JVM and Code Generation For Java

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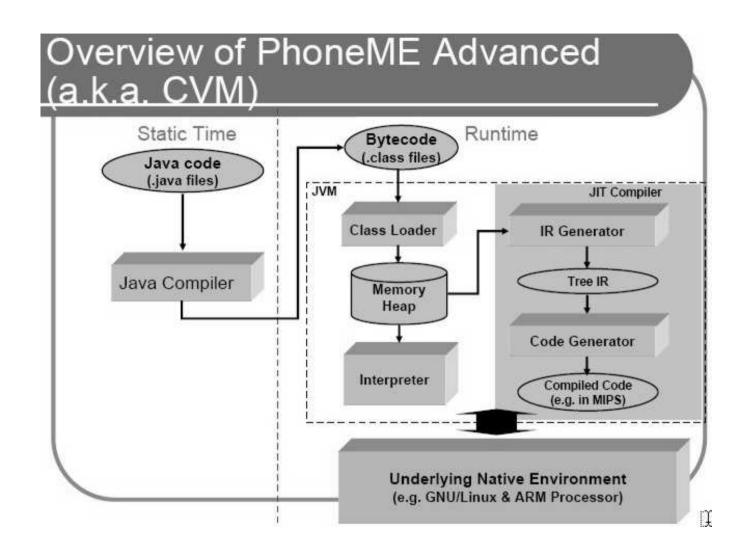


Figure 1: Java architecture

1 Java Virtual Machines

JVM is an abstract specification of a virtual machine intended for Java programs. See The Java Virtual Machine Specification, 2nd ed. by Tim Lindholm and Frank Yellin. There are many implementations of JVM on various hardware and software platforms, some of which are free.

When a Java application starts, a run-time instance of JVM is created. The instance is destroyed when the application completes. Each Java application runs inside its own JVM instance.

JVM is a stack-based machine. All arithmetic operations are done through the *operand stack*. Byte code is essentially a postfix form of the program.

JVM also does many security checks before running a procedure.

JVM makes use of dynamic loading and linking. It loads classes

during run time only when necessary.

JVM provides a *native method interface* for invoking native method libraries.

Run-time data structures of a JVM instance:

- a method area: shared by all threads in the JVM
- a heap: shared by all threads in the JVM
- a Java stack per thread
- a program counter per thread
- native method stacks

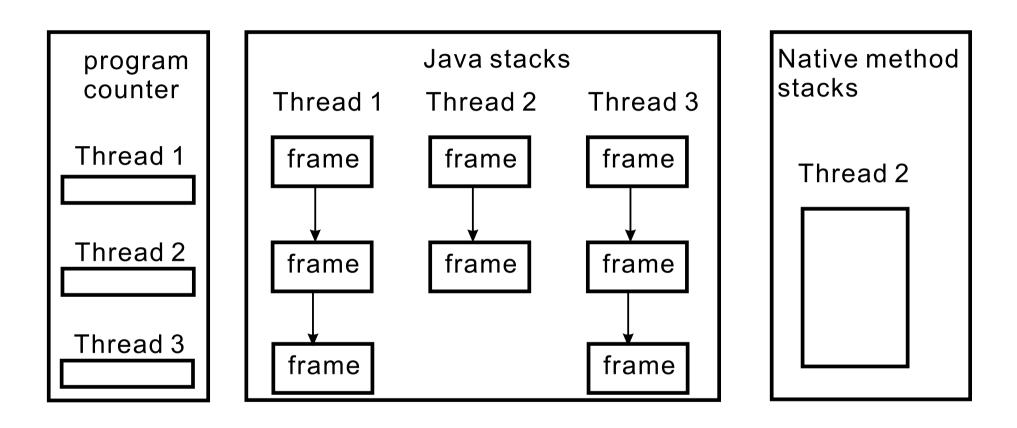


Figure 2: JVM run-time data structure

Data types in JVM

- primitive type
 - 1. numeric type: float, double, byte, short, int, long, char
 - 2. boolean type
 - 3. return address type
- reference type
 - 1. class type
 - 2. interface type
 - 3. array type

class loader

The class loader locates a class when reference, possibly from a remote machine, loads that class, and initializes that class.

- loading: find and import the class
- linking
 - 1. verification: ensure the imported class file is correct, not tampered.
 - 2. preparation: allocate memory for class variables and set up their default values.
 - 3. resolution: translate symbolic reference to direct references.
- initialization: initialize class variables to their starting values.

Every JVM includes a bootstrap class loader. The bootstrap class loader in Sun Java 2 SDK looks for system classes only in the installation directory. It will not consult the classpath environment variable.

On the other hand, the system class loader will consult classpath. The system class loader is a user-defined class loader that is created when the JVM starts up.

Method area

Methods from the loaded classes are stored in the method area.

Class (static) variables are also stored in the method area.

All threads share the same method area.

Constant pool

The constant pool is the symbol table for a class file. It contains literals (strings, integers, floating-point constants) and symbolic references to types, methods, and fields in the class.

Constant pool is used during dynamic linking.

All constant pools are allocated in the method area.

Heap

All instances of a class or an array are allocated from the heap, which is shared among all the threads for the same application.

There is a separate heap for each individual running application.

JVM provides an instruction that allocates memory for new objects on the heap but does not provide instructions to free the memory. Instead JVM provides a garbage collector for reclaiming useless memory.

Garbage collection

Pointers exist in the Java stacks, the heap, the method area, and the native method stacks.

Arrays

In Java, arrays are objects with a class. They are stored in the heap.

Multi-dimensional arrays are represented as arrays of arrays. (See L6-jvm.pdf, p. 15.)

The program counter occupies one word. It can be a native pointer or an offset from the beginning of a method's bytecode.

Java stacks

A Java stack consists of many frames. A frame includes local variables, operand stack, and frame data.

The size of a frame (in particular, the size of the operand stack) for a method is calculated by the compiler. This creates a constraint on methods.

The local variables in a frame are addressed by their indices. A method's parameters are stored in the local variable section in the frame. In the frame for an instance method, the first word is a hidden pointer to the object itself. There is not such a pointer in the frame for a class method.

JVM is essentially a stack machine. Many instructions make use of the operand stack, which is allocated in the stack frame for a method.

The frame data section includes data for constant pool resolution,

normal method returns, and exception handling.

Many instructions reference entries in the constant pool, such as pushing a constant value from the constant pool to the operand stack, referencing classes and arrays when creating new instances, accessing fields of a class, or invoking methods in the class, and determining the class or interface of a particular objects, etc.

Native methods also need a stack. This native stack is separated from the Java stack, which is intended for the Java methods.

Note that Java methods may invoke native methods. Similarly, native methods may also invoke Java methods as well as other native methods.

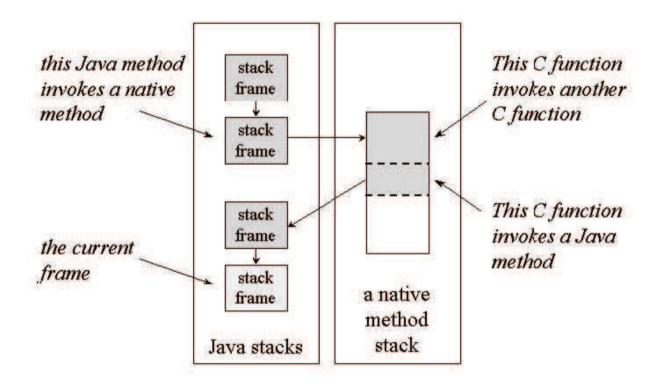


Figure 3: Java stacks vs. native stack.

A JVM may use invisible threads for other tasks, such as garbage collection.

Java instruction format:

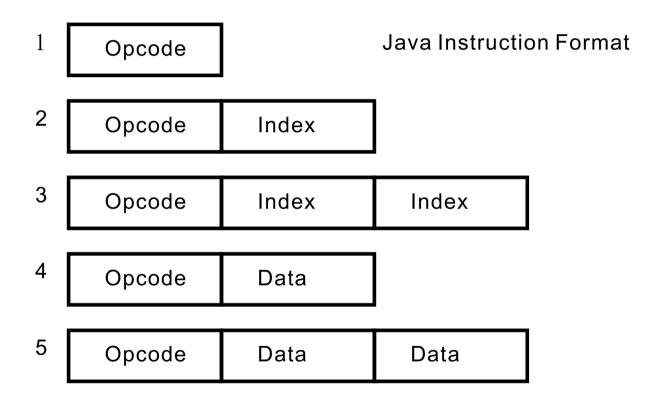


Figure 4: 6 Java instruction formats.

Java instruction set: see the manual.

- load and store instructions
- arithmetic instructions
- type conversion instructions
- object creation and manipulation instructions
- operand stack management instructions
- control transfer instructions
- method invocation instructions
- method return instructions

2 Bytecode

Headers: Magic number, version

Constant Pool: all external references; numerical and string constants; type signatures

Class Data: access flags, name, superclass, interfaces

Fields: indexes into constant pool for name and type; access flags; (optional) constant value

Methods: indexes into constant pool for name and type; access flags; code; exception handlers and thrown exceptions, debug information

Attributes: source file

Figure 1. Structur22f a Java classfile

(slide 3) Operands for a bytecode instruction may be taken from

- the operand stack
- immediate operand (part of the instruction)
- implicit operand (in the instruction, e.g., iload1)
- local variable (from the run-time stack)
- the constant pool

(slide 18) Need to do bytecode verification to check the depth of the operand stack. Different paths from <start> to <stop> use the same amount of the operand stack.

Constants, Local Variables, and Control Structures

A new frame is created for every method invocation. Local variables are located in the current frame.

A frame also has an operand stack.

We use iconst_0 (with an implicit operand, 1 byte) instead of

bipush 0 (2 bytes).

The local variable i is stored in the current frame. We also use iload_1 and istore_1 to load from local variable 1 and store the top of the stack to local variable 1, respectively.

Note iinc increments a local variable, rather than the value on top of the operand stack. This saves a push and a pop operations. iinc is intended for loops.

For int type, we may use if_icmplt for comparison and jumping. For double type, we have to use two instructions: dcmpg and iflt.

Java bytecode provides more support for int type than other types.

Spins on Doubles

```
Method void dspin()
void dspin() {
  double i;
                                      dconst_0 // Push constant 0.0
  for (i = 0.0; i < 100.0; i++) { 1 dstore_1
       ; // Loop body is empty // store in local var 1 and 2
   }
                                      goto 9
                                     dload_1 //Push var 1 and 2
                                     dconst_1 // Push constant 1.0
                                           // double-add
                                      dadd
                                     dstore_1 // in var 1 and 2
                                     dload_1 //Push var 1 and 2
                                      ldc2_w #4 // Push 100.0
                                  10
                                      dcmpg // no if_dcmplt inst
                                  13
                                      iflt 5 // Compare and loop
                                  14
                                  17
                                      return // Return void
```

A double value occupies two local variables.

There are no byte, short, and char versions of load, store or add instructions.

```
void sspin() {
                                Method void sspin()
   short j;
                                 0 iconst_0
   for (j = 0; j < 100; j ++) \{ 1 \text{ istore}_1 // j = 0 \}
       ; // empty loop
                          2 goto 10
                                 5 iload_1 // Treat short as int
                                 6 iconst_1
                                   iadd
                                 8 i2s // Truncate int to short
                                    istore_1
                                    iload_1 // check if j < 100
                                    bipush 100
                                11
                                    if_icmplt 5
                                13
                                16
                                    return
```

byte, short, and char are transformed to the int type inside JVM. byte and short are sign-extended to int; char is zero-extended. Operations on byte, short, and char are done by int instructions.

long and floating-point types are supported by JVM, lacking only conditional control-transfer instructions.

JVM generally does arithmetic operations on the operand stack (iinc is an exception).

```
int align2grain(int j, int grain) {
  return ((j + grain-1) & ~(grain-1));
}
Method int align2grain(int,int)
      iload_1 // push j
 0
      iload_2 // push grain
          // j + grain
     iadd
 3
     iconst_1  // push int constant 1
          // j + grain - 1
 4
     isub
      iload_2 // Push grain
 5
      iconst_1  // Push int constant 1
            // Subtract; push result
      isub
 8
      iconst m1 // Push int constant -1
 9
      ixor
                   // Do XOR; push result
```

iand
ireturn // return value is on stack top.

Run-Time Constant Pool

(constant pool in obfuscation)

Many numeric constants as well as objects, fields, and methods are accessed via the run-time constant pool of the current class.

Data of types int, float as well as references to String instances are managed with ldc and ldc_w instructions. ldc_w has a larger index range.

ldc2_w is for long and double.

byte, short, int, and char are managed with bipush, sipush and iconst_<i>. Certain small floating-point constants can be done with fconst_<f> and dconst_<d>.

```
long 12 = Oxfffffffff; 5 istore_2
double d = 2.2; 6 lconst_1
            // A tiny long value uses short, fast lconst_1
                      7 lstore_3
                      8 ldc2_w #6
            // Push long Oxffffffff (that is, an int -1); any
            // long constant value can be pushed using ldc2_w
                      11 lstore 5
                      13 ldc2_w #8
            // Push double constant 2.200000; uncommon
            // double values are also pushed using ldc2_w
                      16 dstore 7
```

A Simple while Loop

```
void whileInt() {
                                  Method void whileInt()
   int j = 0;
                                           iconst_0
                                     0
   while (j < 100) {
                                           istore_1
                                           goto 8
       j ++;
                                           iinc 1 1
                                     5
                                     8
                                           iload_1
                                           bipush 100
                                     9
                                           if_icmplt 5
                                    11
                                    14
                                           return
```

Note that the test is at the bottom of the loop. This incurs an extra jump instruction if the loop body is not executed. However, if the loop is executed at least once, this code saves one jump per iteration.

```
double k = 0.0;
                           dconst_0 // 0
  while (k < 100.1) {
                           dstore_1 // k
     k ++;
                         goto 9
                           dload_1
                        5
                        6 dconst_1
                         dadd
                        8 dstore_1 // k++
                        9 dload_1 // k
                       10
                           ldc2_w #4
                       // Push double constant 100.1
                       13
                           dcmpg
                       14 iflt 5
                       // To do the compare and branch
                       // we have to use two instructions
                       17
                           return
```

Each floating-point type has two comparison instructions: fcompl and fcmpg for type float and dcmpl and dcmpg for type double. The variants differ only in their treatment of NaN. NaN is unordered so all floating-point comparisons fail if any operand is NaN.

```
int lessThan100(double d) {          Method int lessThan100(double)
   if (d < 100.0) {
                                      dload 1 // d
                                      ldc2_w #4 // double 100.0
       return 1;
   } else {
                                      dcmpg
                                 // Push 1 if d is NaN or d > 100.0;
                                 // push 0 if d == 100.0; -1 o.w.
                                      ifge 10 // Branch on 0 or 1
       return -1;
                                 5
                                 8
                                      iconst_1 // 1
                                      ireturn
                                10
                                      iconst_m1 // -1
                                11
                                      ireturn
```

Receive Arguments

If n arguments are passed to an instance method, they are received in the local variables numbered 1 through n. By convention, an instance method is pssed a **reference** to its instance in local variable 0 (that is, **this** in Java).

However, for class methods, there is not such a reference parameter. The usual parameters are at local variables 0, 1, etc.

There are 4 types of invoke instructions:

- 1. invokevirtual index1 index2: for invoking instance methods. index1 index2 together forms an index into the constant pool where there is a descriptor of the called method (including address, number and types of arguments, max size of the operand stack).
- 2. invokeinterface: for interface methods
- 3. invokespecial: for special functions, such as initialization methods. The storage of an object is allocated with the new instruction and one of the constructor is called with the invokespecial instruction.
- 4. invokestatic: for class methods

There are several **return** instructions:

1. ireturn: returns an integer result

- 2. dreturn: returns a double result
- 3. areturn: returns an address result
- 4. return: returns a void value

Call an Instance Method with invokevirtual

The ireturn instruction takes the int value returned by addTwo, on the operand stack of the current frame, and pushes it onto the operand stack of the frame of the invoker (of add12and13). The argument to invokevirtual, i.e., #4, is a symbolic reference.

Call a Class Method with invokestatic

Note that there is no this reference in local variable 0.

Call Instance Initialization Methods with invokespecial

invokespecial is also used to invoke methods in the superclass (super) and private methods. Note that methods called using invokespecial always pass this to the invoked method as its first argument. As usual, it is received in local variable 0.

```
class Near {
   int it;
   public int getItNear() {
                                     Method int getItNear()
       return getIt();
                                            aload 0
                                       0
                                            invokespecial #5
                                       // Method Near.getIt() I
                                       4
                                            ireturn
   private int getIt() {
       return it;
```

Create Instances with new

Once the class instance is created and its instance variables (including those of the class and all of its superclasses) have been initialized to their default values, an instance initialization method of the new class instance is invoked. Note that all constructors of a class are named init inside JVM.

Class instances are passed and returned (as reference types) very much like numeric values, though with its own complement of instructions.

```
int i; // An instance var
MyObj example() {
                           Method MyObj example()
                         0
   MyObj o = new MyObj();
                                 new #2 // Class MyObj
   return silly(o);
                                 dup
                                 invokespecial #5
                            // Method MyObj.<init>()V
                                 astore_1 // o
                                 aload_0 // this
                            8
                                 aload_1 // o
                           10
                                 invokevirtual #4
                           // Method Example.silly(LMyObj;)LMyObj;
                           13
                                 areturn
```

The fields of an instance (i.e., instance variables) are accessed with getfield and putfield.

```
int i; // instance var
void setIt(int value) {          Method void setIt(int)
                               aload_0 // this
  i = value;
}
                               iload_1 // value
                           1
                               putfield #4 // Field Example.i
                           2
                           5
                               return // void
int getIt() {
                          Method int getIt()
                               aload_0 // this
  return i;
                           0
}
                               getfield #4 // Field Example.i
                           1
                           4
                               ireturn // int
```

Some data movement instructions

iconst_1: pushes the integer constant 1 onto the stack.

bipush data: pushes a one-byte signed integer

sipush data1 data2: pushes a two-byte signed integer

ldc index: push single-word constant onto stack

ldc_w index1 index2: push single-word constant onto stack (wide
index)

Conditional branches make use of PC relative addresses.

ifeq data1 data1: check if the integer on stack top is zero. If so, branch to the PC relative address with an offset calculated by concatenating the two data bytes.

if_icmpeq data1 data2: check if the two integers on stack top are equal. If so, branch to the PC relative address with an offset calculated by concatenating the two data bytes.

ifnull data1 data2: check the reference on stack top is null.

newarray is used to create arrays of numeric types.

```
void createBuffer() {
                            Method void createBuffer()
  int buffer[];
                             0
                                  bipush 100
  int bufsz = 100;
                                  istore 2 // bufsz
                             3
                                  bipush 12
  int value = 12;
  buffer = new int[bufsz];
                             5
                                  istore_3 // value
                             6
  buffer[10] = value;
                                  iload_2 // Push bufsz
  value = buffer[11];
                             7
                                  newarray int // create array
                             9
                                  astore_1 // buffer
                                  aload_1 // Push buffer
                             10
                                  bipush 10
                             11
                                             // Push value
                                  iload_3
                             13
                             14
                                  iastore
                                             // buffer[10]
                             15
                                  aload_1
                                            // Push buffer
                             16
                                  bipush 11
                                             // buffer[11]
                             18
                                  iaload
```

19 istore_3 // value
20 return

anewarray is used to create 1-dim arrays of object references.

```
void createThreadArray() {
                               Method void createThreadArray()
  Thread threads[]:
                                     bipush 10 // Push 10
                                     istore_2 // count
  int count = 10;
  threads = new Thread[count]; 3 iload_2
  threads[0] = new Thread();
                            4 anewarray class #1
                                // Create array of class Thread
                                     astore_1 // threads
                                8
                                     aload_1
                                     iconst_0
                               10 new #1
                               // Create instance of class Thread
                                13
                                     dup
                                14
                                     invokespecial #5
                         // pass to instance initialization method
                         // Method java.lang.Thread.<init>()V
```

```
17   aastore
// Store new Thread at threads[0]
18   return
```

multianewarray creates multi-dimesional arrays.

All arrays have associated lengths, by the arraylength instruction.

tableswitch and lookupswitch instructions:

```
int chooseNear(int i) {
                            Method int chooseNear(int)
  switch (i) {
                                  iload_1 // local var i
                              1 tableswitch 0 to 2:
      case 0: return 0;
                                               0: 28
                                                1: 30
                                                2: 32
                                          default: 34
      case 1: return 1;
                        28
                                  iconst_0
      case 2: return 2; 29
                                  ireturn
      default: return -1;
                            30
                                  iconst_1
                             31
                                  ireturn
                             32
                                  iconst_2
                             33
                                  ireturn
                             34
                                  iconst_m1
                             35
                                  ireturn
```

tableswitch and lookupswitch instructions operate on the int type.

When the cases of switch are sparse, the table representation of tableswitch becomes inefficient in terms of space. lookupswitch may be used instead.

```
int chooseFar(int i) {
                              Method int chooseFar(int)
  switch (i) {
                                     iload 1 // local var i
                                0
                                    lookupswitch 3:
      case -100: return -1; 1
                                              -100: 36
                                                 0: 38
                                               100: 40
                                           default: 42
      case 0: return 0;
                              36
                                     iconst_m1
      case 100:
                 return 1;
                               37
                                     ireturn
      default:
                 return -1;
                              38
                                     iconst_0
                               39
                                     ireturn
```

40 iconst_1
41 ireturn
42 iconst_m1
43 ireturn

Operations on Operand Stack

```
public long nextIndex() {
                                  Method long nextIndex()
                                        aload_0 // Push this
   return index++;
}
                                        dup // two copies
                                        getfield #4 // index
private long index = 0;
               // One copy of this is consumed
               // index above the original this
                                        dup2_x1
                                   5
                                        lconst_1 // long constant 1
                                        ladd
                                   8
                                        putfield #4 // index
              // use the original copy of this
                                  11
                                        lreturn
```

Throw Exceptions With athrow

```
void cantBeZero(int i) throws TestExc {
                             Method void cantBeZero(int)
   if (i == 0) {
                                    iload_1 // i
      throw new TestExc(); 1 ifne 12 // if i != 0
                                   new #1 // instance of TestExc
                                   dup
                               // One reference is for constructor
                                    invokespecial #7
                              8
                               // Method TestExc.<init>()V
                              11
                                   athrow
                              // Second reference is thrown
                              12
                                    return
```

Compiling try-catch Blocks

```
void catchOne() {
  try {
       tryItOut();
   } catch (TestExc e) {
      handleExc(e);
```

```
Method void catchOne()
 0 aload_0 // this
 1 invokevirtual #6
 // Method Example.tryItOut()V
 4 return // normal return
 5 astore_1 // 1st handler
 // Store thrown value in local var 1
 6 aload 0 // Push this
 7 aload 1 // Push thrown value
 8 invokevirtual #5 // handler method:
 // Example.handleExc(LTestExc;)V
    return // Return after TestExc
 Exception table:
        To Target Type
  From
                    Class TestExc
```

The catch block generates an entry in the exception table. The exception shows that exceptions occurring between line 0 and line 3 (not including line 4) will be handled by the handler.

There could be multiple catch clauses.

There could also be nested try-catch blocks.

Here is an example with two exception handlers.

```
void catchTwo() {
                             Method void catchTwo()
                              0 aload_0 // this
  try {
      tryItOut();
                              1 invokevirtual #5
  } catch (TestExc1 e) {
                              // Method Example.tryItOut()V
      handleExc(e);
                    4 return // normal return
  } catch (TestExc2 e) {
                              5 astore_1 // 1st handler
                              6 aload_0 // push this
      handleExc(e);
                              7 aload_1 // push e
                                 invokevirtual #7
                              // Example.handleExc(LTestExc1;)V
                                 return // after handling TestExc1
                             11
                             12 astore_1 // 2nd handler
                             13 aload 0 // Push this
                             14 aload 1 // Push e
                             15 invokevirtual #7
```

18 return // after handling TestExc2
Exception table:

From	To	Target	Type	
0	4	5	Class	TestExc1
0	4	12	Class	TestExc2

Nested try-catch Blocks

```
void nestedCatch() {
   try {
       try {
           tryItOut();
       } catch (TestExc1 e) {
           handleExc1(e);
   } catch (TestExc2 e) {
       handleExc2(e);
```

```
Method void nestedCatch()
   aload_0 // push this
    invokevirtual #8
 // Method Example.tryItOut()V
4 return // normal return
 5 astore_1 // 1st handler
 6 aload_0 // Push this
 7 aload 1 // Push e
 8 invokevirtual #7
 // Example.handleExc1(LTestExc1;)V
11
   return//after handling TestExc1
   astore_1 // 2nd handler
13 aload 0 // Push this
14 aload 1 // Push thrown value
15 invokevirtual #6
```

```
// Example.handleExc2(LTestExc2;)V
18 return//after handling TestExc2
Exception table:
From To Target Type
0 4 5 Class TestExc1
0 12 12 Class TestExc2
```

Note the order of the two exception handlers in the exception table.

Compiling finally

```
void tryFinally() {
  try {
  } finally {
      wrapItUp();
```

```
Method void tryFinally()
                 0 aload_0 // push this
tryItOut(); 1 invokevirtual #6
        // Method Example.tryItOut()V
                 4 jsr 14 // call finally block
                   return
                    astore_1 // handler for any throw
                    jsr 14 // Call finally block
                    aload 1 // Push thrown value
                13 athrow // rethrow the value to invoker
                    astore_2 // Beginning of finally,
                            // return address
                15 aload 0 // Push this
                16 invokevirtual #5
                // Method Example.wrapItUp()V
```

19 ret 2 // Return from finally block
Exception table:
From To Target Type
0 4 8 any

The finally block is compiled as an embedded subroutine and invoked with jsr. When finally block completes, ret 2 returns control to the instruction following jsr. Specifically, jsr pushes the return address onto operand stack. astore_2 saves that address in local variable 2. Finally, ret 2 jumps to the address saved in local variable 2.

```
Method void tryCatchFinally()
void tryCatchFinally() {
  try {
                              aload 0
      tryItOut();
                            1 invokevirtual #4 // tryItOut()V
  } catch (TestExc e) {      4 goto 16
                              astore_3 // Beginning of 1st handler
      handleExc(e);
                           8 aload_0 // Push this
  } finally {
                              aload_3 // Push thrown value
      wrapItUp();
                           10
                               invokevirtual #6 // handleExc
                           13 goto 16
                           16
                               jsr 26 // Call finally block
                           19
                               return // after handling TestExc
                               astore_1 // Beginning of 2nd handler
                           21
                               jsr 26 // Call finally block
                               aload_1 // Push thrown value...
                               athrow //...and rethrow to invoker
                               astore_2 // Beginning of finally
                           26
```

```
27 aload_0 // Push this
28 invokevirtual #5 // wrapItUp()V
31 ret 2 // Return from finally
Exception table:
```

From	То	Target	Type	
0	4	7	Class	TestExc
0	16	20	any	

Synchronization with monitorenter and monitorexit

```
synchronized(f) {
                      0 aload_1 // Push f
                      1 astore_2 // Store it in local var 2
      doSomething();
                      2 aload_2 // Push local variable 2 (f)
                         monitorenter // Enter the monitor of f
                      4 aload_0 // this
                        invokevirtual #5 // doSomething()V
                         aload_2 // Push local variable 2 (f)
                         monitorexit // Exit the monitor of f
                      10
                         return // Return normally
                      11 aload_2 // In case of throw, stop here
                      12 monitorexit // Be sure to exit monitor
                         athrow // ...then rethrow to invoker
                     Exception table:
                      From To Target Type
```

4 8 11 any

However, the most common use of synchronization is a synchronized method. A synchronized method is not implemented with monitorenter and monitorexit. Rather, an ACC_SYNCHRONIZED flag of the method is set, which is checked by the method invocation instructions. When invoking a method with the ACC_SYNCHRONIZED flag set, the current thread acquires a monitor, invokes the method, and releases the monitor after the method completes. If an exception occurs during a method execution and the method does not handle the exception, the monitor of the method is automatically released before the exception is thrown out of the synchronized method.

Nested (i.e. Inner) Classes and Interfaces

See inner classes specification.

3 Sample Java Code

```
public static void main(String[] args){
  int i;
  for(i = 0; i < 10; i++) {
    if (i == 5) System.out.println("i = 5");
    else System.out.println("i != 5");
   0:
        iconst_0
   1:
        istore_1
   2: iload_1
        bipush 10
   3:
        if_icmpge 38
   5:
   8:
        iload_1
```

```
9: iconst_5
10: if_icmpne 24
13: getstatic #2;
      //Field java/lang/System.out:Ljava/io/PrintStream;
16: ldc #3; //String i = 5
    invokevirtual #4;
18:
      //Method java/io/PrintStream.println:(Ljava/lang/String;)V
21: goto
         32
24: getstatic #2;
      //Field java/lang/System.out:Ljava/io/PrintStream;
27: ldc #5; //String i != 5
29:
    invokevirtual #4;
      //Method java/io/PrintStream.println:(Ljava/lang/String;)V
32: iinc 1, 1
35: goto 2
38: return
```