# simplenn-cifar10

October 3, 2023

# 1 Training SimpleNN on CIFAR-10

In this project, you will use the SimpleNN model to perform image classification on CIFAR-10. CIFAR-10 originally contains  $60 \mathrm{K}$  images from 10 categories. We split it into  $45 \mathrm{K}/5 \mathrm{K}/10 \mathrm{K}$  images to serve as train/valiation/test set. We only release the ground-truth labels of training/validation dataset to you.

# 1.1 Step 0: Set up the SimpleNN model

As you have practiced to implement simple neural networks in Homework 1, we just prepare the implementation for you.

```
[2]: # import necessary dependencies
import argparse
import os, sys
import time
import datetime
from tqdm import tqdm_notebook as tqdm

import torch
import torch.nn as nn
import torch.nn.functional as F
```

```
[3]: # define the SimpleNN mode;
     class SimpleNN(nn.Module):
         def __init__(self):
             super(SimpleNN, self).__init__()
             self.conv1 = nn.Conv2d(3, 8, 5)
             self.conv2 = nn.Conv2d(8, 16, 3)
             self.fc1 = nn.Linear(16*6*6, 120)
             self.fc2
                        = nn.Linear(120, 84)
             self.fc3
                      = nn.Linear(84, 10)
         def forward(self, x):
             out = F.relu(self.conv1(x))
             out = F.max_pool2d(out, 2)
             out = F.relu(self.conv2(out))
             out = F.max_pool2d(out, 2)
```

```
out = out.view(out.size(0), -1)
out = F.relu(self.fc1(out))
out = F.relu(self.fc2(out))
out = self.fc3(out)
return out
```

# 1.1.1 Question (a)

Here is a sanity check to verify the implementation of SimpleNN. You need to: 1. Write down your code. 2. **In the PDF report**, give a brief description on how the code helps you know that SimpleNN is implemented correctly.

torch.Size([1, 10])

# 1.2 Step 1: Set up preprocessing functions

Preprocessing is very important as discussed in the lecture. You will need to write preprocessing functions with the help of *torchvision.transforms* in this step. You can find helpful tutorial/API at here.

## 1.2.1 Question (b)

For the question, you need to: 1. Complete the preprocessing code below. 2. In the PDF report, briefly describe what preprocessing operations you used and what are the purposes of them.

Hint: 1. Only two operations are necessary to complete the basic preprocessing here. 2. The raw input read from the dataset will be PIL images. 3. Data augmentation operations are not mendatory, but feel free to incorporate them if you want. 4. Reference value for mean/std of CIFAR-10 images (assuming the pixel values are within [0,1]): mean (RGB-format): (0.4914, 0.4822, 0.4465), std (RGB-format): (0.2023, 0.1994, 0.2010)

# 1.3 Step 2: Set up dataset and dataloader

# 1.3.1 Question (c)

Set up the train/val datasets and dataloders that are to be used during the training. Check out the official API for more information about torch.utils.data.DataLoader.

Here, you need to: 1. Complete the code below.

```
[6]: # do NOT change these
    from tools.dataset import CIFAR10
    from torch.utils.data import DataLoader
    # a few arguments, do NOT change these
    DATA_ROOT = "./data"
    TRAIN_BATCH_SIZE = 128
    VAL_BATCH_SIZE = 100
    # your code here
    # construct dataset
    train_set = CIFAR10(
        root=DATA_ROOT,
        mode='train',
        download=True,
        transform=transform_train # your code
    val_set = CIFAR10(
        root=DATA_ROOT,
        mode='val',
        download=True,
        transform=transform_val # your code
    )
    # construct dataloader
    train_loader = DataLoader(
```

```
Using downloaded and verified file: ./data/cifar10_trainval_F22.zip Extracting ./data/cifar10_trainval_F22.zip to ./data Files already downloaded and verified Using downloaded and verified file: ./data/cifar10_trainval_F22.zip Extracting ./data/cifar10_trainval_F22.zip to ./data Files already downloaded and verified
```

# 1.4 Step 3: Instantiate your SimpleNN model and deploy it to GPU devices.

# 1.4.1 Question (d)

You may want to deploy your model to GPU device for efficient training. Please assign your model to GPU if possible. If you are training on a machine without GPUs, please deploy your model to CPUs.

Here, you need to: 1. Complete the code below. 2. In the PDF report, briefly describe how you verify that your model is indeed deployed on GPU. (Hint: check nvidia-smi.)

cuda

# 1.5 Step 4: Set up the loss function and optimizer

Loss function/objective function is used to provide "feedback" for the neural networks. Typically, we use multi-class cross-entropy as the loss function for classification models. As for the optimizer,

we will use SGD with momentum.

# 1.5.1 Question (e)

Here, you need to: 1. Set up the cross-entropy loss as the criterion. (Hint: there are implemented functions in **torch.nn**) 2. Specify a SGD optimizer with momentum. (Hint: there are implemented functions in **torch.optim**)

```
[8]: import torch.nn as nn
    import torch.optim as optim
    # hyperparameters, do NOT change right now
    # initial learning rate
    INITIAL_LR = 0.01
    # momentum for optimizer
    MOMENTUM = 0.9
    # L2 regularization strength
    R.E.G = 1e-4
    # your code here
    # create loss function
    criterion = nn.CrossEntropyLoss()
    # Add optimizer
    optimizer = torch.optim.SGD(model.parameters(), lr=INITIAL_LR,__
     →momentum=MOMENTUM, weight_decay=REG)
```

# 1.6 Step 5: Start the training process.

# 1.6.1 Question (f)/(g)

Congratulations! You have completed all of the previous steps and it is time to train our neural network.

Here you need to: 1. Complete the training codes. 2. Actually perform the training.

Hint: Training a neural network usually repeats the following 4 steps:

- i) Get a batch of data from the dataloader and copy it to your device (GPU).
- ii) Do a forward pass to get the outputs from the neural network and compute the loss. Be careful about your inputs to the loss function. Are the inputs required to be the logits or softmax probabilities?)
- iii) Do a backward pass (back-propagation) to compute gradients of all weights with respect to the loss.

# iiii) Update the model weights with the optimizer.

You will also need to compute the accuracy of training/validation samples to track your model's performance over each epoch (the accuracy should be increasing as you train for more and more epochs).

```
[9]: # some hyperparameters
     # total number of training epochs
     EPOCHS = 60
     DECAY\_EPOCHS = 2
     DECAY = 0.9
     # the folder where the trained model is saved
     CHECKPOINT_FOLDER = "./saved_model"
     # start the training/validation process
     # the process should take about 5 minutes on a GTX 1070-Ti
     # if the code is written efficiently.
     best_val_acc = 0
     current_learning_rate = INITIAL_LR
     ##### my addition
     accuracy_arr_train = []
     accuracy_arr_val = []
     ##### end of my addition
     print("==> Training starts!")
     print("="*50)
     for i in range(0, EPOCHS):
         # handle the learning rate scheduler.
         if i % DECAY_EPOCHS == 0 and i != 0:
             current_learning_rate = current_learning_rate * DECAY
             for param_group in optimizer.param_groups:
                 param_group['lr'] = current_learning_rate
             print("Current learning rate has decayed to %f" %current_learning_rate)
         #########################
         # your code here
         # switch to train mode
         model.train()
```

```
############################
  print("Epoch %d:" %i)
  # this help you compute the training accuracy
  total_examples = 0
  correct_examples = 0
  train_loss = 0 # track training loss if you want
  # Train the model for 1 epoch.
  for batch_idx, (inputs, targets) in enumerate(train_loader):
      # if batch_idx == 0 and i == 0:
           print(torch.mean(inputs.flatten()))
      # your code here
      # copy inputs to device
      inputs, targets = inputs.to(device), targets.to(device)
      # compute the output and loss
      y_pred = model(inputs)
      loss = criterion(y_pred, targets)
      train loss += loss
      # zero the gradient
      optimizer.zero_grad()
      # backpropagation
      loss.backward()
      # apply gradient and update the weights
      optimizer.step()
      # count the number of correctly predicted samples in the current batch
      total_examples += len(targets.view(targets.size(0), -1))
      correct_examples += sum(torch.argmax(y_pred, dim=1, keepdim=False) ==__
→targets)
      avg_loss = train_loss / len(train_loader)
  avg_acc = correct_examples / total_examples
  print("Training loss: %.4f, Training accuracy: %.4f" %(avg_loss, avg_acc))
  ##### my addition
  accuracy_arr_train.append(avg_acc)
  ##### end of my addition
```

```
# Validate on the validation dataset
  ############################
  # your code here
  # switch to eval mode
  model.eval()
  ############################
  # this helps you compute the validation accuracy
  total examples = 0
  correct_examples = 0
  val_loss = 0 # again, track the validation loss if you want
  # disable gradient during validation, which can save GPU memory
  with torch.no_grad():
      for batch_idx, (inputs, targets) in enumerate(val_loader):
          # your code here
          # copy inputs to device
          inputs, targets = inputs.to(device), targets.to(device)
          # compute the output and loss
          y_pred = model(inputs)
          loss = criterion(y_pred, targets)
          val_loss += loss
          # count the number of correctly predicted samples in the current_{\sqcup}
\hookrightarrow batch
          total_examples += len(targets.view(targets.size(0), -1))
          correct_examples += sum(torch.argmax(y_pred, dim=1, keepdim=False)_
→== targets)
          avg_loss = val_loss / len(val_loader)
  avg_acc = correct_examples / total_examples
  print("Validation loss: %.4f, Validation accuracy: %.4f" % (avg_loss, __
→avg_acc))
  ##### my addition
  accuracy_arr_val.append(avg_acc)
  ##### end of my addition
```

```
# save the model checkpoint
    if avg_acc > best_val_acc:
        best_val_acc = avg_acc
        # if not os.path.exists(CHECKPOINT_FOLDER):
        # os.makedirs(CHECKPOINT_FOLDER)
        # print("Saving ...")
        # state = {'state_dict': model.state_dict(),
                  'epoch': i,
                  'lr': current_learning_rate}
        # torch.save(state, os.path.join(CHECKPOINT_FOLDER, 'simplenn.pth'))
    print('')
print("="*50)
print(f"==> Optimization finished! Best validation accuracy: {best_val_acc:.
  <4f}")
==> Training starts!
_____
Epoch 0:
Training loss: 1.9625, Training accuracy: 0.2727
Validation loss: 1.6071, Validation accuracy: 0.4076
Epoch 1:
Training loss: 1.4716, Training accuracy: 0.4700
Validation loss: 1.3839, Validation accuracy: 0.5200
Current learning rate has decayed to 0.009000
Epoch 2:
Training loss: 1.2947, Training accuracy: 0.5405
Validation loss: 1.2453, Validation accuracy: 0.5636
Epoch 3:
Training loss: 1.1725, Training accuracy: 0.5868
Validation loss: 1.1866, Validation accuracy: 0.5772
Current learning rate has decayed to 0.008100
Epoch 4:
Training loss: 1.0768, Training accuracy: 0.6200
Validation loss: 1.1469, Validation accuracy: 0.5984
```

Training loss: 1.0068, Training accuracy: 0.6466 Validation loss: 1.0857, Validation accuracy: 0.6162

Epoch 5:

Current learning rate has decayed to 0.007290

Epoch 6:

Training loss: 0.9371, Training accuracy: 0.6709 Validation loss: 1.0392, Validation accuracy: 0.6356

### Epoch 7:

Training loss: 0.8823, Training accuracy: 0.6894 Validation loss: 1.0673, Validation accuracy: 0.6312

Current learning rate has decayed to 0.006561 Epoch 8:

Training loss: 0.8204, Training accuracy: 0.7125 Validation loss: 1.0355, Validation accuracy: 0.6460

## Epoch 9:

Training loss: 0.7790, Training accuracy: 0.7285 Validation loss: 1.0057, Validation accuracy: 0.6542

Current learning rate has decayed to 0.005905 Epoch 10:

Training loss: 0.7194, Training accuracy: 0.7486 Validation loss: 1.0120, Validation accuracy: 0.6566

#### Epoch 11:

Training loss: 0.6883, Training accuracy: 0.7591 Validation loss: 1.0438, Validation accuracy: 0.6514

Current learning rate has decayed to 0.005314 Epoch 12:

Training loss: 0.6451, Training accuracy: 0.7768
Validation loss: 1.1184, Validation accuracy: 0.6428

### Epoch 13:

Training loss: 0.6130, Training accuracy: 0.7856 Validation loss: 1.0902, Validation accuracy: 0.6482

Current learning rate has decayed to 0.004783 Epoch 14:

Training loss: 0.5675, Training accuracy: 0.8002 Validation loss: 1.0817, Validation accuracy: 0.6616

### Epoch 15:

Training loss: 0.5302, Training accuracy: 0.8143 Validation loss: 1.1328, Validation accuracy: 0.6496

Current learning rate has decayed to 0.004305 Epoch 16:

Training loss: 0.4984, Training accuracy: 0.8237 Validation loss: 1.1454, Validation accuracy: 0.6544

## Epoch 17:

Training loss: 0.4636, Training accuracy: 0.8387 Validation loss: 1.1782, Validation accuracy: 0.6596

Current learning rate has decayed to 0.003874 Epoch 18:

Training loss: 0.4231, Training accuracy: 0.8528 Validation loss: 1.2403, Validation accuracy: 0.6540

# Epoch 19:

Training loss: 0.4029, Training accuracy: 0.8584 Validation loss: 1.2890, Validation accuracy: 0.6476

Current learning rate has decayed to 0.003487 Epoch 20:

Training loss: 0.3637, Training accuracy: 0.8747 Validation loss: 1.3256, Validation accuracy: 0.6540

#### Epoch 21:

Training loss: 0.3403, Training accuracy: 0.8810 Validation loss: 1.3676, Validation accuracy: 0.6522

Current learning rate has decayed to 0.003138 Epoch 22:

Training loss: 0.3051, Training accuracy: 0.8954
Validation loss: 1.4623, Validation accuracy: 0.6486

#### Epoch 23:

Training loss: 0.2903, Training accuracy: 0.8997 Validation loss: 1.5443, Validation accuracy: 0.6494

Current learning rate has decayed to 0.002824 Epoch 24:

Training loss: 0.2557, Training accuracy: 0.9140 Validation loss: 1.5894, Validation accuracy: 0.6510

# Epoch 25:

Training loss: 0.2384, Training accuracy: 0.9192 Validation loss: 1.6426, Validation accuracy: 0.6482

Current learning rate has decayed to 0.002542 Epoch 26:

Training loss: 0.2102, Training accuracy: 0.9316 Validation loss: 1.7288, Validation accuracy: 0.6422

### Epoch 27:

Training loss: 0.1948, Training accuracy: 0.9361 Validation loss: 1.7892, Validation accuracy: 0.6470

Current learning rate has decayed to 0.002288 Epoch 28:

Training loss: 0.1703, Training accuracy: 0.9460 Validation loss: 1.8600, Validation accuracy: 0.6464

# Epoch 29:

Training loss: 0.1528, Training accuracy: 0.9532 Validation loss: 1.9480, Validation accuracy: 0.6468

Current learning rate has decayed to 0.002059 Epoch 30:

Training loss: 0.1333, Training accuracy: 0.9608 Validation loss: 1.9924, Validation accuracy: 0.6426

#### Epoch 31:

Training loss: 0.1150, Training accuracy: 0.9686 Validation loss: 2.0966, Validation accuracy: 0.6384

Current learning rate has decayed to 0.001853 Epoch 32:

Training loss: 0.0998, Training accuracy: 0.9743
Validation loss: 2.2083, Validation accuracy: 0.6372

#### Epoch 33:

Training loss: 0.0892, Training accuracy: 0.9781 Validation loss: 2.2950, Validation accuracy: 0.6384

Current learning rate has decayed to 0.001668 Epoch 34:

Training loss: 0.0755, Training accuracy: 0.9835 Validation loss: 2.3423, Validation accuracy: 0.6446

# Epoch 35:

Training loss: 0.0656, Training accuracy: 0.9870 Validation loss: 2.3982, Validation accuracy: 0.6518

Current learning rate has decayed to 0.001501 Epoch 36:

Training loss: 0.0557, Training accuracy: 0.9905 Validation loss: 2.5021, Validation accuracy: 0.6432

#### Epoch 37:

Training loss: 0.0485, Training accuracy: 0.9925 Validation loss: 2.5632, Validation accuracy: 0.6418 Current learning rate has decayed to 0.001351 Epoch 38:

Training loss: 0.0418, Training accuracy: 0.9942 Validation loss: 2.6383, Validation accuracy: 0.6406

#### Epoch 39:

Training loss: 0.0374, Training accuracy: 0.9954
Validation loss: 2.6903, Validation accuracy: 0.6422

Current learning rate has decayed to 0.001216 Epoch 40:

Training loss: 0.0329, Training accuracy: 0.9964
Validation loss: 2.7536, Validation accuracy: 0.6440

# Epoch 41:

Training loss: 0.0297, Training accuracy: 0.9971 Validation loss: 2.8155, Validation accuracy: 0.6398

Current learning rate has decayed to 0.001094 Epoch 42:

Training loss: 0.0266, Training accuracy: 0.9979
Validation loss: 2.8463, Validation accuracy: 0.6412

#### Epoch 43:

Training loss: 0.0245, Training accuracy: 0.9980 Validation loss: 2.9160, Validation accuracy: 0.6382

Current learning rate has decayed to 0.000985 Epoch 44:

Training loss: 0.0224, Training accuracy: 0.9985 Validation loss: 2.9329, Validation accuracy: 0.6412

### Epoch 45:

Training loss: 0.0209, Training accuracy: 0.9988 Validation loss: 2.9714, Validation accuracy: 0.6406

Current learning rate has decayed to 0.000886 Epoch 46:

Training loss: 0.0193, Training accuracy: 0.9988 Validation loss: 3.0046, Validation accuracy: 0.6396

# Epoch 47:

Training loss: 0.0183, Training accuracy: 0.9990 Validation loss: 3.0422, Validation accuracy: 0.6392

Current learning rate has decayed to 0.000798 Epoch 48:

Training loss: 0.0172, Training accuracy: 0.9991 Validation loss: 3.0792, Validation accuracy: 0.6378

## Epoch 49:

Training loss: 0.0165, Training accuracy: 0.9991 Validation loss: 3.1001, Validation accuracy: 0.6414

Current learning rate has decayed to 0.000718 Epoch 50:

Training loss: 0.0156, Training accuracy: 0.9992 Validation loss: 3.1270, Validation accuracy: 0.6384

# Epoch 51:

Training loss: 0.0150, Training accuracy: 0.9993 Validation loss: 3.1526, Validation accuracy: 0.6402

Current learning rate has decayed to 0.000646 Epoch 52:

Training loss: 0.0143, Training accuracy: 0.9994
Validation loss: 3.1702, Validation accuracy: 0.6382

#### Epoch 53:

Training loss: 0.0139, Training accuracy: 0.9994
Validation loss: 3.1846, Validation accuracy: 0.6422

Current learning rate has decayed to 0.000581 Epoch 54:

Training loss: 0.0133, Training accuracy: 0.9995 Validation loss: 3.2113, Validation accuracy: 0.6400

#### Epoch 55:

Training loss: 0.0129, Training accuracy: 0.9996 Validation loss: 3.2298, Validation accuracy: 0.6404

Current learning rate has decayed to 0.000523 Epoch 56:

Training loss: 0.0125, Training accuracy: 0.9996 Validation loss: 3.2405, Validation accuracy: 0.6386

# Epoch 57:

Training loss: 0.0122, Training accuracy: 0.9996 Validation loss: 3.2621, Validation accuracy: 0.6366

Current learning rate has decayed to 0.000471 Epoch 58:

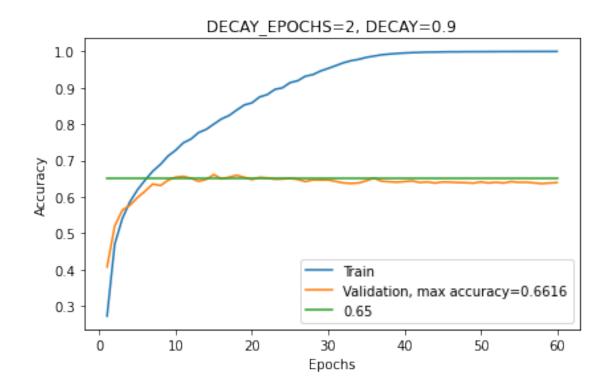
Training loss: 0.0118, Training accuracy: 0.9996 Validation loss: 3.2762, Validation accuracy: 0.6382

# 2 Bonus: with learning rate decay

The following code can help you adjust the learning rate during training. You need to figure out how to incorporate this code into your training loop.

```
if i % DECAY_EPOCHS == 0 and i != 0:
    current_learning_rate = current_learning_rate * DECAY
    for param_group in optimizer.param_groups:
        param_group['lr'] = current_learning_rate
    print("Current learning rate has decayed to %f" %current_learning_rate)
```

```
[11]: | ##### my addition
      import matplotlib.pyplot as plt
      import numpy as np
      save = 'v'
      fig, ax = plt.subplots(1, 1)
      xx = np.linspace(1, EPOCHS, EPOCHS)
      ax.plot(xx, accuracy_arr_train, label='Train')
      ax.plot(xx, accuracy_arr_val, label='Validation, max accuracy={:.4f}'.
       →format(best_val_acc))
      ax.plot(xx, np.linspace(0.65, 0.65, EPOCHS), label='0.65')
      title_ = 'DECAY_EPOCHS={:d}, DECAY={:g}'.format(DECAY_EPOCHS, DECAY)
      if DECAY == 1:
          title_ = 'No Learning Rate Decay'.format(DECAY_EPOCHS)
      ax.set_xlabel('Epochs')
      ax.set_ylabel('Accuracy')
      ax.legend()
      ax.set_title(title_)
      fig.tight_layout()
      if save == 'y':
          \# plt.savefig('q1h_DECAY_EPOCHS_{:d}_DECAY_{:g}.pdf'.format(DECAY_EPOCHS,__
       →DECAY), dpi=500, bbox_inches='tight')
          plt.savefig('q2a_DECAY_EPOCHS_{:d}_DECAY_{:g}_wo_aug.pdf'.
                      format(DECAY EPOCHS, DECAY), dpi=500, bbox inches='tight')
      ##### end of my addition
```



[]: