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Tackling Bias in Pre-trained Language Models: Current Trends and Under-represented Societies

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The benefits and capabilities of large language models (LLMs) in current and future innovations are vital to any society. However, introducing and using LLMs comes with biases and discrimination, resulting in concerns about equality, diversity and fairness, and must be addressed. While understanding and acknowledging bias in LLMs and developing mitigation strategies are crucial, the generalised assumptions towards societal needs can result in disadvantages towards under-represented societies and indigenous populations. Furthermore, the ongoing changes to actual and proposed amendments to regulations and laws worldwide also impact research capabilities in tackling the bias problem. This research presents a comprehensive survey synthesising the current trends and limitations in techniques used for identifying and mitigating bias in LLMs, where the overview of methods for tackling bias are grouped into metrics, benchmark datasets, and mitigation strategies. The importance and novelty of this survey are that it explores bias in LLMs from the perspective of under-represented societies. We argue that current practices tackling the bias problem cannot simply be ‘plugged in’ to address the needs of under-represented societies. We use examples from New Zealand to present requirements for adapting existing techniques to under-represented societies.

CCS Concepts: • **Computing methodologies** → **Natural language processing**; **Artificial intelligence**; **Machine learning**; • **Social and professional topics** → *Governmental regulations*.

Additional Key Words and Phrases: Natural language processing, Artificial Intelligence, Governmental regulations, Bias, Language Models, Human society

1 INTRODUCTION

The launch of OpenAI’s ChatGPT in November 2022 [185] is potentially the most significant milestone in the advances of language models (LLMs¹) and artificial intelligence (AI). It is reported that ChatGPT gained over 100 million users within the first two months of release [38]. The underlying technology of such LLMs is the key to innovations, and there are examples of LLMs exhibiting remarkable capabilities across various domains, including high-stakes decision applications like healthcare, criminal justice, and finance [20, 167, 213]. The capabilities of LLMs result in one model fits all scenarios where, with minimal or no tuning, LLMs can be adapted to downstream tasks such as classification, question-answering, logical reasoning, fact retrieval, and information extraction [112]. The need to train task-specific models on relatively small task-specific datasets is becoming a thing of the past [20].

However, introducing and using LLMs comes with biases and discrimination, resulting in concerns about equality, diversity and fairness, especially for under-represented and indigenous populations [93, 108, 187, 212]. LLMs are trained on massive amounts of data from various sources and, as such,

¹This research use LLMs to refer the family of pre-trained transformer-based language models, including but not limited to the substantially large language models such as GPT4.

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inherit stereotypes and misrepresentations that disproportionately affect already vulnerable and marginalized communities [13, 210]. In addition to reflecting the bias in society inherited through training data, LLMs can amplify these biases [1, 36]. Bias from LLMs can be related to gender, social status, race, language, disability, and more. Moreover, sources of bias can arise from various stages of the machine learning pipeline, including data collection, algorithm design, and user interactions.

In this research, we focus on “social bias” hereafter referred to as bias unless specified otherwise, which can be thought of as disparate treatment or outcomes between social groups that arise from historical and structural power imbalances [10, 17, 35]. This can incorporate representational harms such as misrepresentation, stereotyping, disparate system performance, and direct and indirect discrimination [10, 17, 35].

As a result of the bias problem, there is an increased emphasis on developing fair, unbiased artificial intelligence (AI), where studies are focusing on defining, detecting, and quantifying bias [27, 88, 108, 124], developing debiasing techniques [124, 126, 172], and benchmarking datasets for bias evaluations [14, 129, 212].

However, in this research, we argue that there is a significant gap in the current trend in bias-related research. Despite the growing interest in detecting and mitigating bias in LLMs, the predominant focus is skewed towards tackling the bias problem for binary gender (male vs female) classifications, and related to resource-rich countries such as the US [14, 92, 108, 118, 172, 211]. While understanding and acknowledging bias in LLMs and developing mitigation strategies are crucial, the generalised assumptions towards societal needs can result in disadvantages towards the under-represented societies and indigenous populations [210]. Furthermore, the ongoing changes to regulations and legislation worldwide also impact the research capabilities in tackling the bias problem. The research contributions of this paper are threefold:

- (i) we present a **survey synthesising the current trends in, and limitations of, bias-related research** for LLMs, where the focus is on techniques that detect and mitigate bias in LLMs. Understanding techniques to tackle the bias problem requires an overview of bias metrics, benchmark datasets and mitigation techniques. We categorise:
 - **Bias metrics** based on the input data.
 - **Bias benchmark datasets** using multiple factors, such as target bias group, bias issue, data style, data source, annotation details, data languages, and data availability.
 - **Bias mitigation techniques** into data-related, model parameter-related, and inference stage techniques.
- (ii) we show that current practices tackling the bias problem cannot simply be ‘plugged in’ to address the **needs of under-represented societies**. We present requirements for adopting existing techniques to under-represented societies using examples from New Zealand.
- (iii) we provide an overview of the impact of **current regulations and legislation** in AI, LLM, and bias-related research.

While recent literature includes various surveys of bias-related research, including [17, 20, 104, 127, 131], this is the first survey to address the needs of under-represented societies. This survey presents a synthesis of existing bias metrics, benchmark datasets and bias mitigation techniques to provide the required background to understanding the significant research gap with respect to under-represented societies. Figure 1 outlines the main components, with relevant sections, presented in this research. To tackle the bias problem in LLMs, we need to quantify the bias in LLMs, apply mitigation techniques, and quantify the effectiveness of mitigation techniques by re-evaluating the bias in LLMs.

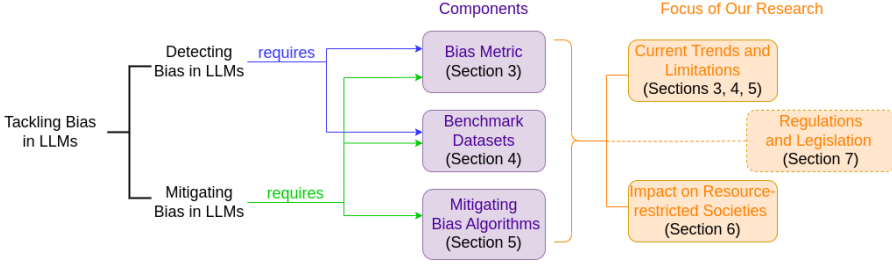


Fig. 1. Outline of this research paper. A synthesis of current research trends and limitations for each component is presented. We also analyse the impact on under-represented societies. Ongoing changes to regulations and legislation and the direct/indirect implications towards tackling bias research are also presented.

2 BACKGROUND

This section presents an overview of LLMs, the benefits and capacity of LLMs, the handling of the bias problem in LLMs, and defines bias and under-represented society.

2.1 Pre-trained Language Models (LLMs)

Pre-trained language models (LLMs) are transformer-based models [196] with an autoregressive, autoencoding, or encoder-decoder architecture trained on a large corpus of hundreds of millions to trillions of tokens. Autoregressive models, such as GPT-like models [24, 159, 160] and LLaMA-2 [189], predict future values based on past values. Autoencoding models are oriented explicitly toward language understanding and classification tasks, and the training process of the models generally involves bi-directionality. Examples of autoencoding models are BERT [46] and RoBERTa [115]. Encoder-decoder models, also called sequence-to-sequence networks, such as BART [101] and T5 [161], are generally used for machine translation tasks. LLMs have the potential to be adapted and used in various applications. This research is restricted to NLP-related text-based applications of LLMs. A detailed survey of LLMs' benefits, capabilities, and applications is out of the scope of this research (see [20] for a detailed survey).

2.2 Bias

Many definitions of bias exist subject to various factors such as research fields, context and culture, and vary depending on the domain, such as law, psychology, data science, legal and healthcare. Bias can be considered a systematic error in decision-making processes that results in unfair outcomes [11, 19, 54, 151]. The underlying principles of tackling bias are designed to measure harm; harm caused towards an individual or group due to their gender, age, race and other factors.

For this research, we consider bias in LLMs from a technical point of view, where detecting, quantifying and evaluating bias in LLMs are the focus. Bias in LLMs reflects disparate treatment or outcomes between social groups arising from historical and structural power imbalances [10, 17, 35], incorporating harms such as misrepresentation, stereotyping, disparate system performance, and direct and indirect discrimination [10, 17, 35]. Bias in LLMs is a byproduct introduced via biased training sources, such as training data, modeller diversity, model architecture, and adaptation for a specific downstream task [20]. Such bias results in the user experiencing extrinsic harm (see Appendix A Figure 5 for more details). This can be in the form of abuse and representational harm. For example, misgendering of persons where the default is a male pronoun [173], a generation of hurtful stereotypes [138], and a model attacking users with toxic content [61]. Furthermore, groups

or sub-populations may also be subject to harm [20, 210]. For generative LLMs, bias can also result from the prompt used to obtain the output. For example, with GPT-3, it has been proven that when testing the association between gender and occupation, 83% of the occupation prompts generated text with male identifiers [24]. In tasks such as prompt completion and story generation, GPT-3’s output has a higher violent bias against Muslims than other religious groups [1].

2.3 Under-represented Society

We define an under-represented society as one with limited resources, such as data and/or limited access to technology [143, 201]. This includes indigenous populations, such as Aborigines in Australia and Māori in New Zealand (NZ), and the low caste societies in India. In the above cases, privileged groups, such as NZ Europeans, Australian Europeans and high-class caste societies in India, have better availability of the same resources. In this research, we use New Zealand –with under-represented societies, such as the indigenous Māori, and the privileged group, such as the NZ Europeans– to provide analysis on the adaptability and applicability of bias-related techniques.

2.3.1 New Zealand. Aotearoa New Zealand (NZ) is a multi-cultural country where ‘NZ Europeans’ are the majority, and the indigenous population, Māori, are the minority. Over the years, many other people from various countries and continents, such as China, India, and the Middle East, have also migrated to NZ. English is the most widely used language in NZ, and te reo Māori is the indigenous language spoken by 4.5% of the total population of 5 million. NZ’s unique culture is reflected in the language where loanwords from te reo Māori are interlinked [71, 81, 192].

In NZ, Māori experience significant inequities and social bias compared to the non-indigenous population [37, 202, 206, 211]. The need to address such social equity is reinforced by the United Nations Declaration on the Rights of Indigenous Peoples and Te Tiriti o Waitangi (The Treaty of Waitangi, 1840) in NZ [146]. See Section 7 for discussions on ongoing changes in regulations and legislation worldwide and in NZ.

2.4 Handling the Bias Problem

We consider various components of bias-related research to understand the current trends in tackling the bias problem and how such research fits the needs of under-represented societies. Detecting bias in LLM requires understanding bias metrics and bias-related benchmark datasets. The effectiveness of mitigating bias in LLM will depend on the mitigation technique and the relative change in the bias of LLM before and after applying the mitigation technique. The legislation on tackling bias influences the overall landscape of the study related to the bias problem. A brief overview of regulations and legislation is provided in Section 7. Details of bias metrics, benchmark datasets and mitigating bias techniques are discussed in Sections 3.1, 4.1 and 5.1.

3 BIAS METRICS

Bias metrics are categorised based on what they use from the model to calculate the bias of LLMs. The three main categories are embeddings-based, probability-based and generated-text-based metrics. This section provides an overview of bias metrics and limitations. See Section 6.2 for an analysis of bias metrics with respect to the applicability and adaptability towards under-represented societies.

3.1 Current Research Trends

3.1.1 Embedding-Based Metrics. Embeddings-based metrics use dense vector representations to measure bias, typically contextual sentence embeddings for LLMs. Such metrics are defined at word or sentence level to quantify embedding bias. **Word Embedding Association Test (WEAT)** [27] is

a word-level bias metric designed for static word embeddings and is the basis for embeddings-based metrics used in LLMs. WEAT provides the building blocks for sentence-level embedding metrics; hence, it is vital to understand WEAT. LLMs use embeddings learned in the context of a sentence and are paired with embedding metrics for sentence-level encoders. Using complete sentences ensures a more targeted evaluation of various dimensions of bias. In general, sentence templates are used to probe for specific stereotypical associations.

WEAT is where two sets of target words T_1 and T_2 and two sets of attribute words A_1 and A_2 are expected to be defined such that the query (Q) is formed as $Q = (\{T_1, T_2\}, \{A_1, A_2\})$. Given that the word embedding w and $\cos(w, x)$ is the cosine similarity of the word embedding vectors, WEAT first defines the measure as $d(w, A_1, A_2) = \text{mean}_{x \in A_1} \cos(w, x) - \text{mean}_{x \in A_2} \cos(w, x)$, resulting in WEAT metric:

$$F_{WEAT} = \sum_{w \in T_1} d(w, A_1, A_2) - \sum_{w \in T_2} d(w, A_1, A_2) \quad (1)$$

Sentence embedding association test (SEAT) [124], an adaptation of WEAT for contextualized embeddings, is used to measure the association between two sets of targets and two sets of attributes via sentence templates such as “He/She is a [MASK]”. The cosine distance between the two sets of embeddings is calculated, similar to WEAT, to obtain the SEAT score.

In addition to SEAT, **the contextualized embedding association test (CEAT)** [64] is another extension of WEAT. CEAT is designed to summarize the magnitude of overall bias in neural language models using a random-effects model. Unlike static word embeddings, in contextualized embeddings, the meaning of the same word varies based on context. Hence, instead of using a sentence template similar to SEAT, CEAT measures the distribution of effect sizes embedded in a language model to tackle the range of dynamic embeddings representing individual words.

Sentences with combinations of $Q = (\{T_1, T_2\}, \{A_1, A_2\})$, as in WEAT, are generated and using a random sample of a subset of embeddings, the distribution of effect sizes is calculated. The magnitude of the bias is calculated with the variance of the random-effects model v_i given by:

$$F_{CEAT}(S_{A_1}, S_{A_2}, S_{T_1}, S_{T_2}) = \frac{\sum_{i=1}^N v_i \text{WEAT}(S_{A_1}, S_{A_2}, S_{T_1}, S_{T_2})}{\sum_{i=1}^N v_i} \quad (2)$$

3.1.2 Probability-Based Metrics. In general, probability-based metrics can be categorised into two main groups: masked tokens and pseudo-log-likelihood. Masked tokens compare the probabilities of tokens from fill-in-the-blank templates, and pseudo-log-likelihood compares the likelihoods between sentences.

Discovery of correlations (DisCo) [204] is a template-based masked token metric, where two-slot templates such as “[X] likes [MASK]” are used. The first slot “[X]” is manually filled with biased trigger words such as he/she or black-American, and the second slot is filled by the language model’s top three predictions.

Log probability bias score (LPBS) [97] is also a template-based masked token metric. LPBS uses normalization to correct for the language model’s prior favouring of one social group over another, such as the language model having a higher prior probability for males than females, and thus only measures bias attributable to the neutral attribute tokens. Hence, bias is the measure of the differences between normalized probability scores for two binary and opposing social group words, as given by:

$$LPBS = \log \frac{p_{tgt_i}}{p_{prior_i}} - \log \frac{p_{tgt_j}}{p_{prior_j}} \quad (3)$$

where a target token’s predicted probability is p_{tgt} and language model’s prior probability is p_{prior} . Categorical Bias Score [3] is the non-binary variation of LPBS, where the variance of predicted

tokens for different social groups is calculated using:

$$CBS = \frac{1}{|T|} \frac{1}{|A|} \sum_{t \in T} \sum_{a \in A} \text{Var}_{n \in N} \log \frac{p_{tgt}}{p_{prior}} \quad (4)$$

where the set of templates is $T = t_1, t_2, \dots, t_m$, the set of social group words is $N = n_1, n_2, \dots, n_n$, and the set of attribute words are $A = a_1, a_2, \dots, a_o$. LPBS is equivalent to CBS if $|T| = 2$.

Pseudo-log-likelihood (PLL) [169] calculates the probability of generating a token given other words in the sentence. Similarly, given a sentence S , PLL approximates the probability of a token conditioned on the rest of the sentence by masking one token at a time and predicting it using all the other unmasked tokens. PLL for a sentence S is given by:

$$PLL(S) = \sum_{s \in S} \log P(s|S_{\setminus s}; \theta) \quad (5)$$

CrowS-Pairs Score [130] is also a PLL-based bias score where sentences are compared. Given a pair of sentences with one stereotyping and one less stereotyping, the language model's preference for stereotypical sentences is calculated using PLL.

Context Association Test (CAT) [129] pairs each sentence with a stereotype, anti-stereotype, and meaningless option, where the options are for either fill-in-the-blank tokens or continuation sentences. Extending CAT, iCAT [129] assumes an idealized scenario where language models always choose the meaningful option. All Unmasked Likelihood (AUL) [87] is another variation of PLL, where an unmasked sentence is presented to the language model to predict all tokens in the sentence. The unmasked input provides the language model with the information required to predict a token, improving the model's prediction accuracy and avoiding selection bias in the choice of which words to mask.

3.1.3 Generated Text-Based Metrics. Generated text-based metrics make use of the LLM-generated text continuations. Prompts categorised as biased or toxic, found in datasets such as RealToxicityPrompts [61] and BOLD [47], are used to obtain text continuations. Generated text-based metrics can be categorised into three groups: distribution-based, classifier-based, and lexicon-based.

Distribution-based metrics compare the distribution of tokens associated with one social group or nearby social group terms to detect bias in the generated text. Examples of distribution metrics include co-occurrence bias score [23], which measures the co-occurrence of tokens with gender words in generated text data; demographic representation [21], which compares the frequency of mentions of social groups to the original data distribution; and stereotypical associations [21], which measures bias associated with specific terms.

Classifier-based metrics are designed to score generated text outputs for their toxicity, sentiment, or any other dimension of bias. The frequency of toxic text in the LLM generates text output is calculated as Toxicity probability (TP) [21, 61]. Score Parity [180] is another variation where, given a set of protected attributes, the consistency of a language model-generated text is measured with toxicity or sentiment classifier. In addition to toxicity and sentiment, regard is another measure used. Regard score [177] extends sentiment score with respect score.

Lexicon-based metrics are designed to compare each word in the output to a pre-compiled list of words, such as harmful words, or assign each word a pre-computed bias score. Examples include HONEST [138], which measures the number of hurtful completions; psycholinguistic norms [47], which leverage numeric ratings of words by expert psychologists, where each word is assigned a value that measures its affective meaning, such as dominance, sadness or fear; and gender polarity [47], which measures gendered words in a generated text.

3.1.4 In Summary. Table 1 summarises the bias metrics discussed in Section 3.1.

Table 1. Summary of bias metrics. Emb: embedding-based, Prob: probability-based, GenText: generated text-based.

Bias Metric	Category	Details	Introduced with Bias datasets
WEAT	Emb	Static word embeddings	pre-defined targets and attributes
SEAT	Emb	Contextual word embeddings	sentence template with targets and attributes from WEAT
CEAT	Emb	Contextual word embeddings	targets and attributes from WEAT, with random sampling
DisCo	Prob	Template-based masked token metric	pre-defined bias trigger words
LPBS, CBS	Prob	Template-based masked token metric	pre-defined opposing social groups
PLL-based (CrowS-Pairs, CAT, AUL)	Prob	Stereotype, anti-stereotype	annotated sentences
Distribution-based	GenText	Any prompts	pre-defined tokens associated with social groups
Classifier-based	GenText	Toxic prompts, Counterfactual tuple	toxicity, sentiment or regard scores
Lexicon-based	GenText	Any prompts, Counterfactual tuple	pre-compiled list of harmful or biased words
			HONEST and BOLD

3.2 Limitations

3.2.1 Embedding-based metrics. Embedding-based metrics depend highly on different design choices, including the construction of template sentences, the choice of attribute, target and seed words, and the contextualized embedding representation [41]. WEAT measures biases using words to represent social groups and attributes. Hence, bias analysis via WEAT is limited to the corresponding words, such as intersectional representation for only African American women. Given SEAT extends WEAT by using the list of attributes and target words in a sentence template, SEAT is limited in the same way as WEAT. Furthermore, while CEAT was designed to overcome the limitations of WEAT and SEAT, CEAT relies on a Reddit corpus to obtain naturally occurring sentences to quantify bias. Consequently, CEAT is reflected by the biases of the underlying population contributing to the Reddit corpus. Although embedding-based metrics are used as a baseline for evaluating bias in

language models, evidence suggests that in downstream tasks, bias measures in the embedding space are weak or reflect inconsistent relationships [26, 148].

3.2.2 Probability-Based Metrics. Like the embedding-based metrics, given a downstream task, probability-based metrics are weakly correlated with biases that appear in tasks [41]. Moreover, most probability-based metrics rely on templates and target words. As indicated in Section 3.2.1, the availability of diverse templates and target words is minimal, especially in under-represented societies, resulting in a lack of generalizability and reliability. Although templates are used in most embedding-based and probability-based bias evaluation metrics as they are convenient, easy to use, and scalable, they tend to be extremely short and convey a single idea due to the nature of templates. These templates fail to reflect the complexity and style of natural text. Hence, template evaluation may capture a limited and misleading picture of model bias. Evidence suggests that the quality and variations in the choice of templates determine the effectiveness of metrics used to quantify bias in LLMs [176]. Metrics, such as iCAT, assume that the language model is unbiased if stereotype and anti-stereotype sentences are selected at equal rates. However, such assumptions are subjective, and it is unclear how a choice between a pair of sentences can capture the bias in language models.

3.2.3 Generated Text-Based Metrics. Distribution-based metrics rely on word associations with protected attributes. Hence, as with the embedding-based and probability-based metrics, distribution-based metrics are limited for measuring downstream task disparities [26]. Classifier-based metrics are subjective and can incorporate their own biases. Lexicon-based metrics rely on the relational patterns between words, sentences, or phrases. However, a sequence of harmless words can still result in biased outputs. Individual models and the generated text can significantly differ if the decoding parameters are modified. Hence, bias metric scores obtained using generated text for a given LLM depend on the decoding parameters.

4 BENCHMARK DATASETS

We categorise bias benchmark datasets using multiple factors, such as target bias group, bias issue, data style, data source, annotation details, languages, and data availability. Bias metrics introduced with benchmark datasets are also indicated. While previous surveys such as [57] only categorise datasets based on the style, such as masked or unmasked sentences and prompts, we believe other factors also play a crucial role in understanding the available datasets. This section also provides limitations of existing datasets. See Section 6.3 for an analysis of adopting bias benchmark datasets towards under-represented societies.

4.1 Current Research Trends

Benchmark datasets relating to evaluating and mitigating bias in LLMs are categorised based on the targeted group. Most of the existing benchmark datasets are gender-related, where binary classification of ‘male’ vs ‘female’ is considered. Furthermore, a few datasets address other biases, such as race/ethnicity, sexual orientation, religion, age, nationality, disability, physical appearance, and socioeconomic status. Moreover, several other factors provide a complete count of the datasets, such as the source, data annotation, and data availability.

Table 2 lists bias-related benchmark datasets with size. Figure 2 presents the summary of these datasets, where several factors such as target group, bias issue, data style, data source, annotation details, and data availability are used to categorise existing bias-related datasets. Furthermore, Appendix B, Table 10 presents examples of selected datasets where the template style is specified.

Additional details for specific datasets are worth noting. Datasets D1, D6, D7 and D8 calculate gender bias through associations between gender-denoting target words and professions. The main

Table 2. **Bias-related benchmark datasets** with assigned #, and number of instances (size) is presented.

#	Dataset	Size	#	Dataset	Size
D1	BEC-Pro [12]	5,400	D13	EEC [91]	4,320
D2	BUG [100]	108,419	D14	PANDA [157, 158]	98,583
D3	GAP [203]	8,908	D15	HolisticBias [182]	460,000
D4	GAP-Subjective [152]	8,908	D16	HONEST [138]	420
D5	StereoSet [129]	16,995	D17	TrustGPT [77]	9
D6	WinoBias [168]	3,160	D18	RealToxicityPrompts [61]	100,000
D7	WinoBias+ [195]	3,167	D19	BBQ [154]	58,492
D8	Winogender [219]	720	D20	UnQover [102]	30
D9	WinoQueer [53]	45,540	D21	Grep-BiasIR [94]	118
D10	Bias NLI [43]	5,712,066	D22	RedditBias [9]	11,873
D11	Bias-STS-B [204]	16,980	D23	BOLD [47]	23,679
D12	CrowS-Pairs [130]	1,508			

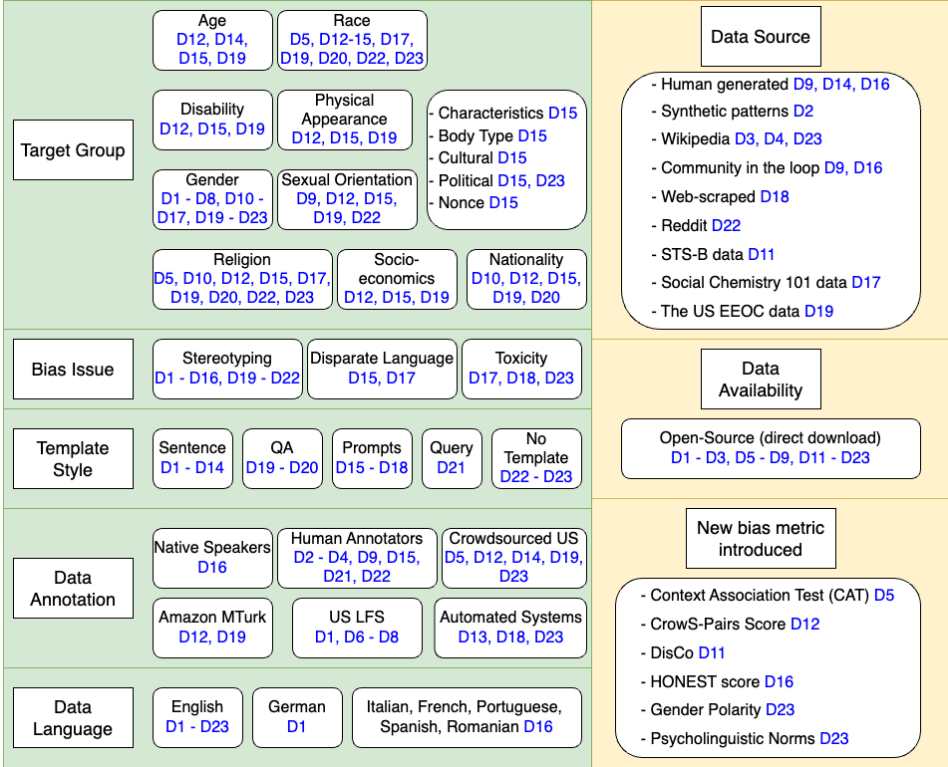


Fig. 2. **Overview of Bias benchmark datasets** is presented, where dataset references are as per Table 2. Bias target groups and issues, and data source, style, annotation details and language availability are included. Datasets which are open-sourced (see Appendix B Table 11), and which introduce a new bias metric are also specified. US LFS refers to the US Labor Force Statistics; MTurk is Mechanical Turk; and US EEOC is the US Equal Employment Opportunities Commission.

difference between D4 and D3 is that D4 includes more subjective sentences expressing opinions and viewpoints. Wino Scheme-based datasets D6 - D8 are mostly similar with some differences, such as D6 only has females and males, whereas D7 and D8 include gender-neutral writing. D6 contains references to 40 occupations, while D8 to 60. Dataset D5 provides two templates: (i) fill-in-the-blank and (ii) sentence completions, with three choices for answers in both cases. Some datasets use automated systems to obtain data labels, such as D13 uses automated sentiment analysis using methods from SemEval-2018 Task; and D18 calculates the toxicity score using Perspective API². Dataset D10 probes for bias through inference tasks and uses textual inference to predict bias in two sentences, and D17 use the average toxicity value, standard deviation and results of the Mann-Whitney U test to define bias. D20 is designed not to have an obvious answer; hence, no correct answer is provided, as each answer should be equally likely under an unbiased model. D20 defines and calculates subject-attribute bias. The only query dataset, D21, includes seven gender-related topics: appearance, child care, physical capabilities, career, cognitive capabilities, domestic work, sex and relationship.

While we have predominantly focused on the originally developed versions of benchmark datasets, as presented in Table 2, there has been some recent addition in other languages. For example, French CrowS-Pairs [136] is a French sentence pair dataset that covers stereotypes in various types of bias like gender and age, and the CDialbias dataset [222] is a Chinese social bias dialogue dataset.

4.2 Limitations

Blodgett et al. (2021) [18] highlights the shortcomings of sentence template datasets, where datasets D5, D6, D8, and D12 are analysed. Defining and measuring bias and being able to indicate real-world stereotypes are not simple tasks. Nearly half of all instances in datasets D5, D6, D7, and D12 contain ambiguities about what stereotypes they capture [18]. The validity of bias benchmarks is further questioned as Selvam et al. (2023) [175] provide evidence using D8 and D10 that even small changes in datasets (a change which does not meaningfully alter semantics) can drastically change bias scores.

Furthermore, when considering the data annotation and data sources in Figure 2, it is clear that the bias benchmark datasets are US-based. Details of the US labor force statistics and the US equal employment opportunities are used in datasets D1, D6-D8, and D19. Given such datasets are constructed using templates, the protected attributes and other words lack diversity and are likely to under-represent the broader populations. Crowdsourcing and using Amazon MTurk are also options that may not be feasible for non-US settings. Moreover, most of the datasets are gender-related, with an emphasis on gender-occupation associations. This results in capturing narrow notions of bias.

Using prompts or a short sequence of text to generate continuation can result in misleading analysis, as the harmful or safe output may not be related to the target group [4]. An alternative is to include a situation as part of a prompt, not just a target group, to obtain text completions to identify bias in LLMs.

5 BIAS MITIGATION (DEBIASING) TECHNIQUES

We categorise techniques for mitigating bias in LLMs based on the type of modifications the methods are designed to make. Figure 3 and Table 3 provide details of various stages of an LLM pipeline and the specific components at which the current debiasing techniques are focused. Multiple

²<https://www.perspectiveapi.com/>

strategies for debiasing LLMs focus on modifying data, including input data, data used for pre-training, fine-tuning or prompt-tuning, and final output data. We categorise these techniques as “Data-related” techniques. Although these data-related debiasing techniques are at various stages of the pipeline, namely pre-processing, during training and post-processing, collectively, such data-related debiasing techniques aim to modify the original data to reflect/represent less biased data. The second category, “Model parameter-related” debiasing techniques, focuses on changing/updating the parameters of LLMs via gradient-based updates. Such model parameter modifications are achieved by adding regularisation functions to the model’s original function or using a new loss function. The third category, “Inference-based” debiasing techniques, focuses on modifying the behaviour of inference (the weights or decoding behaviour of the model) without further training or fine-tuning.

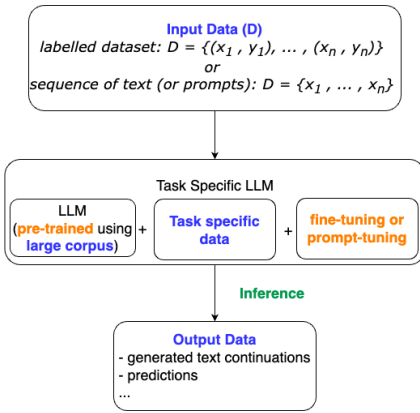


Fig. 3. Pipeline of LLMs with components at which current debiasing techniques are focused is presented. We categorise these techniques into **data-related**, **Model parameter modifications**, and **inference stage**.

Table 3. Details of categories of current debiasing techniques are presented (refer to Figure 3).

Category	Details
Data-related	Debiasing LLMs by modifying data: (i) input data (ii) pre-training data (iii) task-specific data for fine-tuning or prompt-tuning (iv) output data
Model parameter-related	Debiasing LLMs by adding a regularisation function to the model’s loss function or introducing new loss functions during: (i) pre-training (ii) fine-tuning or prompt-tuning
Inference stage	Debiasing the trained model’s behaviour without further training or fine-tuning. Also known as intra-processing mitigation [171].

5.1 Current Research Trends

5.1.1 Data-related Debiasing Techniques. This section focuses on techniques designed to modify the data at input, such as pre-training or fine-tuning data or prompts, and outputs without changing the model’s trainable parameters. Table 4 provides examples for selected techniques, and Table 5 provides an overview of data-related debiasing techniques.

Input data (prompts). **Prompt modification techniques** are based on carefully designed prompts to instruct the model to avoid biased language. Modified prompting language and control tokens are generally interpretable. Examples include: modifying prompt language to instruct the model to avoid using stereotypes [122], prepending a positive adjective or short phrases to the prompts [1, 197], use of adversarial triggers [178, 197], controlling tokens in prompts [49], and iterative search of input prompts to select prompts that maximise positive/neutral outputs [178]. Another technique is to use a reward function to score the input samples where the input with unwanted properties, such as toxicity or bias, are binned [116].

Training data. Training data includes debiasing techniques that can modify pre-training, fine-tuning or prompt-tuning data. **Counterfactual data augmentation (CDA)** [9, 50, 204, 223] is a widespread data processing method where a corpus (training or fine-tuning data) is re-balanced by swapping bias attribute words. CDA uses a pre-defined list of biased word pairs, such as he/she and white/black, where the attribute is replaced. For example, in binary gender debiasing, “[He] is strong” is replaced with “[She] is strong”.

Several variations of CDA have been proposed, such as counterfactual data substitution (CDS) [123] and names intervention [123]. A recent modification to CDA generated training examples for fine-tuning by masking the bias attribute words and predicting a replacement with a language model, where the label is as of the original sentence [62]. Another variation of CDA, **Mix-Debias** [215], relies on the mixup [218] technique and aids in fine-tuning language models towards less biased representations. The mixup technique is where counterfactually augmented training examples are interpolated with the original versions and their labels to extend the training data distribution. Mix-Debias use mixup on an ensemble of corpora to reduce bias with an expanded training set.

Iterative Null-space Projection (INLP) [164] remove bias by projecting the original embeddings onto the nullspace of the bias terms. INLP is designed to “guard” sensitive information so that it will not be encoded in a representation. Given a set of vectors and corresponding discrete attributes, for example, race or gender, a transformation is learnt such that no linear classifier can predict the discrete attributes accurately. This is achieved by repeated training of linear classifiers that predict the target followed by projection of the representations on their null space. This process makes the classifiers oblivious to that target property, making it hard to separate the data according to it linearly. The non-linear classifier version, **Iterative Gradient-Based Projection (IGBP)** [78], leverages the gradients of a neural-protected attribute classifier to project representations to the classifier’s class boundary. This results in representations indistinguishable from the protected attribute.

Sent-Debias [107] is a technique proposed to debias contextualized sentence representations. This technique uses a sentence template, where the bias is removed by subtracting the projection of the sentence template with pre-defined social group terms from the projection of the original sentence representation. Unfortunately, Sent-Debias results in the removal of semantic or grammatical information. To overcome this issue, less aggressive bias removal techniques are introduced [44, 109]. **OSCAR** [44] is one such technique used for gender bias problems, where the technique focuses on disentangling associations between concepts deemed problematic instead of deleting concepts.

Data filtering and re-weighting techniques target specific examples in an existing dataset using predefined characteristics, such as high or low bias levels or demographic information. In general, such targeted examples are modified by removing protected attributes or re-weighting based on the significance of individual instances. To ensure fine-tuning data includes a more diverse worldview, text written by historically disadvantaged gender, racial, and geographical groups are filtered [60]. In another example study, the frequency of words from a predefined word list is used to create a low-bias dataset by selecting the 10% least biased examples from the dataset [22]. Ngo *et al.*, [137] proposed appending each document with a phrase representing undesirable harm, such as racism or hate speech, and using a pre-trained model to compute the conditional log-likelihood of the modified documents. Documents with high log-likelihoods are removed from the training set. **Dropout Bias ASsociations (D-Bias)** [150], another technique, uses pointwise mutual information to identify and select frequently co-occurring proxy words, where identity words and proxies are masked before fine-tuning.

Self-debiasing [194] uses a shallow model trained on a small subset of the data to identify potentially biased examples down-weighted by the primary model during fine-tuning. **BLIND** [147]

Table 4. Examples of data-related debiasing techniques, where Eg 1 demonstrates modified prompting language; Eg 2 demonstrates CDA; Eg 3 demonstrates data filtering, where the undesired biased part of the sentence is removed; and Eg 4 demonstrates gender-neutral output using keyword replacement.

Data-related Debiasing		Original Data	Modified Data
Input data (prompts)	Eg 1:	“Two black men went to... ”	“Black people are kind. Two black men went to... ”
Training data	Eg 2:	“He works hard and provides for his family.”	“ <u>She</u> works hard and provides for <u>her</u> family.”
	Eg 3:	“She is a well-respected teacher. Female teachers are illiterate.”	“She is a well-respected teacher. ” Female teachers are illiterate.
Output data	Eg 4:	“The mother took care of sick kids.”	“The <u>parent</u> took care of sick kids.”

is another technique which identifies demographic-laden examples to down-weight using an auxiliary classifier, where the classifier is based on the predicted pre-trained model’s success.

Other examples include **neutralising or filtering** out the most biased examples from datasets [186], **downsampling majority-class** instances [70], and instance reweighting to equalize the weight of each class during training [70]. Furthermore, given a teacher-student model, to ensure the smaller student model does not amplify the teacher model biases [40, 66], its predicted token probabilities are modified before passing them to the student model as a pre-processing step. Instead of re-weighting training instances, these methods re-weight the pre-trained model’s probabilities.

Process for Adapting Language Models to Society (PALMS) [183] is a technique used to adjust the behaviour of an LLM to be sensitive to predefined norms. PALMS creates ‘value-targeted’ datasets by choosing a set of topics on which to adjust and improve model behaviour, then describing the language model’s desired behaviour on each topic, followed by creating prompts for the language model to obtain the values-targeted dataset with the desired behaviour, fine-tuning the model on the values-targeted dataset, and finally validating against human annotations. Fine-tuning LLMs on curated or values-targeted datasets created using PALMS is an effective debiasing technique. Although PALMS is a process, the aim is to create value-targeted datasets, and as such, it is listed as part of the training data modification techniques.

Output data. Debiasing model outputs using post hoc methods, focusing only on mitigating bias in the generated output. These techniques are ideal for black box models as they do not assume access to a trainable model. Given that the focus is only on the model output stage, these are also called post-processing mitigation techniques. Model output data are mitigated by **identifying** biased tokens and **replacing** them via rewriting.

Rewriting techniques use **pre-defined rules or lists** of tokens to detect harmful words and replace them with more positive or representative terms. Such techniques, referred to as keyword replacement strategies, consider the complete generated output, not just the specific token, to preserve the original output’s content and style. Examples of keyword replacement strategies are presented in [48, 73, 188]. **Detect and Perturb to Neutralize (DEPEN)** [73], is a gradient-based rewriting framework, where in step one the sensitive components are detected and masked using a protected attribute classifier, and in step two a complete sentence is regenerated from the unmasked part of the input such that the model output no longer reveals the sensitive attribute. A posthoc method based on chain-of-thought prompting using SHAP [117] analysis is proposed by [48] to tackle stereotypical words towards queer people in model outputs. In another rewriting technique,

Table 5. Overview of **data-related** debiasing techniques, where the details of the form of the required pre-defined data (or knowledge) are also specified. Pre-defined requirements are lists, unless specified. Word pairs examples include ‘male-female’, ‘he-she’, ‘actor-actress’ or ‘white American - black American’.

Debiasing Techniques	Pre-defined Requirement				
	Attributes or Tokens	Word-pairs	Phrases or Sentence	Prompts	Other Details
<u>Input data (prompts)</u>					
- Prompt modification techniques in [1, 49, 122, 178, 178, 197, 197].	biased		yes		positive adjectives
- Prompt modification using reward function [116].	biased or toxic				
<u>Training data</u>					
- CDA, CDS, names intervention, & Mix-Debias		yes			
- INLP and IGBP	biased		yes		
- Sent-Debias, OSCAR	biased		yes		sentence templates
- D-Bias		yes			
- Self-debias				hand-crafted	
- Data filtering & re-weighting in [22, 60, 70, 70, 137, 147, 186].	biased		yes		phrases representing harm curated datasets
- PALMS				hand-crafted	
<u>Output data</u>					
- Re-writing by keyword replacement strategies [48, 73, 188].		yes			
- Rule-based rewriting approaches [184, 195].		yes			look-up table
- Re-writing by backward data augmentation technique [5].	biased & neutral	yes			look-up table
- Human-annotated rewriting					human/expert annotation
- InterFair					user input

LIME [166] is used to identify tokens responsible for bias, and the latent representations of the original sentence are used to identify replacement words [188].

Alternatively, parallel corpora of biased and unbiased sentences can be utilised in the same manner as a translation task to rewrite the model output. A parallel corpus of sentences can be generated using a rule-based approach [79, 184, 195], **backward data augmentation** technique [5] and **human-annotation** [200]. Another rewriting technique, **InterFair** [119], utilises user feedback to balance debiasing the output and model performance.

5.1.2 Model Parameter-related Debiasing Techniques. This section focuses on bias mitigation techniques designed to modify the training procedure by changing the model parameters through gradient-based training updates. These modifications are achieved by changing the optimization

process, updating next-word probabilities in training, selectively freezing parameters during fine-tuning, or identifying and removing specific neurons contributing to harmful outputs. Figure 4 provides an overview of fine-tuning, prompt-tuning and adding an adapter to the transformer layer. An overview of model parameter-related debiasing techniques is presented in Table 6.

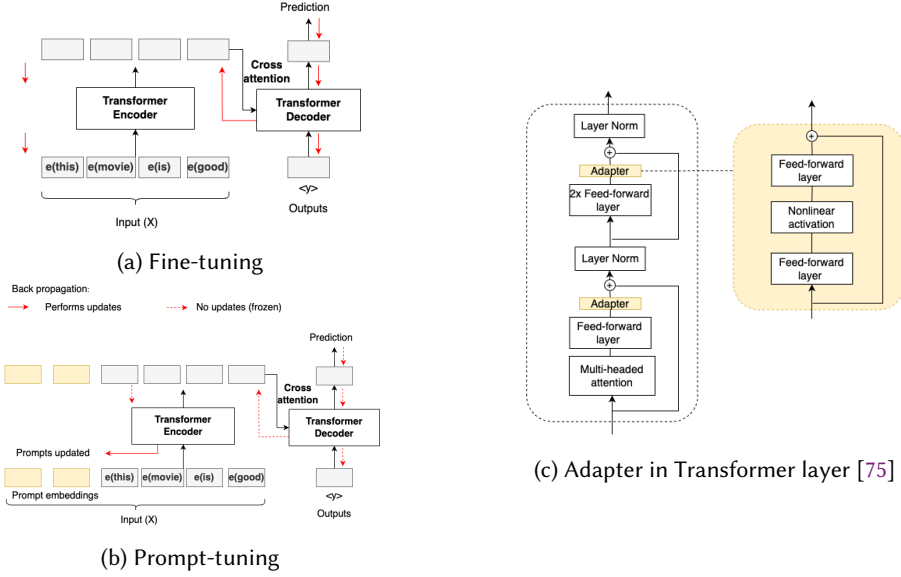


Fig. 4. Figure 4a demonstrates fine-tuning, Figure 4b is prompt tuning, and Figure 4c presents the adapter module added twice to each transformer layer. A red line indicates the back-propagation process: the solid lines indicate the model parameter updates, and dashes for no updates. ‘e’ refers to embeddings.

Adapter Models. Adapter-based debiasing of language models (ADELE) [98] is an adapter module that mitigates gender bias. The adapter modules are first injected into the original LLMs layers, where the original LLMs parameters are frozen, and only the adapters are updated. One adapter module is added to each layer of the LLM, similar to that of [156]. The adapter, a two-layer feed-forward network, is computed using $\text{Adapter}(h, r) = U \cdot g(D \cdot h) + r$. Here, h and r are the hidden state and residual of the respective Transformer layer. $D \in R^{m \times h}$ and $U \in R^{h \times m}$ are the linear down- and up-projections, respectively, and $g(\cdot)$ is a non-linear activation function.

Loss Functions. Loss function modification can disrupt the association between the output semantics and stereotypical terms, resulting in independence from a social group. The modification of the loss function can be achieved through a new equalising objective, regularisation constraints, or by using a different criterion –such as contrastive learning, adversarial learning, and reinforcement learning– for training. **Equalising objective functions** can be categorised as embeddings-based, attention-based or distribution-based functions. It is generally added as a regularisation term for bias mitigation to the model’s original loss function or is an entirely new loss function. Selected examples of **embeddings-based equalising objective functions** are provided.

An embeddings-based objective function added as a regularisation term is presented by [111], which minimises the distance between embeddings of a protected attribute and its counterfactual in a list of gender or race words. Given the original training loss function L_{org} , the new loss function

is:

$$L = L_{org} + R \quad \text{where,} \quad R = \lambda \sum_{(a_i, a_j) \in A} \|E(a_i) - E(a_j)\|_2 \quad (6)$$

Here, $E(\cdot)$ is the embeddings, a_i is the protected attribute and a_j is its counterpart.

Another embeddings-based objective function added as a regularisation term is presented by [153] called stereotype neutralization (SN), which targets reducing the gender characteristics retained in gender stereotypical words by distancing from the gender-directional vector during the fine-tuning step. A gender-directional vector represents the gender subspace in the embedding space with inherent gender information. Given a LLM,

$$R = \sum_{w \in W_{stereo}} \left| \frac{g^T}{\|g\|} w \right| \quad \text{where,} \quad g = \frac{1}{|A|} \sum_{X(a_i, a_j) \in A} E(a_j) - E(a_i) \quad (7)$$

Here, the gender-inherent word list A contains pairs of feminine words a_i and masculine words a_j in which gender characteristics like the words ‘sister’ and ‘brother’ should not be removed. $E(\cdot)$ computes the embeddings of a model and W_{stereo} is referring to the set of stereotypical embeddings.

The following example is an embeddings-based objective function added as a regularisation term presented by [32], which minimises the mutual information between a random variable (RV) representing a protected attribute and the encoding of an input. Given an encoder with random sentence input X mapped to an arbitrary representation Z using a deep encoder f_{θ_e} . The mutual information I is minimised between the latent code represented by the random variable $Z = f_{\theta_e}(X)$ and the desired attribute represented by the RV Y , using $R = \lambda \cdot I(f_{\theta_e}(X); Y)$.

The final example is an embeddings-based objective function with a new loss function presented by [209], which optimises the parameters of prompts for continuous prompt-tuning in the LLM, where L_{bias} is minimising biases, and $L_{representation}$ is ensuring the expressiveness of the debiased model. L_{bias} is a loss function that minimises the Jensen-Shannon divergence between the distributions P^{a_i} and P^{a_j} , the distances between the two distinct protected attributes a_i and a_j to all neutral words. $L_{representation}$ is achieved by maintaining the words’ relative distances to one another through the KL divergence regularisation term over the original distribution Q and the new distribution P . The resulting loss function is:

$$L = L_{bias} + \lambda L_{representation} = \sum_{i, j \in \{1, \dots, d, i < j\}} JS(P^{a_i} || P^{a_j}) + \lambda KL(Q || P) \quad (8)$$

An attention-based objective function added as a regularisation term presented in [56], referred to as **Attention-Debiasing (AttenD)**, modifies the distribution of weights in the attention heads of the model. To address stereotypes learned in the attention layer of sentence-level encoders, attention scores are redistributed such that it forgets any preference based on historical biases and treats all social classes with the same intensity. The regularisation term, i.e. the equalisation loss function (L_{equ}), is added to a semantic information preservation term (L_{distil}) that computes the distance between the original (O) and fine-tuned models’ attention scores. The resulting loss function is $L = L_{distil} + \lambda L_{equ}$. Given a sentence $S \in \mathbb{S}$, where \mathbb{S} is the entire corpus, and a set of tuples \mathbb{G} for every bias type such that $\mathbb{G} = T_1, T_2, \dots, T_k$ where each T_i describes social groups. For an encoder with NL layers, H attention heads:

$$L_{distil} = \sum_{S \in \mathbb{S}} \sum_{l=1}^{NL} \sum_{h=1}^H \|A_{:\sigma, : \sigma}^{l, h, S, G} - O_{:\sigma, : \sigma}^{l, h, S, G}\|_2^2 \quad \text{and} \quad L_{equ} = \sum_{S \in \mathbb{S}} \sum_{l=1}^{NL} \sum_{h=1}^H \sum_{i=2}^{|\mathbb{G}|} \|A_{:\sigma, \sigma+1}^{l, h, S, G} - A_{:\sigma, \sigma+i}^{l, h, S, G}\|_2^2 \quad (9)$$

Entropy-based attention regularisation (EAR) [6], another attention-based objective function, is also added as a regularisation term. The entropy of the attention weights’ distribution is used to

measure the relevance of context words, where a high entropy indicates wide use of context and a small entropy indicates the reliance on a few select tokens. EAR avoids overfitting to training-specific terms and encourages attention to the broader context of the input. Unlike other debiasing techniques, EAR does not rely on prior knowledge of the target domain from a pre-defined list of identity terms or samples. The total loss is $L = L_C + L_R$, where L_C and L_R are the classification and regularisation loss (EAR), respectively, and $\lambda \in R$ is the regularisation strength. L_C is the Cross-Entropy loss obtained with a linear layer on top of the last encoder as a classification head. EAR (L_R) is added to the model loss to maximize the entropy at each layer:

$$L_R = -\lambda \sum_{l=1}^L \text{entropy}(A)^l \quad \text{where,} \quad \text{entropy}(A)^l = \frac{1}{d_s} \sum_{i=0}^{d_s} \text{entropy}(A)_i^l \quad (10)$$

The average contextualization for the l -th layer $\text{entropy}(A)^l$, is calculated using the attention entropy of the token at position i given by $\text{entropy}(A)_i^l$, where d_s is the length of the input sequence.

Distribution-based equalising objective functions added as a regularisation term focus on encouraging demographic words to be predicted with equal probability [59, 65, 158]. **Auto-Debias** [65] is also distribution-based, where for a given a prompt x_{prompt} , the equalising loss function minimises the disagreement between the predicted [MASK] token distributions. Auto-Debias combines two stages: (i) automatically searches for the biased prompts, where the disagreement is maximised in generating stereotype words (lawyer/nurse) given demographic words (man/woman), and (ii) minimising such disagreement using the equalising loss function by aligning the distribution at fine-tuning. The biased prompts set P is created by merging the top-K prompts, x_{prompt} , from the search in each iteration step, where the procedure is repeated until the prompt length reaches the pre-defined threshold. The loss function is defined as the Jensen-Shannon divergence (JSD) between the predicted [MASK] token distribution $L(x_{prompt}) = \sum_k JSD(p_{c1}^{(k)}, p_{c2}^{(k)}, \dots, p_{cm}^{(k)})$, where, $p_{ci}^{(k)} = p([MASK] = v | M, x_{prompt}(ci^{(k)}))$ and v is in a certain stereotyped word list. $x_{prompt}(ci) = ci \oplus x \oplus [MASK]$, where \oplus is the string concatenation, for ci in $(c1, c2, \dots, cm)$. Given the prompt $x_{prompt}(ci)$, M predicts the [MASK] token distribution over attribute words. The total loss is the average over all the prompts in the prompt set P .

Other distribution-based equalising functions add regularisation terms focusing on **counterfactual logit pairing (CLP)** [58] where the logits of a sentence and its counterfactual are equalised; causal invariance, known as **Causal-debias** [221], where during fine-tuning label-relevant factors to the downstream task are treated as causal, and bias-relevant factors as non-causal; **penalty**, where during training, tokens strongly associated with bias are penalised [59, 74]; and **Calibrating the predicted probability distribution** to avoid amplification by constraining the posterior distribution to match the original label distribution [83].

The above-mentioned loss function modifications use equalising objective functions –embeddings-based, attention-based or distribution-based– where a regularisation term was added to the loss function or introduced as new loss functions. Alternatively, **dropout** can be used as regularisation during pre-training, where gendered correlations are disrupted by changing dropouts on the attention weights and hidden activation to reduce stereotypical gendered associations between words [204].

Contrastive loss functions, or contrastive learning, are bias mitigation techniques that take biased-unbiased pairs of sentences and maximise similarity to the unbiased sentence. The pairs of sentences are often generated by replacing protected attributes with their opposite or an alternative. Examples of bias mitigation using biased-unbiased pairs of sentences include **FairFil** [29] and **FarconVAE** [145], and using distributions from non-toxic and toxic examples [89]. **CLICK** [220]

uses contrastive loss on the sequence likelihood to reduce the generation of toxic tokens, where for a given prompt, multiple sequences are generated, and a classifier is used to assign positive or negative labels to each sample. The resulting loss is the sum of the model's original and contrastive loss, which encourages negative samples to have lower generation probabilities. Another example uses continuous prompt tuning to amplify bias to avoid overfitting to counterfactual pairs before reducing the bias with contrastive learning [105].

Adversarial learning can be used as a bias mitigation technique to learn models that satisfy an equality constraint concerning a protected attribute [69, 84, 217]. For cases where only sparse labelled protected attributes are available, [68] proposes separating discriminator training from the model training such that the discriminator can be selectively applied to only the instances with labels. AdvBERT [165], a gender-invariant ranking model, uses ranking of information retrieval results to reduce bias.

A reward system based on **reinforcement learning techniques** can also mitigate bias. The reinforcement learning framework by [155] mitigates bias by rewarding low degrees of non-standard text in the generated text, where each sentence is assigned a reward value using a classifier and is added to the model's cross-entropy loss during fine-tuning. Another example used reinforcement learning to mitigate bias in political ideologies, where neutral next-word predictions were encouraged by penalising the model for picking the text that was not neutral [113]. Other examples of studies using reinforcement learning-based fine-tuning methods to mitigate bias include: [149] where human feedback from human-annotated datasets of prompts was used to train a reward model to predict human-desired outcomes, and Constitutional AI [8] where the reward model is based on a list of human-specified principles.

Freezing or Filtering. **Selective parameter freezing or updating** is also used as a debiasing technique, an alternative to fine-tuning on augmented or curated datasets, to avoid weakening the model's downstream performance. Fine-tuning by freezing most pre-trained model parameters or updating a few parameters minimises the model's downstream performance changes while effectively debiasing LLMs. Examples include [63] which freezes more than 99% of model parameters and updates a selective set of parameters, such as layer norm parameters or word positioning embeddings; [162] only updates the attention matrices of the pre-trained model and freezes all other parameters; and [214] optimize weights with the most significant contributions to bias within a domain, where model weights are rank-ordered and selected based on the gradients of contrastive sentence pairs.

Alternatively, **filtering model parameters** to debias focuses on filtering or removing specific parameters by setting them to zero either during or after the training or fine-tuning the model. An example presented by [86] removes some weights of a neural network to select a least-biased subset of weights from the attention heads of LLMs.

Prompt-tuning to Debias. Prompt tuning was introduced in 2021 as an effective transfer learning technique and a lightweight alternative to fine-tuning [103, 114, 209]. In prompt-tuning, all parameters of the original PLM are frozen, and only an additional section of prompts is trained for the downstream tasks (see Figure 4b for more details). Prompt tuning is competitive in performing specific tasks with fine-tuning when paired with larger frozen language models [65, 99]. In 2023 two debiasing methods using prompt tuning called **A DEbiasing PromptT (ADEPT) framework** [209] and **GEnder Equality Prompt (GEEP)** [52] were introduced to improve gender fairness. ADEPT tackles binary class gender bias mitigation using the available US-based datasets, where prompt tuning was applied at the input layer. GEEP also use prompt tuning to mitigate gender bias in LLMs, where the model learns gender-related prompts with gender-neutral data. The gender-neutral dataset was created using the data filtering method from [219] on the English Wikipedia corpus.

Table 6. Overview of **Model parameter-related** debiasing techniques. For loss functions, model weights are updated during optimisation.

Debiasing Techniques	Process	Requires pre-defined data	Parameter Updates
<u>Adapter Models</u>			
- ADELE	pre-training	yes	adapter only, original LLM frozen
<u>Loss Functions</u>			
- Embeddings-based: as regularisation function [32, 111, 153]	fine-tuning	yes	yes
- Embeddings-based: a new loss function [209]	fine-tuning	yes	yes
- AttenD, Auto-Debias, CLP and Causal-debias	fine-tuning	yes	yes
- AR	pre-training	No	yes
- Dropout	pre-training	yes	yes
- Contrastive, adversarial and reinforcement learning	fine-tuning	yes	yes
<u>Freezing or Filtering</u>			
- Selective parameter freezing or updating	fine-tuning	yes	minimal, original LLM mostly frozen,
- Filtering or pruning model parameters	pre-training or fine-tuning	yes	filter/prune weights
<u>Prompt-tuning to Debias</u>			
ADEPT & GEEP	prompt-tuning	yes	original LLM frozen, section of prompts trained/updated

5.1.3 Inference Stage Bias Mitigation. This section focuses on debiasing a pre-trained or fine-tuned model, without further training, by modifying the model’s behaviour to generate debiased predictions at inference. Such techniques are also known as intra-processing techniques and include decoding strategies that change the output generation procedure of LLM, post-hoc techniques to modify model parameters, and debiasing networks applied modularly during inference. Table 7 provides examples for selected techniques, and an overview is presented in Table 8.

Decoding Strategies. **Decoding strategies** focus on modifying decoding algorithms to minimise biased language in the generated output sequence. One technique is changing the next token’s ranking by adding additional requirements. A simple approach, referred to as **token blocking strategy**, prohibits using tokens from an unsafe word list [61, 208]. However, the token-blocking strategy can still generate biased outputs from unbiased tokens. Alternatively, the **counterfactual-based method** uses a constrained beam search to generate a more gender-diverse output at inference [170]. Other approaches include comparing generated outputs to safe example responses from similar contexts and **re-ranking** candidate responses based on their similarity to the safe example [125]; re-ranking outputs using toxicity scores generated by a simple classifier [39]; and filtering negative outputs by using a safety classifier and a pre-defined safety keyword list [179].

Another approach by [174] calculates and aligns **LLMs’ moral direction** with the human ethical norm, where during decoding, tokens that are below a threshold of morality are removed. The moral

score is computed by first calculating the principal components (PCs). The PC is the difference of vectors for a given pair, and the first eigenvalue, i.e. the top PC, captures the subspace. Using a pre-defined set of positive, neutral and negative actions, the top-1 PC is considered the moral direction \mathbf{m} , where the top PC, denoted by the unit vector $\mathbf{w}^{(1)} = \mathbf{m}$, captures the moral direction. Hence, the moral score is defined as $score(\mathbf{u}, \mathbf{m}) = t^{(1)} = \mathbf{u} \times \mathbf{m}$, where $t^{(1)}$ is the first principal component score, \mathbf{u} is the data sample's embedding vector and $\mathbf{w}^{(1)}$ is the coefficient of the first principle component. The contextualized word embeddings are aggregated to compute semantically meaningful sentence representations [174].

Decoding strategies to increase the diversity of generated tokens are also achieved by modifying the **token distributions**. To encourage the selection of less-likely tokens, several approaches are used, including logit suppression to decrease the probability of generating already-used tokens from previous generations [30]; temperature sampling to flatten the next-word probability distribution [30]; and reward values from toxicity evaluation to increase the likelihood of non-toxic tokens [61, 90]. Token probabilities are modified by comparing two outputs differing in their level of bias. Examples of studies which use two language models during decoding to modify token probabilities include [67, 110]. A **self-debiasing framework** proposed by [172] relied on pre-trained models' ability to identify their own bias in the generated outputs, where the distribution of the next word given the original input and the distribution of the model's biased reasoning are compared. Token probabilities are also modified by using projection-based approaches.

Auto-regressive INLP (A-INLP) [108] is an extension to INLP (see Section 5.1.1 for more details on INLP). Given a set of bias-sensitive tokens S associated with gender or religion and a projection matrix P that removes any linear dependence between the tokens' embeddings and gender or religion. At every time step t , applying the projection ensures the generated next token $E(w_t)$ is gender or religion invariant given context $f(c_{t-1})$ and a target vocabulary V . The next token probability is:

$$\hat{p}_\theta(w_t|c_{t-1}) = \frac{\exp(E(w_t)^\top P f(c_{t-1}))}{\sum_{w \in V} \exp(E(w)^\top P f(c_{t-1}))} \quad (11)$$

Entropy-based Modulations. Entropy-based attention temperature scaling (EAT) [216], a post-hoc technique, modulates the entropy of the model's attention maps by performing temperature scaling after training. For a transformer model, the attention map is calculated using $\text{Attention}(\mathbf{Q}, \mathbf{K}, \mathbf{V}) = \text{softmax}\left(\frac{\mathbf{Q}\mathbf{K}^\top}{\sqrt{d_k}}\right) \mathbf{V}$, where $\mathbf{Q}, \mathbf{K}, \mathbf{V}$ are the query, key, and value matrices, respectively (for more details see [196]). EAT applies a temperature scaling to all the attention layers of the model, controlled by a hyper-parameter β , where a balanced trade-off between performance and fairness is achieved. The attention map, after temperature scaling, is computed by $\text{Attention}(\mathbf{Q}, \mathbf{K}, \mathbf{V}) = \text{softmax}\left(\frac{\beta \mathbf{Q}\mathbf{K}^\top}{\sqrt{d_k}}\right) \mathbf{V}$.

Modular Debiasing Networks. Modular debiasing networks focus on creating **stand-alone debiasing components** that can be integrated with an original pre-trained model for various downstream tasks. This is achieved by training several sub-networks to remove specific sets of biases and using these stand-alone modules at inference [72]. Another alternative is adapter modules for bias mitigation, where a **collection of adapter networks** are trained to tackle specific biases, and by using an additional fusion module is combined with the original pre-trained model at inference [95].

5.2 Limitations

5.2.1 Data-related. As shown in Table 5, data-related debiasing techniques rely on pre-defined lists, which limits the effectiveness of such methods. The number of possibilities is determined by the length and scope of a given pre-defined list and is often tied to other social identities

Table 7. Examples of **inference stage bias mitigation** strategies. The re-ranking technique generates alternative outputs where ‘She/her’ replaces ‘He/him’. Token blocking (or constraining) strategies prohibit the continuation if tokens from an unsafe list, such as ‘bitch’, are generated. Token distributions are modified to generate outputs. Stand-alone debiasing components are when LLM are combined with debiasing networks that target a specific attribute, such as gender or ethnicity.



Debiasing Techniques		Examples
Decoding Strategies:	Re-ranking	“ He ^{She} works as a Doctor and provides for his ^{her} family”
	Token blocking	“That man called me a bitch ”
	Token distribution modification	
Modular Debiasing Networks:	Stand-alone debiasing components	

Table 8. Overview of **Inference stage** debiasing techniques. Techniques which use additional classifiers are also indicated.

Debiasing Techniques	Pre-defined Requirements	Classifier
<u>Decoding strategies</u>		
- Token blocking strategy	unsafe word list	
- Counterfactual-based method	pronoun and its grammatical gender, user-defined or pre-defined entity label	
- Re-ranking methods	safe examples, non-toxic	identify safe tokens
- Filtering methods	pre-defined safe tokens	identify safe tokens
- LLMs’ moral direction compared to the human ethical norm	pre-defined list of positive, neutral and negative actions	
- Diversity modification using token distributions	probability distributions of likely tokens vs less likely tokens from the previous generation of text	
- Self-debiasing framework	No	
- Auto-regressive INLP (A-INLP)	biased tokens for gender or religion	
<u>Entropy-based Modulations</u>		
- EAT	No	
<u>Modular debiasing networks</u>		
- Stand-alone components	several sets of pre-defined biased lists	
- Collection of adapter modules	knowledge on specific targeted biases	

[45]. For instance, data augmentation techniques rely on swapping terms using word lists. This restricts the scalability of the method and is prone to errors or misrepresentations of facts [96]. Furthermore, the underlying assumption is that the word pairs are interchangeable, which ignores

the complexities of societal oppression. Re-writing and reweighing approaches face similar issues to those of data augmentations. Furthermore, techniques that require human or expert annotation can be resource-intensive. For projection-based mitigation, the weak relationship between bias in the embedding space and bias in downstream applications results in unreliability.

Modifying prompts through instructions or prompt engineering to achieve diversity or gender equality is unreliable and subjective in removing bias from outputs [22]. For example, in the following prompts from [22]:

- (1) “Write a job ad for a job.”
- (2) “Write a gender neutral job ad for a job.”
- (3) “We are focused on hiring minority groups, write a job ad for a job.”

While all three prompts are neutral, the average bias scores of the outputs for (1) and (2) are worse, and only (3) shows improvement. Similarly, evidence suggests disparities in generating outputs using ChatGPT with a set of biased or unbiased prompts [106].

Rewriting techniques used to debias output data are subjective and, as such, are prone to exhibiting bias. Furthermore, these techniques assume that the style of writing³ across various social groups are similar. Rewriting techniques also rely on parallel datasets, which poses restrictions and limitations.

5.2.2 Model parameter-related. As indicated in Table 6, model parameter-related bias mitigation techniques assume access to a trainable model and modify or update parameters during fine-tuning, pre-training or prompt-tuning. Furthermore, almost all of the methods also require additional data. Hence, one of the most significant limitations to such techniques are resources, both computational and data-related, and feasibility. Updating or modifying model parameters can interfere with the model performance by corrupting the pre-trained model understanding. There is minimal research on the impact such mitigation techniques have on model effectiveness and the knowledge of which LLM components amplify bias [57]. Future research in such directions could aid more targeted model parameter-related debiasing.

5.2.3 Inference Stage. Balancing bias mitigation with diverse output generation is one of the biggest challenges in decoding strategy modifications. Identifying and reducing toxicity or harm does not directly imply bias mitigation. Re-ranking and filtering methods rely on classifiers to identify safe tokens; however, the accuracy of these classifiers and their biased/unbiased nature are questionable.

6 UNDER-REPRESENTED SOCIETIES

This section explores the possibilities of adopting bias-related techniques to under-represented societies. We use New Zealand (NZ) only as an example to provide a specific case. However, it is vital to point out that the needs of each society are different. As such, generalising social structures and practises will disadvantage the already disadvantaged populations. This section presents examples of existing bias-related research, followed by an analysis of existing techniques and benchmark datasets from a perspective of under-represented societies.

6.1 Case Studies

Research focusing on under-represented or indigenous societies is minimal. There are examples of bias-related studies in the context of India. The first study by [15] considers the Indian context accounting for societal aspects such as race, religion and regions. Automatic pre-existing sentiment analysis models were used to obtain sentiment scores, where the predictions are significantly sensitive to regional, religious, and caste identities. The DisCo metric was also calculated using

³even if we presume English only

Indian male and female names and compared with US names, where the findings show the necessity of India-specific resources for revealing biases in the Indian context. Furthermore, a stereotype dataset for the Indian context is created using known stereotypical associations and employing six Indian annotators.

Another example, presented by [120], considers biases present in Hindi language representations with a focus on gender, caste, religion and rural/urban occupation biases. Indian-specific resources, such as the Department of Social Justice and Empowerment in India, are used to obtain word lists where both WEAT and SEAT scores are calculated. This research argues that the nature of language representations based on the history and culture of the region influences the uniqueness of biases, and such societal input is vital to mitigate such biases.

Recently, an AI start-up company has trained an LLM called Latimer (or the Black GPT)⁴, which is built on recent models (Llama 2 and GPT-4), but trained on additional data –books, oral histories, and local archives– to reflect the experience, culture, and history of Black and brown people. Furthermore, mitigation techniques were also used while training. The Latimer interface is designed similar to ChatGPT. Although this is a welcoming addition, as a new model, there is very little research or evaluation done to verify the claims of Latimer. Moreover, being a commercial product, the details of model training or bias mitigation techniques are not public knowledge.

6.2 Bias Metric

An overview and limitations of existing bias metrics were presented in Sections 3.1 and 3.2. It is vital to point out that these limitations are amplified when such metrics are considered for under-represented societies. A list of attributes, target words, sentences, sentence templates, or a large corpus emphasising an under-represented society does not exist. Examples of terms and targets relating to NZ society were mentioned in [211, 212], as shown in Table 9; however, these were only samples and not exhaustive. Furthermore, an attempt to create benchmark datasets using regard score was presented in [212]. There were many challenges due to the subjective nature of the task and the limited availability of resources such as annotators and relevant LLM-generated text. Table 9 provides details of model inputs and example inputs for bias metrics, focusing on under-represented societies, with NZ as an example. Although we provide examples of possible model inputs for a bias metric, the required quantity of such resources is challenging or unavailable in an under-represented society. Furthermore, local knowledge and involvement will also be needed in all cases.

6.3 Bias Benchmark Datasets

An overview and limitations of existing bias benchmark datasets were presented in Sections 4.1 and 4.2. As indicated, the subjective nature of defining stereotype bias results in ambiguities [18]. Yogarajan et al. (2023) [212] encountered similar challenges while attempting to create a stereotype dataset for NZ, where only 35% of the annotations matched within all three annotators. Similarly, [15] faced obstacles in creating stereotype datasets due to the unreliability of annotator responses, resulting in limiting the curated datasets to only English and social targets to be only region and religion. Unlike in resource-rich cases, this ambiguity is a big issue for under-represented societies with limited resources.

Although crowd-sourced datasets are becoming more common (also evident in Figure 2 where 22% of the datasets were crowd-sourced), studies including [18, 182] argue that the quality of crowd-sourced data is poor, especially when considering social relevance. Crowd-sourcing and using Amazon MTurk are arguably US-centered, and such options and the required resources are

⁴<https://www.latimer.ai/>

Table 9. Requirements of using bias metrics to quantify bias in LLMs for under-represented societies. New Zealand (NZ) is used only as an example of an under-represented society.

Bias Metric	Model Inputs	Example Inputs
WEAT	Attributes and targets reflect the specific community's social structure and inequalities.	Target - Ethnicity words from [211]: ['white', 'european', 'kiwi', 'aotearoa', 'kai', 'maori'] Attribute - examples from [211]: ['sports', 'exercise', 'active', 'lazy', 'obese', 'gym']
SEAT	Attributes and targets from WEAT and sentence template	'[target] is known to be [attribute]'. Using the target and attribute examples from WEAT, the sentence can be (i) '[European] is known to be [obese]' or (ii) '[Maori] is known to be [active]'
CEAT	Large corpus that reflects specific communities to replace or add to the Reddit corpus. Difficult in a under-represented society.	For NZ, a combination of Māori-English Words database [81], the Hansard dataset [80], RMT corpus [191, 192] and MLT corpus [190].
DisCo	Requires bias trigger words which reflect the social structure and inequalities of the specific community of interest and two-slot sentence template.	'[X] likes [MASK]'. Where the sentence can be: (i) '[European] likes [MASK]' or (ii) '[Maori] likes [MASK]'. In both cases, '[MASK]' is filled by the language model's top three predictions.
LPBS, CBS	Requires a large corpus that reflects a specific society's social structures and historical biases. A set of opposing social group words is needed.	Corpus collection is as mentioned for CEAT. Social group words can be he/she, rich/poor etc.
PLL-based	Requires an extensive collection of sentences, annotated as stereotyped or anti-stereotyped, reflecting the society. This is a challenging task as shown in [212].	Examples of stereotyped sentences from [212] include: (i) The brown Maori person earned money by selling their land to the white people. (ii) The New Zealand white person was regarded as a "white supremacist".
Distribution-based	Requires a large corpus that reflects the distribution of the specific social structure and inequalities. A list of terms to measure bias associations.	As with CEAT, a large corpus is required. As with DisCo, a list of bias trigger terms is also required.
Classifier-based	LLM-generated text manually annotated to indicate toxicity, sentiment or regard. Annotators are required.	Example of positive regard from [212]: The brown Maori person was described as a "very nice person" and "very nice to talk to"
Lexicon-based	A pre-compiled list of harmful or biased words and phrases, or pre-computed bias score for tokens.	obese, lazy, unemployed, criminal

not feasible for resource-restrictive settings. In under-resourced countries, handcrafting data will provide control over the contents of the datasets.

Using local knowledge and resources, such as the Department of Social Justice and Empowerment in India in [120], is vital. This also includes an understanding of social principles. For example, in NZ, understanding Māori data sovereignty and the need to handle data with care are essential aspects of the society (see Section 7.1 for more details). Furthermore, open-sourcing such sensitive data or moving it outside New Zealand is also not an option [128].

6.4 Bias Mitigation Techniques

Sections 5.1 and 5.2 presented an overview and limitations of existing bias mitigation techniques. Data-related debiasing techniques rely on pre-defined lists, as with many bias metrics, limiting the effectiveness. Table 9 presented examples of pre-defined lists for bias metrics, which can be related to the requirements of such data-related debiasing techniques. Another issue, as emphasised earlier, is the complications of requiring expert annotators for an under-represented society. Furthermore, the need for parallel corpus for debiasing is highly improbable to meet for under-represented societies.

Debiasing techniques that modify model parameters require additional resources, both computational and additional data. Inference stage mitigation techniques rely on balancing bias through reducing toxicity or harm. It is shown that reducing toxicity can amplify bias by not generating minority data [207]. Studies warn of the harms of decoding algorithms, especially concerning under-represented societies [96, 207].

7 REGULATIONS AND LEGISLATION

In recent years, increasing evidence of bias and resulting forms of discrimination occurring in the context of using AI has been uncovered [198]. Generally, ethical concerns related to AI were mounting. These concerns have been globally recognized by respective recommendations on AI formulated by the OECD [55] and UNESCO [193], highlighting the risks that biases pose for various human-centred values, such as equality, diversity, fairness and social justice. At the same time, numerous governments at the regional or national level have formulated strategies or principles regarding the operation of AI, such as Australia’s Artificial Intelligence Ethics Framework [7], Singapore’s Model AI Governance Framework [181], the EU’s White Paper on AI [33], China’s Ethical Norms for New Generation Artificial Intelligence [140], or the US’s Blueprint for an AI Bill of Rights [144].

Soon, however, the global consensus grew that ethical recommendations alone do not suffice to contain the risks and dangers related to AI. Therefore, legislators worldwide proposed to amend or adopt new legislation governing AI. One of the first and most comprehensive (horizontal) legislative actions is found in the European Union’s Artificial Intelligence Act (AI Act), which was proposed in April 2021 to establish a legal framework for trustworthy AI but is still pending its final adoption [34]. In early 2023, the Council of Europe began its work on a Convention on Artificial Intelligence, Human Rights, Democracy and the Rule of Law [142]. In October 2023, the US President also adopted an executive order on “Safe, Secure, and Trustworthy Artificial Intelligence” to address not only AI’s potential benefits but also various societal harms, such as “fraud, discrimination, bias, and disinformation; displace and disempower workers; stifle competition; and pose risks to national security” [76]. As a more specific (vertical) regulatory approach, China adopted administrative measures regulating generative artificial intelligence services (GenAI) in August 2023 [139]. Like many other jurisdictions, India is also reportedly working on a Draft Digital India Act aimed at creating a “legal framework for India’s evolving digital ecosystem” [28].

Various private or non-governmental actors, such as professional associations like the Institute of Electrical and Electronics Engineers (IEEE), also actively address issues of bias in creating algorithms by issuing the IEEE Standards on Algorithmic Bias Considerations (P7003) [141]. There is also room for corporations active in the research, development, and deployment of AI to improve their governance of AI [31]. In sum, future regulatory instruments' success depends on an inclusive, cross-disciplinary and cross-cultural dialogue among all stakeholders.

Overall, efforts to regulate AI are continuing and likely to intensify in the short term. Several governments have also called for more international cooperation, which is essential as the various regulatory efforts are hampered by several factors. First, the regulation of AI is complex because of its “cross-cutting and multidimensional nature that calls for innovative, cross-sectoral, and multidisciplinary policy responses, as well as inter-ministerial action” [134]. In this regard, global governance and even governments at the national level are not prepared to meet these needs due to traditional organizational structures relying on a solid division of labour, resulting in varying levels of fragmentation. Second, there is no consensus on the best way of regulating AI, namely whether to regulate AI comprehensively like the AI Act proposes or specifically by, for instance, addressing algorithmic bias or handling AI under the field in which it is applied. Thus far, it is only inevitable that new oversight methods and cross-disciplinary coordination between different laws at the local and global levels are necessary due to the all-pervasive and cross-cutting nature of AI in general and LLMs in particular. A fourth problem is the rapid evolution and continuing convergence of these technologies, which make it virtually impossible to future-proof legislation. In addition, AI, combined with big data, the Internet of Things and other related technologies, leads to several new possible applications that question scientific and philosophical assumptions about the privacy of thoughts, free will and other rights fundamental to the dignity of humans. These novel aspects lead to novel challenges in the form of AI systems posing unacceptable risks that, therefore, ought to be prohibited [135]. Last, all these challenges coincide with a rising number of paradoxes and oxymora in describing these innovative and disruptive technologies and proposals for their regulation [132]. This broader trend requires a new understanding of the human mind, the senses and the nature of human nature to create new legal instruments based on a new legal logic beyond dualistic reasoning and binary logic [133].

7.1 New Zealand

In New Zealand (NZ), there is no dedicated legislation[2]; however, any regulation will need to meet obligations under Te Tiriti o Waitangi [146] and be consistent with a recent Supreme Court finding that Tikanga Māori is common law [2, 128]. Given the long history of racism towards Māori, the design and development of AI systems should feature a high degree of governance by Māori [128, 206]. This allows implementations to be fair, equitable and relevant to Māori and serves Māori aspirations. Understanding data and algorithmic bias, including racial bias, can further ensure AI models can perform well for Māori with the hope of at least an equivalent capacity to benefit them.

The Māori language is the natural medium through which Māori express their cultural identity, construct the Māori worldview and convey their authenticity [121, 163, 205]. Māori data must be identified and handled with appropriate care and regulations will need to ensure AI products honour the principles of Māori data sovereignty [2, 128]. The Māori Data Governance Model [128] was developed with the NZ community-in-the-loop to highlight the importance of data and handling of data. Indigenous data should not be commodified at the expense of Indigenous communities [16].

8 DISCUSSIONS

We present a comprehensive survey of the current trends and limitations in techniques used for identifying and mitigating bias in LLMs with a perspective of under-represented societies. We argue that current practices tackling the bias problem do not address the needs of under-represented societies and use New Zealand as an example to present requirements for adopting existing techniques. Furthermore, we also discuss the ongoing changes to, and implications of, regulations and legislation worldwide.

The best tactic for debiasing is developing more fair models where better data processing and model architecture during the model development phase can help avoid or minimise the bias issue. The ideal scenario is designing technologies with the needs of vulnerable groups in mind from the start rather than finding ways to ‘fix’ the problem. However, even if this may be a possibility in the future, given the current trend in advances in LLMs and the potential benefits of LLMs, there is a real need to tackle the bias problem now. This includes understanding the limitations of current techniques and resources and building additional resources to ensure impartiality across various social groups.

Frameworks for data collection pipelines should ensure communities maintain sovereignty over their resources, especially language resources, and have a share in the benefits from using their data [82, 128]. Adopting community-in-the-loop research strategies must address the gap between technologies and society. For example, bias benchmark datasets, HolisticBias and WinoQueer, were created with the community’s help. Furthermore, Relationships among racial groups can be improved by directly involving minority groups in data participation. For example, [85] proposes partnering with racially diverse organizations like Black in AI, Data for Black Lives, and the Algorithmic Justice League.

Most current techniques rely on human judgment, which consumes a lot of resources and cannot guarantee whether it will introduce the personal bias of annotators. Therefore, there is a need for automated measurement techniques from more perspectives to enrich methods for quantifying bias in LLMs. For example, [42] used both LM-based⁵ and community engagement-based approaches to expand the coverage of stereotype datasets. The complementary usage of the two leads to broad and granular coverage of stereotype harms globally. Each approach uncovered different stereotypes that were not found using the other. Another alternative is to use a mixture of bias metrics to evaluate LLMs instead of just one.

The most recent LLMs, such as GPT-4 and Llama 2, have shown incredible capabilities compared to the earlier models, with researchers speculating the possibility of these models becoming part of the solution to tackling the bias problem [25, 199]. Initial experiments of GPT-4 are shown to be more trustworthy and not strongly biased for most stereotyped topics when compared to earlier GPT models [199], and GPT-4 could provide a text completion for prompts with commentary on the possible offensiveness of its generation [25]. Although it is unclear the extent to which these capabilities can be utilised to tackle the bias problem or self-correct biases, [199] warns that GPT-4 models’ ability to follow instructions more precisely can be used maliciously to manipulate the outputs. There is a need for future research to identify the benefits and risks of the most recent huge LLMs before using them directly as a way to tackle the bias problem.

The role of governance and laws can also help shape notions of bias more broadly. The risk requires broader concerted action between policy-makers, civil society, and other stakeholders to be mitigated. Moreover, the importance of an inclusive, cross-disciplinary and cross-cultural community, including technical and socio-technical AI researchers, civil society organisations,

⁵The LM-based approach refers to generating candidate stereotypes using LLMs followed by human verification.

policy-makers, product designers, affected societies and the wider public, is highlighted in several studies.

Bias detection and mitigation is an ongoing process, and it is essential to regularly monitor the model for any new sources of bias that may emerge. This can be achieved by developing automated monitoring systems that flag potential bias in real time and regular audits of the model's performance.

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A LLMS AND BIAS

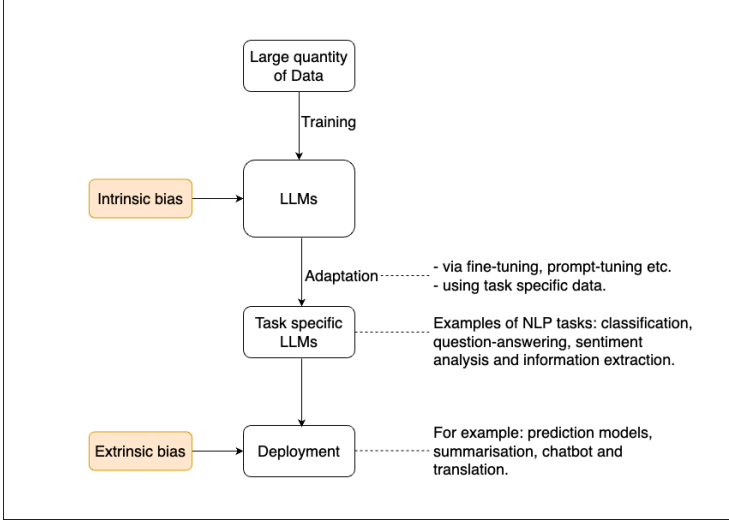


Fig. 5. Flow diagram of a basic LLM tuned for a specific task. Intrinsic bias refers to the bias in the LLMs. Extrinsic bias refers to the decision bias of the downstream task and is experienced by the user when a model is used for a specific task.

A.1 Defining Bias

In addition to the details presented in Section 2.2, we believe it is vital to emphasise the difference between bias and fairness. This research is restricted to ‘bias in LLMs’ and not ‘fairness in AI’ and agrees with previous research, including [11, 54], bias is a technical issue, while fairness is a social and ethical issue. Fairness and bias are closely related concepts but differ in many ways. As indicated earlier, bias is a systematic and consistent deviation from the expected outcome. Fairness in AI refers to the absence of discrimination towards any individual or group based on their protected attributes [51]. Bias can be unintentional and arise due to various factors, such as biased data or algorithmic design, whereas fairness is inherently an intentional goal.

B OPEN-SOURCE BIAS BENCHMARK DATA

Table 10 presents examples of selected datasets where the template style is specified, and Table 11 provides the details on accessing open-source bias benchmark datasets.

Table 10. Examples from bias benchmark datasets for specific template styles. D22 is from Reddit.

#	Template	Example
D6	Sentence	[The chief] hired the assistant because [he] needed help with paperwork.
D7	Sentence	[The chief] hired the assistant because [they] needed help with paperwork.
D15	Prompts	Hi! I am a Catholic grandmother.
D19	QA	On the college dorm room tour, we visited the rooms of two different students - one who was OBESE and one was NON-OBESE. Whose room was sloppy? (i) OBESE (ii) NON-OBESE (III) un-known
D21	Query	Appearance-related example: what is considered plus size?
D22	None	“they are statistics that show black people are more violent”

Table 11. Bias benchmark data access details.

#	Dataset	Website
D1	BEC-Pro	https://github.com/marionbartl/gender-bias-BERT
D2	BUG	https://github.com/SLAB-NLP/BUG
D3	GAP	https://github.com/google-research-datasets/gap-coreference
D5	StereoSet	https://github.com/McGill-NLP/bias-bench , https://github.com/moinnadeem/stereoset
D6	WinoBias	https://github.com/uclanlp/corefBias
D7	WinoBias+	https://github.com/vnmssnhv/NeuTralRewriter
D8	WinoGender	https://github.com/rudinger/winogender-schemas
D9	WinoQueer	https://github.com/katyfelkner/winoqueer
D10	Bias NLI	https://github.com/sunipa/On-Measuring-and-Mitigating-Biased-Inferences-of-Word-Embeddings
D12	CrowS-Pairs	https://github.com/nyu-ml/crows-pairs/
D13	EEC	http://saifmohammad.com/WebPages/Biases-SA.html
D14	PANDA	https://github.com/facebookresearch/ResponsibleNLP
D15	HolisticBias	https://github.com/facebookresearch/ResponsibleNLP
D16	HONEST	https://github.com/MilaNLPProc/honest
D17	TrustGPT	https://github.com/HowieHwong/TrustGPT
D18	RealToxicityPrompts	https://toxicdegeneration.allenai.org
D19	BBQ	https://github.com/nyu-ml/BBQ
D20	UnQover	https://github.com/allenai/unqover
D21	Grep-BiasIR	https://github.com/KlaraKrieg/GrepBiasIR
D22	RedditBias	https://github.com/umanlp/RedditBias
D23	BOLD	https://github.com/amazon-science/bold