# **Telecom Systems (Week 4)**



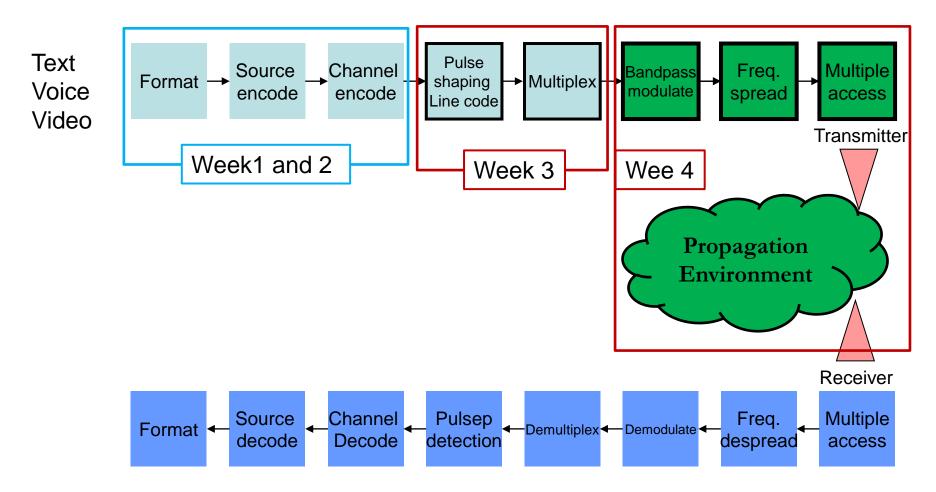
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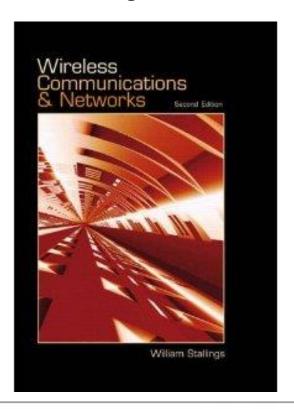
#### Overview of Wireless Communication System



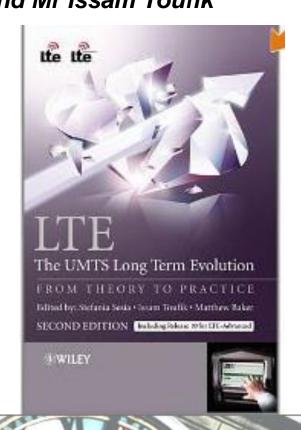


#### Reference books for week 4

Wireless Communications and Networks, Second Edition. by William Stallings



LTE: The UMTS Long Term Evolution: from Theory to Practice by Stefania Sesia, Matthew Baker and Mr Issam Toufik





# **Modulation Techniques**





### Reasons for Choosing Encoding Techniques

- Digital data, digital signal
  - Equipment less complex and expensive than digital-to-analog modulation equipment
- Analog data, digital signal
  - Permits use of modern digital transmission and switching equipment
- Digital data, analog signal
  - Some transmission media will only propagate analog signals
  - E.g., optical fiber and unguided media
- Analog data, analog signal
  - Analog data in electrical form can be transmitted easily and cheaply
  - Done with voice transmission over voice-grade lines



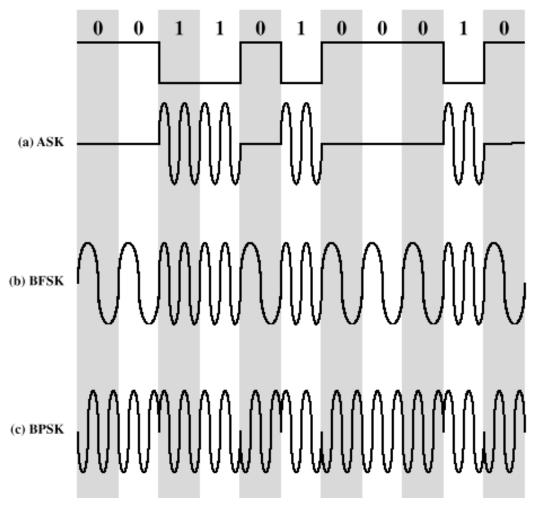
# Signal Encoding Criteria

- What determines how successful a receiver will be in interpreting an incoming signal?
  - Signal-to-noise ratio
  - Data rate
  - Bandwidth
- An increase in data rate increases bit error rate
- ◆ An increase in SNR decreases bit error rate
- An increase in bandwidth allows an increase in data rate

# **Basic Encoding Techniques**

- Digital data to analog signal
  - Amplitude-shift keying (ASK)
    - Amplitude difference of carrier frequency
  - Frequency-shift keying (FSK)
    - Frequency difference near carrier frequency
  - Phase-shift keying (PSK)
    - Phase of carrier signal shifted

## Basic Encoding Techniques



Modulation of Analog Signals for Digital Data



# Amplitude-Shift Keying

- One binary digit represented by presence of carrier, at constant amplitude
- Other binary digit represented by absence of carrier

$$s(t) = \begin{cases} A\cos(2\pi f_c t) & \text{binary 1} \\ 0 & \text{binary 0} \end{cases}$$

• where the carrier signal is  $A\cos(2\pi f_c t)$ 

# Binary Frequency-Shift Keying (BFSK)

◆ Two binary digits represented by two different frequencies near the carrier frequency

$$s(t) = \begin{cases} A\cos(2\pi f_1 t) & \text{binary 1} \\ A\cos(2\pi f_2 t) & \text{binary 0} \end{cases}$$

• where  $f_1$  and  $f_2$  are offset from carrier frequency  $f_c$  by equal but opposite amounts

# Multiple Frequency-Shift Keying (MFSK)

- More than two frequencies are used
- More bandwidth efficient but more susceptible to error

$$s_i(t) = A \cos 2\pi f_i t$$
  $1 \le i \le M$ 

- $f_i = f_c + (2i 1 M)f_d$
- $f_c$  = the carrier frequency
- $f_d$  = the difference frequency
- $M = \text{number of different signal elements} = 2^{L}$
- L = number of bits per signal element



# Multiple Frequency-Shift Keying (MFSK)

 To match data rate of input bit stream, each output signal element is held for:

$$T_s$$
= $LT$  seconds  
where  $T$  is the bit period (data rate =  $1/T$ )

 So, one signal element encodes L bits, total bandwidth required

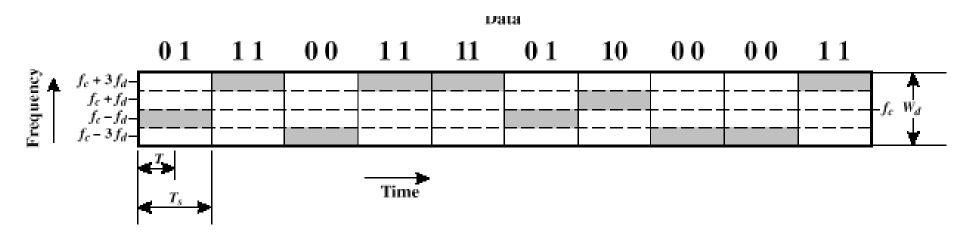
$$2Mf_d$$

- Minimum frequency separation required  $2f_d=1/T_s$
- Therefore, modulator requires a bandwidth of

$$W_d = 2^L/LT = M/T_s$$



## Multiple Frequency-Shift Keying (MFSK)



MFSK Frequency Use (M=4)



# Phase-Shift Keying (PSK)

- ◆ Two-level PSK (BPSK)
  - Uses two phases to represent binary digits

$$s(t) = \begin{cases} A\cos(2\pi f_c t) & \text{binary 1} \\ A\cos(2\pi f_c t + \pi) & \text{binary 0} \end{cases}$$
$$= \begin{cases} A\cos(2\pi f_c t) & \text{binary 1} \\ -A\cos(2\pi f_c t) & \text{binary 1} \\ -A\cos(2\pi f_c t) & \text{binary 0} \end{cases}$$

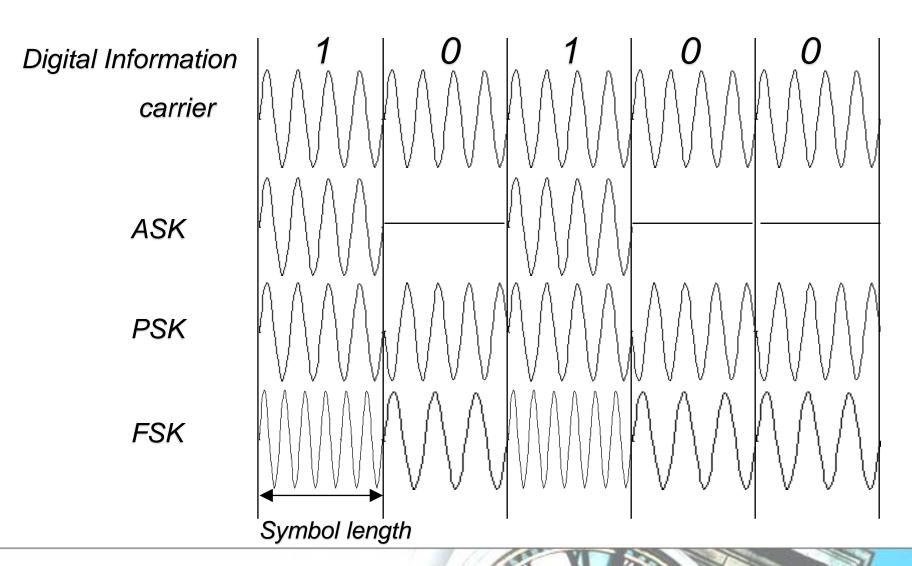
# Phase-Shift Keying (PSK)

- Four-level PSK (QPSK)
  - Each element represents more than one bit

$$S(t) = \begin{cases} A\cos\left(2\pi f_c t + \frac{\pi}{4}\right) & 00\\ A\cos\left(2\pi f_c t + \frac{3\pi}{4}\right) & 01\\ A\cos\left(2\pi f_c t - \frac{3\pi}{4}\right) & 11\\ A\cos\left(2\pi f_c t - \frac{\pi}{4}\right) & 10 \end{cases}$$

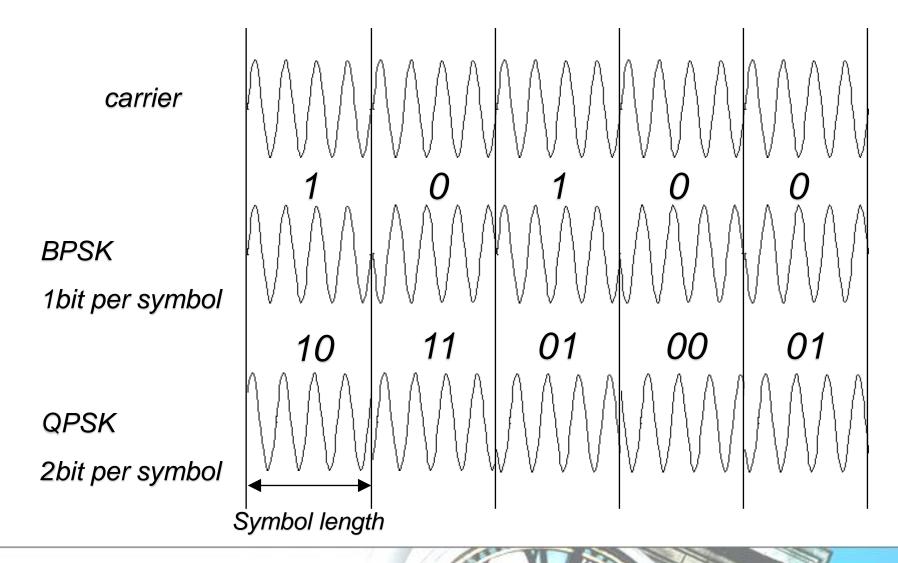


# Symbol Waveform





#### Multi bit modulation





#### Mathematical expression of digital modulation

• Transmission signal can be expressed as follows

$$\begin{split} s(t) &= \cos(2\pi \cdot f_c \cdot t + \theta_k) \\ &= \cos\theta_k \cdot \cos(2\pi \cdot f_c \cdot t) - \sin\theta_k \cdot \sin(2\pi \cdot f_c \cdot t) \\ a_k &= \cos\theta_k, \quad b_k = \sin\theta_k \\ s(t) &= \text{Re}[(a_k + jb_k)e^{j2\pi fc \cdot t}] \end{split}$$

• s(t) can be expressed by complex base-band signal  $(a_k + jb_k)e^{j2\pi fc \cdot t}$   $e^{j2\pi fc \cdot t} \quad \text{Indicates carrier sinusoidal}$   $(a_k + jb_k) \quad \text{Digital modulation}$ 

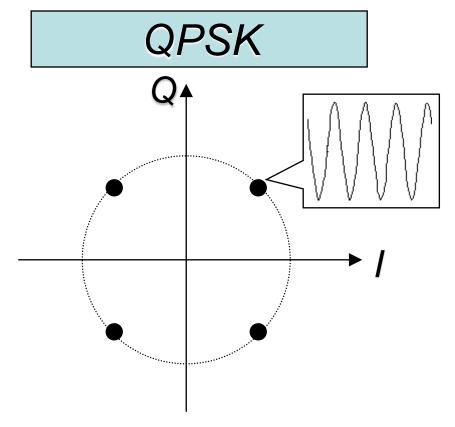
Digital modulation can be expressed by the complex number



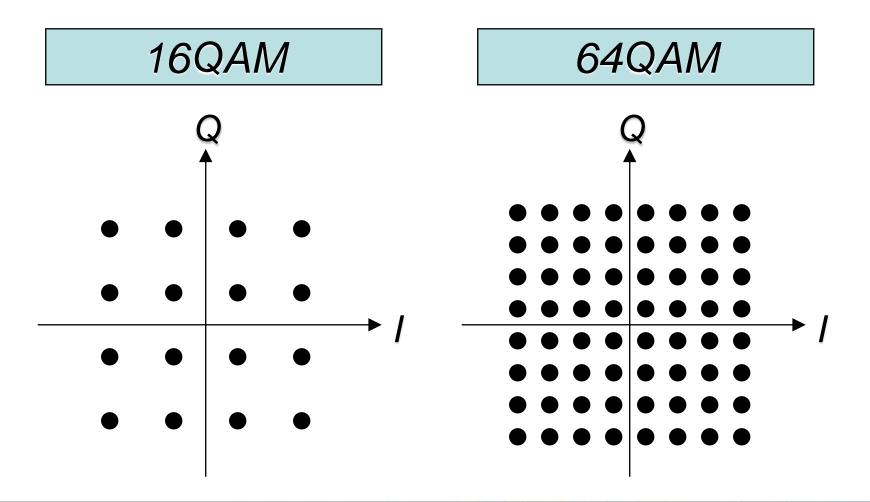
## Constellation map

•  $(a_k + jb_k)$  is plotted on I(real)-Q(imaginary) plane

data	Phase	a <sub>k</sub>	b <sub>k</sub>
00	п/4	$\frac{1}{\sqrt{2}}$	$\frac{1}{\sqrt{2}}$
01	3п /4	$-\frac{1}{\sqrt{2}}$	$\frac{1}{\sqrt{2}}$
11	5п /4	$-\frac{1}{\sqrt{2}}$	$-\frac{1}{\sqrt{2}}$
10	7п /4	$\frac{1}{\sqrt{2}}$	$-\frac{1}{\sqrt{2}}$



## Quadrature Amplitude Modulation (QAM)



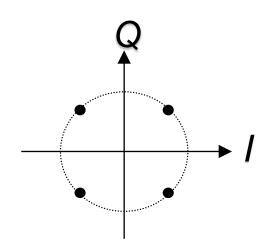


# Summary of digital modulation

- ◆ Type of modulation: ASK,PSK,FSK,QAM
- OFDM uses PSK and QAM
- Digital modulation is mathematically characterized by the coefficient of complex base-band signal

$$(a_k + jb_k)$$

 Plot of the coefficients gives the constellation map

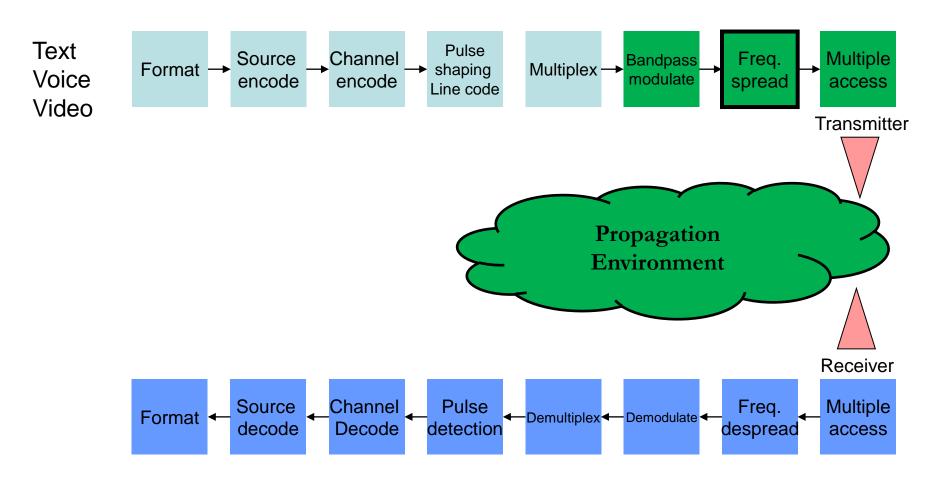


# Spread Spectrum (Freq. Spread)





#### Overview of Wireless Communication System

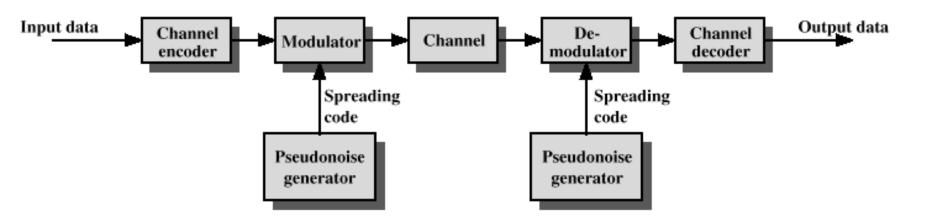




# Spread Spectrum

- Input is fed into a channel encoder
  - Produces analog signal with narrow bandwidth
- Signal is further modulated using sequence of digits
  - Spreading code or spreading sequence
  - Generated by pseudonoise, or pseudo-random number generator
- ◆ Effect of modulation is to increase bandwidth of signal to be transmitted

## Spread Spectrum



General Model of Spread Spectrum Digital Communication System

- 1. Frequency Hoping Spread Spectrum (FHSS)
- 2. Direct Sequence Spread Spectrum (DSSS)



### Frequency Hoping Spread Spectrum (FHSS)

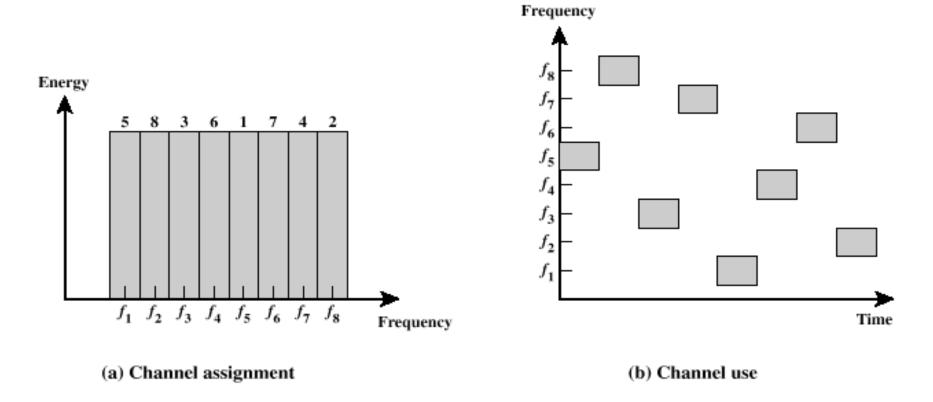
- Signal is broadcast over seemingly random series of radio frequencies
  - A number of channels allocated for the FH signal
  - Width of each channel corresponds to bandwidth of input signal
- Signal hops from frequency to frequency at fixed intervals
  - Transmitter operates in one channel at a time
  - Bits are transmitted using some encoding scheme
  - At each successive interval, a new carrier frequency is selected



# Frequency Hoping Spread Spectrum

- Channel sequence dictated by spreading code
- Receiver, hopping between frequencies in synchronization with transmitter, picks up message
- Advantages
  - Eavesdroppers hear only unintelligible blips
  - Attempts to jam signal on one frequency succeed only at knocking out a few bits

# Frequency Hoping Spread Spectrum



Frequency Hopping Example

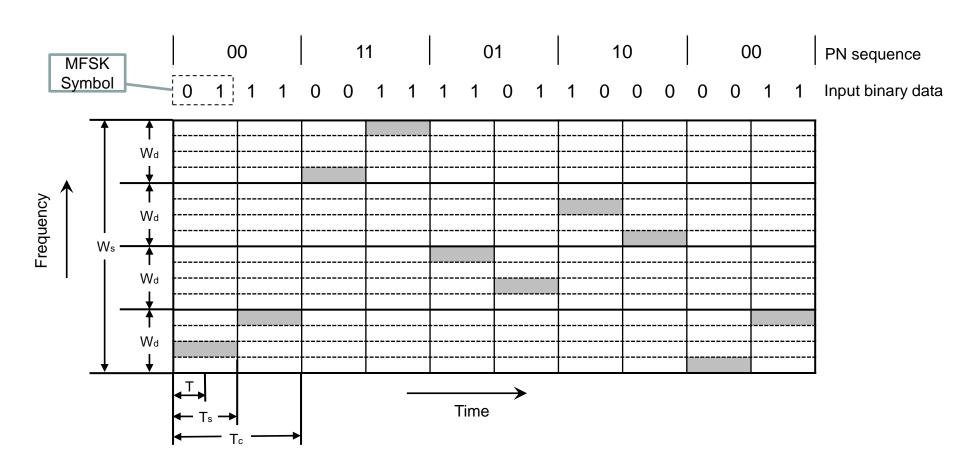


## FHSS Using MFSK

- MFSK signal is translated to a new frequency every  $T_c$  seconds by modulating the MFSK signal with the FHSS carrier signal
- For data rate of *R*:
  - duration of a bit: T = 1/R seconds
  - duration of signal symbol:  $T_s = LT$  seconds
- $T_c \ge T_s$  slow-frequency-hop spread spectrum
- $T_c < T_s$  fast-frequency-hop spread spectrum

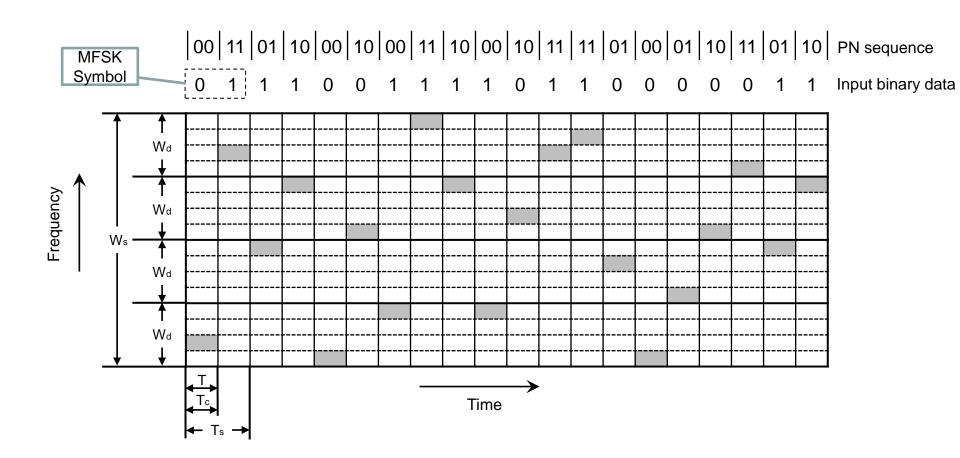


## Slow FHSS Using MFSK (M = 4, k = 2)





# Fast FHSS Using MFSK (M = 4, k = 2)





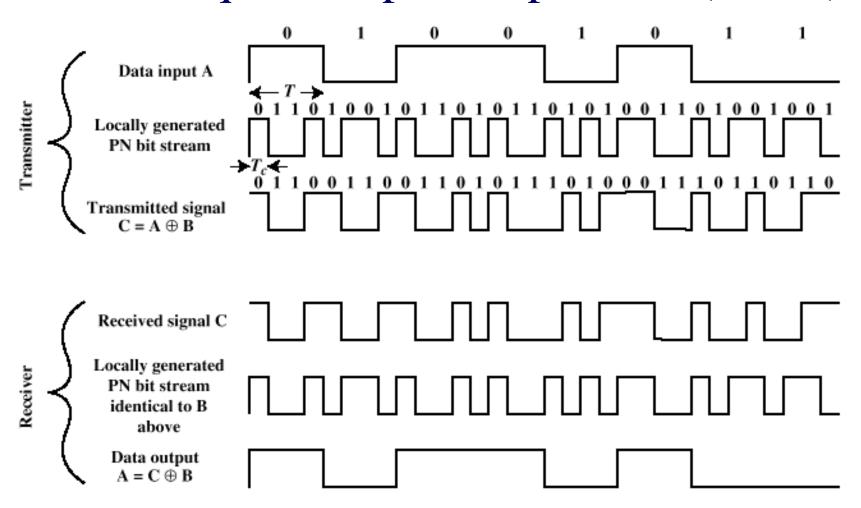
#### **FHSS** Performance Considerations

- Large number of frequencies used
- Results in a system that is quite resistant to jamming
  - Jammer must jam all frequencies
  - With fixed power, this reduces the jamming power in any one frequency band

# Direct Sequence Spread Spectrum (DSSS)

- Each bit in original signal is represented by multiple bits in the transmitted signal
- Spreading code spreads signal across a wider frequency band
  - Spread is in direct proportion to number of bits used
- One technique combines digital information stream with the spreading code bit stream using exclusive-OR (Figure in next slide)

# Direct Sequence Spread Spectrum (DSSS)





# **DSSS** Using BPSK

Multiply BPSK signal,

$$s_d(t) = A \ d(t) \cos(2\pi f_c t)$$

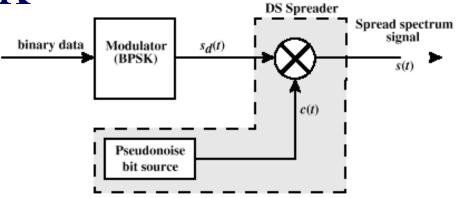
by c(t) [takes values +1, -1] to get

$$s(t) = A d(t)c(t) \cos(2\pi f_c t)$$

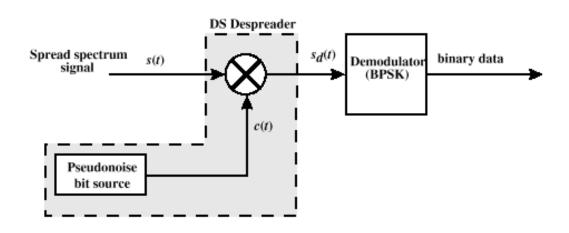
- A =amplitude of signal
- $f_c$  = carrier frequency
- d(t) = discrete function [+1, -1]
- At receiver, incoming signal multiplied by c(t)
  - Since,  $c(t) \times c(t) = 1$ , incoming signal is recovered



# DSSS Using BPSK



#### (a) Transmitter



Direct Sequence Spread Spectrum



# Code-Division Multiple Access (CDMA)

- Basic Principles of CDMA
  - -D = rate of data signal
  - − Break each bit into *k chips* 
    - Chips are a user-specific fixed pattern
  - Chip data rate of new channel = kD

# CDMA Example

- If k=6 and code is a sequence of 1s and -1s
  - For a '1' bit, A sends code as chip pattern
    - <c1, c2, c3, c4, c5, c6>
  - For a '0' bit, A sends complement of code
    - <-c1, -c2, -c3, -c4, -c5, -c6>
- Receiver knows sender's code and performs electronic decode function

$$S_u(d) = d1 \times c1 + d2 \times c2 + d3 \times c3 + d4 \times c4 + d5 \times c5 + d6 \times c6$$

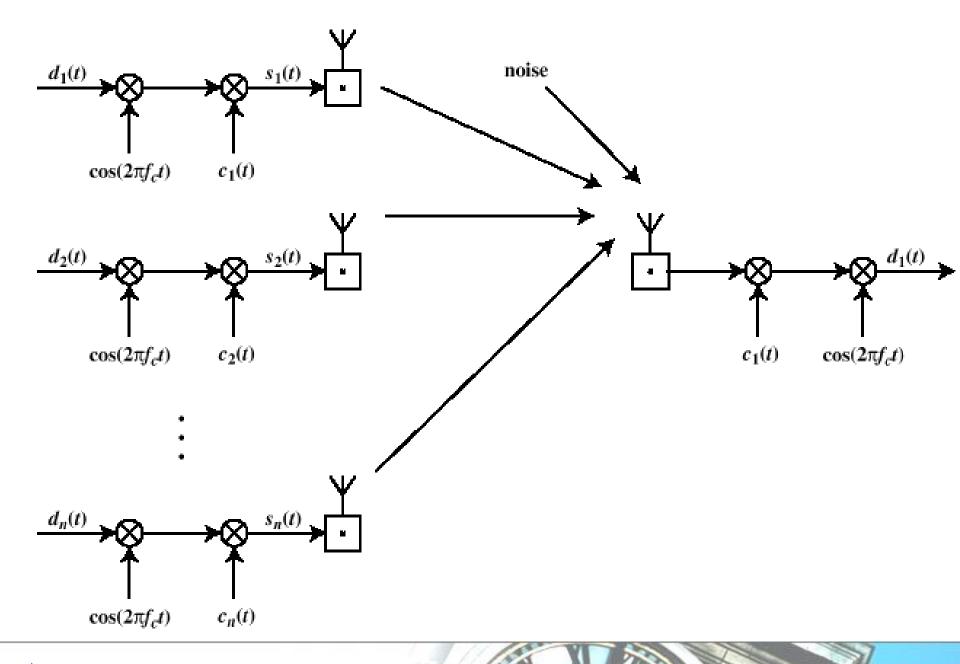
- $\langle d1, d2, d3, d4, d5, d6 \rangle$  = received chip pattern
- <c1, c2, c3, c4, c5, c6> = sender's code



# CDMA Example

- User A code = <1, -1, -1, 1, -1, 1>
  - To send a 1 bit = <1, -1, -1, 1, -1, 1>
  - To send a 0 bit = <-1, 1, 1, -1, 1, -1>
- User B code = <1, 1, -1, -1, 1, 1>
  - To send a 1 bit = <1, 1, -1, -1, 1, 1>
- Receiver receiving with A's code
  - (A's code) x (received chip pattern)
    - User A '1' bit: 6 -> 1
    - User A '0' bit: -6 -> 0
    - User B '1' bit: 0 -> unwanted signal ignored







# Categories of Spreading Sequences

- Spreading Sequence Categories
  - PN sequences
  - Orthogonal codes
- For FHSS systems
  - PN sequences most common
- For DSSS systems not employing CDMA
  - PN sequences most common
- For DSSS CDMA systems
  - PN sequences
  - Orthogonal codes



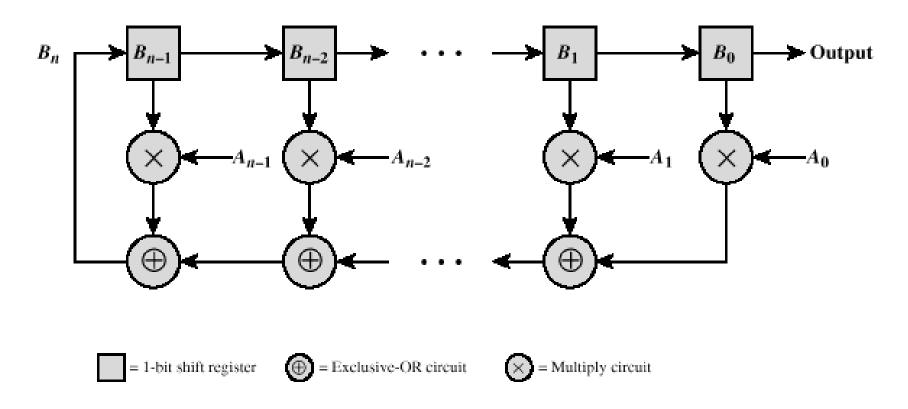
# PN Sequences

- ◆ PN (Pseudonoise) generator produces periodic sequence that appears to be random
- PN Sequences
  - Generated by an algorithm using initial seed
  - Sequence isn't statistically random but will pass many test of randomness
  - Sequences referred to as pseudorandom numbers or pseudonoise sequences
  - Unless algorithm and seed are known, the sequence is impractical to predict

# Important PN Properties

- Randomness
  - Uniform distribution
    - Balance property
    - Run property
  - Independence
  - Correlation property
- Unpredictability

## Linear Feedback Shift Register Implementation



Binary Linear Feedback Shift Register Sequence Generator

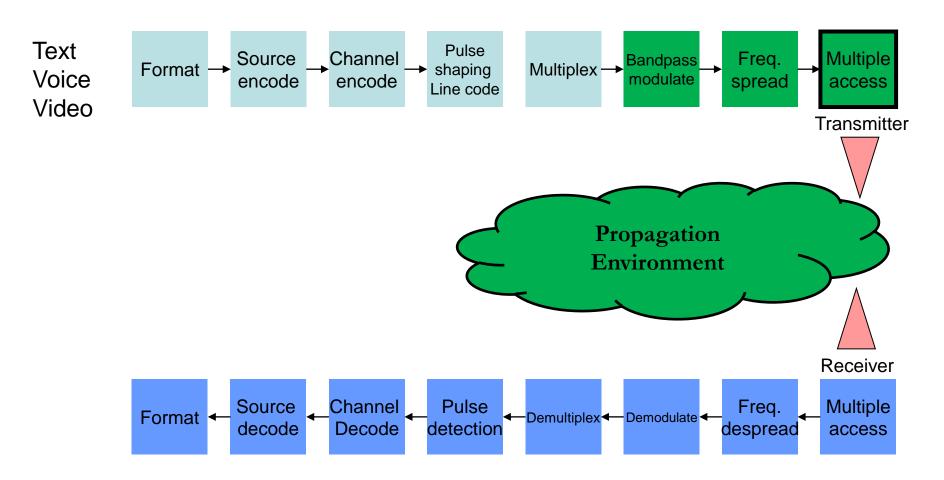


# Multiple Access Techniques





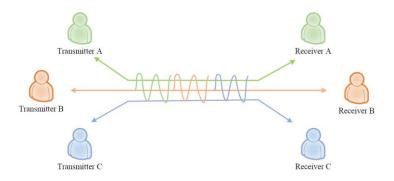
### Overview of Wireless Communication System





## Multiple access

• Multiple Access: to enable multiple users to share the same channel simultaneously.

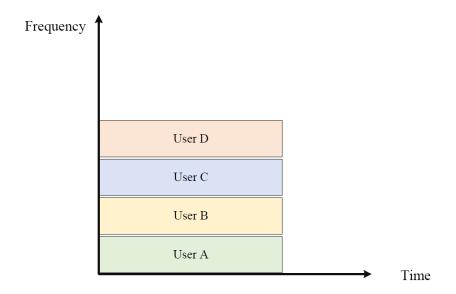


- Possible approaches for multiple access
  - Time.
  - Pitch.
  - Language.

## Frequency Division Multiple Access (FDMA) - Pitch

#### • Key features:

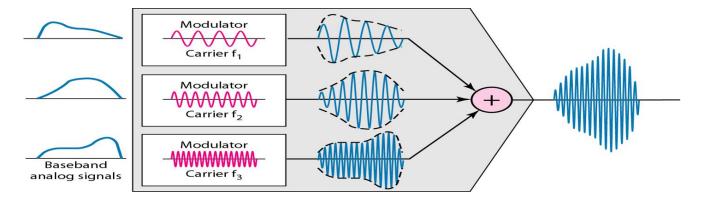
- Assign each user to a particular channel.
- Transmit signals simultaneously and continuously.to enable multiple users to share the same channel simultaneously.

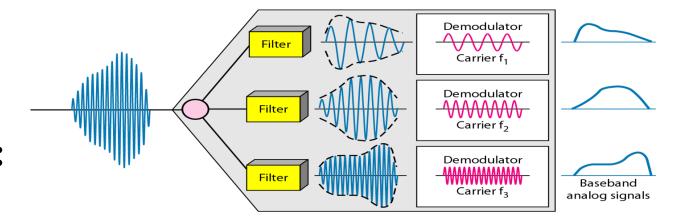


• **Application**: all 1G systems use FDMA.

### Frequency Division Multiple Access (FDMA)

### Transmitter:





### • Receiver:



## Frequency Division Multiple Access (FDMA)

### Advantages

- Low overhead
- Simple hardware at users and base stations

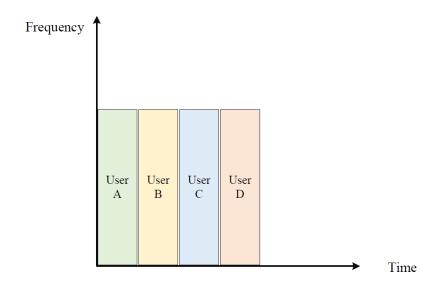
### Disadvantages

- If no talking, a channel sits idle (resource waste)
- Require tight radio frequency filters

## Time Division Multiple Access (TDMA) - Time

#### Key features

- Single carrier frequency with multiple users.
- Non-continuous transmission.
- Each user occupies a **cyclically repeating** time slot.



• **Application**: most 2G systems use TDMA.

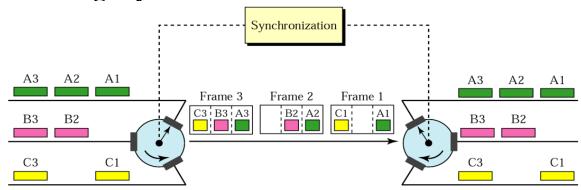
## Time Division Multiple Access

### Advantages

- Interference-free technique.
- Low battery consumption.
- Slots can be assigned on demand.

### Disadvantages:

- "CLOCK" is required.
- Large synchronization overheads.



**Application:** most 2G systems use TDMA.

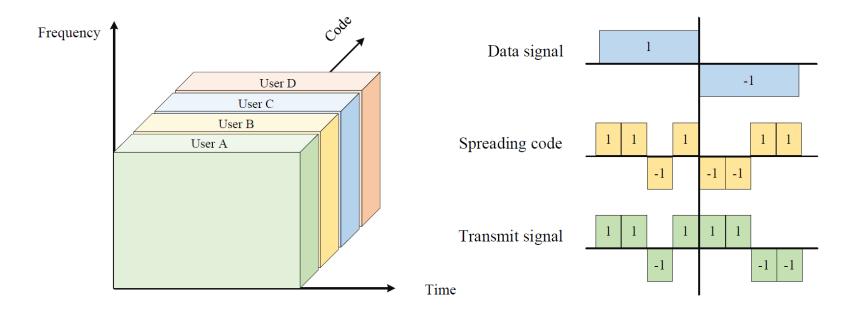
# GSM Multiple access

- ◆ TDMA on each carrier
  - 8 time slots (channels) per carrier
- Multiple carriers (FDMA)
  - 200kHz spacing
  - Number of carriers per cell depends on network and radio planning
- ◆ So GSM uses combined TDMA/FDMA

## Code Division Multiple Access (CDMA) - Language

#### Key features

- All users use same time and frequency.
- Narrowband signals multiplied by wideband spreading codes.



• **Application**: some 2G and most 3G systems.

# Code Division Multiple Access (CDMA) -Language

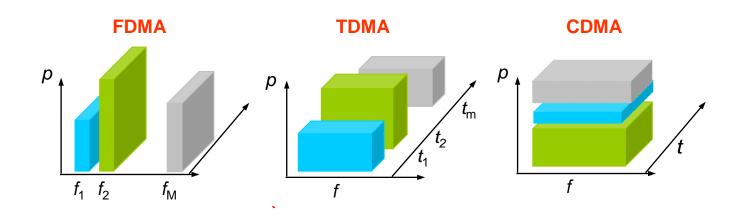
### Advantages

- Easy addition of more users.
- No absolute limit on the number of users.

### Disadvantages

- QoS decreases as the number of users increases.
- Near-far problem exists (power control is required).

# CDMA for 3G multiple access



- ◆ FDMA: different frequency bands are assigned to different users.
- ◆ TDMA: different time slots are assigned to different users.
- ◆ CDMA: different codes are assigned to different users.



# OFDMA for 4G (3GPP LTE/LTE-A)

- ◆ OFDM =Orthogonal Frequency Division Multiplexing
- Many orthogonal sub-carriers are multiplexed in one symbol
  - What is the orthogonal?
  - How multiplexed?
  - What is the merit of OFDM?
  - What kinds of application?
  - What is the drawback of OFDM?



## OFDMA for 4G (3GPP LTE/LTE-A)

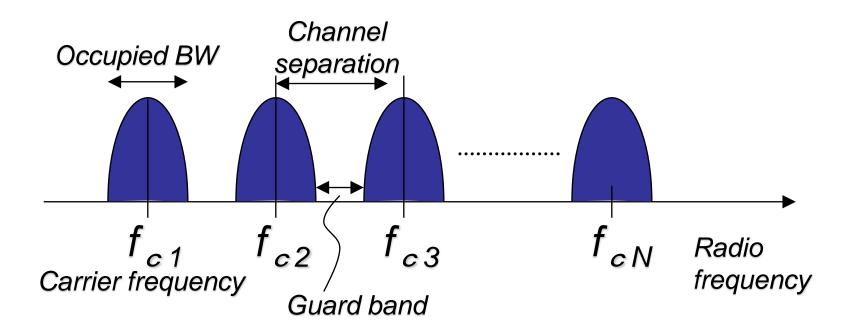
- OFDMA = Orthogonal Frequency Division Multiple Access
- ◆ OFDMA is a multi-user version of the popular OFDM digital modulation scheme. Multiple access is achieved in OFDMA by assigning subsets of subcarriers to individual users.

# Why OFDM is getting popular?

- State-of-the-art high bandwidth digital communication start using OFDM
  - Terrestrial Video Broadcasting in Japan and Europe
  - ADSL High Speed Modem
  - WLAN such as IEEE 802.11a/g/n
  - 3GPP LTE downlink
  - WiMAX as IEEE 802.16d/e
- ◆ Economical OFDM implementation become possible because of advancement in the LSI technology

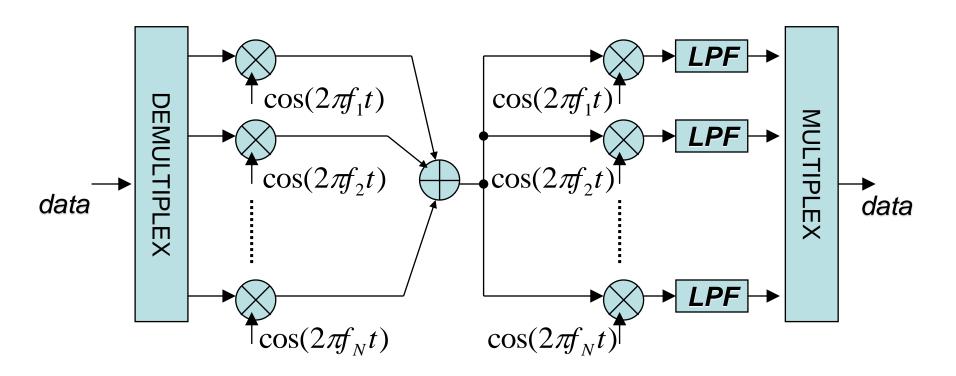
### Frequency Division Multiple Access (FDMA)

- Old conventional method (Analog TV, Radio etc.)
- Use separate carrier frequency for individual transmission

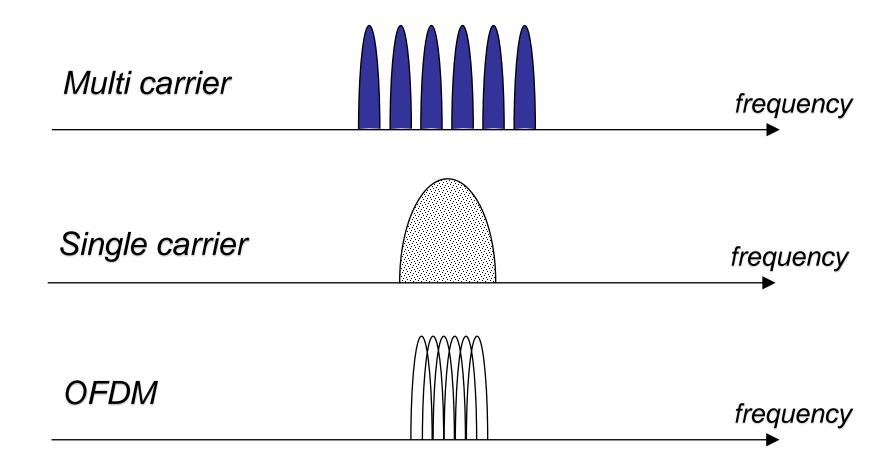


### Multi-carrier modulation

 Use multiple channel (carrier frequency) for one data transmission



### Spectrum comparison for same data rate transmission





### OFDM vs. Multi carrier

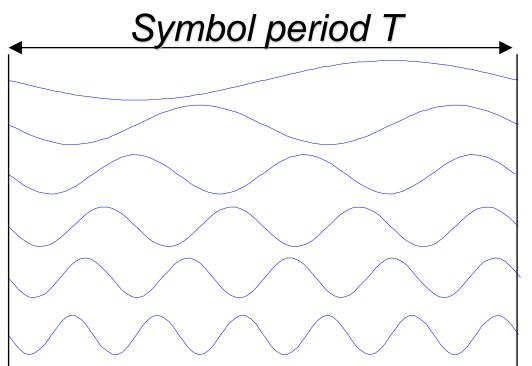
- OFDM is a multi-carrier modulation
- OFDM sub-carrier spectrum is overlapping
- In FDMA, band-pass filter separates each transmission
- ◆ In OFDM, each sub-carrier is separated by DFT because carriers are orthogonal
  - Condition of the orthogonality will be explained later
- Each sub-carrier is modulated by PSK, QAM

Thousands of PSK/QAM symbol can be simultaneously transmitted in one OFDM symbol



### **OFDM** carriers

◆ OFDM carrier frequency is n • 1/T



$$f_0 = \frac{1}{T}$$
$$\cos(2\pi \cdot 1 \cdot f_0 \cdot t + \theta_1)$$

$$\cos(2\pi \cdot 2 \cdot f_0 \cdot t + \theta_2)$$

$$\cos(2\pi \cdot 3 \cdot f_0 \cdot t + \theta_3)$$

$$\cos(2\pi\cdot 4\cdot f_0\cdot t + \theta_4)$$

$$\cos(2\pi \cdot 5 \cdot f_0 \cdot t + \theta_5)$$

$$\cos(2\pi \cdot 6 \cdot f_0 \cdot t + \theta_6)$$

# Sinusoidal Orthogonality

• m,n: integer,  $T=1/f_0$ 

$$\int_{0}^{T} \cos(2\pi m f_{0}t) \cdot \cos(2\pi n f_{0}t) dt = \begin{cases} \frac{T}{2} & (m=n) \\ 0 & (m \neq n) \implies \text{Orthogonal} \end{cases}$$

$$\int_{0}^{T} \sin(2\pi m f_{0}t) \cdot \sin(2\pi n f_{0}t) dt = \begin{cases} \frac{T}{2} & (m=n) \\ 0 & (m \neq n) \implies \text{Orthogonal} \end{cases}$$

$$\int_{0}^{T} \cos(2\pi m f_{0}t) \cdot \sin(2\pi n f_{0}t) dt = 0$$

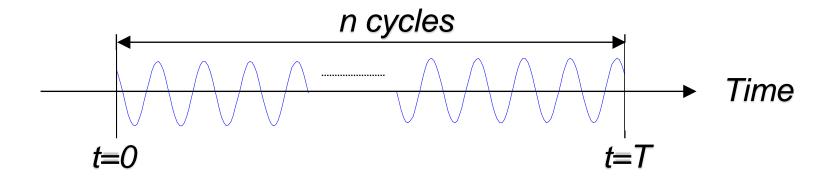


# A sub-carrier of f=nf<sub>0</sub>

$$a_{n} \cdot \cos(2\pi n f_{0}t) - b_{n} \cdot \sin(2\pi n f_{0}t)$$

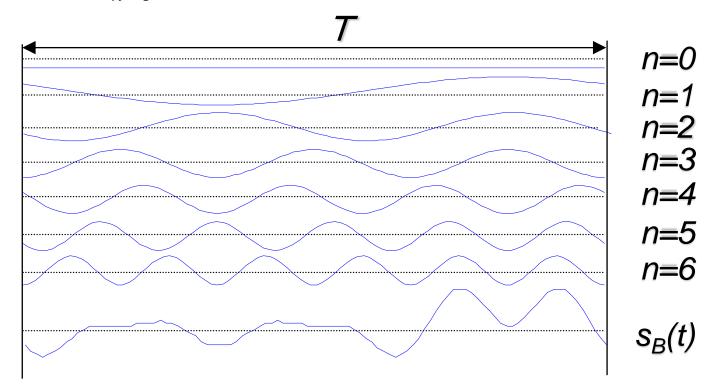
$$= \sqrt{a_{n}^{2} + b_{n}^{2}} \cos(2\pi n f_{0}t + \phi_{n}), \quad \phi_{n} = \tan^{-1} \frac{b_{n}}{a_{n}}$$

Amplitude and Phase will be digitally modulated



# Base-band OFDM signal

$$S_B(t) = \sum_{n=0}^{N-1} \left\{ a_n \cos(2\pi n f_0 t) - b_n \sin(2\pi n f_0 t) \right\}$$



## How $a_n,b_n$ are calculated from $s_B(t)$

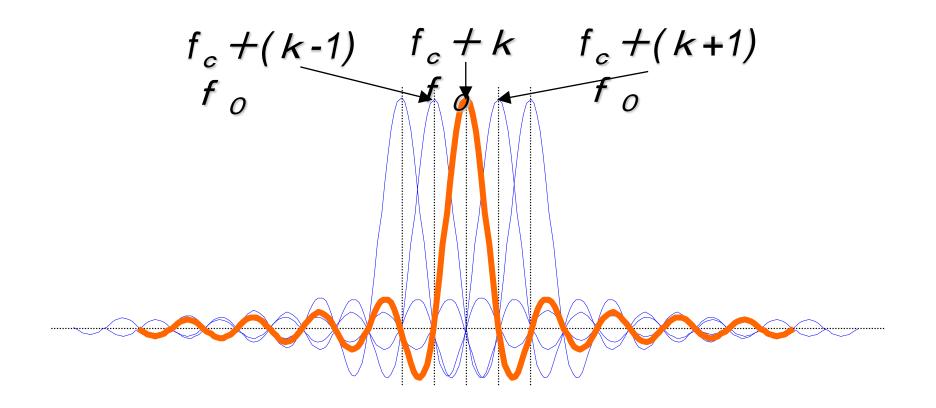
### - Demodulation Procedure -

$$\begin{split} & \int_{0}^{T} s_{B}(t) \cdot \cos(2\pi k f_{0} t) dt \\ & = \sum_{n=0}^{N-1} \left\{ a_{n} \int_{0}^{T} \cos(2\pi n f_{0} t) \cos(2\pi k f_{0} t) dt - b_{n} \int_{0}^{T} \sin(2\pi n f_{0} t) \cos(2\pi k f_{0} t) dt \right\} \\ & = \frac{T}{2} a_{k} \\ & \int_{0}^{T} s_{B}(t) \left\{ -\sin(2\pi k f_{0} t) \right\} dt = \frac{T}{2} b_{k} \end{split}$$

- According to the sinusoidal orthogonality,  $a_n$ ,  $b_n$  can be extracted.
- In actual implementation, DFT(FFT) is used
- N is roughly 64 for WLAN, thousand for Terrestrial Video Broadcasting

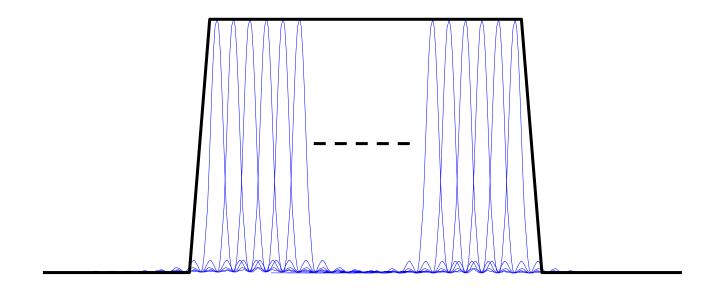


## Actual OFDM spectrum



# OFDM power spectrum

◆ Total Power spectrum is almost square shape



# OFDM signal generation

$$s(t) = \sum_{n=0}^{N-1} \left[ a_n \cos \left\{ 2\pi (f_c + nf_0)t \right\} - b_n \sin \left\{ 2\pi (f_c + nf_0)t \right\} \right]$$

- Direct method needs
  - N digital modulators
  - N carrier frequency generator
  - → Not practical
- ◆ In 1971, method using DFT is proposed to OFDM signal generation

## OFDM signal generation in digital domain

• Define complex base-band signal u(t) as follows

$$s_B(t) = \text{Re}[u(t)]$$

$$u(t) = \sum_{n=0}^{N-1} d_n \cdot e^{j2\pi n f_0 t}, \quad d_n = a_n + jb_n$$

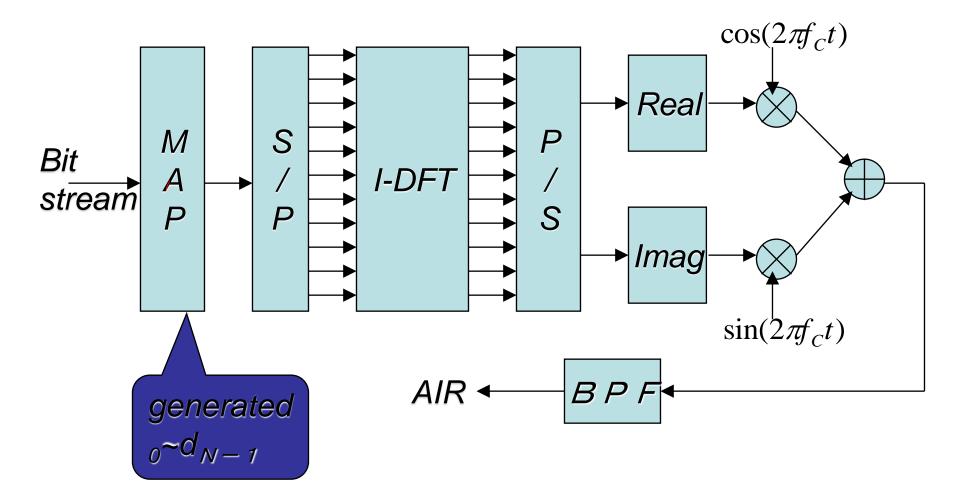
• Perform N times sampling in period T

$$u\left(\frac{k}{Nf_0}\right) = \sum_{n=0}^{N-1} d_n \cdot e^{j2\pi nf_0 \frac{k}{Nf_0}} = \sum_{n=0}^{N-1} d_n \cdot e^{j\frac{2\pi nk}{N}}$$

$$= \sum_{n=0}^{N-1} d_n \cdot \left( e^{j\frac{2\pi}{N}} \right)^{nk} \qquad (k = 0, 1, 2, \dots, N-1)$$

$$u(k) = IFFT(d_n) = IFFT(a_n + jb_n)$$

#### OFDM modulator





#### **OFDM** demodulation

$$s(t) = \sum_{n=0}^{N-1} \left[ a_n \cos \left\{ 2\pi (f_c + nf_0)t \right\} - b_n \sin \left\{ 2\pi (f_c + nf_0)t \right\} \right]$$

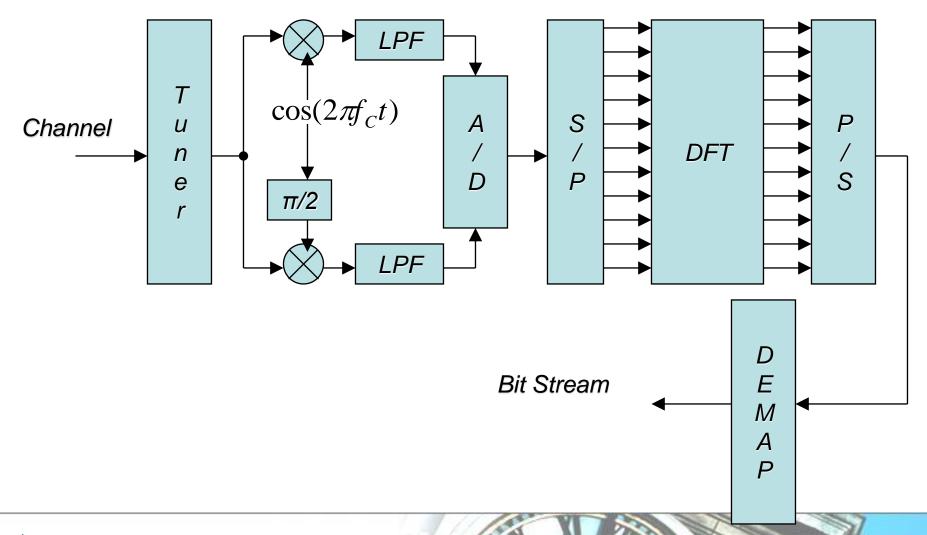
$$LPF[s(t) \cdot \cos(2\pi f_C t)] = \frac{1}{2} \sum_{n=0}^{N-1} \left\{ a_n \cos(2\pi nf_0 t) - b_n \sin(2\pi nf_0 t) \right\} = \frac{1}{2} s_I(t)$$

$$LPF[s(t) \cdot \{-\sin(2\pi f_C t)\}] = \frac{1}{2} \sum_{n=0}^{N-1} \{a_n \sin(2\pi n f_0 t) + b_n \cos(2\pi n f_0 t)\} = \frac{1}{2} s_Q(t)$$

$$u(t) = s_I(t) + js_Q(t) = \sum_{n=0}^{N-1} d_n \cdot e^{j2\pi n f_0 t}$$

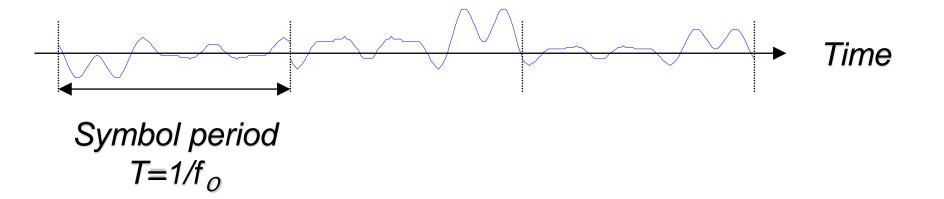
$$d_n = FFT(u(k))$$

### OFDM demodulator



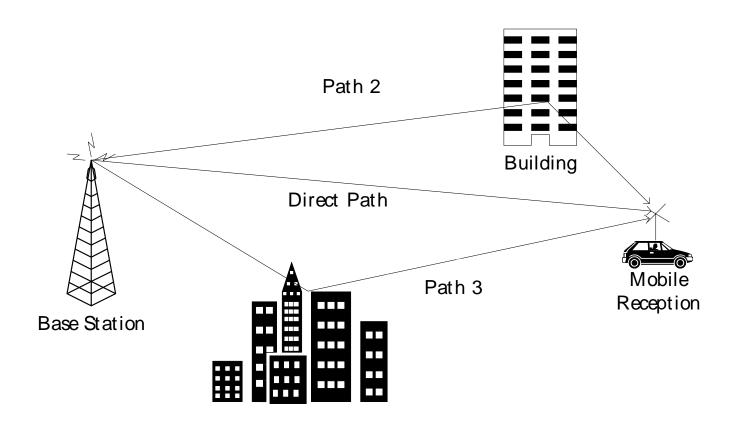
## Summary of OFDM signal

- Each symbol carries information
- Each symbol wave is sum of many sinusoidal
- Each sinusoidal wave can be PSK, QAM modulated
- Using IDFT and DFT, OFDM implementation became practical

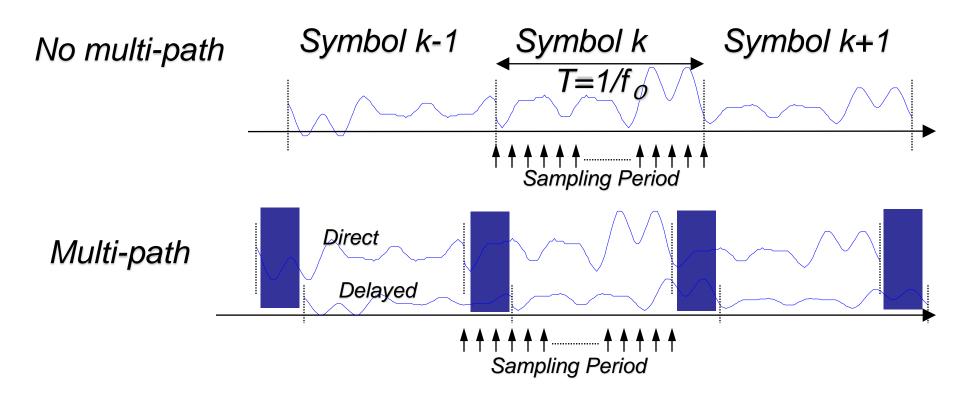


# Multi-path

Delayed wave causes interference

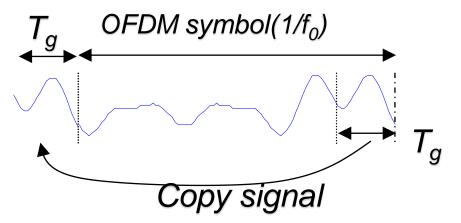


### Multi-path effect

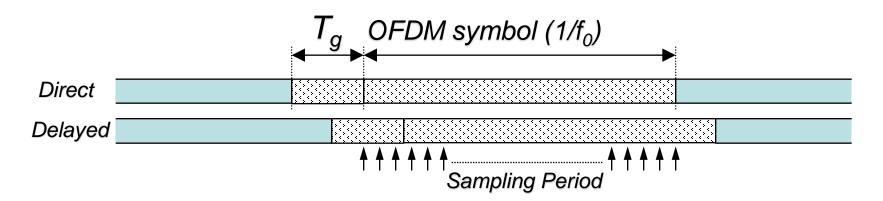


• Inter symbol interference (ISI) happens in Multi-path condition

# Cyclic Prefix (Guard Interval) T<sub>g</sub>



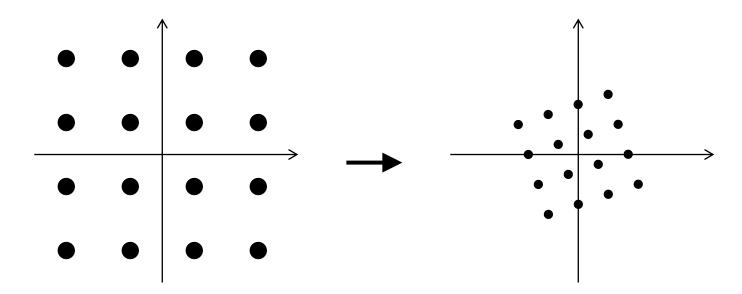
• By adding the Gurard Interval Period, ISI can be avoided





#### Multi-path

- By adding Cyclic Prefix, orthogonality can be maintained
- ♦ However, multi-path causes Amplitude and Phase distortion for each sub-carrier
- The distortion has to be compensated by Equalizer



### Summary for OFDM

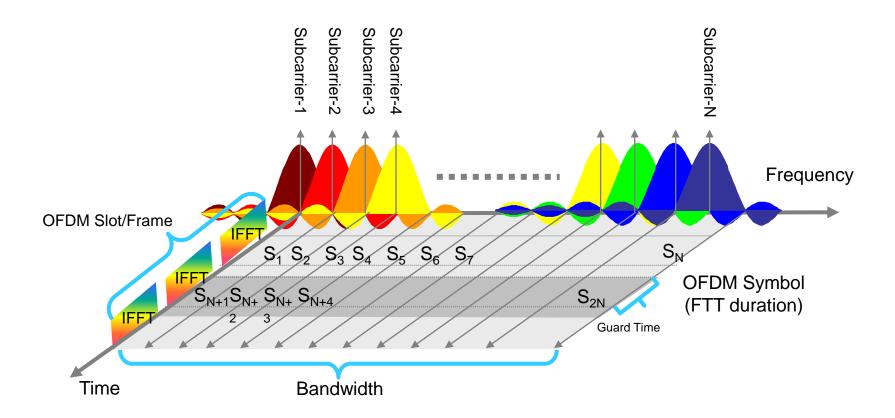
- Feature of OFDM
  - 1. High Frequency utilization by the square spectrum shape
  - 2. Multi-path problem is solved by Cyclic Prefix
  - 3. Multiple services in one OFDM by sharing sub-carriers
  - 4. Implementation was complicated but NOW possible because of LSI technology progress

#### Is OFDM robust?

- ◆ The advantage of separating the transmission into multiple narrowband subchannels cannot itself translate into robustness against time variant channels if no channel coding is employed.
- ◆ The LTE downlink combines OFDM with channel coding and Hybrid Automatic Repeat reQuest (HARQ) to overcome the deep fading which may be encountered on the individual subchannels.



#### OFDMA Time-Frequency Domain



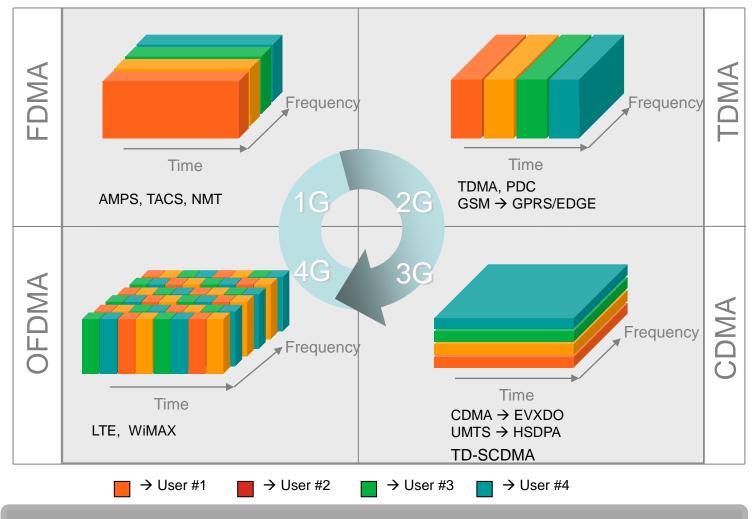
#### Advantages of OFDM technologies

- Higher spectral efficiency in real-life time dispersive channels
- More robust less multi-path interference
- Easy to integrate MIMO technologies
- Simpler receiver to cope with real-life time dispersive channels → lower cost



#### OFDM Improves Radio Access Efficiency

Moving from Voice to Broadband with VoIP

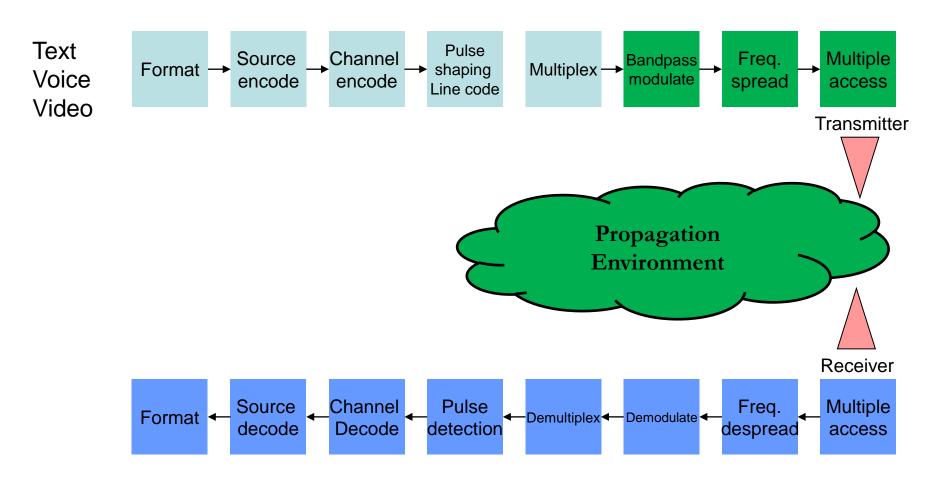


OFDM - scalable and most cost effective broadband solution



Radio Propagations & Network Architecture

#### Overview of Wireless Communication System



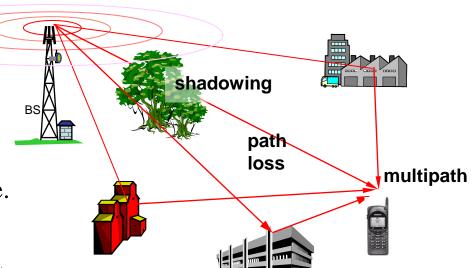


### Radio transmission impairments

#### Path loss

received power decreases with distance.

- Shadowing ( slow fading )
  - caused by obstruction of buildings, hills, trees and foliage.
- Multipath fading (fast fading)
  - caused by multipath reflection of a transmitted wave by objects





#### Introduction

- An antenna is an electrical conductor or system of conductors
  - Transmission radiates electromagnetic energy into space
  - Reception collects electromagnetic energy from space
- In two-way communication, the same antenna can be used for transmission and reception
- Radiation pattern
  - Graphical representation of radiation properties of an antenna
  - Depicted as two-dimensional cross section
- Beam width (or half-power beam width)
  - Measure of directivity of antenna
- Reception pattern
  - Receiving antenna's equivalent to radiation pattern

#### Antenna Gain

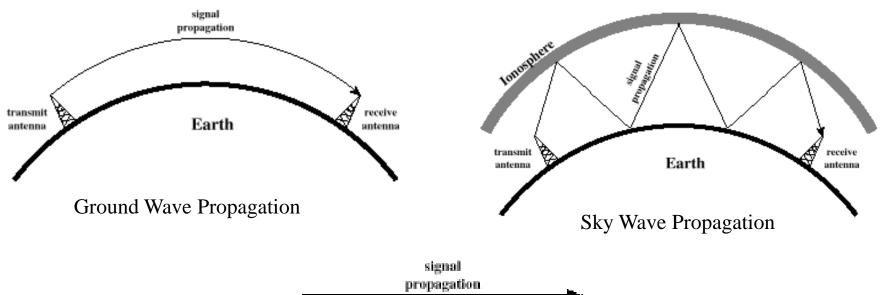
- Antenna gain
  - Power output, in a particular direction, compared to that produced in any direction by a perfect omnidirectional antenna (isotropic antenna)
- Effective area
  - Related to physical size and shape of antenna
- Relationship between antenna gain and effective area

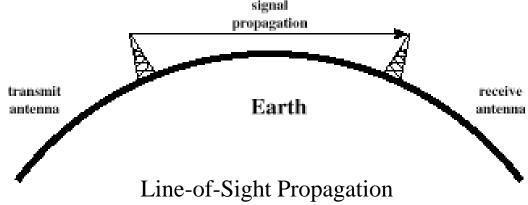
$$G = \frac{4\pi A_e}{\lambda^2} = \frac{4\pi f^2 A_e}{c^2}$$

- G = antenna gain
- $A_e$  = effective area
- f = carrier frequency
- $c = \text{speed of light } (\approx 3 \times 10^8 \text{ m/s})$
- $\lambda = \text{carrier wavelength}$



## **Propagation Modes**







### LOS Wireless Transmission Impairments

- Attenuation and distortion
- Free space loss
- Noise
- Atmospheric absorption
- Multipath
- Refraction
- Thermal noise



#### Attenuation

- ◆ Strength of signal falls off with distance over transmission medium
- Attenuation factors for unguided media:
  - Received signal must have sufficient strength so that circuitry in the receiver can interpret the signal
  - Signal must maintain a level sufficiently higher than noise to be received without error
  - Attenuation is greater at higher frequencies, causing distortion

### Free Space Loss

• Free space loss, ideal isotropic antenna

$$\frac{P_t}{P_r} = \frac{(4\pi d)^2}{\lambda^2} = \frac{(4\pi f d)^2}{c^2}$$

- $P_t$  = signal power at transmitting antenna
- $P_{\rm r}$  = signal power at receiving antenna
- $\lambda$  = carrier wavelength
- d =propagation distance between antennas
- $c = \text{speed of light} \ (\approx 3 \times \times 10 \ 8 \ \text{m/s})$

where d and  $\lambda$  are in the same units (e.g., meters)

### Categories of Noise

- ◆ Thermal Noise
- ◆ Intermodulation noise
- Crosstalk
- ◆ Impulse Noise

#### Thermal Noise

 Amount of thermal noise to be found in a bandwidth of 1Hz in any device or conductor is:

$$N_0 = kT (W/Hz)$$

- $N_0$  = noise power density in watts per 1 Hz of bandwidth
- $k = Boltzmann's constant = 1.3803 \times 10^{-23} J/K$
- T = temperature, in kelvins (absolute temperature)

#### Thermal Noise

- Noise is assumed to be independent of frequency
- ◆ Thermal noise present in a bandwidth of *B* Hertz (in watts):

$$N = kTB$$

or, in decibel-watts

$$N = 10\log k + 10\log T + 10\log B$$
  
= -228.6 dBW + 10 log T + 10 log B



### Noise Terminology

- ◆ Intermodulation noise occurs if signals with different frequencies share the same medium
  - Interference caused by a signal produced at a frequency that is the sum or difference of original frequencies
- Crosstalk unwanted coupling between signal paths
- ◆ Impulse noise irregular pulses or noise spikes
  - Short duration and of relatively high amplitude
  - Caused by external electromagnetic disturbances, or faults and flaws in the communications system



## Expression $E_b/N_0$

 Ratio of signal energy per bit to noise power density per Hertz

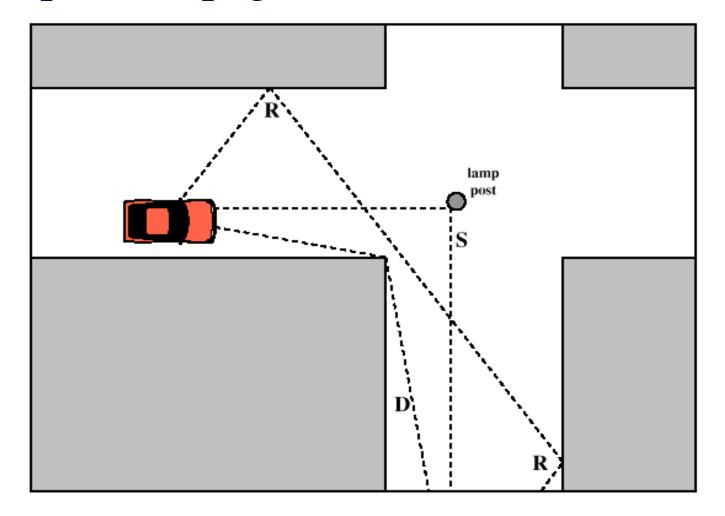
$$\frac{E_b}{N_0} = \frac{S/R}{N_0} = \frac{S}{kTR}$$

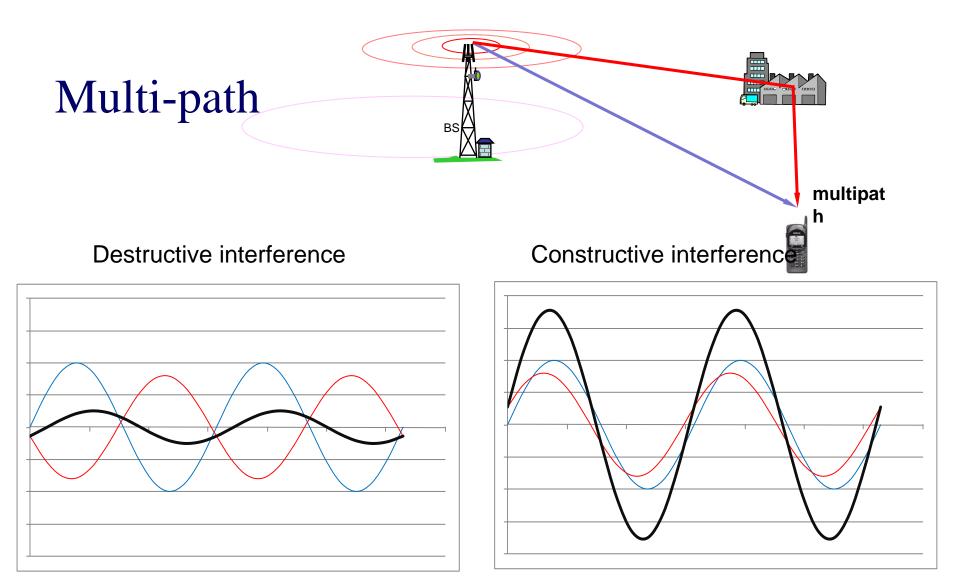
- The bit error rate for digital data is a function of  $E_b/N_0$ 
  - Given a value for  $E_b/N_0$  to achieve a desired error rate, parameters of this formula can be selected
  - As bit rate R increases, transmitted signal power must increase to maintain required  $E_b/N_0$

### Other Impairments

- Atmospheric absorption water vapor and oxygen contribute to attenuation
- ◆ Multipath obstacles reflect signals so that multiple copies with varying delays are received
- ◆ Refraction bending of radio waves as they propagate through the atmosphere

# Multipath Propagation





As mobile moves the relative phase changes and fading occurs



### Types of Fading

- Fast fading, Slow fading, Flat fading, Selective fading
- Rayleigh fading, Nakagami-m and Rician fading

Radio Propagations & Network Architecture

#### Cellular concept

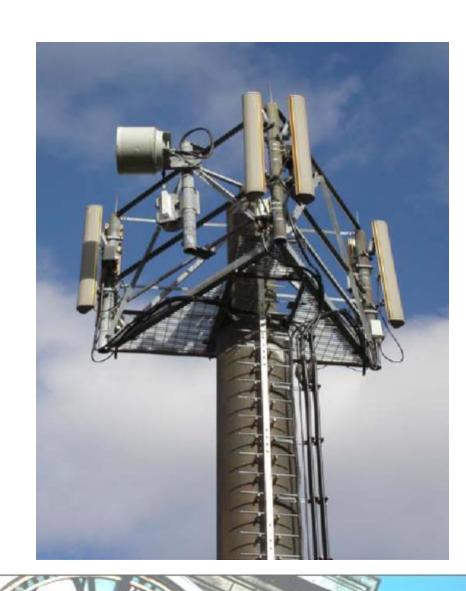
- Late 40s: AT&T developed cellular concept for frequency reuse
- Break the service area into cells
- Shrink the cell size; adopt intensive frequency re-use

Add more cells to add more capacity
Mobility management is required

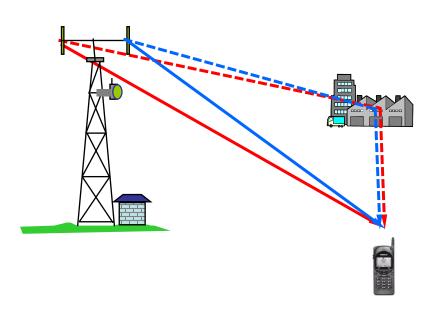


#### Radio access

- This base station has 3
   sectors each equipped with
   independent TRXs
   (transmitter/receivers)
- It has spaced pairs of antennas in each sector to provide diversity reception
- Microwave link antenna to the network
- LNAs on the antennas (LNA=low noise amplifiers)



### **Diversity**



Different phase relations will exist between the multipath rays from each antenna – so the interference will be different.

### Diversity: used in 2G, 3G, WLAN and 4G

- Obtain two or more copies of the received signal
- Copies can be separated by:
  - Time: Convolutional coding 'smears' short errors
  - Frequency: Frequency hopping is used for GSM;
  - Distance: Spatial diversity (2G/3G/WLAN)
  - Polarization: Polarization diversity ±45° (2G/3G)



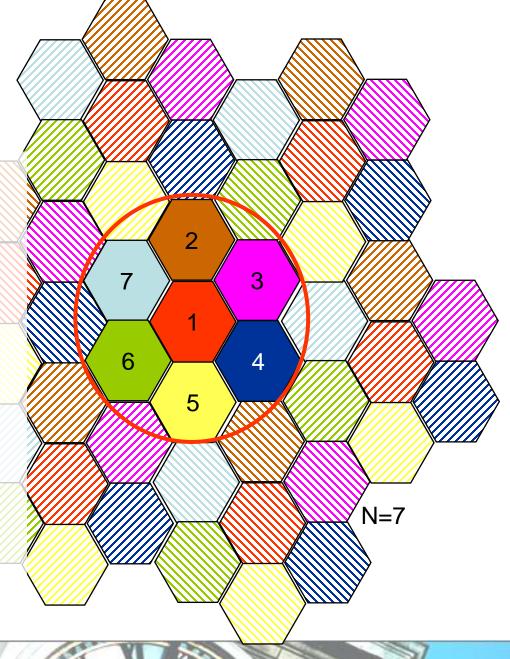
### Diversity: Combining the signals

- Combine the signal from each branch and obtain a signal that is more reliable than any single branch
  - Switch diversity when one is too low, try another
  - Selection diversity choose the largest signal
  - Equal gain signals equally weighted and added in phase
  - Maximal ratio weight the power in the branches in proportion to their signal amplitude and add in phase
- ◆ Diversity gain = effective increase in signal power for some stated reliability. Typically 4–6dB depending on the environment.



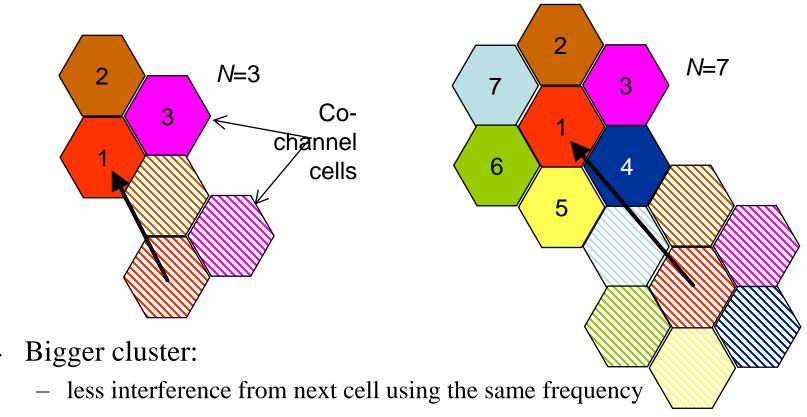
### Frequency reuse

- Adjacent cells use different frequencies to avoid interference
- Cells sufficiently distant from each other can use the same channel (frequency)
- Reuse factor N: number of cells in a repeating pattern
- Control cell size by choosing BS power and antennas
  - Make use of topographical screening





#### Effect of cluster size

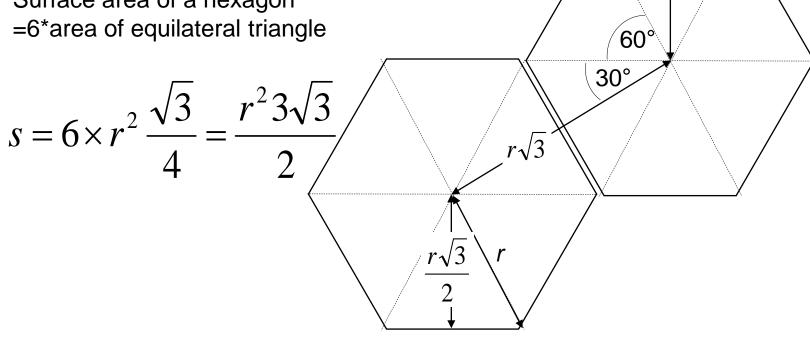


- lower capacity – bandwidth available in cell is  $F_A/N$  ( $F_A$  is frequency spectrum allocated)

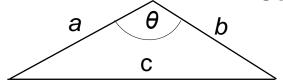


# Reminders on Geometry

Surface area of a hexagon



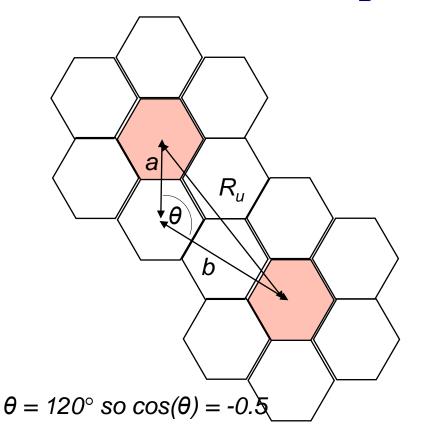




$$c^2 = a^2 + b^2 - 2ab\cos(\theta)$$

30°

### Derivation example (N=7 in pictures)



In general

a is distance between i cells

$$a = ir\sqrt{3}$$

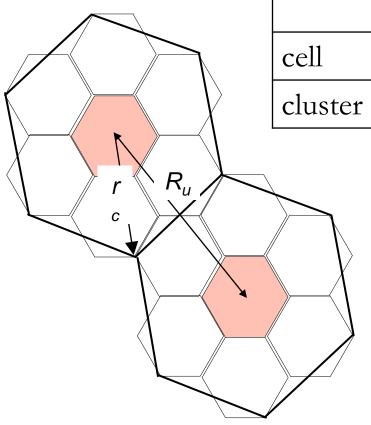
b is distance between j cells

$$b = jr\sqrt{3}$$

$$R_u^2 = i^2 r^2 3 + j^2 r^2 3 + 2 \times 0.5 i j r^2 3$$

$$R_u = \left(\sqrt{i^2 + j^2 + ij}\right) \left(r\sqrt{3}\right)$$

#### Cluster radius



	radius	area
cell	r	s
cluster	r	$\mathcal{S}_{_{\mathcal{C}}}$

$$s_c = Ns$$

$$\frac{r_c^2 3\sqrt{3}}{2} = N \frac{r^2 3\sqrt{3}}{2}$$

$$r_c = r\sqrt{N}$$

$$R_u = r_c \sqrt{3}$$

$$R_u = r\sqrt{3N}$$

Thick lines define a cluster hexagon of same area as *N* cells

#### Possible values of N

$$R_u = \left(\sqrt{i^2 + j^2 + ij}\right)\left(r\sqrt{3}\right)$$
 and  $R_u = r\sqrt{3}N$ 

$$\left(\sqrt{i^2 + j^2 + ij}\right)\left(r\sqrt{3}\right) = r\sqrt{3N}$$
 or  $\sqrt{i^2 + j^2 + ij} = \sqrt{N}$ 

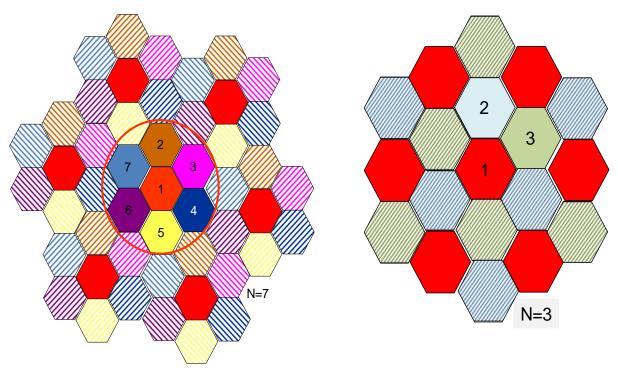
$i^2 + j^2 + ij = N$
----------------------

These means that only certain values of N are possible

i	j	N
1	1	3
1	2	7
2	2	12
1	3	13
2	3	19
1	4	21
3	3	27
2	4	28



#### Different cluster size

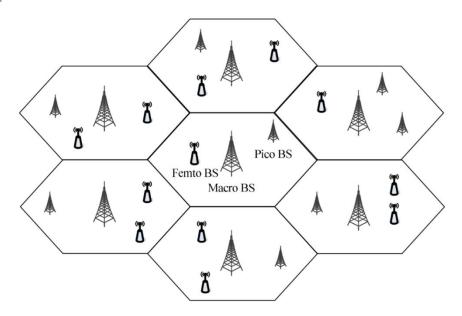


6 surrounding cells of same frequency for both cluster size

#### What is in practice?

#### Conventional Networks

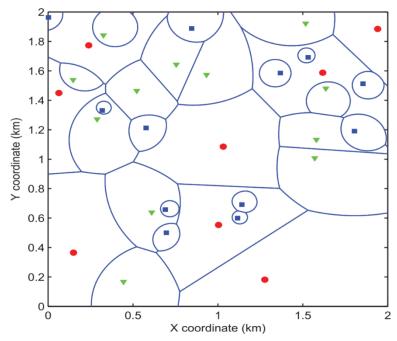
 3-tier Heterogenous Networks topology for the grid model



### What is in practice?

#### Stochastic Geometry

 3-tier Heterogenous Networks following the random spatial model



#### Class exercise

In a simple free-space radio propagation model, the received signal power is proportional to  $1/d^4$ , where d is distance.

Calculate the interfering power from the cochannel cells in a 7-cell cluster ( $P_{i7}$ ) and compare it with the interfering power in a 3-cell cluster – i.e. evaluate  $P_{i7}/P_{i3}$  in dB

Assume the cell radius is the same in each case.