

## TFTP/FTP

File Transfer and Access: provide computers with ability to access files on remote machines

### TFTP

#### TFTP Features

- Read and write files/to remote computers
- Minimal overhead (no security)
- Designed for UDP
- Easy to implement
- Small — possible to include in firmware
- Often uses to bootstrap workstations and network devices
- No Access Control / No Directory Retrieval

#### TFTP Transfer Mode

**Netascii** — for transferring text files

- All lines end with `\r\n`
- Provides standard format for transferring text files
- Both ends responsible for converting to/from netascii format

**Octet** — for transferring binary files

- No translation done

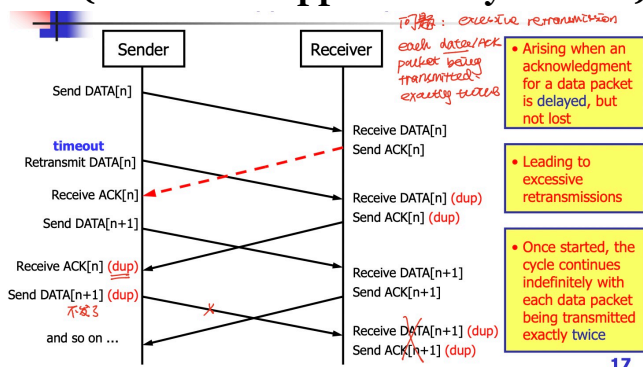
#### TFTP Retransmission

Each side implement the timeout and retransmission:

1. If a data packet get lost in the network, the data sender time out and retransmits the last data packet
2. If an acknowledgement is lost, the acknowledgment sender retransmits the last acknowledgment

- The sender has to keep just one packet on hand for retransmission, since the stop and wait mechanism guarantees that all older packets have been received
- Duplicate data packets must be recognized (ignored) and acknowledgement retransmitted

#### SAS (Sorcerer's Apprentice Syndrome)



SAS产生原因: ACK for a data packet is delayed but not lost

SAS problem: excessive retransmission — each data/ACK packet being transmitted exactly twice after the delay

How to fix SAS

Principle: **break the retransmission loop**

原理: sender should not resend a data packet in response to a duplicate ACK

解决方案: If sender receives ACK[n] — don't send DATA[n+1] if the ACK was a duplicate

TFTP Summary

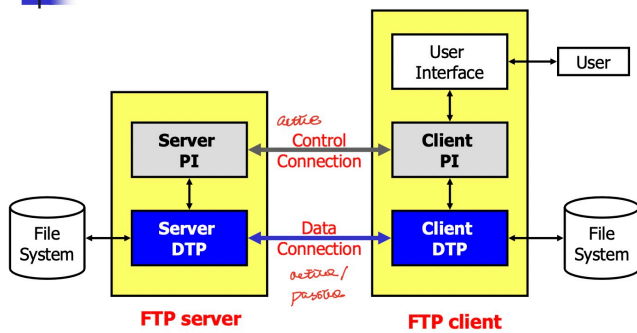
- **UDP port 69**
- Used with BOOTP and DHCP Configuration applications
- Fixed length blocks —> allocation straight forward (<512 signals the end of the file)
- stop and wait mechanism —> reliable delivery, flow control, no need to reorder data

# FTP

## FTP Features

- Used to **transfer** files between hosts
- Used to **manipulate** files: list, delete, rename
- Uses **TCP** for reliable transfers

## FTP model



## FTP Client:

- Users interact with FTP client directly
- Active open of control connection, sends command and receives replies
- Control connection uses **ASCII plain-text**
- Data connection — transfer file data

## FTP Server:

### Control connection **port 21**

- Receives commands and sends replies

**PI (Protocol Interpreter)**: user and server sides for the protocol interpretation, interpret's the user's commands

**DTP (Data Transfer Process)**: establishes and manages the **data connection** (**passive** or **active**)

Protocol Interpreter — interprets the user's commands

| User Command           | FTP Control Command     |
|------------------------|-------------------------|
| <i>cd</i> mystuff      | <b>CWD</b> mystuff      |
| <i>get</i> dns.pdf     | <b>RETR</b> dns.pdf     |
| <i>put</i> rfc1123.txt | <b>STOR</b> rfc1123.txt |
| <i>dir</i>             | <b>NLST</b>             |

## FTP Control Connection & Data Connection

### Control Connection

- **Remain alive** as long as the user keeps the FTP session active
- Passing commands and replies

### Data Connection

- Be **created dynamically** when needed, one data connection persists for one file transfer
- Used for data transmission

## FTP Control Commands

Three command groups:

- **Access control**
- Transfer parameter
- Service

Access control group

- USER
- PASS
- ACCT
- CWD
- CDUP — change to parent directory
- REIN — reinitialize
- QUIT

### Transfer parameter

Define data connection port:

**PORT h1,h2,h3,h4,p1,p2** — (active mode), telling FTP server the port number of client to accept data connection

- FTP server establish data connection

#### ➤ Example of port number calculation

**PORT 210,25,137,230,23,189**



IP: 210.25.137.230

$256 \times p_1 + p_2 = \text{port}$

Port:  $23 \times 256 + 189 = 6077$

**PASV** — (passive mode), inform server that client will contact to set up data connections

The 2 systems use different ways to **represent text and data**

**TYPE** (type-code)

Typical type-code: **A** for ASCII — **text** files, **I** for image — **binary** files

The 2 systems may store files in different **directory structures**

Define file structure: **STRU** (structure-code)

F — file

R — record

P — page

Define file mode: **MODE** (mode-code)

S — stream

B — block

C — compressed

Service group

RETR, STOR, APPE, DELE, MKD, RMD, RNFR/RNTO, LIST, ...

## **FTP Control Replies**

- 3 digit code followed by delimiter and text message
- Delimiter: “-“ — not last line ; “ ” — last line
- Numeric code for client program, text for humans

### Reply code meanings

- 1\*\* positive preliminary
- 2\*\* positive completion
- 3\*\* positive intermediate
- 4\*\* transient negative
- 5\*\* permanent negative

\*0\* syntax

\*1\* information

\*2\* connection information

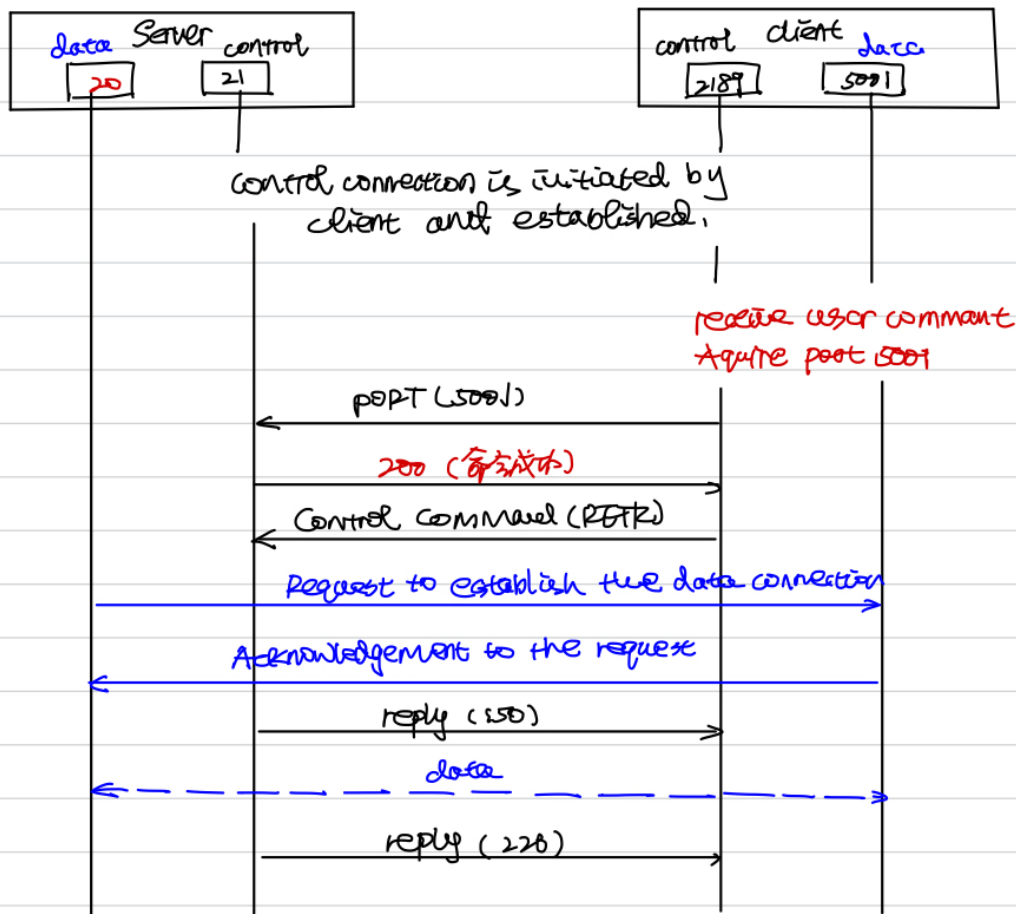
\*3\* authentication/accounting

\*5\* file system

## FTP Active Mode

Active mode: server port for data connection = 20

Active mode



## FTP Passive Mode

Passive Mode: server port for data connection > 1024

Passive Mode

