Computer Science Course Notes — 1st Semester

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# **Models of Computation**

# First Lesson

#### **Contact Information**

Prof email: piperno@di.uniromal.it

Actual lecture times:

- Wednsday 13:30 15:00
- Thursday 16:00 17:30, or 16:15 17:55

### **Course Contents**

The course content will focus on **functional programming** and  $\lambda$ -calculus.

The main application of these languages is that of *function application*, these languages are devoid of *assignment* semantics, and are therefore called *pure*.

The main ingredients of our programs will be:

- Variables, which are usually denoted by lowercase letters
- Starting from these, you obtain the set of all programs, which are called λ-terms, this set is denoted as Λ.

## **Set Definition**

We can provide an inductive definition of a set of  $\lambda$ -terms,  $\Lambda$ :

$$\frac{x \in V}{x \in \Lambda} \quad \text{(var)}$$

$$\frac{M \in \Lambda \quad N \in \Lambda}{(MN) \in \Lambda} \quad (app)$$

$$\frac{M \in \Lambda \quad x \in V}{\lambda x. M \in \Lambda} \quad \text{(abs)}$$

Applying these inductive rules (variables, application, abstraction).

### **Bactus Normal Form**

Another way of describing a set of lambda terms is by using Bactus Normal Form (BNF).

$$\Lambda :: Var | \Lambda \Lambda | \lambda Var . \Lambda$$

Which is essentially a grammar for the set of lambda terms.

Lambda calculus is left-associative:

$$((xy)z) = xyz \neq x(yz)$$

All functions are unary (we assume currying). For example, the function f(x,y) is represented as:

$$\lambda x. \lambda y. fxy$$

.

Functions in lambda calculus can be applied to other functions or themselves, they can also return functions.

## **Beta Reduction**

The main operation in lambda calculus is *beta reduction*, which is the application of a function to an argument.

$$\frac{(\lambda x.M)N}{redex} \rightarrow_{\beta} M[N/x]$$

Where M[N/x] is the result of substituting all occurrences of x in M with N.

Some other examples:

$$(\lambda x.x)y \rightarrow_{\beta} y$$

$$(\lambda x.xx)y \rightarrow_{\beta} yy$$

$$(\lambda xy.yx)(\lambda u.u) \rightarrow_{\beta} \lambda y.y(\lambda u.u)$$

$$(\lambda xy.yx)(\lambda t.y) \to_{\beta} \lambda y.y(\lambda t.y)$$

This rule can be applied in any context in which it appears.

FIRST LESSON 3

#### **Formal Substitution Definition**

We give a formal definition of substitution, M[N/x]:

$$x[N/x] = N$$

$$y[N/x] = y$$

$$(M_1M_2)[N/x] = M_1[N/x]M_2[N/x]$$

$$(\lambda t.P)[N/x] = \lambda t.(P[N/x])$$

As observed, substitution is always in place of *free* variables, therefore the abstraction is *not* replaced in the last rule.

If we had an abstraction of type  $\lambda x.P$  where  $x \in P$ , it would be best to rename x in order to avoid name clashes.

# Types of Variables

We distinguish two kinds of variables:

- Free variables: variables that are not bound by an abstraction
- Bound variables: variables that are bound by an abstraction

For example, in the term  $\lambda x.xy$ , y is a free variable, while x is a bound variable.

Bound variables can be renamed, whereas for free variables the naming is relevant.

$$\begin{cases} FV(x) = \{x\} \\ FV(MN) = FV(M) \cup FV(N) \\ FV(\lambda x.M) = FV(M) - \{x\} \end{cases}$$

A set of lambda terms where  $LM(\Lambda)$  = is called *closed*.

## Extra Rules

$$(\mu) \quad \frac{M \to_{\beta} M'}{NM \to_{\beta} NM'}$$

$$(\nu) \quad \frac{M \to_{\beta} M'}{MN \to_{\beta} M'N}$$

$$(\xi) \quad \frac{M \to M'}{\lambda x. M \to \lambda x. M'}$$

These rules allow us to select redexes in a context-free manner in the middle of our lambda term. We can then choose the order of evaluation of our redexes, while still taking care of the left-associative order of precedence. Our calculus is therefore not *determinate* but is still *deterministic*, meaning that there may be multiple reduction strategies but they all lead to the same result.

This corollary is called the Church Rosser Theorem, discovered in 1936.

In general, a call-by-value-like semantic is preferrable when choosing evaluation paths, as it clears the most amount of terms as early as possible.

- Call By Value is efficient
- Call By Name is complete, if the lambda term is normalizable

# **Second Lecture**

# Alpha Reduction

Alpha reduction is the renaming of bound variables in a lambda term.

$$\lambda x.M \rightarrow_{\alpha} \lambda y.M[y/x]$$

This rule is used to avoid name clashes between bound variables.

# Arithmetic Expressions

The set of all valid arithmetic expressions has a very precise syntax. In general, a syntax can be viewed either as a tool for checking validity or as a generator of valid expressions (a grammar).

We proceed to give a definition for arithmetic expressions

$$\frac{x \in \mathbb{N}}{x \in \text{Expr}} \quad \text{(num)}$$

$$\frac{X \in \operatorname{Expr} \quad Y \in \operatorname{Expr}}{X + Y \in \operatorname{Expr}} \quad \text{(add)}$$

$$\frac{X \in \operatorname{Expr} \quad Y \in \operatorname{Expr}}{X \times Y \in \operatorname{Expr}} \quad \text{(mul)}$$

Etc, etc... for all the other binary operations.

From this, we can successfully decompose any arithmetic expression into a syntactic tree. With this set of rules, we have a slight problem: we can't represent negative numbers. We could solve this either by adding a rule for unary minus, or by specifying the num rule over  $\mathbb Z$  instead of  $\mathbb N$ .

SECOND LECTURE 5

# Combinators

We define three combinators:

$$S = \lambda xyz.xz(yz)$$

$$K = \lambda xy.x$$

$$I=\lambda u.u$$

We have that  $SKy \rightarrow_{\beta} I$ 

Exercise:  $\beta$ -reduce S(KS)S

This reduces to  $\lambda zbc.z(bc)$ , which is the B combinator (composition).

Exercise  $\beta$ -reduce S(BBS)(KK).