

Static Haskell Contract Checking

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Contracts

Express correctness of Haskell programs with *contracts*.

C	$::=$	$(x : C) \rightarrow C$	dependent function space
		$\{x \mid p\}$	predicates
		CF	crash free
		$C \& C$	conjunction

Predicates declared with Haskell functions with ordinary semantics.

Examples:

`head` \in CF \rightarrow CF

`head` \in $\{xs \mid \text{not } (\text{null } xs)\} \rightarrow$ CF

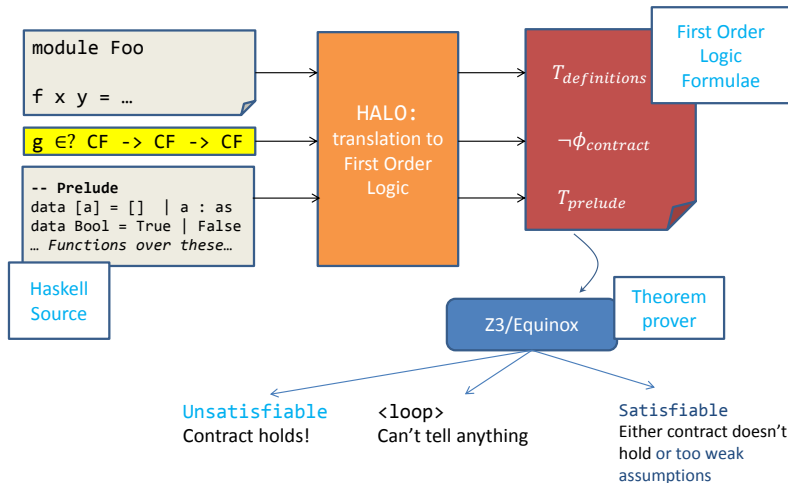
`head` \in CF $\& \{xs \mid \text{not } (\text{null } xs)\} \rightarrow$ CF

`filter` \in $(p : \text{CF} \rightarrow \text{CF}) \rightarrow \text{CF} \rightarrow \text{CF} \& \{ys \mid \text{all } p \text{ } ys\}$

Motivation

- ▶ Related work: Xu using wrapping, recent work for OCaml
- ▶ Interesting aspects of Haskell:
 - ▶ lazy/infinite data structures,
 - ▶ higher-order,
 - ▶ pure

Overview



Denotational semantics

Idea: translate a denotational model $\langle D_\infty, \mathcal{I} \rangle$ to FOL.

Value domain V_∞ :

$$\begin{aligned} V_\infty = & \prod_{n_1} D_\infty & K_1^{n_1} \in \Sigma \\ & + \dots & \dots \\ & + \prod_{n_k} D_\infty & K_k^{n_k} \in \Sigma \\ & + (D_\infty \Rightarrow_c D_\infty) \\ & + \mathbf{1}_{bad} \end{aligned}$$

- Discrimination axioms

$$\text{cons}(x, xs) \neq \text{nil} \neq \text{UNR} \neq \text{BAD}$$

- Injectivity axioms

$$\begin{aligned} \text{cons}_0(\text{cons}(x, xs)) &= x, \\ \text{cons}_1(\text{cons}(x, xs)) &= xs \end{aligned}$$

Using cons_0 we have $\text{cons}(x, xs) = \text{cons}(y, ys) \rightarrow x = y$.

Guiding principle for translation to FOL

Theorem

Assume that $\Sigma \vdash P$ and e_1 and e_2 contain no free term variables.

The following are true:

- ▶ $\llbracket e_1 \rrbracket = \llbracket e_2 \rrbracket$ iff $\mathcal{I}(\mathcal{E}\{\{e_1\}\}) = \mathcal{I}(\mathcal{E}\{\{e_2\}\})$.
- ▶ If $\mathcal{T} \wedge \mathcal{P}\{\{P\}\} \vdash \mathcal{E}\{\{e_1\}\} = \mathcal{E}\{\{e_2\}\}$ then $\llbracket e_1 \rrbracket = \llbracket e_2 \rrbracket$.

$$\begin{aligned}\llbracket x \rrbracket &= \rho(x) \\ \llbracket f \rrbracket &= \sigma(f) \\ \llbracket K(\bar{e}) \rrbracket &= K(\llbracket \bar{e} \rrbracket) \\ \llbracket e_1 \ e_2 \rrbracket &= \text{app}(\llbracket e_1 \rrbracket, \llbracket e_2 \rrbracket) \\ \llbracket \text{BAD} \rrbracket &= \text{Bad}\end{aligned}$$

$$\begin{aligned}\mathcal{E}\{\{x\}\} &= x \\ \mathcal{E}\{\{f\}\} &= f_{ptr} \\ \mathcal{E}\{\{K(\bar{e})\}\} &= K(\overline{\mathcal{E}\{\{e\}\}}) \\ \mathcal{E}\{\{e_1 \ e_2\}\} &= \text{app}(\mathcal{E}\{\{e_1\}\}, \mathcal{E}\{\{e_2\}\}) \\ \mathcal{E}\{\{\text{BAD}\}\} &= \text{BAD}\end{aligned}$$

Translating Functions to FOL

$\text{map} :: (a \rightarrow b) \rightarrow [a] \rightarrow [b]$

$\text{map } f [] = []$

$\text{map } f (x:xs) = f \ x : \text{map } f \ xs$

$\text{ap}(\text{ap}(\text{map}, f), \text{nil}) = \text{nil},$

$\text{ap}(\text{ap}(\text{map}, f), \text{cons}(x, xs)) = \text{cons}(\text{ap}(f, x), \text{ap}(\text{ap}(\text{map}, f), xs))$

$\text{ap}(\text{ap}(\text{map}, f), \text{BAD}) = \text{BAD},$

$\text{ap}(\text{ap}(\text{map}, f), x) = \text{UNR}$

$\vee (\exists y \ xs. x = \text{cons}(y, xs))$

$\vee x = \text{nil}$

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$\text{ap}(\text{ap}(\text{map}, f), xs) = \text{map}(f, xs)$

Translating Functions to FOL

`map :: (a -> b) -> [a] -> [b]`

`map f [] = []`

`map f (x:xs) = f x : map f xs`

`map(f, nil) = nil,`

`map(f, cons(x, xs)) = cons(ap(f, x), map(f, xs))`

`map(f, BAD) = BAD,`

`map(f, x) = UNR`

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$\text{ap}(\text{ap}(\text{map}_{\text{ptr}}, f), xs) = \text{map}(f, xs)$

Guiding principle for translation of contracts

Theorem

Assume that e and C contain no free term variables. Then the FOL translation of the claim $e \in C$ holds in the model if and only if the denotation of e is in the semantics of C . Formally:

$$\langle D_\infty, \mathcal{I} \rangle \models C\{e \in C\} \Leftrightarrow \llbracket e \rrbracket \in \llbracket C \rrbracket$$

Satisfying a Contract, Denotationally

$$\llbracket \mathbf{C} \rrbracket_{\rho} \subseteq D_{\infty}$$

$$\llbracket x \mid e \rrbracket_{\rho} = \{d \mid d = \perp \vee \llbracket e \rrbracket_{\rho, x \mapsto d} \in \{\mathbf{True}, \perp\}\}$$

$$\llbracket (x:\mathbf{C}_1) \rightarrow \mathbf{C}_2 \rrbracket_{\rho} = \{d \mid \forall d' \in \llbracket \mathbf{C}_1 \rrbracket_{\rho}. \mathbf{app}(d, d') \in \llbracket \mathbf{C}_2 \rrbracket_{\rho, x \mapsto d'}\}$$

$$\llbracket \mathbf{C}_1 \& \mathbf{C}_2 \rrbracket_{\rho} = \{d \mid d \in \llbracket \mathbf{C}_1 \rrbracket_{\rho} \wedge d \in \llbracket \mathbf{C}_2 \rrbracket_{\rho}\}$$

$$\llbracket \mathbf{CF} \rrbracket_{\rho} = F_{\mathbf{cf}}^{\infty}$$

where

$$\begin{aligned} F_{\mathbf{cf}}^{\infty} &= \{\perp\} \\ &\cup \{K(\bar{d}) \mid K^n \in \Sigma, d_i \in F_{\mathbf{cf}}^{\infty}\} \\ &\cup \{\mathbf{Fun}(d) \mid \forall d' \in F_{\mathbf{cf}}^{\infty}. d(d') \in F_{\mathbf{cf}}^{\infty}\} \end{aligned}$$

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$$\begin{aligned} &\mathbf{CF}(\mathbf{UNR}), \quad \neg \mathbf{CF}(\mathbf{BAD}), \quad \mathbf{CF}(\mathbf{nil}), \\ &\mathbf{CF}(\mathbf{cons}(x, xs)) \leftrightarrow (\mathbf{CF}(x) \wedge \mathbf{CF}(xs)) \end{aligned}$$

Translating Contracts to FOL

$$\begin{aligned}\mathcal{C}\{\{e \in \{x \mid p\}\}\} &= \mathcal{E}\{\{e\}\} = \text{UNR} \vee \\ &\quad \mathcal{E}\{\{p\}\}[\mathcal{E}\{\{e\}\}/x] = \text{UNR} \vee \\ &\quad \mathcal{E}\{\{p\}\}[\mathcal{E}\{\{e\}\}/x] = \text{True}\end{aligned}$$

$$\mathcal{C}\{\{e \in (x:C_1) \rightarrow C_2\}\} = \forall x. \mathcal{C}\{\{x \in C_1\}\} \rightarrow \mathcal{C}\{\{e \ x \in C_2\}\}$$

$$\mathcal{C}\{\{e \in C_1 \& C_2\}\} = \mathcal{C}\{\{e \in C_1\}\} \wedge \mathcal{C}\{\{e \in C_2\}\}$$

$$\mathcal{C}\{\{e \in \text{CF}\}\} = \text{CF}(\mathcal{E}\{\{e\}\})$$

```
contract_1 = head ::: Pred (not . null) --> CF
```

Theorem Prover Queries

We ask for the satisfiability of

$$\mathcal{T}_{\text{datatypes}}, \mathcal{T}_{\text{functions}}, \neg \mathcal{C}\{e \in C\}$$

If it is unsatisfiable, we know that

$$\mathcal{T}_{\text{datatypes}}, \mathcal{T}_{\text{functions}} \vdash \mathcal{C}\{e \in C\}$$

Carefully designed so the soundness theorem is true :)

What if we get satisfiable?

Recursive functions

```
length []      = Zero
length (x:xs) = Succ (length xs)
```

```
length_contract = length :: CF --> CF
```

Has an counterexample $xs = () : xs$,

$$\text{length } xs = \text{length } (() : xs) = S (\text{length } xs) = S \text{ inf} = \text{inf}$$

Can we have $\neg \text{CF}(\text{inf})$? Yes, since the only related axiom says:

$$\neg \text{CF}(\text{inf}) \leftrightarrow \neg \text{CF}(S \text{ inf})$$

Fixed Point Induction

$$\frac{P(\perp) \quad P(x) \rightarrow P(f \ x) \quad P \text{ admissible}}{P(\text{fix } f)}$$

`length• [] = Zero`
`length• (x:xs) = Succ (length◦ xs)`

$$\frac{P(\text{UNR}) \quad P(f^\circ) \rightarrow P(f^\bullet) \quad P \text{ admissible}}{P(f)}$$

$\mathcal{T}_{\text{datatypes}}, \mathcal{T}_{\text{functions}}, \mathcal{C}\{\{\text{length}^\circ \in \text{CF} \rightarrow \text{CF}\}\}, \mathcal{C}\{\{\text{length}^\bullet \notin \text{CF} \rightarrow \text{CF}\}\}$

Contracts are designed to be admissible predicates.

Infinite models

For a given theory \mathcal{T} , either of these three is true:

1. It is unsatisfiable
2. It is *finitely* satisfiable
3. It is only *infinitely* satisfiable

Right now, our axiomatisation typically enforces only infinite models since it has injective and non-surjective functions:

$$\text{just}(x) \neq \text{nothing}, \quad \text{just}_0(\text{just}(x)) = x$$

Quest: find a translation that is either 1 or 2.

Desired properties of an alternative translation

1. Soundness

$$\mathcal{T} \vdash \neg(e \notin C) \implies \mathcal{T}^m \vdash \neg(e \notin C)^m$$

2. Completeness

$$\mathcal{T}^m \vdash \neg(e \notin C)^m \implies \mathcal{T} \vdash \neg(e \notin C)$$

3. Finite model guarantees

If there exists an M such that:

$$M \models \mathcal{T}, (e \notin C)$$

then there exists a *finite* M^m such that:

$$M^m \models \mathcal{T}^m, (e \notin C)^m$$

4. Efficiency

The alternative translation is as least as efficient as the original in practice on unsatisfiable theories

“Minimisation”: Our Trick for Finite Models and Efficiency

- ▶ Idea: introduce a new predicate, min , that means a term should be subject to reduction (to weak head normal form).
- ▶ Selector axioms:

$$\text{min}(\text{just}(x)) \rightarrow \text{just}_0(\text{just}(x)) = x$$

- ▶ The name comes from that we should try to *minimise* the number of domain elements that are “min”.

Function Translation with Minimisation

$\text{map} :: (a \rightarrow b) \rightarrow [a] \rightarrow [b]$

$\text{map } f [] = []$

$\text{map } f (x:xs) = f x : \text{map } f xs$

$\text{min}(\text{map}(f, x))$	\rightarrow	$\text{map}(f, x)$	
$\text{min}(\text{map}(f, \text{nil}))$	\rightarrow	$\text{map}(f, \text{nil})$	$= \text{nil},$
$\text{min}(\text{map}(f, \text{cons}(x, xs)))$	\rightarrow	$\text{map}(f, \text{cons}(x, xs))$	$=$
		$\text{cons}(\text{ap}(f, x), \text{map}(f, xs))$	
$\text{min}(\text{map}(f, \text{BAD}))$	\rightarrow	$\text{map}(f, \text{BAD})$	$= \text{BAD},$
$\text{min}(\text{map}(f, x))$	\rightarrow	$\text{map}(f, x)$	$= \text{UNR}$
		$\vee x = \text{cons}(\text{cons}_0(x), \text{cons}_1(x))$	
		$\vee x = \text{nil}$	
		$\vee x = \text{BAD}$	

Contract Translation with Minimisation

- ▶ Distinguish between assumptions ($e \in C$) and goals ($e \notin C$).
- ▶ Contracts should only be assumed when they are “min”, contracts the prove should always be “min” to drive computation.
- ▶ Example: induction on f , assume for f° and prove for f^\bullet .
Ask for the satisfiability of:

$$\mathcal{T}_{\text{datatypes}}, \mathcal{T}_{\text{functions}}, \mathcal{C}\{\{f^\circ \in C\}\}, \mathcal{C}\{\{f^\bullet \notin C\}\}$$

Contract Translation with Minimisation II

$$\begin{aligned}\mathcal{C}\{e \in \{x \mid p\}\} &= \min(\mathcal{E}\{e\}) \wedge \min(\mathcal{E}\{p\}[\mathcal{E}\{e\}/x]) \\ &\quad (\mathcal{E}\{e\} = \text{UNR} \vee \\ &\quad \mathcal{E}\{p\}[\mathcal{E}\{e\}/x] = \text{UNR} \vee \\ &\quad \mathcal{E}\{p\}[\mathcal{E}\{e\}/x] = \text{True})\end{aligned}$$

$$\begin{aligned}\mathcal{C}\{e \notin \{x \mid p\}\} &= \min(\mathcal{E}\{e\}) \wedge \min(\mathcal{E}\{p\}[\mathcal{E}\{e\}/x]) \\ &\quad (\mathcal{E}\{e\} \neq \text{UNR} \vee \\ &\quad \mathcal{E}\{p\}[\mathcal{E}\{e\}/x] = \text{BAD} \vee \\ &\quad \mathcal{E}\{p\}[\mathcal{E}\{e\}/x] = \text{False})\end{aligned}$$

$$\begin{aligned}\mathcal{C}\{e \in (x:C_1) \rightarrow C_2\} &= \forall x. \min(e \ x) \rightarrow \\ &\quad (\mathcal{C}\{x \notin C_1\} \vee \mathcal{C}\{e \ x \in C_2\}) \\ \mathcal{C}\{e \notin (x:C_1) \rightarrow C_2\} &= \exists x. \mathcal{C}\{x \in C_1\} \wedge \mathcal{C}\{e \ x \notin C_2\}\end{aligned}$$

Experimental results

With minimisation:

smt-z3	timeouts: 4.6%	avg: 0.7ms
z3	timeouts: 5.2%	avg: 0.7ms
vampire	timeouts: 19.5%	avg: 17.2ms
equinox	timeouts: 13.8%	avg: 104.1ms
eprover	timeouts: 25.9%	avg: 3.8ms

Without minimisation:

smt-z3	timeouts: 10.3%	avg: 1.8ms
z3	timeouts: 11.5%	avg: 0.5ms
vampire	timeouts: 26.4%	avg: 9.1ms
equinox	timeouts: 45.4%	avg: 23.2ms
eprover	timeouts: 41.4%	avg: 2.4ms

Finite Model Finding

- ▶ We use the finite model finder `paradox`, which exhaustively searches for models with increasing domain size and gives us the smallest possible model.
- ▶ Countermodels are typically very few elements (4-6), with many infinite values such as `xs = Nothing : xs`.
- ▶ Since constructors now are not injective, we need to do a little work to find out how domain elements really are represented.

Unearthing a Model

```
(-) :: Nat -> Nat -> Nat
x      - Zero      = x
Zero    - _         = error "Negative Nat!"
Succ x - Succ y = x - y
```

$$(-) \in \{CF- > CF- > CF\}$$

paradox gives a countermodel with 5 elements: $\mathbf{D} = \{1, 2, \dots, 5\}$

Unearthing a Model

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paradox gives a countermodel with 5 elements: $\mathbf{D} = \{1, 2, \dots, 5\}$

$$\begin{array}{rcl} x & = & \mathbf{3} \\ y & = & \mathbf{4} \end{array}$$

Figuring out what x and y are

x	$=$	3	$\text{Succ}(\mathbf{1})$	$=$	5	$\text{Succ}_0(\mathbf{1})$	$=$	3
y	$=$	4	$\text{Succ}(\mathbf{2})$	$=$	2	$\text{Succ}_0(\mathbf{2})$	$=$	3
BAD	$=$	1	$\text{Succ}(\mathbf{3})$	$=$	4	$\text{Succ}_0(\mathbf{3})$	$=$	2
UNR	$=$	2	$\text{Succ}(\mathbf{4})$	$=$	5	$\text{Succ}_0(\mathbf{4})$	$=$	3
Zero	$=$	3	$\text{Succ}(\mathbf{5})$	$=$	5	$\text{Succ}_0(\mathbf{5})$	$=$	5

x	$\text{Succ}(x)$	$\text{Succ}_0(\text{Succ}(x))$
1	5	5
2	2	3
3	4	3
4	5	5
5	5	5

$$y = \text{Succ Zero}, \quad x = \text{Zero}$$

Ill-typed Models

In the model above, we have

$$x = \text{Zero} = \text{True}$$

The reason is that we do not add discrimination axioms for elements of different types - these are never needed in proofs.

Two ways to proceed:

- ▶ Do type inference on the model to make sure that it is printed type-correct
- ▶ Add discrimination axioms for constructors of different types.

Optimisations and tricks

- ▶ Inlining: reduces the number of function symbols
- ▶ Splitting goals: when proving a contract for a function that is a case expression, generate a theory for each right hand side of the case alternatives
- ▶ No native support for integer arithmetic in FOL: use the theory in SMTLIB and use z3

What when we get satisfiable back?

We ask for the satisfiability of

$$\mathcal{T}_{\text{datatypes}}, \mathcal{T}_{\text{functions}}, \neg\phi_{\text{contract}}$$

If it is satisfiable, we know that there exists a model M such that

$$M \models \mathcal{T}_{\text{datatypes}}, \mathcal{T}_{\text{functions}}, \neg\phi_{\text{contract}}$$

Happens when:

- ▶ the contract does not hold
- ▶ assumptions are missing (induction, other contracts)
- ▶ the theory is incomplete

Open Questions / Future Work

- ▶ What can we do when a theorem prover says SAT?
- ▶ Is there a (provably) complete min-axiomatisation with guaranteed finite countermodels?
- ▶ Do we need a theorem prover for (lazy) functional languages?
- ▶ z3: can triggers be used instead of the `min` predicate?
- ▶ z3: how to make it prove satisfiable?

Obtaining the contract checker

`github.com/danr/contracts`

unused slides

Contracts

```
head :: [a] -> a
head (x:xs) = x
head []      = error "head: empty list!"
```

Some example contracts for head:

```
head ∈ CF → CF
head ∈ {xs | not (null xs)} → CF
head ∈ CF & {xs | not (null xs)} → CF
```

CF stands for Crash-Free

Splitting Goals

risers in GHC Core is a bunch of cases...

```
risers = \ xs -> case xs of {  
  [] -> []  
  y : ys -> case ys of {  
    [] -> [[y]]  
    z : zs -> case risers (z:zs) of {  
      [] -> error "internal error";  
      : s ss -> case y <= z of {  
        False -> [y] : (s:ss)  
        True -> (y:s) : ss  
      } } } }  
}
```

These cases becomes a big chunk of translated formulae, making a big theory. However, we can split every left-hand side of a case alternative a small, separate theory when proving a contract for risers. In practice, these smaller theories are much easier for theorem provers to handle.