

Proposition

Recall $|S| = \text{card}(S) \in \mathbb{N}$ (size of set S)

Let A and B be finite sets and let $f : A \rightarrow B$. Then:

- ① If $|A| > |B|$, then f is not one-to-one and
- ② if $|A| < |B|$, then f is not onto.

Contrapositive

① if f is one-to-one, then $|A| \leq |B|$

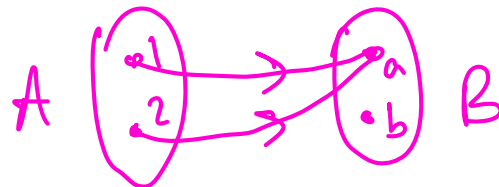
② if f is onto, then $|A| \geq |B|$

Thus:

if f is bijective then $|A| = |B|$

⚠ [if $|A| = |B|$, then f is bijective] is not true

ex.



$|A| = |B| = 2$, f is not bijective

How do we compare the size of two collections of objects?

Without counting, determine which of the two collections below has more elements/members.

no

Collection 1: @ @ @ @ @ @ @ @ @ @ @ @ @ @ @
Collection 2: & & & & & & & & & & & & & &

attempt to pair 1-1

yes

Collection 1: @ @ @ @ @ @ @ @ @ @ @ @ @ @ @
Collection 2: # # # # # # # # # # # # # # #

all elements
can be paired,
no leftovers

One-to-one Correspondence

one-to-one
function

vs.

one-to-one
correspondence \equiv bijection

Recall: A and B are finite sets and there is a bijection f from A to B , then $|A| = |B|$.
We ask: can we compare “the size/cardinality” of infinite sets in a similar way?

Definition

Two sets A and B **have the same cardinality** provided that there is a bijection $f : A \rightarrow B$, or a *one-to-one correspondence* between the elements in sets A and B .

Consider the set of natural numbers

$$\mathbb{N} = \{0, 1, 2, 3, 4, 5, \dots\}$$

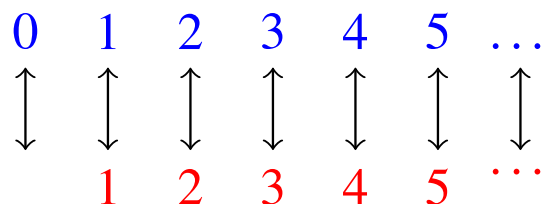
and the set of natural number 1 and greater

$$\mathbb{N} - \{0\} = \mathbb{N}^* = \{1, 2, 3, 4, 5, 6, \dots\}$$

Which of the above sets is “larger” (i.e., has more elements)?

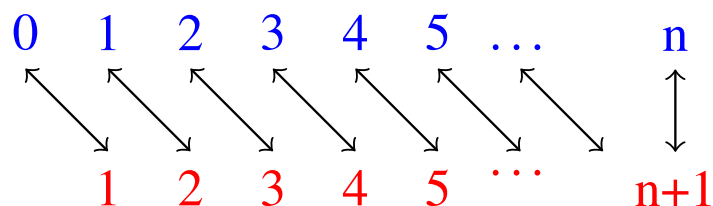
(Poll Everywhere)

Two possible arguments



not a one-to-one correspondence.

Instead:



for $n = 0, 1, 2, \dots$

Formally: There exists a bijection between those two sets.

let $f: \mathbb{N} \rightarrow \mathbb{N} - \{0\}$
 $n \mapsto n+1$

or let $g: \mathbb{N} - \{0\} \rightarrow \mathbb{N}$
 $n \mapsto n-1$

Observe that $f^{-1} = g$.

EFY: prove that f (or g) is bijective

Example

Show that \mathbb{N} and \mathbb{Z} have the same cardinality.

Rewrite $\mathbb{Z} = \{0, 1, -1, 2, -2, 3, -3, \dots\}$

$\mathbb{N} = \{0, 1, 2, 3, 4, 5, 6, \dots\}$

This enumeration is generalizable to an expression.

$$f: \mathbb{N} \rightarrow \mathbb{Z}$$
$$f(n) = \begin{cases} -\frac{1}{2}n & \text{if } n \text{ is even} \\ \frac{n+1}{2} & \text{if } n \text{ is odd} \end{cases}$$

We propose a function $f : \mathbb{N} \rightarrow \mathbb{Z}$ as follows:

$$f(n) = \begin{cases} -\frac{n}{2} & \text{if } n \text{ is even} \\ \frac{n+1}{2} & \text{if } n \text{ is odd} \end{cases}$$

That is,

n	$f(n)$
0	0
1	1
2	-1
3	2
4	-2
5	3
6	-3
\vdots	\vdots

Note that f is indeed a function from \mathbb{N} to \mathbb{Z} . We only need to show that f is one-to-one and onto.

First, we show that f is one-to-one. Suppose that $m, n \in \mathbb{N}$ and $f(m) = f(n)$. Since even numbers are mapped to negative numbers and odd numbers are mapped to nonnegative numbers, then it must be the case that both m and n are even, or both m and n are odd.

- If both are even, then

$$-\frac{m}{2} = -\frac{n}{2}.$$

Multiply both sides by -2 ; then, $m = n$.

- If both are odd, then

$$\frac{m+1}{2} = \frac{n+1}{2}.$$

Multiply both sides by 2 and subtract 1; then, $m = n$.

So, f is one-to-one.

Next, we show that f is onto. Consider an arbitrary $y \in \mathbb{Z}$.

- Suppose $y \leq 0$. Let $n = -2y$. Note that n is even and $n \in \mathbb{N}$. So, $n \in \text{dom } f$. Also note that

$$f(n) = -\frac{n}{2} = -\frac{-2y}{2} = y.$$

- Suppose that $y > 0$. Let $n = 2y - 1$. Note that n is odd, and $n \in \mathbb{N}$. So, $n \in \text{dom } f$. Also note that

$$f(n) = \frac{n+1}{2} = \frac{2y-1+1}{2} = y.$$

So, f is onto.

Therefore, \mathbb{N} and \mathbb{Z} have the same cardinality.

Examples

Using the notion of one-to-one correspondence, compare the cardinality of the given pairs of sets.

- The set of all natural numbers and the set of all even natural numbers.

If this sounds counter-intuitive to you...

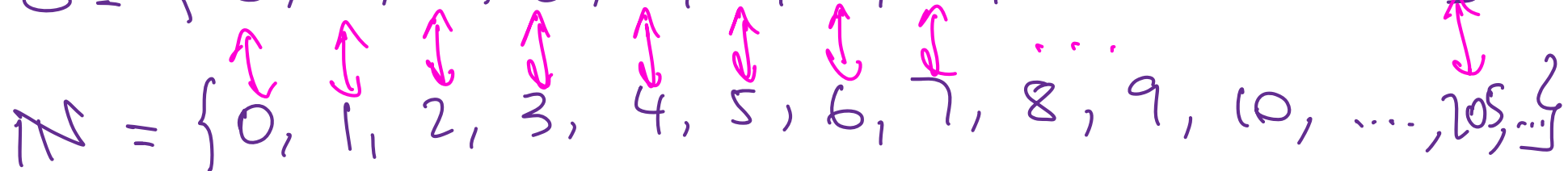
Keep in mind:

- ① Our intuition about sizes is largely confined by our experience, which is mostly with finite sets!
- ② When cardinality of infinite sets are involved, rely on one-to-one correspondence.

note: just because we can't find an expression for the bijection, it does not mean that one does not exist. For example, consider the set S :

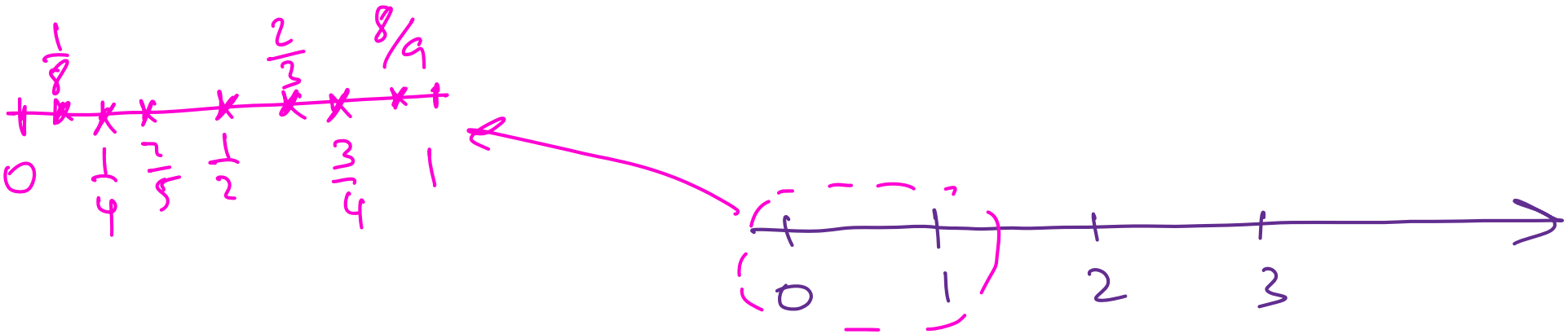
$$S = \{x \in \mathbb{N} \mid 3 \mid x \text{ or } x \text{ is prime}\}$$

$$S = \{0, 2, 3, 5, 6, 9, 11, 12, 13, 15, 17, \dots\}$$

$$\mathbb{N} = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, \dots, 105, \dots\}$$


$$\mathbb{Q} = \left\{ \frac{a}{b} \mid a \in \mathbb{Z}, b \in \mathbb{Z}^* \right\}$$

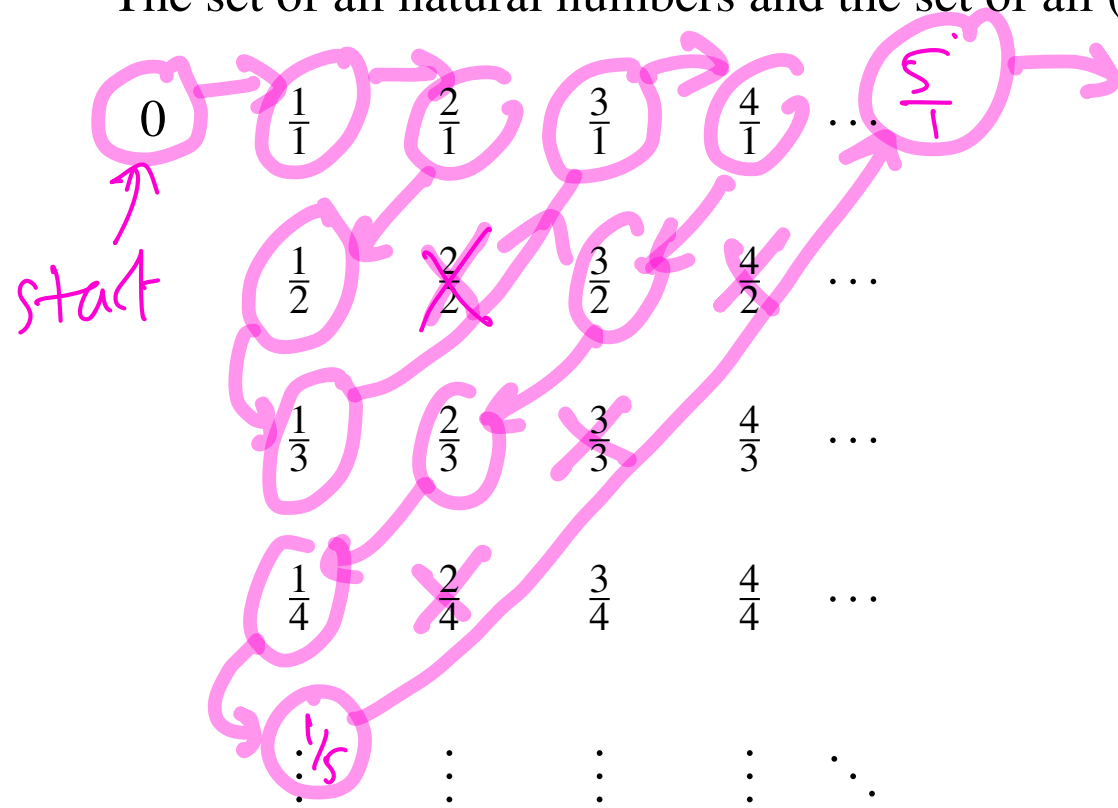
True or False: there are more rational numbers than natural numbers.



What about \mathbb{Q} ?

start with $\mathbb{Q}^+ = \{x \in \mathbb{Q} \mid x \geq 0\}$

The set of all natural numbers and the set of all (nonnegative) rational numbers



$$\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9, \dots\} = \mathbb{N}$$

$$\{0, \frac{1}{1}, \frac{2}{1}, \frac{1}{2}, \frac{1}{3}, \frac{3}{1}, \frac{4}{1}, \frac{3}{2}, \frac{2}{3}, \frac{1}{4}, \dots\} = \mathbb{Q}^+$$

\uparrow $|\mathbb{N}| = |\mathbb{Q}^+|$

Can you come up with a similar argument for the set of all natural numbers and the set of all rational numbers?

$$\begin{array}{ccccc}
 \vdots & \vdots & \vdots & \vdots & \dots \\
 \frac{1}{3} & \frac{2}{3} & \frac{3}{3} & \frac{4}{3} & \dots \\
 \frac{1}{2} & \frac{2}{2} & \frac{3}{2} & \frac{4}{2} & \dots \\
 \frac{1}{1} & \frac{2}{1} & \frac{3}{1} & \frac{4}{1} & \dots
 \end{array}$$

0

$$\begin{array}{cccc}
 \dots & -\frac{3}{1} & -\frac{2}{1} & -\frac{1}{1} \\
 \dots & -\frac{3}{2} & -\frac{2}{2} & -\frac{1}{2} \\
 \dots & -\frac{3}{3} & -\frac{2}{3} & -\frac{1}{3} \\
 \dots & \vdots & \vdots & \vdots
 \end{array}$$

Ok so do **ALL** infinite sets have the same cardinality?

Cardinality of the set of natural numbers and the set of real numbers

The set of natural numbers:

$$\{0, 1, 2, 3, 4, 5, 6, \dots\}.$$

The set of real numbers:

$$\{0, 0.00\dots ?\dots\}$$

(how to list **all** real numbers systematically?)

Furthermore, do you think that we will be able to find a one-to-one correspondence between the natural numbers and the real numbers?

Cardinality of the set of natural numbers and the set of real numbers

Theorem *

\mathbb{N} and \mathbb{R} have different cardinality.

countably
infinite

uncountably
infinite

Notation: $|\mathbb{N}| = \aleph_0$ and $|\mathbb{R}| = \aleph_1$
(\aleph_0) (\aleph_1)

so $|\mathbb{Z}| = |\mathbb{Q}| = |\mathbb{N}| = |\mathbb{N}^*| = |\mathbb{E}| = |\mathbb{P}| = |\mathbb{N}_2| = \aleph_0$
(and so many more)

* Pf: We will show that \mathbb{N} and $(0,1) = \{x \in \mathbb{R} \mid 0 < x < 1\}$ have different cardinalities.
How? We will show that there is no bijection between \mathbb{N} and $(0,1)$

Cardinality of the set of natural numbers and the set of real numbers

Theorem

\mathbb{N} and \mathbb{R} have different cardinality.

Proof (idea): BWOC, suppose that there exists a bijection between \mathbb{N} and $(0,1)$. Then we can rearrange the elements in that enumeration in a particular way, WLOG assume the following table:

Cantor's diagonal argument

\mathbb{N}	$x \in (0,1)$
0	0. 3 3 4 1 7 8 ...
1	0. 4 1 5 6 7 0 ...
2	0. 0 0 0 7 2 1 ...
3	0. 1 2 3 4 5 6 7 ...
4	0. 2 1 7 8 0 5 9 ...
5	0. 0 7 4 3 2 1 9 8 ...
\vdots	\vdots

Let ~~$y = 0. \text{ 3 1 0 4 5 1 ...$~~

$y = 0. \text{ 4 2 1 5 6 2 ...}$

(take the i^{th} decimal place from the i^{th} element in the enumeration and modify it by adding 1 to it (if it's 9, change it to zero))
Then $y \notin$ in the enumeration.

Conclusion

- ▶ For **any** pairing of natural numbers with real numbers, we can always point out a real number that has not been listed.
- ▶ Any such pairing is **not** a one-to-one correspondence between \mathbb{N} and \mathbb{R} .
- ▶ Therefore, the real numbers are “more numerous” than the natural numbers.

The cardinality of the real numbers is larger than the cardinality of the natural numbers!

- ▶ This argument is called “Cantor’s Diagonalization Argument”

Cardinality of the set of natural numbers and the set of real numbers

Thus: $\underbrace{|(0,1)|}_{\aleph_1} > \underbrace{|\mathbb{N}|}_{\aleph_0} \Rightarrow \underbrace{|\mathbb{R}|}_{\aleph_1} > \underbrace{|\mathbb{N}|}_{\aleph_0}$

- There are infinitely-many natural numbers and infinitely-many real numbers

but “the infinity of the real numbers is larger than the infinity of the natural numbers”

} countability
 \aleph_0

- We refer to the cardinality of the natural numbers as **countable infinity** and the cardinality of the real numbers as **uncountable infinity**.

Continuum Hypothesis: let S^{\aleph_1} be an infinite sized set.
($\nexists S \mid \aleph_0 < |S| < \aleph_1$)

Cantor Diagonalization Argument

To prove Cantor's Power Set Theorem

if A is a finite set; $|A| = n$.

$$\text{Then } |P(A)| = |2^A| = 2^{|A|} = 2^n > n.$$

power set

Cantor's Power Set Theorem

For any set S (finite or infinite), the cardinality of the power set of S is strictly greater than the cardinality of S .

ex: Consider \mathbb{N} , $|\mathbb{N}| = \aleph_0$

and $P(\mathbb{N})$: $|P(\mathbb{N})| > \aleph_0$ (continuum hypothesis)

$$= \left\{ \begin{array}{l} \{1\}, \{2\}, \dots \\ \{0, 1\}, \dots \\ \{1, 4, 5\}, \dots \end{array} \right\} \Rightarrow |P(\mathbb{N})| = \aleph_1$$

Theorem (Cantor's Theorem)

Let A be a set. If $f : A \rightarrow 2^A$, then f is not onto.

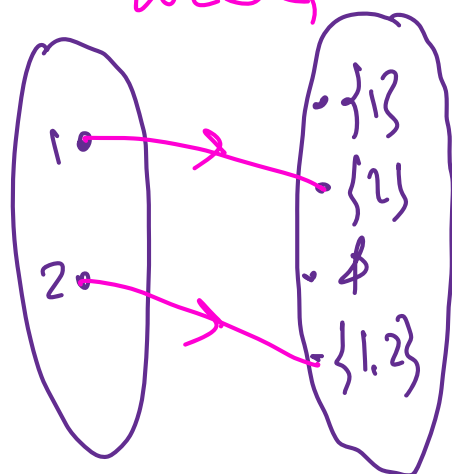
Note that if A is a finite set, then the proof is simple: Suppose that $n = |A|$. Since $|A| = n < 2^n = |2^A|$, then by the pigeonhole principle, f is not onto.

However, the theorem applies to both finite and infinite set. Therefore, the proof needs to be more general.

$n=2$: $A = \{1, 2\}$

$$P(A) = \left\{ \{1\}, \{2\}, \emptyset, \{1, 2\} \right\}$$

$f: A \rightarrow P(A)$
WLOG



not
onto

This is always true
since
 $|2^A| > |A|$
 \forall set A (finite or infinite)