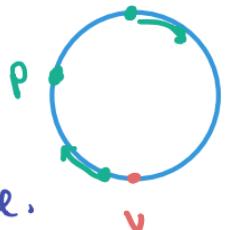
vertices: arcs / ~

edges : disjointness



k -simplices: $(k+1)$ pairwise disjoint arcs.

(flag complex)



Thm. $A(S_{g,n})$ contractible.

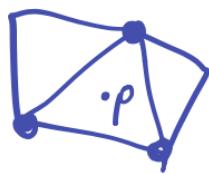
Pf (Hatcher) $v =$ any vertex

Goal: homotope $A(S_{g,n})$ into

union of
cones: $\xrightarrow{v} \text{Star}(v) \simeq *$ so paths vary contin.

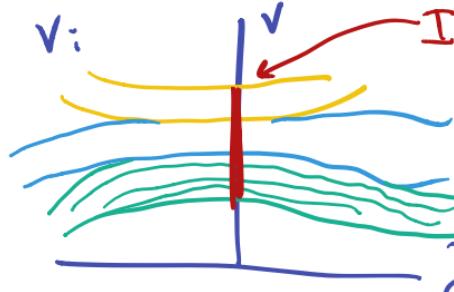
Let $p \in |A(S_{g,n})|$

$p =$ weighted sum of disjoint arcs



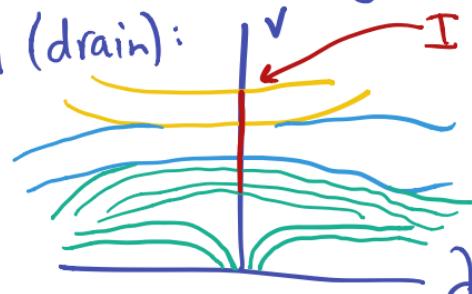
thicken arcs to bands, push together

at v :



p

Homotopy (drain):



p_ϵ

∂S

Prop. Say $G \backslash G \backslash X \simeq *$ w/o rotations

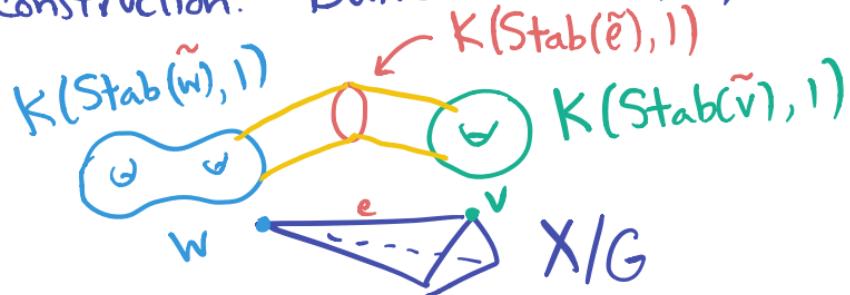


- & ① X/G finite
② vertex stabs f.p.
③ edge stabs f.g.

$\Rightarrow \text{Stab}(e) \subseteq \text{Stab}(v)$

Then G is f.p.

Pf idea Borel construction: Build a $K(G, 1)$ for G .



Thm. $\text{Mod}(S_{g,n})$ fin pres.

For $n=0$:

Pf for $n > 0$

$$1 \rightarrow \pi_1(S_g) \rightarrow \text{Mod}(S_{g,1}) \rightarrow \text{Mod}(S_g) \rightarrow 1$$

Apply Prop.

Stab's are MCG's of simpler surfaces.

~ induction!

Quotient of a
fp gp by a
fg gp is f.p.

□

Also, alg. geom. proof: $M_{g,n}$ is a quasi-proj variety.

Q. What presentation do you get from
this proof?

Last time: $H_1(\text{Mod}(S_g); \mathbb{Z}) = 0$.

Today (and next time?): $g \geq 3$

$$H_2(\text{Mod}(S_g); \mathbb{Z}) = \mathbb{Z}$$

$$H_2(\text{Mod}(S_g^1); \mathbb{Z}) = \mathbb{Z}$$

$$H_2(\text{Mod}(S_g, 1); \mathbb{Z}) = \mathbb{Z}^2$$

$\nearrow g \geq 4$ \searrow surface bundles over surfaces

Upshot: \exists alg. top which tells us a surf. bundle over surf is nontrivial.

Univ. coeff thm: Same answers for

$$\begin{aligned} H^2 &\text{ since } 0 \\ 1 \rightarrow \text{Ext}(H_1(\text{Mod}(S_g))) &\rightarrow H^2(\text{Mod}(S_g)) \\ \rightarrow \text{Hom}(H_2(\text{Mod}(S_g)), \mathbb{Z}) &\rightarrow 1 \end{aligned}$$

$$H_2(\text{Mod}(S_g); \mathbb{Z})/\text{torsion}$$

Overall Strategy

- ① Upper bounds on H_2 using Hopf formula à la Pitsch
- ② Lower bounds on H^2 by constructing two indep. classes:
Meyer sig cocycle, Eller class.

Hopf Formula

Recall: $H_1(G) = G/[G,G]$ $H_2(G) = H_2(K(G,1))$ by defn

$$G = \langle F \mid R \rangle \cong F/K \quad K = \langle\langle R \rangle\rangle$$

$$H_2(G; \mathbb{Z}) \cong K \cap [F, F] / [K, F]$$

relsns that are prod's
of commutators

surfaces

e.g. commuting elts $\leftrightarrow T^2$

conjugate relations are
equivalent.

$$\pi_1(S_g) = \langle a_1, b_1, \dots, a_g, b_g \mid$$

$$\pi_1[a_i, b_i] = 1 \rangle$$

Given

$$\pi_1(S_g) \rightarrow G$$

$$\sim S_g \rightarrow K(G, 1)$$

$$K(\pi_1(S_g), 1)$$

$$So: H_2(G) \leq K/[K, F] \leftarrow \text{abelian, gen. by relations } R.$$

So: an elt of $H_2(G)$ looks like $r_1^{n_1} r_2^{n_2} \cdots r_N^{n_N}$

Pitsch: For $G = MCG$, at most one choice of (n_1, \dots, n_N) .

Hopf formula and MCG

For $\text{Mod}(S_g')$ an elt of H_2 is of form

$$(\prod D_{ij}^{n_{ij}})(\prod B_i^{n_i})C^{n_0}L^{n_L}$$

disjointness braid chain lantern.



Will Show: $n_{ij} = 0$, $n_i = 0$ i large

Hopf formula & commuting elts

For $g, h \in G$ $g \leftrightarrow h$

$\rightsquigarrow \{g, h\} = \text{class of } [g, h]$
in H_2 (think torus)

Fact 1. If $g \leftrightarrow h, k$ then

$$\{g, hk\} = \{g, h\} + \{g, k\}$$

since $[x, yz] = [x, y][x, z]$ (1) conj. by y .

Fact 2. $\{g, h^{-1}\} = -\{g, h\}$

Back to MCG

Lemma. $T_a \leftrightarrow \overline{T_b}$

$$\Rightarrow \{T_a, \overline{T_b}\} = 0 \text{ in } H_2(\text{MCG})$$

Pf. Cut S along a

$$H_1(\text{Mod}(S \setminus a)) = 0,$$

$$S_0 T_b = \overline{\pi}[x_i, y_i]$$

with $x_i, y_i \leftrightarrow T_a$.

in $\text{Mod}(S_g)$

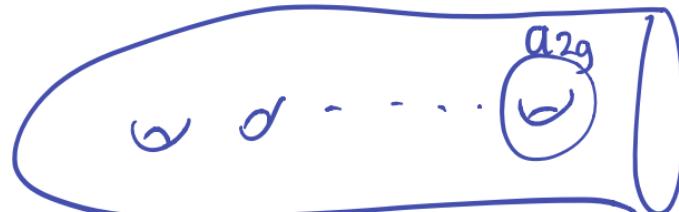
Apply Facts 1 & 2:

$$\{T_a, \pi[x_i, y_i]\} = 0.$$

□

Eliminating more relations

The MCG gen τ_{2g}



only appears in ~~disjointness relns~~ & one braid rel.

In that braid reln it appears with exponent sum = 1.

Q. Can we But... elts of $[F, F]$, hence H_2 , have all exp sums = 0.

Show this
class is
nonzero? So $n_{2g} = 0$.

Q. Now have a finite lin. alg problem involving chain reln,
lantern reln, a few braid relns:

Can we show
 H_2 is stable
using similar
idea? . Which choices of $n_0, n_1, n_2, n_3, n_4, n_c, n_L$ make it so each
MCG gen appears
with exp sum 0?
Answer: 1 choice!

exps on braidrels

Lower bound: Constructing nonzero elts of H^2

Fact. A short exact sequence

$$1 \rightarrow \mathbb{Z} \rightarrow \tilde{G} \rightarrow G \rightarrow 1$$

with \mathbb{Z} central gives $e \in H^2(G; \mathbb{Z})$

$$\text{and } e = 0 \iff \begin{array}{l} \text{seq. is split} \\ \Leftrightarrow \tilde{G} \cong G \times \mathbb{Z} \end{array}$$

$$\text{But we have: } 1 \rightarrow \langle T_\alpha \rangle \rightarrow \text{Mod}(S_{g,1}) \xrightarrow{\text{cap } \partial} \text{Mod}(S_{g,1}) \xrightarrow{\text{Euler class}} 1$$

Non-split since $\text{Mod}(S_{g,1})$ has torsion $\leadsto e \in H^2(\text{Mod}(S_{g,1}); \mathbb{Z})$.

Meyer Signature Cocycle

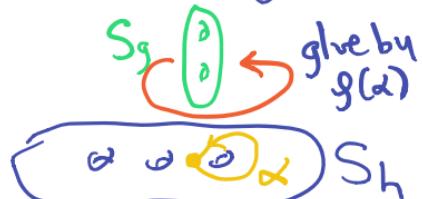
Still need an elt of $H^2(\text{Mod}(S_g); \mathbb{Z})$.

Q. What is an elt of H^2 ? A. $\text{Hom}(H_2(\text{Mod}(S_g)), \mathbb{Z})$.

So, given elt of $H_2(\text{Mod}(S_g))$, need a number.

Q. What is an elt of $H_2(\text{Mod}(S_g))$? A. Surface in $K(\text{Mod}(S_g), 1)$
 $S_h \rightarrow K(\text{Mod}(S_g), 1)$

The latter gives S_g -bundle over S_h (4-manifold):
 $p: \pi_1(S_h) \rightarrow \text{Mod}(S_g)$.



4-manifolds have signature (describes intersection form on $H_2(M^4)$).
Signature is the desired number!

Ch 6. Symplectic rep.

$$\hat{i} : H_1(S_g; \mathbb{Z}) \times H_1(S_g; \mathbb{Z}) \rightarrow \mathbb{Z}$$

Can replace \mathbb{Z} with \mathbb{R}

\hat{i} is alternating, bilinear, nondegen.

$\forall x^0 \exists y$ s.t. $\hat{i}(x,y) \neq 0$.

"symplectic"

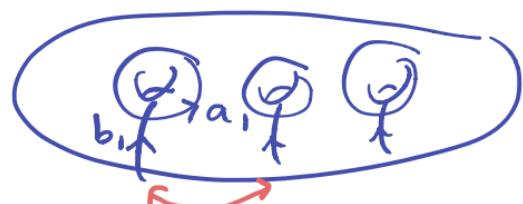
Symplectic basis for $H_1(S_g; \mathbb{Z})$:

x_i, y_i

$$\hat{i}(x_i, y_i) = 1 \text{ all other } \hat{i}'s \text{ are } 0.$$

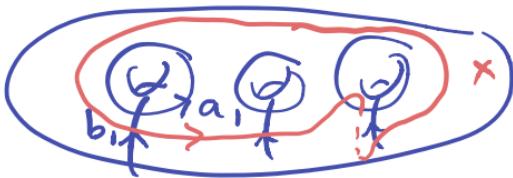
A geometric symplectic basis in S_g is a set of oriented curves $\{a_i, b_i\}$

s.t. $i(a_i, b_i) = 1$, all other i 's 0



and $\{[a_i], [b_i]\}$ is a sympl. basis for $H_1(S_g; \mathbb{Z})$.

Aside: computing homology classes



$$x = a_1 + a_2 + a_3 + b_3$$

since $\hat{i}(x, b_1) = 1$, the coeff on a_1 is 1.

i.e. $a_i^* = b_i$

Euclidean alg. for curves

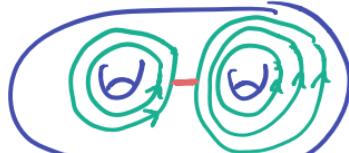
Prop. A nonzero elt of $H_1(S_g; \mathbb{Z})$
is rep by a scc \Leftrightarrow it is primitive

Pf. \Rightarrow Change of coords.

\Leftarrow Example. $(2,0,3,0)$ in $H_1(S_2; \mathbb{Z})$

$$2x_1 + 3x_2$$

Start with:



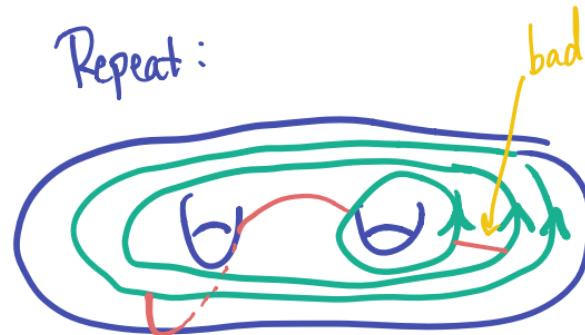
Choose arc connect right-hand sides

Surgery



Same H_1 class!

Repeat:



curves in the
two "bundles":

Step	1 st bundle	2 nd bundle
0	2	3
1	2	1
2	1	1
3	1	0

What we wanted!

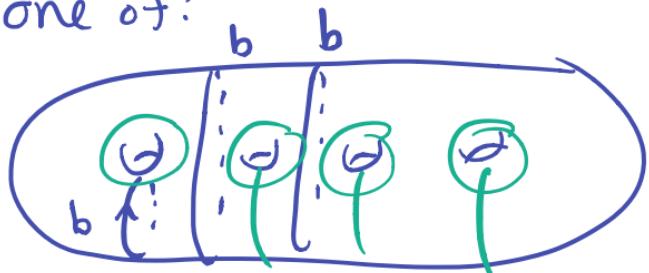
The symplectic rep

$$\psi: \text{Mod}(S_g) \rightarrow \text{Sp}_{2g} \mathbb{Z}$$

$$\text{Aut}^{\text{"}}(\text{H}_1(S_g; \mathbb{Z}); \hat{i})$$

Prop. $\psi(T_b^k)[a] = [a] + k \hat{i}(a, b)[b]$

Pf. By change of coords, b is one of:



We see: ψ has kernel.

e.g. T_b , b sep.

$\text{Ker } \psi$ called Torelli gp (Monday).

Choose a compatible geom. sympl. basis

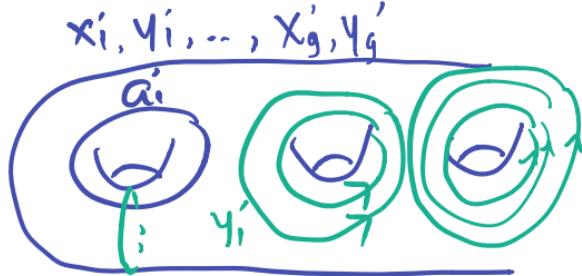
Check the formula on the basis.



Surjectivity

$$\boxed{\Psi: \text{Mod}(Sg) \rightarrow Sp_{2g} \mathbb{Z}}$$

Thm. Ψ is surjective.



Pf #1. Hit the "elementary matrices"

Pf #4. Hit the transvections: $I_v(w) = w + \hat{i}(v, w)v$

$$Sp_{2g} \mathbb{Z} = \langle I_v : v \text{ prim} \rangle^{\text{fixed set codim 1.}}$$

Find T_c s.t. $\Psi(T_c) = I_v$ using Eucl. alg.

Pf #3. Given $M \in Sp_{2g} \mathbb{Z}$, M (std basis) = symplectic basis. B

Can soup up Euc. alg to get a geom. symp. basis \tilde{B}
representing B . By C of coords $\exists f \in \text{Mod}(Sg)$ $f(\overset{\text{std}}{g_{\text{basis}}}) = \tilde{B}$.

Residual finiteness

G is resid. fin if $\bigcap \Gamma = 1$

$\Gamma \leq G$
f.i.

or. $\forall f \in G \exists$ finite F , $g: G \rightarrow F$
s.t. $g(f) \neq \text{id}$.

Thm. $\text{Mod}(S_g)$ is resid. finite.

Pf. $g=0, 1$ easy.

$\psi(f) \neq \text{id} \rightarrow$ use rf'ness of $\text{Sp}_{2g}\mathbb{Z}$.

Remains to deal with $f \in \text{Torelli} = \ker(\psi)$.

Fact: $\ker \psi$ is torsion free.

Assume now $|f| = \infty$. Want finite F , $\varphi: \text{Mod}(S_g) \rightarrow F$, $\varphi(f) \neq 1$.

Choose a hyp. metric on $S_g \rightsquigarrow \varphi: \pi_1(S_g) \rightarrow \text{PSL}_2 \mathbb{R} = \text{Isom}^+ \mathbb{H}^2$

$\text{Im } \varphi \subseteq \text{PSL}_2 A$ $A = \text{fingen subring of } \mathbb{R}$.

Such A is res. finite. (black box)

length of curves \longleftrightarrow traces of elts of $\text{PSL}_2 \mathbb{R}$

$|f| = \infty \Rightarrow \exists \gamma \in \pi_1(S_g)$ s.t. $\ell(\gamma) \neq \ell(f(\gamma)) \in A$

A res. fin. $\Rightarrow \exists$ finite quotient \mathbb{Q} st $\ell(\gamma) \neq \ell(f(\gamma))$ in \mathbb{Q} .

Let $H = \ker (\pi_1(S_g) \rightarrow \text{PSL}_2 A \rightarrow \text{PSL}_2 \mathbb{Q})$. $H \leq \pi_1(S_g)$

Take. $F = \text{Out}(\pi_1(S_g)/H)$.

□

Torelli groups

$$\psi: \text{Mod}(S_g) \rightarrow \text{Sp}_{2g} \mathbb{Z}$$

$$\mathcal{I}(S_g) = \ker \psi.$$

- $\mathcal{I}(S_g)$ hard/non-linear part of MCG .
- All $\mathbb{Z}\text{HS}^3$ are:

$$H_g \coprod_q H_g$$

$$\varphi \in \mathcal{I}(S_g)$$

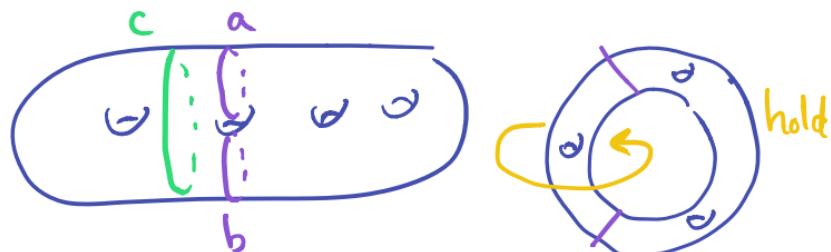
- $\mathcal{I}(S_g) = \pi_1(\text{Torelli space})$
Space of Riem. surf's
with homology framings

Examples of Elements

① $T_c \subset \text{sep.}$

② Bounding pair map

$$T_a T_b^{-1} \quad [a] = [b] \quad i(a, b) = 0.$$



③ Fake bounding pair maps

$$T_a T_b^{-1} \quad [a] = [b].$$

④ $[T_a, T_b]$ $i(a, b) = 0.$

special case of 3

$$T_a (T_b T_a^{-1} T_b^{-1}) = T_a T_b^{-1}$$

$T_b(a)$

hom. to a.

Boundary

⑤ Point/handle pushes

Special case of 3



Generators

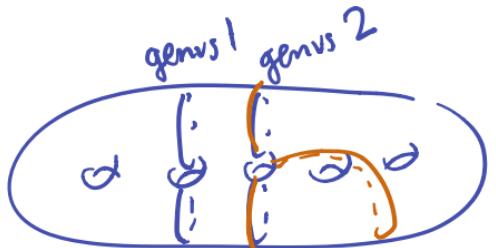
$$1 \rightarrow I(S_g) \rightarrow \text{Mod}(S_g) \xrightarrow{\Psi} Sp_{2g}\mathbb{Z} \rightarrow 1$$

generators  relators

Birman : presentation for Sp.

Powell \leadsto BP's & Sep. twists

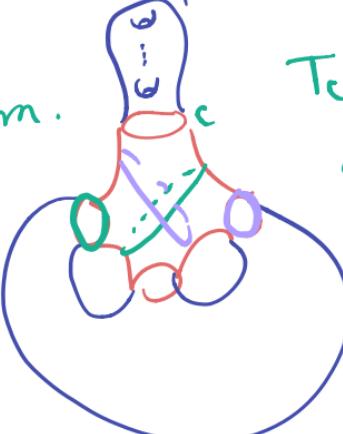
Birman: Do these generate?



Johnson: ① Sep twists not needed

Lantern.

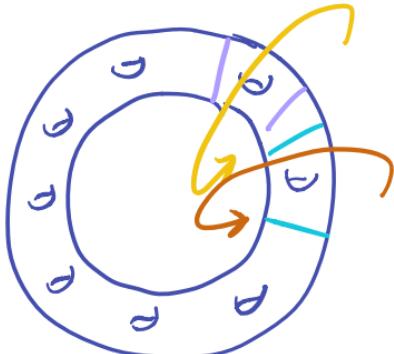
T_c = product
of 3 BPs.



② Only BPs of genus 1 are needed.

S_0 :

$I(S_g)$ = normal closure in $\text{Mod}(S_g)$ of a single BP of genus 1.



Johnson I: Finite generation.

Thm $g \geq 3$ $I(S_g)$ f.g.

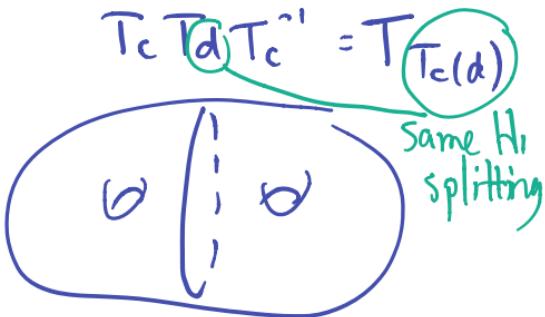
by BPs of genus 1.

Pf idea. List $O(2^g)$ BPs
 $\{f_i\}$

Check $\langle f_i \rangle \trianglelefteq \text{Mod}(S_g)$

$\Rightarrow \langle f_i \rangle = I(S_g)$

So:
 $I(S_g) = \text{normal closure in } \text{Mod}(S_g)$
of a single BP
of genus 1.



Mess $I(S_2) \cong F_\infty$

gen set \longleftrightarrow H_1 splittings

Open Q. Explicit gen set.

Major Open Q. Is $I(S_g)$ fin pres?

$H_2(G) \neq 0$ gen $\Rightarrow G$ not f.p.

Johnson Homomorphism

$$\tau : I(S_g) \rightarrow \Lambda^3 H$$

$$H = H_1(S_g; \mathbb{Z}) \cong \mathbb{Z}^{2g}$$

Issue: $I(S_g)$ acts triv. on

$$H = \pi / [\pi, \pi] \quad \pi = \pi_1(S_g)$$

Remedy: Look at action of $I(S_g)$ on

$$\pi / [\pi, [\pi, \pi]]$$

2-step nilpotent
"like abelian"

$$\Lambda^3 G = \{\text{formal sums of } g_1 \wedge g_2 \wedge g_3\} / \sim$$

$$\text{abel.} \quad a \wedge b \wedge c = -b \wedge a \wedge c \Rightarrow a \wedge a \wedge b = 0.$$

$$(a + a') \wedge b \wedge c = a \wedge b \wedge c + a' \wedge b \wedge c.$$

$$\text{e.g. } H^k(T^n) = \Lambda^k \mathbb{Z}^n$$

Lower central series of G

$$G_1 = G$$

$$G_2 = [G, G]$$

$$G_3 = [G, [G, G]]$$

$$G_4 = [G, [G, [G, G]]]$$

Probe G by understanding G/G_k .

Johnson Homomorphism

$$\tau : I(S_g) \rightarrow \Lambda^3 H$$

$$H = H_1(S_g; \mathbb{Z}) \cong \mathbb{Z}^{2g}$$

$$1 \mapsto [\pi, \pi] / N$$

Consider

$$E \xrightarrow{f(x)} \tilde{x} \xrightarrow{x} H / \pi / [\pi, \pi]$$

Issue: $I(S_g)$ acts triv. on

$$H = \pi / [\pi, \pi] \quad \pi = \pi_1(S_g)$$

Remedy: Look at action of $I(S_g)$ on

$$\pi / [\pi, [\pi, \pi]]$$

2-step nilpotent
"like abelian"

Construct $\tau : I(S_g) \rightarrow \text{Hom}(H, N)$

Given $f \in I(S_g)$ abelian.

$$x \in H$$

need $\tau(f)(x) \in N$.

Lift x to $\tilde{x} \in E$.

$$\leadsto f(\tilde{x}) \tilde{x}^{-1} \in N.$$

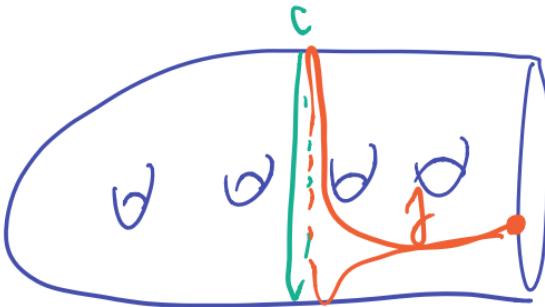
Image $\cong \Lambda^3 H$.

Computations

$$I(T_c) = 0$$

c sep.

$T_c \leftrightarrow$ conj. by $\gamma \in [\pi, \pi]$

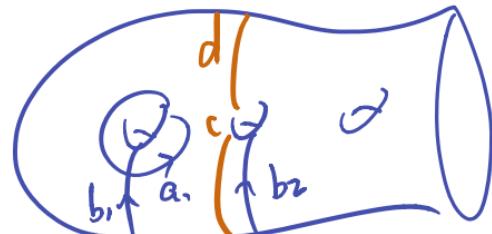


$$f(\tilde{x}) \tilde{x}^{-1}$$

$$\gamma \tilde{x} \gamma^{-1} \tilde{x}^{-1} = [y, z] \tilde{x} [y, z]^{-1} \tilde{x}^{-1} \in [\pi, [\pi, \pi]],$$

$$I(T_c T_d^{-1}) = a_1 \wedge b_1 \wedge b_2 \neq 0.$$

$\Rightarrow I(S_g)$ not gen by sep twists.



Topological interpretation #1

$\alpha : \pi_1(S_g) \rightarrow \mathbb{Z}^{2g}$ abelianization.
 $\rightarrow A : (S_g, *) \rightarrow (T^{2g}, 0)$

Consider $A \circ \psi$ $[\psi] \in I(S_g)$.

Since $[\psi] \in I(S_g)$,

$$A \sim A \circ \psi.$$

The homotopy is a 3-man.
in $T^{2g} \rightsquigarrow \Lambda^3 H$.

An elt of $\Lambda^3 H$ is
a sum of 3-~~mflds~~^{mnflds} in T^{2g}

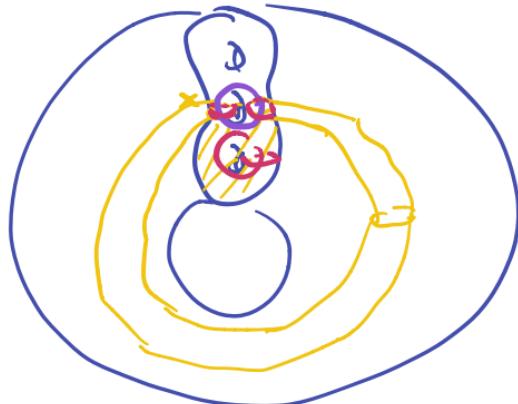
Top. interp #2

Given $f \in I(S_g)$ need elt of $\Lambda^3 H$ or $(\Lambda^3 H)^* = \{\Lambda^3 H \rightarrow \mathbb{Z}\}$

$$5x_1y_1z + 7 \underline{\text{abnc}}$$

Given $f \in I(S_g)$, $x_1y_1z \in \Lambda^3 H$ need a number:

Construct mapping tons M_f



$x \mapsto$ surface Σ_x in M_f

The desired number is

$$\hat{i}(\Sigma_x, \Sigma_y, \Sigma_z)$$

Chap 7. Torsion

Thm. (Fenchel-Nielsen)

Any fin.order $f \in \text{Mod}(S_{g,n})$

has a rep $\varphi \in \text{Homeo}^+(S_{g,n})$
of finite order

More: φ can be chosen to
be isometry of a hyp./Eucl.
metric.

Pf. Later chapter.

Same true for $G \leq \text{Mod}(S_{g,n})$

$|G| < \infty$ much much harder.

Cor. $\partial S \neq \emptyset$

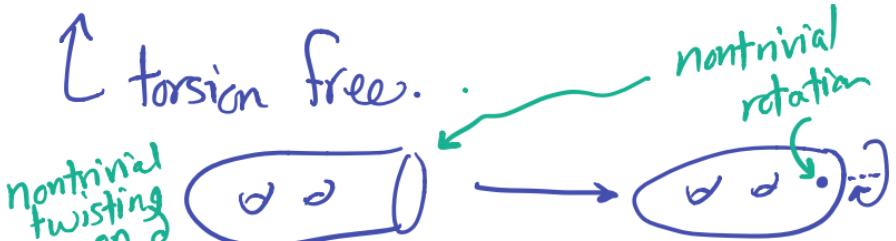
$\text{Mod}(S)$ is torsion free.

Pf. of Cor.

$$\mathbb{Z}^b \rightarrow \text{Mod}(S_{g,n}^b) \xrightarrow{\text{capping}} \text{Mod}(S_{g,n+b})$$

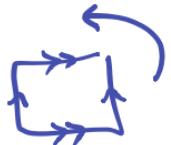
↑ torsion free.

nontrivial twisting on ∂



Torus case

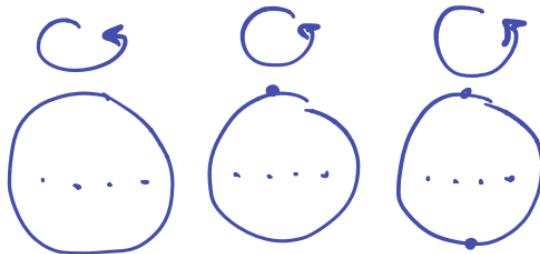
$$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$



$$\begin{pmatrix} 0 & 1 \\ -1 & 1 \end{pmatrix}$$



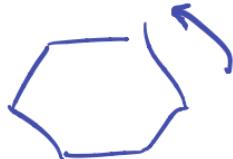
Sphere case



Brouwer: a per. homeo of S^2
is conj to Eucl. rot.

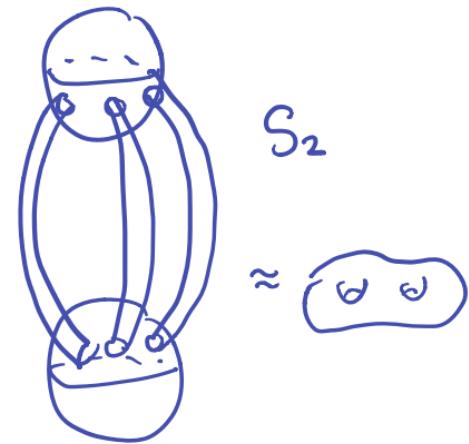
In higher genvs, it is complicated to
list all periodic elts (number thy).

examples



$$4g+2$$

not realizable by
rotation in \mathbb{R}^3
(1 fixed pt)



$$S_2$$



Torelli $\ker \text{Mod}(S_g) \rightarrow \text{Sp}_{2g} \mathbb{Z}$

Thm $I(S_g)$ is torsion free.

Pf. Say $f \in I(S_g)$ wlog $g \geq 2$.

$| < |f| < \infty$. \nearrow at each fixed pt, rotation.

\leadsto representative φ

Apply Lefschetz fpt.

$$L(\varphi) = \sum_{i=0}^2 (-1)^i \text{tr}(\varphi_* : H_i(S_g) \rightarrow H_i(S_g))$$

$$\begin{aligned} \# \text{fixed pts} &\stackrel{!!}{>} 0 \\ &= 1 - 2g + 1 \\ &= 2 - 2g < 0 \end{aligned}$$

$\varphi =$ homeo of space with isolated X fixed pts

$L(\varphi) =$ sum of degrees of fixed pts.

degree : deg of induced map on
at p $S^1 \cong U T_p X$

If φ is a rotation at p
then degree of φ at p
is ... + 1

84(g-1) Thm

Thm. $g \geq 2$, $G \leq \text{Mod}(S_g)$

$$|G| < \infty$$

$$\Rightarrow |G| \leq 84(g-1).$$

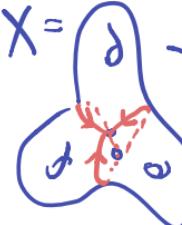
- For G abelian answer: $4g+4$
- Bound is (not) realized for ∞ many g .
- Realized for $g=3$
- Larson. $\{g : \text{bound is realized}\}$ has same frequency in \mathbb{N} as cubes.

Proof uses ^{hyp} orbifolds

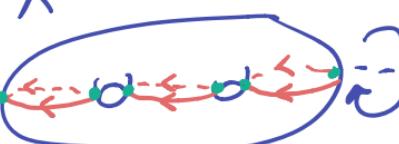
$X = \text{hyp. surface}$

$G \leq \text{Isom}^+(X)$ finite.

$\rightsquigarrow Y = X/G$ orbifold.

examples $X =$  $G \cong \mathbb{Z}/3$

$Y =$ 

$X =$  $G \cong \mathbb{Z}/2$

$Y =$ 

Riemann-Hurwitz formula

In Y , images of fixed pts are marked

and label of a marked pt is $|G| / \# \text{preimages}$

$$\chi(Y) = (2 - 2g(Y)) - m + \sum_{i=1}^m \frac{1}{p_i}$$

marked pts
labels

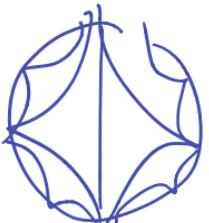
Fact. $\chi(X) = |G| \chi(Y)$.

Pf of $84(g-1)$

Want to show for any $Y = X/G$,

$$\chi(Y) \leq -\frac{1}{42}$$

$$\frac{2-2g}{84(g-1)}$$



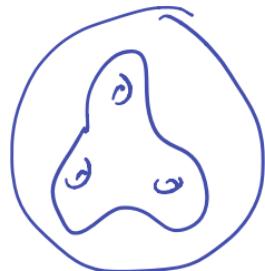
$$X = \underbrace{\text{cloud-like shape}}_{\downarrow}^{2-2g}$$

$$Y = \begin{pmatrix} \cdot & \cdot \\ \cdot & \cdot \end{pmatrix}$$

Pf. Just check

Only possibility is $Y = \begin{pmatrix} \cdot & \cdot \\ \cdot & \cdot \\ \cdot & \cdot \end{pmatrix}$

$$\chi(Y) = -\frac{1}{42}$$



■

Realizing Finite Groups

Thm. $G = \text{finite gp}$

$\exists g$ s.t. $G \leq \text{Mod}(S_g)$

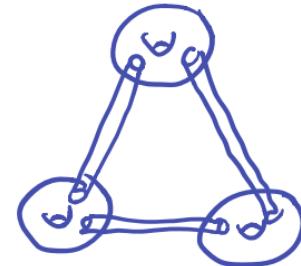
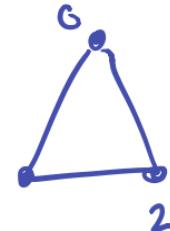
Pf #1 Build S_g from
Cayley graph for G .

vertices: G

edges: differ by
generator

$G \curvearrowright$ Cayley graph by left mult.

$\mathbb{Z}/3$



1

vertices \longrightarrow tori
edges \longrightarrow annuli

Can replace " $\exists g$ "
with "g >> 0"?

Yes for cyclic groups.

Generating MCG with torsion

Thm $\text{Mod}(S_g)$ is generated by elts of order 2.

Pf. $\text{Mod}(S_g)$ is perfect.

"

$[\text{Mod}(S_g), \text{Mod}(S_g)]$

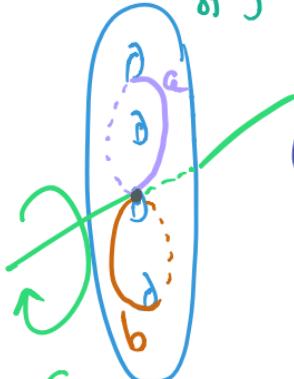
"

$\langle [T_a, T_b] : i(a, b) = 1 \rangle$

Suffices to write

$$[T_a, T_b] = \prod_{\text{2}} \text{elts of order 2}$$

Brendle-far/b:
only 6 such elts
are needed, indep
of g.



Change of coords:



Choose involution s , $s(a) = b$.

$$\begin{aligned} [T_a, s] &= T_a(s T_a^{-1} s^{-1}) \\ &= T_a T_b^{-1} \end{aligned}$$

product
of 2 elts
of order 2

Similarly

$$T_a^{-1} T_b \quad T_a s T_a^{-1}, s$$

is a product of 2 elts
of order 2

$$\Rightarrow [T_a, T_b] = \text{prod of 4 elts of order 2}$$

Chap 8 DNB Thm.

G = group

Inner autos:

$$\Phi_k: G \rightarrow G$$

$$g \mapsto kgk^{-1}$$

Example: $G = \pi_1(S_g)$

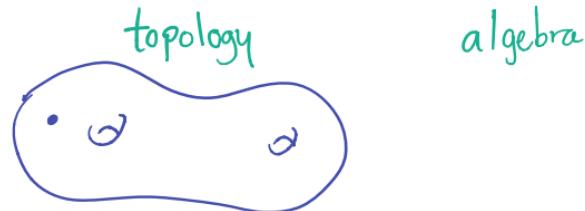
Φ_k = push about k^{-1} . kgk^{-1}



$$\text{Out}(G) = \text{Aut}(G)/\text{Inn}(G)$$

Example \Rightarrow

$$\sigma: \text{Mod}^+(S_g) \rightarrow \text{Out } \pi_1(S_g)$$



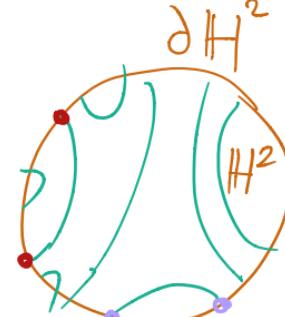
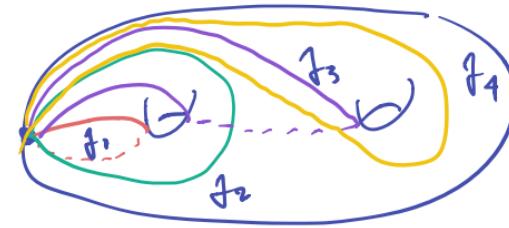
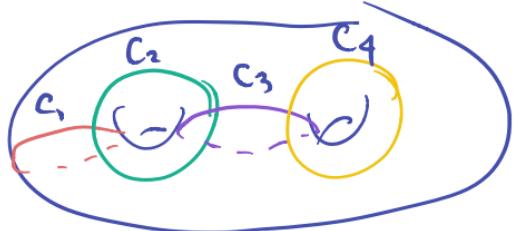
Thm. σ is \cong

Injectivity: $K(G, 1)$ theory

Surjectivity: $K(G, 1)$ theory:

outer auto of $\pi_1 \rightarrow$ homot. equiv.

Strategy



Let $[\Phi] \in \text{Out } \pi_1(S_g)$

$$c_i = [\gamma_i] \xleftarrow{\text{conj class}}$$

① $\Phi(c_i)$ simple $\forall i$.

\iff all pairs of lifts unlinked at ∂H^2

② $i(\Phi(c_i), \Phi(c_{i+1})) = 1$

\iff a little more complicated.

③ $i(\Phi(c_i), \Phi(c_j)) = 0$

\iff all pairs of lifts unlinked at ∂H^2

$$|i-j| > 1.$$

Then Alex. method, change of coords...

To show:
 Φ preserves linking
at ∂H^2

Cayley graph

$$G = \langle S \mid R \rangle$$

↑
gen set

vertices: G

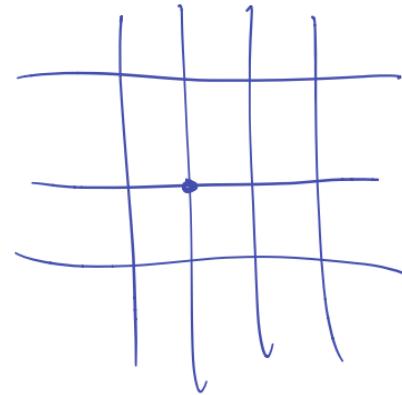
edges :  $s \in S$

Note $G \hookrightarrow$ Cayley graph
on left

path metric

↪ metric on G .

Example: $\mathbb{Z}^2 = \langle a, b \mid [a, b] = 1 \rangle$



metric: taxicab.

$$d(a^m b^n, \text{id}) = |m| + |n|$$

Example $\mathbb{Z} = \langle 1 \rangle \dots \circ \circ \circ \dots$

$\mathbb{Z} = \langle 2, 3 \mid \dots \rangle$ 

Quasi-isometries

X, Y metric spaces

$$f: X \rightarrow Y$$

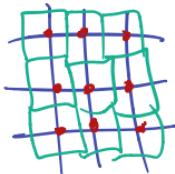
Isometry: $d(f(x), f(y)) = d(x, y)$

Quasi-isometry: $\exists K, C, D$ s.t.

$$\textcircled{1} \quad \frac{1}{K} d_X(x, y) - C$$

$$\leq d_Y(f(x), f(y)) \leq K d_X(x, y) + C$$

\textcircled{2} D -nbd of $f(x)$ is Y



example $\mathbb{Z}^n \hookrightarrow \mathbb{E}^n$

$$K = \sqrt{n}$$

$$C = 1 \text{ (or } 0\text{)}.$$

$$D = 1$$

example $\mathbb{H}^n \rightarrow \mathbb{Z}^n$ "nearest pt"

Next $\pi_1(S_g) \rightarrow \mathbb{H}^2$

example $f: \mathbb{R} \rightarrow \mathbb{R}$

$$f(x) = Kx$$

or $f(x) = \begin{cases} Kx & x \text{ irrational} \\ Kx+1 & x \text{ rational} \end{cases}$

Milnor - Svarc Lemma

X = proper, geod. metric space

$G \curvearrowright X$ prop. disc., by isometries.

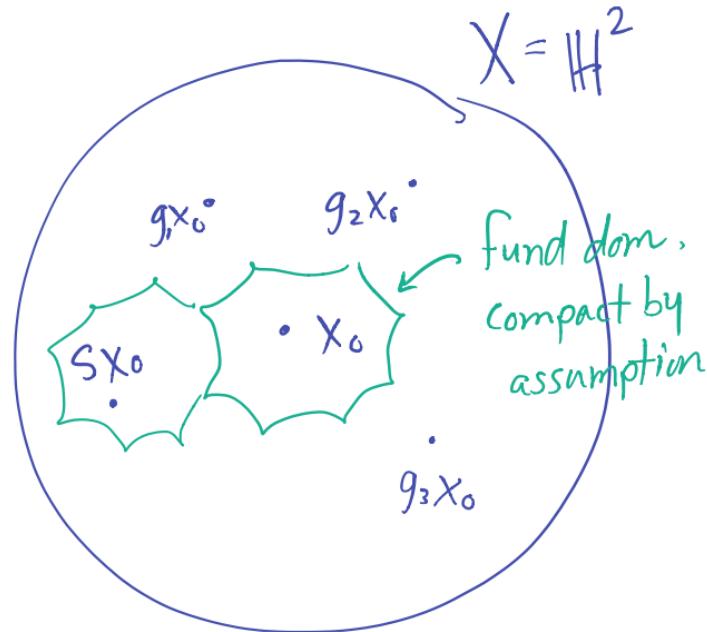
X/G compact

Then ① G is finitely generated

② G quasi-isom to X

via any orbit map

$$g \mapsto g \cdot x_0$$



$$G = \pi_1(S_g)$$

Gen set for $\pi_1(S_g)$:

elts that take fund dom to an adjacent one.

From Autos to QIs

$$G = gp \quad G = \langle S \rangle \quad |S| = \infty$$

$$\Phi \in \text{Aut}(G)$$

~ quasi-isom of G .

$$K = \max \left\{ \|\Phi(s)\| : s \in S \right\}$$

$$C = 0$$

$$D = 0.$$

So:

$$\Phi \in \text{Aut } \pi_1(S_g)$$



quasi-isom of $\pi_1(S_g)$



quasi-isom of H^2

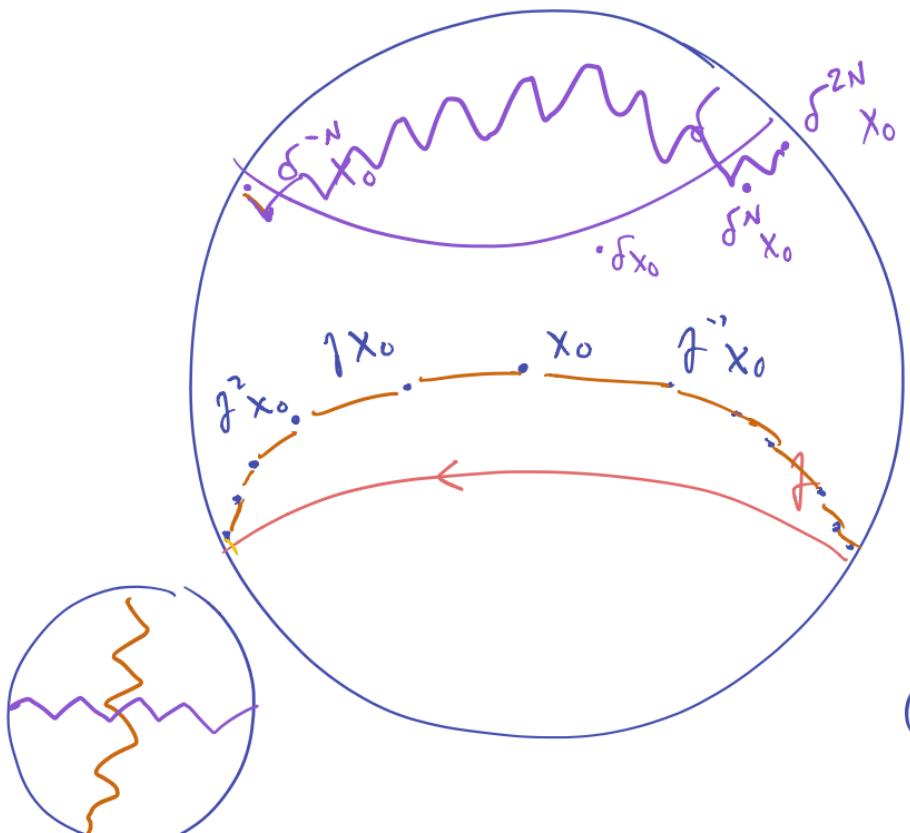
next

or

homeo of ∂H^2

hence, linking preserved

Quasi-isometries of $\pi_1(S_g) = \mathbb{H}^2$ preserve linking.



Suppose $\gamma, f \in \pi_1(S_g)$ unlinked.

① Choose $N \gg 0$ large compared to QI consts.

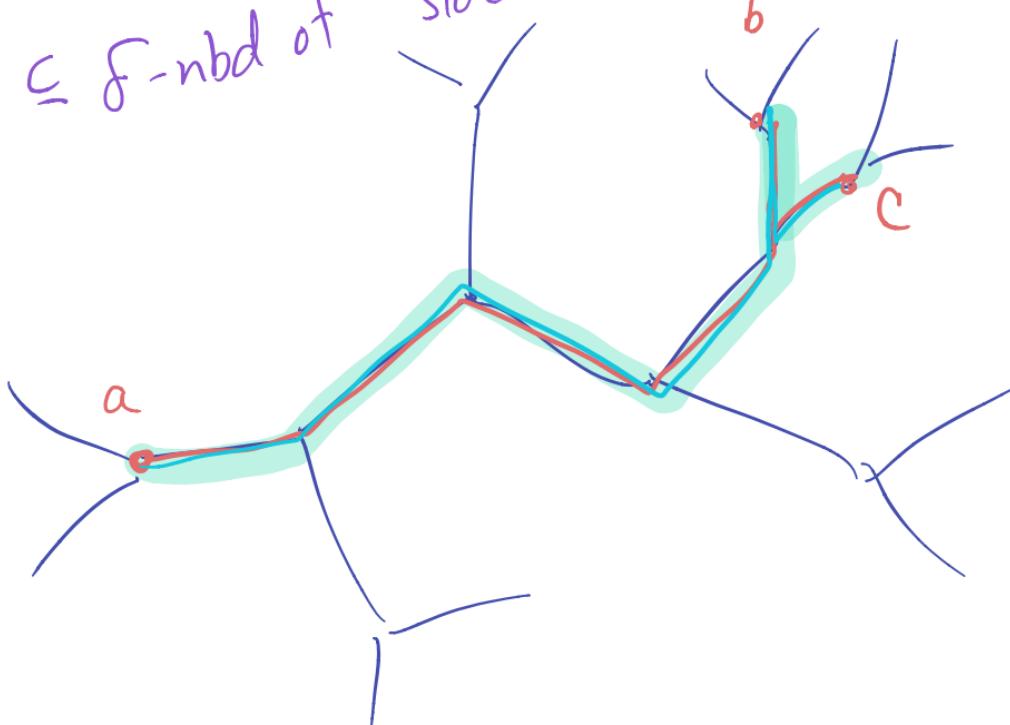
→ orbit pts

$\gamma^N x_0$ far
 $\delta^N x_0$ far
 $f^N x_0$ far
P_f, P_g

② Connect orbit pts by paths
in $\pi_1(S_g)$

③ If $\overline{\Phi}(\gamma), \overline{\Phi}(f)$ linked,
 $\overline{\Phi}(P_f), \overline{\Phi}(P_g)$ cross \Rightarrow cont.

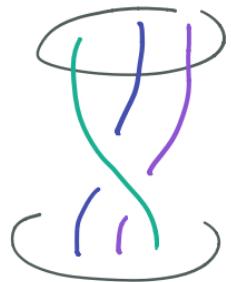
Gromov hyperbolic:
 $\exists \delta$ s.t. For any triangle,
side 3 $\subseteq \delta$ -nbd of side 1 v side 2



Chap 9 Braid groups

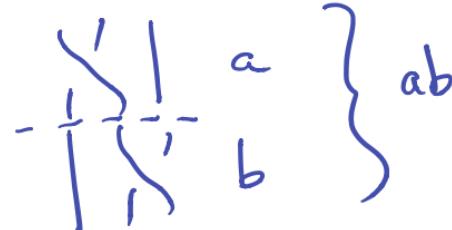
B_n = braid gp on n strands.

Def #1



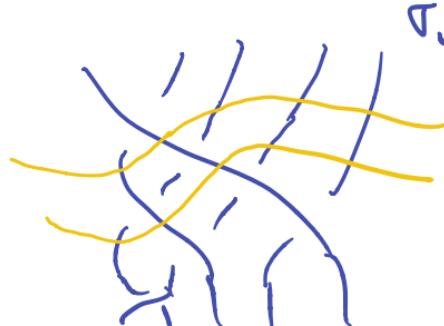
n strands in $\mathbb{R}^2 \times [0, 1]$
monotonic in $[0, 1]$ dir.
considered up to isotopy in \mathbb{R}^3

Multiplication: stack (& scale vertical)



id: |||

Generators: $\begin{matrix} i \\ || \\ i+1 \end{matrix} \dots \begin{matrix} i \\ \diagup \\ i+1 \end{matrix} \dots \begin{matrix} n \\ | \end{matrix}$



Inverses

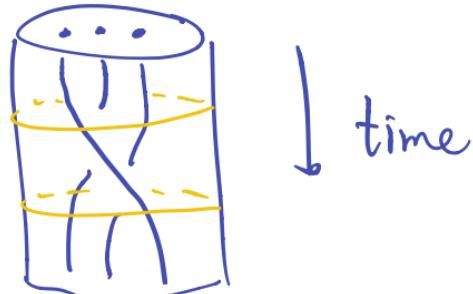
Braid closure:
braids \rightarrow knots

Defn #2

$\text{Conf}_n(\mathbb{R}^2)$ = space of n ^{un-}labeled pts in \mathbb{R}^2

$B_n \cong \underbrace{\prod_{\text{1}} \text{Conf}_n \mathbb{R}^2}_{\text{"dance"}}$

basept: $\dots \dots$



In this defn: τ_i is ~~IIIIXIIII~~



$P\text{Conf}_n \mathbb{R}^2 = (\mathbb{R}^2)^n / \text{big diagonal.}$

$\text{Conf}_n \mathbb{R}^2 = P\text{Conf}_n \mathbb{R}^2 / \Sigma_n$

Fact. $\text{Conf}_n \mathbb{R}^2$ is a $K(G, 1)$

$\Rightarrow B_n$ is torsion free.

(torsion $\Rightarrow \infty$ -dim $K(G, 1)$).

Defn #3

$$B_n \cong \text{Mod}(D_n)$$

disk with n
marked pts
in interior.

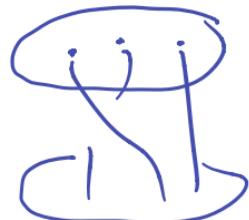
$$\text{Mod}(D_n) \longrightarrow B_n$$

$$\text{Given } [\varphi] \in \text{Mod}(D_n)$$

any homotopy φ to id
(ignoring marked pts)

restricts to a loop in

$$\pi_1 \text{Conf}_n \mathbb{R}^2.$$



$Pf \circ f \cong$ is BES, forgetting n pts instead of 1.

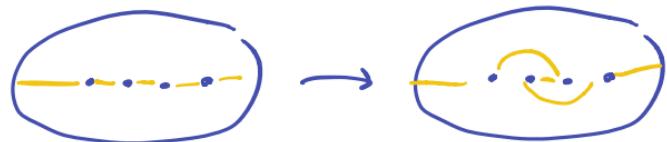
$$\text{Homeo}^+(D^3, \{\text{n pts}\}) \longrightarrow \text{Homeo}^+(D^2)$$

fiber bundle,
 \sim LES

$$\downarrow$$

$$\text{Conf}_n D^2 \cong \text{Conf}_n \mathbb{R}^2$$

$\pi_i :$



Alg. Structure

- $B_n = \langle \sigma_1, \dots, \sigma_{n-1} \mid \sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}, \sigma_i \sigma_j = \sigma_j \sigma_i \quad |i-j| > 1 \rangle$

braid rel: R3 moves

$\sigma_i \sigma_i^{-1} = \text{id}$: R2 moves



$$\cdot B_n^{ab} = H_1(B_n; \mathbb{Z}) \cong \mathbb{Z}$$

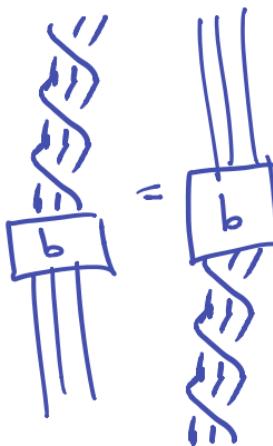
$$L: B_n \rightarrow \mathbb{Z}$$

$$\sigma_i \mapsto 1$$

"length homom"

$$\cdot \mathbb{Z}(B_n) = \langle T_\delta \rangle$$

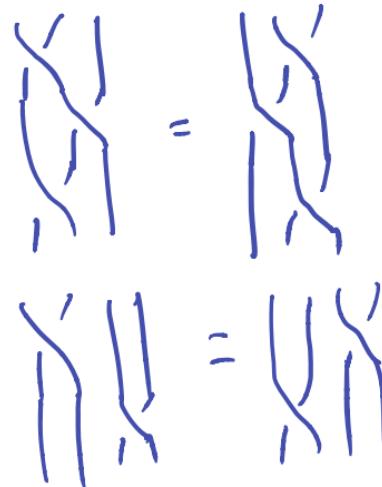
$$T_\delta = (\sigma_1 \dots \sigma_{n-1})^n$$



codim 1

codim 2

codim 2.



Travaux de Thurston.
Exposé 3.

Pure braid gps PB_n

$$1 \rightarrow PB_n \rightarrow B_n \rightarrow \Sigma_n \rightarrow 1$$

- PB_n gen by a_{ij} $\binom{n}{2}$



- Presentation (McCammond - M)



$PB_n = \langle \text{convex Dehn twists} \mid$
 disjointness,
 lantern
 splitting
 cf. Birman-Ko-Lee

- $Z(PB_n) = Z(B_n) = \langle T_0 \rangle$
 $(a_{12} \dots a_m)(a_{23} \dots a_{2n}) \dots (a_{n-1,n})$



$$\bullet PB_n \cong PB_n / Z(PB_n) \times \mathbb{Z}$$

$$1 \rightarrow \mathbb{Z} \xleftarrow{\quad} PB_n \xrightarrow{\text{Cap}} PB_n / Z(PB_n) \rightarrow 1$$

$$1 \longleftrightarrow a_{12}$$

$$0 \longleftrightarrow a_{ij}$$

splitting

More on PB_n

- Combing decomps:

$$PB_n \cong F_{n-1} \times PB_{n-1}$$

\downarrow
 PB_2

Iterating:

$$PB_n \cong F_{n-1} \times F_{n-2} \times \cdots \times F_2 \times \mathbb{Z}$$

- Abelianization:

$$H_1(PB_n; \mathbb{Z}) \cong \mathbb{Z}^{\binom{n}{2}}$$

Need $\binom{n}{2}$ maps $PB_n \rightarrow \mathbb{Z}$

$$\binom{n}{2} \text{ forget maps } PB_n \rightarrow PB_2 \cong \mathbb{Z}$$

Church-Farb. $H_1(PB_n; \mathbb{Z})$ is
rep. stable: As Σ_n reps

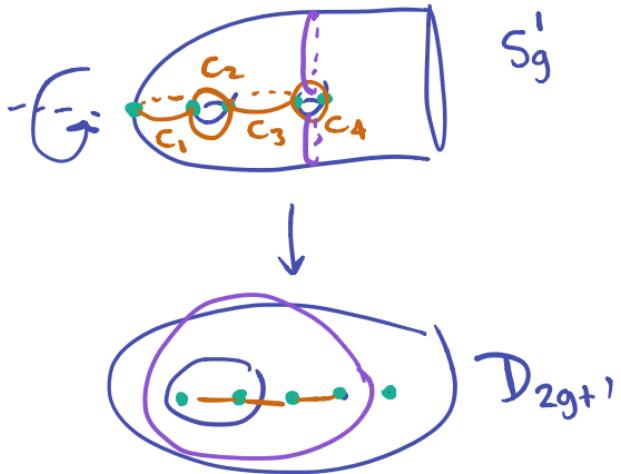
$$H_1(PB_n; \mathbb{Z}) = 0 \oplus \square \oplus \square$$

↑ ↑
trivial std
rep. irrep
std rep.

cf. Farb survey
ICM

Birman-Hilden theory

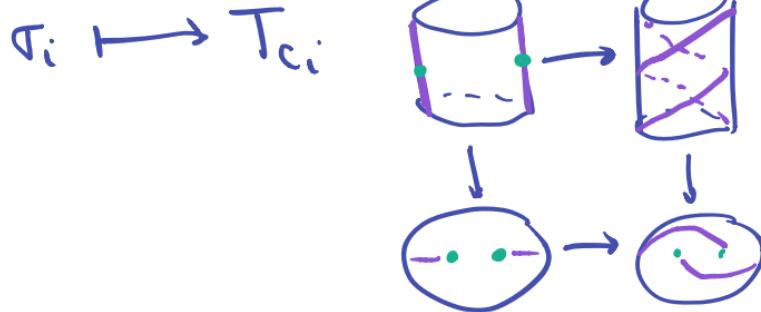
Survey
with Winarski



$$B_{2g+1} \rightarrow \text{Mod}(S_g')$$

$$\varphi \xrightarrow{\text{lift}} \tilde{\varphi}$$

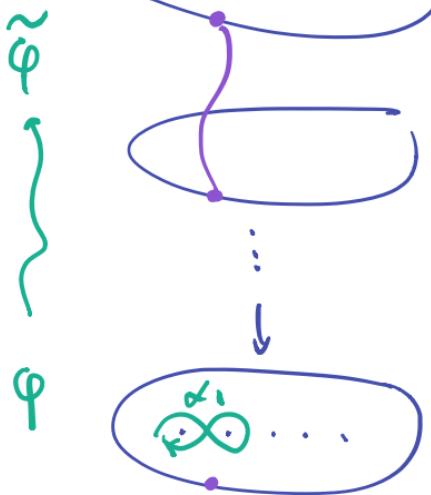
BH thm. Injective.



Braid relns & chain relns
come directly from

- braid reln in B_n
- writing center of B_n
in terms of T_i

∞
Parking
garage



Burau rep

$H_1(X) = \langle t_{\alpha_1}^i, \dots, t_{\alpha_{n-1}}^i : i \in \mathbb{Z} \rangle$

f.g. as a $\langle t \rangle$ -module.

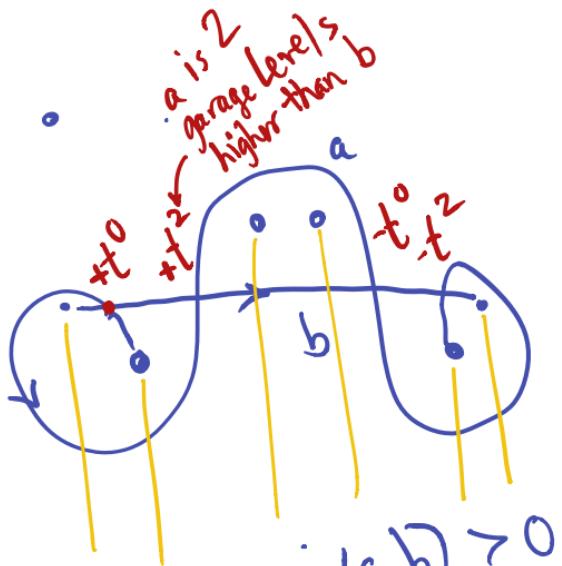
$\tilde{\varphi} \rightsquigarrow n-1 \times n-1$ matrix
entries in $\mathbb{Z}[t]$

$$\begin{pmatrix} I & & & \\ & 1-t & & \\ & t & I & \\ & & & I \end{pmatrix}$$

$F_n \rightarrow \mathbb{Z}$

$x_i \rightarrow 1$

action
on $H_1(X)$



$$i(a,b) > 0$$

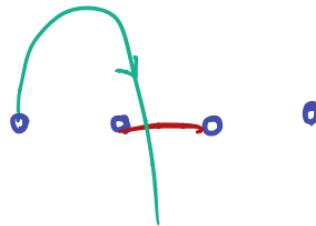
$$\hat{i}(a,b) = 0.$$

$$i(a,b) > 0$$

$$\hat{i}(a,b) = 0$$

$$\Rightarrow [T_a, T_b] \in I(S_g)$$

+
id



Parts II & III

$\text{Mod}(S) \hookrightarrow \overline{\text{Teich}}(S)$

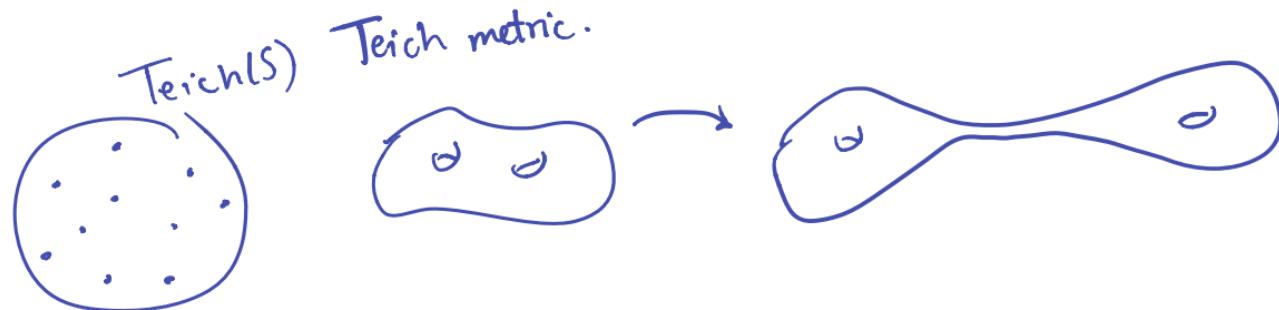
"space of hyp
metrics on $S /$
isotopy"

This action tells us
about both $\text{Mod}(S)$
& $\text{Teich}(S)$

for example:

- $\text{Isom } \text{Teich}(S) \cong \text{Mod}^\pm(S)$
- Nielsen-Thurston classification
for elements of $\text{Mod}(S)$

This is geometric gp thy.

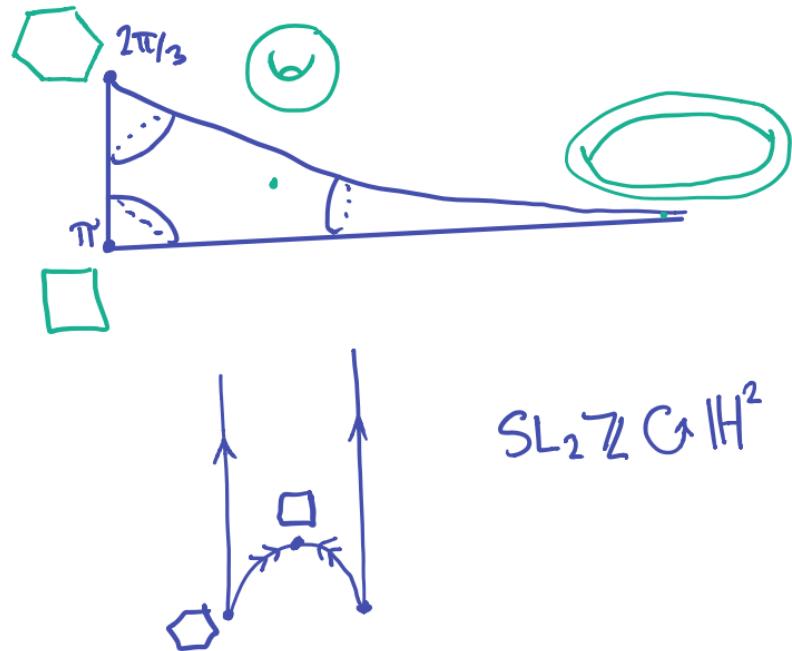


Moduli space

$$\chi(S) < 0$$

$$\begin{aligned} M(S) &= \{\text{hyp metrics}\} / \text{isometry.} \\ &= \{\text{complex str's}\} / \sim \\ &= \{\text{algebraic strs}\} / \sim \\ &= \{\text{conformal strs}\} / \sim \end{aligned}$$

$$M(T^2) = \{\text{unit area Eucl. metrics}\} /_{\text{isom.}}$$



Teichmüller space

(orbifold) univ. cover
of $M(S)$.

$$\text{Teich}(S) = \{\text{hyp. metrics}\} / \text{isotopy}$$

$$= \{\text{hyp. metrics}\} / \text{Diff}_0(S)$$

(action is pullback) isotopic to id.

$$= \{(X, \varphi) : X \text{ hyp surf.}$$

$$\varphi : S \rightarrow X \text{ diffeo}\} / \sim$$

marked surface

$$S = \begin{matrix} \text{top surface,} \\ \text{fixed forever} \end{matrix} \xrightarrow{\varphi_1} X_1 \quad \xrightarrow{\varphi_2} X_2$$



$\mu = \text{Eucl. metric}$

$$\varphi \in \text{Diff}_0(T^2)$$

$\varphi^*(\mu)$ is a different Eucl. metric on T^2 ,
isometric to μ via φ .

$(X_1, \varphi_1) \sim (X_2, \varphi_2)$ if
 \exists isometry $I : X_1 \rightarrow X_2$

s.t.

$$\begin{array}{ccc} & \varphi_1 & \rightarrow X_1 \\ S & \xrightarrow{\quad} & I \\ & \varphi_2 & \downarrow \rightarrow X_2 \end{array}$$

commute up to isotopy.

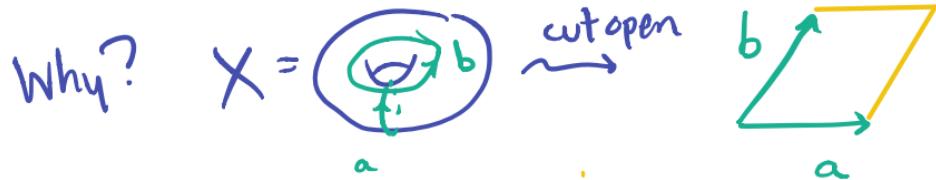
The torus

$$\begin{aligned}\text{Teich}(\mathbb{T}^2) &= \{\text{Eucl. metrics}\} / \text{scale isometry} \\ &= \{(X, \varphi)\} / \sim\end{aligned}$$

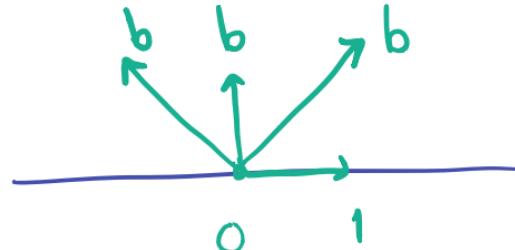
Prop. $\text{Teich}(\mathbb{T}^2) \leftrightarrow \mathbb{H}^2$

Pf. $\text{Teich}(\mathbb{T}^2) \xleftarrow{\quad} \text{marked lattices in } \mathbb{R}^2$

$\xleftarrow{\quad} \text{marked parallelograms} / \text{scale isometry}$



Scale so $a = 1 \in \mathbb{C}$
reflect over \mathbb{R} so $\text{im } b > 0$.



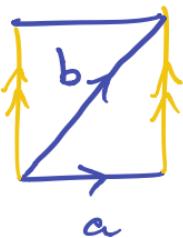
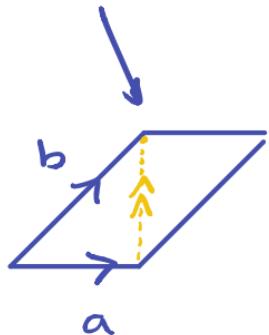
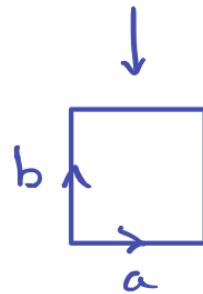
□

Prop \leadsto topology on
 $\text{Teich}(\mathbb{T}^2)$.

We'll see: Teich metric
is hyp metric.

Example tori

① i vs. $i+1$



not
isometric

② n_i vs. i/n



isometric via $\begin{pmatrix} 0 & -1 \\ i & 0 \end{pmatrix}$

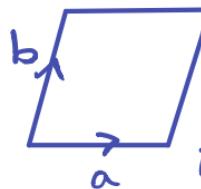
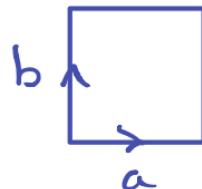
isometric! via... Ta

Same pt in $M(S)$
different in $\overline{\text{Teich}}(S)$

$$l_i(b) = 1 \quad l_{i+1}(b) = \sqrt{2}$$

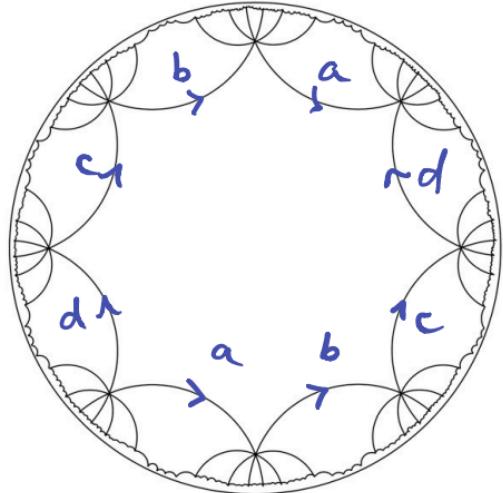
③ i vs. $i+\varepsilon$

not isometric!

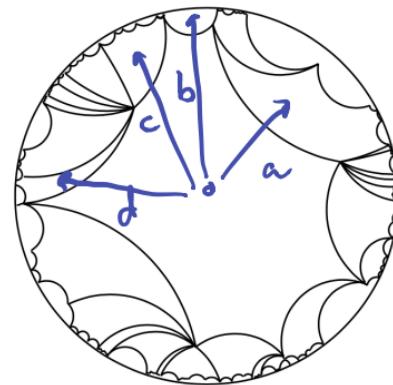
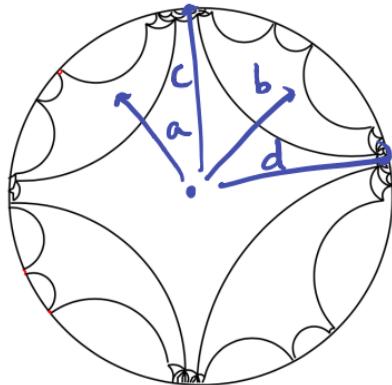
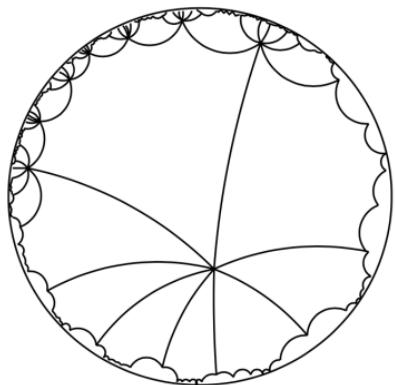


length spectra:
 $i: 1, 1, \sqrt{2}, \sqrt{2}, \dots$
 $i+\varepsilon: 1, 1+\varepsilon, \dots$

Some points
in $\text{Teich}(S_2)$



Marked octagons /
isometry
of \mathbb{H}^2



Length functions

For a curve (isotopy class) in S :

$$l_a : \text{Teich}(S) \rightarrow \mathbb{R}$$

$$X \longmapsto l_X(a)$$

length of
the geodesic
in X -metric.

(no such map for $M(S)$).

$$\mathcal{A} = \{\text{curves in } S\}/\text{isotopy}$$

Will show: $\ell : \text{Teich}(S) \rightarrow \mathbb{R}^{\mathcal{A}}$ injective. (actually: lengths of 6g-5 curves determine the metric)

The algebraic topology

$$DF(\pi_1(S_g), PSL_2 \mathbb{R})$$

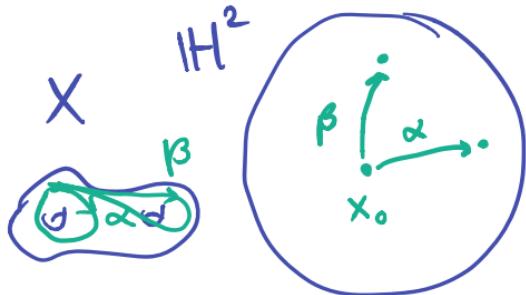
" discrete faithful reps

$$\pi_1(S_g) \rightarrow PSL_2 \mathbb{R}$$

"

cov space actions

$$\pi_1(S_g) \rightarrow \text{Isom}^+ \mathbb{H}^2$$



Have:

$$\text{Teich}(S_g) \leftrightarrow DF(\pi_1(S_g), PSL_2 \mathbb{R}) / PGL_2 \mathbb{R}$$

via deck gp action

↑
Conjugation.

Like torus case:

$$\text{Teich}(T^2) \leftrightarrow DF(\mathbb{Z}^2, \text{Isom } \mathbb{E}^2) / \text{Isom}^\pm \mathbb{E}^2$$

$$DF(\pi_1(S_g), PSL_2 \mathbb{R}) / PGL_2 \mathbb{R}$$

has a natural

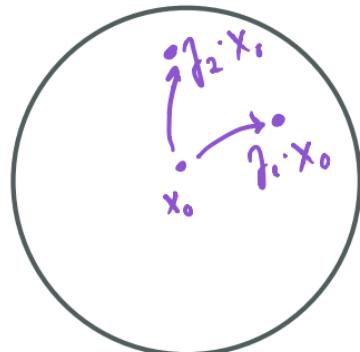
topology from $(PSL_2 \mathbb{R})^{2g}$

10. Teich Space.

$$\begin{aligned}\text{Teich}(S) &= \{\text{hyp metrics}\} / \text{isotopy} \\ &= \{(X, \varphi)\} / \sim \\ &\quad \uparrow \text{hyp surf} \\ &\quad \varphi: S \rightarrow X\end{aligned}$$

$$= DF(\pi_1(S_g), PSL_2 \mathbb{R}) / PGL_2 \mathbb{R}$$

\sim topology



Note: $\text{Teich}(S)$ can intuitively be seen to be a manifold. Which is it?

Dimension count

+ 6g : choosing $\rho(\gamma_1), \dots, \rho(\gamma_{2g})$
in $PSL_2 \mathbb{R}$

- 3 : surface relation.

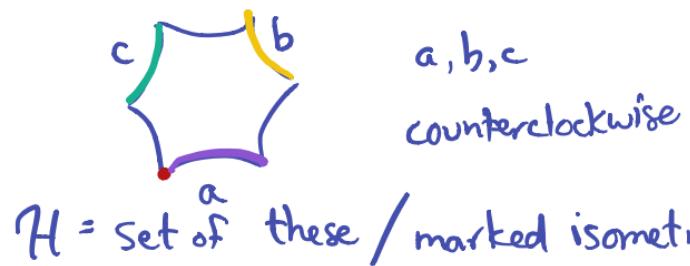
- 3 : conjugation

6g - 6

Pants $P = \alpha \cup \beta \cup \gamma$

Thm. The map
 $\text{Teich}(P) \rightarrow \mathbb{R}^3$
 $x \mapsto (l_x(\alpha), l_x(\beta), l_x(\gamma))$
 is a homeo.

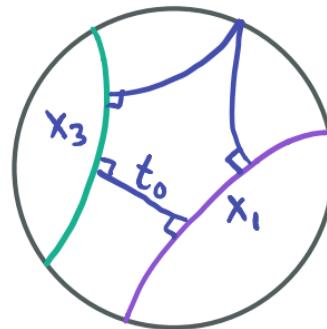
Setup: A marked hyp. hexagon right-angled



Lemma. The map $H \rightarrow \mathbb{R}^3$

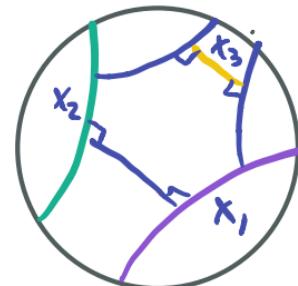
$H \mapsto (l_H(a), l_H(b), l_H(c))$
 is a bijection.

Pf.



Start with
 (x_1, x_2, x_3)
 in \mathbb{R}^3

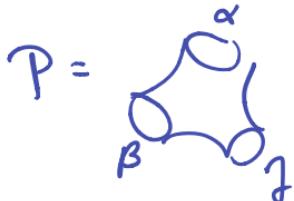
Increase to until get right hexagon



(IVT)

□

Pants



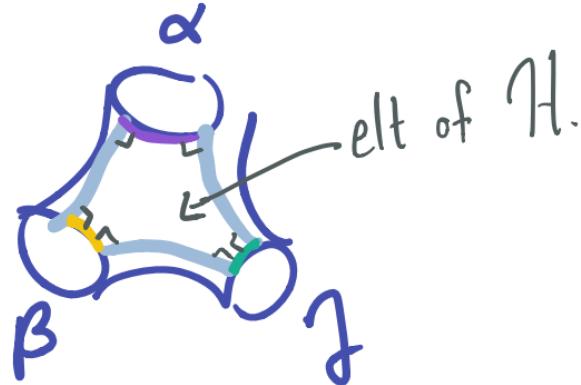
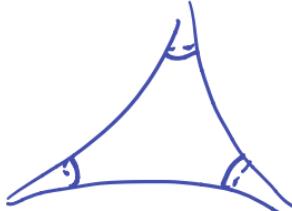
Thm. The map

$$\text{Teich}(P) \rightarrow \mathbb{R}^3$$

$$x \mapsto (l_x(\alpha), l_x(\beta), l_x(\gamma))$$

is a homeo.

Pf. Draw the geodesics connecting components of P



Also, components of ∂P are cut exactly in half. (by Lemma).

Continuity ✓

□.

Also: $\text{Teich}(S_{0,3}) = *$

Fenchel - Nielsen Coords

Thm $\text{Teich}(S_g) \cong \mathbb{R}^{6g-6}$

$3g-3$ length params

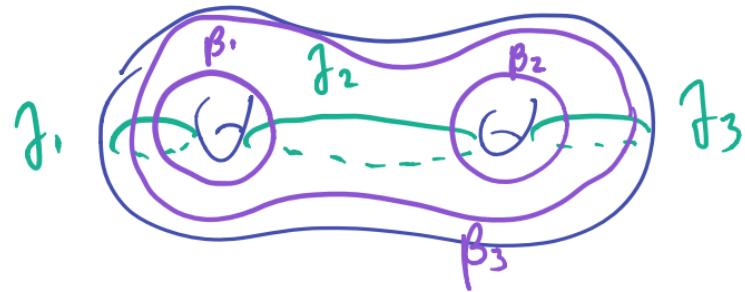
$3g-3$ twist params.

Setup:

$\gamma_1, \dots, \gamma_{3g-3}$ pants decomps

β_1, \dots, β_n seams:

$$(\bigcup \beta_i) \cap \text{one pants} = 3 \text{ distinct arcs}$$



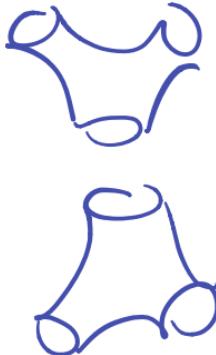
Length params: $l_x(\gamma_i)$

these tell us the metric on
each pants (by last Thm)

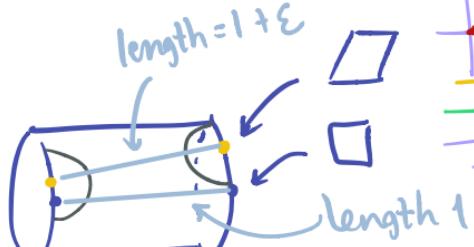
Twist params: harder. how
the pants are glued together.

Twist parameters

Given



If you twist before gluing,
get different metrics on
 S^4

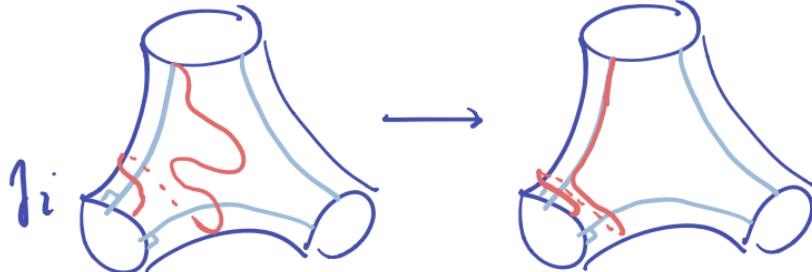


Similar:

Get different tori if you twist (length spectrum)

For an arc α^* in $X \in \text{Teich}(P)$

→ twisting about $\partial_i X$

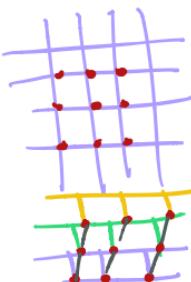


* homotopy class rel ∂X

$$\text{twisting} = 2\pi + \epsilon$$

Given $X \in \text{Teich}(S_g)$ & $i \in \{1, \dots, 3g-3\}$

Choose seam β_j crossing f_i
→ twisting on left/right of f_i



$$\Theta_i(X) = 2\pi \frac{t_L - t_R}{l(f_i)}$$

Pf of Thm

Given l_1, \dots, l_{3g-3}

$\Theta_1, \dots, \Theta_{3g-3}$.

Want to construct unique
 X with those coords.

Step 1. Make disj union
of pairs of pants according
to l_i .

Step 2. Draw seams according
to Θ_i

Step 3 Glue pants so seams
match up. $\leadsto X$

Step 4. Build marking $\varphi: S \rightarrow X$
by change of coords. \square

The $9g-9$ Thm

Thm $\exists \{\delta_1, \dots, \delta_{9g-9}\}$

s.t.

$$\begin{aligned} \text{Teich}(S_g) &\longrightarrow \mathbb{R}^{9g-9} \\ X &\longmapsto (l_X(\delta_i)) \end{aligned}$$

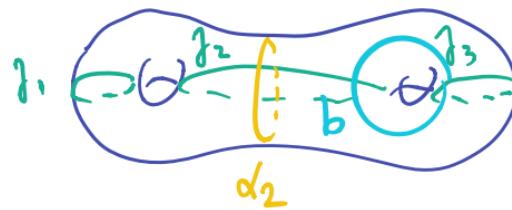
is injective.

Prop. Let X_s be a 1-param family in $\text{Teich}(S_g)$ given by changing i^{th} twist param. & $b = \text{curve crossing } \gamma_i$

Then the fn $\mathbb{R} \rightarrow \mathbb{R}_+$

$$s \mapsto l_{X_s}(b)$$

is strictly convex.



X_s obtained
by varying
this twist coord

Pf. The $9g-9$ curves are:

$$\gamma_1, \dots, \gamma_{3g-3}$$

$$\alpha_1, \dots, \alpha_{3g-3} \text{ any curves with } i(\alpha_i, \gamma_j) \neq 0 \iff i=j$$

$$\beta_1, \dots, \beta_{3g-3}$$

$$\beta_i = T\gamma_i(\alpha_i)$$

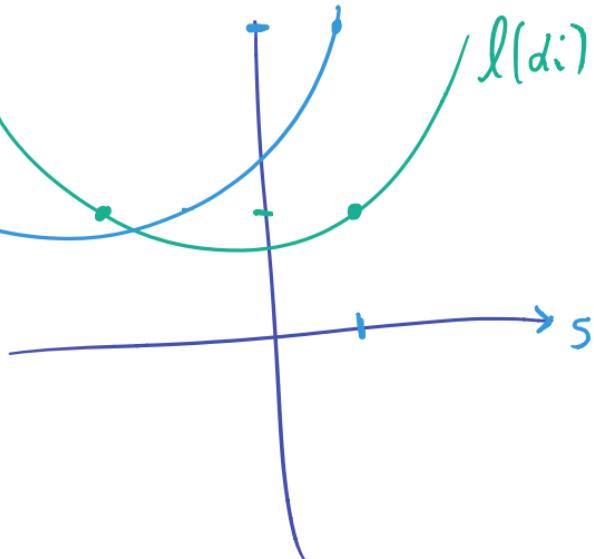
Pf. The g_g -curves are:

$$\gamma_1, \dots, \gamma_{3g-3}$$

$$d_1, \dots, d_{3g-3} \text{ any curves with } i(\alpha_i, \gamma_j) \neq 0 \Leftrightarrow i=j$$

$$\beta_1, \dots, \beta_{3g-3}$$

$$\beta_i = T\gamma_i(d_i)$$



By design:

$$l_{X_s}(\alpha_i) = l_{X_{s+2\pi}}(\beta_i)$$

X_s = family corresponding
to γ_i

□

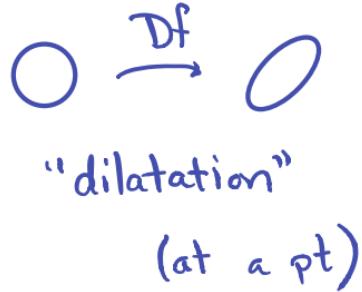
Chapter 11. Teich geom.

Basic question: $X, Y \in \text{Teich}(S)$



What is the best map?

Idea: Measure distortion

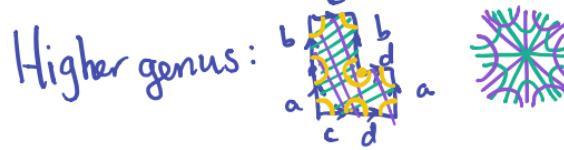
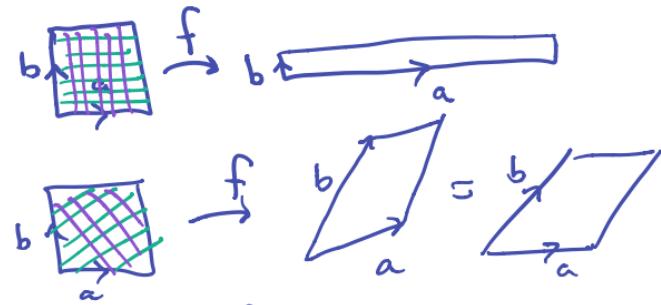


metric on $\text{Teich}(S)$

Take sup of dilatation over X

Take inf over f . Take log.

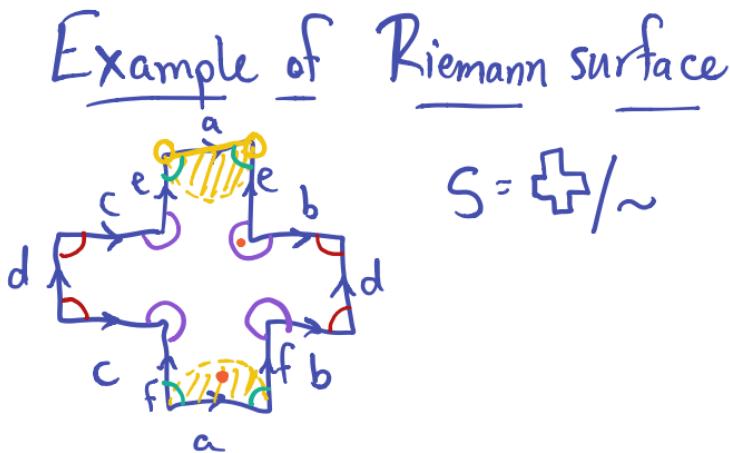
Teichmuller thm: existence & uniqueness
of infimal f .



Complex structures

A complex structure on S consists of:
 atlas of charts to \mathbb{C}
 with holomorphic transition maps.

Riemann surface: S with complex structure.



9 charts: "middle" identity map

6 edge charts: id on half-disk
 $+ \text{diameter}$
 translation on other half disk.

2 good corner charts: translation

1 bad corner chart:
 apply $\mathbb{Z}^{1/3}$ + translation.

Complex str's vs Hyp str's. $\chi(S) < 0$.

$$\{ \text{hyp str's on } S \} \longleftrightarrow \{ \text{complex str's on } S \}$$

→ isometries of \mathbb{H}^2 are holomorphic. (Möbius tr)

+ Cartan-Hadamard: only simply conn. complete surface with $K = -1$ is \mathbb{H}^2 .

← uniformization thm: only simply conn Riem surf's are \mathbb{H}^2 , \mathbb{C} , $\hat{\mathbb{C}}$.

Linear maps of \mathbb{R}^2 via \mathbb{C} -analysis

$U, V \subseteq \mathbb{C}$ open

$f: U \rightarrow V$ smooth

$$Df_p = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \quad a, b, c, d \in \mathbb{R}$$

Can write as:

$$Df_p(z) = \alpha z + \beta \bar{z}$$

$$\alpha = \frac{(a+ic)-i(b+id)}{2}$$

$$\beta = \frac{(a+ic)+i(b+id)}{2}$$

$$1 \in \mathbb{C} \Leftrightarrow (1,0) \in \mathbb{R}^2 \quad i \in \mathbb{C} \Leftrightarrow (0,1) \in \mathbb{R}^2$$

$$\text{Check } Df_p(1) = a + ic$$

$$Df_p(i) = b + id$$

α, β called $f_z, f_{\bar{z}}$

$$Df_p(z) = f_z z + f_{\bar{z}} \bar{z}$$

Complex dilatation:

$$M_f = f_{\bar{z}}/f_z$$

$$M_f = 0 \Leftrightarrow f \text{ holomorphic.}$$

Dilatation of f

$$K_f(p) = \frac{|f_z(p)| + |f_{\bar{z}}(p)|}{|f_z(p)| - |f_{\bar{z}}(p)|} = \frac{1 + |\mu_f(p)|}{1 - |\mu_f(p)|} = d_{\mathbb{H}^2}(\mu_f(p), 0).$$

= eccentricity of $Df_p(S^1)$ $K_f = \sup_p K_f(p)$

↑
to prove, write S^1 as $e^{i\theta}$, apply Df_p

$$\left| f_z(p) e^{i\theta} + f_{\bar{z}}(p) e^{-i\theta} \right|$$

$$1 - |\mu_f(p)| \leq \cancel{|e^{i\theta}|} \cancel{\left| |f_z(p)| \right|} \left| 1 + \mu_f(p) e^{-2i\theta} \right| \leq 1 + |\mu_f(p)|$$

Quasi-conformal maps

f is q.c. if $K_f < \infty$.

Holomorphic \Rightarrow 1-q.c.

Note: qc makes sense
for Riem. surfaces

since transitions maps
are holomorphic-

We only consider maps
that are smooth outside
a finite set.

Fact. X, Y Riem surfs.

The set of qc maps $X \rightarrow Y$
forms a group

$$\text{Pf. } K_{f \circ g} \leq K_f K_g$$

$$K_{f^{-1}} = K_f \quad \square$$

Teichmüller's extremal problem

Fix $f: X \rightarrow Y$ homeo.

Is this inf realized?

$$\inf \{K_h : h \sim f, h \text{ qc}\}$$

If so, what is min. map?

Teichmüller: existence & uniqueness.

$$\rightsquigarrow d_{\text{Teich}}(X, Y) = \frac{1}{2} \log K_h$$

Earlier, Grötzsch did this for rectangles:



Extremal map is the obvious one
& it is unique.

Measured foliations

Sing. foliation on S_g

locally:

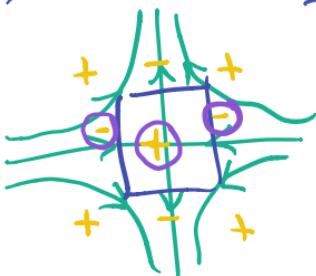


or



Prop. (Euler - Poincaré formula)

$$\chi(S) = \sum_{\text{sing}} \left(1 - \frac{k_i}{2} \right)$$



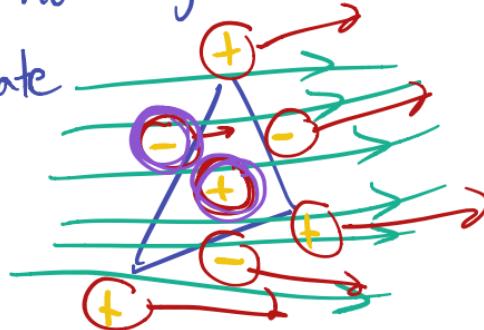
Special case: No singularities

$$\Leftrightarrow \chi(S) = 0.$$

PF (assuming foliation is orientable)
(W.Thurston)



Assume no singularities.
Triangulate



Chap 11. Teich geom.

Teich thms: Given $X, Y \in \text{Teich}(S)$

\exists unique map $h: X \rightarrow Y$

homot. to id that minimizes

dilatation K

$$O \xrightarrow{Dh} O$$

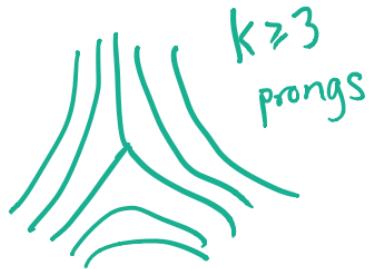
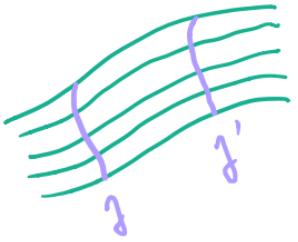
The map is locally:



need to make sense
of horiz/vert. on S .

$$\begin{pmatrix} \sqrt{K} & 0 \\ 0 & 1/\sqrt{K} \end{pmatrix}$$

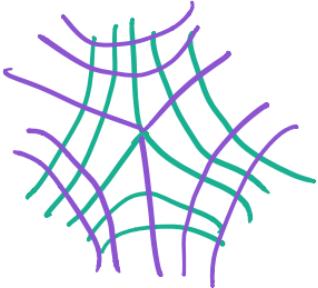
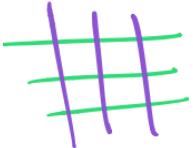
Measured foliations



$$\mu = \text{transverse measure} \geq 0.$$

$$\mu(\gamma) = \mu(\gamma')$$

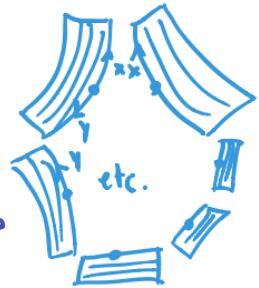
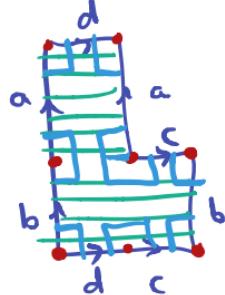
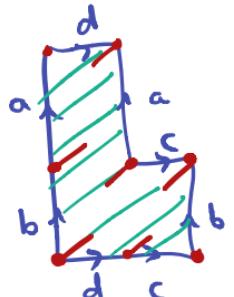
transverse foliations



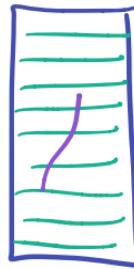
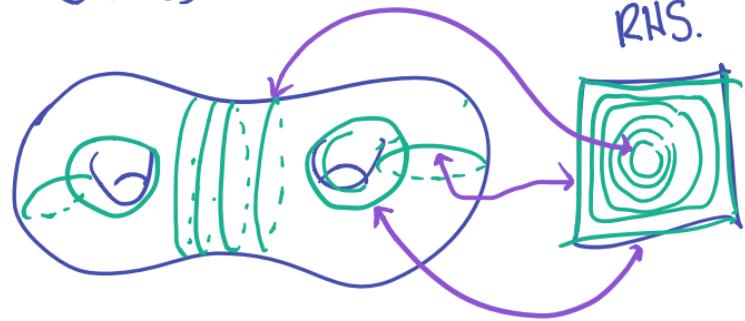
These allow us to
do Teich maps
as above.

3 constructions

① Polygons

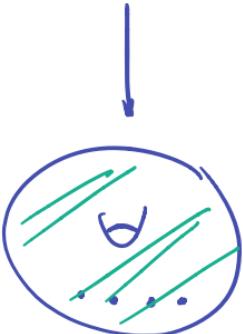
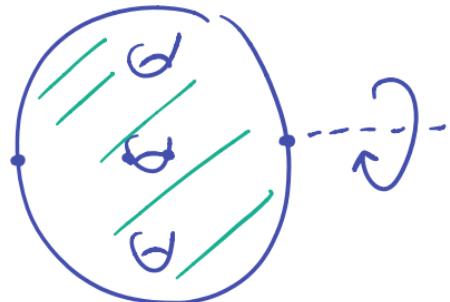


② Curves

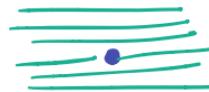
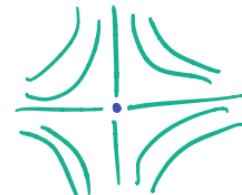


measure: Euclidean, \perp to foliation

③ Branched covers



Lift a foliation
from torus



Quadratic differentials

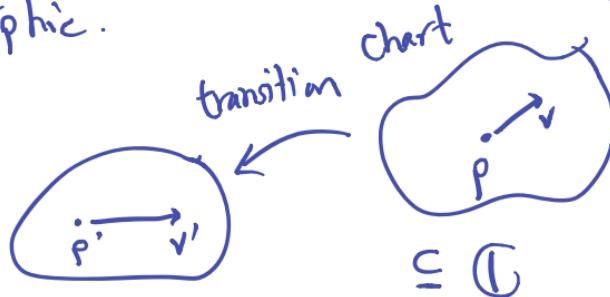
Single, complex analytic object
that packages: complex str.
2 transv. foliations
with measures.

In a chart:

$$q = \varphi(z) dz^2$$

φ holomorphic.

so that...



Invariant under transition maps:

$$z_\alpha : U_\alpha \rightarrow \mathbb{C} \text{ charts}$$

$$z_\beta : U_\beta \rightarrow \mathbb{C}$$

$$q = \varphi_\alpha(z) dz_\alpha^2 \text{ or } \varphi_\beta(z) dz_\beta^2 \text{ in charts}$$

$$\varphi_\beta(z_\beta) \left(\frac{dz_\beta}{dz_\alpha} \right)^2 = \varphi_\alpha(z_\alpha)$$

q eats tangent vectors, gives ^{complex} number.

$$q(v) = \cancel{\varphi(v)}^2 \\ = \varphi(p) v^2$$

From QD's to foliations

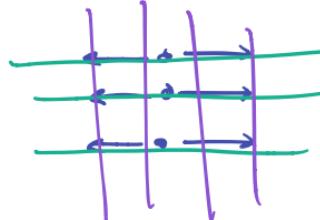
In a chart:

$$q = \varphi(z) dz^2$$

Horiz. foliation: $g > 0$

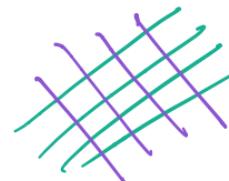
Vert. foliation: $q < 0$.

example $g = q(z) dz^2 = 1 \cdot dz^2$



$$q < 0$$

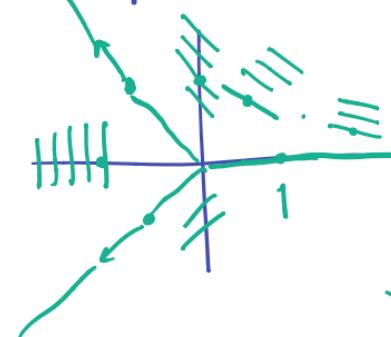
example $q = \alpha dz^2$ $\alpha \in \mathbb{C}$



example $q = zdz^2$

$$z^k dz^2$$

K+2 prongs.



... and the measures

Every q has natural coords

where it is $z^k dz^2$

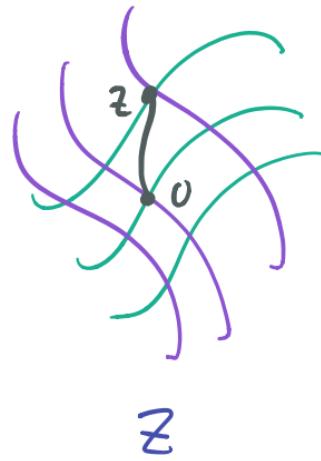
So: away from zeros,

measure is $|dx|, |dy|$

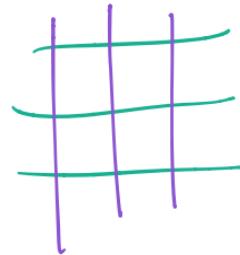
Say: $z: U \rightarrow \mathbb{C}$ chart

$$\eta(z) = \int_0^z \sqrt{q(\omega)} d\omega$$

Choose a branch of $\sqrt{\cdot}$ dummy variable



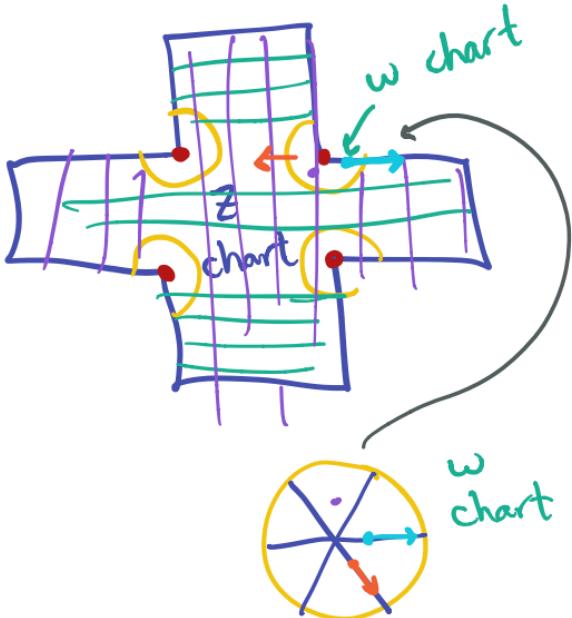
z



$\eta \circ z$

Check: in these coords, away from
zeros of q , $q = 1 \cdot d\bar{z}^2$.

Example



In z -chart

$$q = 1 dz^2$$

$$\varphi_z(z) = 1$$

or

$$q = \alpha dz^2$$

In w -chart

$$q = \varphi_w(w) dw^2 = 9w^4 dw^2$$

Change of coords from w to z :

$$z^3 + \text{const.}$$

$$\varphi_z(z) \left(\frac{dt}{dw} \right)^2 = \varphi_w(w)$$

$$1 \cdot (3z^2)^2 = \varphi_w(w)$$

$$q = \alpha w^4 dw^2$$

→ foliations rotated by $\arg \alpha$.

Statement of Teich Thms

X, Y Riem surf's

A homeo $f: X \rightarrow Y$

is a Teich map if

\exists qd's q_X initial differential
 q_Y terminal.

& $K \in (0, \infty)$

s.t.

① $f(\text{zeros of } q_X) = \text{zeros of } q_Y$

② At nonzero pts of q_X :

$$f(x+iy) = \sqrt{K}x + \frac{1}{\sqrt{K}}y$$

in natural coords

$$\rightsquigarrow K_f = \max \{ K, \frac{1}{K} \}$$

TET. X, Y Riem surfs

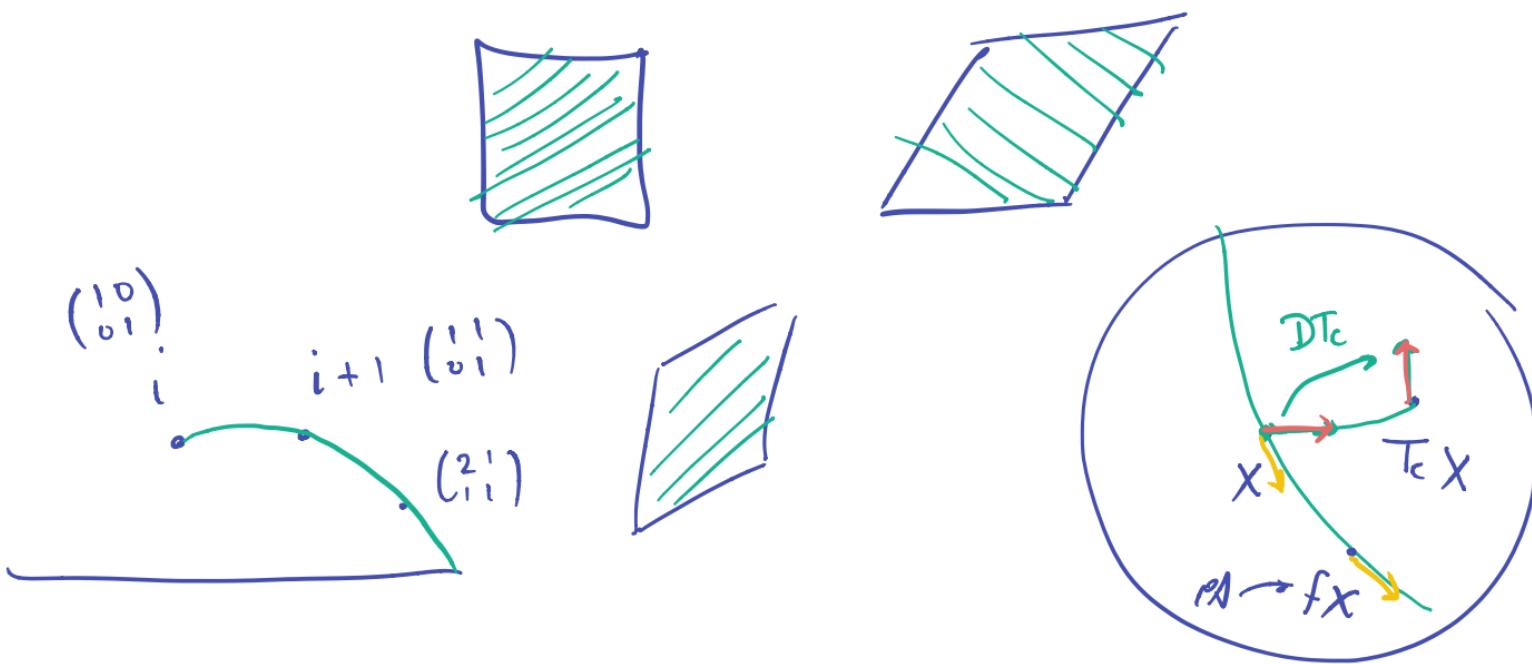
$f: X \rightarrow Y$ homeo

Then \exists Teich map homotopic to f .

TUT. $h: X \rightarrow Y$ Teich map

$$f \sim h \Rightarrow K_f \geq K_h$$

Equality $\iff f \circ h^{-1}$ conformal $\stackrel{g \geq 2}{\iff} f = h$



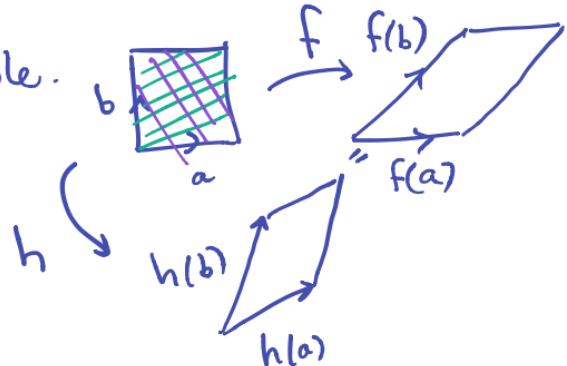
Teich Thms

TET. X, Y Riem surfs

$f: X \rightarrow Y$ homeo

\exists Teich map $h \sim f$.

example.



TUT. $h: X \rightarrow Y$ Teich map

$f \sim h$

$\Rightarrow K_f \geq K_h$

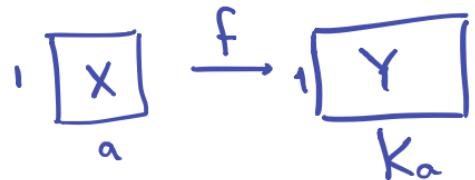
& equality $\iff f = h$ ($g \geq 2$)

Grötzsch's Problem

(The 1D version is MVT.
 $K = |f'|$)

The rectangle case
of TUT

Thm. Given

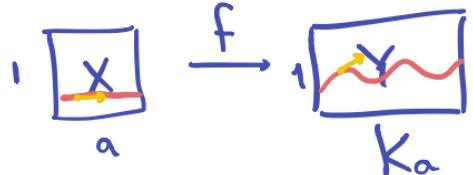


or. pres, side pres, almost
smooth (smooth outside finite set)

Then $K_f \geq K$

& equality $\Leftrightarrow f$ is the
obvious map.

Thm. Given



Then $K_f \geq K$

Uniqueness

For 1^{st} \leq to be =
need hor arcs
 \mapsto hor arcs.

Symmetry: vertical
arcs \rightarrow vertical.
etc.

Claim 2. $\int_X |f_x(x,y)| dA \geq K \text{Area}(X)$

Pf. Take $\int_0^a |f_x(x,y)| dx \geq K a$
 y fixed
length ($f(\text{hor arc})$)
& integrate over y .

Now: $(K \text{Area}(X))^2 \stackrel{(2)}{\leq} \left(\int_X |f_x(x,y)| dA \right)^2$

$\stackrel{(1)}{\leq} \left(\int_X \sqrt{K_f(x,y)} \sqrt{j_f(x,y)} \right)^2 dA$

$\stackrel{\text{C-S}}{\leq} \int_X K_f(x,y) dA \int_X j_f(x,y) dA$

$\leq K_f \text{Area}(X) \text{Area}(Y)$

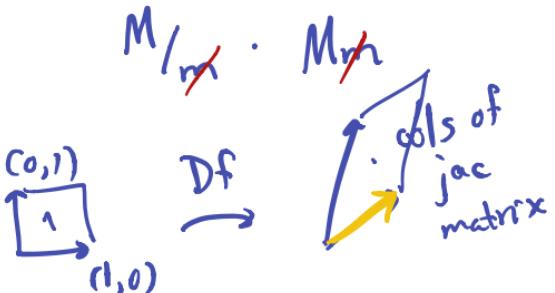
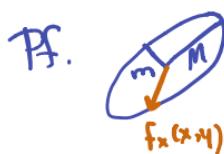
$= K_f K \text{Area}(X)^2$

□

Pf. $K_f(x,y) = \text{dil. at } (x,y)$

$j_f(x,y) = \text{jacob. of } f @ (x,y)$

Claim 1. $|f_x(x,y)|^2 \leq K_f(x,y) j_f(x,y)$



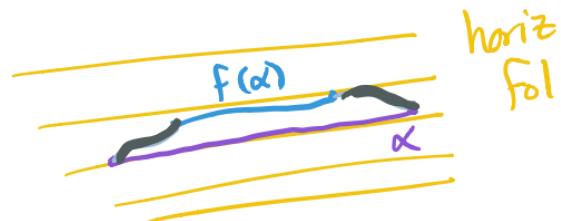
For TUT, need a version of

Claim 2. But: leaves might
not be closed...

Lemma. $q_Y \in QD(Y)$

$f: Y \rightarrow Y$ $f \sim \text{id}$.
 $\exists M$ s.t. \forall horiz. arcs α geodesic.

$$l_{q_Y}(f(\alpha)) \geq l_{q_Y}(\alpha) - M$$



Pf. $M = 2 \cdot \max$ distance a pt moves
under homotopy f to id.

α geodesic

$$\Rightarrow l(f(\alpha)) + M \geq l(\alpha) \quad \square$$

Next: Analog of Claim 2
using this Lemma.

Prop. $h: X \rightarrow Y$ Teich map

init diff q_X term diff q_Y

hor stretch K , $f \sim h$ almost smooth

Then $\int_X |f_x| dA \geq K \text{Area}(q_X)$

Pf. Define $\delta: X \times \mathbb{R}_{\geq 0} \rightarrow \mathbb{R}_{\geq 0}$

$$\delta(p, L) = \int_{-L}^L |f_x| dx$$

$$= l_{q_Y} f(\alpha_{p,L})$$

$\alpha_{p,L}$ = hor. arc length $2L$ thru p .

Also: $l_{q_Y}(h(\alpha_{p,L})) = 2KL$

Lemma $\Rightarrow l_{q_Y}(f(\alpha_{p,L})) \geq 2KL - M$ some M .

So:

$$\begin{aligned} \int_X \delta(p, L) dA &= \int_X l_{q_Y}(f(\alpha_{p,L})) dA \\ &\geq \int_X (2KL - M) dA \\ &= (2KL - M) \text{Area}(X) \end{aligned}$$

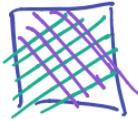
$$\begin{aligned} \text{Fubini: } \int_X \delta(p, L) dA &= \int_X \left(\int_{-L}^L |f_x| dx \right) dA \\ &= 2L \int_X |f_x| dA \end{aligned}$$

$$\text{So: } \int_X |f_x| dA \geq \left(K - \frac{M}{2L} \right) \text{Area } X \quad \forall L. \quad \square$$

Pf of TUT. Repeat Grötzsch argument \square

Proof of TET

$X \in \text{Teich}(S)$



$QD(X) = \mathbb{C}$ -vector space

\mathbb{R} -dim = $6g - 6$ (Riemann-Roch)

Define $\|q\| = \int_X |q| = \text{area}$

$$q = q(z) dz^2$$

$QD_1(X) = \text{open unit ball}.$

$$\sim K = \frac{1 + \|q\|}{1 - \|q\|}$$

$\leadsto Y \in \text{Teich}(S)$

& Teich map $h: X \rightarrow Y$.

example.



$$q = dz^2$$



$\therefore QD(X) \leftrightarrow T_x \text{Teich}(S)$

line in $\text{Teich}(S)$ "exponential map"

$\leadsto \Omega: QD_1(X) \rightarrow \text{Teich}(S).$

TET $\Leftrightarrow \Omega$ surjective.

Prop. Ω continuous

hard part!

Prop. Ω proper.

Also: Ω inj by TUT

& $\dim \text{QD}_1 = 6g - 6$

Brouwer's Inv. of Domain:

Any proper, inj contin. map

$$\mathbb{R}^n \rightarrow \mathbb{R}^n$$

is a homeo.

Continuity uses Beltrami differentials
PDEs.

Teichmüller metric

$$d_{\text{Teich}}(X, Y) = \frac{1}{2} \log K$$

where K is dilatation of
Teich map $h: X \rightarrow Y$.

Prop. d_{Teich} is a complete
metric.

Prop. Teich lines above are
geodesics in d_{Teich} . (TUT)

Prop. $\text{Teich}(S)$ is a geodesic metric
space (TET + prev. prop)

Prop. d_{Teich} for T^2
is hyp metric on H^2 .
(up to multiple).

Chap 12. Moduli space

$M(S) = \{ \text{hyp/complex/alg/conformal structures on } S \} / \sim$



different in Teich
same in M

$$F \cdot X = X \quad \forall X$$

$$d_X(F(c)) = d_{f \cdot X}(c) = d_X(c) \quad \forall c$$

$\text{Mod}(S) \subset \text{Teich}(S)$

by pulling back metrics...

In terms of markings:

$$[\psi] \cdot (X, \varphi) = (X, \varphi \circ \psi^{-1})$$

- Action is by isometries.
- $\text{Stab}(X) = \text{Isom}^+(X)$ finite
- Kernel is $\begin{cases} \mathbb{Z}/2 & g=1, 2 \leftarrow \text{hyp. inv.} \\ 1 & g \geq 3 \end{cases}$

$$M(S) = \text{Teich}(S) / \text{Mod}(S)$$

The torus

Prop. The action of $\text{Mod}(T^2) = \text{SL}_2 \mathbb{Z}$

on $\text{Teich}(T^2) = \mathbb{H}^2$

is by Möbius transf. $(\begin{smallmatrix} a & b \\ c & d \end{smallmatrix}) \mapsto \frac{az - b}{cz + d}$

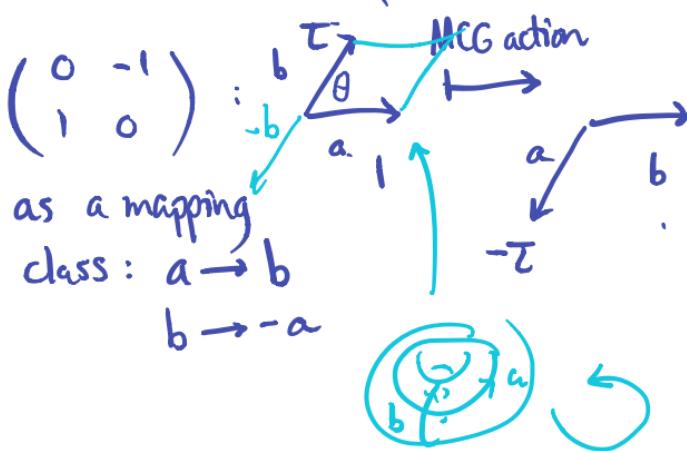
Pf. Check on generators.

$$\left(\begin{smallmatrix} 1 & 1 \\ 0 & 1 \end{smallmatrix}\right) \quad \left(\begin{smallmatrix} 0 & -1 \\ 1 & 0 \end{smallmatrix}\right)$$

$$\left(\begin{smallmatrix} 1 & 1 \\ 0 & 1 \end{smallmatrix}\right) : \begin{array}{c} \xrightarrow{b} \xrightarrow{a=1} \xleftarrow{b} \xrightarrow{a=1} \\ \xrightarrow{\quad} \end{array}$$

$$z \mapsto z - 1 \quad \checkmark$$

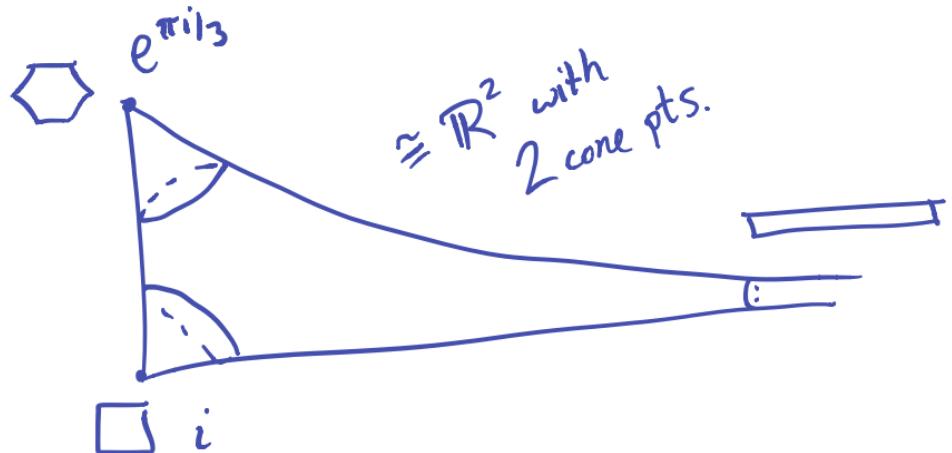
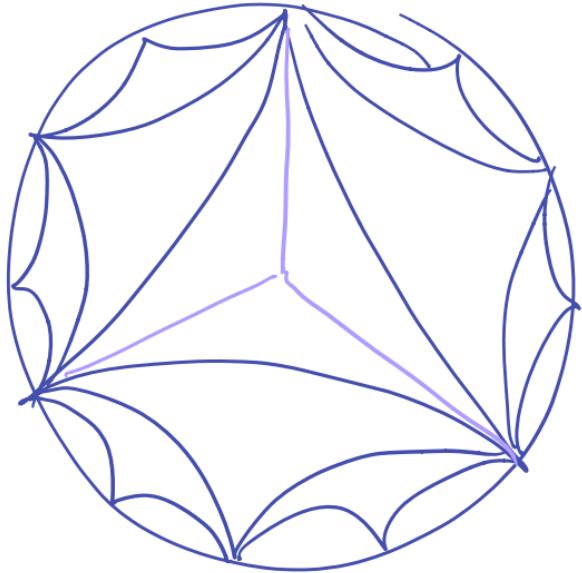
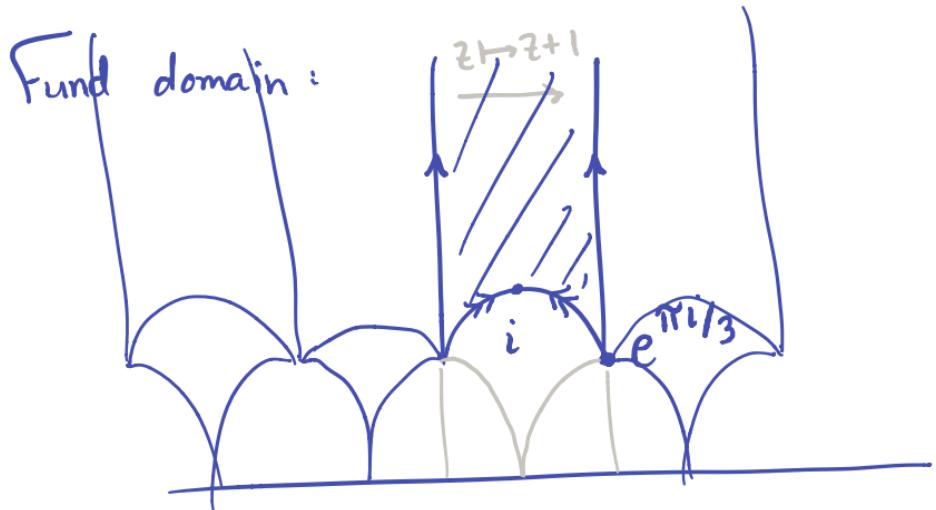
$$\left(\begin{smallmatrix} 0 & 1 \\ -1 & 0 \end{smallmatrix}\right)$$



To put latter in std form, divide by $-\tau \rightsquigarrow -\frac{1}{\tau}$ in \mathbb{H}^2 .

agrees with:

$$\frac{0z - 1}{1z + 0} = -\frac{1}{z}$$



$$\mathrm{PSL}_2 \mathbb{Z} \cong \mathbb{Z}/2 * \mathbb{Z}/3$$

Proper Discontinuity

$G \curvearrowright X$ prop disc if

\forall compact $K \subseteq X$

$$\#\{g \in G : gK \cap K \neq \emptyset\} < \infty.$$

Thm (Fricke) $\text{Mod}(S_g) \curvearrowright \text{Teich}(S_g)$
is prop. disc.

Thm + Teich metric \Rightarrow metric on
 $M(S)$.

(inf of dist.
b/w lifts).

Tool: Raw length spectrum.

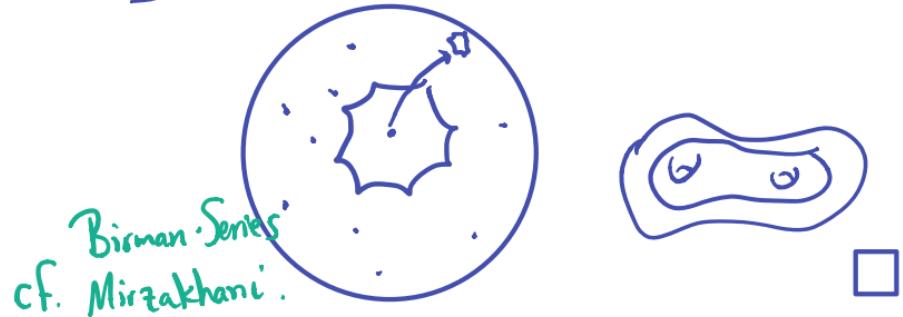
$$rls(X) = \{l_X(c)\} \subseteq \mathbb{R}.$$

Lemma. $X \in \text{Teich}(S)$. $\forall L$

$$\text{Then } \#\{c : l_X(c) \leq L\} < \infty$$

In partic, $rls(X)$ closed, discrete in \mathbb{R} .

Pf. Prop. disc. of $\pi_1(S) \curvearrowright \mathbb{H}^2$.



□

Walport's Lemma

X_1, X_2 hyp. surfaces

$\varphi: X_1 \rightarrow X_2$ quasi-conf homeo
($K < \infty$).

For all c :

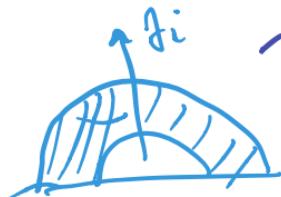
$$\frac{1}{K} l_{X_1}(c) \leq l_{X_2}(\varphi(c)) \leq K l_{X_1}(c)$$

"curves get stretched by at most K ".

Pf. $f_1, f_2 \in \text{Isom}^+(\mathbb{H}^2)$

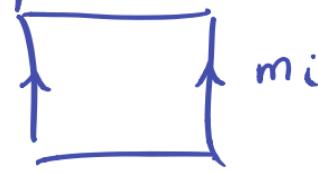


$$c \subseteq X_1 \quad \varphi(c) \subseteq X_2$$



\rightsquigarrow hyp annuli $\mathbb{H}^2 / \langle f_i \rangle = A_i$
cover of X_i .

A_i is conformally equiv. to
a unique* std annulus



* Grötzsch

φ lifts to $\tilde{\varphi}: A_1 \rightarrow A_2$
(lifting criterion)

Same qc const.

$$\text{Grötzsch} \Rightarrow \frac{m_1}{K} \leq m_2 \leq K m_1$$

\Rightarrow Lemma



Proof of PD

$B \subseteq \text{Teich}(S_g)$ compact.

$X \in B$ arbitrary.

$D = \text{diam } B$.

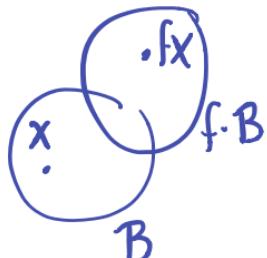
c_1, c_2 curves that fill S_g .

$$L = \max \{l_X(c_1), l_X(c_2)\}$$

Say $f \cdot B \cap B \neq \emptyset$.
(WTS finitely many such f)

$$d(X, Y) = \frac{1}{2} \log K$$

$$\begin{aligned} f \cdot B \cap B &\neq \emptyset \\ \Rightarrow d(X, f \cdot X) &\leq 2D \end{aligned}$$



$$\text{Wolpert} \Rightarrow l_{f \cdot X}(c_i) \leq KL$$

$$\text{where } K = e^{4D}$$

$$\Rightarrow l_X(f^{-1}(c_i)) \leq KL$$

Lemma \Rightarrow finitely many choices for
 $f(c_1)$ & $f(c_2)$.

Alex method \Rightarrow finitely many choices
for f . □

Moduli space

$$M(S) = \{\text{hyp. str}\} / \text{isometry.}$$

$$\text{Also: } M(S) = \text{Teich}(S) / \text{Mod}(S)$$

$\text{Mod}(S)$ acts by pullback:

$$[\varphi] \cdot X = (\varphi^{-1})^* X$$

Torus case „A“

$$\text{Action of } \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2 \mathbb{Z} = \text{Mod}(T^2)$$

on marked lattice $\Lambda \cong \mathbb{Z}^2 \in \text{Teich}(T^2)$

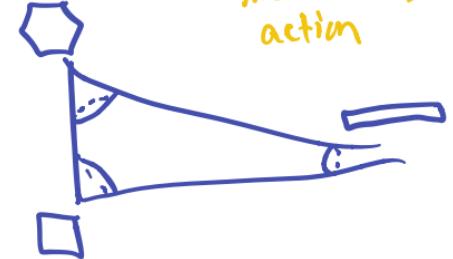
$$\uparrow \\ \text{matrix } M \in M_2 \mathbb{R}$$

Action is by Möbius trans

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \mapsto \frac{az - b}{cz + d}$$

The torus

$$M(T^2) =$$



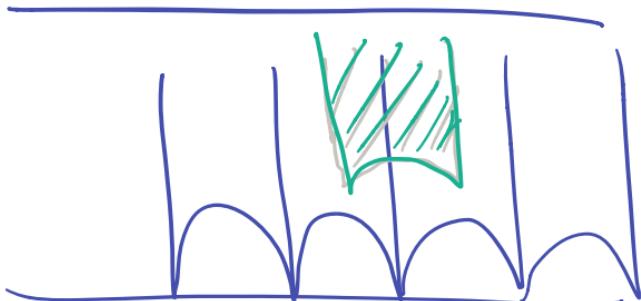
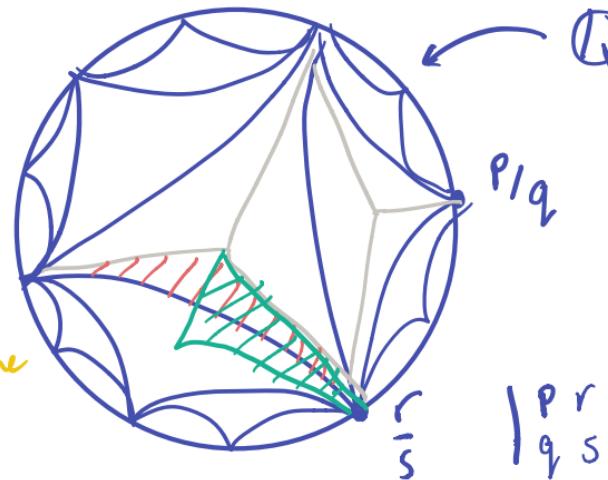
Möb action

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot \begin{pmatrix} p \\ q \end{pmatrix}$$

lin alg
action.

in upper
half-plane

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} p \\ q \end{pmatrix}$$



\mathbb{R} Möbius trans act on

this 2-complex.

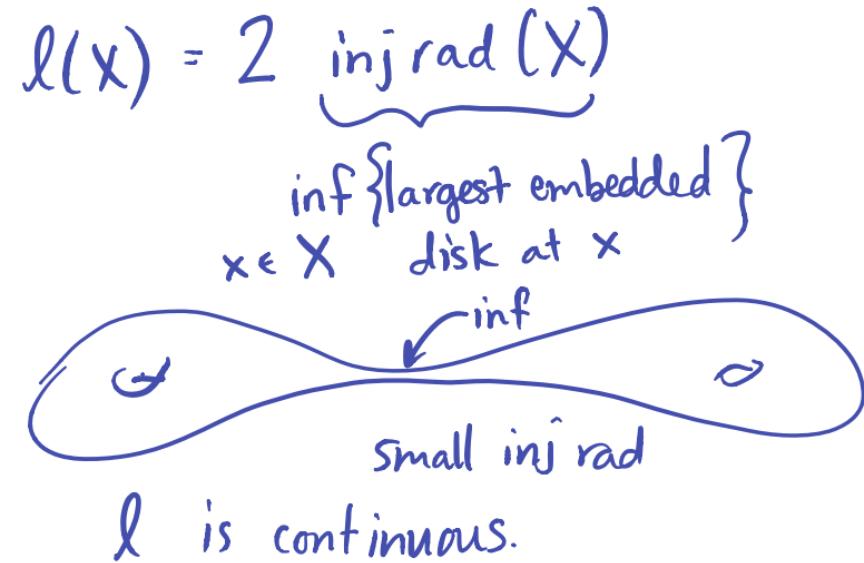
$SL_2 \mathbb{Z}$ acts trans. on Δ 's

Stab of Δ rotates

Mumford's Compactness Criterion

$$l : M(S) \longrightarrow \mathbb{R}_+$$

$X \mapsto$ length of shortest curve in X .



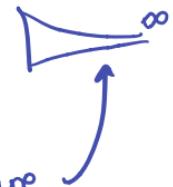
$M(S)$ is not compact because l has no minimum. (pinching)

$$\text{Define } M_\varepsilon(S) = \{X \in M(S) : l(X) \geq \varepsilon\}$$

" ε -thick part"

Thm. $\forall \varepsilon$, $M_\varepsilon(S)$ compact.

So: only way to go to ∞ is to pinch curves.



Torus case: evident from picture

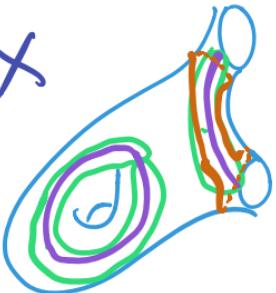
Bers constant

Thm. $\chi(S) < 0$ Maybe $\partial S \neq \emptyset$.

① $\exists L_0 = L_0(S)$ s.t.

$\forall X \in M(S)$ \exists curve in X
of length $\leq L_0$.

② $\exists L = L(S)$ s.t. $\forall X$
 \exists pants decompos. of
length $\leq L$.



Pf. ① \Rightarrow ② by induction
on # curves (cut open)

Given X find largest radius disk D
with interior embedded & disjoint
from ∂X .

D is a hyperbolic disk. radius r .

$$\begin{aligned} \text{Area } D &= 2\pi(\cosh(r) - 1) \\ &\leq \text{Area } X = -2\pi\chi(S) \end{aligned}$$

If ∂D touches itself \Rightarrow short curve.

If ∂D touches ∂X , it touches in at
least two points \Rightarrow short arc \Rightarrow short
curve.

One of these 2 situations must happen \square

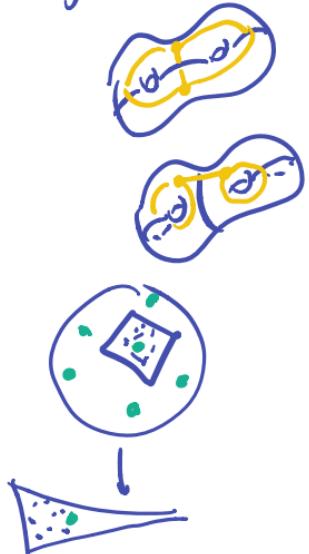
Define $M_\varepsilon(S) = \{X \in M(S) : l(X) \geq \varepsilon\}$ Bers: Each X_i has pants decomp where curves have length in $[\varepsilon, L]$.
 "ε-thick part"

Thm. $\forall \varepsilon$, $M_\varepsilon(S)$ compact.

Pf. $M(S)$ metrizable. \Rightarrow enough to show seq. compact.

$$(X_i) \subseteq M_\varepsilon(S)$$

We will find lifts to $\text{Teich}(S)$ lying in closed cube in $\mathbb{F}N$ coords.



Pass to subseq, so these pants decomps are topologically equivalent.

Choose lifts to $\text{Teich}(S)$ where a specific pants decomp. has length in $[\varepsilon, L]$.

So length params in $[\varepsilon, L]$.

Can modify twist params to be in $[0, 1]$ (Dehn twists)



The end of moduli space

Z = connected, locally compact metric space

Z has one end if $Z \setminus K$

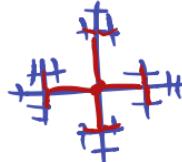
has one unbounded component \forall compact K .

or if \exists exhaustion $K_0 \subseteq K_1 \subseteq \dots$

by compact sets so $Z \setminus K_i$ connected $i \gg 0$.

one end: \mathbb{R}^n $n \geq 2$.

not one end: \mathbb{R}^n $n \leq 1$.



so many ends
Cantor set.

Thm. $M(S)$ has one end.

Pf. $M_\epsilon(S)$ form an exhaustion by compact sets



M_ϵ

To show $M \setminus M_\epsilon$ connected $\forall \epsilon$.

Let $X, Y \in M \setminus M_\epsilon$

Lift to $\tilde{X}, \tilde{Y} \in \text{Teich}$.

\uparrow short curve c \nwarrow short curve d .

Connect c, d in $C(S)$

pinch consec. curves one at a time... \square

THEOREM 2. *Modulus space is simply-connected.*

PROOF. It is proved in [4] that each element of finite order in $M(K_\theta)$ has a fixed point in $T(K_\theta)$, so that, by Theorem 1, $M(K_\theta)$ is generated by elements which have fixed points. Also $M(K_\theta)$ is a properly discontinuous group of homeomorphisms of a space homeomorphic to \mathbf{R}^{6g-6} . Furthermore, the stabiliser of a point $[\phi]$ of $T(K_\theta)$ is isomorphic to the group of conformal self-homeomorphisms of the compact Riemann surface $D/\phi(K_\theta)$ and hence is finite. Thus, applying a result of Armstrong [1] we have that $T(K_\theta)/M(K_\theta)$ has trivial fundamental group.

Chap 13. Nielsen-Thurston Classification.

Thm (Thurston) Every $f \in \text{Mod}(S)$ has a representative φ s.t.

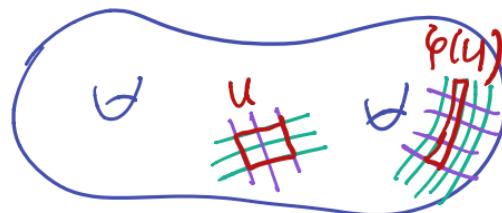
- ① periodic: $\varphi^n = 1$
- ② reducible: $\varphi(M) = M$
some multicurve M
- ③ pseudo-Anosov:

\exists transv. meas. fol's F_u, F_s
& $\lambda > 1$ s.t. "stretch factor"

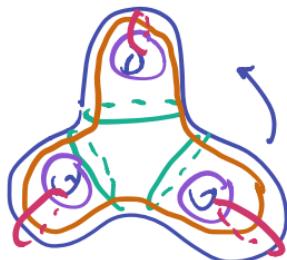
$$\text{q. } F_u = \lambda F_u$$

$$\text{q. } F_s = \frac{1}{\lambda} F_s$$

Moreover ③ is exclusive from ① & ②.



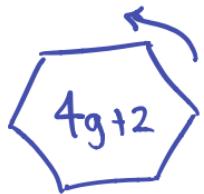
Examples



per.

& red.

$\text{CRS} = \emptyset$



per.

(not. red)

blc quotient

orbifold is \mathbb{D}

T_c

red

not per.



$\text{CRS} = c$

$$\begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix} \quad pA$$

foliations \leftrightarrow eigenvectors

stretch factor \leftrightarrow eigenvalues.

Birman - Lubotzky - McCarthy:

Canonical reduction system = intersection
of max reduction systems.

Restatement of NTC: Every mapping
class reduces to per & pA pieces.



a typical mapping class.

Jordan form

Torus case

$$f \in \text{Mod}(T^2) \leftrightarrow A \in \text{SL}_2 \mathbb{Z}$$

$$\leftrightarrow \tau \in \text{Isom}^+(\mathbb{H}^2)$$

Case 1 2 complex eigenvalues

(per.) $\leftrightarrow \tau$ rotation.

prop disc. $\Rightarrow |\tau| < \infty$.



Case 2. 1 real eigenval

(red) product of eigenvals = $\det = 1$.

$$\lambda = \pm 1$$

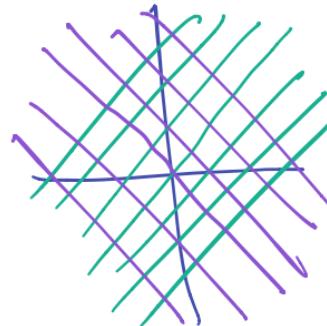
\Rightarrow eigenvector rational.

\leadsto fixed curve

Case 3 2 real eigenvals. $\lambda, \frac{1}{\lambda}$

(pA)

$\rightarrow f$ (pseudo-) Anosov.



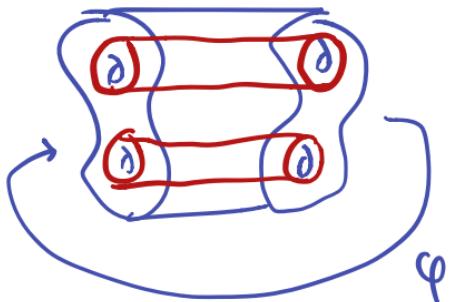
$$x^2 + x + 1 = 0$$

$$(x-1)(x^2 + x + 1) = 0$$

$$x^3 = 1 -$$

3-manifolds

$f \in \text{Mod}(S) \rightsquigarrow M_f = \text{mapping torus}$



$$[\varphi] = f.$$

Thm (Thurston) $f \in \text{Mod}(S) \quad \chi(S) < 0.$

- $f \text{ per} \Leftrightarrow M_f \text{ admits metric locally isometric to } \mathbb{H}^2 \times \mathbb{R}.$
- $f \text{ red} \Leftrightarrow M \text{ contains incompressible torus} \xrightarrow{\text{Th-inj.}}$
- $f \text{ pA} \xrightarrow{\text{hard.}} M \text{ admits hyperbolic metric.}$

Periodic elements

"Easy Nielsen
realization"
(Fenchel)

Thm. $f \in \text{Mod}(S)$ periodic

$\Rightarrow f$ has a periodic rep:

$$\varphi^n = 1.$$

Pf. To show f has fixed pt in $\text{Teich}(S)$.

$$\text{Indeed: } f \cdot X = X$$

$$\varphi^* X \sim X$$

Can change φ by isotopy
so fixes X on nose.

Note $\langle f \rangle \cong \mathbb{Z}/m$

Fact. \mathbb{Z}/m cannot act freely on a fin.dim contractible space.

(otherwise quotient is a fin. dim $K(\mathbb{Z}/m, 1)$
& $H^k(\mathbb{Z}/m) \neq 0$ arb. large k).

So f^j fixes $X \in \text{Teich}(S)$ some j .

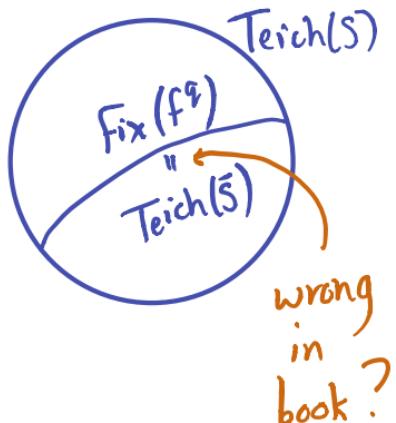
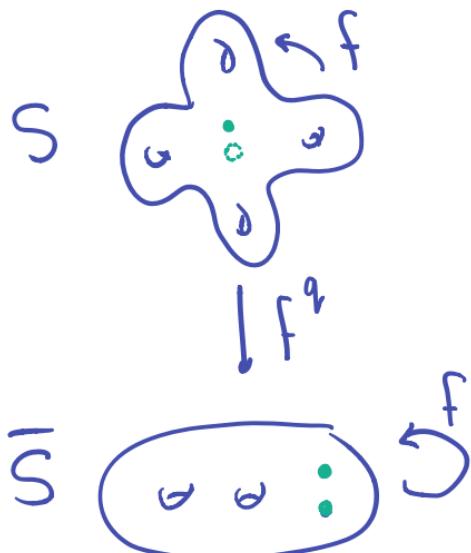
Special case. m prime.

$$f = (f^j)^K \text{ some } K. \Rightarrow f \cdot X = X.$$

Assume now $m = pq$, p prime, q prime.

Note f^q has order p .

As above f^q fixes $X \in \text{Teich}(S)$.



The map

$$\text{Teich}(\bar{S}) \rightarrow \text{Fix}(f^q)$$

is: lift complex structures.

Injectivity: Teich.U.T. *

$\bar{X} \neq \bar{Y} \in \text{Teich}(\bar{S})$
~~~ Teich map of  $\bar{S}$   
~~~ Teich map of  $S$   
between lifts X, Y .

$$\Rightarrow X \neq Y.$$

Surjectivity: Special case.



Outline of proof of NTC (Bers)

$f \in \text{Mod}(S)$

$$\tau(f) = \inf \left\{ X \in \text{Teich}(S) : d(X, f \cdot X) \right\}$$

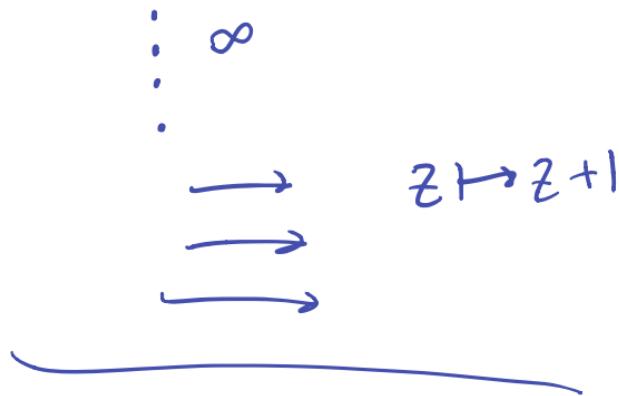
"translation length"

To show:

$$\tau(f) = 0 \text{ & realized} \iff f \text{ periodic}$$

$$\tau(f) \text{ not realized} \iff f \text{ reducible}$$

$$\tau(f) > 0 \text{ & realized} \iff f \text{ pA.}$$



like
torus
case.

Nielson-Thurston Classification

Thm. Every $f \in \text{Mod}(S)$ has a rep φ s.t.

- ① periodic: $\varphi^n = 1$
- ② reducible: $\varphi(M) = N$

- ③ pseudo-Anosov:

$$\varphi \cdot F_u = \lambda F_u$$

$$\varphi \cdot F_s = \frac{1}{\lambda} F_s$$

- ③ is exclusive from
- ① & ②

Exclusivity: we show in Chap 14

for any curve γ

$$l_x(f^n(\gamma)) \rightarrow \infty$$

$$\lambda^n l(\gamma)$$

Proof. $\mathcal{I}(f) = \inf_X d_{\text{Teich}}(X, f \cdot X)$

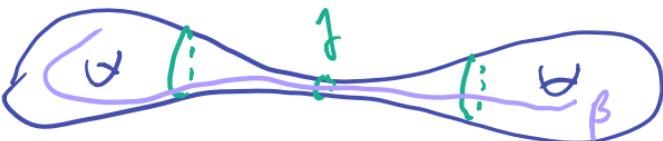
"translation length"

elliptic: $\mathcal{I}(f) = 0$, realized $\Rightarrow f$ periodic

parabolic: $\mathcal{I}(f)$ not realized $\Rightarrow f$ reducible

loxodromic: $\mathcal{I}(f) > 0$, realized $\Rightarrow f$ pA

Collar Lemma



Prop. $\gamma = \text{sc} \text{ on hyp } X \Rightarrow$

r-nbd of γ is an embedded annulus

$$\text{where } r = \sinh^{-1} \left(\frac{1}{\sinh \frac{1}{2} l(\gamma)} \right)$$

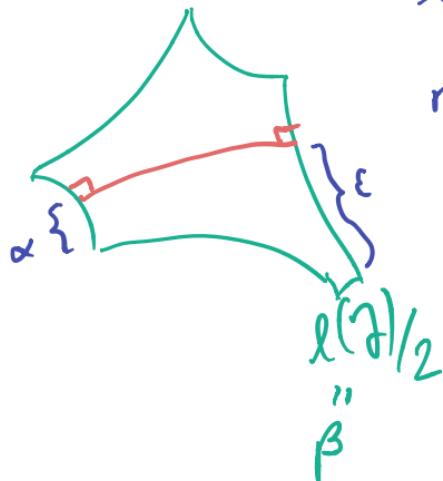
Note $r \rightarrow \infty$ as $l(\gamma) \rightarrow 0$.

Pf. Choose pants decomps $\{\gamma_1, \dots\}$

- hyp pants → right angled hexagons
- right angled pentagons

rt ang pent formula:

$$\sinh \epsilon \sinh \beta = \cosh \alpha \geq 1$$



Cor. $X = \text{hyp surf}$

$\exists \delta$ s.t.

$$l(\beta), l(\gamma) < \delta$$

$$\Rightarrow i(\beta, \gamma) = 0.$$

$\delta = \text{universal } \forall X \text{ in all Teich}(S).$

□

Parabolic \Rightarrow Reducible

Assume $\tau(f)$ not realized.

Choose X_i s.t.

$$d(X_i, f \cdot X_i) \rightarrow \tau(f)$$

Step 1. $\ell(X_i) \rightarrow 0$.

f^* is essentially prop disc.

(next)

Step 2. Find reduction curves.

Wolpert Lemma: $d(X, X) \leq \tau(f) + 1$

$$\Rightarrow \ell_X(c) \leq K \ell_{f^*X}(c)$$

Some fixed K .

Choose $X = X_N$ s.t.

$$① d(X, f \cdot X) \leq \tau(f) + 1$$

$$② \ell(X) < \left(\frac{1}{K}\right)^{3g-3} \delta \quad (\text{Step 1})$$

from Wolpert

↑ collar lemma const.

Choose c s.t. $\ell_X(c) = \ell(X)$

Will show $c, f^{-1}(c), f^{-2}(c), \dots, f^{-(3g-3)}(c)$ is a reduction system.

$$\text{Have } \ell_X(f^{-i}(c)) = \ell_{f^i X}(c) \leq K^i \ell(c) < \delta$$

So the $c, f^{-1}(c), f^{-2}(c), \dots, f^{-(3g-3)}(c)$ are disjoint by collar lemma. Must repeat (only $3g-3$ disjoint curves)!

□

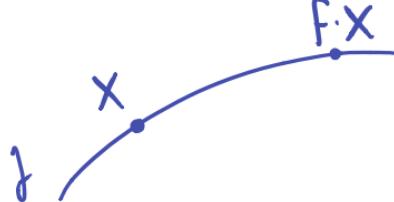
#curves in a pants decomp.

Loxodromic $\Rightarrow pA$

Choose X s.t.

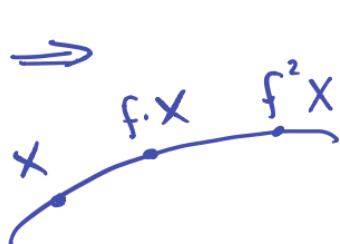
$$d(X, f \cdot X) = I(f) > 0.$$

Let $f = \text{Teich geod } X \leftarrow f(X)$



Claim: $f \cdot \gamma = \gamma$

Claim \Rightarrow



Let $h: X \rightarrow X$ Teich map in homotopy class of f .

Then h^2 is a Teich map in homotopy class of f^2 .

We have: Initial & terminal qd's for h are equal.

If not: $d(X, f^2 \cdot X) < 2d(X, f \cdot X)$

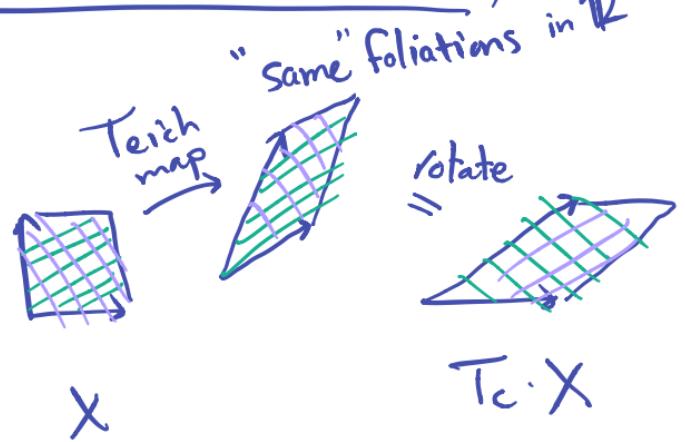


Violates

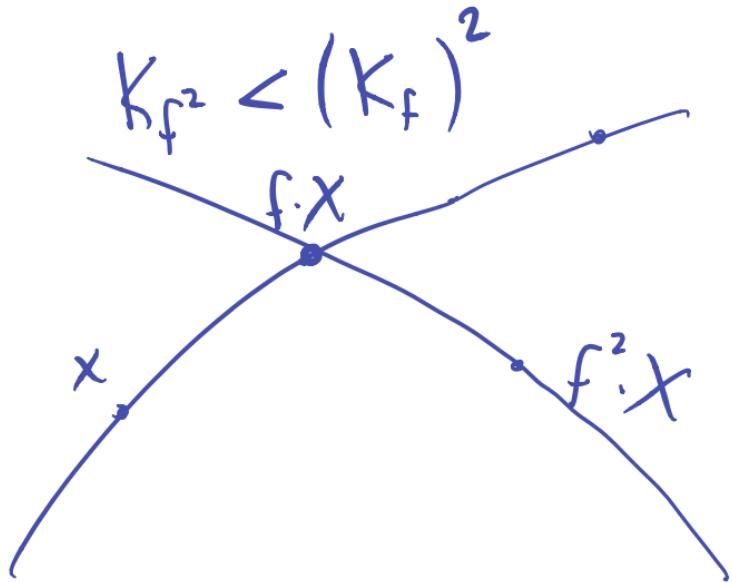
By the yellow box: h , hence f is pA with foliations from initial qd

□

Picture for $T_c \in \text{Mod}(T^2)$



Initial \neq Terminal \Rightarrow

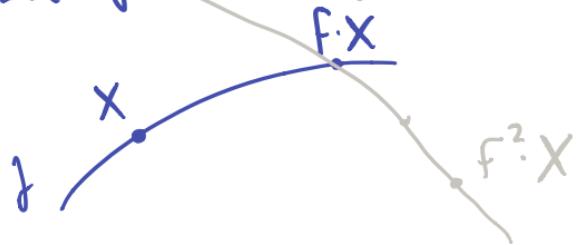


Loxodromic $\Rightarrow pA$

Choose X s.t.

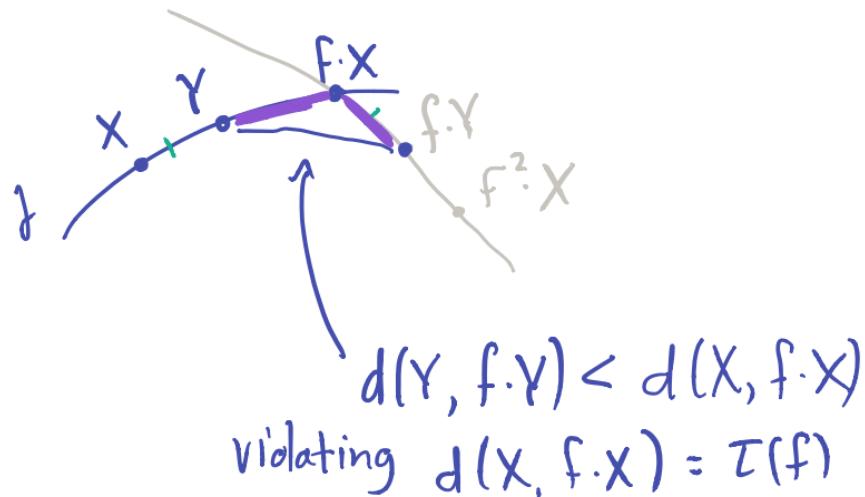
$$d(X, f \cdot X) = \tau(f) > 0.$$

Let $f = \text{Teich geod } X \rightarrow f(X)$



Claim: $f \cdot \gamma = \gamma$

Pf: Must rule out
above picture.



Indeed: purple path has length

$d(X, f \cdot X)$.
Minimality of $X \Rightarrow f \cdot Y$
lies on γ

Some things about pA's

f pA with F_u, F_s, λ .

h commutes with f

\Rightarrow h preserves F_u, F_s

\Rightarrow h pA with same \Rightarrow h is a power of
foliations a root of f.

or h periodic (if F_u, F_s
have symmetries)

\Longrightarrow Centralizer of f is virtually cyclic.

Ian Runnels seminar @ 2
Writing assignment Dec 9.

Chap 14. pA Theory.

pseudo-Anosov

$$\varphi \cdot F_u = \lambda F_u$$

$$\varphi \cdot F_s = \lambda' F_s$$

NTC. $f \in \text{Mod}(S)$

- ① periodic
- ② reducible
- ③ pA

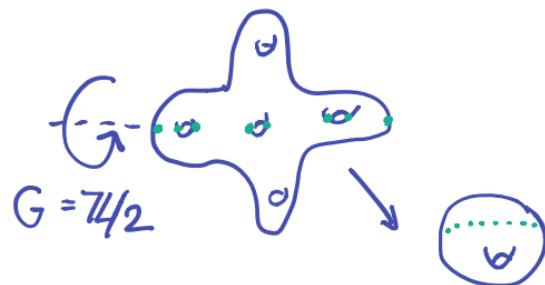
Today: constructions.

Construction #1 Branched covers.

$p: M \rightarrow N$ is a branched cover if it is a cover over $N \setminus B$, B small.

For surfaces: B = finite set.

Example. $G \curvearrowright S_g$ $|G| < \infty$.



$p: S_g \rightarrow X$ branched cover.

Note: All resulting stretch factors are quadratic integers.

Assume $X \approx (T^2, B)$.

Take $\varphi: T^2 \rightarrow T^2$ Anosov.

Up to power, isotopy

φ fixes B . (^{periodic}
_{pts dense})

Further power: φ lifts to S_g .

(lifting criterion)

The lift is pA . with

F_s, F_u lifts of foliations

in T^2 .



Construction #2 Thurston's construction Pf. From $a, b \rightsquigarrow X = \text{dual square complex}$

Thm $a, b \subseteq S_g$ filling.

\exists sing Eucl. structure and

$$g: \langle T_a, T_b \rangle \longrightarrow \text{PSL}_2 \mathbb{R}$$

$$f \longmapsto DF$$

$$T_a \longmapsto \begin{pmatrix} 1 & -i(a,b) \\ 0 & 1 \end{pmatrix}$$

$$\text{With: } T_b \longmapsto \begin{pmatrix} 1 & 0 \\ i(a,b) & 1 \end{pmatrix}$$

$g(f)$ elliptic $\iff f$ periodic

$g(f)$ parabolic $\iff f$ reducible

$g(f)$ hyperbolic $\iff f \text{ pA e.g. } T_a T_b^{-1}$

Cor. \exists pA's in $I(S_g)$. (take a, b sep)



| | | | | |
|---|---|---|---|---|
| | 1 | 3 | 2 | 4 |
| a | | | | |

T_a acts on Eucl. structure.

$$\text{by } \begin{pmatrix} 1 & -4 \\ 0 & 1 \end{pmatrix}$$

Similar for T_b .

If $g(f)$ hyperbolic. \rightsquigarrow eigenvalues λ, λ^{-1}

2 foliations

Those are stretch factor, foliations for f .

- There is a version with multicurves A, B .
- All resulting stretch factors totally real.

Penner's construction

Thm. $A = \{a_1, \dots, a_m\}$

$B = \{b_1, \dots, b_n\}$

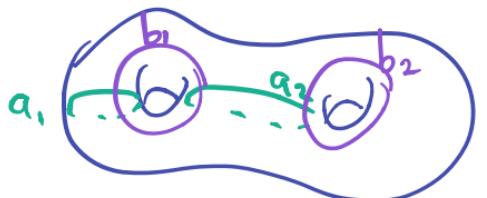
filling multicurves.

Any

f = product of pos. powers
of T_{ai} & neg powers
of T_{bi}

s.t. each a_i, b_i
appears at least once.

is pA .



$$T_{a_2}^{15} T_{b_2}^{-7} T_{b_1}^{-1} T_{a_1}^{100}$$

Penner: Do all pA have a power coming
from this construction?

Shin-Strenner: No. The Galois conjugates
of Penner stretch factors all on S^1 .

Construction #3 Homological criterion.

$A \in Sp_{2g} \mathbb{Z} \Rightarrow$ char poly is monic & palindromic

Why? roots come in pairs λ, λ^{-1}

So do sub: $x^g P(\frac{1}{x})$

Why? $A^T J A = J \Rightarrow A^T \sim A^{-1}$

Thm (Casson-Bleiler, M-Spallone w/ Bestrino)

If char. poly of $\Psi(f)$ satisfies:

① symplectically irreducible

② not cyclotomic

③ not poly in t^k , $k > 1$. pA.

Then

f is

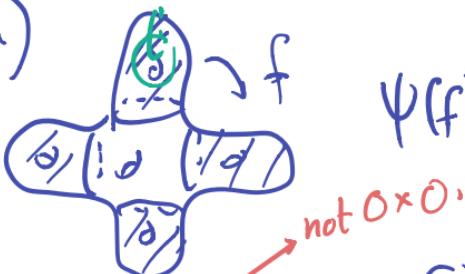
Pf. Suppose f not pA.

f periodic $\Rightarrow \Psi(f)$ has root of 1 as eigenval.

\Rightarrow cyclotomic factor, violates 1 or 2.

f reducible, fixing nonsep \Rightarrow as above.

f reducible, a power fixes a sep curve



$$\Psi(f) = \begin{pmatrix} I & B \\ I & I \\ \hline O & C \end{pmatrix}$$

action on $H_1(\text{middle})$

If C there, violate ①
If the I 's are +, violate 3

Construction #4 Kra's construction.

$\text{Push} : \pi_1(S_g) \rightarrow \text{Mod}(S_{g,1})$



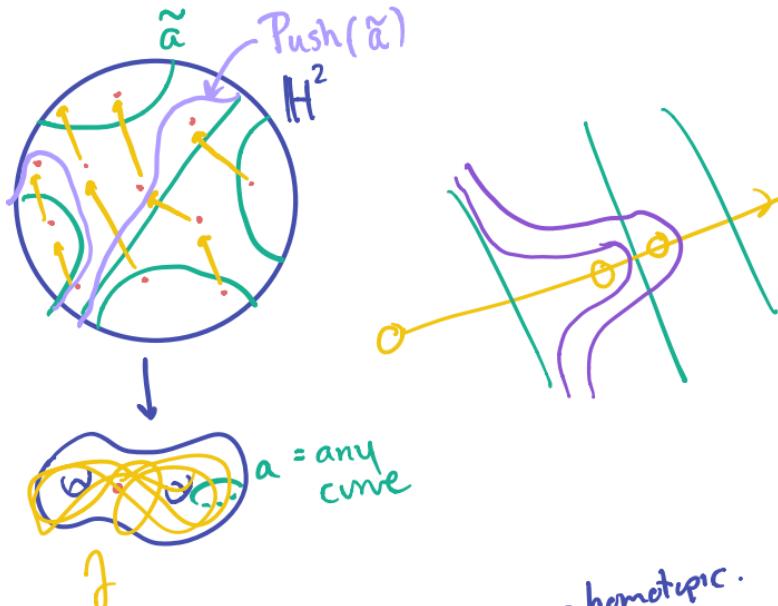
Thm. $\text{Push}(\gamma)$ is pA

$\Leftrightarrow \gamma$ filling

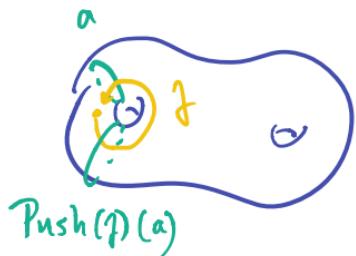
Pf. Enough to show:

γ filling $\Rightarrow \text{Push}(\gamma)$

does not fix any curve.



Suppose $\text{Push}(a) = a$
Then could lift homotopy



f. Dowdall's thesis.

Pseudo-Anosov theory

Part I. Stretch factors



Pf. If F_u orientable then (F_u, μ) is a 1-form ω on S_g .



Thms. $g \geq 2$, $f \in \text{Mod}(S_g)$ φA .

$\lambda(f)$ is alg int of $\deg \leq 6g-6$.

Pf. Show $\lambda(f)$ is eigenval.
of \mathbb{Z} matrix of size $\leq 6g-6$.

Matrix comes from action on

$H_1(S_g; \mathbb{Z})$ or subspace of

$H_1(\tilde{S}_g; \mathbb{Z})$ \tilde{S}_g = branched double cover.

$\varphi \cdot F_u = \lambda F_u \Rightarrow \omega$ is an eigenV.
for $\Psi(f)$.

If F_u not orientable, pass to orient.

double cover. \tilde{S}_g

$\tilde{S}_g = \{(p, v) : p \in S_g, v \text{ points along } F_u\}$

2-fold cover, branched over odd sing.
 \tilde{S}_g has bounded genus, lift & apply previous case.

Q. Which alg. degrees occur for given S_g ?

Strenner: exactly

$2, 4, 6, \dots, 6g-6$

$3, 5, 7, \dots, 3g-4$ or $3g-3$.

Q. What if you fix a subgp such as $I(S_g)$.

Fried's Conjecture. $\lambda \in \mathbb{R}$ is a stretch factor \Leftrightarrow all alg. conj's have abs val in $(\frac{1}{\lambda}, \lambda)$ except $\lambda, \frac{1}{\lambda}$. (Pankau, Kenyon)
cf.

Spectrum of $M(S)$ $\{\log \lambda(f) : f \in \text{Mod}(S) \text{ pA}\}$.

Thm. This is a closed, discrete subset of \mathbb{R} .

Pf. Set of alg. ints of $\deg \leq N$ is discrete.

In particular, there is a smallest one.

Q. What is it? Only known $g=1, 2$.

Penner. Smallest $\log \lambda(f)$ in $\text{Mod}(S_g)$
 $\asymp \frac{1}{g}$.

Farb-Leininger-M Smallest $\log \lambda(f)$ in $I(S_g) \asymp 1$ Lanier-M Any proper normal subgp

Thm. g = any Riem. metric on S .

α = any closed curve.

$$\lim_{n \rightarrow \infty} \sqrt[n]{l_p(f^n(\alpha))} = \lambda$$

i.e. $l_p(f^n(\alpha)) \sim \lambda^n$ geometry

Thm. a, b any s.c.c. in S

$$\lim_{n \rightarrow \infty} \sqrt[n]{i(f^n(a), b)} = \lambda$$

i.e. $i(f^n(a), b) \sim \lambda^n$ topology

Thm. $\alpha \in \pi_1(S)$

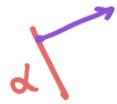
$$\lim_{n \rightarrow \infty} \sqrt[n]{|f^n(\alpha)|} = \lambda$$

i.e. $|f^n(\alpha)| \sim \lambda^n$ group theory.

dynamics

Thm. $\log \lambda = \text{top. entropy of } f.$

Part II. Foliations



Poincaré recurrence for foliations

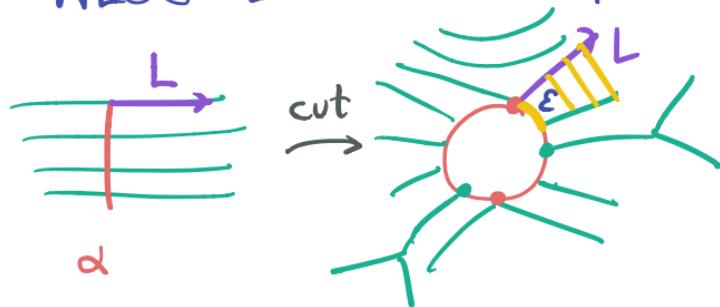
(\mathcal{F}, μ) meas. fol.

$L = \infty$ half leaf.

α = arc transverse to \mathcal{F}

$\alpha \cap L \neq \emptyset \Rightarrow |\alpha \cap L| = \infty$.

PF. WLOG L & α share endpt.



Choose small arc ϵ along new ∂ .

Push along foliation.

→ sweep out rectangle.

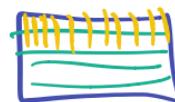
Can choose ϵ small enough

so this rectangle never hits
a singularity.

⇒ If L never hits ∂ again.

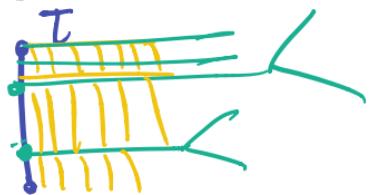
can push forever. CONTRAD.

Really using: Can cover S by finitely
many charts like



Cor. $f \circ A \Rightarrow$ every leaf
of F_u is dense.

Pf. $\tau =$ small arc transverse
to F_u



No closed leaves these
swept out rectangles
eventually return by

Poinc. rec.

The union of these rectangles is
the whole surface (otherwise the ∂
is a reducing curve).

Thm. F_u is uniquely ergodic
i.e. μ is unique up to scale.



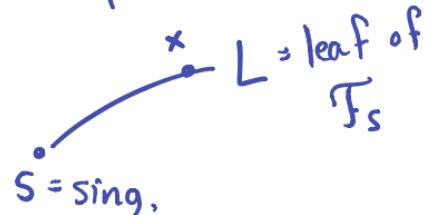
Part III. Dynamics.

Thm. $\varphi \text{ pA} \Rightarrow \varphi$ has dense orbit.

Pf. Claim. $U \neq \emptyset$, open, φ -invt
 $\Rightarrow U$ dense.

Assume WLOG φ fixes sing's...

Choose:



L dense \Rightarrow J in T_u .

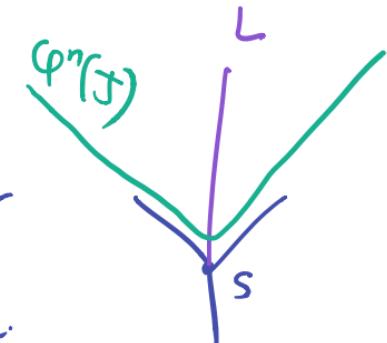
Apply powers of φ .

$$x \rightarrow s$$

J gets longer

$\Rightarrow \bigcup \varphi^n(J)$ dense.

$\Rightarrow \underbrace{\bigcup \varphi^n(U)}_{U}$ dense



Now: Take $\{U_i\}$ countable basis for S .

Let $V_i = \bigcup_{n \in \mathbb{Z}} \varphi^n(U_i)$ satisfies claim.
 hence dense $\forall i$.

Baire category thm $\Rightarrow \bigcap V_i$ dense
 $\Rightarrow \bigcap V_i \neq \emptyset$. say $x \in \bigcap$
 $\Rightarrow \{f^i(x)\}$ intersects every U_i \square

Thm. φ pA \Rightarrow periodic pts dense.

Poincaré Recurrence. M = finite meas. sp.

$T : M \rightarrow M$ meas. pres.

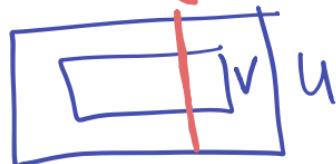
$A \subseteq M$ pos. meas.

Then for a.e. $x \in A$ \exists inc. seq n_i

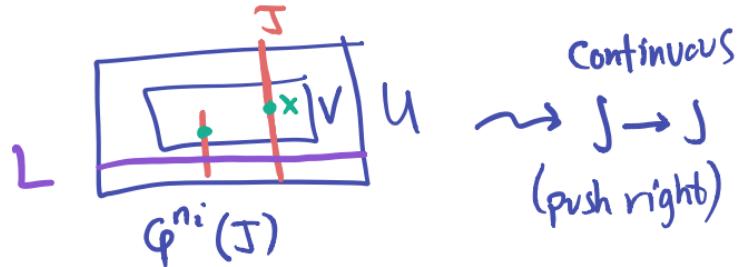
s.t. $T^{n_i}(x) \in A$.



Pf. Choose std rectangles



P.R. \Rightarrow $\varphi^{n_i}(V) \cap V \neq \emptyset$.



1D Brower \Rightarrow fixed pt.

i.e. horiz leaf L mapping to itself.

Fund. thm of 1D dynamics:

Any map $f : [0,1] \rightarrow \mathbb{R}$
with $\text{im } f \supseteq [0,1]$

has a fixed pt.
Apply to $L \cap U$. □

Chap 15. Thurston's Proof

Reference. Thurston's Work
on Surfaces.

Fathi, Laudenbach,
Poénaru

NTC. $f \in \text{Mod}(S)$ is

- ① periodic
 - ② reducible
 - ③ pseudo-Anosov
- 

Setup. $\mathcal{S} = \{\text{s.c. curves in } S\} / \text{isotopy}$
 $\text{Teich}(S) \hookrightarrow \mathbb{P} \mathbb{R}^{\mathcal{S}}$
 $\text{fns } \mathcal{S} \rightarrow \mathbb{R}$

$\mathbb{P} \text{MF}(S) \hookrightarrow \mathbb{P} \mathbb{R}^{\mathcal{S}}$
Thm. $\text{PMF}(S) \cong S^{\dim \text{Teich}(S) - 1}$

$\text{Teich}(S) \cup \text{PMF}(S)$ is
a closed ball, on which
 $\text{Mod}(S)$ acts continuously.

Thm. $\text{PMF}(S) \cong S^{\dim \text{Teich}(S)} - 1$

$\text{Teich}(S) \cup \text{PMF}(S)$ is
a closed ball, on which

$\text{Mod}(S)$ acts continuously.

Pf of NTC. Brouwer $\Rightarrow f$ fixes
some X in the ball.

$X \in \text{Teich}(S) \Rightarrow f$ periodic.

$X \in \text{PMF} \text{ & } X \text{ has closed leaf} \Rightarrow \text{reducible.}$

$\& X \text{ has no closed leaf}$

$\& \lambda = 1 \Rightarrow \text{periodic}$

$\& \lambda > 1 \Rightarrow \text{pA} \quad \square$

Torus case:

