

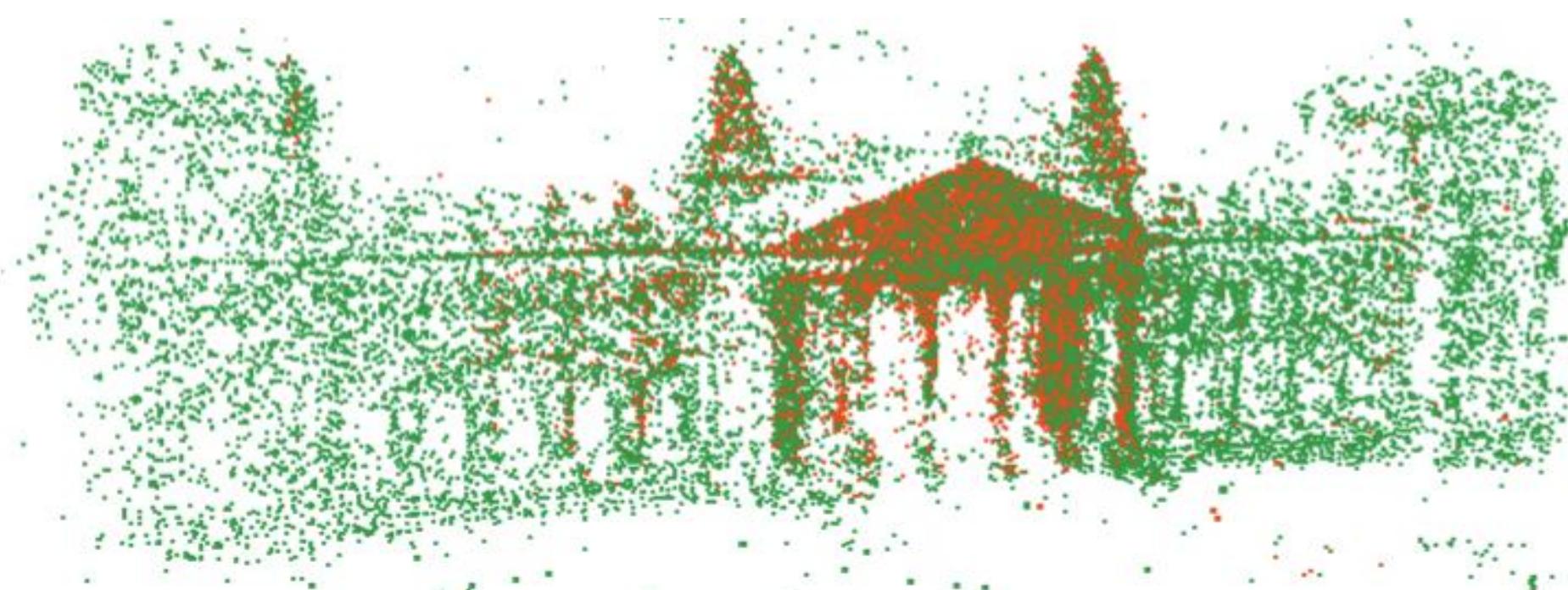
**EBU7240**

# **Computer Vision**

**- Fitting: Least squares, RANSAC, Hough Transform -**

*Semester 1, 2021*

**Changjae Oh**



# Fitting

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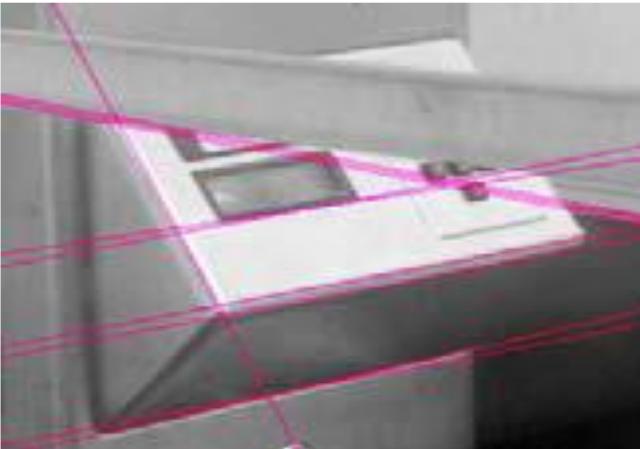
- We've learned how to detect edges, corners, blobs. **Now what?**
- We would like to form a higher-level, more compact representation of the features in the image by grouping multiple features according to a simple model



# Fitting

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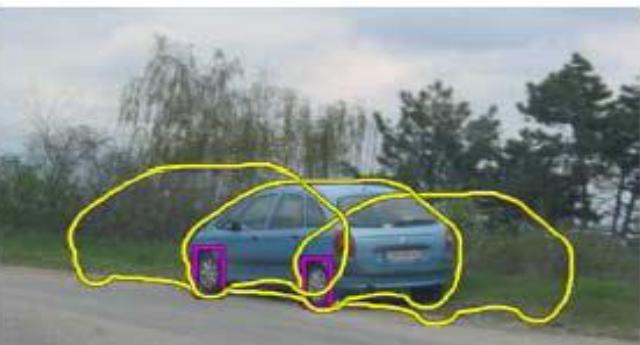
- Choose a parametric model to represent a set of features



simple model: lines



simple model: circles



complicated model: car



# Fitting: Challenges

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Case study: Line detection



- Noise in the measured feature locations
- Extraneous data: clutter (outliers), multiple lines
- Missing data: occlusions

# Fitting: Overview

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- If we know which points belong to the line, how do we find the “optimal” line parameters?
  - Least squares
- What if there are outliers?
  - Robust fitting, RANSAC
- What if there are many lines?
  - Voting methods: RANSAC, Hough transform
- What if we’re not even sure it’s a line?
  - Model selection (not covered)

# Methods

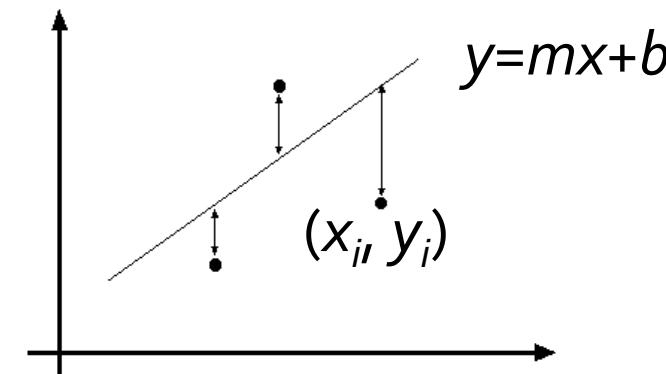
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- Least squares
- RANSAC
- Hough Transform

# Least squares line fitting

- Data:  $(x_1, y_1), \dots, (x_n, y_n)$
- Line equation:  $y_i = mx_i + b$
- Find  $(m, b)$  to minimize

$$E = \sum_{i=1}^n (y_i - mx_i - b)^2$$



$$E = \|Y - XB\|^2 \quad \text{where} \quad Y = \begin{bmatrix} y_1 \\ \vdots \\ y_n \end{bmatrix} \quad X = \begin{bmatrix} x_1 & 1 \\ \vdots & \vdots \\ x_n & 1 \end{bmatrix} \quad B = \begin{bmatrix} m \\ b \end{bmatrix}$$

$$E = \|Y - XB\|^2 = (Y - XB)^T (Y - XB) = Y^T Y - 2(XB)^T Y + (XB)^T (XB)$$

$$\frac{dE}{dB} = 2X^T XB - 2X^T Y = 0$$

$$X^T XB = X^T Y$$

*Normal equations:* least squares solution to  $XB=Y$

# Problem with “vertical” least squares

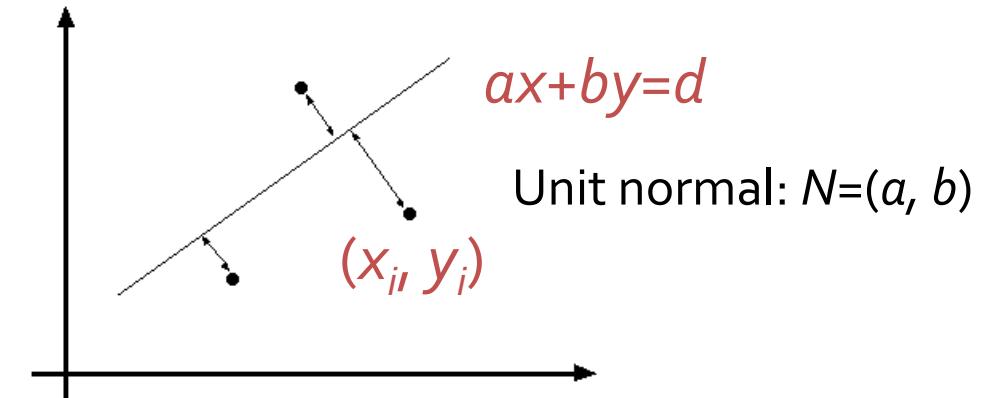
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- Not rotation-invariant
- Fails completely for vertical lines

# Total least squares

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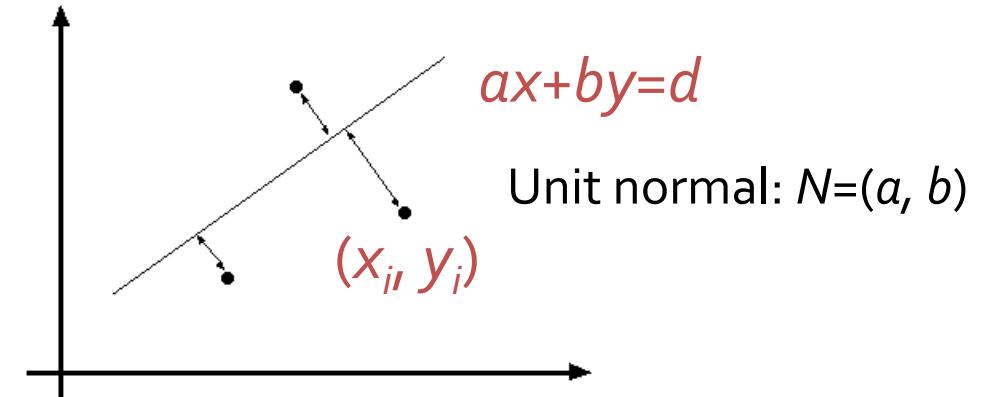
- Distance between point  $(x_i, y_i)$  and line  $ax+by=d$   
 $(a^2+b^2=1)$ :  $|ax_i + by_i - d|$



# Total least squares

- Distance between point  $(x_i, y_i)$  and line  $ax+by=d$  ( $a^2+b^2=1$ ):  $|ax_i + by_i - d|$
- Find  $(a, b, d)$  to minimize the sum of squared *perpendicular* distances

$$E = \sum_{i=1}^n (ax_i + by_i - d)^2$$

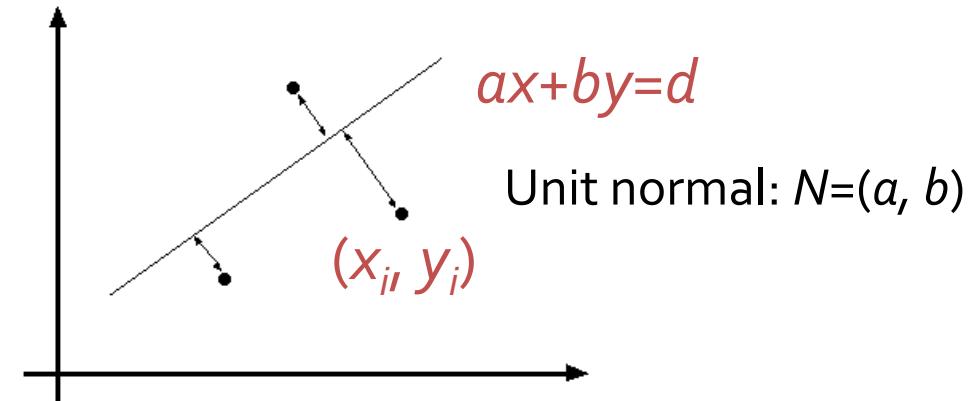


# Total least squares

- Distance between point  $(x_i, y_i)$  and line  $ax+by=d$   
( $a^2+b^2=1$ ):  $|ax_i + by_i - d|$
- Find  $(a, b, d)$  to minimize the sum of squared *perpendicular* distances

$$E = \sum_{i=1}^n (ax_i + by_i - d)^2$$

$$\frac{\partial E}{\partial d} = \sum_{i=1}^n -2(ax_i + by_i - d) = 0$$



$$d = \frac{a}{n} \sum_{i=1}^n x_i + \frac{b}{n} \sum_{i=1}^n y_i = a\bar{x} + b\bar{y}$$

$$E = \sum_{i=1}^n (a(x_i - \bar{x}) + b(y_i - \bar{y}))^2 = \left\| \begin{bmatrix} x_1 - \bar{x} & y_1 - \bar{y} \\ \vdots & \vdots \\ x_n - \bar{x} & y_n - \bar{y} \end{bmatrix} \begin{bmatrix} a \\ b \end{bmatrix} \right\|^2 = (UN)^T (UN)$$

$$\frac{dE}{dN} = 2(U^T U)N = 0$$

Solution to  $(U^T U)N = 0$ , subject to  $\|N\|^2 = 1$ : eigenvector of  $U^T U$  associated with the smallest eigenvalue (least squares solution to *homogeneous linear system*  $UN = 0$ )

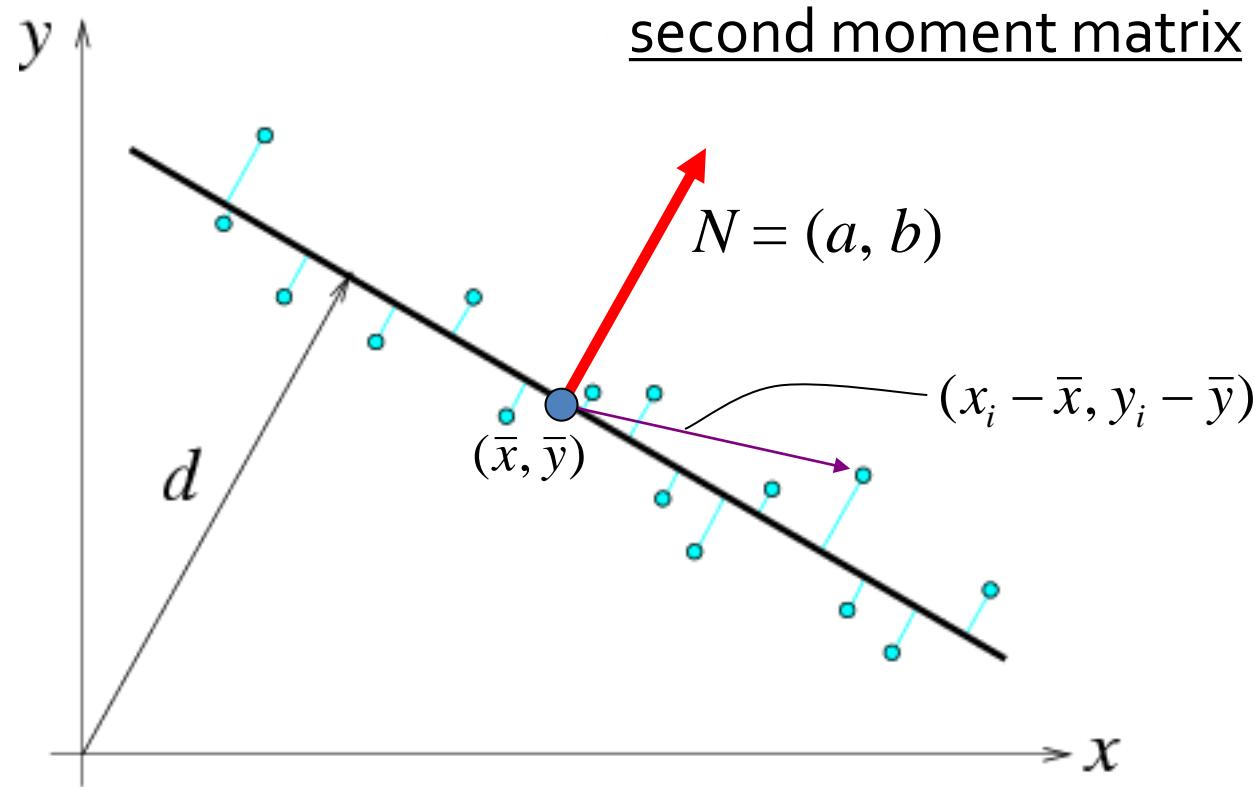
# Total least squares

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$$U = \begin{bmatrix} x_1 - \bar{x} & y_1 - \bar{y} \\ \vdots & \vdots \\ x_n - \bar{x} & y_n - \bar{y} \end{bmatrix} \quad U^T U = \begin{bmatrix} \sum_{i=1}^n (x_i - \bar{x})^2 & \sum_{i=1}^n (x_i - \bar{x})(y_i - \bar{y}) \\ \sum_{i=1}^n (x_i - \bar{x})(y_i - \bar{y}) & \sum_{i=1}^n (y_i - \bar{y})^2 \end{bmatrix}$$

# Total least squares

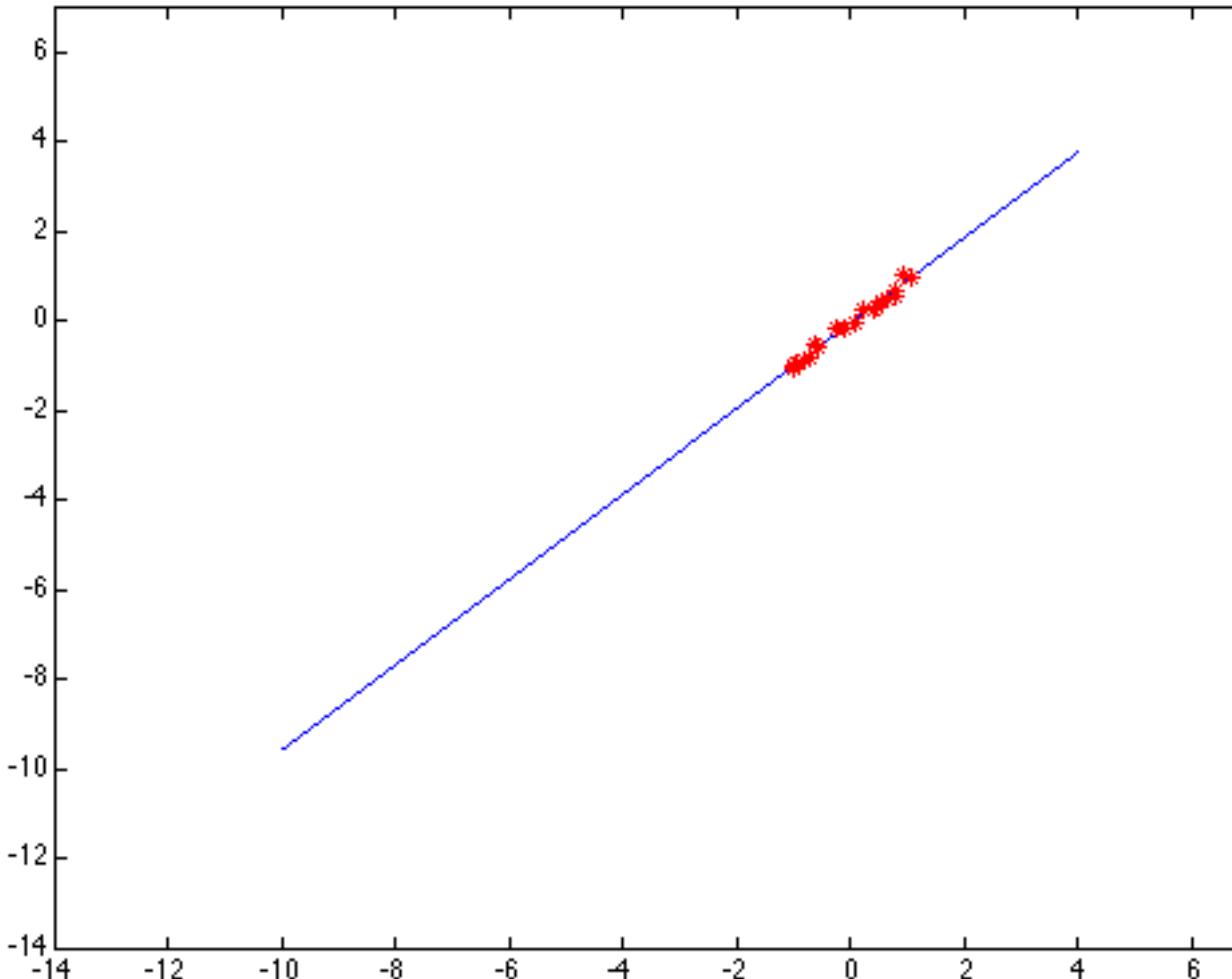
$$U = \begin{bmatrix} x_1 - \bar{x} & y_1 - \bar{y} \\ \vdots & \vdots \\ x_n - \bar{x} & y_n - \bar{y} \end{bmatrix} \quad U^T U = \begin{bmatrix} \sum_{i=1}^n (x_i - \bar{x})^2 & \sum_{i=1}^n (x_i - \bar{x})(y_i - \bar{y}) \\ \sum_{i=1}^n (x_i - \bar{x})(y_i - \bar{y}) & \sum_{i=1}^n (y_i - \bar{y})^2 \end{bmatrix}$$



# Least squares: Robustness to noise

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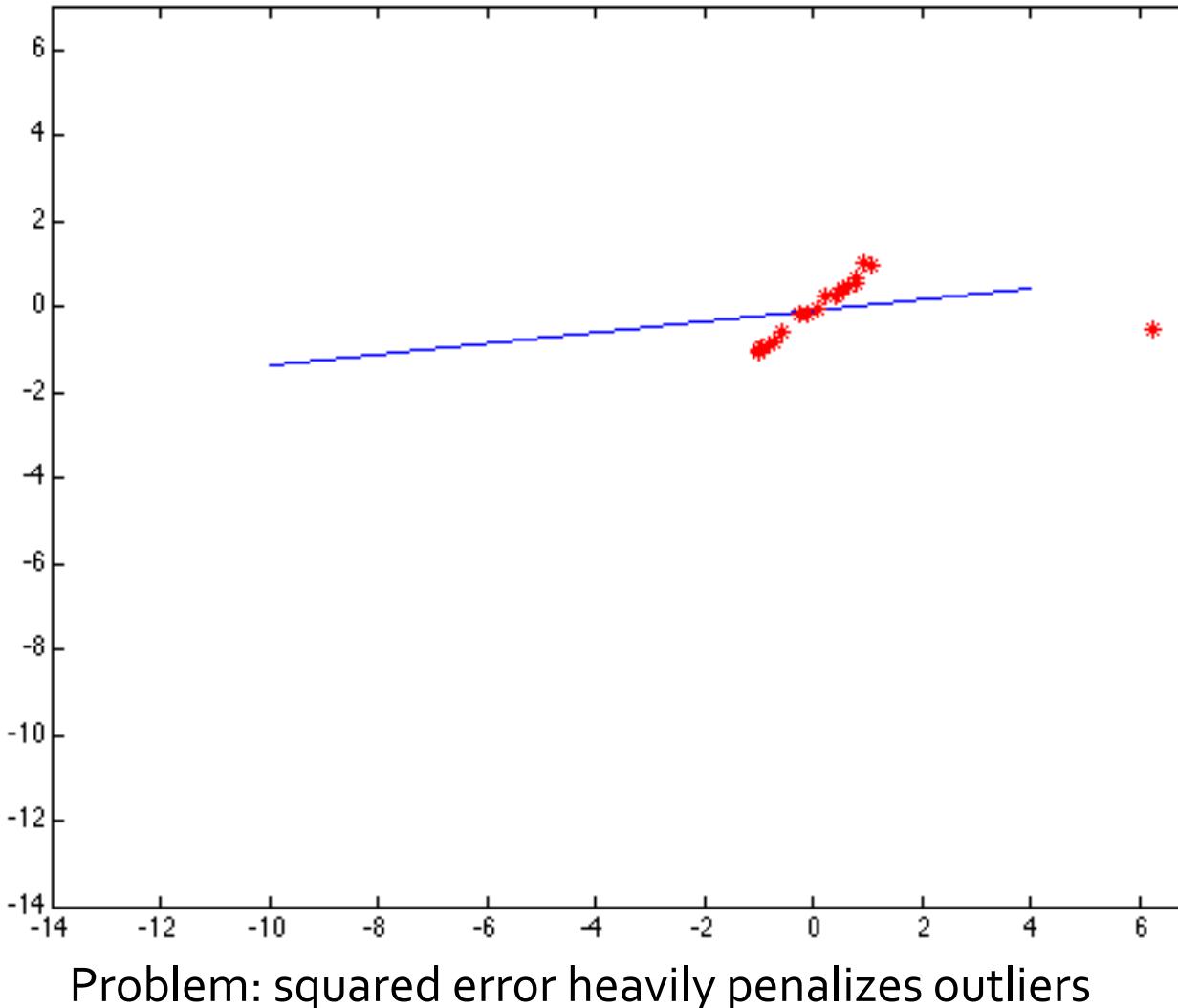
- Least squares fit to the red points:



# Least squares: Robustness to noise

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- Least squares fit with an outlier:

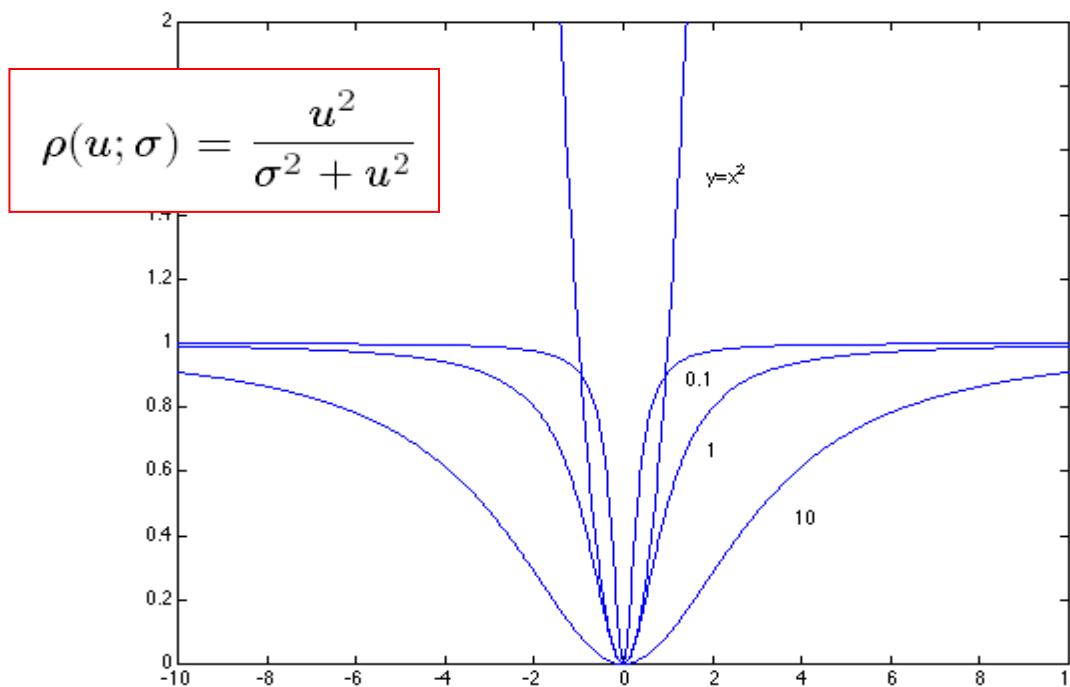


# Robust estimators

- General approach: find model parameters  $\theta$  that minimize

$$\sum_i \rho(r_i(x_i, \theta); \sigma)$$

- $r_i(x_i, \theta)$ : residual of  $i$ -th point w.r.t. model parameters  $\theta$
- $\rho(\cdot)$ : robust function with scale parameter  $\sigma$



The robust function  $\rho$  behaves like squared distance for small values of the residual  $u$  but saturates for larger values of  $u$

# Robust estimators

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- General approach: find model parameters  $\theta$  that minimize

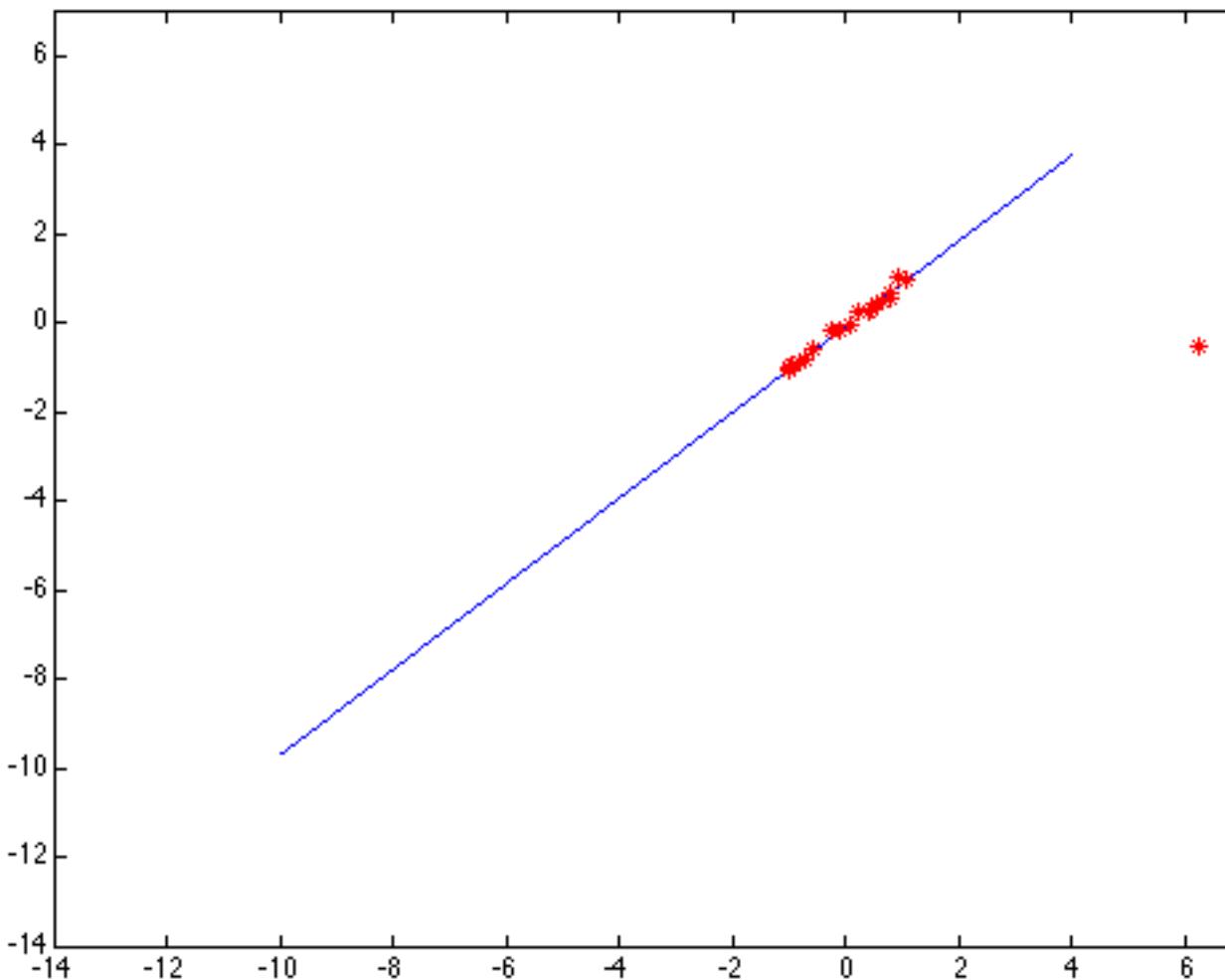
$$\sum_i \rho(r_i(x_i, \theta); \sigma)$$

- $r_i(x_i, \theta)$ : residual of  $i$ -th point w.r.t. model parameters  $\theta$
- $\rho(\cdot)$ : robust function with scale parameter  $\sigma$

- Robust fitting is a nonlinear optimization problem that must be solved iteratively
- Least squares solution can be used for initialization
- Scale of robust function should be chosen carefully

# Choosing the scale: Just right

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The effect of the outlier is minimized

# Methods

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- Least squares
- RANSAC
- Hough Transform

# RANSAC

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- Robust fitting can deal with a few outliers – what if we have very many?
- Random sample consensus (RANSAC):
  - Very general framework for model fitting in the presence of outliers
- Outline
  - Choose a small subset of points uniformly at random
  - Fit a model to that subset
  - Find all remaining points that are “close” to the model and reject the rest as outliers
  - Do this many times and choose the best model

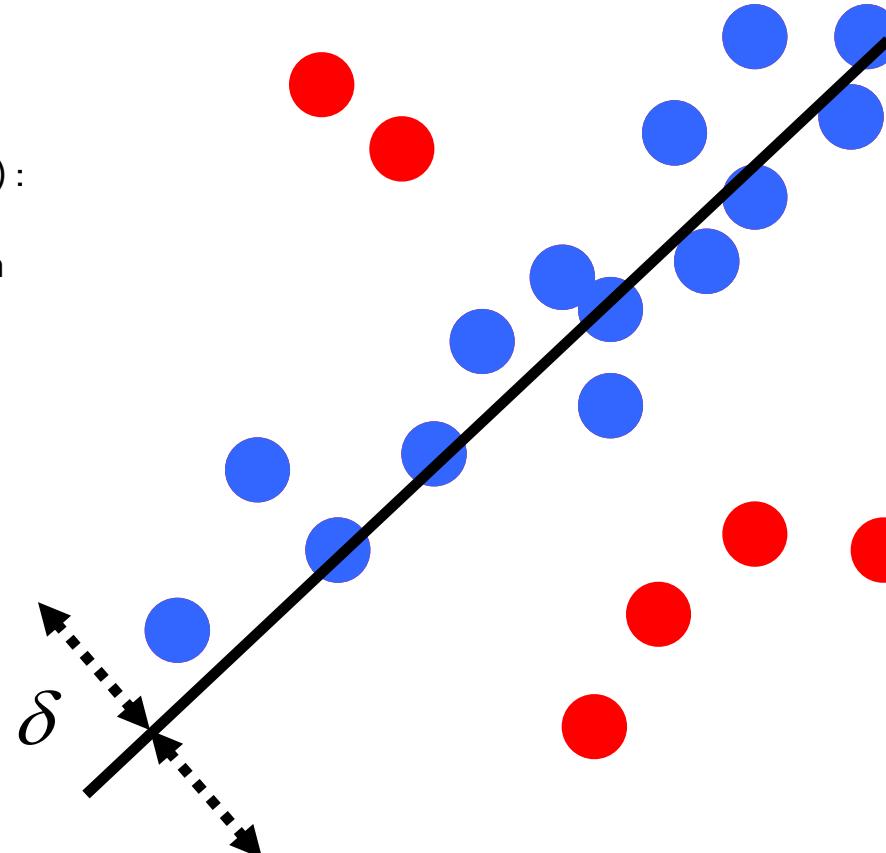
M. A. Fischler, R. C. Bolles. [Random Sample Consensus: A Paradigm for Model Fitting with Applications to Image Analysis and Automated Cartography](#). Comm. of the ACM, Vol 24, pp 381-395, 1981.

# RANSAC

(RANdom SAmples Consensus) :

Learning technique to estimate parameters of a model by random sampling of observed data

Fischler & Bolles in '81.



$$\pi : I \rightarrow \{P, O\}$$

such that:

$$f(P, \beta) < \delta$$

$$\min_{\pi} |O|$$

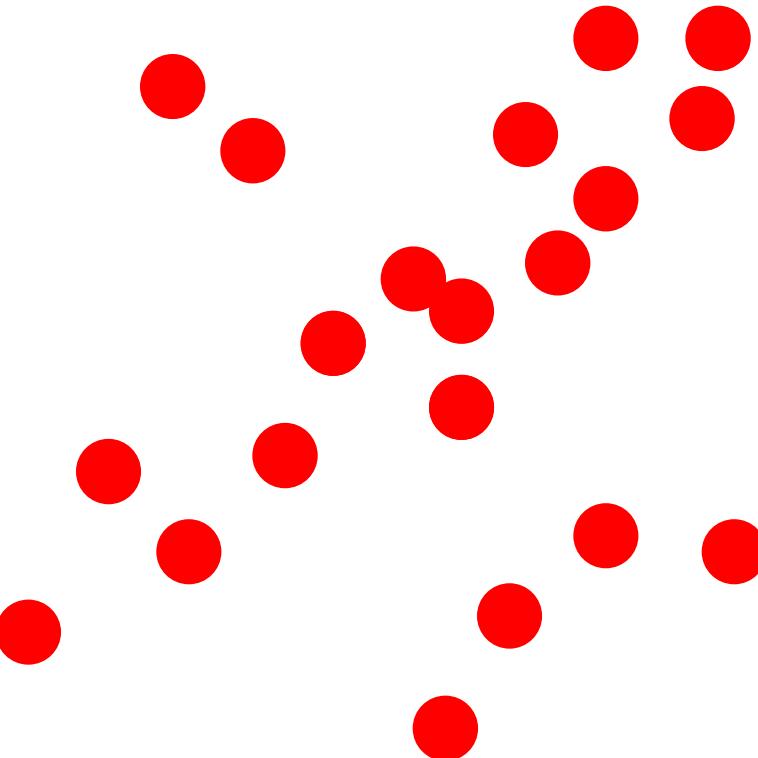
Model parameters

$$f(P, \beta) = \left\| \beta - (P^T P)^{-1} P^T \right\|$$

# RANSAC

(RANdom SAmples Consensus) :

Fischler & Bolles in '81.



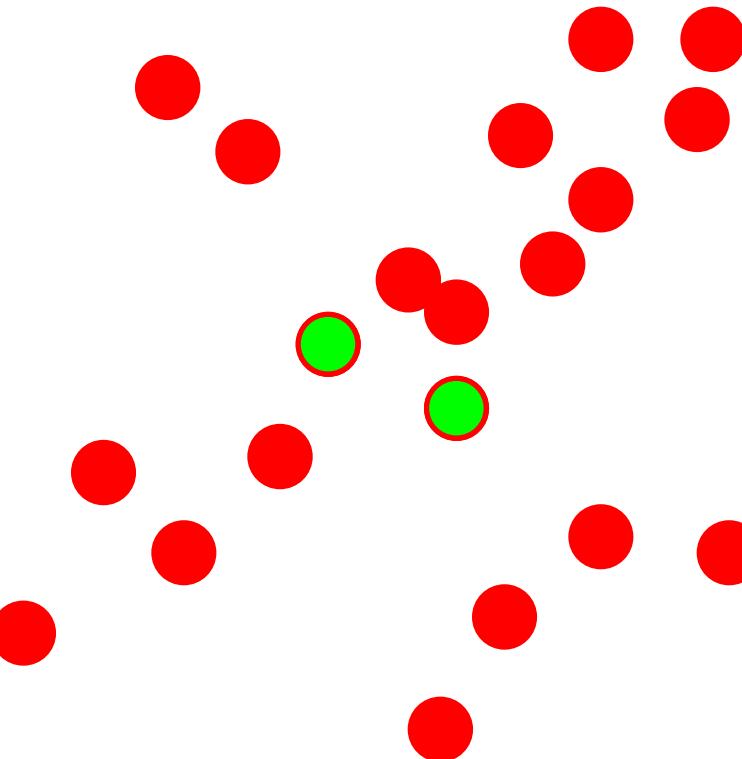
Algorithm:

1. **Sample** (randomly) the number of points required to fit the model
2. **Solve** for model parameters using samples
3. **Score** by the fraction of inliers within a preset threshold of the model

**Repeat 1-3** until the best model is found with high confidence

# RANSAC

Line fitting example



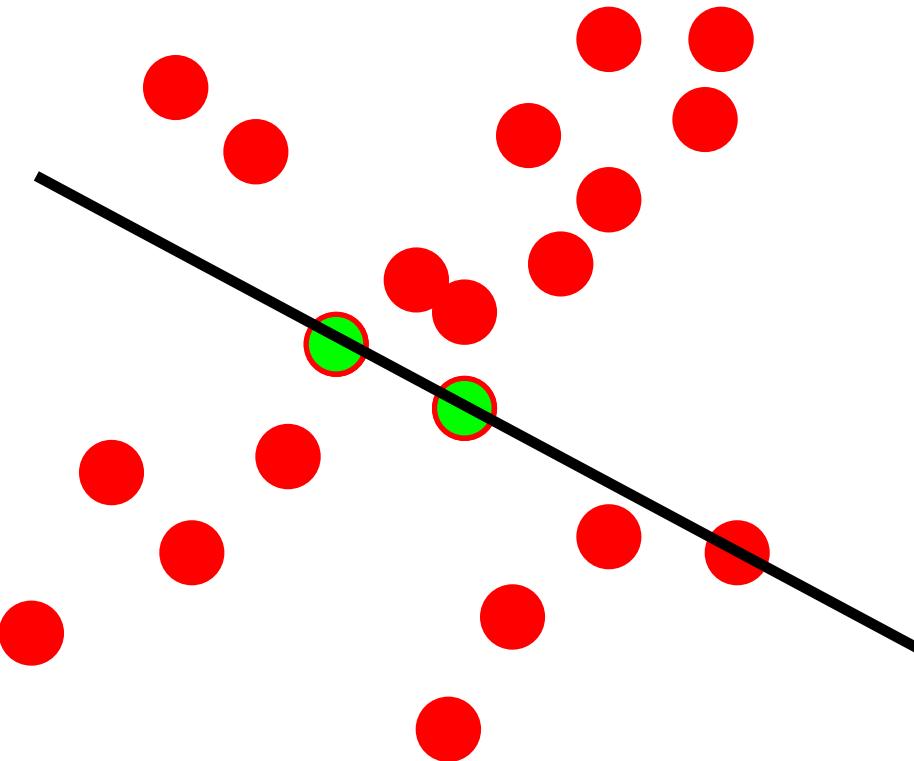
Algorithm:

1. **Sample** (randomly) the number of points required to fit the model (#=2)
2. **Solve** for model parameters using samples
3. **Score** by the fraction of inliers within a preset threshold of the model

**Repeat** 1-3 until the best model is found with high confidence

# RANSAC

Line fitting example



Algorithm:

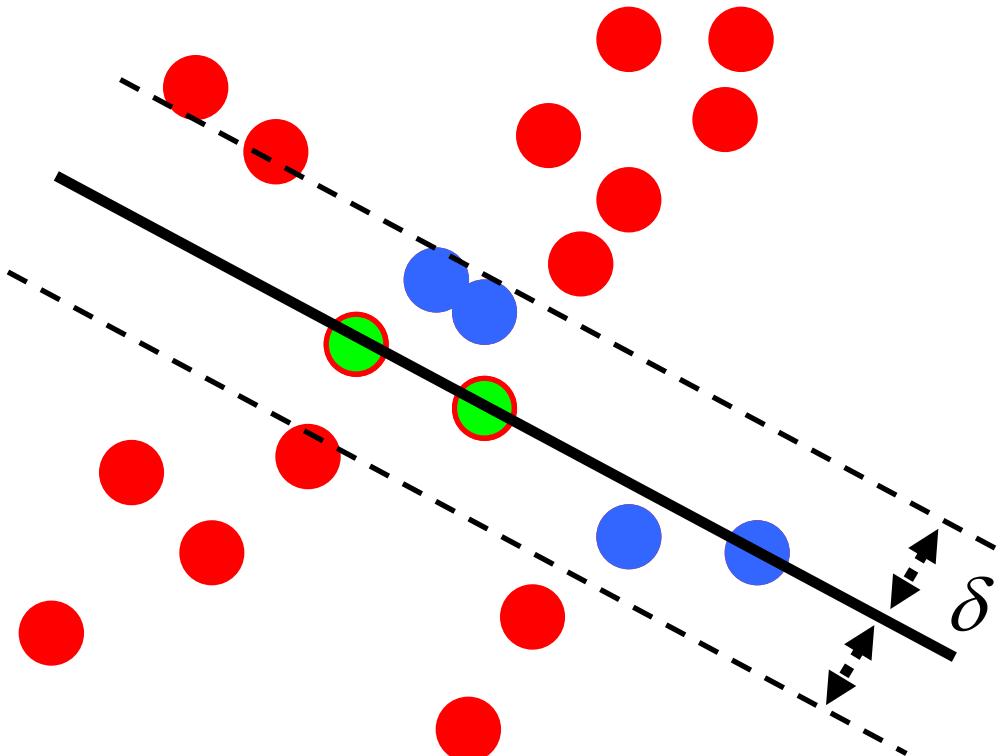
1. **Sample** (randomly) the number of points required to fit the model (#=2)
2. **Solve** for model parameters using samples
3. **Score** by the fraction of inliers within a preset threshold of the model

**Repeat** 1-3 until the best model is found with high confidence

# RANSAC

Line fitting example

$$N_I = 6$$

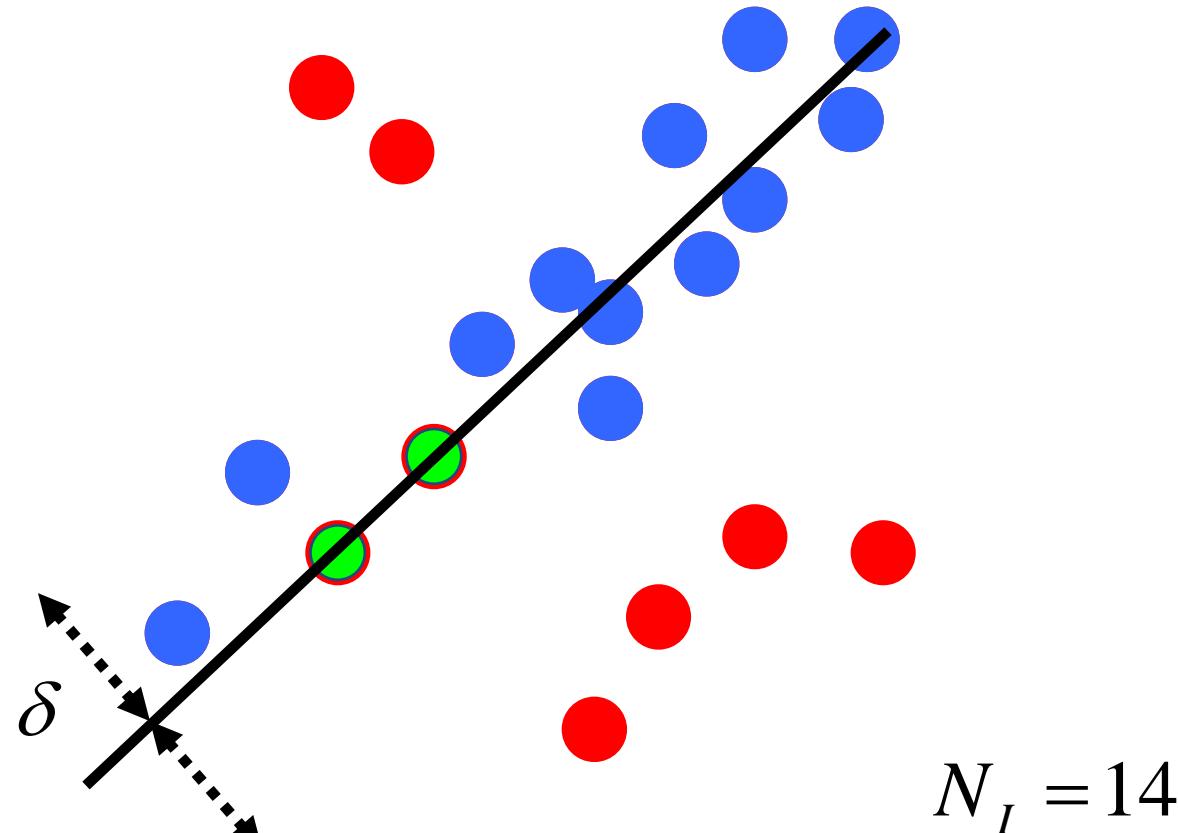


Algorithm:

1. **Sample** (randomly) the number of points required to fit the model (#=2)
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# RANSAC



Algorithm:

1. **Sample** (randomly) the number of points required to fit the model (#=2)
2. **Solve** for model parameters using samples
3. **Score** by the fraction of inliers within a preset threshold of the model

**Repeat 1-3 until the best model is found with high confidence**

# Parameters in RANSAC

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- Number of trials  $S$
- Number of sampled points  $k$
- Fitting the line to these  $k$  points
- Finding inliers to this line among the remaining points  
(i.e., points whose distance from the line is less than  $\delta$ )

# How to choose parameters?

- **Number of trials  $S$** 
  - Choose  $S$  so that, with probability  $P$ , at least one random sample is free from outliers (e.g.  $P=0.99$ ) (outlier ratio:  $e$ )
- **Number of sampled points  $k$** 
  - Minimum number needed to fit the model
- **Distance threshold  $\delta$** 
  - Choose  $\delta$  so that a good point with noise is likely (e.g., prob=0.95) within threshold

$$1 - P = (1 - (1 - e)^k)^S$$



$$S = \frac{\log(1 - P)}{\log(1 - (1 - e)^k)}$$

$k$	proportion of outliers $e$							
	5%	10%	20%	25%	30%	40%	50%	
2	2	3	5	6	7	11	17	
3	3	4	7	9	11	19	35	
4	3	5	9	13	17	34	72	
5	4	6	12	17	26	57	146	
6	4	7	16	24	37	97	293	
7	4	8	20	33	54	163	588	
8	5	9	26	44	78	272	1177	

# Image Stitching using Affine Transform - example

- **Affine transformation estimation with RANSAC**

1. Randomly sample  $k$  data ( $k \geq 3$ ).

Here, compare when  $k = 3, 4$ .

$$p = \begin{pmatrix} x \\ y \end{pmatrix} \quad I_1$$

$$p' = \begin{pmatrix} x' \\ y' \end{pmatrix} \quad I_2$$

2. Estimate the affine transformation  $T$  by solving  $\mathbf{M}\mathbf{x} = \mathbf{b}$ .
3. Score by computing the number of inliers satisfying  $|Tp - p'|^2 < \delta^2$  from all matches.

Repeat 1~3 steps  $S$  times ( $T_1, \dots, T_S$ ).

4. Select the best affine transformation  $T_B$  from  $(T_1, \dots, T_S)$ .
5. Re-estimate the affine transformation by solving  $\mathbf{M}\mathbf{x} = \mathbf{b}$  with  $T_B$ 's inliers.

# Image Stitching using Affine Transform - example

Input: A set of  $N$  matched points  $MP = \{(p_0, p'_0), (p_1, p'_1) \dots, (p_{N-1}, p'_{N-1})\}$

Output: Affine transform  $T_F$

$S$ : the number of trials

$\text{count\_mat}$ :  $S \times 1$  vector

$IN$ : a set of inliers

Initialize  $\text{count\_mat}$  to 0

for  $i = 0 \sim S-1$

    Randomly select  $k$  matched points from  $MP$  (Usually,  $k=3, 4$ )

    Estimate an affine transformation  $T_i$  with  $k$  matched points

    for  $j = 0 \sim N-1$

        if  $|T_i p_j - p'_j|^2 < \delta^2$

$\text{count\_mat}[i]++$

Choose the best affine transformation  $T \leftarrow T_K$  where  $K = \arg \max_i \text{count\_mat}[i]$

$IN = \text{NULL}$

for  $j = 0 \sim N-1$

    if  $|Tp_j - p'_j|^2 < \delta^2$

$IN \leftarrow IN \cup \{(p_j, p'_j)\}$

Re-estimate an affine transformation  $T_F$  with  $IN$

# RANSAC- Applications

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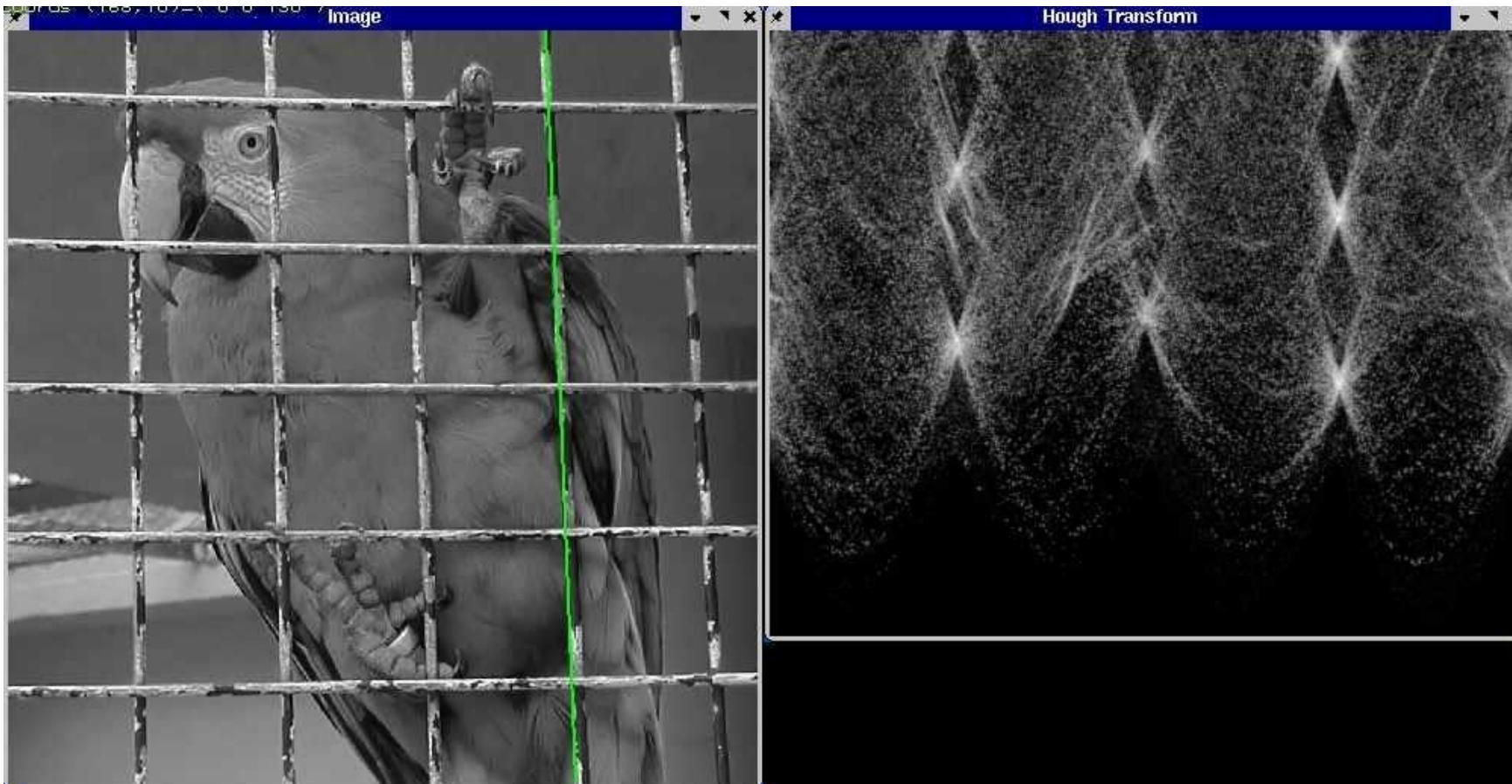
# RANSAC: Conclusions

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- **Good**
  - Robust to outliers
  - Applicable for larger number of objective function parameters than Hough transform
  - Optimization parameters are easier to choose than Hough transform
- **Bad**
  - Computational time grows quickly with fraction of outliers and number of parameters
  - Not good for getting multiple fits
  - Lots of parameters to tune
  - Doesn't work well for low inlier ratios (too many iterations, or can fail completely)
- **Common applications**
  - Computing a homography (e.g., image stitching)
  - Estimating fundamental matrix (relating two views)

# Hough transform

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# Fitting: Review

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- If we know which points belong to the line, how do we find the “optimal” line parameters?
  - Least squares
- What if there are outliers?
  - Robust fitting, RANSAC
- What if there are many lines?
  - Voting methods: RANSAC, **Hough transform**

# Methods

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- Least squares
- RANSAC
- Hough Transform

# Voting schemes

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- **Let each feature vote for all the models that are compatible with it**
  - Hopefully the noise features will not vote consistently for any single model
  - Missing data doesn't matter as long as there are enough features remaining to agree on a good model

# Hough Transform

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- An early type of voting scheme
  - Discretize parameter space into bins
  - For each feature point in the image, put a vote in every bin in the parameter space that could have generated this point
  - Find bins that have the most votes
    - Find maximum or local maxima in grid

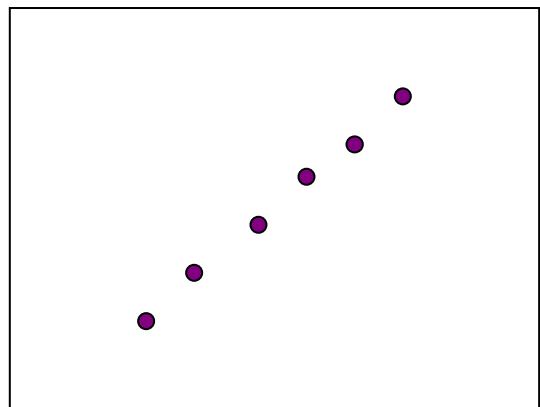
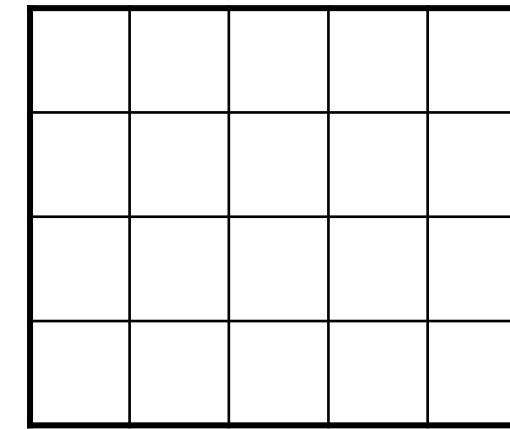
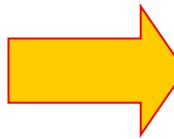


Image space

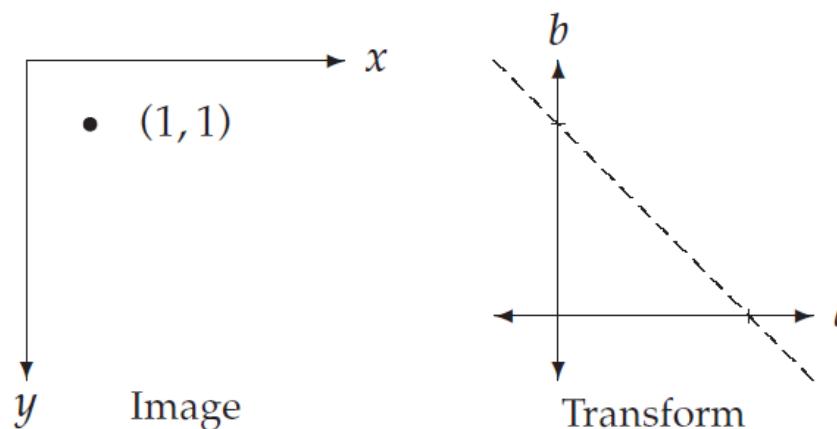


Hough parameter space

# Hough Transform: Fitting Multiple Lines

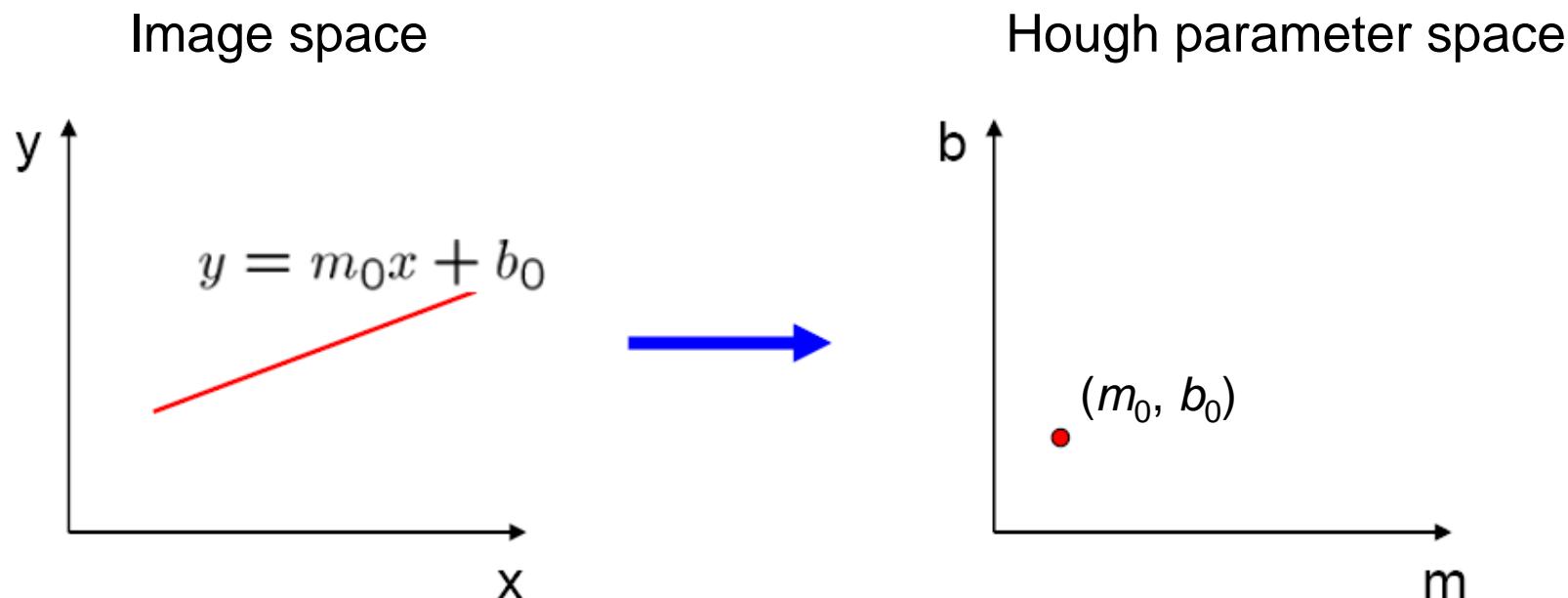
- One simple example using Hough Transform
  - Fitting lines, when a set of sparse points are given.
- Key idea: transform  $(x, y) \rightarrow (a, b)$

$$y = ax + b \quad \xrightarrow{\text{blue arrow}} \quad 1 = a + b$$



# Parameter space representation

- A line in the image corresponds to a point in Hough space



# Parameter space representation

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- What does a point  $(x_0, y_0)$  in the image space map to the Hough space?

Image space

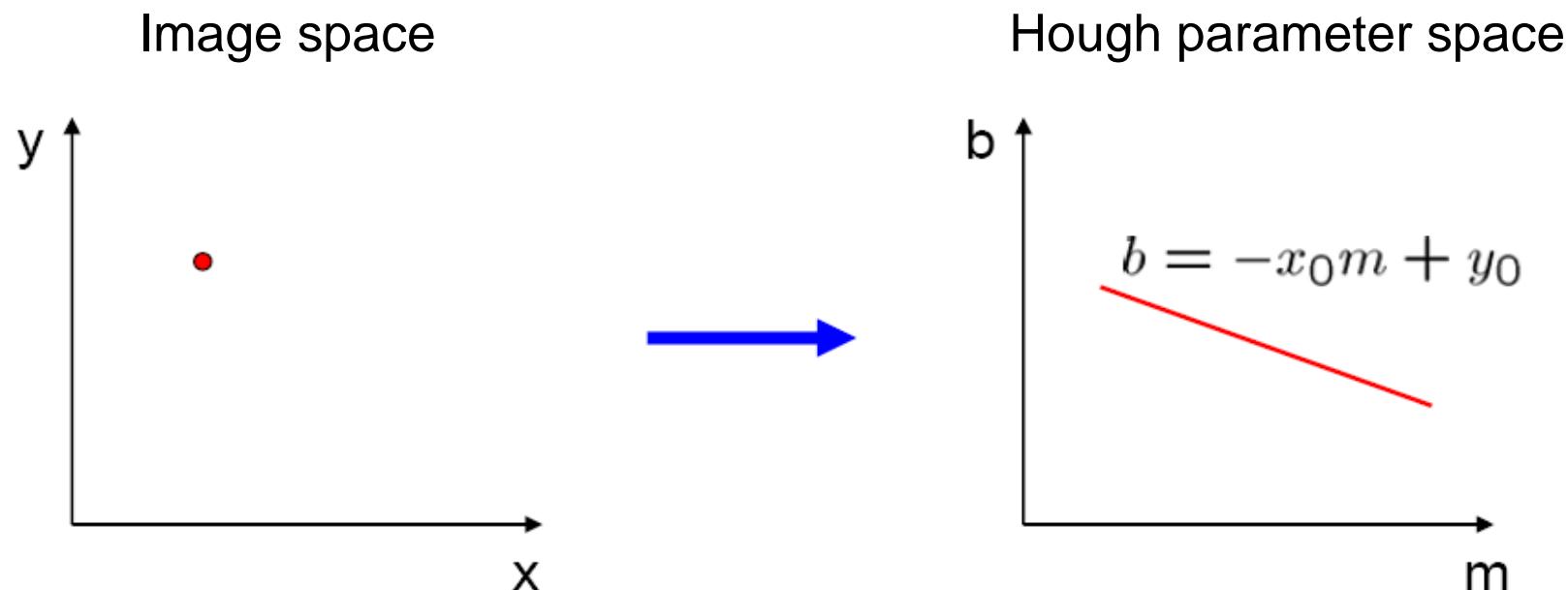
$(x_0, y_0)$

Hough parameter space

- What does a point  $(x_0, y_0)$  in the image space map to the Hough space?

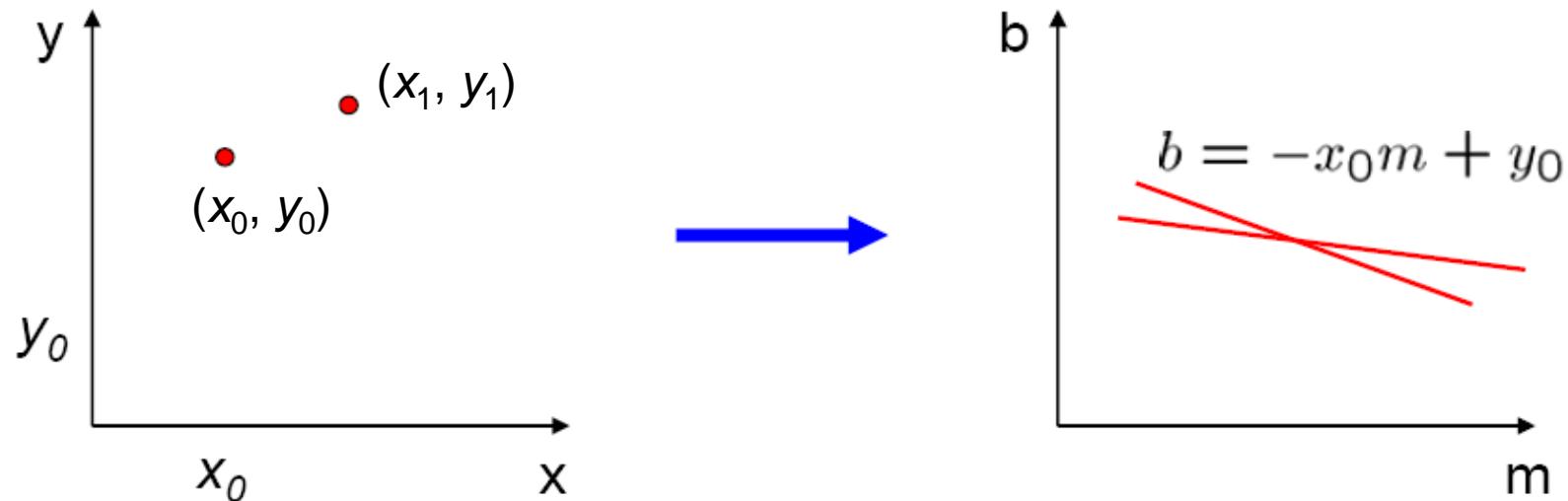
# Parameter space representation

- What does a point  $(x_0, y_0)$  in the image space map to the Hough space?
  - Answer: the solutions of  $y_0 = mx_0 + b$
  - This is a line in Hough space



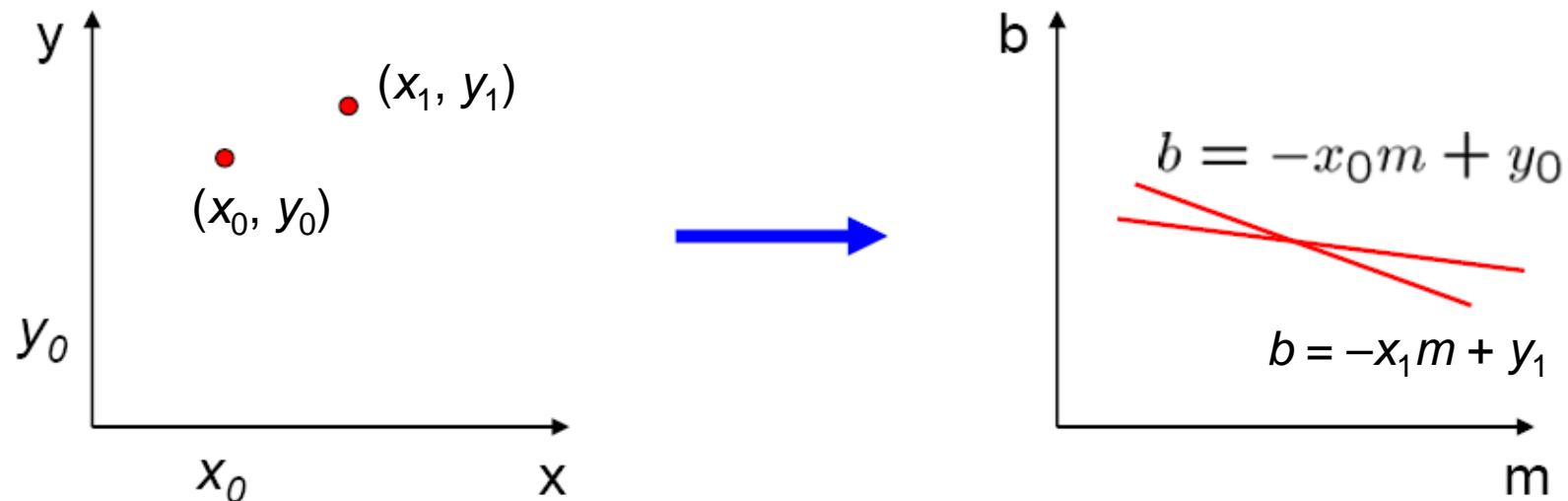
# Parameter space representation

- Where is the line that contains both  $(x_0, y_0)$  and  $(x_1, y_1)$ ?



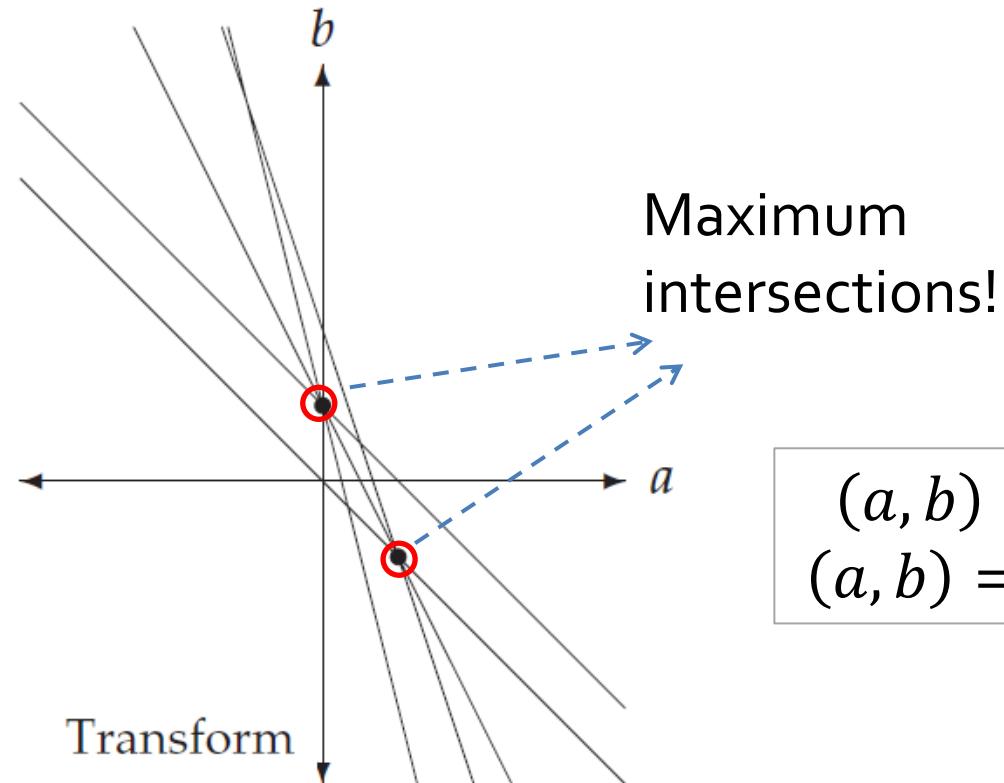
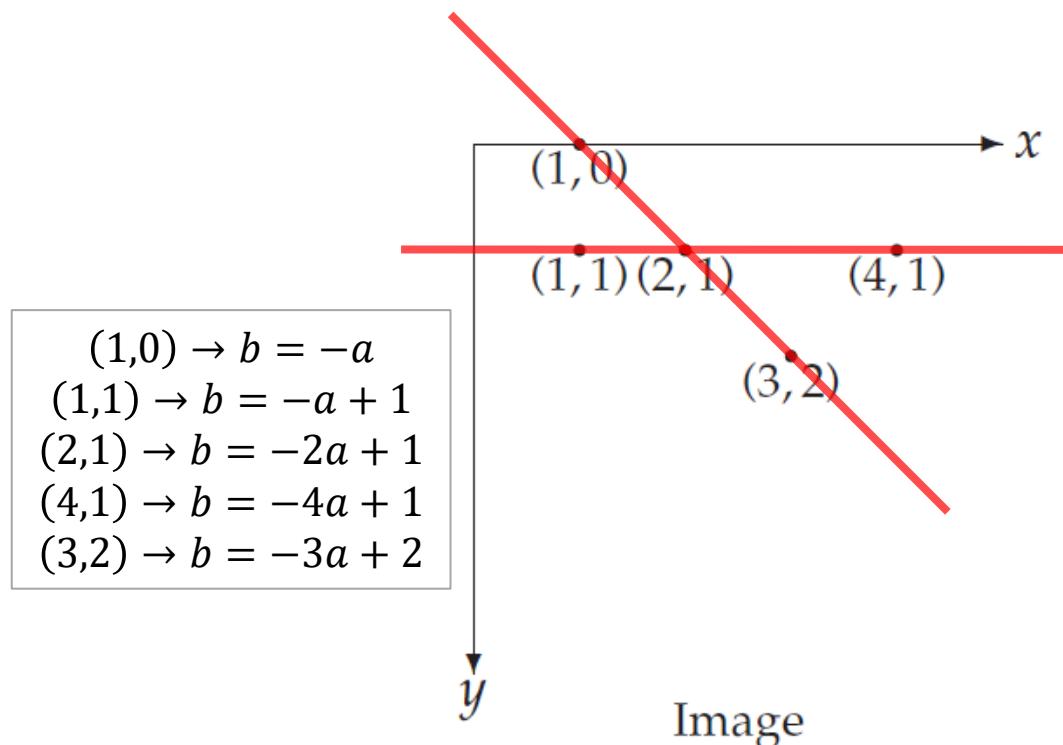
# Parameter space representation

- Where is the line that contains both  $(x_0, y_0)$  and  $(x_1, y_1)$ ?
  - It is the intersection of the lines  $b = -x_0m + y_0$  and  $b = -x_1m + y_1$



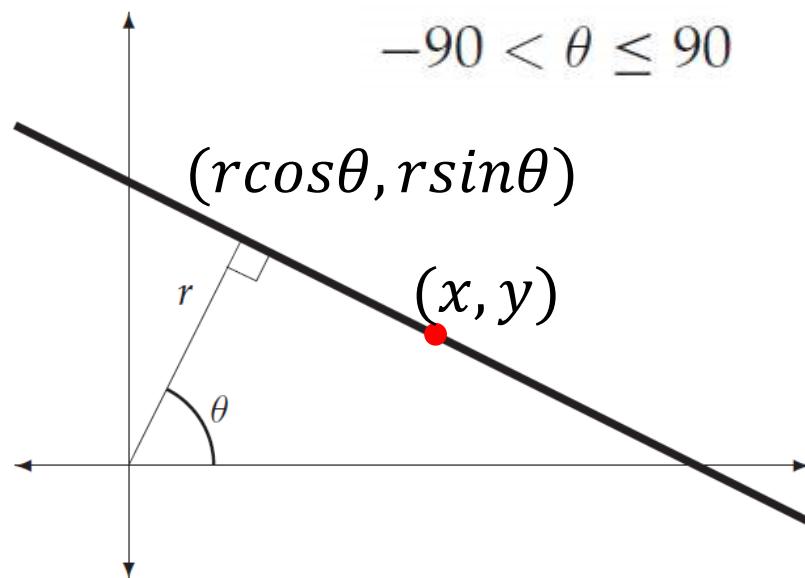
# Hough Transform: Fitting Multiple Lines

- Suppose we have five points



# Hough Transform: Fitting Multiple Lines

- Problem with  $(a, b)$  space
  - Unbounded parameter domains
    - $y = ax + b$  is not able to model a vertical line
  - Let's use different type of parameterization  $(r, \theta)$ !

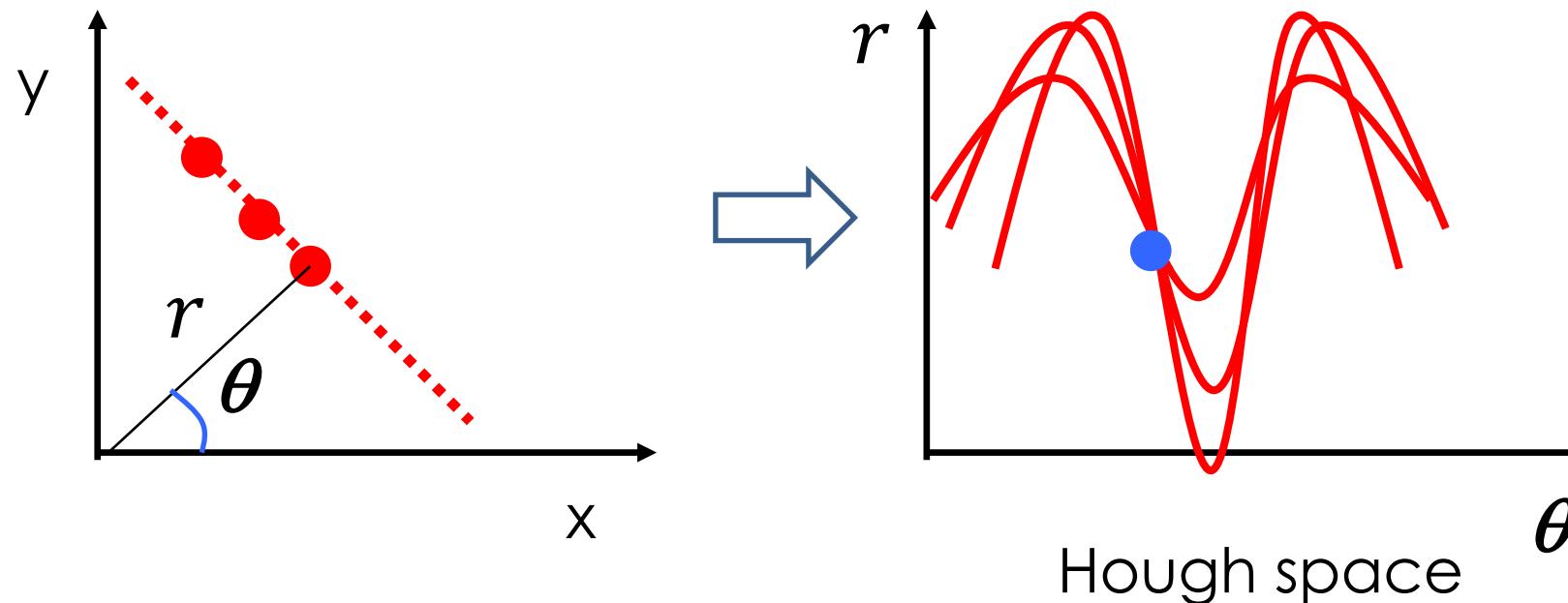


$$\frac{y - rsin\theta}{x - rcos\theta} = -\tan(90 - \theta) = -\frac{\cos\theta}{\sin\theta}$$

$$x\cos\theta + y\sin\theta = r$$

# Hough Transform: Fitting Multiple Lines

Use a polar representation for the parameter space



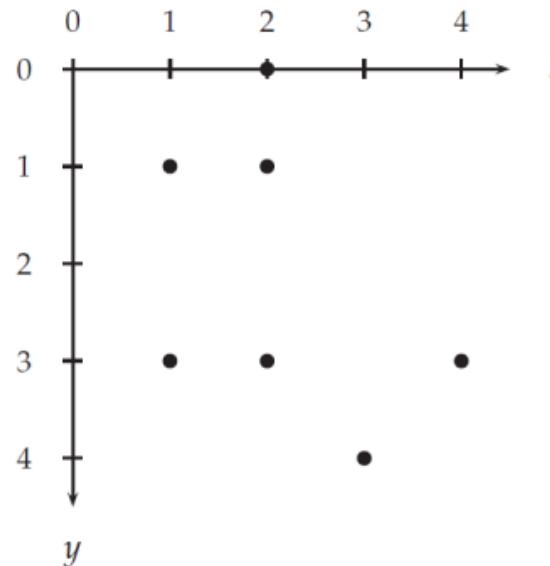
$$x \cos \theta + y \sin \theta = r$$

# Hough Transform: Fitting Multiple Lines

- One problem still happens when handling **continuous** parameters  $(r, \theta)$  on the discrete domain.
  - Too many possible  $(r, \theta)$ !
  - So, let's just use a few number of **quantized**  $(r, \theta)$

## Example

- If we discretize  $\theta$  to use only four values:  $-45^\circ, 0^\circ, 45^\circ, 90^\circ$

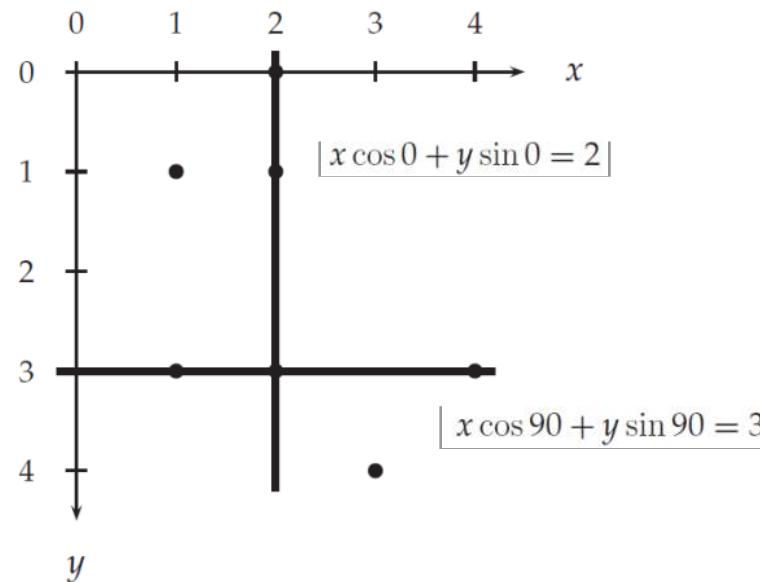


$(x, y)$	$-45^\circ$	$0^\circ$	$45^\circ$	$90^\circ$
(2, 0)	1.4	2	1.4	0
(1, 1)	0	1	1.4	1
(2, 1)	0.7	2	2.1	1
(1, 3)	-1.4	1	2.8	3
(2, 3)	-0.7	2	3.5	3
(4, 3)	0.7	4	4.9	3
(3, 4)	-0.7	3	4.9	4

# Hough Transform: Fitting Multiple Lines

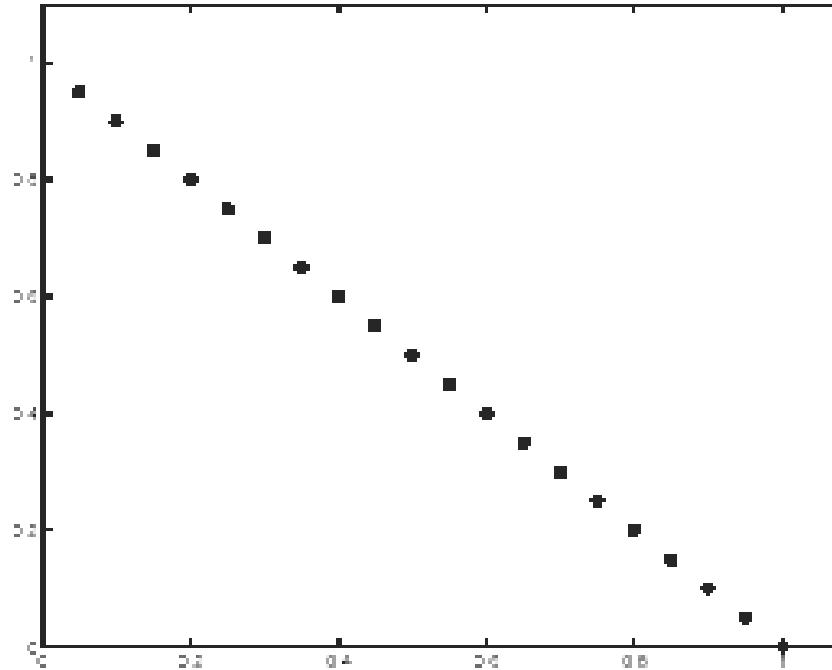
- The accumulator array contains **how many times** each value of  $(r, \theta)$  appears in the table

	-1.4	-0.7	0	0.7	1	1.4	2	2.1	2.8	3	3.5	4	4.9
-45°	1	2	1	2		1							
0°						2	3			1		1	
45°						2	1	1	1	1	1	2	
90°			1		2					3		2	

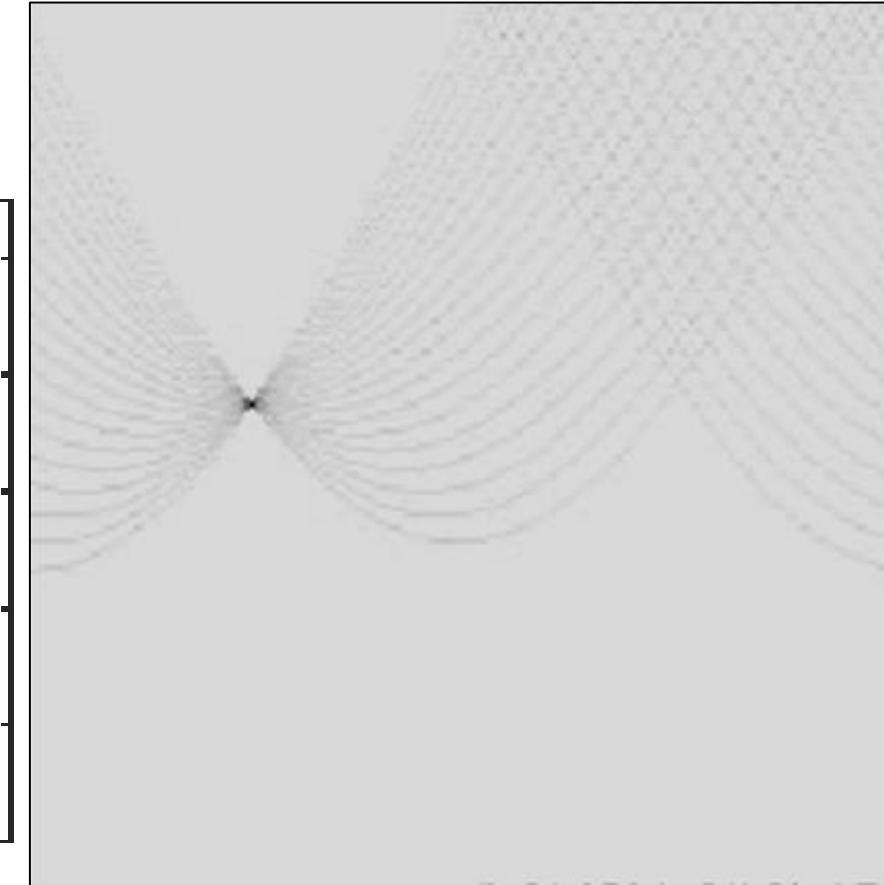


# Hough Transform: Fitting Multiple Lines

Clean data



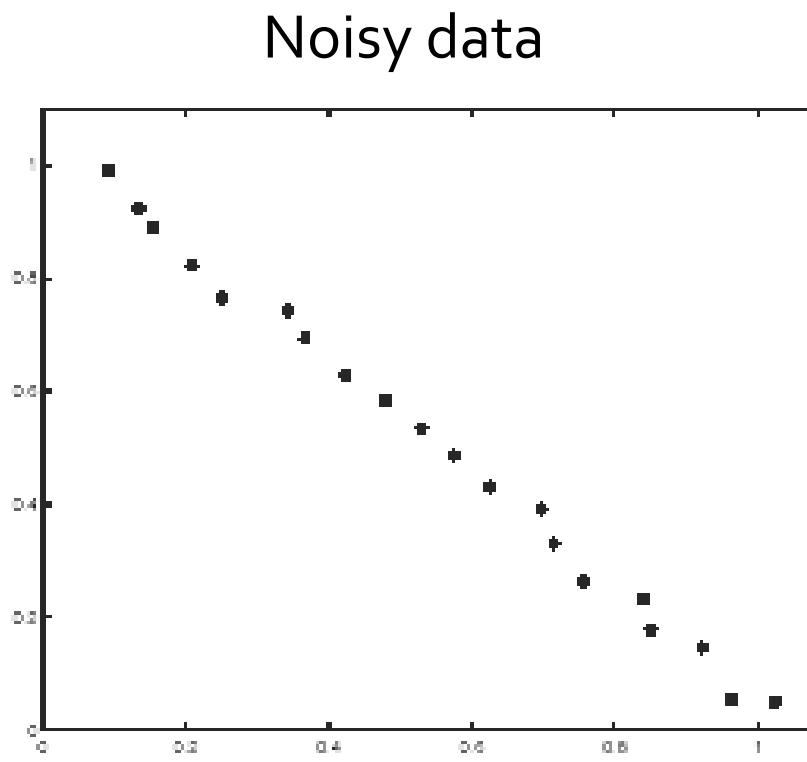
Features



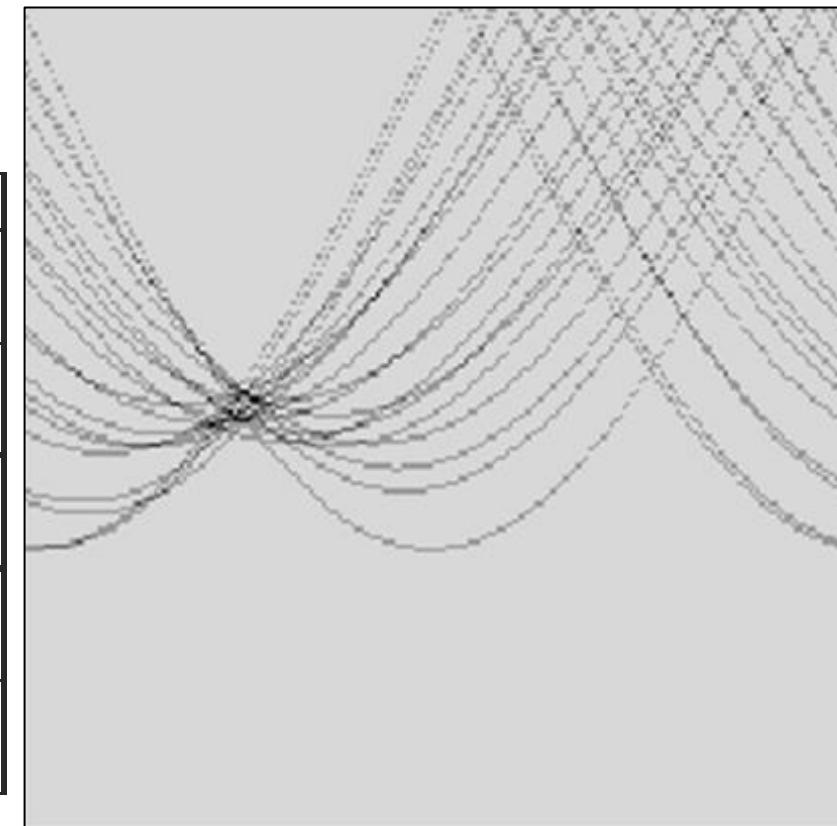
Votes

# Hough Transform: Fitting Multiple Lines

- Issue: noisy data



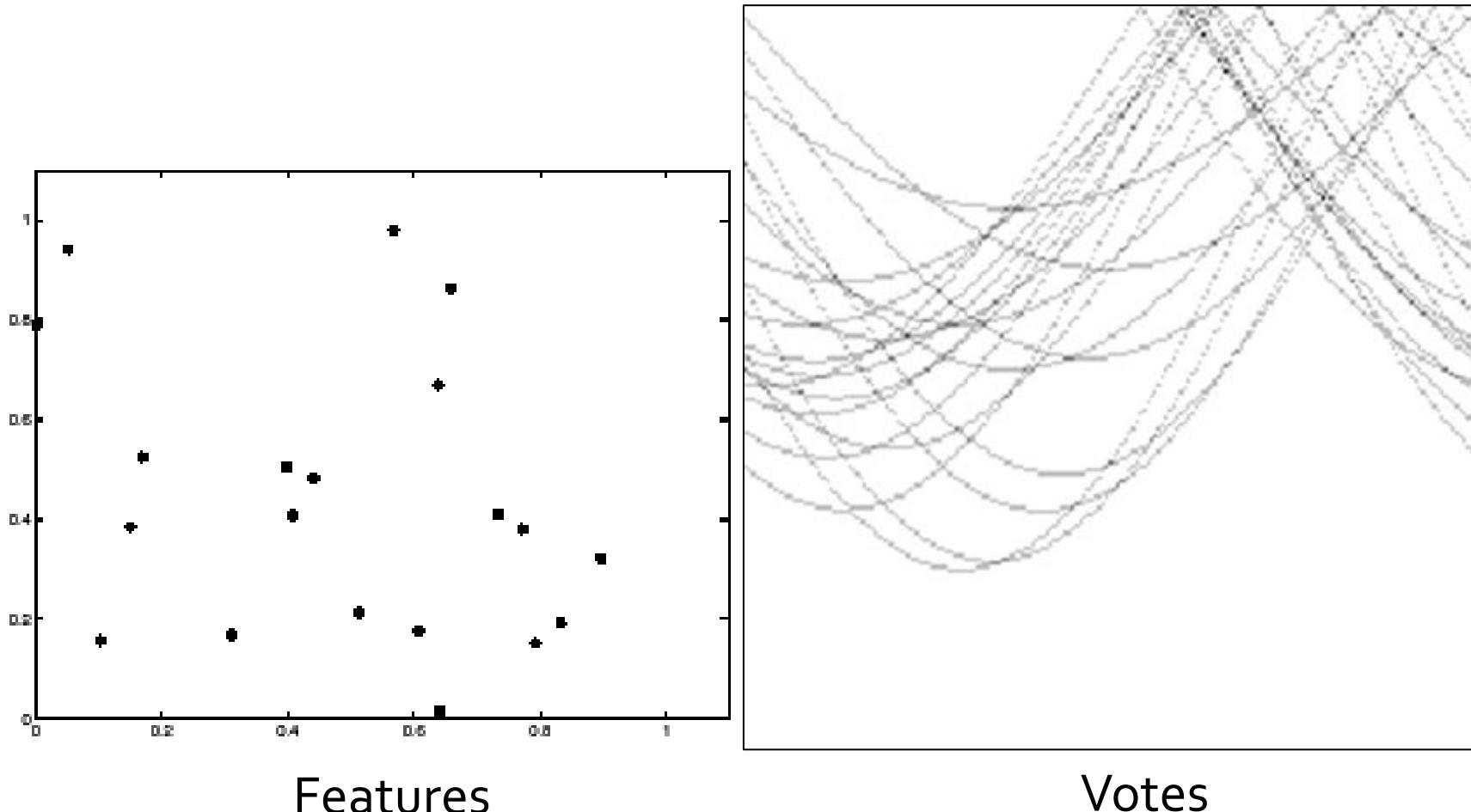
Features



Votes

# Hough Transform: Fitting Multiple Lines

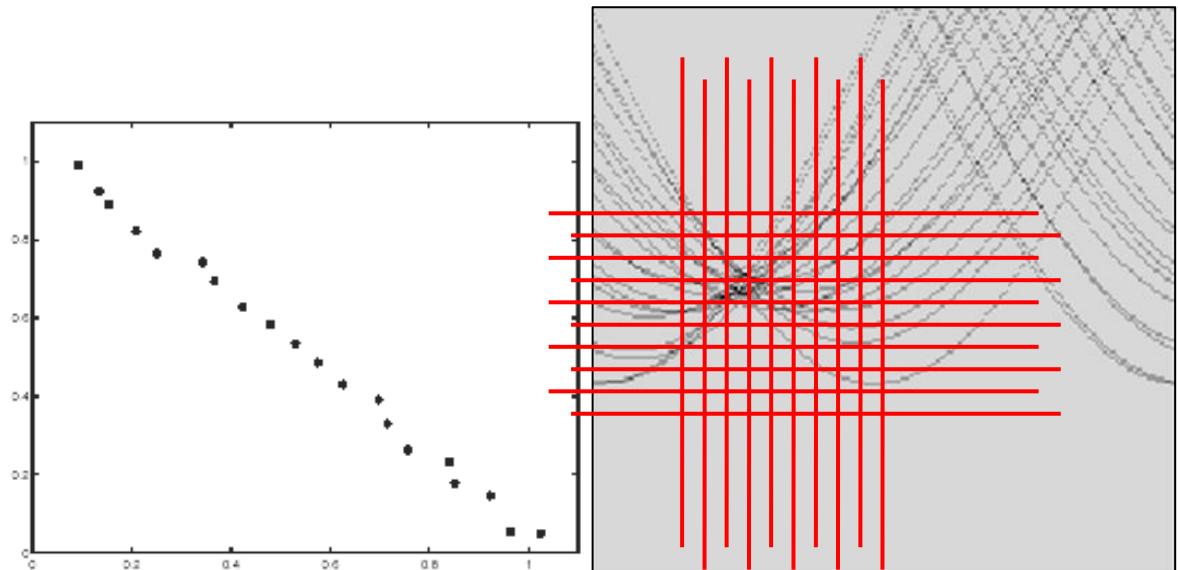
- Issue: spurious peaks due to uniform noise



# Dealing with noise

---

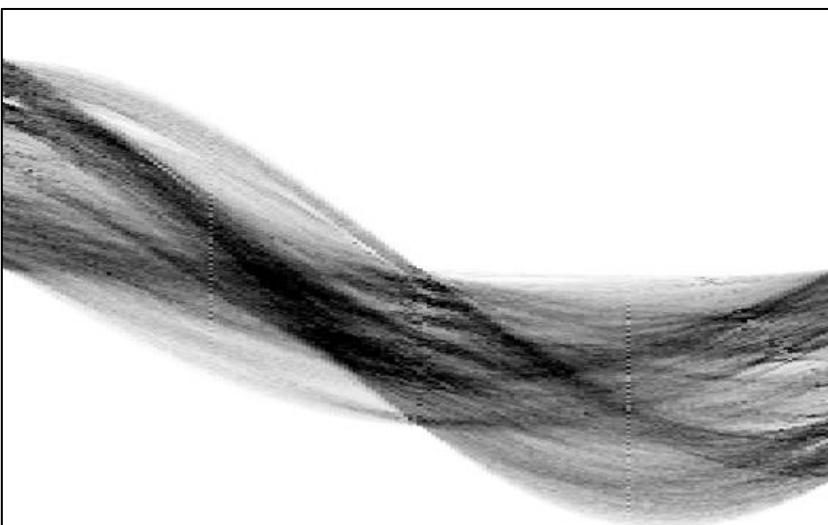
- Choose a good grid / discretization
  - **Too coarse:** large votes obtained when too many different lines correspond to a single bucket
  - **Too fine:** miss lines because some points that are not exactly collinear cast votes for different buckets
- Increment neighboring bins (smoothing in accumulator array)
- Try to get rid of irrelevant features
  - E.g., take only edge points with significant gradient magnitude



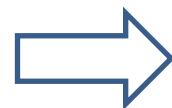
# Hough Transform: Fitting Multiple Lines



Canny Edge Detector



Find peaks  
and post-  
process

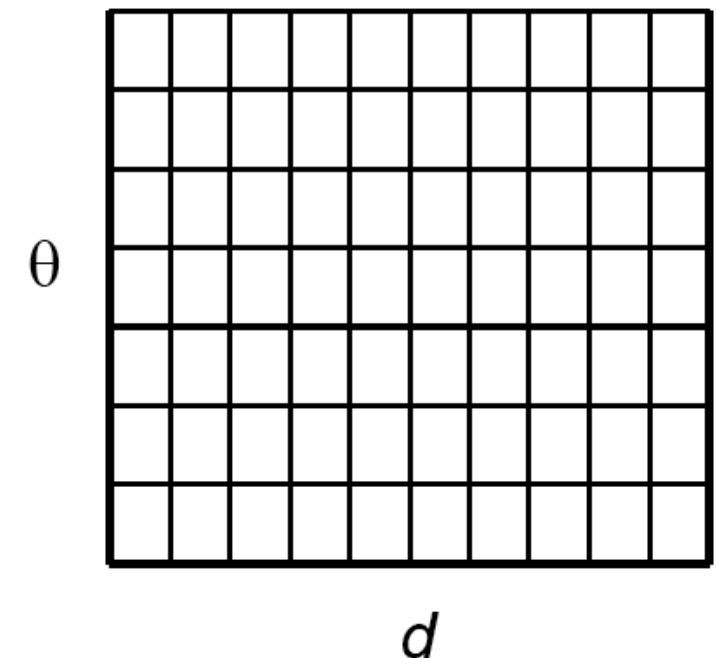


# Algorithm outline

---

```
1: Initialize accumulator H to all zeros
2: for each feature point (x,y) in the image
3:     for  $\theta = 0$  to 180
4:          $\rho = x \cos \theta + y \sin \theta$ 
5:          $H(\theta, \rho) = H(\theta, \rho) + 1$ 
6:     end
7: end
8: Find the value(s) of  $(\theta, \rho)$  where  $H(\theta, \rho)$  is
   a local maximum
9: The detected line in the image is given by
    $\rho = x \cos \theta + y \sin \theta$ 
```

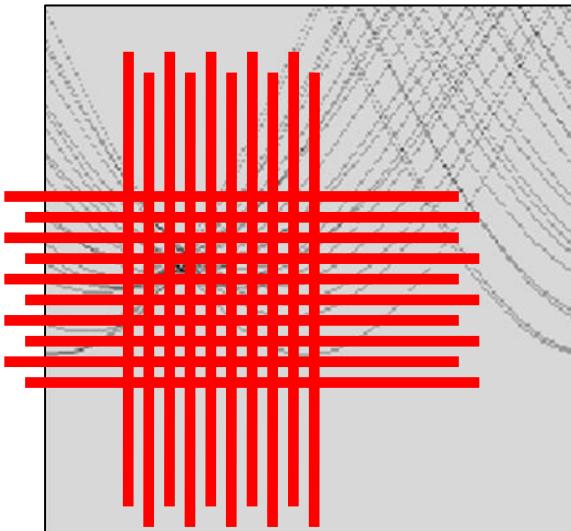
$H$ : accumulator array (votes)



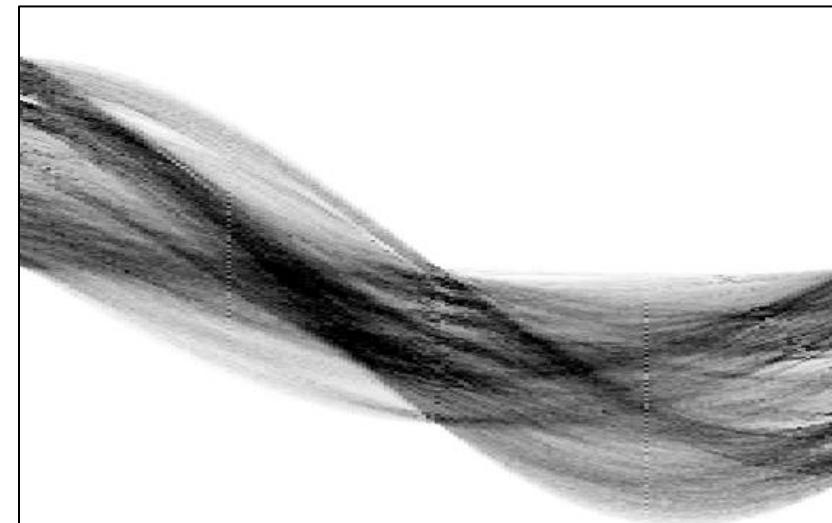
# Hough Transform: Fitting Multiple Lines

- Practical considerations

- Bin size
- Smoothing
- Finding multiple lines
- Finding line segments



Adjusting bin size and the amount of smoothing



Finding multiple lines & line segments

# Application

---

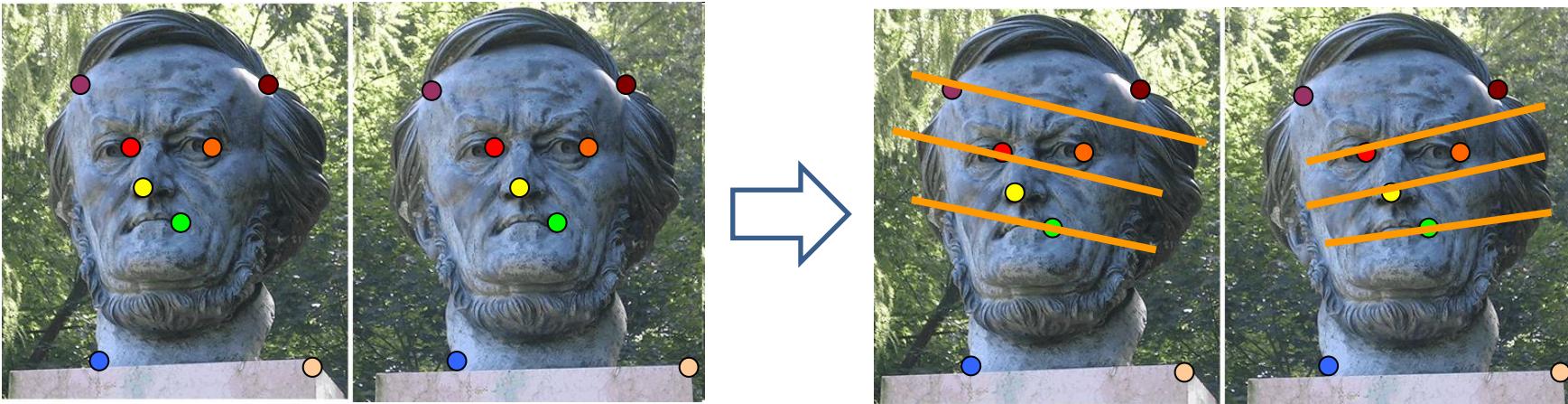


# Hough Transform: Conclusions

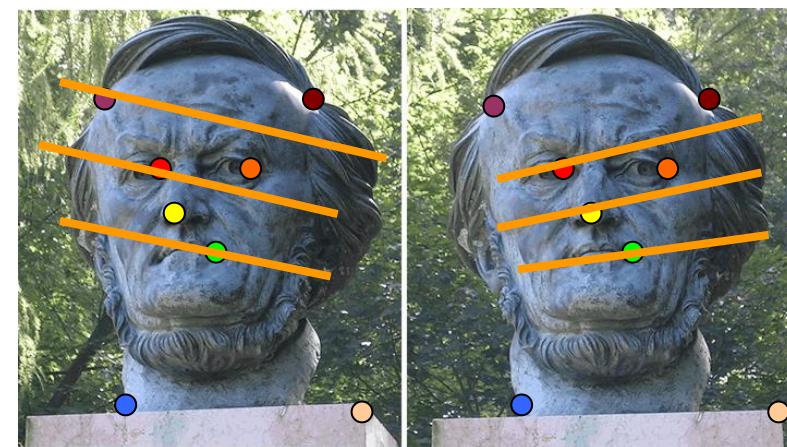
---

- **Good**
  - Robust to outliers: each point votes separately
  - Fairly efficient (much faster than trying all sets of parameters)
  - Provides multiple good fits
- **Bad**
  - Some sensitivity to noise
  - Bin size trades off between noise tolerance, precision, and speed/memory
  - Can be hard to find sweet spot
  - Not suitable for more than a few parameters
  - grid size grows exponentially
- **Common applications**
  - Line fitting (also circles, ellipses, etc.)
  - Object instance recognition (parameters are affine transform)

# Feature Matching and Fitting



Feature matching



Fundamental matrix estimation

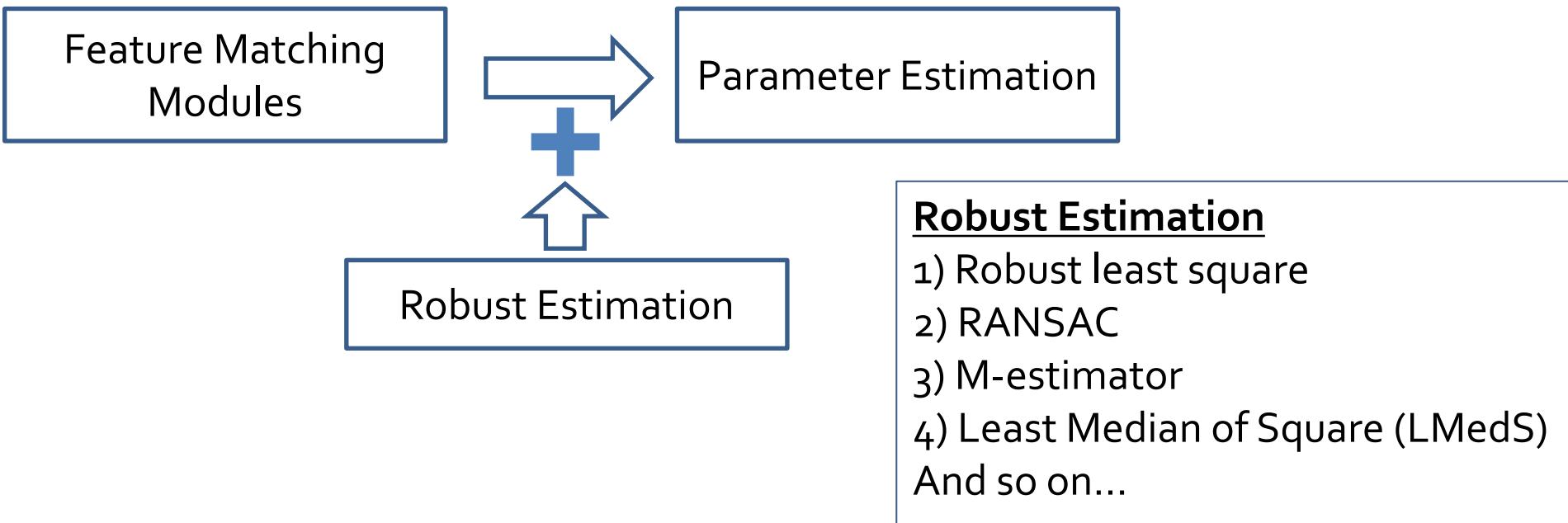


Feature matching



Image stitching using  
geometric transform  
estimation

# Feature Matching and Fitting



## Parameter Examples

- 1) 2D Geometric transform
- 2) Fundamental matrix
- 3) 3D Camera motion  
(3D rotation/translation)
- And so on

## Parameter Estimation

- 1) Least squares fit  
(or Total least square)
- 2) Hough transform
- 3) Iterative Closest Points (ICP)
- And so on

Note) ICP does NOT require feature matching modules

# Next topic

---

- **Images are high-dimensional signals, consisting of redundant pixels.**  
**How can we group them?**
  - Prerequisite
    - Review EBU6230 Image/Video Processing – Week3: Edges

**EBU7240**

# **Computer Vision**

**- Grouping: thresholding, K-means -**

*Semester 1, 2021*

**Changjae Oh**

# Contents

---

- **Unsupervised Segmentation**
  - Thresholding-based segmentation
  - K-mean clustering
- **Interactive segmentation**

# Contents

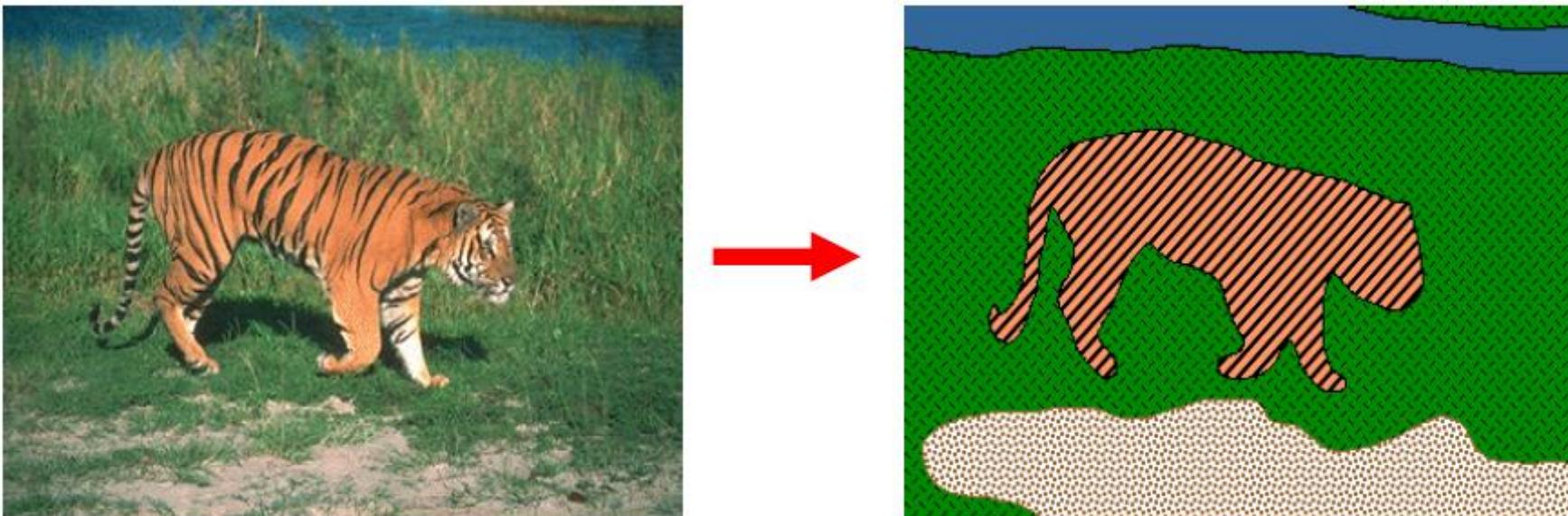
---

- **Unsupervised Segmentation**
  - Thresholding-based segmentation
  - K-mean clustering
- Interactive segmentation

# Introduction

---

- **Image segmentation**
  - Divide an image into **non-overlapping regions (object)** with similar information



# Simple Thresholding

---

- Single threshold

A pixel becomes  $\begin{cases} \text{white if its gray level is } > T, \\ \text{black if its gray level is } \leq T. \end{cases}$

```
>> r=imread('rice.tif');
>> imshow(r), figure, imshow(r>110)
```



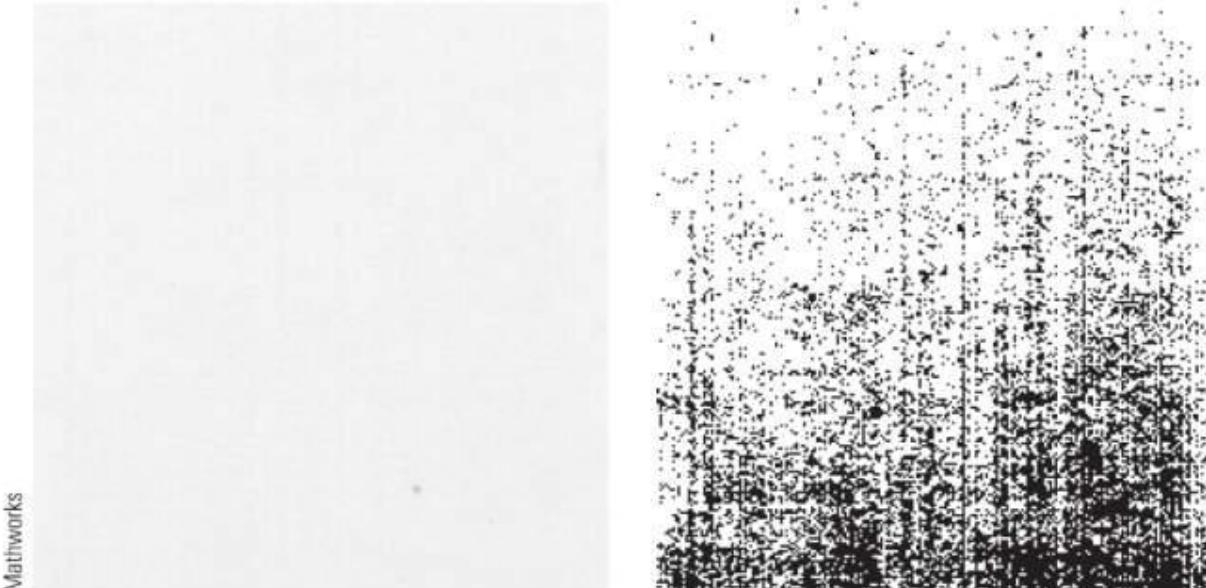
CRC Press

FIGURE 9.1 Thresholded image of rice grains.

# Simple Thresholding

- **Single threshold**
  - Shows the hidden aspects of an image

```
>> p=imread('paper1.tif');  
>> imshow(p), figure, imshow(p>241)
```



Mathworks

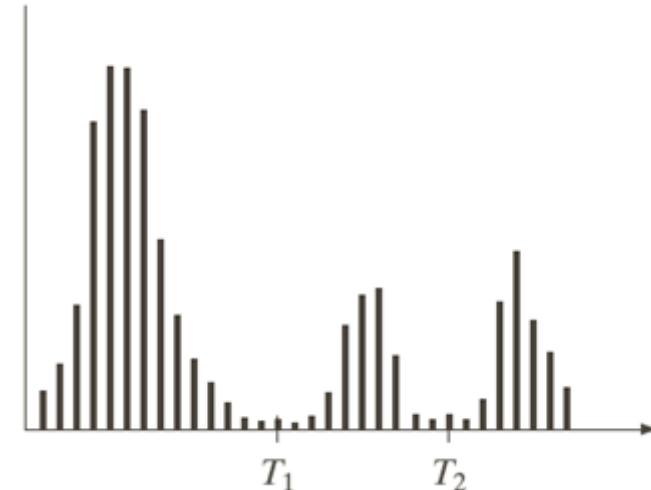
FIGURE 9.3 The paper image and result after thresholding.

# Simple Thresholding

---

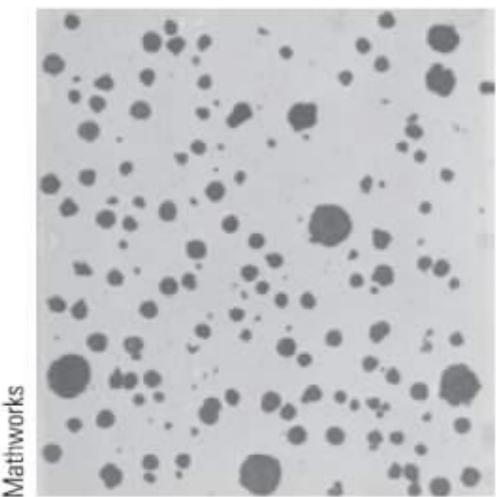
- Double Thresholding

$$g(x, y) = \begin{cases} a & \text{if } f(x, y) > T_2 \\ b & \text{if } T_1 < f(x, y) \leq T_2 \\ c & \text{if } f(x, y) \leq T_1 \end{cases}$$

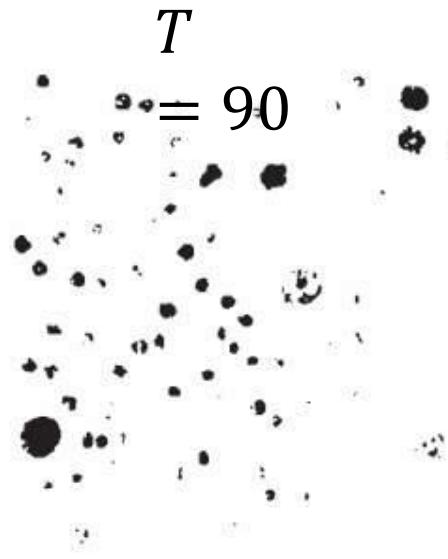


# How to predict appropriate threshold?

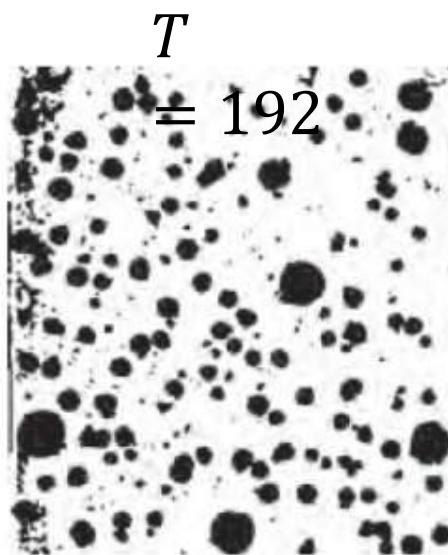
```
>> n=imread('nodules1.tif');
>> imshow(n);
>> n1=im2bw(n,0.35);
>> n2=im2bw(n,0.75);
>> figure,imshow(n1),figure,imshow(n2)
```



n: Original image



n1: Threshold too low

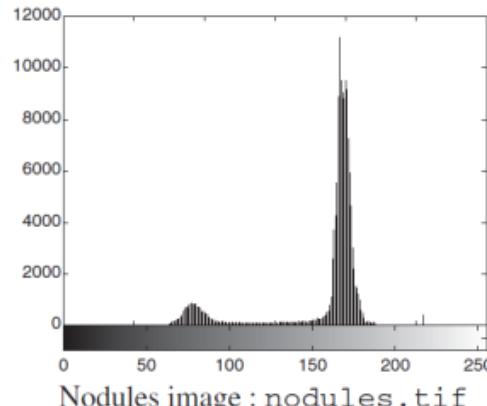


n2: Threshold too high

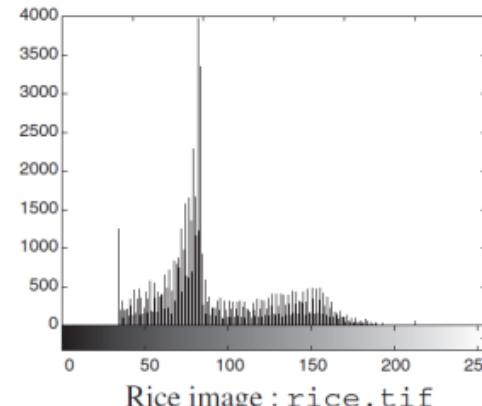
FIGURE 9.6 Attempts at thresholding.

# How to predict appropriate threshold?

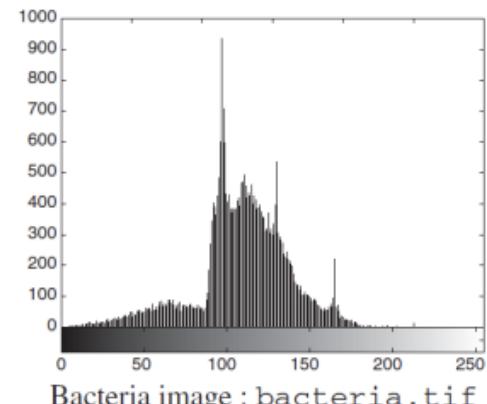
- Watch out the histogram
  - The threshold can exist between two dominant distributions.



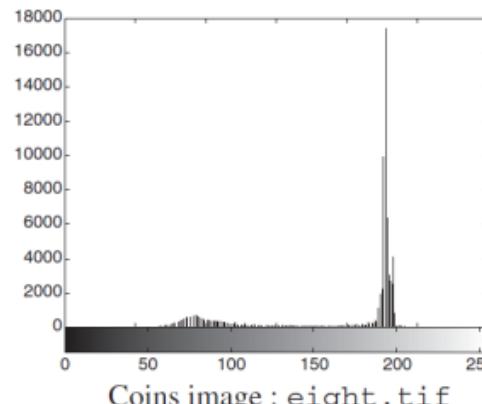
Nodules image : nodules.tif



Rice image : rice.tif



Bacteria image : bacteria.tif



Coins image : eight.tif

FIGURE 9.1 Histograms.

# How to predict appropriate threshold?

- **Watch out the histogram**
  - The threshold can exist between two dominant distributions.

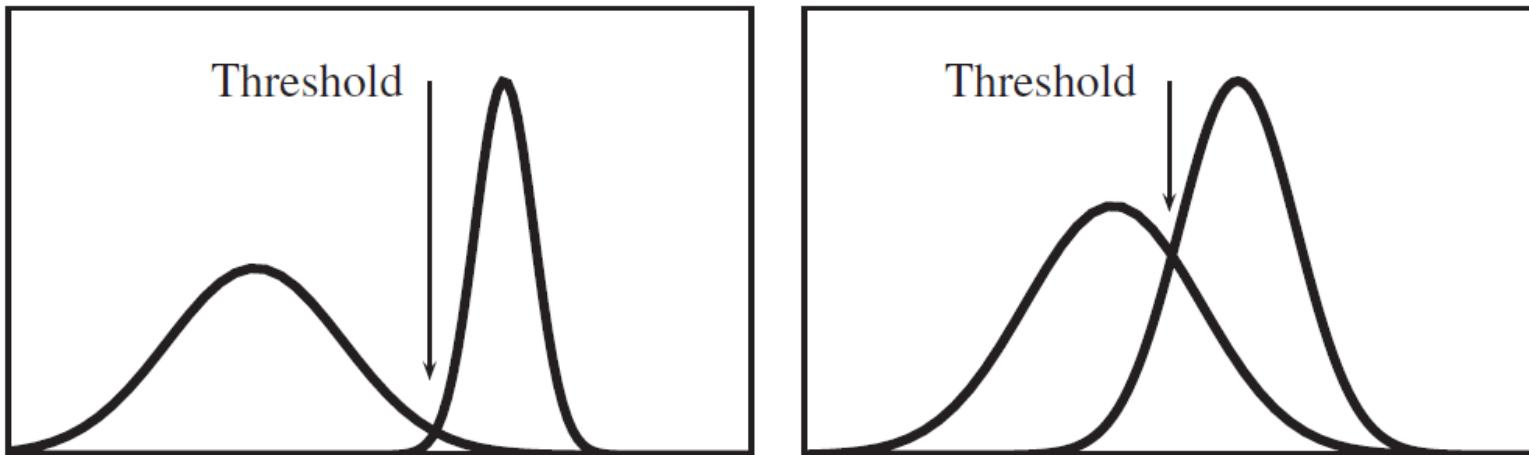
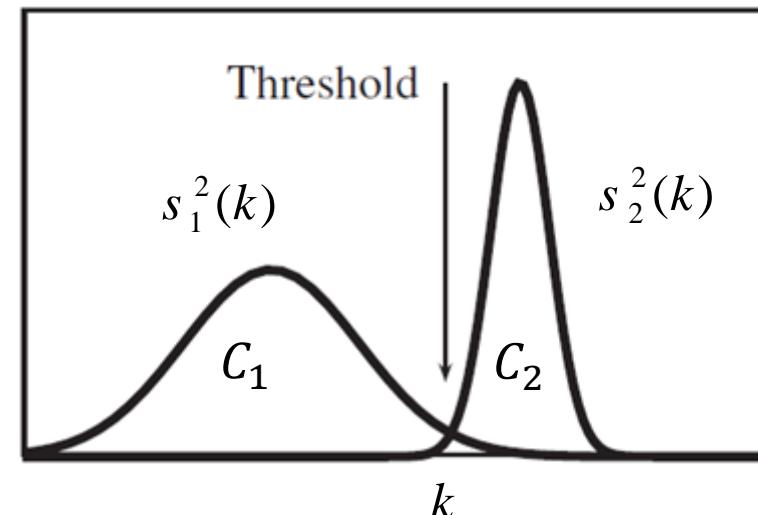


FIGURE 9.8 *Splitting up a histogram for thresholding.*

# Otsu's Method: Optimum Global Thresholding

- Basic assumption: **bimodal** signal (two representative distributions)
- Key idea: Exhaustively search for the threshold that minimizes the within-class variance (the variance within the class)
  - **Minimizing** the within-class (intra-class) variance  $\sigma_W^2(k)$ :  
A weighted sum of variances of the two classes  $C_1$  and  $C_2$   
**||**
  - **Maximizing** the between-class (inter-class) variance  $\sigma_B^2(k)$



# Otsu's Method: Optimum Global Thresholding

- The within-class (intra-class) variance

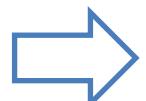
$$s_w^2(k) = q_1(k)s_1^2(k) + q_2(k)s_2^2(k)$$

$q_1(k)$ ,  $m_1(k)$ , and  $\sigma_1^2(k)$ : probability sum, mean, and variance at  $C_1$  ( $0 \sim k$ )

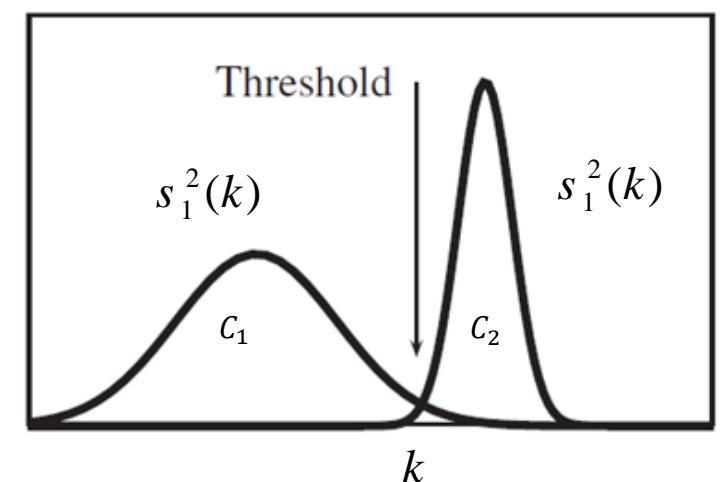
$q_2(k)$ ,  $m_2(k)$ , and  $\sigma_2^2(k)$ : probability sum, mean, and variance at  $C_2$  ( $k + 1 \sim L - 1$ )

- You can simply seek the optimal threshold  $k_{opt}$  by minimizing the within-class variance.

$$k_{opt} = \arg \min_k s_w^2(k)$$



Using threshold  $k_{opt}$ , segment an image into two regions



For  $M \times N$  image with  $L$  intensity levels,

$n(i)$ : the number of pixels with intensity  $i$

$$p(i) = \frac{n(i)}{MN} \quad \sum_{i=0}^{L-1} p(i) = 1$$

$q_1(k)$ ,  $m_1(k)$ , and  $\sigma_1^2(k)$ : probability sum, mean, and variance at  $C_1$  ( $0 \sim k$ )

$q_2(k)$ ,  $m_2(k)$ , and  $\sigma_2^2(k)$ : probability sum, mean, and variance at  $C_2$  ( $k+1 \sim L-1$ )

$$q_1(k) = \sum_{i=0}^k p(i)$$

$$q_2(k) = \sum_{i=k+1}^{L-1} p(i)$$

$$m_1(k) = \frac{\sum_{i=0}^k ip(i)}{\sum_{i=0}^k p(i)} = \frac{1}{q_1(k)} \sum_{i=0}^k ip(i)$$

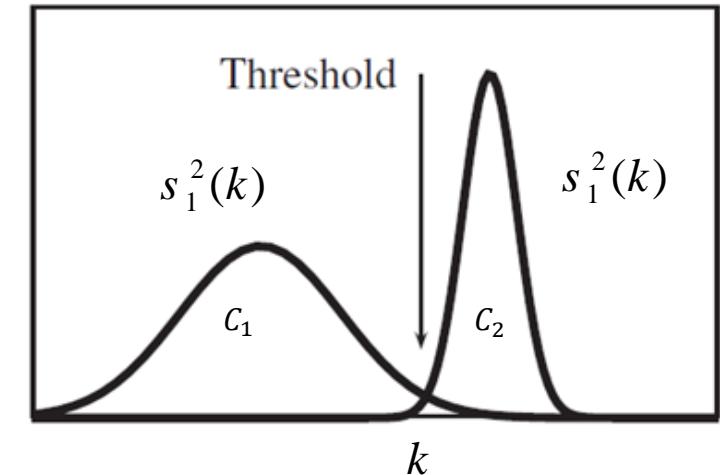
$$m_2(k) = \frac{\sum_{i=k+1}^{L-1} ip(i)}{\sum_{i=k+1}^{L-1} p(i)} = \frac{1}{q_2(k)} \sum_{i=k+1}^{L-1} ip(i)$$

$$\sigma_1^2(k) = \frac{1}{q_1(k)} \sum_{i=0}^k [i - m_1(k)]^2 p(i)$$

$$= \frac{1}{q_1(k)} \sum_{i=0}^k i^2 p(i) - m_1^2(k)$$

$$\sigma_2^2(k) = \frac{1}{q_2(k)} \sum_{i=k+1}^{L-1} [i - m_2(k)]^2 p(i)$$

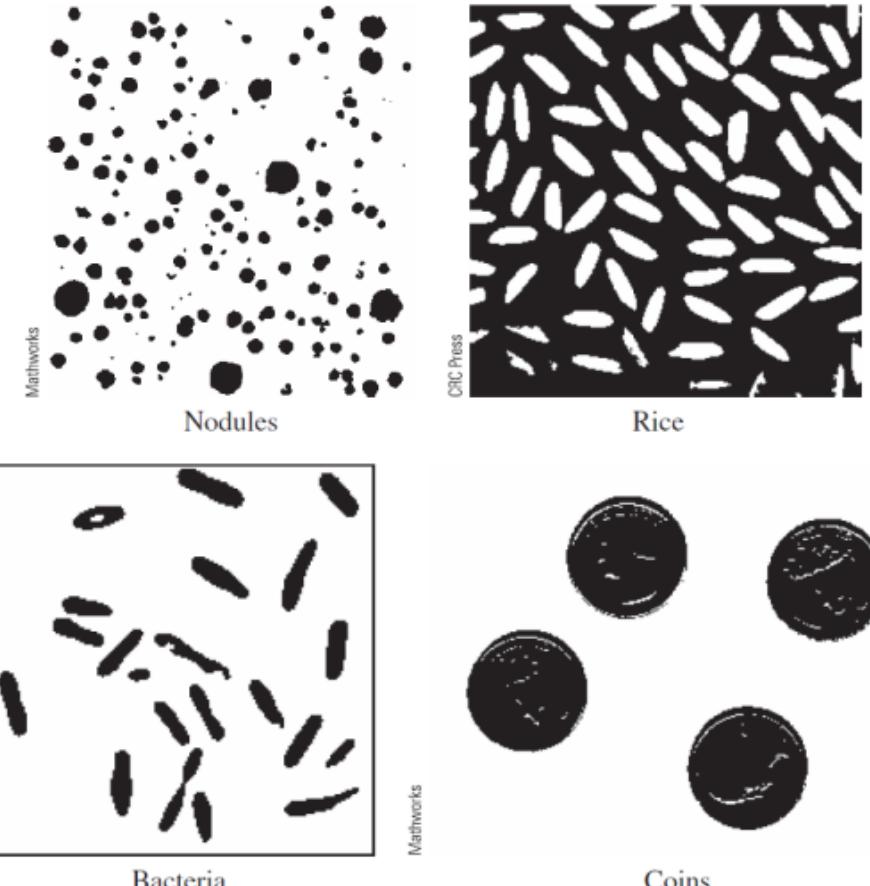
$$= \frac{1}{q_2(k)} \sum_{i=k+1}^{L-1} i^2 p(i) - m_2^2(k)$$



# Otsu's method in MATLAB

```
>> tn=graythresh(n)  
  
tn =  
  
0.5804  
  
>> r=imread('rice.tif');  
>> tr=graythresh(r)  
  
tr =  
  
0.4902  
  
>> b=imread('bacteria.tif');  
>> tb=graythresh(b)  
  
tb =  
  
0.3765  
  
>> e=imread('eight.tif');  
>> te=graythresh(e)  
  
te =  
  
0.6490
```

```
>> imshow(im2bw(n,tn))  
>> figure,imshow(im2bw(r,tr))  
>> figure,imshow(im2bw(b,tb))  
>> figure,imshow(im2bw(e,te))
```



Note) An image is assumed to be normalized to 0~1.

# Adaptive Thresholding Using Moving Averages

---

- Adaptive thresholding based on moving averages
  - Works well when objects are small with respect to an image size.
  - Quite useful in document processing.

$$g(i, j) = \begin{cases} 1 & \text{if } I(i, j) > T(i, j) \\ 0 & \text{otherwise} \end{cases}$$

$$T(i, j) = b \times m(i, j)$$

Using the mean intensity  $m(i, j)$

$$\begin{aligned} m(i, j) \\ = \sum_{s=-a}^a \sum_{t=-b}^b w(s, t)I(i + s, j + t) \end{aligned}$$

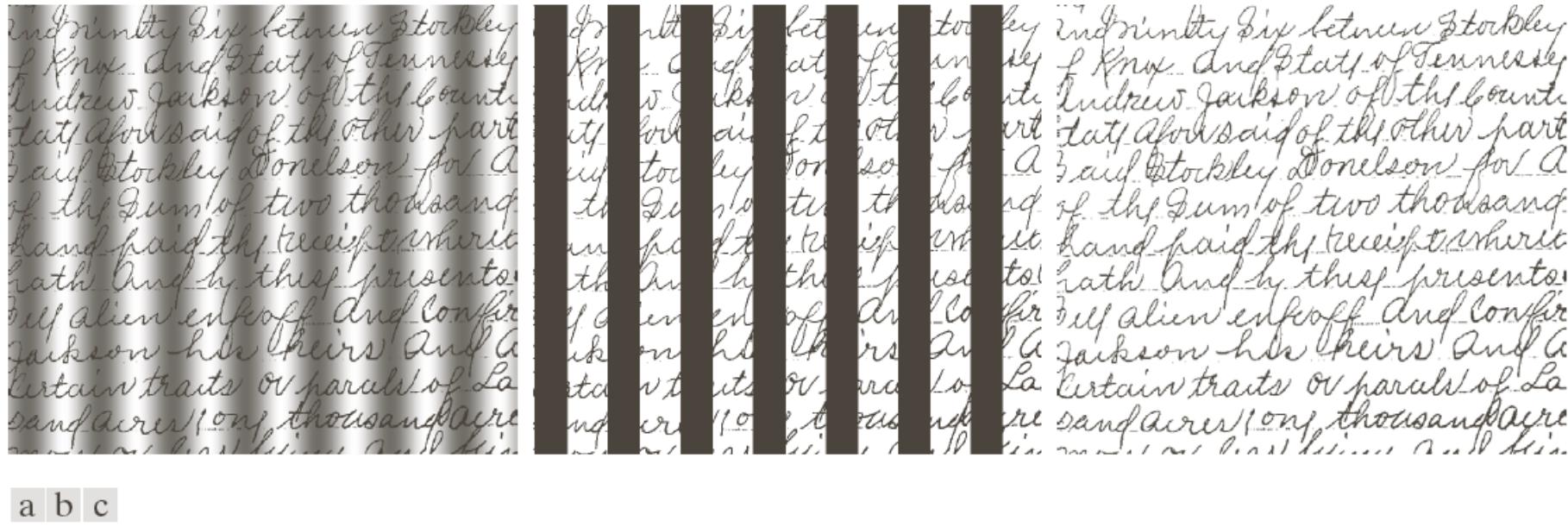
# Adaptive Thresholding Using Moving Averages



**FIGURE 10.49** (a) Text image corrupted by spot shading. (b) Result of global thresholding using Otsu's method. (c) Result of local thresholding using moving averages.

[From “Digital Image Processing”, Rafael C. Gonzalez and Richard Eugene Woods]

# Adaptive Thresholding Using Moving Averages



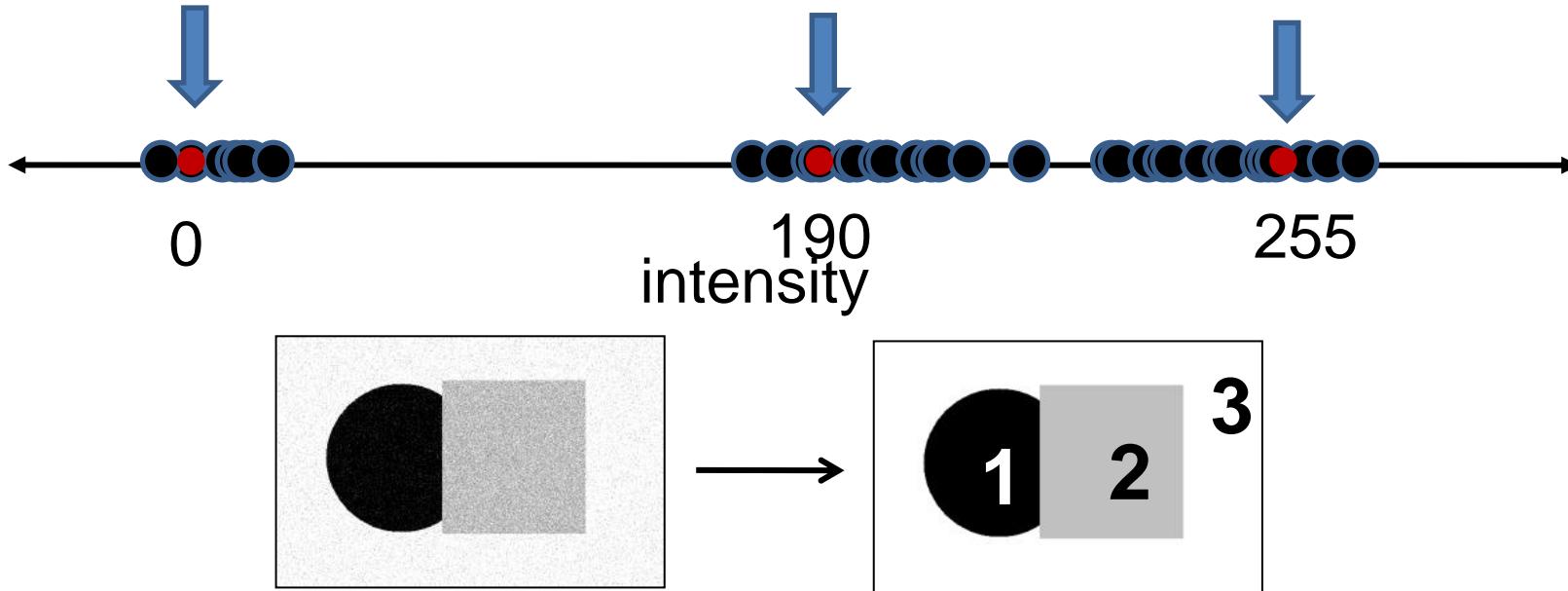
**FIGURE 10.50** (a) Text image corrupted by sinusoidal shading. (b) Result of global thresholding using Otsu's method. (c) Result of local thresholding using moving averages.

[From “Digital Image Processing”, Rafael C. Gonzalez and Richard Eugene Woods]

# Contents

---

- **Image Segmentation**
  - Thresholding
  - Simple thresholding
  - Otsu method
  - Adaptive thresholding
- **K-mean clustering**



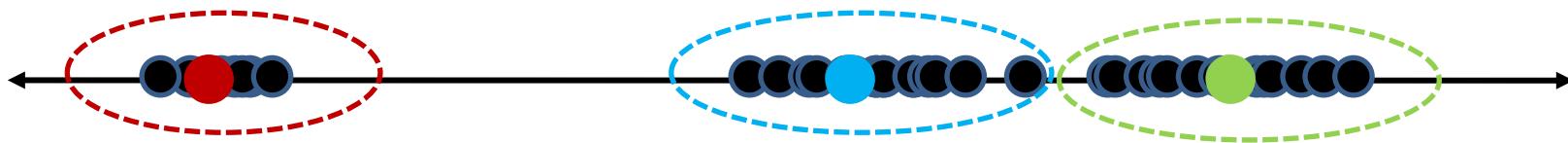
- Goal: choose three “centers” as the *representative* intensities, and label every pixel according to which of these centers it is nearest to.
- Best cluster centers are those that minimize SSD between all points and their nearest cluster center  $c_i$ :

$$\sum_{\text{clusters } i} \sum_{\text{points } p \text{ in cluster } i} \|p - c_i\|^2$$

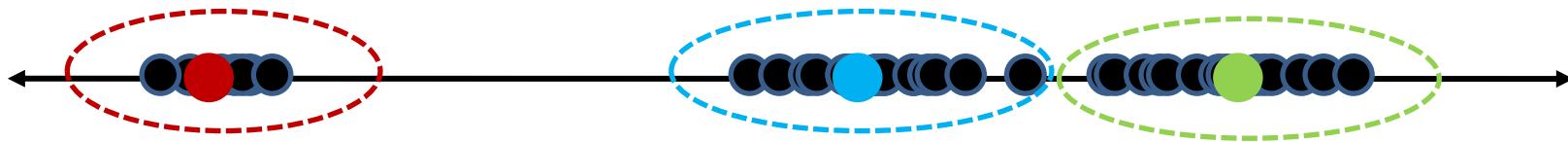
# Clustering

---

- With this objective, it is a “*chicken and egg*” problem:
  - If we knew the **cluster centers**, we could allocate points to groups by assigning each to its closest center.



- If we knew the **group memberships**, we could get the centers by computing the mean per group.



# K-means Clustering

- Basic idea: randomly initialize the  $k$  cluster centers, and iterate between the two steps we just saw.

1. Randomly initialize the cluster centers,  $c_1, \dots, c_K$
2. Given cluster centers, determine points in each cluster
  - For each point  $p$ , find the closest  $c_i$ . Put  $p$  into cluster  $i$
3. Given points in each cluster, solve for  $c_i$ 
  - Set  $c_i$  to be the mean of points in cluster  $i$
4. If  $c_i$  have changed, repeat Step 2



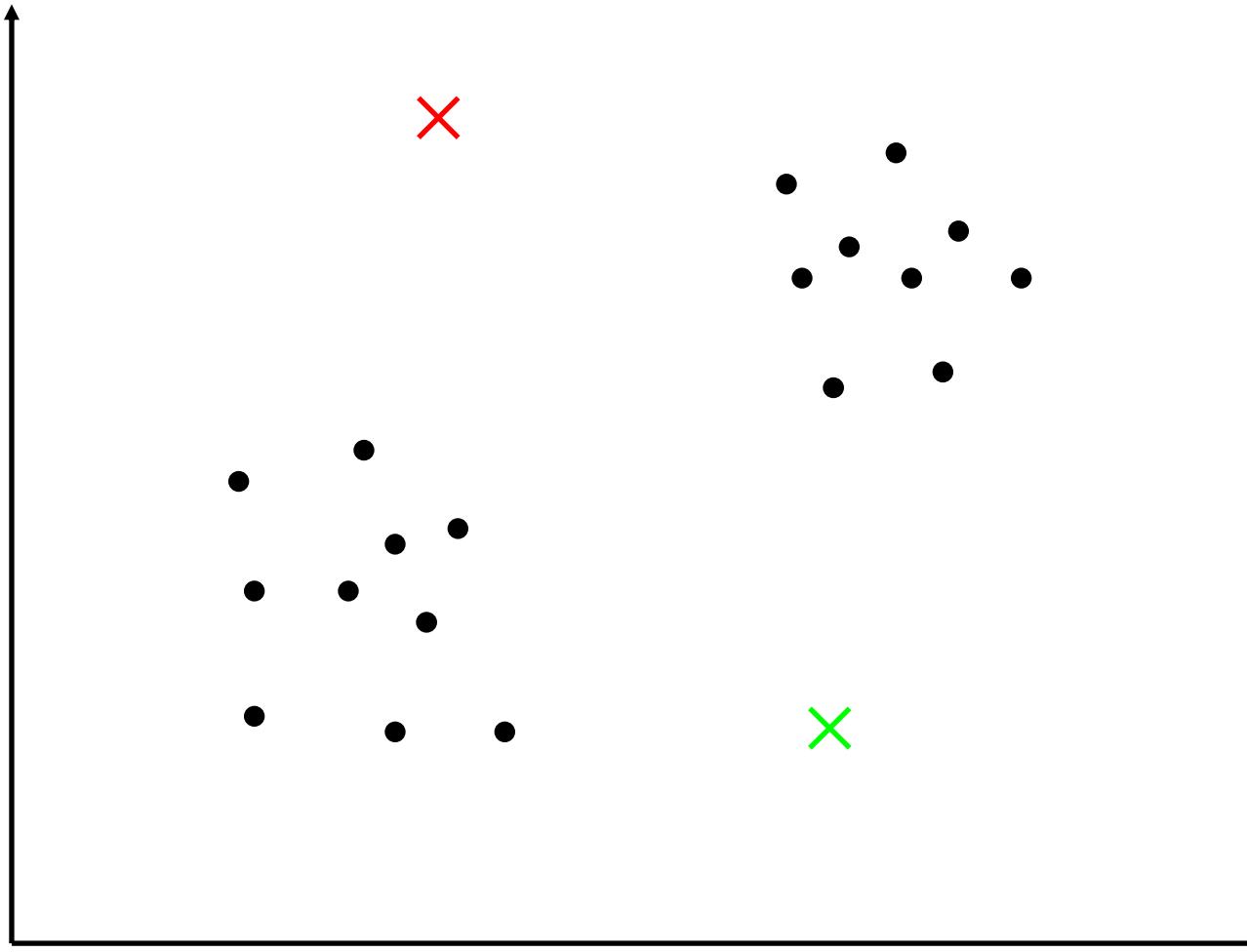
## Properties

- Will always converge to *some* solution
- Can be a “local minimum”
  - does not always find the global minimum of objective function:

$$\sum_{\text{clusters } i} \sum_{\text{points } p \text{ in cluster } i} \|p - c_i\|^2$$

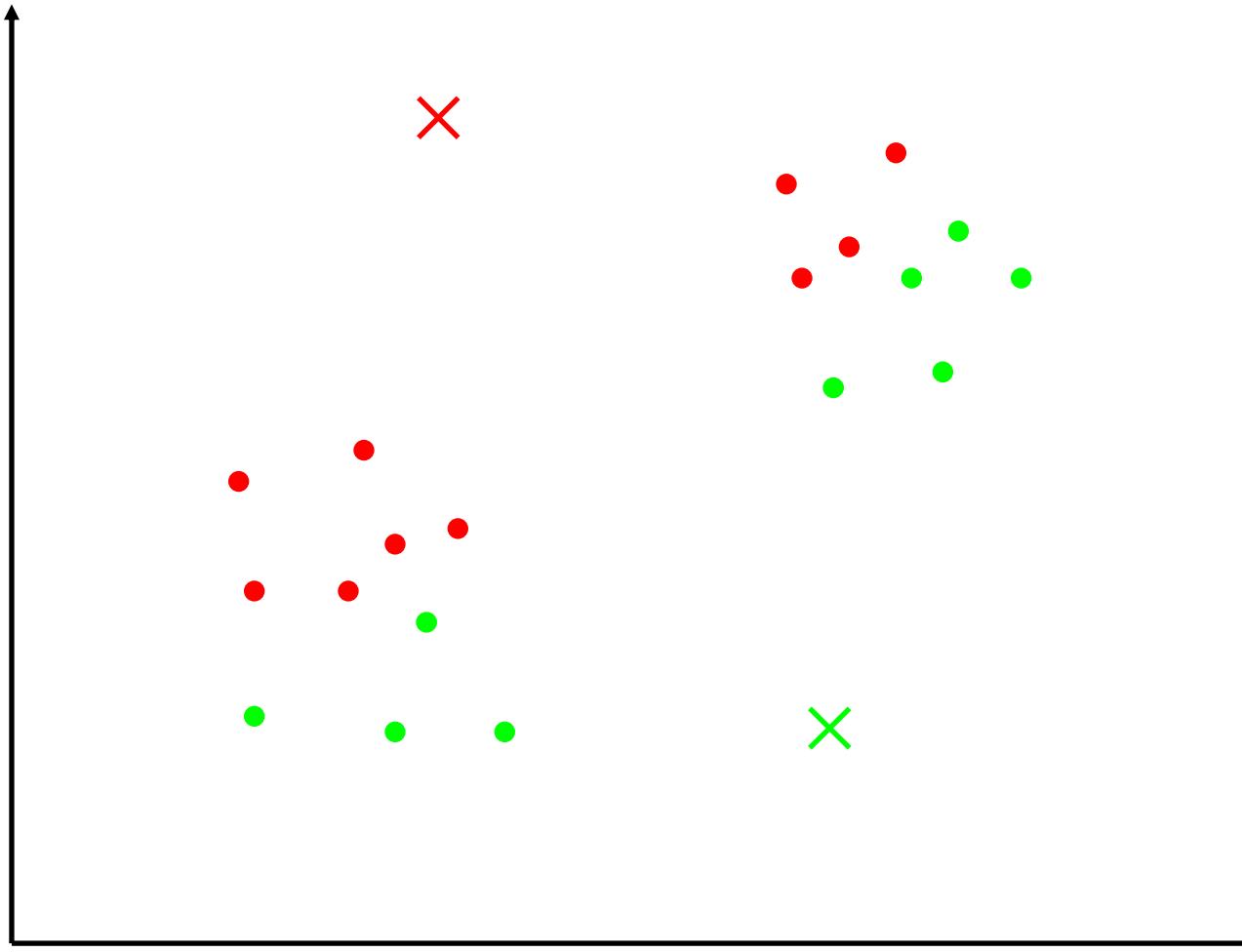
# K-means Clustering

---



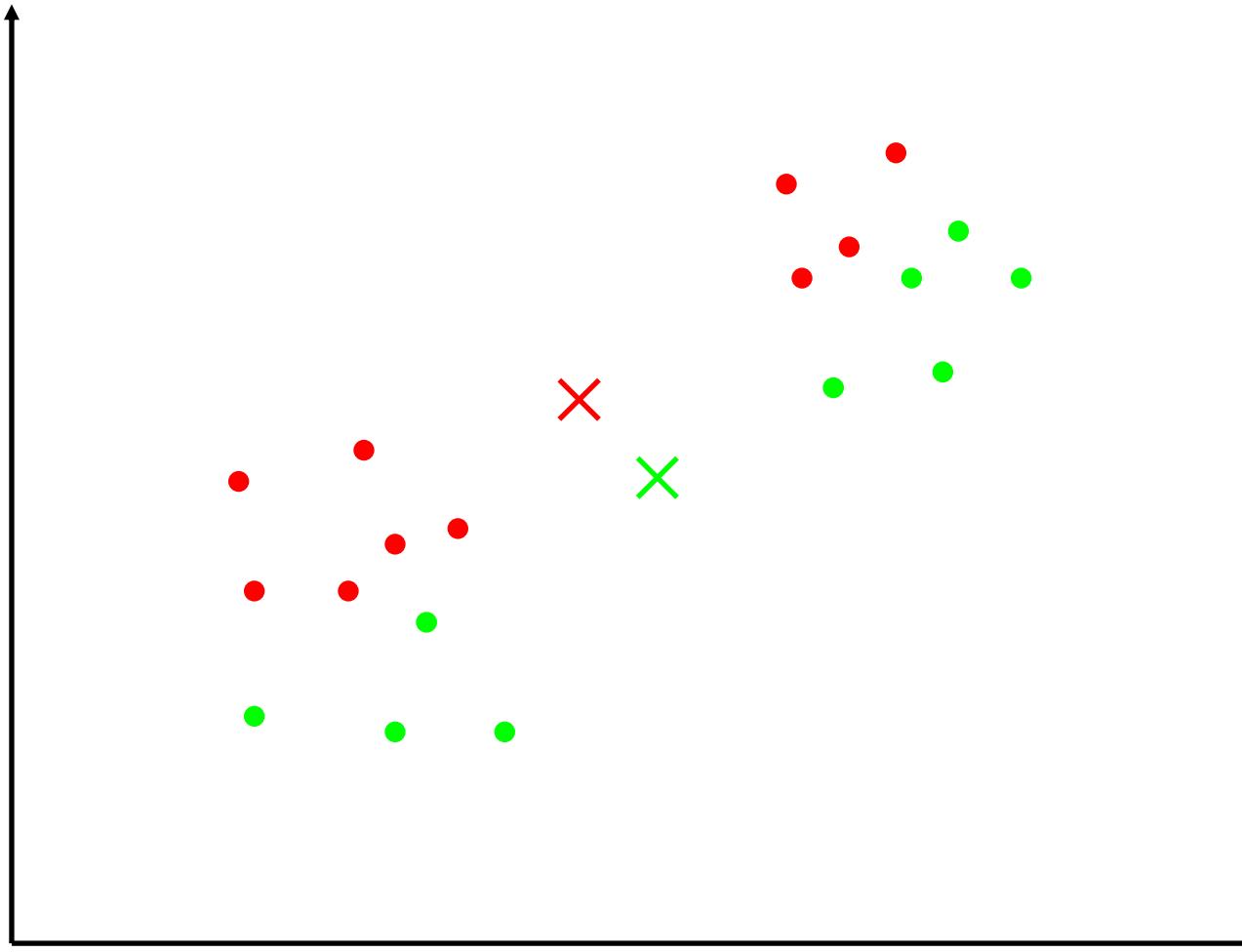
# K-means Clustering

---



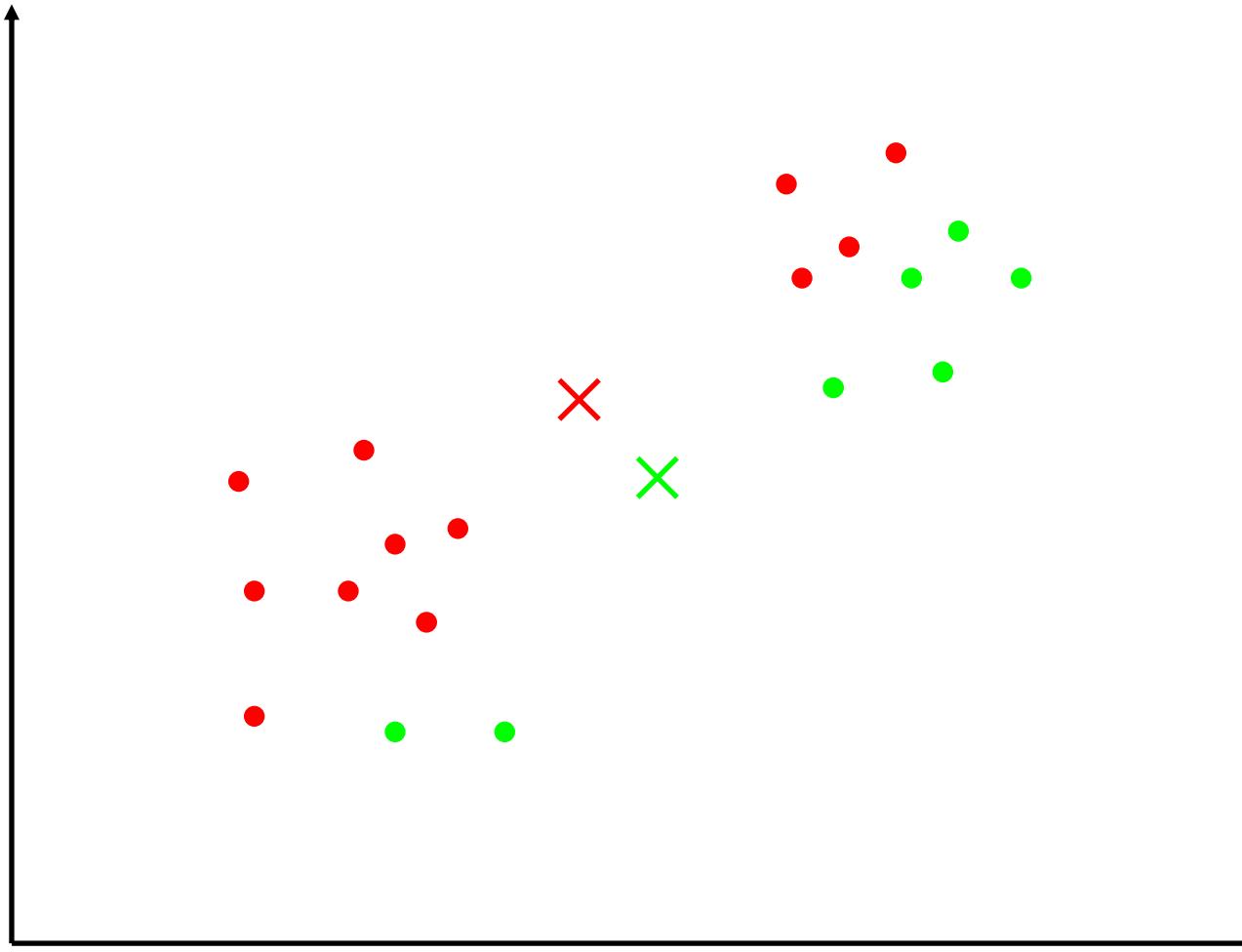
# K-means Clustering

---



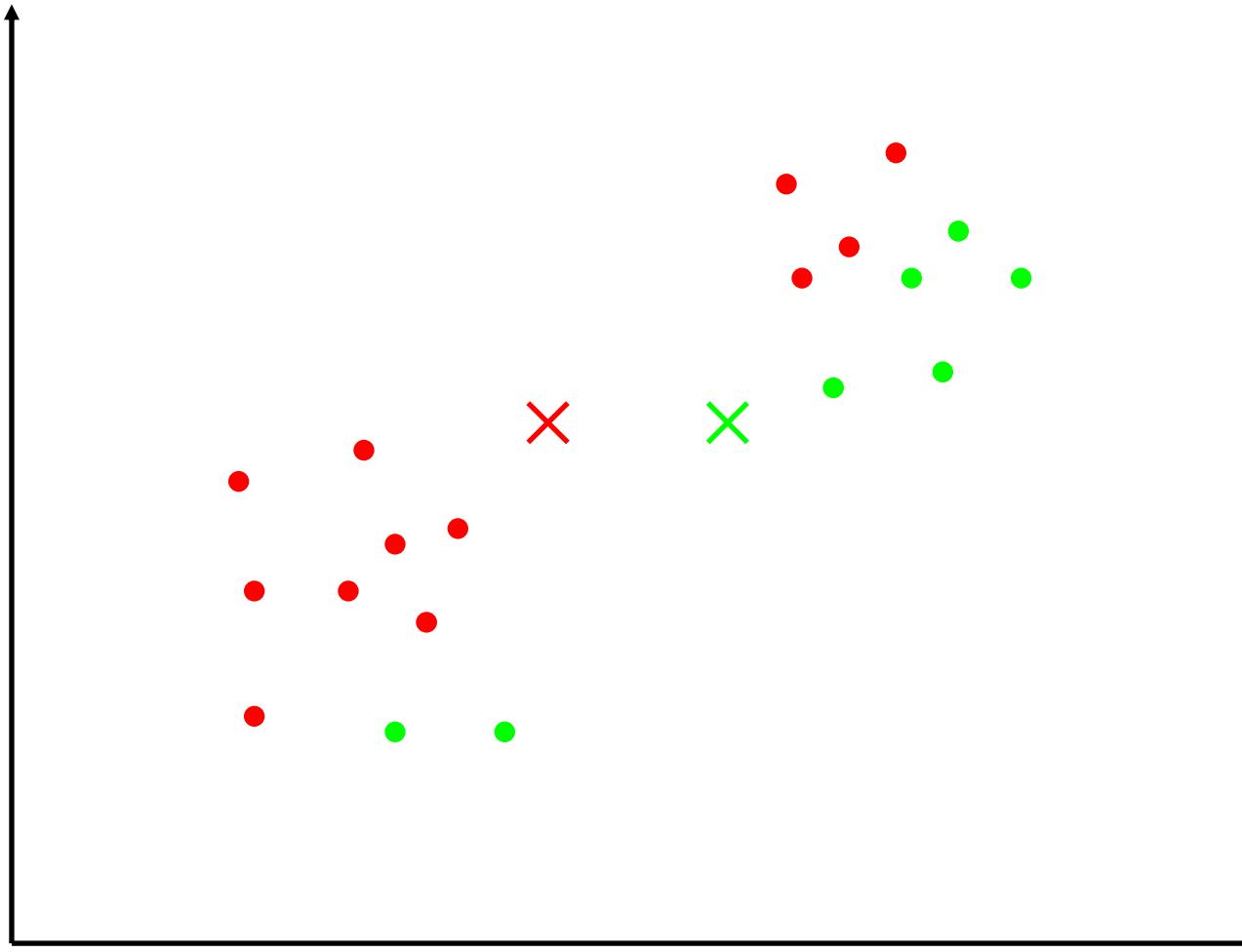
# K-means Clustering

---



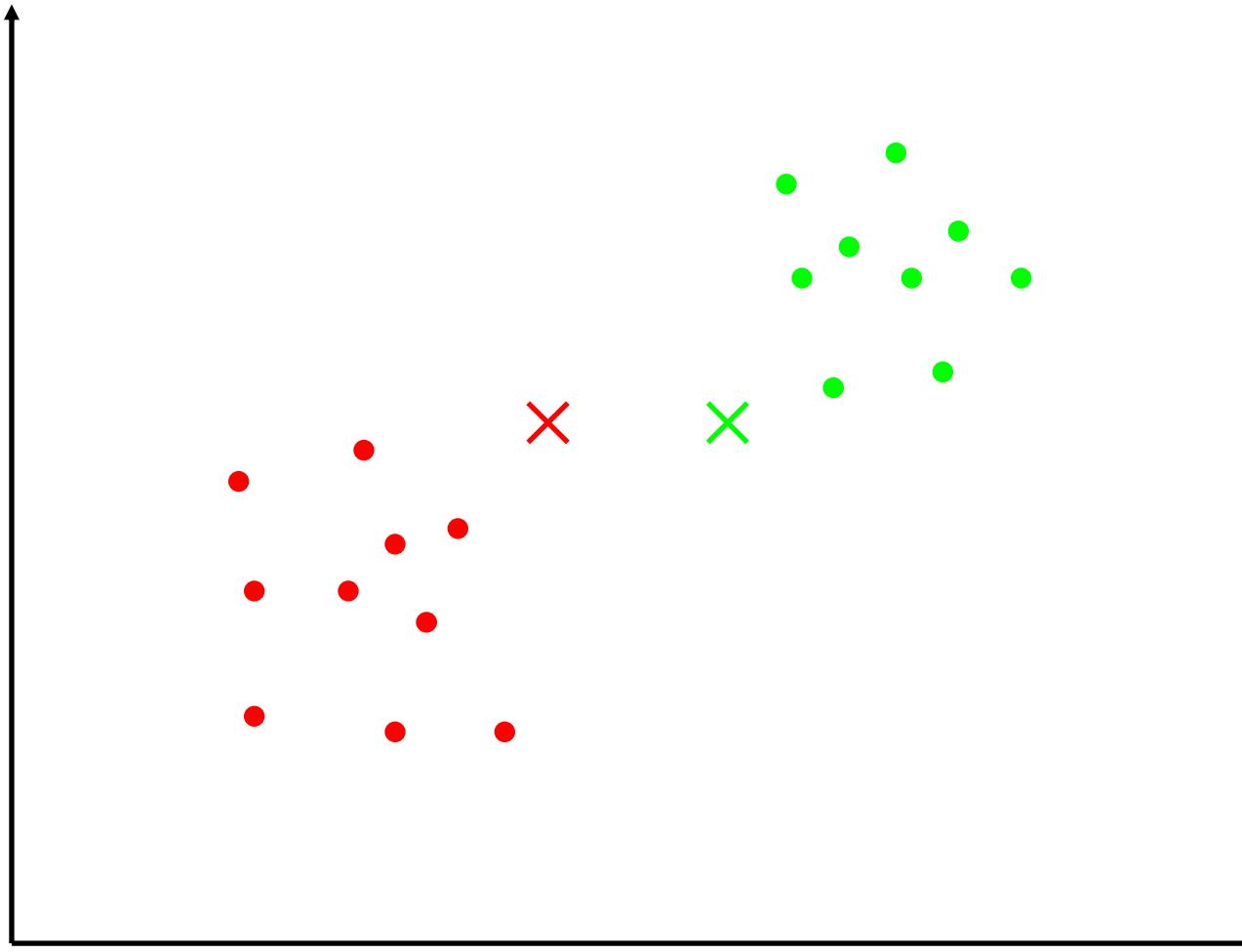
# K-means Clustering

---



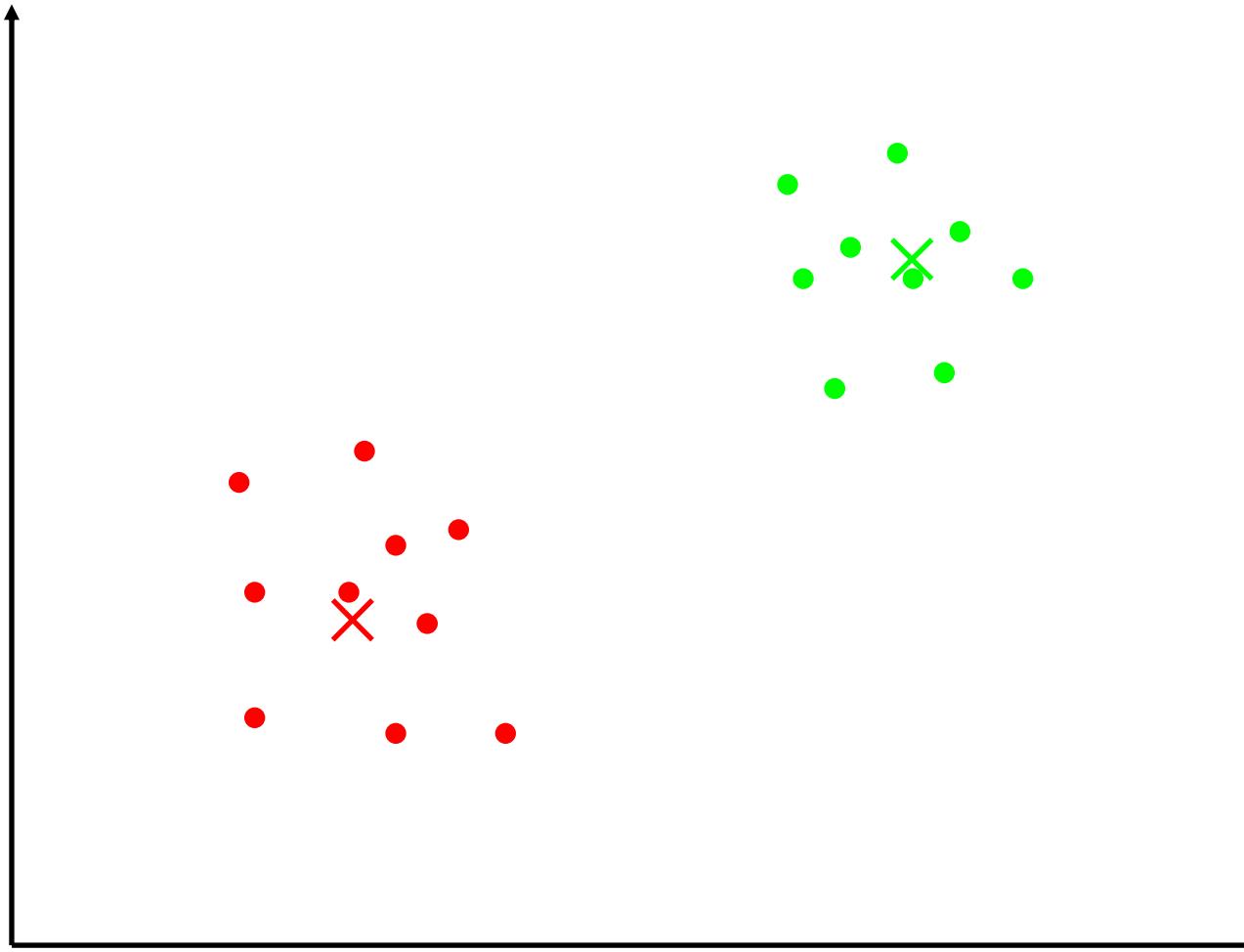
# K-means Clustering

---



# K-means Clustering

---



# Feature Space on Image Segmentation

---

- Depending on what we choose as the *feature space*, we can group pixels in different ways.
  - Grouping pixels based on **intensity** similarity



- Clusters based on intensity similarity don't have to be spatially coherent.
- **Feature space: intensity value (1-d)**



# Feature Space on Image Segmentation



K=2



```
img_as_col = double(im(:));
cluster_membs = kmeans(img_as_col, K);

labelim = zeros(size(im));
for i=1:k
    inds = find(cluster_membs==i);
    meanval = mean(img_as_column(inds));
    labelim(inds) = meanval;
end
```

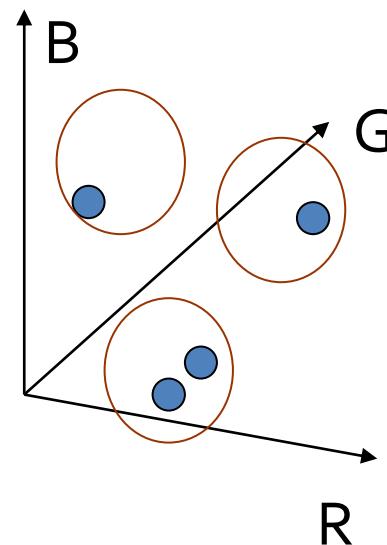
K=3



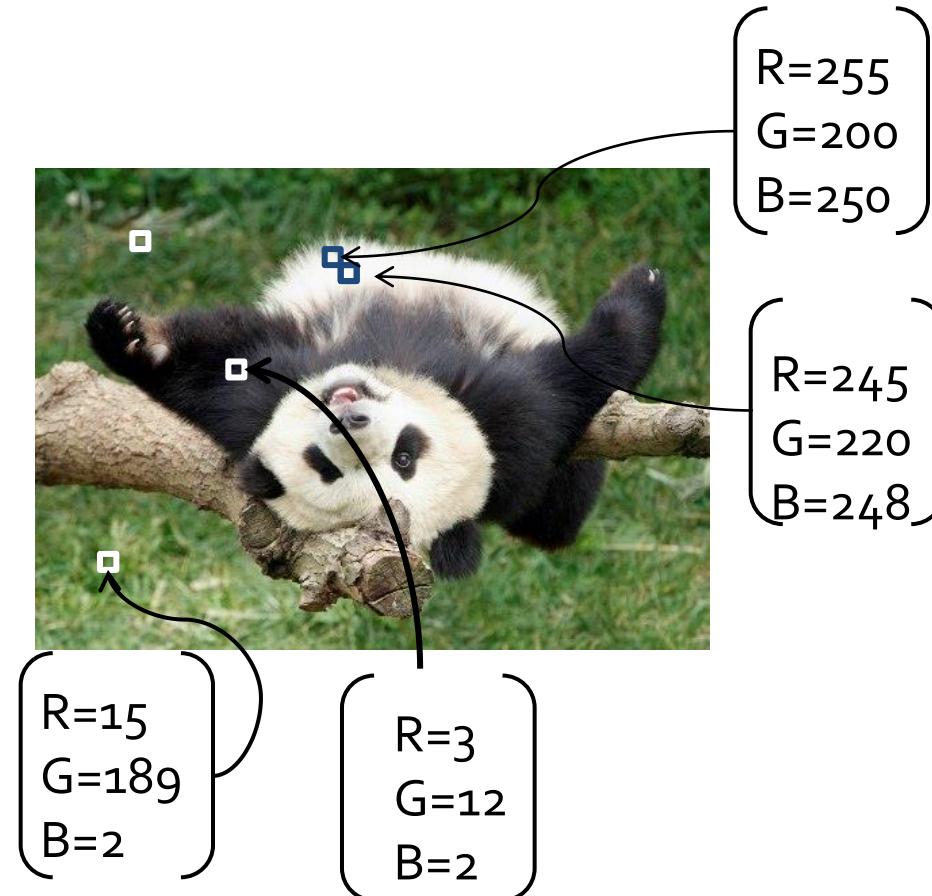
# Feature Space on Image Segmentation

- Depending on what we choose as the *feature space*, we can group pixels in different ways.

Grouping pixels based on  
**color** similarity



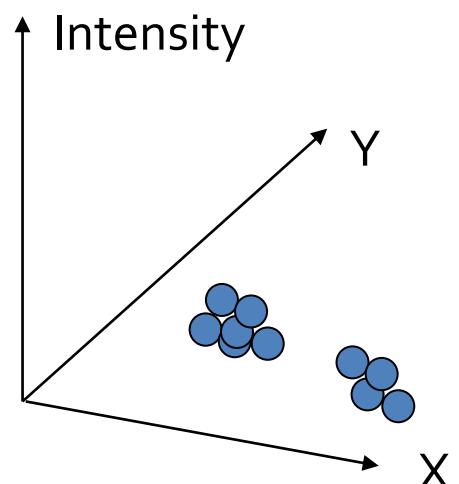
**Feature space: color value (3-d)**



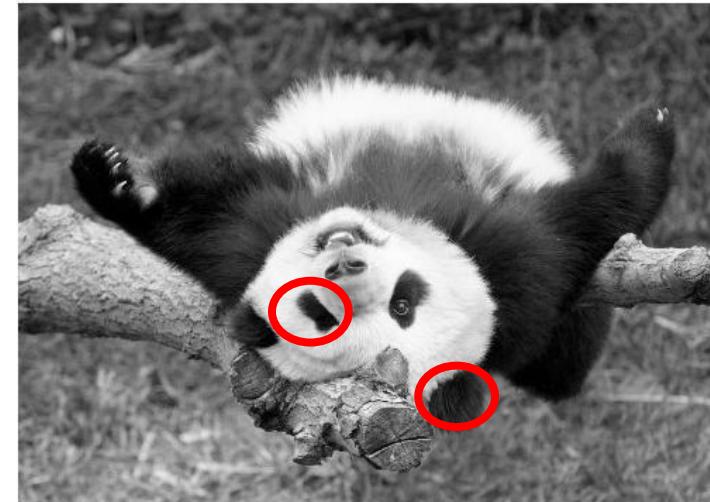
# Feature Space on Image Segmentation

- Depending on what we choose as the *feature space*, we can group pixels in different ways.

Grouping pixels based on  
**intensity+position** similarity



**Feature space: 3-d**

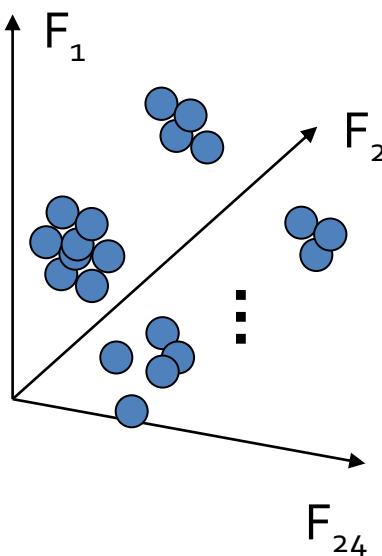


Both regions are black, but if we also include **position ( $x,y$ )**, then we could group the two into distinct segments; way to encode both similarity & proximity.

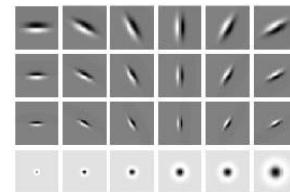
# Feature Space on Image Segmentation

- Depending on what we choose as the *feature space*, we can group pixels in different ways.

Grouping pixels based on  
**texture** similarity



Feature space: filter bank responses (e.g., 24-d)



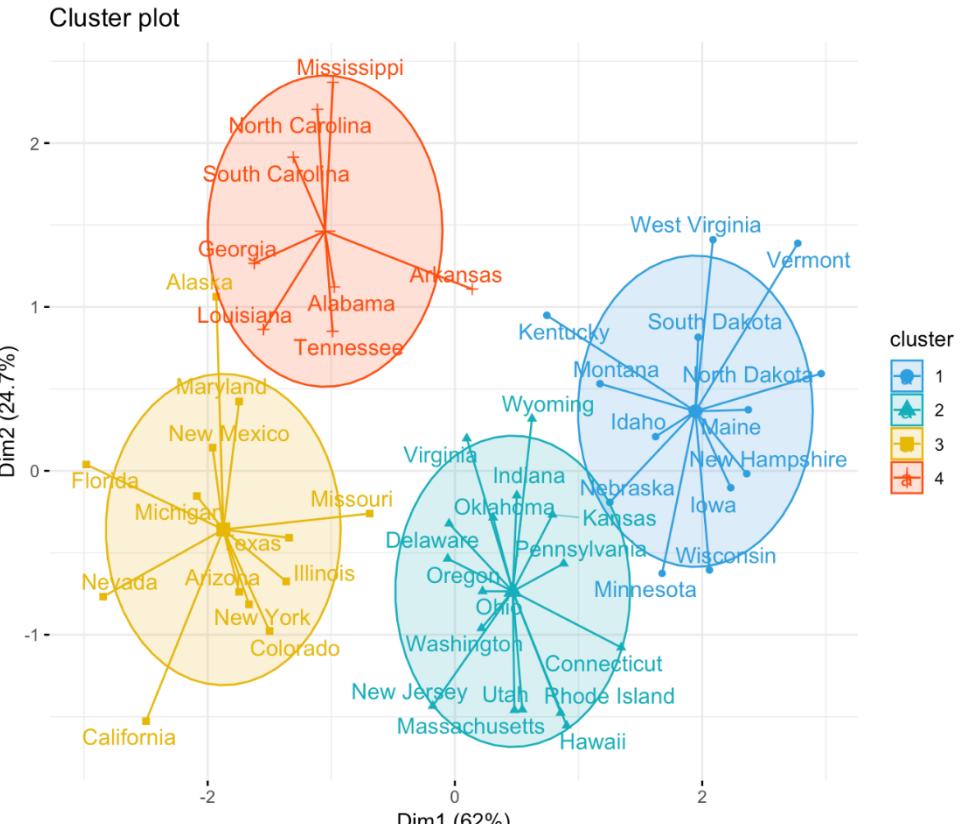
Filter bank of  
24 filters

# Applications

- Not just for images!



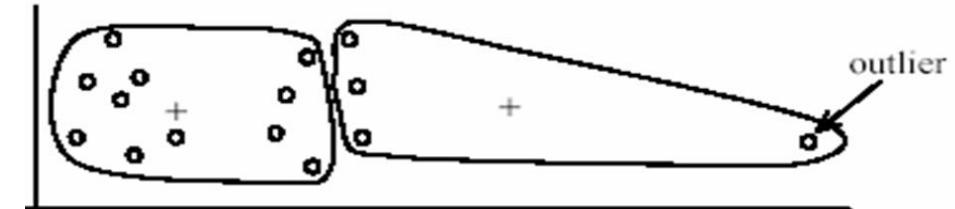
Crime localisation



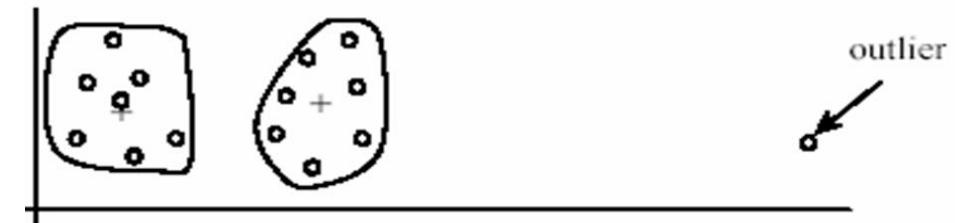
Data analysis

# K-means: Summary

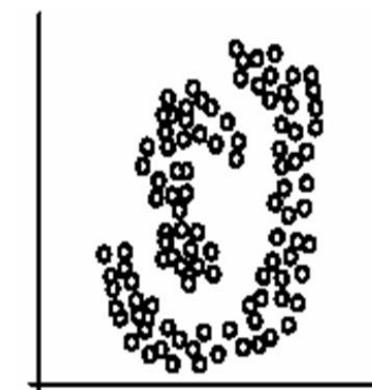
- **Pros**
  - Simple, fast to compute
  - Converges to local minimum of within-cluster squared error
- **Cons/issues**
  - Setting k?
  - Sensitive to initial centers
  - Sensitive to outliers
  - Detects spherical clusters
  - Assuming means can be computed



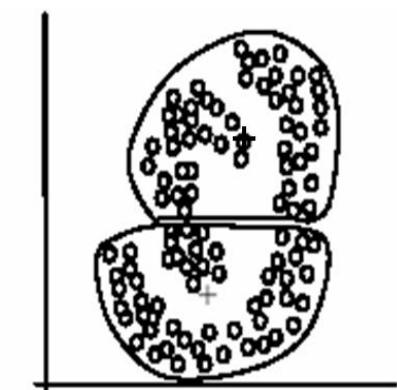
(A): Undesirable clusters



(B): Ideal clusters



(A): Two natural clusters



(B):  $k$ -means clusters

# Contents

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- **Unsupervised Segmentation**
  - Thresholding-based segmentation
  - K-mean clustering
- **Interactive segmentation**

# “Traditional” image segmentation

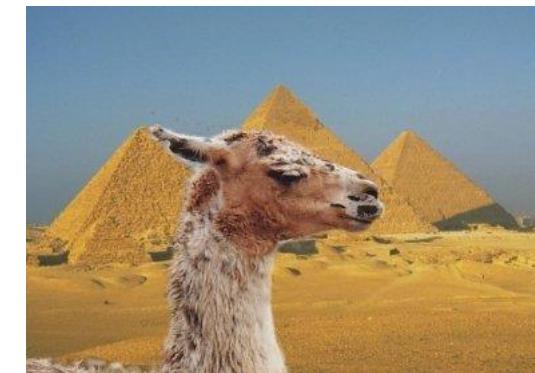
---



# Segmentation as labeling

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- Suppose we want to segment an image into foreground and background
  - Binary pixel labeling problem



# Segmentation as labeling

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- Suppose we want to segment an image into foreground and background
  - Binary pixel labeling problem
  - Naturally arises in interactive settings



User scribbles

# Labeling by energy minimization

- Define a labeling  $c$  as an assignment of each pixel to a class (foreground or background)



- Find the labeling that minimizes a global energy function:

$$E(\mathbf{c} | \mathbf{x}) = \sum_i f_i(c_i, \mathbf{x}) + \sum_{i,j \in e} g_{ij}(c_i, c_j, \mathbf{x})$$

*Pixels*      *Unary potential (local data term): score for pixel  $i$  and label  $c_i$*       *Neighboring pixels*      *Pairwise potential (context or smoothing term)*

- These are known as Markov Random Field (MRF) or Conditional Random Field (CRF) functions

# Segmentation by energy minimization

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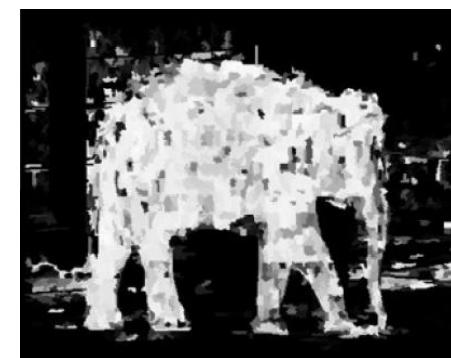
$$E(\mathbf{c} \mid \mathbf{x}) = \sum_i f_i(c_i, \mathbf{x}) + \sum_{i,j \in e} g_{ij}(c_i, c_j, \mathbf{x})$$

- Unary potentials:  $f_i(c, \mathbf{x}) = -\log P(c \mid \mathbf{x}_i)$ 
  - Cost is infinity if label does not match the user scribble
  - Otherwise, it is computed based on a color model of user-labeled pixels

User scribbles



$P(\text{foreground} \mid \mathbf{x}_i)$

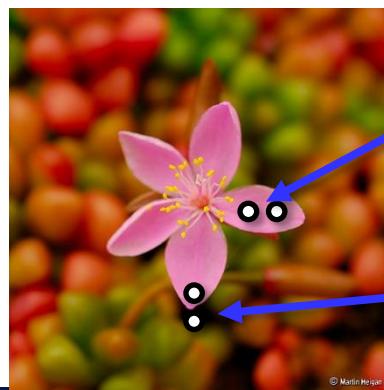


# Segmentation by energy minimization

$$E(\mathbf{c} \mid \mathbf{x}) = \sum_i f_i(c_i, \mathbf{x}) + \sum_{i,j \in e} g_{ij}(c_i, c_j, \mathbf{x})$$

- Unary potentials:  $f_i(c, \mathbf{x}) = -\log P(c \mid \mathbf{x}_i)$
- Pairwise potentials:  $g_{ij}(c, c^l, \mathbf{x}) = w_{ij} |c - c^l|$ 
  - Neighboring pixels should have the same label unless they look very different

Affinity between  
pixels  $i$  and  $j$



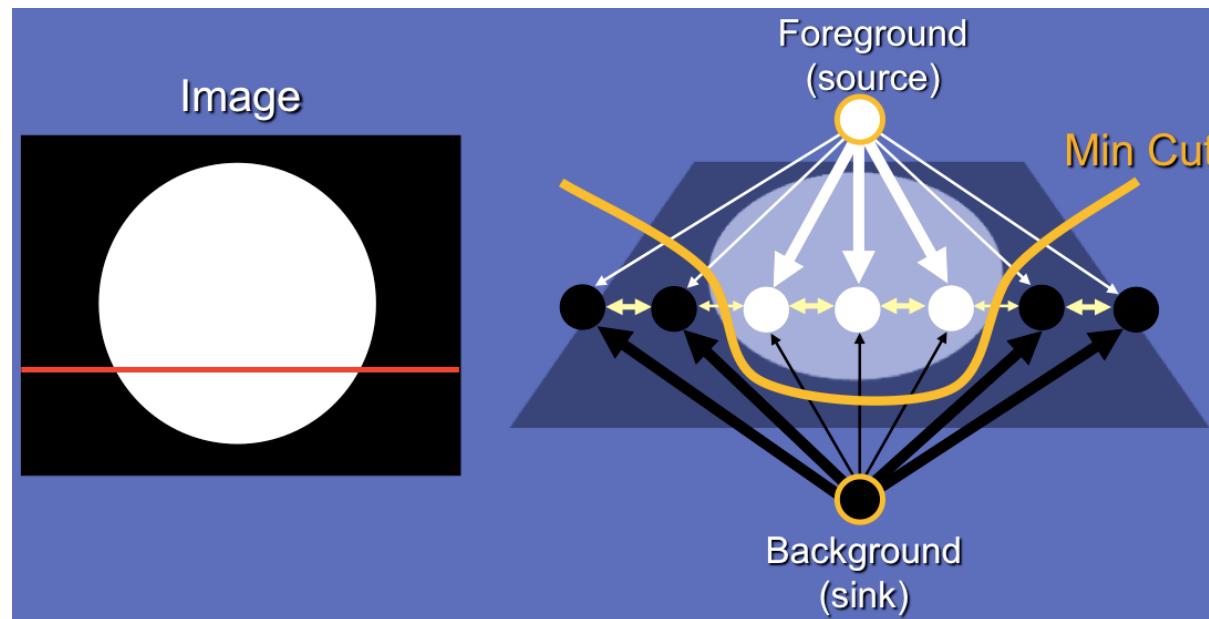
high affinity

low affinity

# Segmentation by energy minimization

$$E(\mathbf{c} | \mathbf{x}) = \sum_i f_i(c_i, \mathbf{x}) + \sum_{i,j \in e} g_{ij}(c_i, c_j, \mathbf{x})$$

- Can be optimized efficiently by finding the minimum cut in the following graph:



Y. Boykov and M. Jolly, [Interactive graph cuts for optimal boundary and region segmentation of objects in N-D images](#), ICCV 2001

# Grabcut

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- **Segmentation with bounding box as an interaction**
  - Included as a function in MS PPT



# Next Topic

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- How can we compare between a known measurement (the standard) and the measurement from camera?